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7        **DEFORMATION OF A SHALE-DOMINATED DELTA: TARAkan BASIN, OFFSHORE INDONESIA**

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26

27 **Abstract**

28 Deformation on shale-rich continental margins is commonly associated with thin-skinned  
29 extension above mobile shales. Normal faulting and shale mobilization are widespread on such  
30 margins, being associated with and controlled by progradation and gravitational failure of deltaic  
31 sedimentary wedges. However, due to limitations in our ability to seismically imaging these  
32 mobile shales, our understanding of how base-shale relief controls deformation, and the shape,  
33 size, and distribution of shale structures remain poorly understood. We here use 3D seismic  
34 reflection data from the Tarakan Basin, offshore Indonesia to investigate the temporal and spatial  
35 evolution of thin-skinned deformations of the Neogene sedimentary section. Our detailed  
36 seismic interpretation reveals long ( $\leq 74$  km), concave- and convex-into-the-basin faults, dipping  
37 both basinward (eastwards) and locally landward (westwards), which detach downwards on a  
38 basal mobile shale (Middle Miocene). The base of the shale unit dips gently ( $< 17^\circ$ ) seaward,  
39 although older (Paleogene), rift-related normal faults mean a local base-shale relief is present.  
40 Our analysis of isochron (thickness map) analysis shows that supra-shale normal faulting  
41 commenced in the Middle Miocene and was accompanied by the formation of hanging wall  
42 rollover folds and associated crestal grabens, with the subsequent along- and across strike  
43 migration of strain being related to the nucleation, lateral linkage, and reactivation of individual  
44 fault systems. Updip growth faulting was also accompanied by the downslope flow of mobile  
45 shale, margin-parallel and-perpendicular differential loading, and local contraction and mobile  
46 shale-upbuilding, resulting in the growth of large, margin-parallel shale anticlines further  
47 downdip. These faults and anticlines are locally overlain by tall ( $\leq 5$  km) mud diapirs and  
48 volcanoes. We suggest that variations in the rate of sediment loading, mobile shale flow, fault  
49 growth, and gravitational failure above a seaward-dipping, but slightly rugose base-shale surface,  
50 controlled Neogene deformations in the Tarakan Basin. We also demonstrate how variations in  
51 the trend and dip of the base-shale surface influences the position, timing, and evolution of  
52 supra-shale faults and their associated depocenters along shale-rich, delta-fed clastic margins.

53 **Keywords:**

54 shale tectonic; base-shale relief; deltaic continental margin; gravity driven deformation; mobile  
55 shale flow

56 **Highlight:**

- 57 • Deformations in the Tarakan Basin, offshore Indonesia are characterized by basinward- and  
58 landward-dipping growth faulting, shale rollers and anticlines, and mud diapirs and volcanoes
- 59 • Growth faults grew by tip propagation and segment linkage, with late-stage tip retreat and  
60 reactivation also occurring
- 61 • The structural style and kinematics record spatial variations in the rate of sediment loading,  
62 mobile shale flow, fault growth, and gravitational failure above a rugose base-shale surface
- 63 • Variations in the trend and dip of the base-shale surface controls the position, timing, and  
64 evolution of supra-shale faults and their associated depocenters

65

## 66 1. Introduction

67 Shale-rich, deltaic continental margins may be characterized by thin-skinned, gravity-  
68 driven deformation above an unconsolidated, overpressured, buried shale (e.g. Damuth, 1994;  
69 Morley and Guerin., 1996; Cohen and McClay, 1996; Briggs et al., 2006, Santos Betancor and  
70 Soto, 2012; Zhang et al., 2021). However, difficulties with seismically imaging remobilized shale  
71 bodies mean that we have a poor understanding of the shape and size of these features, and the  
72 mechanisms (e.g., brittle vs. ductile) driving deformation (see Hudec and Soto, 2021). For  
73 example, previous 2D seismic-based studies identify chaotic seismic facies interpreted to reflect  
74 thick, mobile shale and overlying, shale-detached listric normal faults (e.g. Damuth, 1994; Morley  
75 and Guerin., 1996; Cohen and McClay, 1996). However, in higher quality data imaging the similar  
76 area, a structurally much simpler, fault-related horst may be interpreted, with a large shale body  
77 being absent (Van Rensbergen and Morley, 2000). This illustrates how improvements in  
78 subsurface imaging can provide a better understanding of the structural style and kinematic  
79 evolution of shale tectonics along deltaic continental margins.

80 In the proximal domain of deltaic continental margins, thin-skinned deformation is  
81 typically characterized by basinward- and landward-dipping listric growth faults and mud diapirs  
82 (e.g. Damuth, 1994; Van Rensbergen et al., 1999; Sapin et al., 2012; Ahmed et al., 2022).  
83 Extensional deformation of the supra-shale overburden and the rise of diapiric shale are  
84 controlled by rapid progradation of sedimentary wedges and gravitational gliding (e.g. Evamy et  
85 al., 1978; Cohen and McClay, 1996; Morley, 2003). The ultimate structural style and evolution  
86 are influenced by differential compaction of the progradational succession (e.g. Van Rensbergen  
87 and Morley, 2000), the presence of pre-existing shale structures (e.g. Sapin et al., 2012; Fazlikhani  
88 and Back, 2015), temporal and spatial variations in sediment accumulations rates (e.g. Chima  
89 et al., 2022), the existence of fluid expulsion structures (Back and Morley, 2016), the occurrence  
90 of a base-shale relief (Chima et al., 2022), the deep flow of mobile shale under either brittle or  
91 ductile conditions (e.g. Cohen and McClay, 1996; Soto et al., 2021a), and the growth history of  
92 supra-shale faults (e.g. Fazlikhani and Back, 2012). Most of studies listed above provide only two-  
93 dimensional treatments of shale tectonics and only very few have inspected their three-  
94 dimensional evolution (Fazlikhani and Back., 2012, 2015; Ahmed et al., 2022).

95           The Tarakan Basin, offshore Indonesia is an example of a deltaic continental margin  
96 containing thick, mobile shale (Fig. 1). The basin is separated from the onshore region by thick-  
97 skinned normal faults (e.g. Hidayati et al., 2007). The offshore area is characterized by shale-  
98 detached (i.e., thin-skinned), NE-SW-striking, basinward- and landward-dipping extensional (e.g.  
99 Heriyanto et al., 1992, Lentini and Darman, 1996) or reverse (e.g. Biantoro et al., 1996; Maulin et  
100 al., 2021) growth faults, and several NW-trending folds, which have been traditionally called  
101 *isoclinal folds* (arches in Fig. 1; e.g. Wight et al., 1993). The kinematics and origin of the growth  
102 faults and folds are debated, falling into two end-member models: (i) a strike-slip faulting model  
103 (e.g. Wight et al., 1993; Lentini and Darman, 1996; Hidayati et al., 2007; Maulin et al., 2021); and  
104 (ii) a margin-uplift model (Sapiie et al., 2021). However, these previous studies use two-  
105 dimensional seismic reflection data that have relatively poor imaging of the deep basin, including  
106 the interval containing mobile Neogene shale. Thus, a study based on high-quality, preferably 3D  
107 seismic reflection data is needed to test these models and to underpin a detailed reconstruction  
108 of the structural style and evolution of the basin.

109           We here use high-quality, 3D seismic reflection datasets imaging the shelf-edge to upper-  
110 slope of the Tarakan Basin to answer the following two key questions: (i) what are the spatial and  
111 kinematic relationships between sub- and supra-shale deformations in the proximal domains of  
112 a shale-dominated delta; and (ii) how does the structural style and evolution of the Tarakan Basin  
113 during the Neogene relate to the broader tectonic and geodynamic setting of the region? We  
114 identify a deltaic system that prograded seaward into the Tarakan Basin across mobile shale and  
115 several N-to-NE-trending, base-shale highs. The delta, including its underlying mobile shale, is  
116 deformed by arrays of NW-SE-striking, shale-detached, basinward- and landward-dipping growth  
117 fault systems and shale structures (i.e. shale rollers, anticlines, mud diapirs, and mud volcanoes).  
118 Using seismic-stratigraphic and isochron analysis, we reconstruct six main stages in the post-  
119 Eocene structural evolution of the basin.

120

## 121 2. Geological Setting

122 The Tarakan Basin is located offshore NE Borneo Island, within Indonesia territory  
123 (Achmad and Samuel, 1984). The basin is located in a structurally complex zone of continental  
124 convergence involving subduction of Northern Sulawesi (e.g. Hall, 2013; 2019; Watkinson and  
125 Hall, 2017) (Fig. 1a). The western, yet still offshore part of the basin is thought to be separated  
126 from the eastern part of the onshore region by a large, thick-skinned normal fault (e.g. Hidayati  
127 et al., 2007). The Tarakan Basin stretches eastwards into Celebes Sea (Fig. 1a). To the north and  
128 south the basin bound by the Sampoerna and Mangkalihit strike-slip fault zones, respectively  
129 (e.g. Lentini and Darman, 1996).

130 Borneo Island and adjacent areas were subject to four main stages of Paleogene-to-early  
131 Neogene, lithospheric deformations (Fig. 2): (i) a clockwise rotation of ca. 12° since 35 Ma (Cullen  
132 et al., 2012), and later, a counter-clockwise rotation of 50° since 30 Ma (Fuller et al 1999); (ii)  
133 Eocene-Oligocene rifting involving the South China Sea (Cullen, 2014) and possibly the Celebes  
134 Sea (Pubellier and Morley, 2014); (iii) Oligocene-Early Miocene regional subsidence in NW  
135 (Cullen, 2014) and SE Borneo (Cullen, 2014; Pubellier and Morley, 2014), driven by sedimentary  
136 loading (Pubellier and Morley, 2014) and post-rift, lithosphere thermal cooling; and (iv) the Sabah  
137 orogeny in northern Borneo (Hutchison, 1996), which was created by collision of extended  
138 continental crust (e.g. North Palawan block) against the Sabah-Cagayan Arc (e.g. Hall., 2013).  
139 These tectonic events are recorded by the Eocene-Early Miocene sedimentary successions, more  
140 specifically several regional unconformities that span the South China sea and NW Borneo (e.g.  
141 Hutchison, 2005; Cullen, 2010, 2014; Madon et al., 2013).

142 Variations of the location and magnitude of lithospheric deformation and sedimentation  
143 occurred in the NE Borneo and adjacent areas during the Early Miocene. For example, the onset  
144 of the rapid accumulation of a turbidite-fed, smectite-rich clay (> 50% smectite, 25% illite, < 10%  
145 kaolinite, and < 5% chlorite) occurred at Early Miocene (ca. 18.5 Ma) in the Celebes Sea (Rangin  
146 and Silver, 1991; Silver and Rangin, 1991). In the Tarakan area, deltaic sedimentary deposition  
147 began up to 16 Ma (Achmad and Samuel, 1984), and onshore was associated with a marked  
148 increase in sediment accumulation rate (from 60 to 120 m/my) (Fig. 2; Hidayati et al., 2007). This  
149 period of basin development was important, given it was associated with deposition of the thick

150 shale sequence now observed at the base of the Tarakan Basin (Achmad and Samuel, 1984).  
151 Sedimentation at this time was also coeval with Early Miocene collision of the Sulawesi and Sula  
152 blocks (Rangin and Silver, 1991; Silver and Rangin, 1991) and with three periods of global sea-  
153 level fall (Mi1; ca. 23 Ma, Mi2; ca. 16 Ma and Mi3; ca. 13.8 Ma) (Miller et al., 2020) (Fig. 2).

154 During the Middle-Late Miocene, the tectono-stratigraphic development of the Tarakan  
155 Basin and surrounding areas was controlled by lithosphere-scale (i.e., thick-skinned) and/or  
156 gravity-driven (i.e., thin-skinned) deformation. Listric growth faults formed (e.g. Wight et al.,  
157 1993; Lentini and Darman, 1996), detaching downward in Oligocene-Early Miocene (Maulin et  
158 al., 2021) or Early-Middle Miocene sequences (e.g. Hidayati et al., 2007; Putra et al., 2017; Sapiie  
159 et al., 2021). There are also deeper, rift-related normal faults affecting the basement- (Biantoro  
160 et al., 1996; Hidayati et al., 2007). Middle-Late Miocene deformation was coeval with a  
161 progressively increasing rate of sediment accumulations (from 120 to 330 m/my; Fig. 2). In  
162 northern Borneo, Neogene deformation was accompanied by subsidence in Central Basin of  
163 eastern Sabah, and the rapid accumulation of 15 km of sediments (Graves and Swauger., 1997;  
164 Hall, 2013). Subsidence was coeval with uplift in north-west Borneo, caused possibly by deep  
165 crustal flow in respond to sedimentary loading (Morley and Westway, 2006; Hall, 2013), or  
166 magmatic activity (Hall, 2013)

167 Since the Pliocene, the Tarakan Basin was subject to both folding and reverse faulting (Fig.  
168 1a). Two alternative models haven been proposed to explain their origins: (i) wrenching along  
169 the Maratua and Sampoerna strike-slip faults (Wight et al., 1993; Lentini and Darman, 1996;  
170 Hidayati et al., 2007), or; (ii) distal uplift of the deeper faults (Sapiie et al., 2021), which resulted  
171 in inversion of formerly extensional listric normal faults, and the formation of NW-trending  
172 arches or folds (e.g. Wight et al., 1993; Lentini and Darman, 1996; Hidayati et al., 2007, Maulin et  
173 al., 2021). Regardless of their origin, formation of these structures occurred during the Pliocene-  
174 Recent (e.g. Lentini and Darman, 1996), a period characterised by a high sedimentation rate,  
175 which was as high as 800 m/my in shelfal areas (Fig. 2). In contrast, in the distal areas of the basin  
176 the sedimentation rate was <120 m/my, because much of the Plio-Pleistocene sediment supply  
177 was trapped on the shelf (Hidayati et al., 2007). A large eustatic sea-level fall (up to 120-130 m)

178 occurred at 2.7 Ma (PI in Fig. 2), which was followed by a progressive sea-level rise during the  
179 Holocene (Miller et al., 2020).

180 We focus on the shelf-edge to upper slope of the Tarakan Basin, where at least five  
181 different onshore-river systems supplied sediment to the offshore basin (Fig. 1). Wells in this  
182 proximal area reveal overpressure conditions within the Middle-Late Miocene sequences (Putra  
183 et al., 2017). According to previous studies, this offshore area contains a range of basinward- and  
184 landward-dipping extensional and/or inverted growth faults, and is situated at the southern tip  
185 of the Bunyu Arch (e.g. Wight et al., 1993; Lentini and Darman, 1996; Hidayati et al., 2007; Maulin  
186 et al., 2021; Sapiie et al., 2021).

187

### 188 3. Datasets and Methods

189 We focused on an area imaged by two 3-D Kirchhoff PSTM seismic reflection datasets  
190 (TBN-10 in the north and TBB-11 in the south; Table 1). These two datasets overlap by  $\sim 70$  km<sup>2</sup>,  
191 and have similar inline and cross line spacings of 25 m (see complete details of the seismic  
192 datasets in Table 1). Inlines (NE to N) and crosslines (NW to W) trend broadly normal and parallel  
193 to the bulk SE tectonic translation direction of the supra-shale cover, respectively. The seismic  
194 data are displayed with the Society of Exploration Geophysics (SEG) reverse polarity, whereby a  
195 downward increase and decrease in acoustic impedance are represented by a negative and  
196 positive reflection events, respectively.

197 Kirchhoff PSTM data has some disadvantages when attempting to image structurally and  
198 stratigraphically complex areas. For example, such data might contain fault shadows, and they  
199 may not image shale structures as well as other seismic reflection data types (e.g. Fagin, 1996;  
200 Elsley and Tieman, 2010; Soto et al., 2021b). Still, our PSTM data are of sufficient quality to  
201 distinguish the main shale and supra-shale structures (Tables 2-4; see also table S1-S2). These  
202 data are in time, thereby the height of shale structures, for example, are converted from two-  
203 way time (TWT) to kilometres using seismic velocity data (e.g. Johnson and Hansen, 1987),  
204 ranging from 3500 m/s at seismic horizon H1 to 1500 m/s at seabed (Table 2).



205           The seismic data were provided by TGS and are commercially sensitive. As such, we  
206 cannot provide the precise geographic location of the survey and related seismic profiles  
207 (although the dataset is located along a delta-fed part of NE Borneo; [Fig. 1](#)) or the specific  
208 locations of wells. NW-to-W-trending seismic profiles (i.e., crosslines) normal to the broadly  
209 north-easterly margin trend are displayed from north to south ([Figs 3 and 4](#); see also appendix  
210 S1 for uninterpreted sections), and these accompanied by a margin-parallel profile trending  
211 north-east ([Fig. 5](#)). In the profiles, we also include an estimate of the dip of the base-shale surface  
212 ([Figs 3-5](#)). However, given that the sections are in time, dips are approximate and relative values.

213           We map seven key seismic horizons (H1, TMB, H2-7) by identifying distinctive reflections  
214 and their terminations (i.e. onlap, toplap, and unconformities; Mitchum et al., 1977) ([Table 2](#)).  
215 The critical top mobile shale (TMB) is not constrained by well data, given no wells drill that deeply  
216 in the Tarakan Basin. As such, we infer the presence of deep shale using the seismic-reflection  
217 criteria established by Elsley and Tieman (2010), Santos Betancor and Soto (2015), Soto et al.  
218 (2021b), and Hudec and Soto (2021) ([Table 2](#)). The ages of shallower, younger key horizons are  
219 established by integrating: (i) the regional tectonic events affecting Borneo since the Oligocene  
220 ([Fig. 2](#)); and (ii) published data from the Vanda-1 well (Netherwood and Wight, 1992; Wight et  
221 al., 1993). These show that H1 is Lower Miocene(?) (using age of regional unconformities  
222 identified in Borneo; i.e., SCSU of Cullen, 2010, 2014; EMU of Madon et al., 2013; DRU of  
223 Hutchison, 2005), whereas TMB and H2-H3 are early Middle-Upper Miocene(?). The age of  
224 younger seismic horizons (i.e., H4-H7; uppermost Miocene to Upper Pleistocene) are directly  
225 constrained by the Vanda-1 well.

226           We use our seismic interpretations to generate isochrone maps for the mobile shale and  
227 six overburden units. We realized that the base-mobile shale (H1) locally extends below the depth  
228 imaged by our seismic data ( $> 8.0$  s TWT or 14 km in TBN-10; [Table 1](#)), resulting in an  
229 underestimation of mobile shale thickness in this area ([Fig. 6](#)). However, our data and derived  
230 maps clearly reveal the main shale structures present within the basin. Because of: (i) limitations  
231 of seismic velocity data to undertake a regionally consistent depth conversion (e.g. Johnson and  
232 Hansen, 1987; Francis, 2018); and (ii) our primary interest being in the relative rather than

233 absolute changes of fault throw along strike (cf. Jackson et al., 2017), we also present the  
234 structure and isochrone map in time, rather than depth (ms TWT) (Figs 7 and 8).

235

#### 236 4. Base-shale structural style

237 The base-mobile shale (H1) is defined by a continuous, weak, positive reflection, located  
238 immediately above the upper tips of supra-shale faults (Figs 3-5; Table 2). These faults are  
239 apparently planar, and dip steeply ( $\sim 70^\circ$ ) basinward- and landward. The largest throw on these  
240 faults ( $\leq 1$  s TWT or 1 km) is observed in the southwestern and southern area. The lower tips of  
241 these faults are below the depth imaged by these seismic data (Table 1).

242 The base-shale dips gently basinwards ( $1-17^\circ$ ); i.e., SE (Figs 3-5). This surface is broadly  
243 convex-upward, being characterized by local, N-to-NE-trending structural-highs (ca. 6-7.5 s TWT  
244 or 10-12 km) in the north and south, and a large structural low in the centre of the study area  
245 (Fig. 5). Base-shale relief is related to long (up to 27 km), NNE-SSW-striking normal faults. Most  
246 of these faults dip basinwards, although some segments, which are more abundant in the south,  
247 dip landward (Fig. 6a). Many of these faults are soft-linked by relay zones or hard-linked by  
248 shorter faults (cf. Peacock and Sanderson, 1991).

249

#### 250 5. Shale Structures

251 The top-shale horizon is defined by a strong, negative reflection (TMB; Figs 3-5). Given  
252 that the presence of methane in undercompacted shales can produced a strong, negative  
253 reflection (e.g. Soto et al., 2021b), we speculate that the negative reflection observed here  
254 defines the contact between normally compacted shales and methane-rich (possibly  
255 undercompacted) mobile shales. The seismic sequence below the TMB reflection contains  
256 various diffractions and noise, although we locally observe continuous, weak-to-moderate  
257 amplitude reflections (label “x”; Fig. 5). Internal reflections similar to these have been observed  
258 in other regions, being interpreted either as a pre-existing, now-deformed stratigraphic fabrics,

259 or a new deformation fabric formed by the flow of mobile shales under critical-state conditions  
260 (Soto et al., 2021b).

261 Mobile shale thickness map shows how this unit varies across the study area, being  
262 thickest in the centre and east, thinning northward and southward (Fig. 6b). The thickest mobile  
263 shale coincides with the structural low seen on the base mobile shale map in the centre of the  
264 area, whereas the thinner areas coincide with the base-mobile shale structural highs identified  
265 in the north and south (Fig. 6).

### 266 5.1 Shale rollers

267 These structures are defined by broadly symmetrical, triangular zones of mobile shale  
268 that have a pointed crest and which are flanked on one side by basinward-dipping, shale-  
269 detached normal faults (label SR; Table 3 and Figs 3-5). These structures are interpreted as shale  
270 rollers, with their geometry and relationship to normal faults suggesting they formed via reactive  
271 diapirism during thin-skinned extension (e.g. Morley and Guerin, 1996; Hudec and Soto, 2021).  
272 They are thus comparable to salt rollers formed in salt basins (e.g. Brun and Mauduit, 2009;  
273 Jackson and Hudec, 2017). Shale rollers are broadly distributed across the study area, typically  
274 trending N-to-NE, sub-parallel to the sub-shale normal faults (cf. Figs. 6b and 6a).

### 275 5.2 Shale anticlines

276 These structures are characterised by broadly symmetric, low-amplitude, long-  
277 wavelength anticlines, cored by mobile shales, and with a single, angular-to-rounded hinge line.  
278 These structures are up to 5.5 s TWT (8.8 km) tall (label SA; Fig. 4b) and they usually verge  
279 basinward (i.e. SE), with a sub-horizontal eastern limb and a more steeply-dipping western limb  
280 ( $\leq 55^\circ$  dip). These structures are restricted to the distal, eastern part of the study area, and their  
281 axes trend parallel to the shale rollers (i.e. N-NE; Fig. 6b).

### 282 5.3 Mud diapirs

283 Mud diapirs are defined by domains of very low reflectivity that locally contain some  
284 isolated, low-amplitude, chaotic reflections (label MD; Table 3 and Fig. 7b). The external  
285 boundaries of these structure are sub-vertical, crosscutting the adjacent, layered sequences that  
286 loss progressively their reflectivity towards the diapir (label "iii" in MD; Table 3). Mud diapirs

287 occur as deep as the H2 reflection, with their shallower heads deforming sequences near the H4  
288 reflection (label MD; [Table 3](#) and [Fig. 4b](#)).

289         The seismic characteristics of the mud diapirs and the nature of their contacts with the  
290 host rock suggest the existence of pervasive fluid migration from the mobilized, overpressured  
291 muds that pierce the country sediments (e.g. Santos Betancor and Soto, 2015). The upper parts  
292 of some of the mud diapirs are characterised by a broad (up to 4.3 km wide), tear drop-shaped  
293 area of low reflectivity, which may contain isolated, internal reflections. These reflections may  
294 reflect remnant fragments of the host rock, imbedded within the ascending diapiric material,  
295 which itself is poorly reflective. The lower part of the mud diapirs is more difficult to identify, and  
296 is commonly defined by a narrow, sub-vertical domain with crosscutting reflections that connect  
297 with the crest of deeper, shale-cored anticlines (label “i” in MD; [Table 3](#)). The mud diapir seen in  
298 the distal area affects H2-H4, defining an elongated structure parallel to the underlying shale  
299 anticlines ([Fig. 7a-b](#)).

#### 300 5.4 Mud volcanoes

301         These structures are defined by conical mounds (e.g. Kopf, 2002) that are identified as  
302 deep as the H4 reflection structural level, and which can affect younger sequences up to the  
303 seabed ([Fig. 3b](#); [Table 3](#)). The deeper domains of mud volcanoes, i.e., below H4, are accompanied  
304 by chaotic reflections that are seen above normal faults (F5; [Fig. 3b](#)). At the shallower level, near  
305 H7, they form elliptical edifices parallel to the deeper and adjacent normal faults ([Fig. 3d](#)). Given  
306 these observations, we suggest that mud volcanoes are formed by reactive diapirism, with mobile  
307 shale ascending along sub-seismic fractures developed above buried, seismically imaged normal  
308 faults (e.g. Hudec and Soto, 2021).

309

## 310 6. Supra-shale Structures

311         The supra-shale structural framework is characterized by two main types of structures  
312 ([Table 4](#) and [Figs 3-5](#)). The first type is defined by major basinward (F1-F15)- and landward (C1-  
313 C3)-dipping listric faults that die-out downward into the mobile shales and which tip-out upward  
314 between H4 and the seabed. The basinward-dipping listric faults detach downward onto the

315 flanks of shale rollers and are flanked by growth strata (Figs 3-5). These faults are common on  
316 the shelf margin-to-upper slope (Fig. 1b), suggesting they formed in response to overburden  
317 extension due to gravitational failure of the deltaic wedge within which they developed (e.g.  
318 Morley, 2003; Soto et al., 2010; Hudec and Soto, 2021), and/or extension driven by differential  
319 compaction and fluid expulsion (e.g. Van Rensbergen and Morley, 2000; Back and Morley, 2016).  
320 The landward-dipping listric faults in the south are, however, flanked by progressively younger  
321 growth strata basinward, suggesting they formed in response to sedimentary loading during delta  
322 progradation (Fig. 4) (e.g. Morley and Guerin, 1996; Ge et al., 1997; McClay et al., 2003; Sapin et  
323 al., 2012; Ahmed et al., 2022). The basinward- and landward-dipping listric faults are associated  
324 with synthetic normal faults that formed within the damage zones of the larger faults (e.g.  
325 McGrath and Davison, 1996), or that developed to accommodate locally high stresses occurring  
326 within relay zones between the major growth faults (Imber et al., 2003) (e.g. F7a-b; Fig. 3b or  
327 C1a-c and C3b-e; Fig. 4d).

328 The second type of supra-shale structure is defined by folds that are best-developed  
329 between H2 and H7, flanking the basinward- and landward-dipping listric normal faults (e.g., F1  
330 in the north, and C1 and C3 in the south; Figs 3c and 4; Table 4). Given their relationship to shale-  
331 detached faults, we interpret them as hanging wall rollover folds (e.g. Dula, 1991; Imber et al.,  
332 2003; Brun and Mauduit, 2008). Above the fold crests we observe symmetrical grabens bounded  
333 by basinward- and landward-planar normal faults that either physically link with the deeper  
334 major faults with which the folds are associated, or detach downward within the overburden. For  
335 example, above F10, several basinward- and landward-dipping normal fault arrays form a  
336 symmetrical graben (Fig. 4d). Based on their location above the fold crest, we infer that these  
337 minor normal faults reflect crestal extension and faulting in response of outer-arc bending of  
338 strata (e.g. McClay, 1990; Dula, 1991; Morley, 2007; Erdi and Jackson, 2021).

339 Maps of H2-H7 illustrate the geometry of the various supra-shale normal faults (Fig. 7).  
340 Basinward-dipping normal faults occur across the study area, whereas the landward-dipping  
341 normal faults are restricted to the south. Some fault segments are separated by NNE- or SSW-  
342 dipping, largely undeformed relay zones, such as C3a-b in the southeast (Fig. 7). Many faults show

343 broadly convex- and concave-landward geometries, such as F7-F8. Throw on the faults broadly  
344 decreases southward (Fig. 7).

345

## 346 7. Temporal evolution of supra-shale deformation

347 Having established the various shale structures and supra-shale structural styles, we now  
348 explore how these structures evolved in the shelf-edge to upper slope of the Tarakan Basin. We  
349 interpret the evolution based on observations from time-structure and isochrone maps (Figs 6-  
350 8; see also S2 for a larger version). As isochrone maps show temporal changes in sediment  
351 thickness, which we infer record changes in accommodation driven by the migration of structural  
352 deformation (Fig. 8a-f) (e.g. Wu et al., 2015; Erdi and Jackson, 2021).

353

### 354 7.1 Base-shale detachment (Eocene-Early Miocene?)

355 The base-mobile shale is an unconformity that detaches sub-shale faults from the  
356 overlying mobile shale unit. This surface is inferred to represent the 16-19 Ma unconformity that  
357 separates pre-rift and overlying post-rift successions in the South China and Sulu seas (e.g. Madon  
358 et al., 2013; Cullen, 2010, 2014). Given the geometries of the sub-shale faults (e.g., planar,  
359 basement-involved) are broadly consistent with the geometry of syn-rift faults in the region (e.g.  
360 Schlüter et al., 1996; Franke et al., 2008), we speculate that sub-shale extensional faults are  
361 related to (i) the Eocene-Early Miocene(?), thick-skinned (i.e., lithosphere-involved) extensional  
362 event; (ii) a c. 38° of anticlockwise, post-Eocene rotation of the Borneo Island (Fuller et al., 1999;  
363 Cullen et al., 2012). Although a component of oblique-slip cannot be ruled out, we speculate that  
364 this faulting was dominated by dip-slip movements. The general seaward dip of the base-shale  
365 surface above these faults (Figs 3-4) likely reflects post-Miocene tectonic uplift of northern  
366 Borneo and its immediately offshore region (Fig. 2) (e.g. Hall., 2013).

367

368 7.2 Deposition and origin of the mobile shale unit (Early Miocene-early Middle Miocene?)

369           Based on the tectonic setting of the study area, we infer that the mobile shale unit is  
370 possibly Early-to early Middle Miocene (ca. 16-14.8 Ma). In the Celebes Sea, a turbidite-fed,  
371 smectite-rich shale unit was rapidly deposited around ca. 18 Ma (Rangin and Silver, 1991; Silver  
372 and Rangin, 1991). We extrapolate this interpretation to our study area, assuming that the thick,  
373 mobile shale unit was deposited at around the same time, above the aforementioned  
374 unconformity (Fig. 2).

375           Previous studies suggest that erosion of granodiorites in northern Sulawesi, which were  
376 uplifted during the collision between Sulawesi and the Sula Block during the Early to early Middle  
377 Miocene (15-18.8 Ma) (Bellon et al., 1991), were the source for the clay unit in the Celebes Sea  
378 (Rangin and Silver, 1991; Silver and Rangin, 1991). Northern Borneo may also have been a source  
379 area for the mobile shale unit in the Tarakan Basin based on the fact that: (i) the area underwent  
380 rapid uplift and erosion during the Early Miocene due to the Sabah Orogeny (e.g. Hall and Nichols,  
381 2002; Hall, 2013); (ii) important fluvio-deltaic systems originated in north Borneo during that time  
382 (van Hattum, 2013); and (iii) rapid sediment accumulation rates characterise the Early-Middle  
383 Miocene depositional history of the basin (Hidayati et al., 2007) and Celebes Sea (Rangin and  
384 Silver, 1991; Silver and Rangin, 1991). We thus infer that the mobile shale unit in the Tarakan  
385 Basin was rapidly deposited during the Early-Middle Miocene by a clay-rich deltaic system.

386           Although we cannot conclusively resolve the exact nature of the processes mobilizing the  
387 shales, we speculate that the smectite-transformation, in combination with increasing shear  
388 stresses by normal faulting, could have jointly participate in creating overpressure conditions in  
389 this shaly unit (Soto et al., 2021a; Li et al., 2022). Rapid sedimentation of this clay unit likely lead  
390 to fluid entrapment, making it possible to achieve the critical-state conditions to permit  
391 essentially solid-state flow at relatively lower shear stresses.

392

### 393 7.3 Stratal unit 1 (early Middle Miocene?)

#### 394 7.3.1 Description

395 SU1 was deposited immediately above the mobile shale and thickens across many of the  
396 supra-shale listric faults (F1, F8-F10, F12-15, C1a-c, C2, and C3c-d; Fig. 8a; Figs 3c, 4 and 5). We  
397 identify the following three key thickness patterns within SU1; (i) fault-controlled depocenters  
398 spanning the entire present-day trace length (e.g., F1, F10, F12-15 in the northwest and the  
399 southeast; Fig. 8a); (ii) fault-controlled depocenters only span a short portion of the present-day  
400 fault traces (e.g., F8b, F9, C1a-c, C2 and C3c-d; Fig. 8a); and (iii) fault-controlled depocenters flank  
401 onto normal fault traces that are physical contact with each other (F8a-b; Fig. 8a).

#### 402 7.3.2 Interpretation

403 SU1 records 1 Myr time span of the ~16 Myr post-rift history, indicating listric fault arrays  
404 began to grow soon after the cessation of mobile shale deposition (Fig. 8g). Faults grew in two  
405 different ways, either by: (i) a synchronous increase in fault throw and length, with associated  
406 fault segment linkage (i.e. in cases where SU1 depocenters flank only a portion of the present-  
407 day fault trace length; e.g. F8b, F9, C1a-c, C2 and C3c-d) (e.g. Walsh and Watterson, 1988; Dawers  
408 et al., 1993; Cartwright et al., 1995; Mansfield and Cartwright, 1996) (Fig. 8a, g); or (ii) rapidly  
409 attaining their near-final lengths via lateral tip propagation (i.e. in the cases where SU1  
410 depocenters span the present-day traces, e.g. F1, F10 and F12-15; e.g. Walsh et al., 2003).

411 More generally, nucleation of the supra-shale listric faults indicate establishment and  
412 progradation of one or several deltaic systems during the first 2.4 Myr post-rift history of the  
413 Tarakan Basin (c.f. Morley and Guerin, 1996; Sapin et al., 2012; Back and Morley, 2016) (Fig. 8g).  
414 This interpretation is consistent with an increasing sediment accumulation rate (i.e. from 60 to  
415 120 m/my) in the onshore Tarakan Basin during Early-Middle Miocene (Hidayati et al., 2007), and  
416 with events of global sea-level falls at Mi1-Mi3 (Miller et al., 2020) (Fig. 2).

### 417 7.4 Stratal unit 2 (Middle-Upper Miocene?)

#### 418 7.4.1 Description

419 Thickness patterns in the SU2 shows that fault-controlled depocenters broadly persisted  
420 adjacent to listric faults (F1, F7d-e, F8-F10, F12-15, C1a-c, C2, and C3c-d; Fig. 8b), although in



421 detail we note that: (i) across fault-thickening now occurred along or at the lateral tips of the  
422 present day traces of the supra-shale listric faults (F3, F4a-b, F6, F7a, c, e, F8a-c, southern portion  
423 of F9, F11, F12 and C1b-c, and C3a-d; [Fig. 4b-c and 8b](#)); (ii) C3a-b cross-cut fault-related thickening  
424 on the upper tip of F12 ([Fig. 4b-c](#)); and (iii) the SU2 displays no thickness variation across the  
425 eastern portion of F1 ([Fig. 8b](#)).

426 Along the normal fault array in the southeast, SU2 displays thickness variations toward  
427 the axis of the mud diapir (MD; [Fig. 8b](#)). This unit is upturned, and is truncated by overlying units  
428 against the mud diapir flanks, while diverge reflections, a wedge-shape geometry and thicken  
429 away from the mud diapir ([Fig. 4b](#)). We also note that mud diapir-related thickness variations in  
430 SU2 appear inversely related to thickness variations associated with adjacent supra-shale faults  
431 (F12-F14) and the underlying mobile shale ([Figs 4a-c and 8b](#)). For example, across fault thickening  
432 toward the F12 occurs above an area where the underlying mobile shale is thin, whereas thinning  
433 of SU2 toward the diapir flank occurs where the underlying mobile shale is thick ([Fig. 4b-c](#)).

#### 434 *7.4.2 Interpretation*

435 SU2 records thin-skinned, gravity-driven deformation during the subsequent ca. 5.6 Myr  
436 post-rift history of the Tarakan Basin, recording the complex growth and death of the supra-shale  
437 fault array. First, some preexisting normal faults continue to grow via tip propagation, relay  
438 breaching, and segment linkage (F1, F4a, F8, F9, F10, F12-15, C1a-b, C2 and C3c-d; [Fig. 8h](#)). (F7d-  
439 e and F8c-d), (F8a-c, C1a-d and C3a-d; [Fig. 8h](#)). This interpretation is supported by the observation  
440 that depocenters are distributed along the established faults ([Fig. 8b](#)). Second, new normal faults  
441 nucleated (F3, F4a-b, F6, F7a, c, F11, C3a; [Fig. 8h](#)). Finally, some fault segments became inactive  
442 (F1 and F12; [Figs 4b-c and 8h](#)). More specifically to the F12, this fault death due to grew of the  
443 C3a-b, which was relatively younger.

444 Normal fault growth during the Middle-Upper Miocene was coeval with the onset of shale  
445 anticlines growth in the southeast (SA; [Figs 4b and 8b](#)). The local truncation of SU2 by overlying  
446 unit along the fold limbs indicates that rates of fold-related uplift were even higher than the  
447 increasing and relatively high sediment accumulation rate (from 120 to 220 m/my; [Fig. 2](#)). Two  
448 possible mechanisms can explain the onset of folding at this time: (a) downslope gliding of the

449 mobile shale producing distal contraction (e.g. Espurt et al., 2009; Soto et al., 2010; Ahmed et al.,  
450 2022); and (b) margin-parallel and perpendicular differential loading. The first mechanism is  
451 supported by the orientation of fold axes being parallel to the shale rollers and their associated  
452 normal faults (Figs 6b and 8b), indicating these distal contractional folds could be kinematically  
453 linked to up-dip extension (Fig. 8h). The second mechanism is supported by the inverse  
454 relationship between thickness patterns in the mobile shale and SU2. For example, SU2 thickens  
455 onto the F12-F14 where the top of mobile shale is structurally low (Fig. 4a-c), suggesting the  
456 existence of syn-depositional loading by normal faulting and shale-withdrawal that promoted  
457 mobile shale upbuilding at the core of the distal fold. This mechanism is also supported by fault-  
458 controlled depocenter on the SU2 are distributed relatively basinward to that observed in  
459 underlying stratal unit (Fig. 8b), suggesting a basinward migration of the prograding wedge. This  
460 basinward progradation of the wedge might produce differential margin-perpendicular  
461 sedimentary loading and a basinward migration and evacuation of the mobile shale creating  
462 horizontal (tectonic) compaction and fluid expulsion (e.g. Van Rensbergen and Morley, 2000;  
463 Back and Morley, 2016). Still, given that the base-shale structure has a larger depocenter in the  
464 central domain (Fig. 6a), differential parallel-margin sedimentary loading could also occur,  
465 resulting in an additional north-south (margin-parallel) flow of the mobile shales to fill that  
466 trough.

467

## 468 7.5 Stratal unit 3 (Upper?-uppermost Miocene)

### 469 7.5.1 Description

470 There are several important observations regarding SU3. First, SU3 thickens (by up to 2.25  
471 s TWT or 3 km) across many of the major normal faults (F3-F4, F6, F7a, c-e, F8-F11 and C1a-d,  
472 C2, C3a, c-d; Fig. 8c). Second, SU3 broadly thickens towards and has a wedge-shaped geometry  
473 in the hanging wall of some listric faults that are located relatively basinward of those active  
474 during deposition of SU2 (F5, C3b, e and southern portion of C1c; Figs 3a-b, 4b-d, 5 and 8c). Third,  
475 SU3 also has a wedge-shaped geometry adjacent to and thickens towards the lateral tips of major  
476 faults (F2-F3 and northern portion of F7a, and F4a-b; Figs 3 and 8c). Fourth, SU3 thickens down  
477 relay zones developed between fault segments, such as observed along C3a-b in the southeast

478 (Fig. 8c). Fourth, in these domains SU3 shows subtle thickening across the entire length of crestal  
479 faults between the F10 and C1c-e, diverging, for example, toward the crestal faults situated  
480 above F10 and C1c-e (Fig. 4b-d). Fifth, lower part of SU3 thickens toward C1a-b, while the upper  
481 part of the unit and fault are cross-cut by the rotated crestal faults (Fig. 4d). Finally, although SU3  
482 generally thickens across them, this unit shows a constant thickness and a tabular geometry  
483 across the lateral tips of some of the major basinward-dipping normal faults situated in the  
484 western area (F7c-d, C2, and C3a, d; Fig. 8c).

485 SU3 thins and is upturned towards the mud diapir flanks (MD; Fig. 8c). The top of SU3 is  
486 also eroded at the base of the overlying unit, SU4 (MD; Fig. 4b).

### 487 7.5.2 Interpretation

488 Using thickness patterns in and the overall seismic-stratigraphic architecture of SU3, we  
489 can reconstruct the tectonic processes during the subsequent ca. 1.9 Myr post-rift history of the  
490 basin. Four key tectonic processes related to supra-shale extensional faulting occurred at this  
491 time. First, the existing normal faults continued to grow (F2-F4, F6-F7, F8a-b, F9-F11, C1c-d, Fig.  
492 8i) via tip propagation (C1c and F7) and locally, hard-linkage by relay-breaching (F2, F7, and F4a,  
493 b). As a result, both basinward- and landward-dipping normal faults have a final convex-towards-  
494 the-basin geometry (Fig. 8b-c). Second, formation of relay zone along C3a-b, e and nucleation of  
495 F5 (Fig. 8i). Third, crestal faults above rollover anticline nucleated in response to clockwise tilting  
496 of the C1c-e and F10 (Fig. 8i). We interpret that the crestal faults were formed similarly to crestal  
497 faults, having originated above the hanging wall rollover associated with listric supra-shale faults  
498 (e.g. Dula, 1991; McClay, 1990). Fourth, during this time, some established faults like F3, F7d-e,  
499 F13, F15, C1a-b, C2 and C3c-d underwent tip retreat or became inactive (Fig. 8i). More  
500 specifically, some faults are inactive due to: (i) cross-cutting by listric and crestal fault formations  
501 that are relatively younger (C1a-b and F13-15; Fig. 4a, d), or; (ii) strain migration toward an  
502 incipient new footwall breaching of C3b,e within a large soft-linked relay zone (C3a-e; Figs 4 and  
503 7) (c.f. Walsh et al., 1999; Imber et al., 2003).

504 These processes of fault growth and decay result in complex structural styles and  
505 evolution during the Upper?-uppermost Miocene in the shelf-edge of Tarakan Basin. We also

506 noted a difference in the style of growth faulting during the Upper?-uppermost Miocene,  
507 showing by a relatively simple series of basinward-dipping listric faults in the north, and a  
508 complex series of roller folds, basinward- and landward-dipping listric with associated crestal  
509 faults in the south (Fig. 8i).

510 Two processes could explain these along-strike differences in structural style and  
511 kinematics. First, the process of horizontal compaction and fluid expulsion may have migrated  
512 northward along the margin, accompanied by the northwards flow of mobile shales. This  
513 interpretation is supported by: (i) base-shale relief being deeper in the north; (ii) mobile shale  
514 being thicker in the north; and (iii) mud volcanoes being preferentially developed in the north,  
515 coinciding with the domain of thicker mobile shale (Figs 3b and 6). This interpretation seems to  
516 perhaps conflict with the interpretation of higher sediment accumulation rates in the north (240-  
517 330 m/my) than the south (120-240 m/my) (Fig. 2). In our view, the existence of higher sediment  
518 accumulation rates, rather than being a barrier to fluid and shale flow, induced the ductile flow  
519 of mobile shales from the central depocenter toward the north.

520 The second explanation is that the difference in overburden structural styles reflects  
521 along-strike differences in the timing and magnitude of tilting of the mobile shales and their basal  
522 surface. Seaward tilting of this surface is up to 17° in the north, whereas it is lower in the south  
523 (4-7°) (cf. Figs 3 and 4). This interpretation is consistent, for example, with the study of Wu et al.  
524 (2015) and with the results from several physical models of shale-rich deltas (e.g. Mourgues et  
525 al., 2009), which suggest that landward-dipping listric fault systems are better developed when  
526 the dip of the mobile shales and their basal surface is relatively gentle.

527 Besides the overburden deformations, the SU3 growth strata also record a deformation  
528 linked to the mobilization of the shale unit in the southeast (MD; Figs 4b and 8c). We infer that  
529 the growth of the anticline in the southeast during the deposition of SU3 led to crestal faulting,  
530 with these structures providing pathways for the ascent of mobile shale, resulting in the  
531 emplacement of mud diapir (c.f. Bonini and Mazzarini, 2010; Bonini, 2012). This interpretation  
532 explains the spatial relationship between the fold hinge and the diapirs, as well as the basinward  
533 and the northward (i.e., along-strike) flow of mobile shale.

534

## 535 7.6 Stratal unit 4 (Uppermost Miocene-Pliocene)

### 536 7.6.1 Description

537 SU4 thickens across F2-F11, C1c-d, C3a-b,e and down the associated relay zones (Fig. 8d).  
538 Although this unit shows local thickening in the hanging wall of normal faults, we also observe:  
539 (i) a constant thickness along the trace of many shale-detached (F7c, southern tips of F3, F8a-b,  
540 F7d, e, and F9) and crestal normal faults (along C1c-d; Fig. 8d); and, (ii) thinning onto footwall of  
541 C3a-b due to erosion at the base of the overlying unit, SU5 (E2; Fig. 4). The area of erosion trends  
542 sub-parallel to these faults (Fig. 8d).

543 SU4 varies in thickness adjacent to mud diapirs and volcanoes in the southeast and the  
544 north of the study area (MD and MV; Fig. 8d). In the southeast, this unit is upturned towards and  
545 thins above the diapir crest (MD; Fig. 4b and Table 3). However, in the north, the upper interval  
546 of this unit shows chaotic reflections above the F5 (Fig. 3b and Table 3).

### 547 7.6.2 Interpretation

548 The seismic-stratigraphic patterns in SU4 are used to reconstruct the tectonic processes  
549 during the subsequent ca. 3.7 Myr post-rift history of the basin. The processes are illustrated by  
550 the on-going growth of pre-existing listric faults of F2, F4-F8, F11 and C1c-d, and the relay zone  
551 of C3a-b, e (Fig. 8j). More specifically to the F4a-b and F11, they grew via lengthening, and the  
552 later subsequently being followed by a hard-linkage (Fig. 8d). Fault growth and hanging wall tilting  
553 was also associated with the erosion of previously deposited strata (e.g. C3a-b; Fig. 8d, j). We  
554 interpret that faults grew in response to continued progradation of the sedimentary wedge and  
555 related differential compaction of and fluid expulsion from the mobile shales (e.g. Van  
556 Rensbergen and Morley, 2000), with the latter process being particularly important in the south  
557 (i.e. F8c-d, F9-F10; Fig. 8j). Some faults also underwent tip retreat and/or became inactive (e.g.  
558 F3, F7d-e, F8a-b, F9, C1e, F9 and C1c-d; Fig. 8j).

559 Variations in mobile shale-related deformations continued to occur along the margin  
560 during Upper Miocene-Pliocene, being illustrated by mud diapirism that was ongoing growth and  
561 initiation in the southeast and north respectively (MD and MV; Fig. 8j).

562

## 563 7.7 Stratal unit 5 (Pliocene-Pleistocene)

### 564 7.7.1. Description

565 SU5 is broadly tabular, thickening locally towards F2 and F8-F10, and showing a wedge-  
566 shaped geometry toward the hanging walls of F3-F7, F11, C1d-e, and C3a-b (Figs 3-5). There are  
567 further local variations in thickness compared to what we observe in underlying strata. First, SU5  
568 displays subtle thickening towards and along C1e and fault-related crestal grabens along C1c-d  
569 (Figs 4b-d and 8e). Second, this unit has a constant thickness across the southern tip of F3 and  
570 along F4a-b (Fig. 8e). Third, SU5 varies in thickness around F4, F9, F11, and along the crestal faults  
571 C1c-e (Fig. 8e). These variations appear to be related to erosion of the top of the unit, being  
572 located in the hanging wall of listric and crestal normal faults, and at the base of SU6 (label E3  
573 and E4; Figs 3a-b and 4).

574 SU5 also varies in thickness around mud diapirs and volcanoes (Fig. 8e). In the southeast,  
575 the lower part of this unit thins and onlaps above SU4 toward the crest of a mud diapir, whereas  
576 the upper part of the unit thickens and wedges eastward (Fig. 4b). In the north, however, SU5  
577 show continuous mound shape geometries above the F5 (label MV; Fig. 3b).

### 578 7.7.2. Interpretation

579 The geometry of SU5 records the tectonic processes during the subsequent ca. 2.58 Myr  
580 post-rift history of the basin . Overburden extension continued as shown by the continued growth  
581 of F11, C1c-d and C3a, e, and the reactivation of some crestal normal faults above C1c-d (Fig. 8k).  
582 More specifically to the reactivation of the crestal faults that were formerly inactive during  
583 Pliocene, we infer that this fault reactivation was due to another pulse of strata bending in  
584 response to clockwise hanging wall rotation of the C1c-d fault (Figs 4b-d and 8e).

585 During the Pliocene-Pleistocene, the mud diapir in the south were buried due to either it  
586 stopped grow or a larger sedimentation rate with respect to the rate of mud ascent (Fig. 8k). In  
587 the north, however, a mud volcanism occurred (MV; Fig. 3b).

588

## 589 7.8 Stratal unit 6 (Pleistocene-Holocene)

### 590 7.8.1 *Description*

591 SU6 thickens across (F2, F4-F7, F9, C1, and C3; Fig. 8f) and/or is wedge-shaped in and  
592 diverges towards the hanging walls of (C1c and C3a-b; Fig. 4) supra-shale normal faults. In  
593 contrast, it is broadly tabular adjacent to F2, F4-F7, and F9 (Figs 3a-b and 4b-c). The unit, however,  
594 thins toward and onlap onto the mud volcano (Figs 3b and 8f).

### 595 7.8.2 *Interpretation*

596 SU6 record the latest tectonic activity in the margin, during the last 0.012 Myr. Tip retreat  
597 of many supra-shale faults occurred during this time (Fig. 8l), with many faults dying-out (Figs 3-  
598 5). However, some faults remained active (i.e. F2, F4a, F5-6, F7b and F9a-b; Fig. 8f, l), coincident  
599 with and possibly driven by, an increase in the rate of sediment accumulation (from 180 to 820  
600 m/my) (Fig. 2). The mud volcano in the north, however, continued to grow via shale fed along  
601 fractures (MV; Fig. 8l).

602

## 603 8. Discussion

### 604 8.1 Structural styles in the Tarakan Basin

605 Previous 2D seismic-based studies show that NW-trending arches (e.g. Wight et al., 1993;  
606 Lentini and Darman, 1996), NE-SW-striking rollover folds, and listric (e.g. Wight et al., 1992;  
607 Biantoro et al., 1996), thick-skinned normal (Hidayati et al., 2007), reverse, inverse normal (e.g.  
608 Wight et al., 1993), and/or NW-SE-striking strike-slip faults (e.g. Wight et al., 1992; Lentini and  
609 Darman, 1996; Sapiie et al., 2021) are all developed in the offshore Tarakan Basin (Fig. 1). All  
610 previous studies agree that Miocene gravity-driven failure led to listric faulting and related  
611 folding (e.g. Van Bemmelen, 1949; Hidayati et al., 2007; Sapiie et al., 2021). However, the post-  
612 Pliocene kinematic development of the isoclinal fold and inversion normal faults mentioned  
613 above is debated, with two-end member models proposed: (i) wrenching or transform faulting  
614 (Wight et al., 1993; Lentini and Darman, 1996; Hidayati et al., 2007); or (ii) the uplift of pre-  
615 existing rift-related topography (Sapiie et al., 2021; Ahmed et al., 2022). These studies have,  
616 however, some important limitations. For example, (i) they lack a comprehensive reconstruction

617 of the structures in map-view, characterizing key structures like fault tip lines and branch lines,  
618 sedimentary facies boundaries, and fold axes (e.g. Sylvester, 1988; Erdi and Jackson, 2022), which  
619 collectively make it possible to evaluate fault and fold geometry and kinematics (e.g. Harding,  
620 1990); and (ii) widely spaced (> 62.5 m) 2D seismic data mean it is hard to determine the  
621 geometry and evolution of inherently 3D structures such as segmented normal faults and  
622 geometrically complex shale structures (e.g. Tearpock and Bischke, 2002; Groshong, 2006; Ze and  
623 Alves, 2019).

624 Our detailed 3D seismic interpretation constrains the structural style and distribution of  
625 shale and supra-shale structures, showing many occur above NE-trending base-shale relief that  
626 inferably has a concave-basinward geometry, and which are superimposed on a generally  
627 seaward-dipping surface (Fig. 9). Above this surface, the basal mobile shale unit shows  
628 extensional (e.g. shale rollers and shale-detached normal faults) and contractional (anticlines)  
629 structures. We also interpret that the mobile shale flowed upward, forming mud diapirs and  
630 volcanoes that pierced a few kilometres of overburden strata and that were active until recently  
631 (i.e. they are locally expressed at the seabed). Supra-shale deformations consist of concave- and  
632 convex-basinward arrays of extensional listric growth faults, and related hanging wall rollover  
633 folds and outer-arc bending-related crestal faults.

634 In our dataset we also observe the southern tip of the Bunyu Arch; this is a major structure  
635 previously described as a series of NW-trending isoclinal folds (Figs 1 and 7; Wight et al., 1993;  
636 Lentini and Darman, 1996). In our view, rather than comprising several isoclinal folds, this  
637 structure is represented by several NE-trending rollover folds associated with large, shale-  
638 detached listric growth faults (Figs 7 and 8). Thus, in contrast to the previous interpretations, we  
639 suggest that other similar isoclinal folds or arches in the Tarakan Basin are *en echelon*, basement-  
640 detached rollover folds (Fig. 1).

641 In summary, we propose that the Neogene structural style of the Tarakan Basin reflects  
642 (Fig. 9): (i) variations in sediment accumulation rates and the progradation of deltaic sedimentary  
643 wedges from northeastern Borneo, (ii) the dominantly seaward flow of the basal shale unit  
644 (lowermost Middle Miocene) to induce inflation of mobile shale unit in the distal domain,



645 possibly with a contribution of margin-parallel flow to generate the large, central depocenter and  
646 drive mud diapirism and volcanism in the north (Fig. 6b), (iii) the growth and linkage of the supra-  
647 shale extensional fault systems (Fig. 8), and (iv) associated gravitational failure of the Neogene  
648 sedimentary wedge, induced by irregular seaward tilting of the entire margin (Fig. 6a), driven by  
649 plate-scale uplift of Borneo (e.g. Hall, 2013).

650

## 651 8.2 Deltaic growth faulting: geometry, timing, and tectonic significance

652 Previous studies demonstrate that the geometry, distribution, and kinematics of growth  
653 faulting in shale-rich deltas are controlled by the interaction between gravity gliding downslope  
654 associated with margin uplift (e.g. Garfunkel, 1984; Gawthorpe et al., 1994) and sediment loading  
655 during delta progradation (e.g. Evamy, 1978; Cohen and McClay, 1996; McClay et al., 2003) above  
656 an overpressured shale (e.g. Mandl and Crans, 1981; Mourgues et al., 2009; Lacoste et al., 2012;  
657 Fernández-Ibañez and Soto, 2017) and/or differential compaction (e.g. Van Rensbergen and  
658 Morley, 2000. Still, fault growth and linkage (e.g. Fazlikhani and Back., 2012), base-shale slope  
659 angle (e.g. Wu et al., 2015; Lacoste et al., 2012), and/or differential compaction and associated  
660 fluid expulsion (e.g. Van Rensbergen and Morley, 2000; Back and Morley, 2016) can also  
661 contribute to development of the growth faulting. Fault-related strain can migrate basinward as  
662 the causal sedimentary wedge progrades (e.g. Evamy, 1978; Cohen and McClay, 1996; McClay et  
663 al., 2003; Mourgues, 2009; Ahmed et al., 2022). Faulting can also migrate landward migration,  
664 through lateral fault-linkage (e.g. Fazlikhani and Back, 2012; Imber et al., 2003) accompanying  
665 the general downslope gliding of the sedimentary wedge due to the seaward tilting of the margin  
666 (e.g. Lacoste et al., 2012). Variations in the dip of the seaward tilted base-shale also controls the  
667 locus of faulting and the dip direction of the growth faults. This is because an increase in the dip  
668 of base of shales tends to produce basinward-dipping growth faults, whereas a relatively gently-  
669 dipping shale base usually promotes the formation of landward-dipping growth faults (e.g. Wu  
670 et al., 2015). Sediment loading can also promote the local escape of fluids and mud, forming the  
671 intrusion (e.g. diapirs) and extrusion (e.g. volcanoes) of shale (e.g. Van Rensbergen and Morley,  
672 2000; Back and Morley, 2016).

673 Our study shows that the distribution of landward- and basinward-dipping deltaic growth  
674 faulting varies in time and space along the proximal, shelf-edge region of the Tarakan Basin. The  
675 basinward-dipping faults are broadly developed above areas where the base-shale dips more  
676 steeply dipping ( $\leq 17^\circ$ ) basinward, whereas the landward-dipping faults are preferentially  
677 developed above segments of the surface defined by a relatively gentle dip (4-7°) steps (Fig. 9).  
678 The locus of basinward growth faulting is consistent with the study of Wu et al. (2015), given they  
679 show how domains with a gentle dip of the shale base tend to nucleate landward-dipping growth  
680 faults. Here we also demonstrate that growth faulting migrates landward and basinward during  
681 the Neogene, possibly in response to varying sedimentation rates of the delta systems prograding  
682 from the eastern margin of Borneo (Fig. 9). Landward migration of extensional faulting occurred  
683 above relatively steep-dipping base-shale relief in the north, whereas basinward migration  
684 occurred above the relatively gentle-dipping base-shale relief in the south. These inferences are  
685 also in agreement with (i) the lateral propagation and linkage of basinward-dipping listric faults  
686 in the north, and basinward- and landward-dipping listric faults in the south, and; uplift in north  
687 Borneo since Miocene (e.g. Hall, 2013).

688

## 689 9. Conclusions

690 We conducted a seismic-stratigraphic analysis of 3D seismic reflection data from the  
691 shelf-edge to upper slope of Tarakan Basin, offshore Indonesia to unravel the lateral variability  
692 in the structural style, distribution, and kinematics of thin-skinned, shale-related deformation.  
693 We showed that the Tarakan delta system, including its underlying basal mobile shale, is  
694 deformed by a range of shale structures (i.e. shale anticlines, mud diapir and volcanoes), and  
695 basinward- and landward-dipping growth faults located above and trending parallel to NE-  
696 trending base-shale highs developed above an older rift. Using isochrone (thickness) maps we  
697 identify four main tectono-stratigraphic stages: (i) Eocene-early Middle Miocene? - continental  
698 rifting and deposition of the mobile shale unit; (ii) Middle-Upper Miocene? - fault nucleation,  
699 growth, and linkage in the proximal domain, and formation of a shale-cored anticline in a more  
700 distal area; (iii) Upper Miocene-Pliocene - lateral propagation and eventual retreat of the

701 extensional faults, and mud diapirism; (iv) Pleistocene-Holocene – extensional faults reactivation,  
702 decay and death, and mud volcanism. Our study suggests the temporal and spatial evolution of  
703 Neogene deformations in the shelf-edge to upper slope region of the Tarakan Basin reflects the  
704 interaction between variations in sediment accumulation rate and the progradation of deltaic  
705 sedimentary wedges, mobile shale flows, the growth and linkage of extensional fault, and the  
706 associated gravitational failure of the shale-rich deltaic above base-shale relief. More specifically  
707 our study further highlights the key relationship between the direction of strain migration and  
708 the geometry of the basal detachment in gravity-driven deformation systems, with landward-  
709 directed migration occurring above regions defined by steeply seaward-dipping relief, and  
710 basinward-directed above relatively gentle basal relief. These learnings can provide insights into  
711 the structural styles and kinematics observed on other shale-rich margins, such as that  
712 characterizing the Mahakam and Niger deltas, and the Ceduna sub-basin, offshore South  
713 Australia.

714

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731

732 **Table Captions**

733 Table 1: Description of seismic dataset used in our study in Tarakan Basin, Offshore Indonesia.

734 Table 2: Characterization, seismic velocity and tectonic significance of the interpreted seismic  
735 horizons of the Neogene section of the Tarakan Basin, offshore Indonesia, as seen in the shelf-  
736 edge and upper slope of the basin.

737 Table 3: Summary of the diagnostic seismic characteristics of the shale structures identified  
738 between the shelf-edge and upper slope of Tarakan Basin, offshore Indonesia. See also Table  
739 S1 in Appendix for a larger version of this table. Seismic data courtesy of TGS.

740 Table 4: Summary of principal characteristics of the supra-shale faults as they are seen in the area  
741 between the shelf-edge and upper slope of Tarakan Basin, offshore Indonesia. See also Table  
742 S2 in Appendix for a larger version of this table. Seismic data courtesy of TGS.

743

744 **Figure captions**

745 Figure 1: Regional tectonic map and section of Tarakan Basin. Although precise location cannot  
746 be released due to confidentiality, the study is around the shelf-edge to upper slope of the  
747 extensional domain of this basin. (a) Simplified regional structural map illustrating key tectonic  
748 features in the north-east Borneo, consisting of Tarakan (TA), Bunyu (BA), Ahus (AA) and  
749 Sebatik (SA) arches, major regional normal fault (MRNF), like the Sampurna (SFZ) and Maratua  
750 (MFZ) fault zones. Map modified from Wight et al. (1993), Lentini and Darman (1996), Moss  
751 et al. (1998), Hidayati et al. (2007), and Balaguru and Hall (2009). Well locations are taken from  
752 Wight et al. (1993), Corelab (2007), and Chakhmakhchev and Rushworth (2010). Base map is  
753 derived from GEBCO (2020). (b) Regional cross section (modified from Hidayati et al., 2007).

754 Figure 2: Regional tectono-stratigraphic framework chart of Paleogene to Quarternary (Q) in  
755 north-west and north-east Borneo (modified and simplified with information from Hall, 2012,  
756 2013, 2019). Formation in this chart can be simplify into syn-rift, mobile shale and supra  
757 mobile shale unit. The syn-rift and mobile shale unit are separated by a regional South China  
758 Sea (SCSU) or Early Miocene (EMU) unconformity that have Early-Middle Miocene age (Cullen,

759 2010, 2014; Madon et al., 2014). This chart is compared with the global eustatic sea level curve  
760 that shows global sea level drop (Mi1-2 and PI) and rise (Mi3) (Miller et al., 2020), and  
761 sedimentary rates for the Tarakan Basin derived from well data (modified from Hidayati et al.,  
762 2007). It is shown our interpretative seismic horizons and units differentiated in the Neogene  
763 sequence of the shelf-edge of Tarakan Basin, offshore Indonesia. Well locations and source  
764 area of sediment budget are shown in [Fig. 1](#).

765 Figure 3: Selected seismic profiles showing the configuration of the Tarakan Basin in a direction  
766 parallel to the regional dip (approximately 1-17°) of the mobile shale base, which is parallel to  
767 bulk translation direction of the supra-shale cover. It is also illustrated the style of growth  
768 faulting and how it varies laterally in the northern part of the study area. Due to  
769 confidentiality, the exact position of the NW-SE seismic sections (a–c) is omitted here.  
770 Uninterpreted version of the three seismic profiles are shown in Appendix S1. Seismic data  
771 courtesy of TGS.

772 Figure 4: Margin parallel seismic sections illustrating the styles of growth fault systems in the  
773 southern part of the study area. The W-E orientation of the four seismic lines (a–d) is sub-  
774 perpendicular to the regional dip (approximately 4-5°) of the base of mobile shale, and to the  
775 bulk translation direction of the supra-shale cover. Notes of “x” show layering of seismic facies  
776 that may reflect relict or new deformation intra-fabrics within mobile shales. Due to  
777 confidentiality, the exact position of the seismic sections (a–c) is omitted here. Uninterpreted  
778 version of the four seismic profile is shown in Appendix S1. Seismic data courtesy of TGS.

779 Figure 5: Composite, SW-NE margin-parallel seismic section illustrating shale and supra-shale  
780 structural styles. This orientation is normal to the regional dip of the base of mobile shale and  
781 to the bulk translation direction of the sedimentary cover. This section also shows the present  
782 relationship of the basin with the sub-shale sequences, which are deformed by high-angle  
783 normal faults related to the Paleogene continental rifting ([Fig. 2](#)). Notes of “x” show layering  
784 of seismic facies that may reflect relict or new deformation intra-fabrics within mobile shales.  
785 Uninterpreted version of the seismic profile is shown in Appendix S1. Seismic data courtesy of  
786 TGS.

787 Figure 6: Base-shale surface and mobile shale isochrone maps (interval contour of 250 ms). (a)  
788 Base-shale structural map, illustrating spatial geometry of base-shale relief that probably  
789 reflects the inherited rift topography. This map also records the geometry and distribution of  
790 N-S sub-shale faults. (b) Mobile shale isochrone map (in s TWT), illustrating morphology and  
791 distribution of shale in the basin. To compare, it is included the distribution and type of  
792 structures affecting the top of mobile shale (TMB), including shale roller and anticline (see  
793 Table 3 for description of these shale structures).

794 Figure 7: Overburden structural maps of the main supra-shale seismic reflections (interval  
795 contour of 250 ms): (a) H2 - Middle Miocene, (b) H4 - Uppermost Miocene, and (c) H7 - Upper  
796 Pleistocene (Fig. 2). These maps contain information regarding structures affecting the supra-  
797 shale sequences, as basinward and landward normal faults, but also mobile shale structures  
798 like mud diapirs, and mud volcanoes. Table 3 and 4 contain a detailed description of the  
799 seismic expression and their differentiating characteristics of these structures.

800 Figure 8: Overburden isochrone maps of all the supra-shale seismic units differentiated in this  
801 study (interval contour of 250 ms) (Fig. 2): (a) SU1 - Middle Miocene; (b) SU2 - Middle-Upper  
802 Miocene; (c) SU3 - Upper-Uppermost Miocene; (d) SU4 - Uppermost Miocene-Pliocene; (e)  
803 SU5 - Pliocene-Pleistocene; (f) SU6 - Pleistocene-Holocene, accompanied in (g-l) by their  
804 tectonic interpretation. These interpretative sketches illustrate the Neogene tectonic  
805 evolution of the Tarakan Basin in the study area, detailing the activity of shale and supra-shale  
806 structures at every particular time. See also Appendix of S2 for a larger version of overburden  
807 isochrone and their interpretative sketches.

808 Figure 9: Regional map summarizing the main findings of our study, and general schematizing the  
809 structural elements controlling the Neogene evolution of the offshore area of Tarakan Basin,  
810 north-east Borneo, like the distribution and role played in the margin by supra-shale growth  
811 faulting (either with basinward and landward normal faults), the flow pattern inferred for  
812 mobile shales, the distal shale inflation and contraction, as well as the occurrence of steps in  
813 the base of mobile shales, induced by sub-shale faults, which are possibly inherited from the  
814 Paleogene rifting.

## 815 Data Availability Statement

816 The seismic data supporting the findings of this study are available from TGS. However,  
817 restrictions apply to the availability of these data, which were used under license for this study.

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1133 **Table**  
 1134 Table 1  
 1135

Data	TBB-11 (Southern area)	TBN-10 (Northern area)
Date of acquisition and processing	2011-2012	2011-2012
Type/Processing	3D Kirchhoff Pre-Stack Time Migration (PSTM) seismic data	
Total area (km <sup>2</sup> )	1316	1625
Water depth (m)	200-500	15-400
Inline orientation	N-S trending	NE-SE trending
Seismic dimension (m)	49039 x 60036	45290 x 70909
Vertical sample rate (ms)	2	2
Line spacing (m)	25	25
Vertical resolution	25 m at seabed	21 m at seabed
	250 m at 8710 ms	208 m at 7069 ms

1136

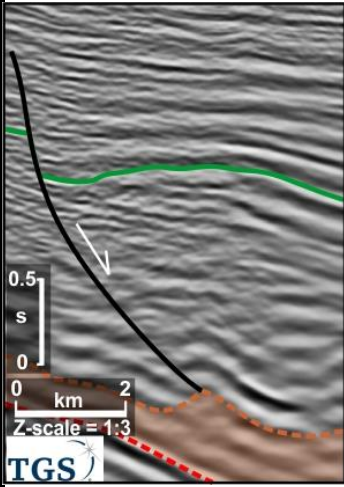
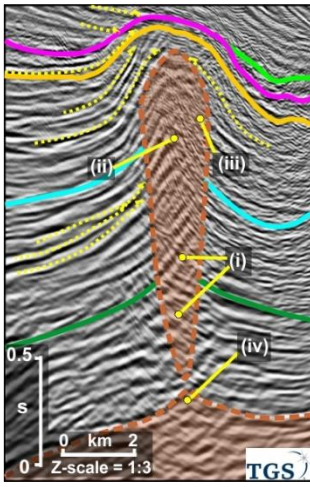
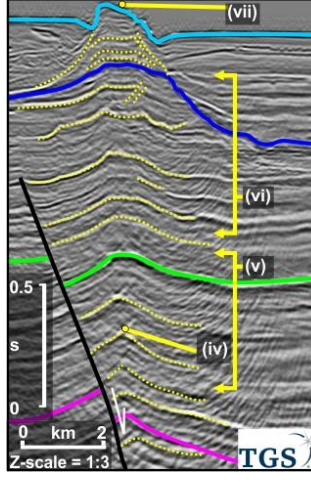
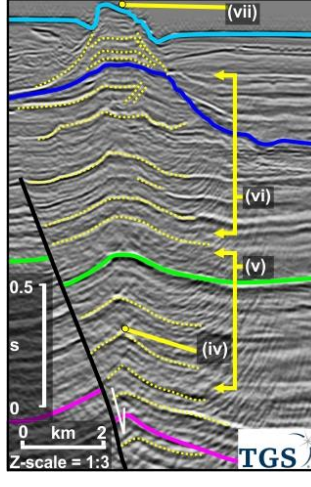


1137 Table 2

Horizon	Interval Velocity (m/s)	Time	Seismic Character	Interpretation
H7	1500- 3010	Upper Pleistocene (~0.012 Ma)	Parallel, very strong positive amplitude reflector observed in the S. It is locally as a chaotic, moderately medium-to-weak reflector in the NE.	Top of the youngest angular unconformity, being shown by onlap or downlap of overlying stratal (labelled E4; Figs 3 and 4) . Associated to rollover folds within strata in the S.
H6	1700- 3100	Lower Pleistocene (~1.8 Ma)	Parallel-to-sub parallel, low-to-medium positive amplitude reflector across the study area. It shows locally diverge eastward in the SE.	Angular unconformity in the SE, being shown by onlap or downlap of overlying stratal (labelled E3; Figs 3 and 4).
H5	2100- 3100	Pliocene (~2.6 Ma)	Parallel-to-wavy, very strong positive amplitude reflector in the S. Moderately chaotic reflector in the NE, and weak reflector and diverge eastward in the NE and SE.	Angular unconformity in the SE, being shown by onlap or downlap of overlying stratal (labelled E2; Figs 3 and 4; c.f. Horizon II; Levell, 1987; Horizon A; Franke et al., 2008).
H4	2400- 3200	Upper most Miocene (~6.3 Ma)	Parallel strong positive amplitude reflector in the S. A moderate medium-weak, wavy and chaotic reflector in the NE and SE.	Angular unconformity in the SE, being shown by onlap or downlap of overlying stratal (Figs 3 and 4). Associated to rollover folds in the S.
H3	3000- 3200	Upper Miocene? (~8.2 Ma?)	Parallel-to-wavy, strong negative amplitude reflector.	Recording distribution rollover fold within strata in the northwest area.

H2	2900-3300	Middle Miocene? (~13.8 Ma?)	Parallel-to-sub parallel, strong positive amplitude reflector in the N. It is a chaotic, moderate medium-strong reflector in the S.	Angular unconformity in the central part, being shown by onlap or downlap of overlying stratal (labelled E1; Fig 5).
TMB (Mobile shale)	3100-3500	Middle Miocene? (~14.8 Ma?)	Parallel-to-sub parallel negative amplitude reflector that marks transition between overburden and underlying mobile-shales. The mobile shales are seen as chaotic, weak-to-moderate amplitude reflections or as a domain with loss of reflectivity. Locally, its internal fabric shows cross-cutting reflections (label X in Figs 4 and 5).	Seismic facies of the mobile-shales is either interpreted due to overpressure and/or to severe destruction of the fabric by deformation at critical-state conditions (Soto et al., 2021b). It cannot be excluded the existence of relict fabrics and/or seismic noise due to inaccurate seismic processing and acquisition (Elsley and Tieman, 2011; Li and Mitra, 2020).
H1	3300-3500	Eocene-to-late Early Miocene (~16-33 Ma)	Positive reflection in the S and N, while it is discontinuously imaged in the centre.	Top of syn-rift unit (South China Sea Unconformity; Cullen, 2010, 2014) of Early Miocene or Middle Miocene age (Madon, 1999; Hutchison, 2005; Madon et al., 2013). This reflection marks the base of mobile-shales and is also the top of deep half grabens bounded by thick-skinned extensional faults.

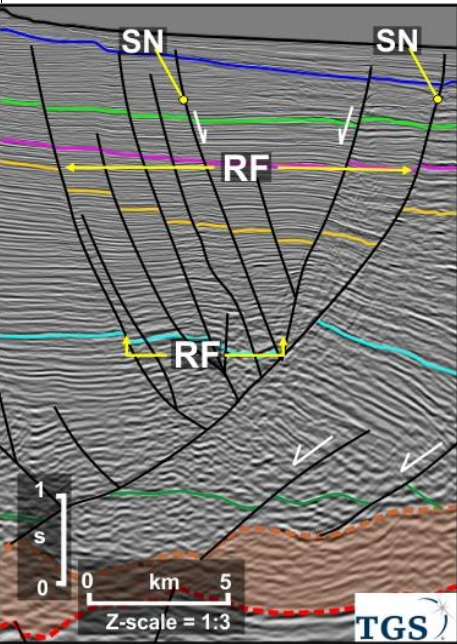
1139 Table 3

Name	Shale Roller (SR)	Shale Anticline (SA)	Mud Diapir (MD)	Mud Volcano (MV)
Example				
Seismic character	Broadly weak, sub-parallel-to-dipping chaotic reflector above mobile shale.	Chaotic, weak-to-moderate, amplitude reflections of mobile shale forming a structural high (label iv) with continuous supra shale reflections.	Cross-cutting chaotic reflections rise from the high (label i), being overlaid by significantly upturn, isolated low-amplitude, chaotic reflections (label ii). The chaotic reflections limited by a transitional zone of weak reflections (label iii).	Conical edifice with some internal reflectivity, usually placed above a deep anticline. Not clear feeders, although high angle normal faults are commonly seen below the mud volcano.
Diagnostic description	An asymmetric, low amplitude triangular profile, comprising of a gentle and a relative steep-dipping flank that bound depocenter. On map view, it is reflected by an elongated thick mobile shale, parallel to the bounding normal fault.	A low amplitude, large wavelength anticline. On map view, it is accompanied by an elongated domain of thick mobile shales.	H2-H3 are pierced by a mud-diapir body. On map view, it is seen as an elliptical domain that is defined by folding that affect H2 and H3.	The reflections form a mounded structure between intra H5 and seabed (label v-vii). On map view, it is reflected by an asymmetric elliptical conical edifice usually seen between H5 and seabed in the north.
Trend	NE-to-N			
Length (km)	≤ 80	≤ 12	≤ 11	≤ 3
Width (km)	≤ 5	≤ 4.3	≤ 2	≤ 1.5
Height (s)	≤ 1.2 (2.8 km)	≤ 0.6 (1.5 km)	≤ 3 (5 km)	≤ 1.9 (2.6 km)
Supra-shale faults association	Rollover and basinward-dipping or counter-regional normal fault with a listric and planar geometry.	N/A	N/A	Normal faults below the mud volcano

<p>Driving mechanism</p>	<p>Reactive piercement (e.g. Hudec and Soto, 2021).</p>	<p>Contraction and/or horizontal flow of mobile shale (c.f. Santos Betancor and Soto, 2015; Back and Morley, 2016).</p>	<p>Piercement produced by fluid migration along fractures, generated above the fold crest (H3-H4) (e.g. Santos Betancor and Soto, 2015; Hudec and Soto, 2021).</p>	<p>Piercement produced by fluid and shale migration along fractures in the lower interval (H5-intra H7) (e.g. Hudec and Soto, 2021), being followed upward by H7-seabed (e.g. Hansen et al., 2005).</p>
<p>Key: (H7) Upper Pleistocene (H6) Lower Pleistocene (H5) Pliocene (H4) Upper most Miocene (H3) Upper Miocene (H2) Middle Miocene Top Mobile Shale (TMB) (H1) Early Miocene</p>				

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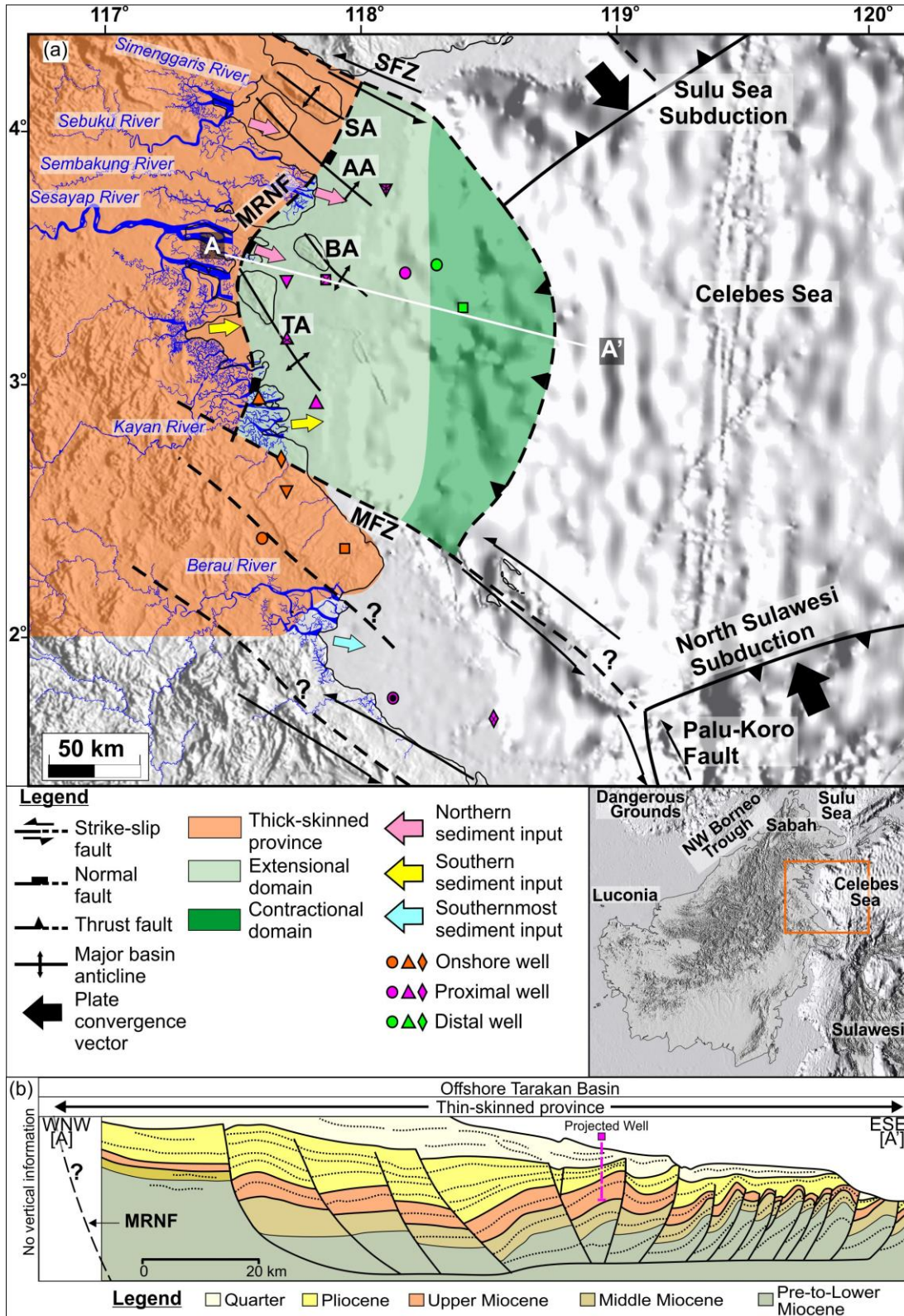
1141 Table 4

Name	Example	Diagnostic description	Dip/ Plunge direction	Length (km)	Dip (°)	Max. throw (s)	Fault	Stratigraphic Architecture	Processes
Shale-detached normal fault (labelled SN)		<p>Listric or planar normal growth faults: Listric faults are detached along a surface below nearly horizontal stratum. In map view, they have both concave and convex geometries.</p>	Basinward and landward (counter-regional)	≤ 74	30-86	≤ 2.8	Normal offset generally at H2-H6. The lower fault tips are within the mobile shales	SU1-SU6 thicken toward fault plane, forming a fault-bound depocenter.	<p>Reactive piercement (e.g. Morley and Guerin, 1996; Hudec and Soto, 2021).</p> <p>Progressive prograding sedimentary loading (sedimentary-driven mechanism; e.g. Ge et al., 1997; Jackson et al., 2015, Back and Morley, 2016)</p>
		<p>Planar normal faults form symmetric and asymmetric grabens, above roll-over folds. In map view, the faults are parallel to the master listric faults.</p>	Basinward and landward	≥ 1.9	60-85	≤ 0.1	Normal offset at H2-H6. The lower fault tips either die out downward into the supra shale sequence or form a	SU3-SU6 subtle thicken toward fault plane	<p>Outer arc bending due to folding (e.g. Hongbin and Suppe, 1992; Imber et al, 2003; Erdi and Jackson, 2021).</p>

							physical-linkage with the listric fault		
Rollover fold (labelled RF)		Anticlines affecting an asymmetric wedge thickening toward the master fault. In map view, they are characterized by a broad-to-wide geometry (i.e. ratio of amplitude and half-wavelength=0.21-0.53) where axial fold surface are oriented parallel to the shale-detached normal fault. Locally they have oblique linkage folds.	Basinward and landward	≤ 43	≤ 8 away and ≤ 13 toward master faults	N/A	N/A	SU2-5 thicken and bend toward the listric fault, and commonly thin outward.	Differential rate between sedimentation and fault slip (e.g. Dula, 1991; Hongbin and Suppe, 1992; Imber et al, 2003; Fazlikhani and Back, 2015).

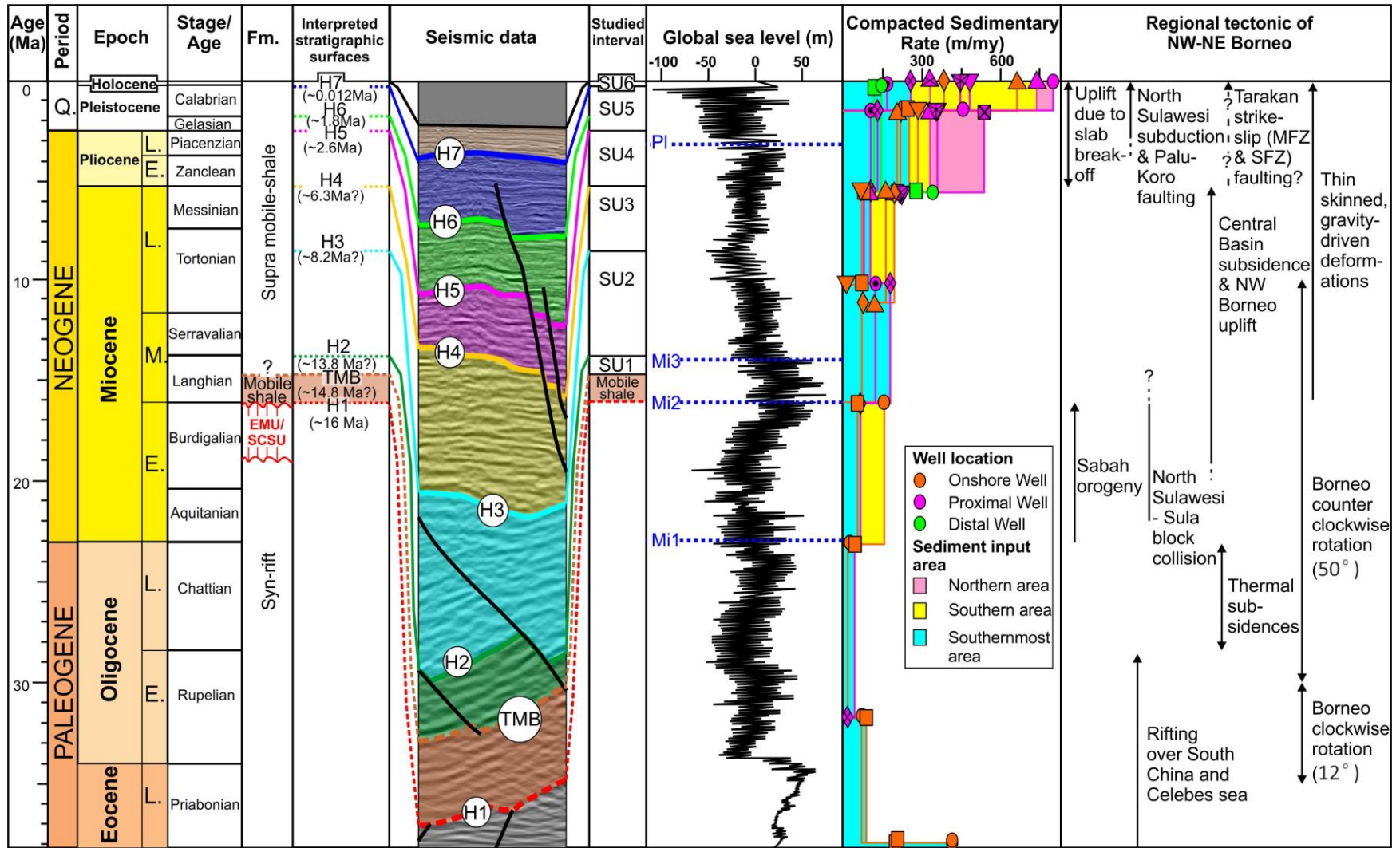
Key: (H7) Upper Pleistocene (H6) Lower Pleistocene (H5) Pliocene (H4) Uppermost Miocene (H3) Upper Miocene (H2) Middle Miocene Top Mobile Shale (TMB) (H1) Early Miocene

1143 Figures  
 1144 Figure 1



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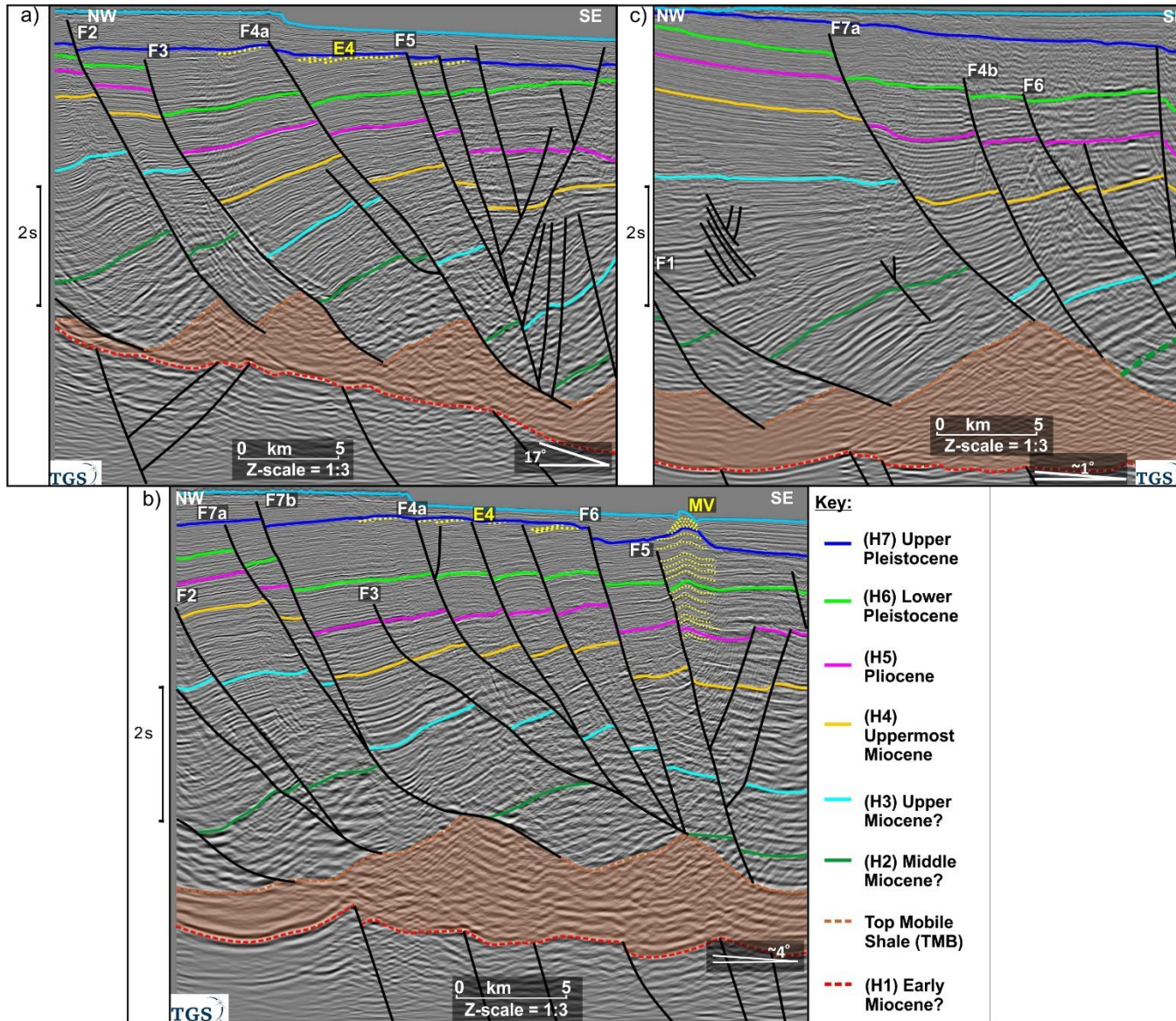
1146 Figure 2



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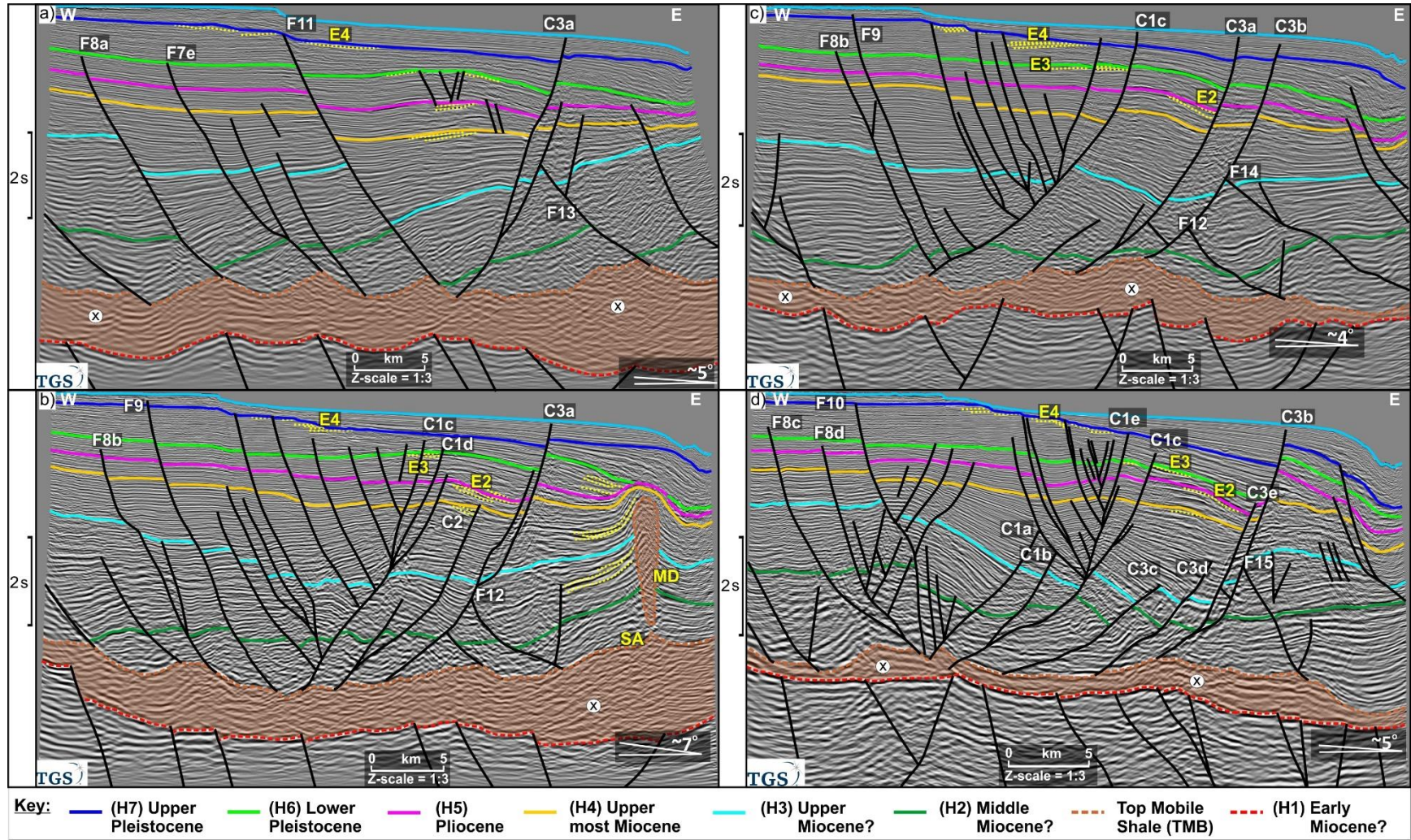


1148 Figure 3



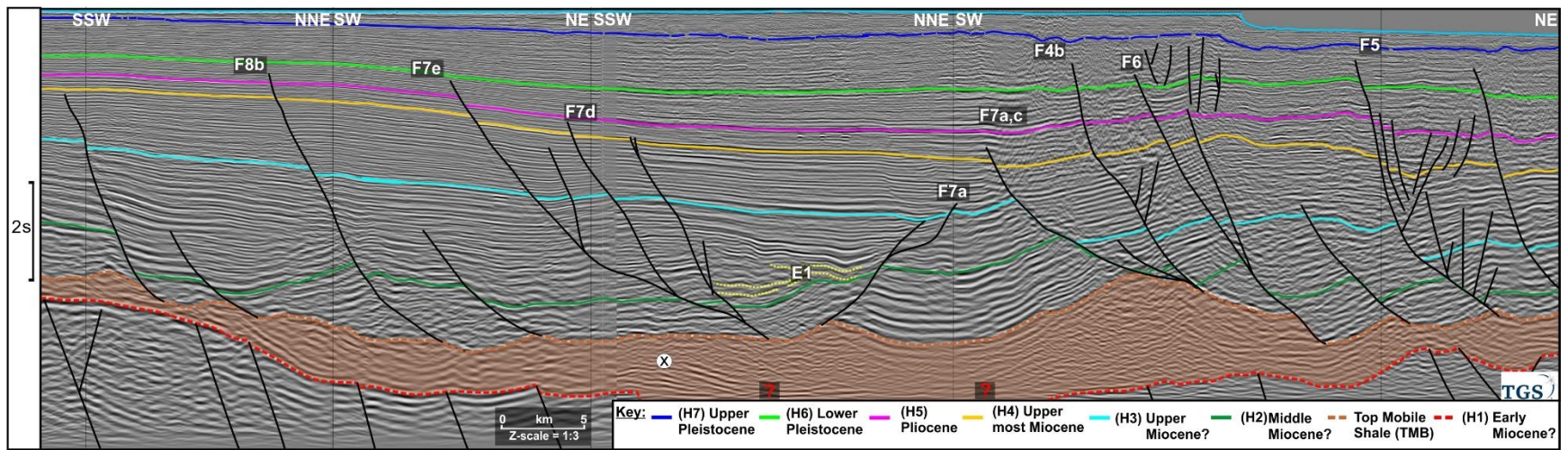
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1150 Figure 4

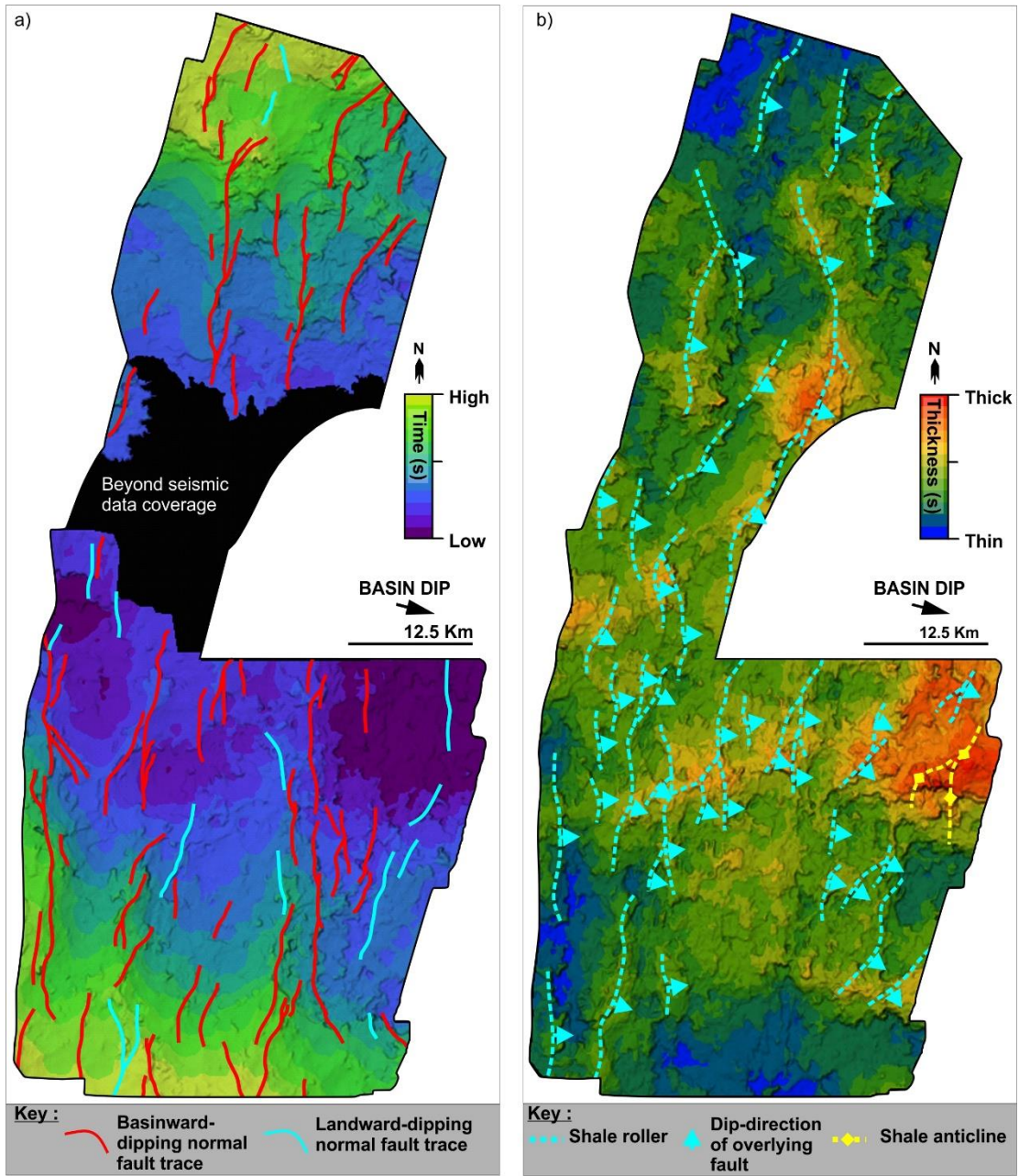


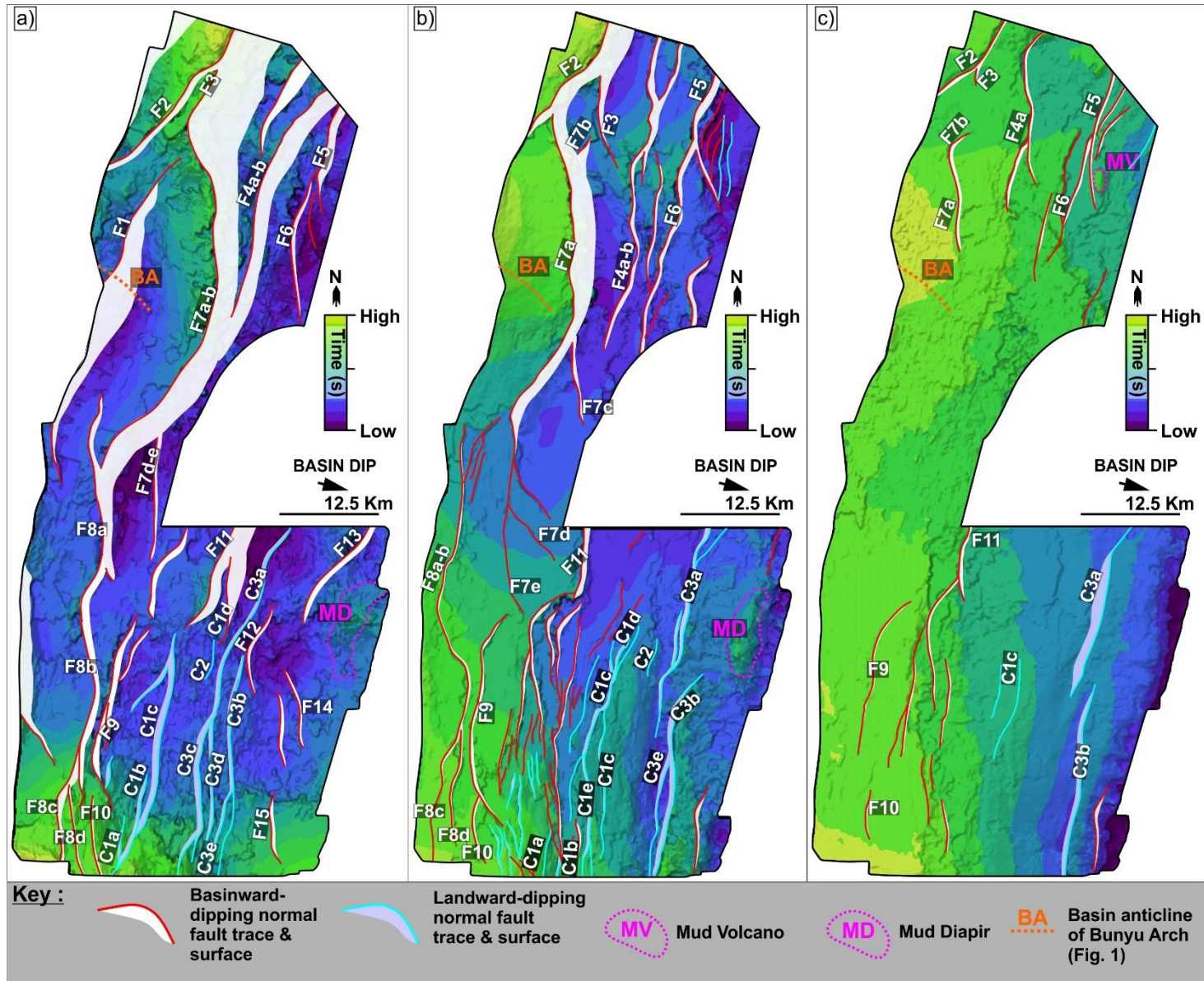
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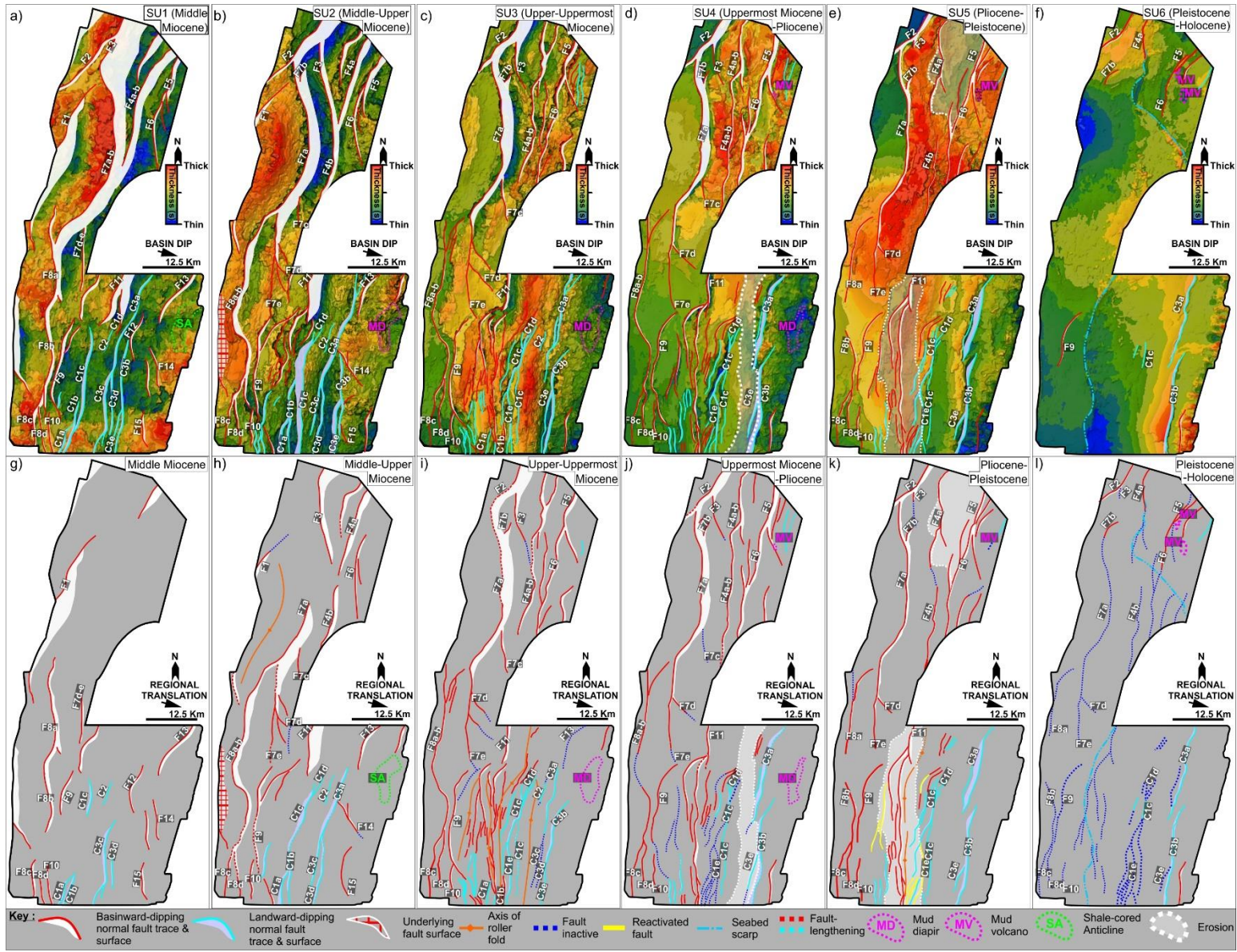
1152 Figure 5



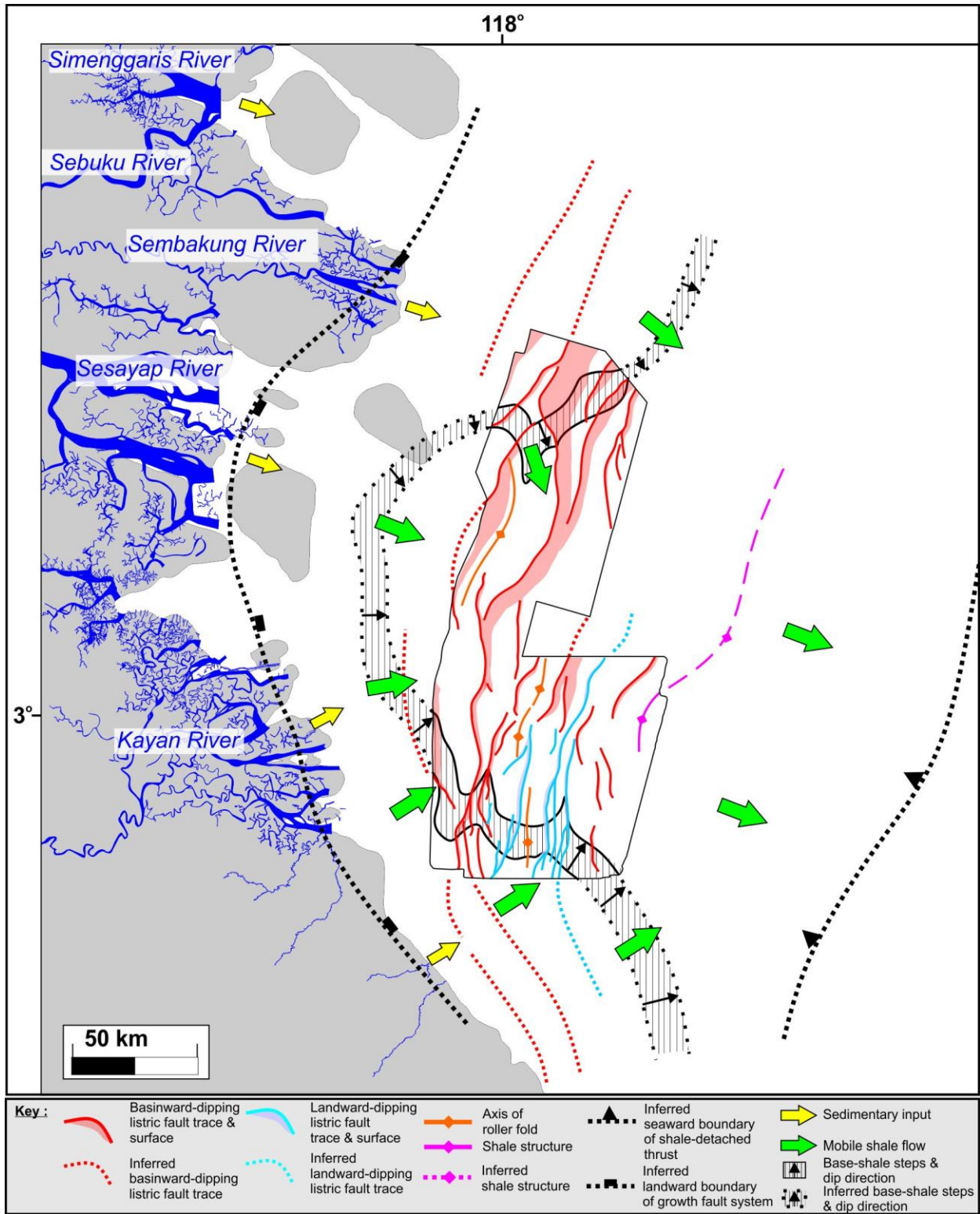
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1160 Figure 9



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Supporting Information for:

**DEFORMATION OF A SHALE-DOMINATED DELTA: TARAKAN BASIN, OFFSHORE INDONESIA**

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<sup>2</sup>*National Research and Innovation Agency (BRIN), Indonesia*

<sup>3</sup>*Bureau of Economic Geology, Jackson School of Geosciences, The University of Texas at Austin, University Station, Box X, Austin, Texas, 78713-8924, USA*

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**Content of this file**

Table S1. A larger version of Table 3 in main text, showing summary of the diagnostic seismic characteristic of the shale structures between shelf-edge and upper slope of Tarakan Basin, offshore Indonesia. Seismic data courtesy of TGS.

Table S2. A larger version of Table 4 in main text, showing summary of principal characteristics of the supra-shale faults in the area between shelf-edge and upper slope of Tarakan Basin, offshore Indonesia. Seismic data courtesy of TGS.

Appendix S1. Uninterpreted seismic cross-sections



1187 Figure S1. (a-c) Uninterpreted margin-perpendicular (parallel to regional base-mobile  
1188 shale and bulk translation direction) seismic profiles of figure 3 in main text across  
1189 northern area. Seismic data courtesy of TGS.

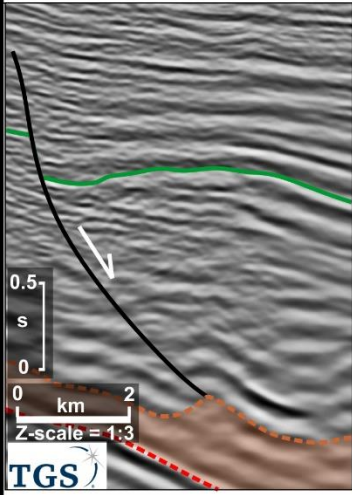
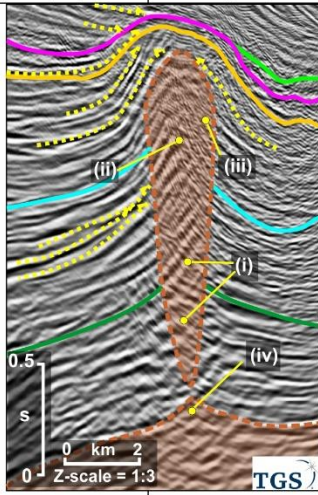
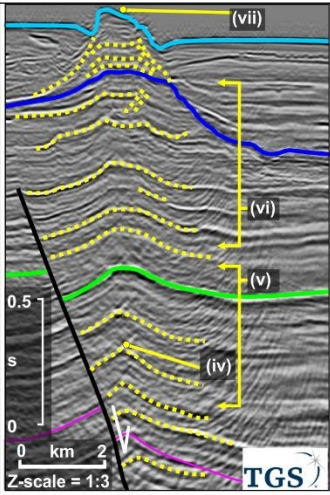
1190 Figure S2. (a-d) Uninterpreted margin-perpendicular (parallel to regional base-mobile  
1191 shale and bulk translation direction) seismic profiles of figure 4 in main text across  
1192 southern area. Seismic data courtesy of TGS.

1193 Figure S3. Margin-parallel (i.e. normal to regional base-mobile shale and bulk  
1194 translation direction) seismic profiles of figure 5 in main text. Seismic data courtesy of  
1195 TGS.

1196 Appendix S2. A large version of isopach and interpretative sketch maps

1197 Figure S4. (a-l) A larger version of figure 8 in main text, showing overburden isopach  
1198 and their interpretative sketch maps that illustrate evolution of shale and supra-shale  
1199 structures during Middle Miocene until Holocene.

Table S 1. A larger version of Table 3 in main text, showing summary of the diagnostic seismic characteristic of the shale structures between shelf-edge and upper slope of Tarakan Basin, offshore Indonesia.

Name	Shale roller (SR)	Shale anticline (SA)	Mud diapir (MD)	Mud volcano (MV)				
Example								
Seismic character	Broadly weak, sub-parallel-to-dipping chaotic reflections above mobile shale.	Chaotic, weak-to-moderate, amplitude reflections of mobile shale forming a structural high (label iv) with continuous supra shale reflections.	Cross-cutting chaotic reflections rise from the high (label i), being overlaid by significantly upturn, isolated low-amplitude, chaotic reflections (label ii). The chaotic reflections limited by a transitional zone of weak reflections (label iii).	Conic edifice with some internal reflectivity, usually placed above a deep anticline. Not clear feeders although high angle normal faults are commonly seen below the mud volcano.				
Diagnostic description	An asymmetric, low amplitude triangular profile, comprising of a gentle and a relative steep-dipping flank that bound depocentre. On map view, it is reflected by an elongated thick mobile shale, parallel to the bounding normal fault.	Low amplitude, a large wavelength anticline. On map view, it is accompanied by an elongated domain of thick mobile shales.	H2-H3 are pierced by a mud-diapir body. On map view, it is seen as an elliptical domain that is defined by folding that affect H2 and H3.	The reflections form a mounded structure between intra H5 and seabed (label v-vii). On map view, it is reflected by an asymmetric elliptical conical edifice usually seen between H5 and seabed in the north.				
Trend	NE-to-N							
Length (km)	≤ 80	≤ 12	≤ 11	≤ 3				
Width (km)	≤ 5	≤ 4.3	≤ 2	≤ 1.5				
Height(s)	≤ 1.2 (2.8 km)	≤ 0.6 (1.5 km)	≤ 3 (5 km)	≤ 1.9 (2.6 km)				
Supra-shale association	Rollover and basinward- or landward-dipping normal fault with a listric and planar geometry.	N/A	N/A	Normal faults below the mud volcano				
Driving mechanism	Reactive piercement (e.g. Hudec and Soto, 2021).	Contraction and/or horizontal flow of mobile shale (c.f. Santos Bentancor and Soto, 2015; Back and Morley, 2016).	Piercement produced by fluid migration along fractures, generated above the fold crest (H3-H4) (e.g. Santos Bentancor and Soto, 2015; Hudec and Soto, 2021).	Piercement produced by fluid and shale migration along fractures in the lower interval (H5-intra H7) (e.g. Hudec and Soto, 2021), being followed upward by H7-seabed (e.g. Hansen et al, 2005).				
Key:	(H7) Upper Pleistocene	(H6) Lower Pleistocene	(H5) Pliocene	(H4) Upper most Miocene	(H3) Upper Miocene	(H2) Middle Miocene	Top Mobile Shale (TMB)	(H1) Early Miocene

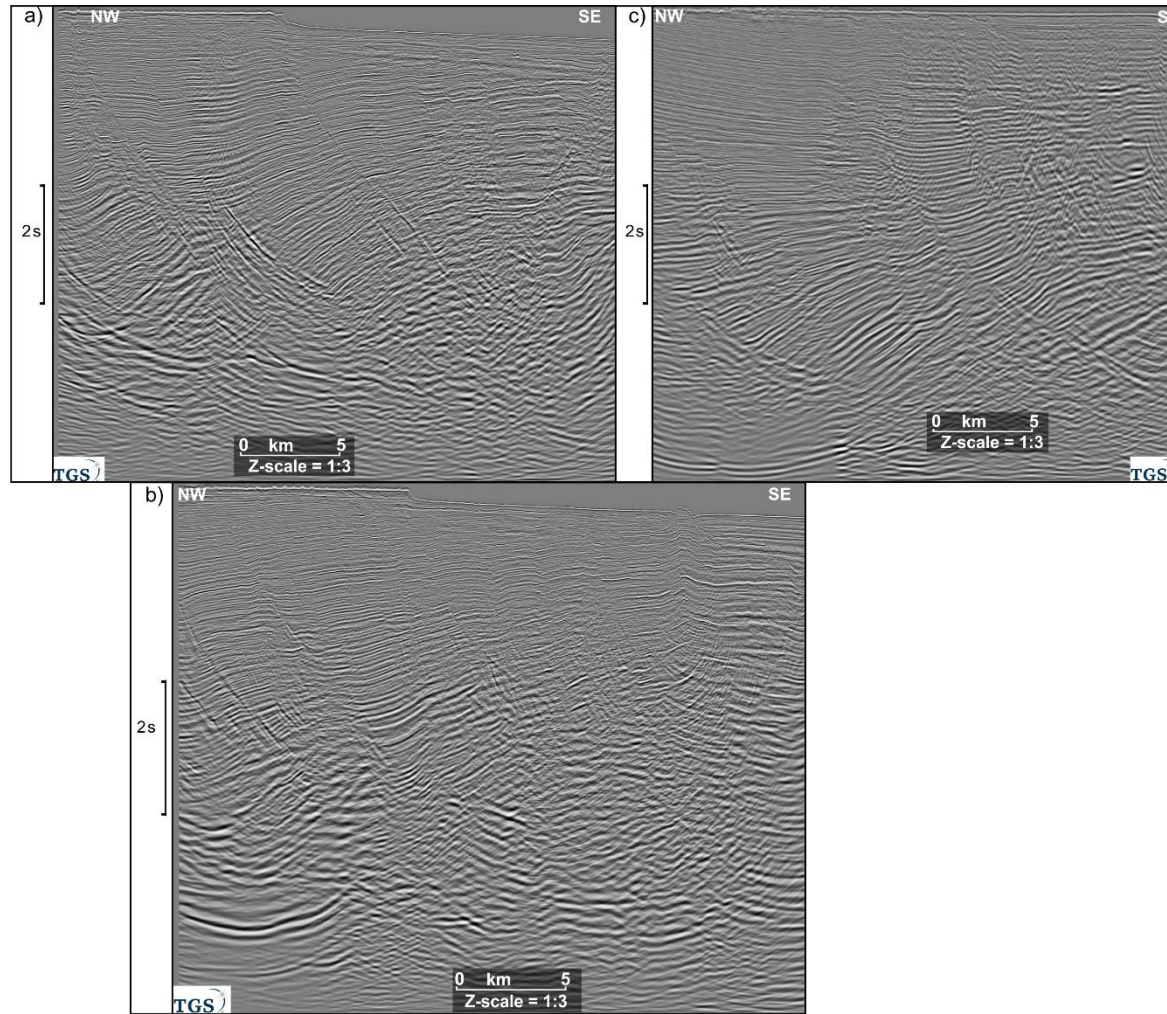
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Table S 2. A larger version of Table 4 in main text, showing summary of principal characteristics of the supra-shale faults in the area between shelf-edge and upper slope of Tarakan Basin, offshore Indonesia.

Name	Example	Diagnostic description	Dip/Plunge direction	Length (km)	Dip (o)	Max. throw (s)	Fault	Strati-graphic architecture	Processes
Shale-detached normal fault (labeled SN)		<p>Listric or planar normal growth faults: Listric faults are detached along a surface below nearly horizontal strata. In map view, they have both concave and convex geometries.</p>	Basinward and landward (counter-regional)	≤ 74	30-86	≤ 2.8	Normal offset generally at H2-H6. The lower fault tips are within the mobile shales	SU1-SU6 thicken toward fault plane, forming a fault-bound depocenter.	Reactive piercement (e.g. Morley and Guerin, 1996; Hudec and Soto, 2021). Progressive prograding sedimentary loading (sedimentary-driven mechanism; e.g. Ge et al., 1997; Jackson et al., 2015; Back and Morley, 2016)
		<p>Planar normal faults form symmetric and asymmetric grabens, above roll-over folds. In map view, the faults are parallel to the master listric faults.</p>	Basinward and landward	≥ 1.9	60-85	≤ 0.1	Normal offset at H2-H6. The lower fault tips either die out downward into the supra shale sequence or form a physical-linkage with the listric fault	SU3-SU6 subtle thicken toward fault plane	Outer arc bending due to folding (e.g. Hongbin and Suppe, 1992; Imber et al, 2003; Erdi and Jackson, 2021).
Rollover fold (labelled RF)		<p>Anticlines affecting an asymmetric wedge thickening toward the master fault. In map view, they are characterized by a broad-to-wide geometry (i.e. ratio of amplitude and half-wavelength=0.21-0.53) where axial fold surface are oriented parallel to the shale-detached normal fault. Locally they have oblique linkage folds.</p>	Basinward and landward	≤ 43	≤ 8 away and ≤ 13 toward master faults	N/A	N/A	SU2-5 thicken and bend toward the listric fault, and commonly thin outward.	Differential rate between sedimentation and fault slip (e.g. Dula, 1991; Hongbin and Suppe, 1992; Imber et al, 2003; Fazlikhani and Back, 2015).

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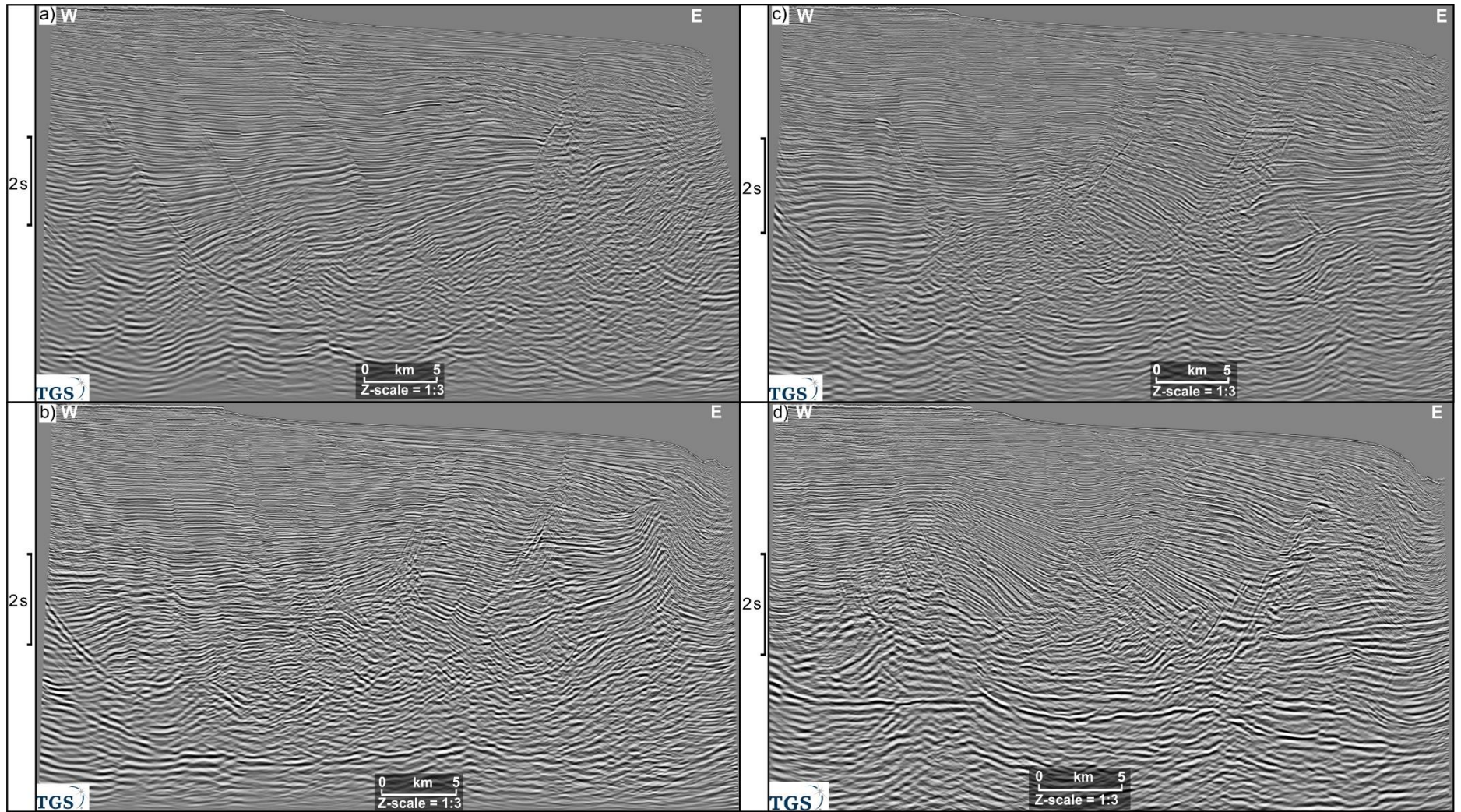
1204 **Appendix S1. Uninterpreted seismic cross-sections**



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Figure S 1. (a-c) Uninterpreted margin-perpendicular (parallel to regional base-mobile shale and bulk translation direction) seismic profiles of figure 3 in main text across northern area.

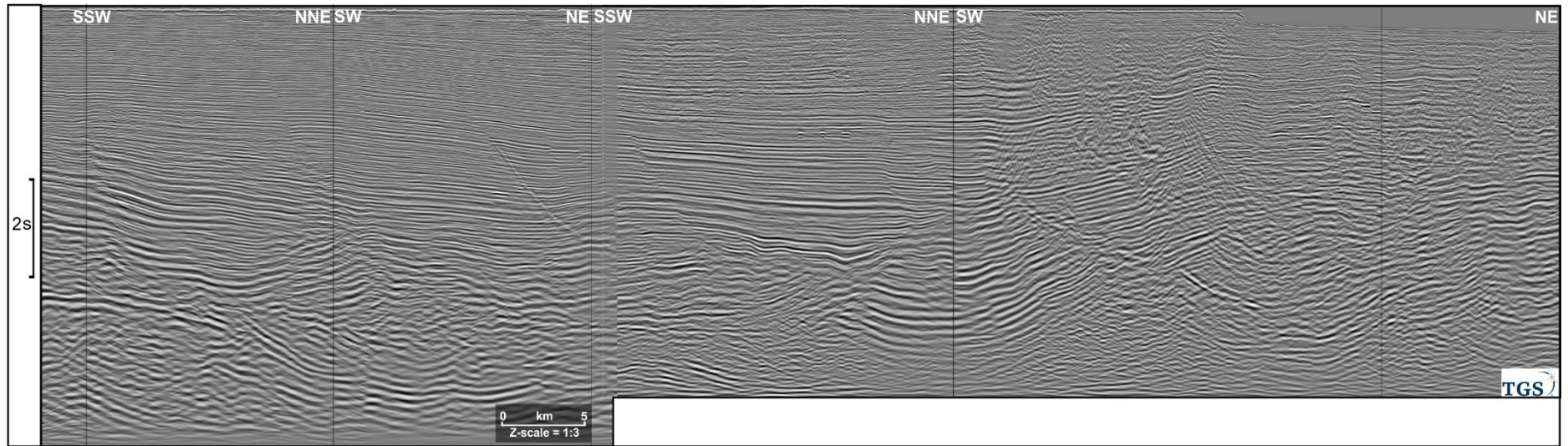


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Figure S 2. (a-d) Uninterpreted margin-perpendicular (parallel to regional base-mobile shale and bulk translation direction) seismic profiles of figure 4 in main text across southern area.

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Figure S 3. Margin-parallel (i.e. normal to regional base-mobile shale and bulk translation direction) seismic profiles of figure 5 in main text.

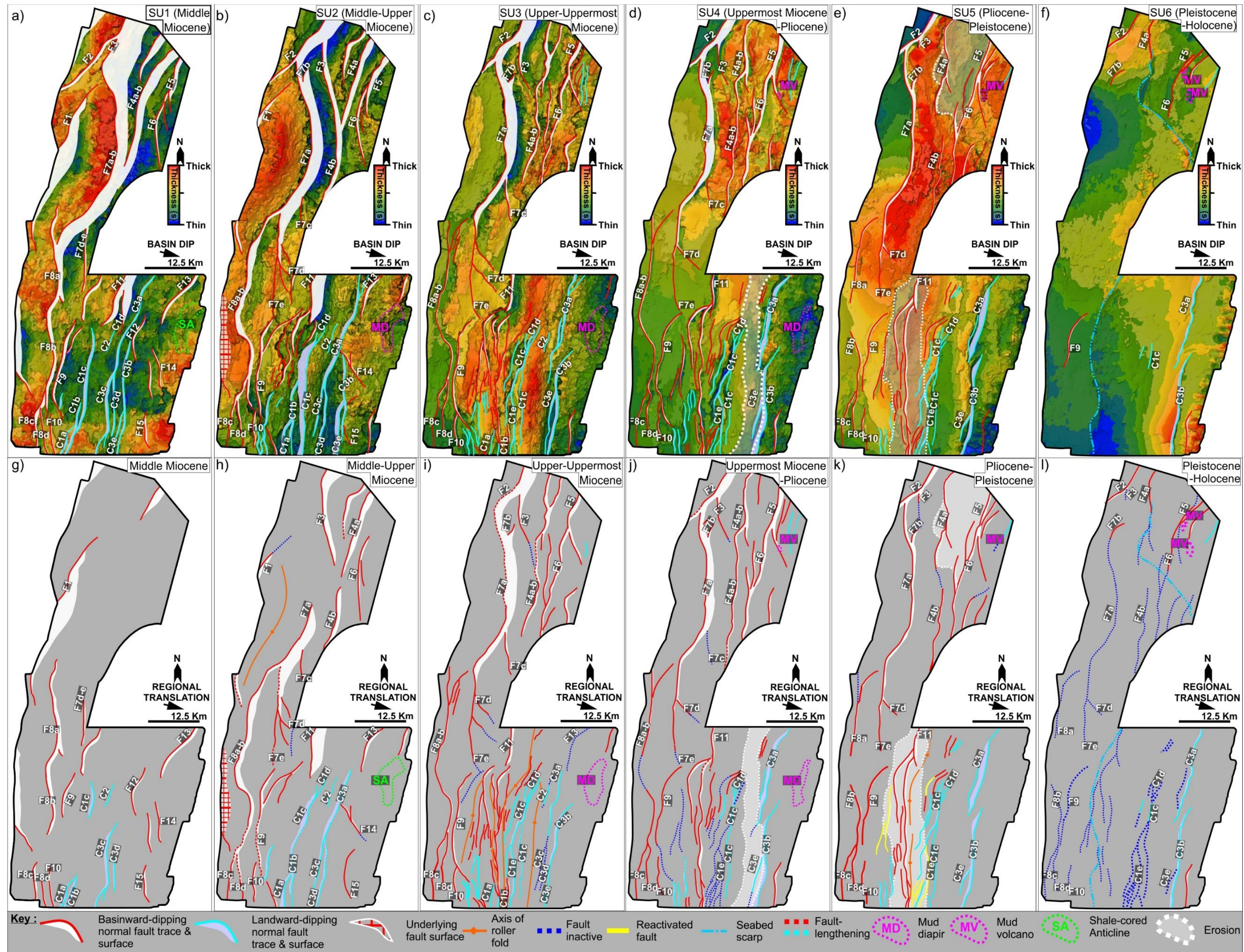


Figure S.4. (a-l) A larger version of figure 8 in main text, showing overburden isopach and their interpretative sketch maps that illustrate evolution of shale and supra-shale structures during Middle Miocene until Holocene.