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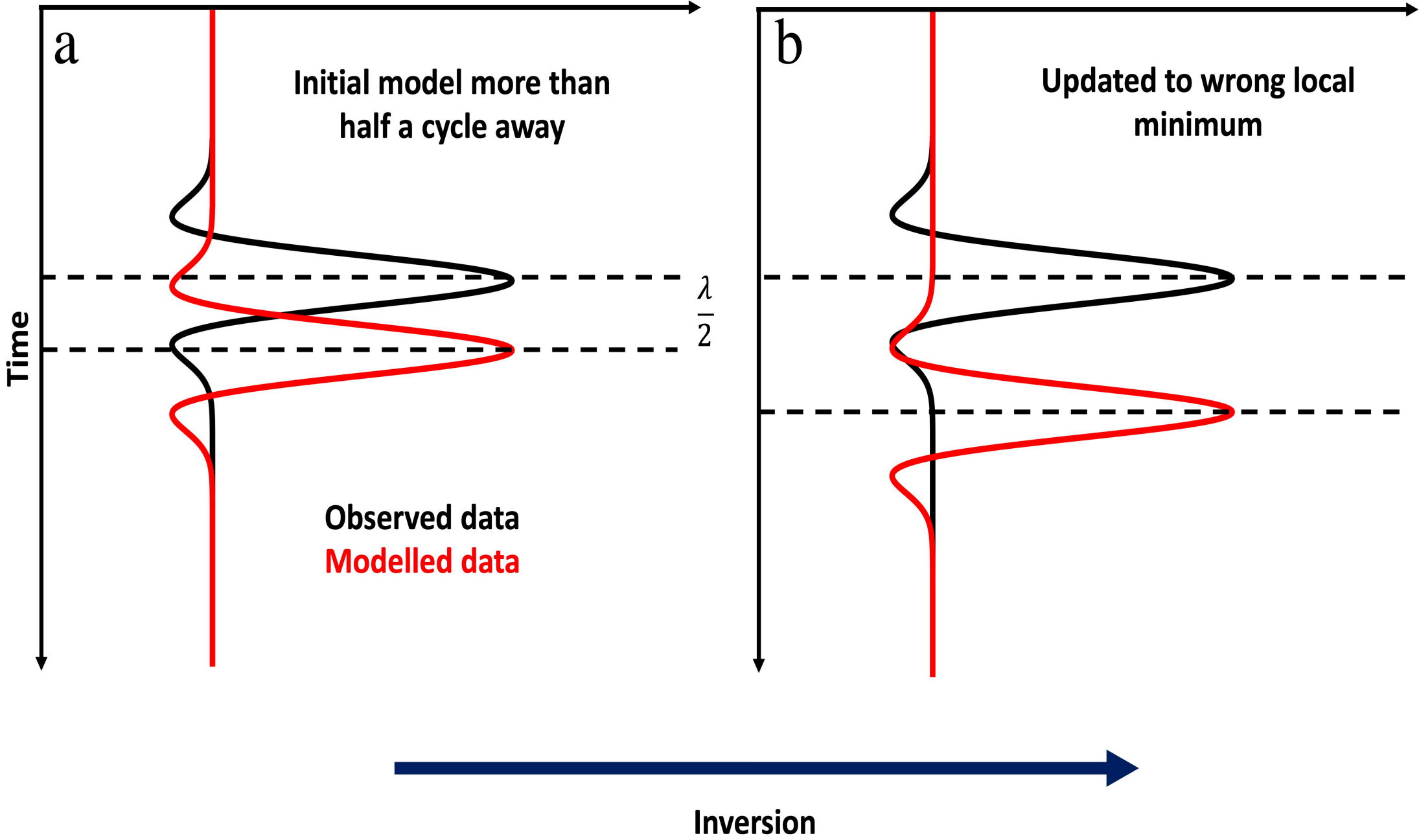
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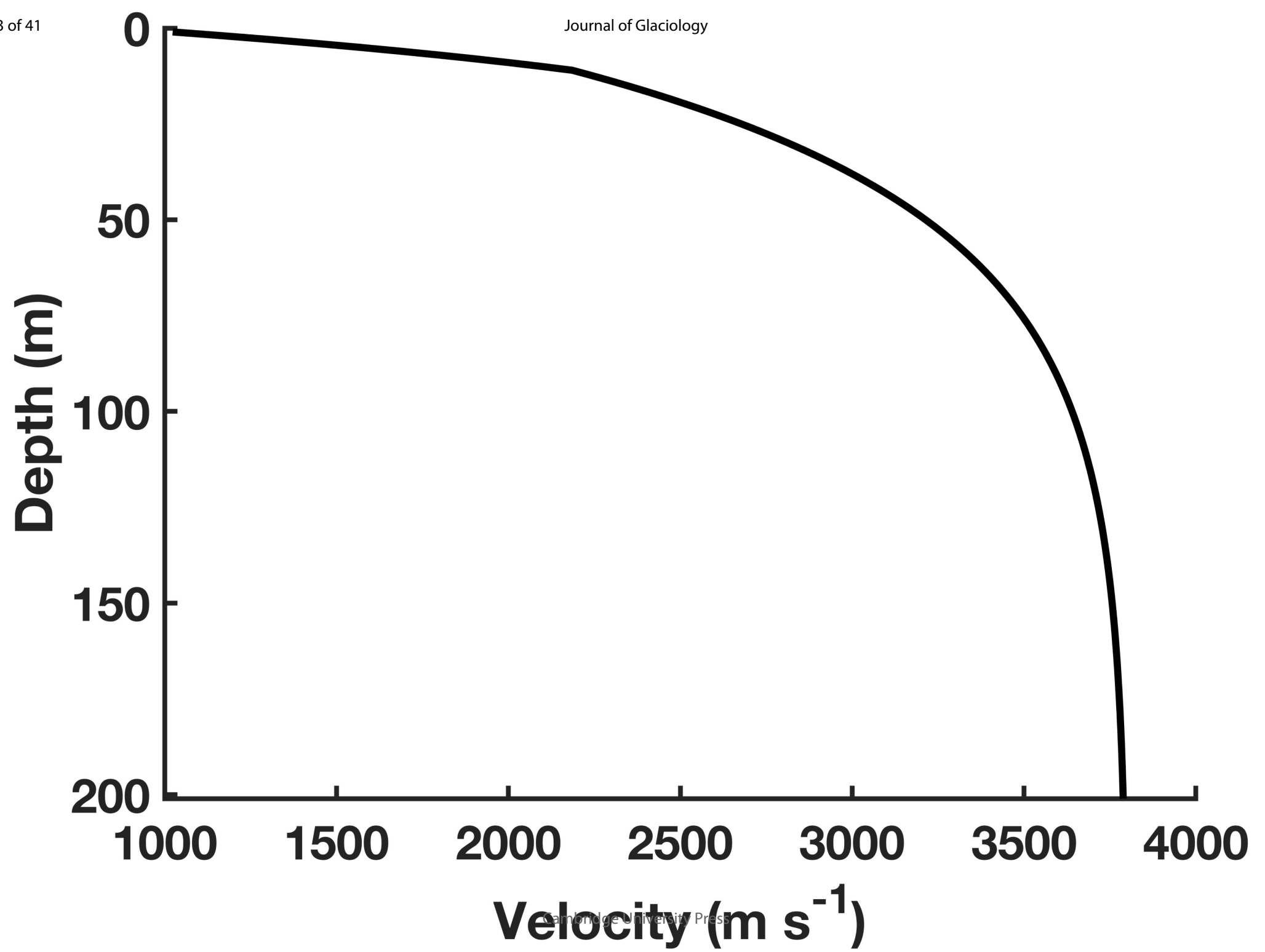
Characterising Ice Slabs in Firn Using Seismic Full Waveform Inversion

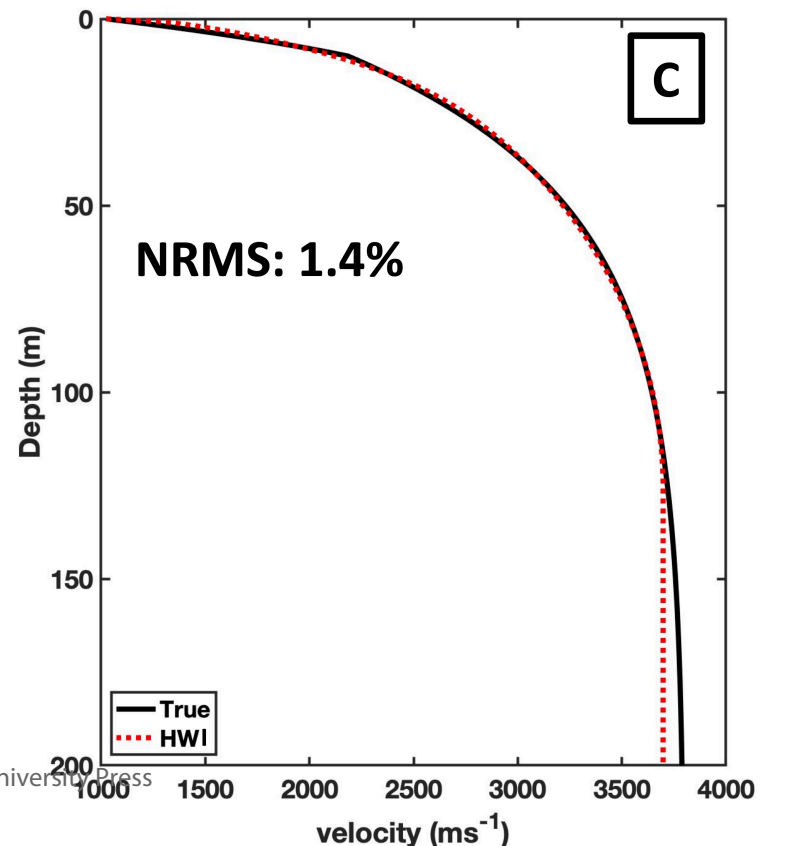
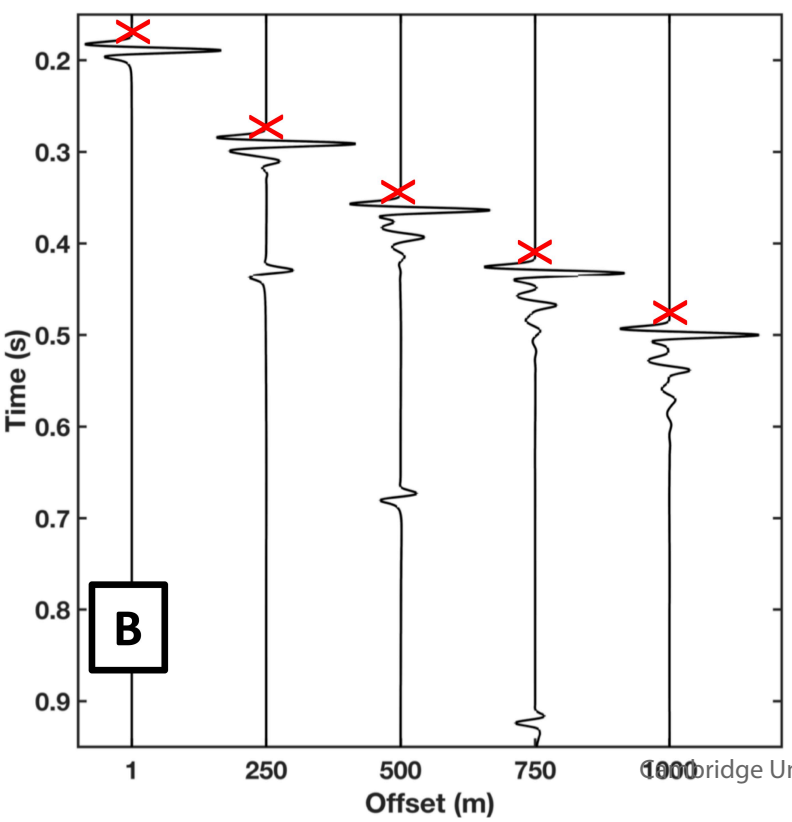
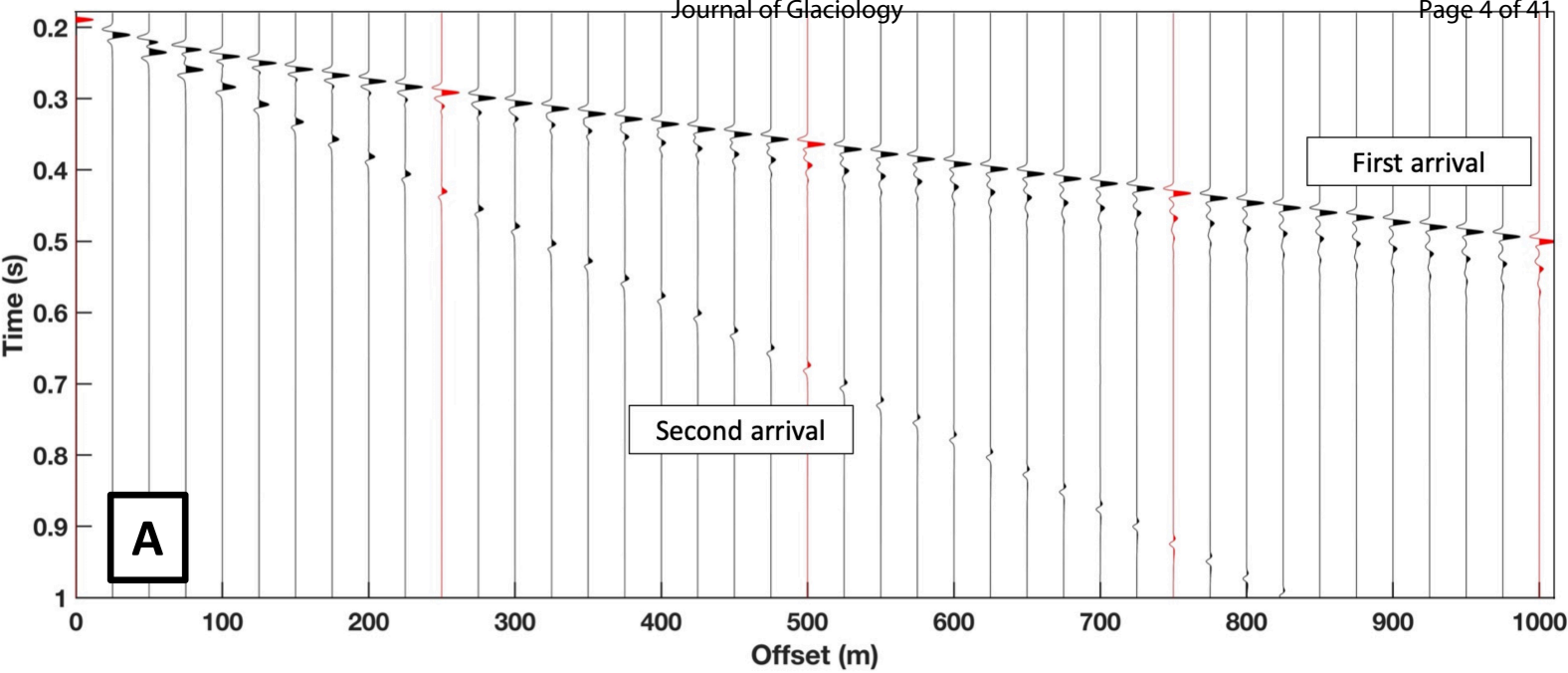
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Abstract:	The density structure of firn has implications for hydrological and climate modelling, and ice shelf stability. The structure of firn can be evaluated from depth models of seismic velocity, widely obtained with Herglotz-Wiechert Inversion (HWI), an approach that considers the slowness of refracted seismic arrivals. However, HWI is strictly appropriate only for steady-state firn profiles and the inversion accuracy can be compromised

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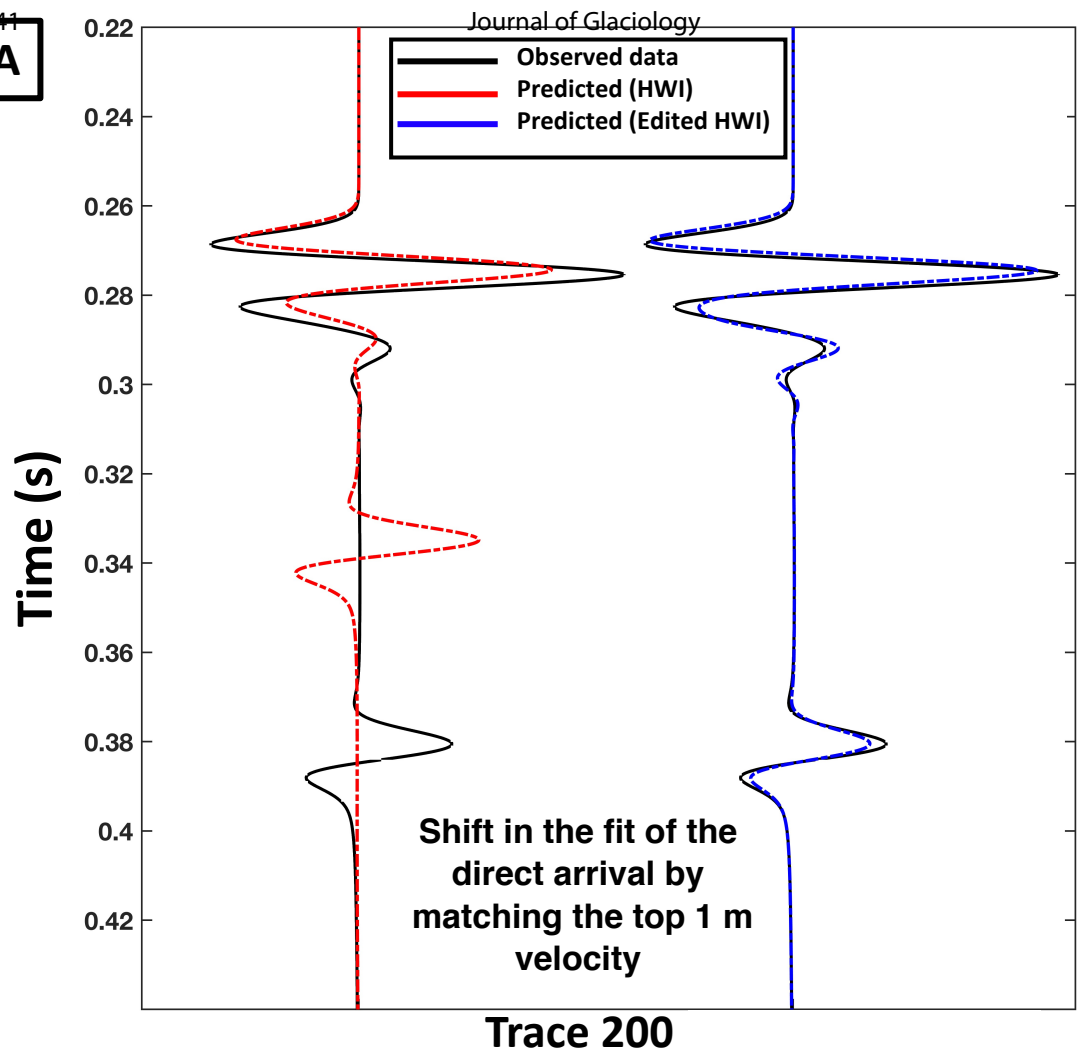
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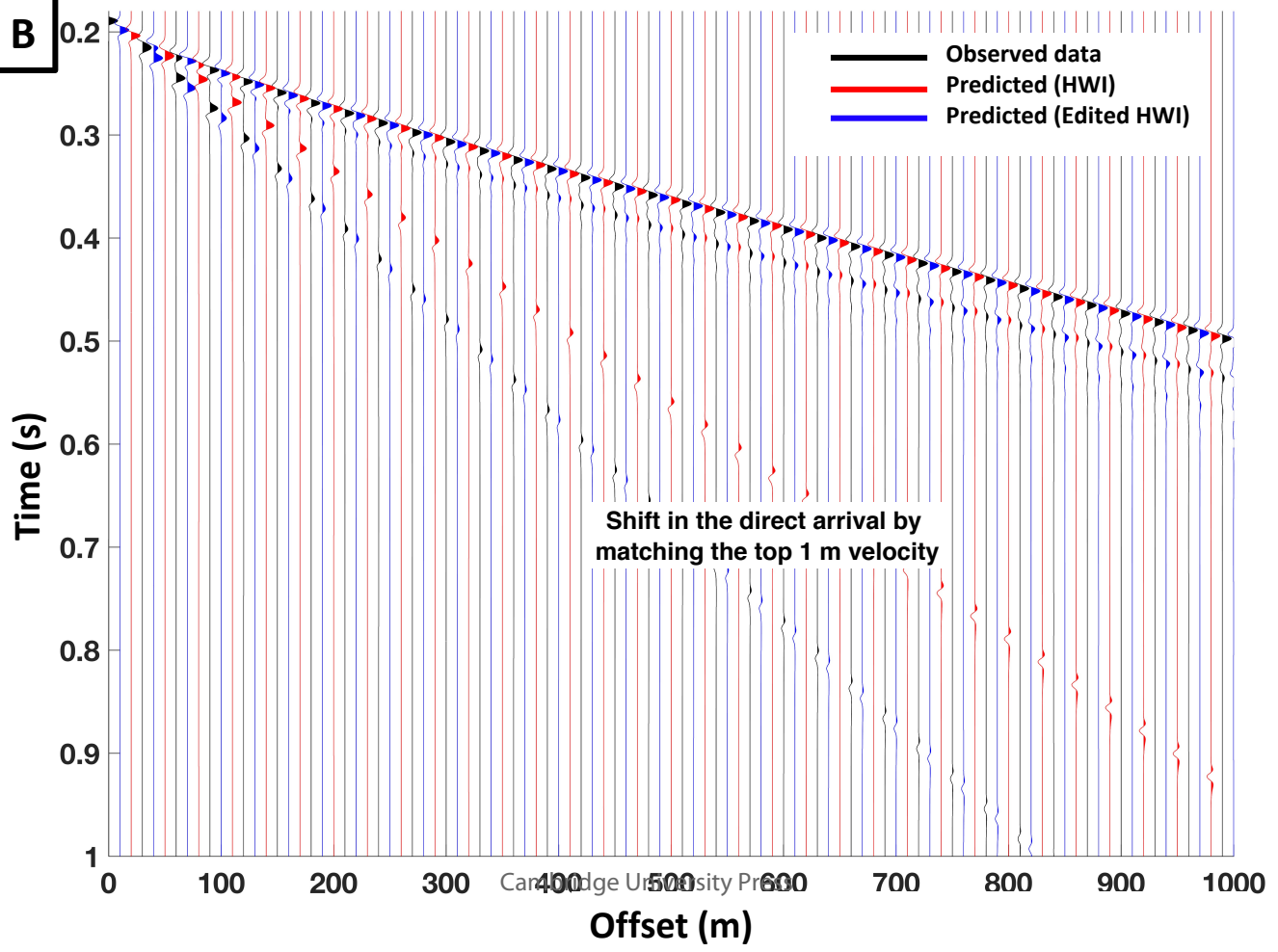


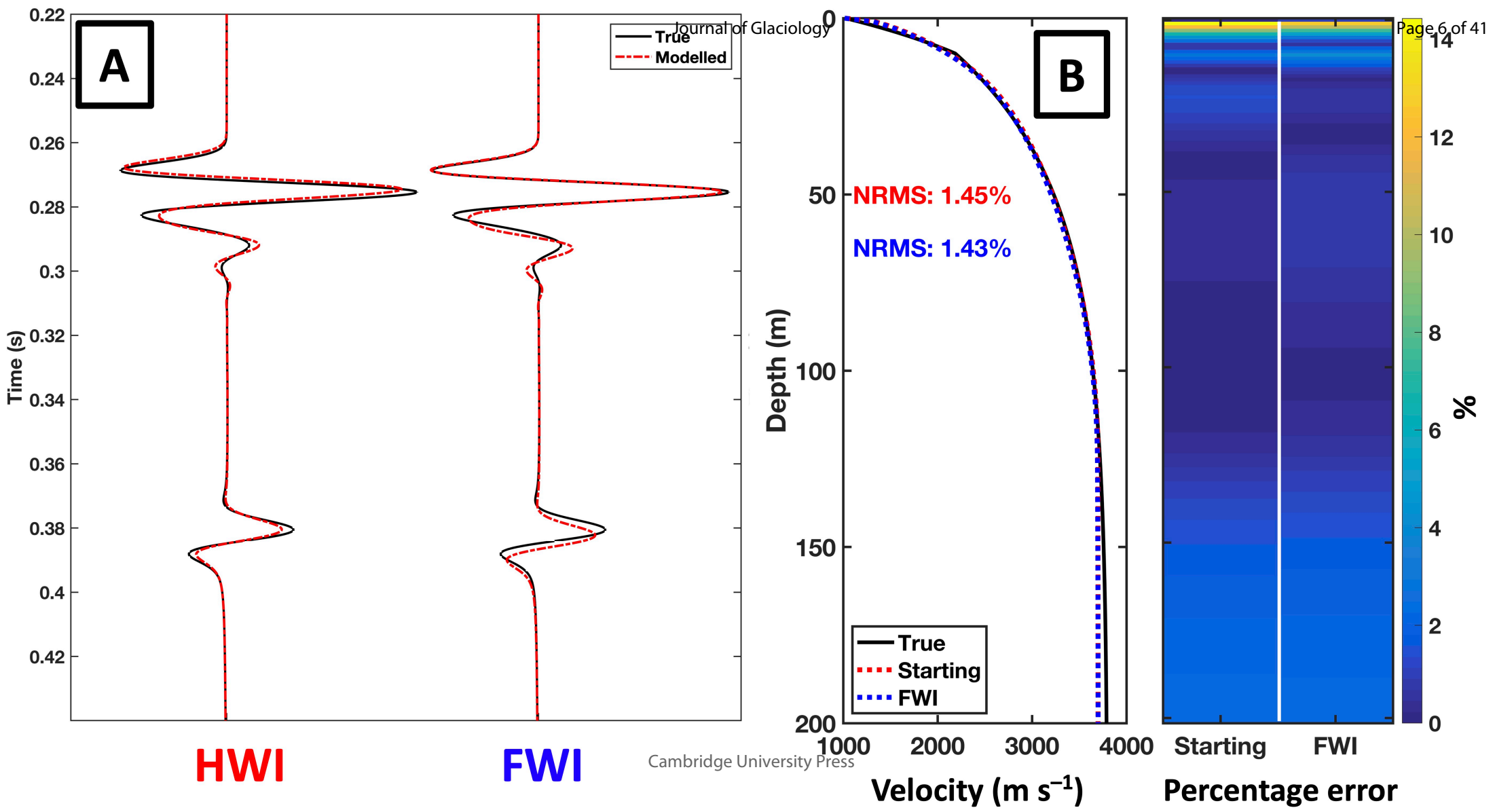


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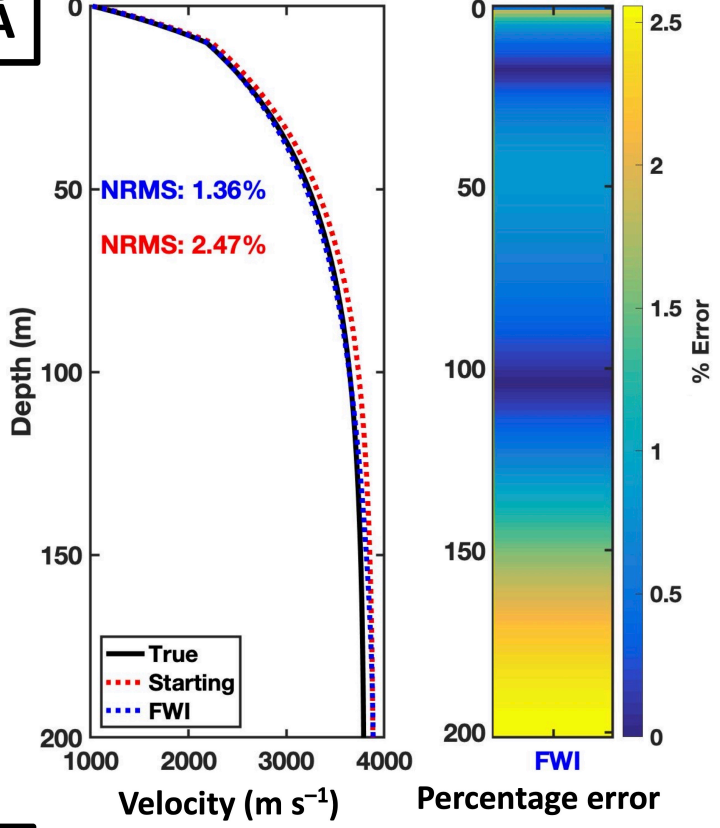


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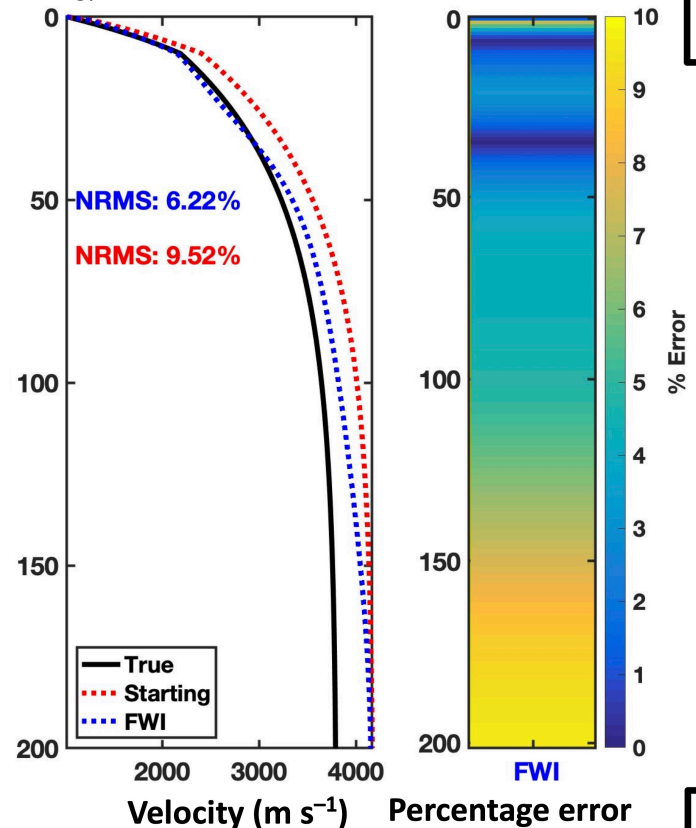




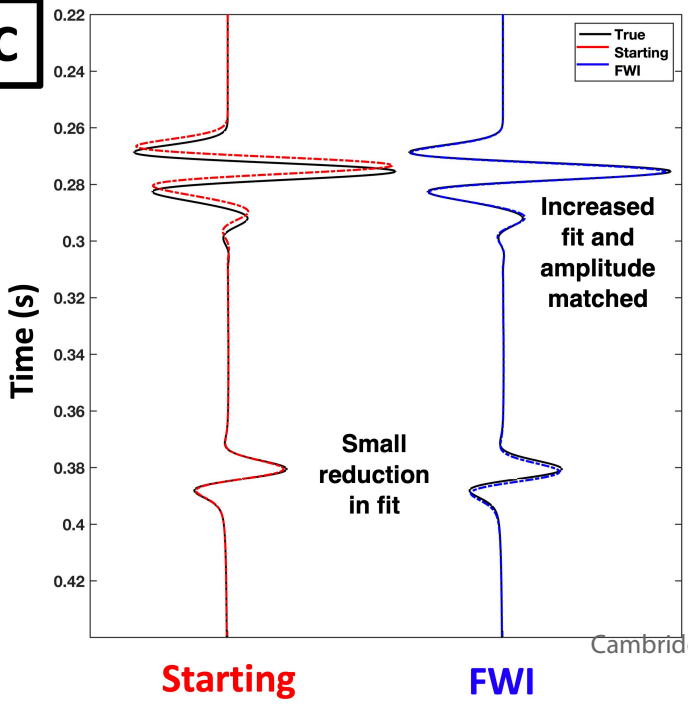
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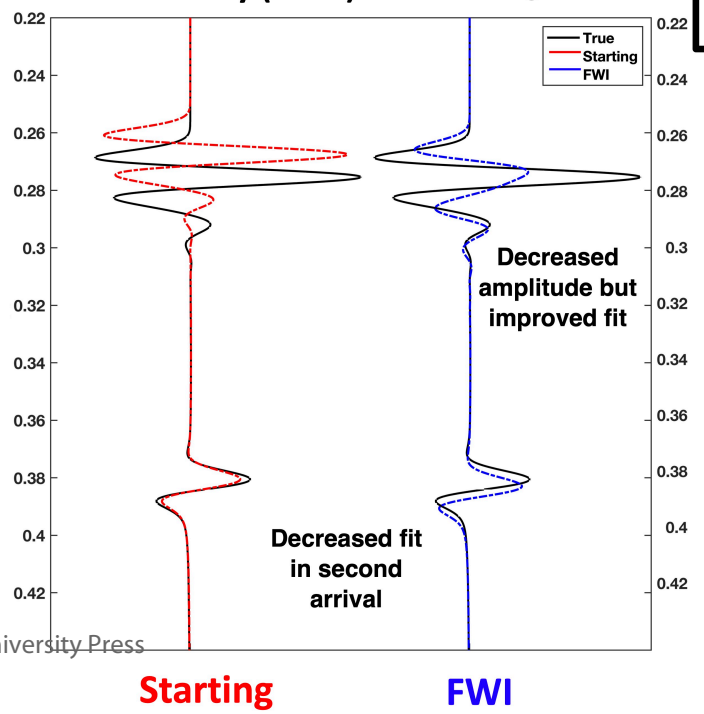
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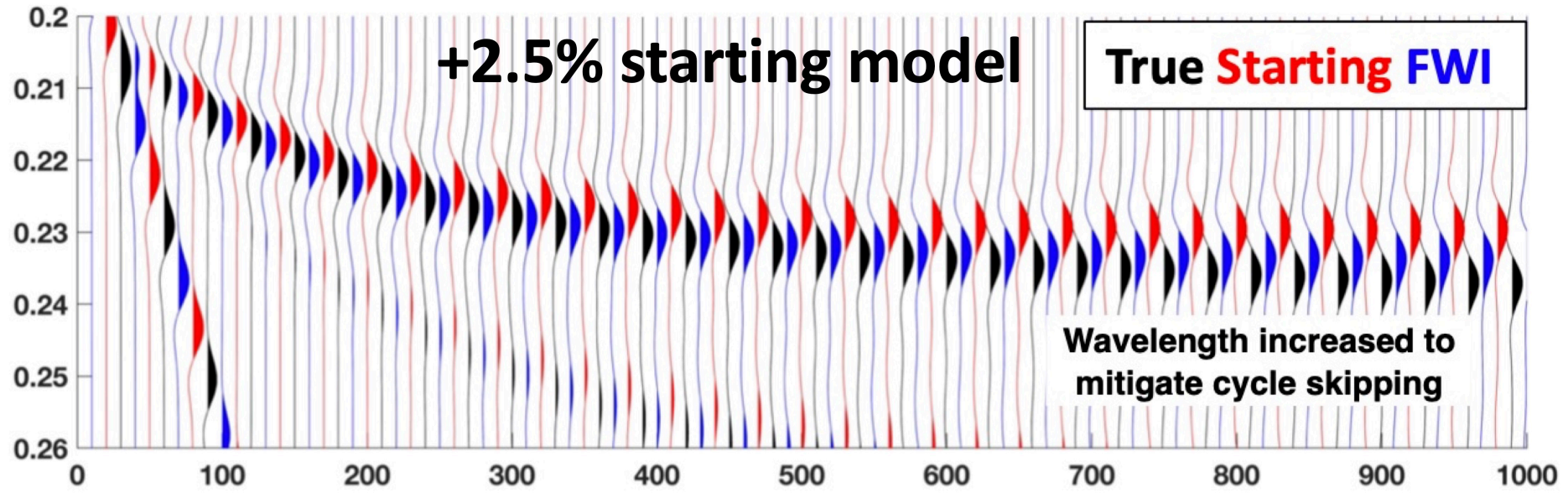
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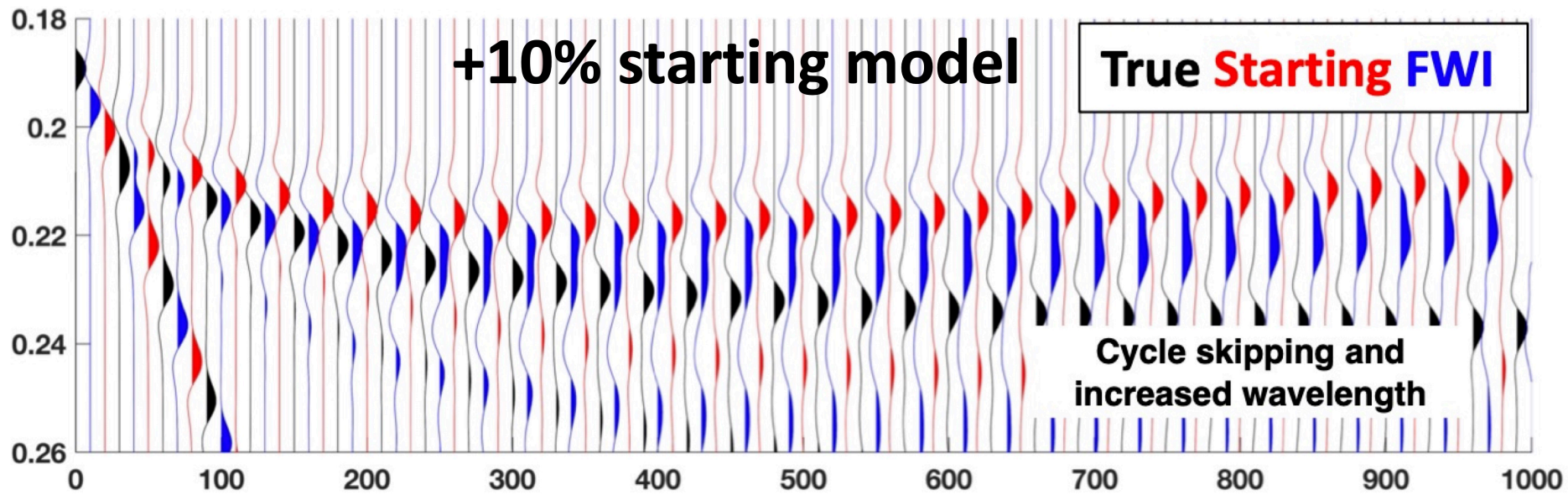
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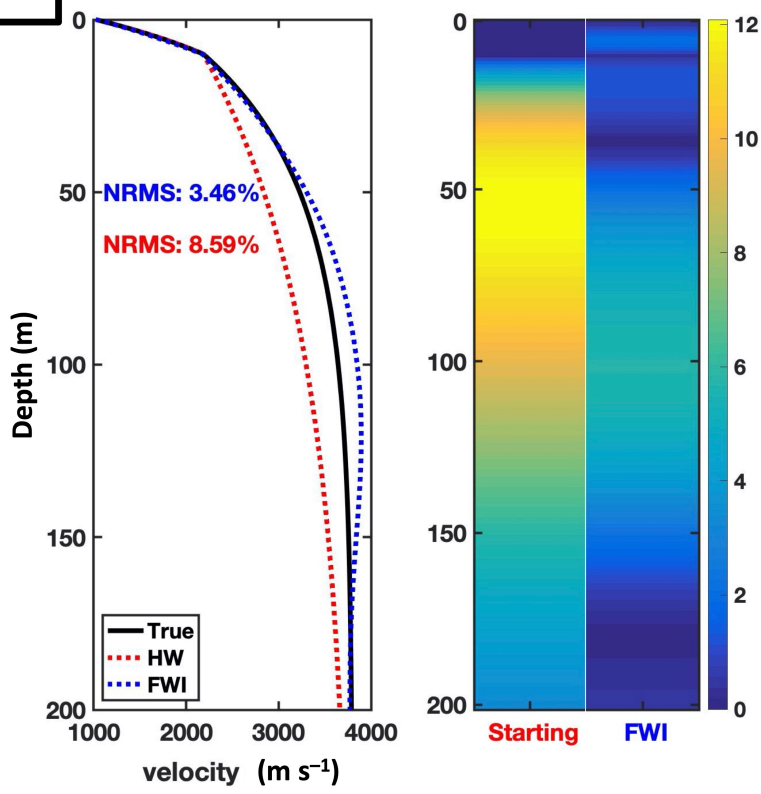
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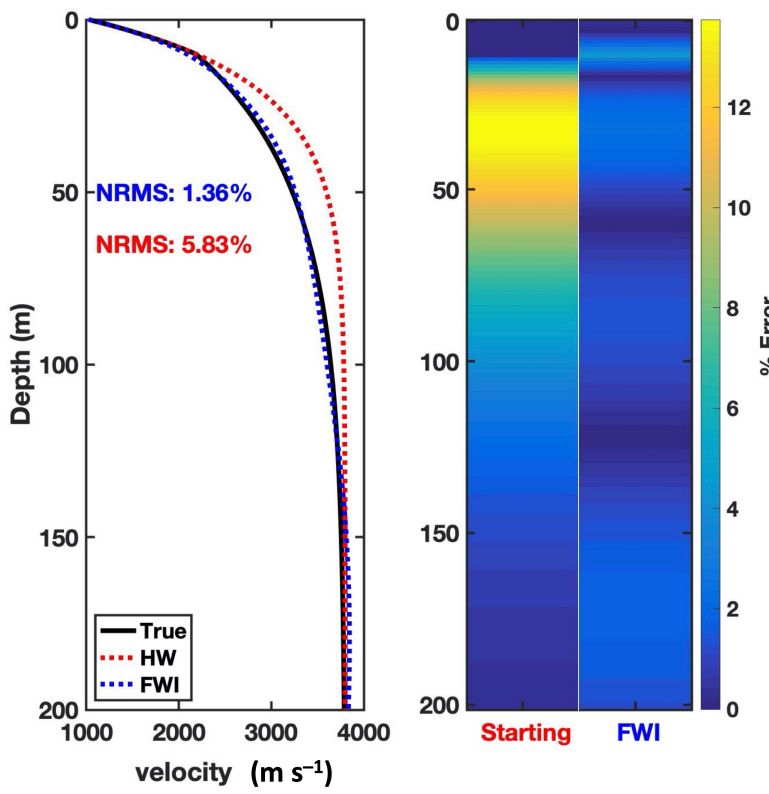
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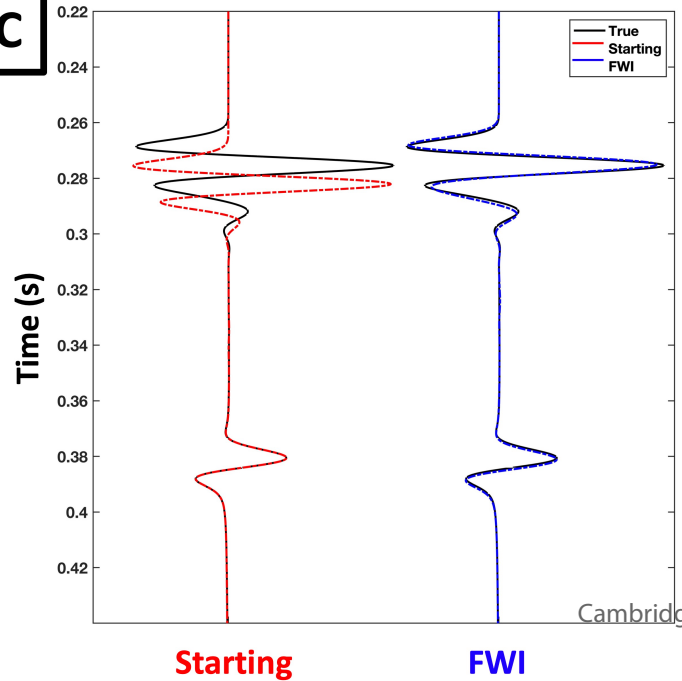


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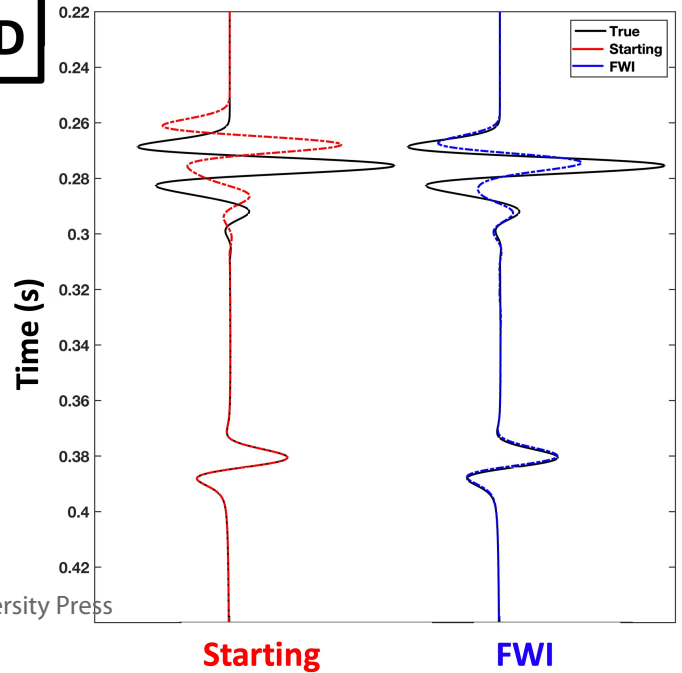
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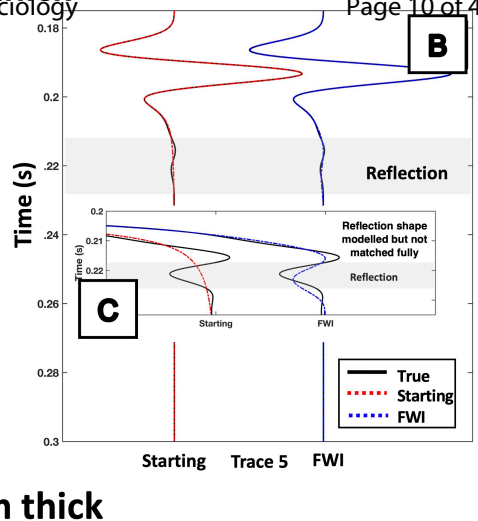
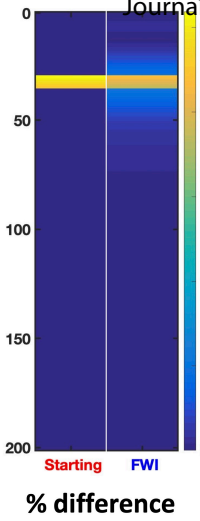
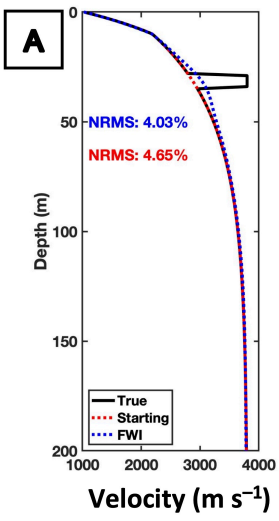


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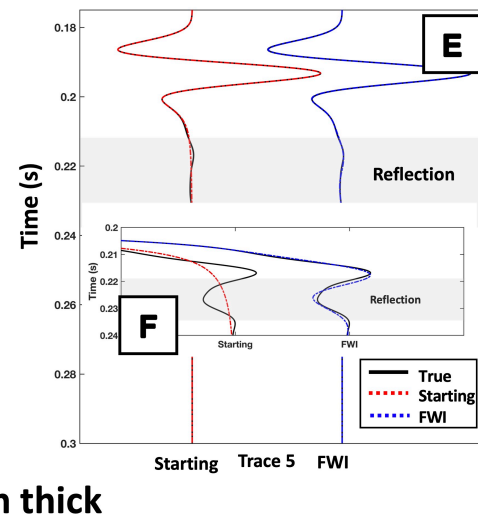
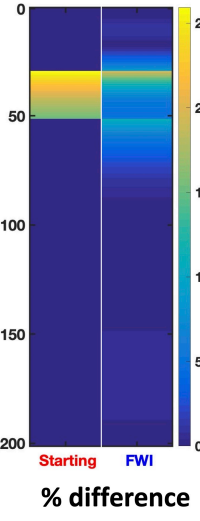
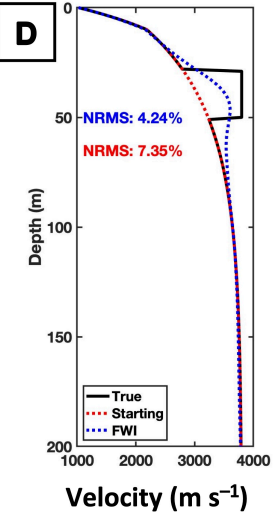


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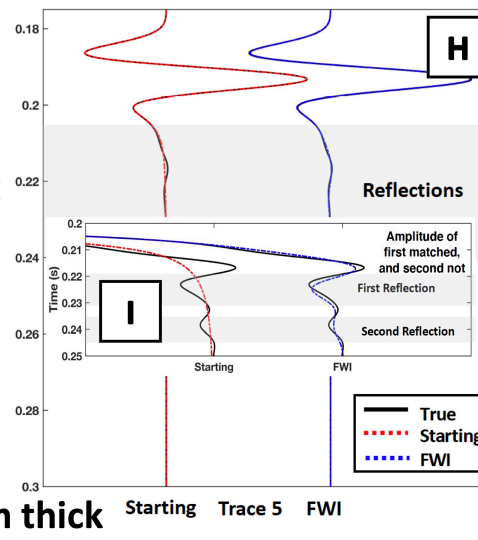
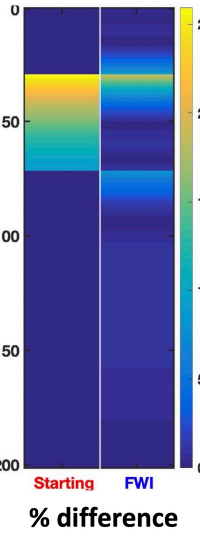
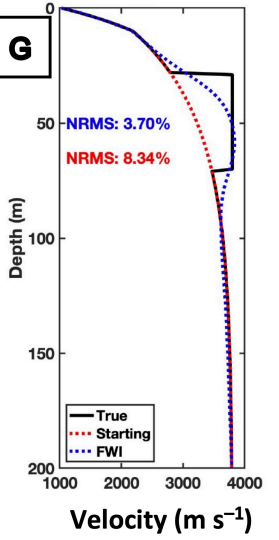




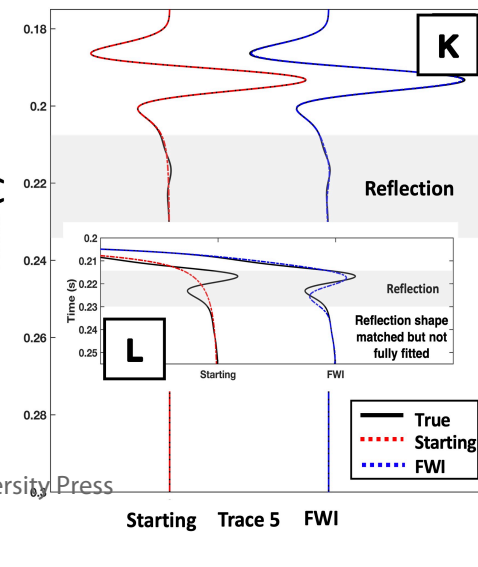
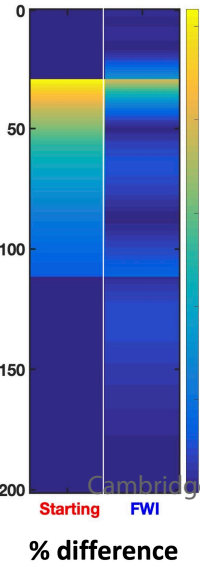
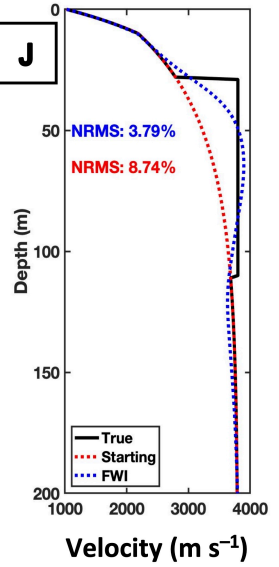
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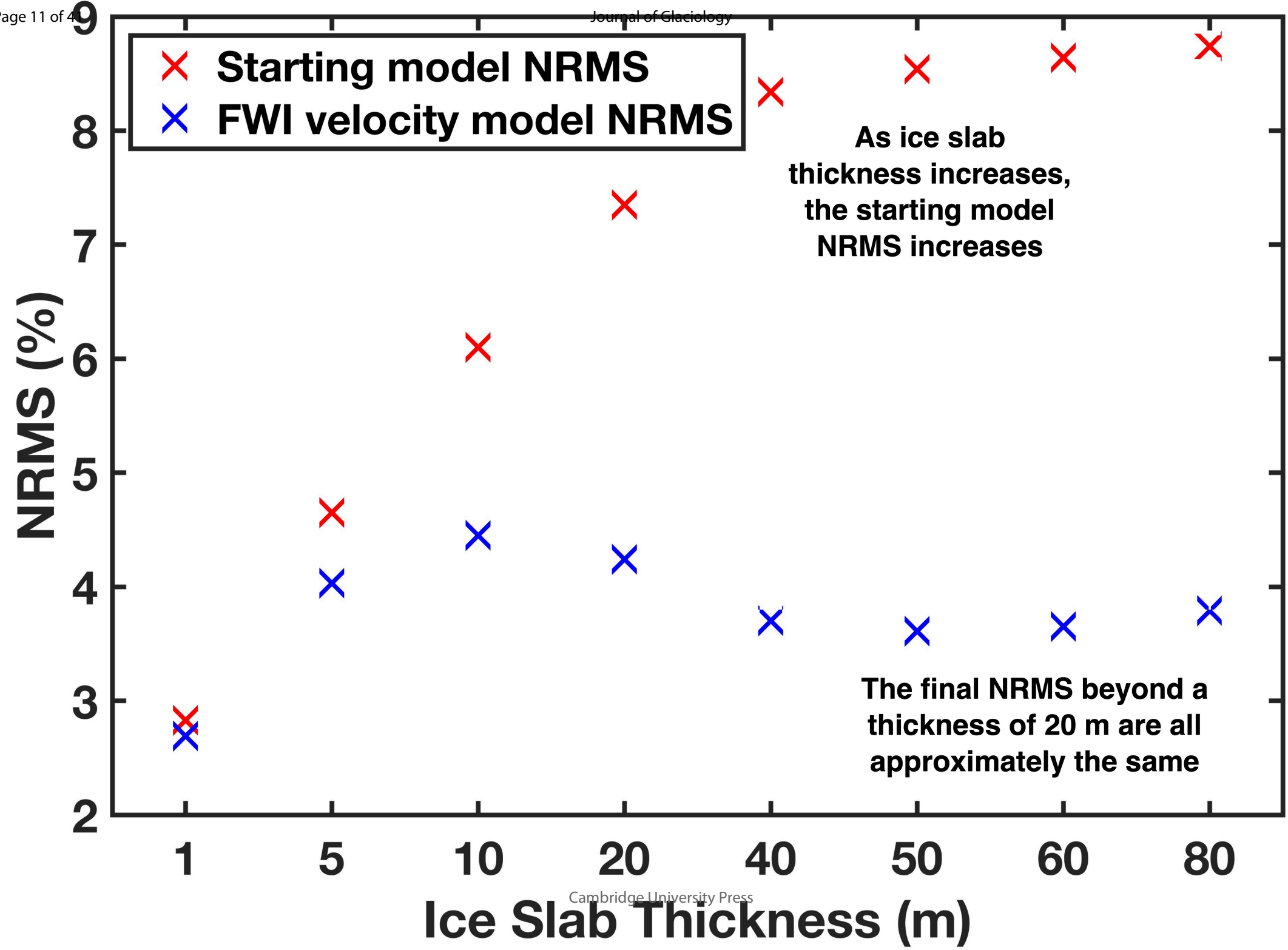


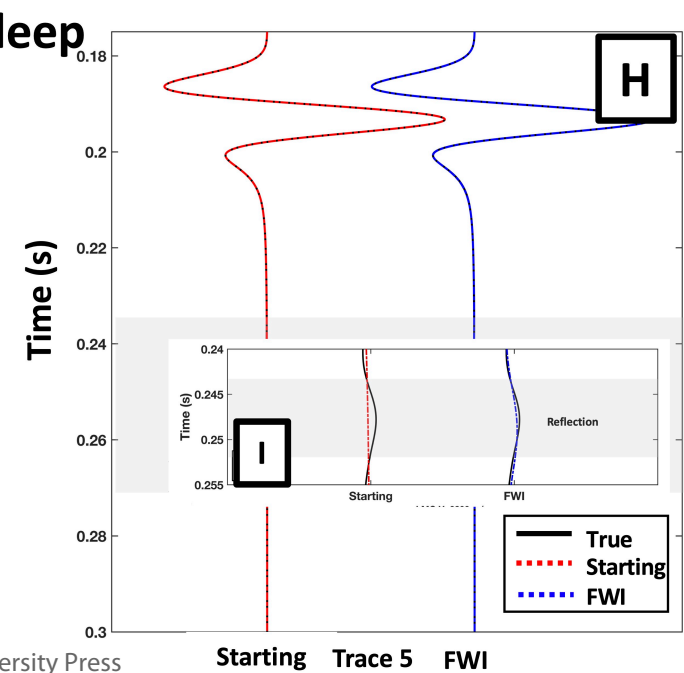
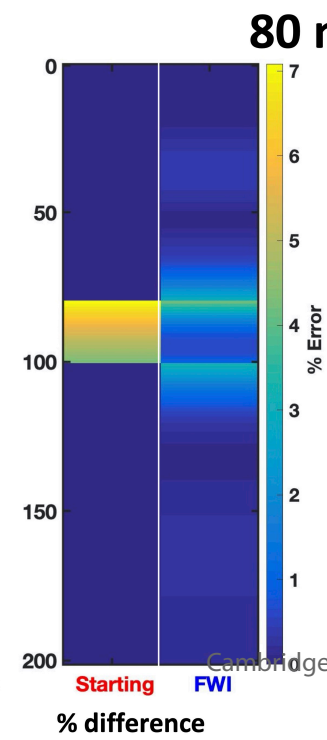
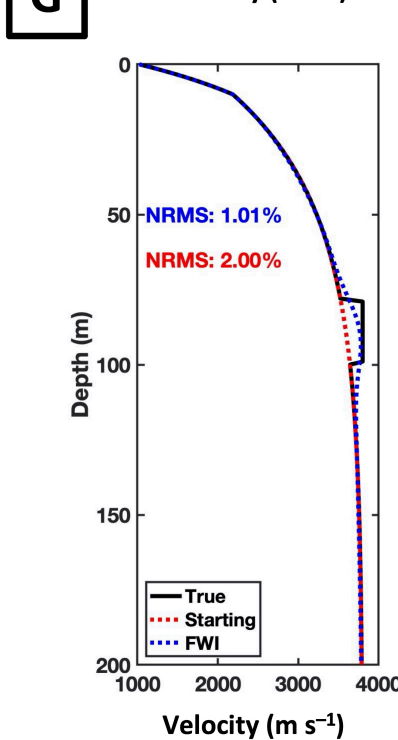
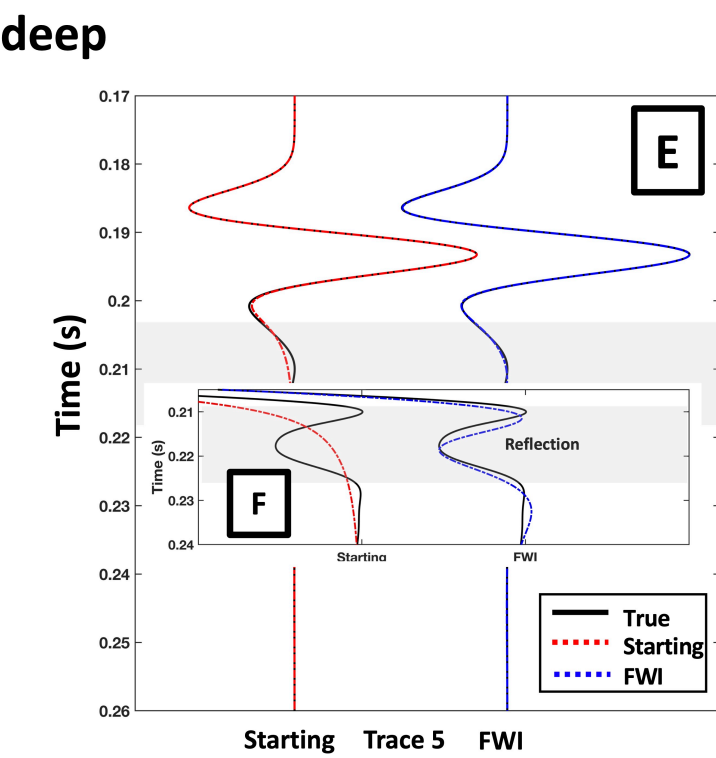
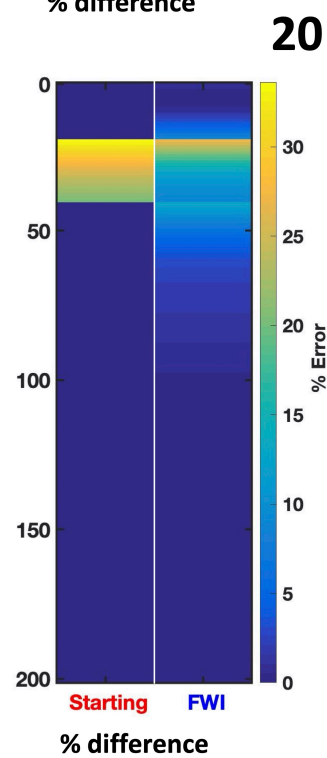
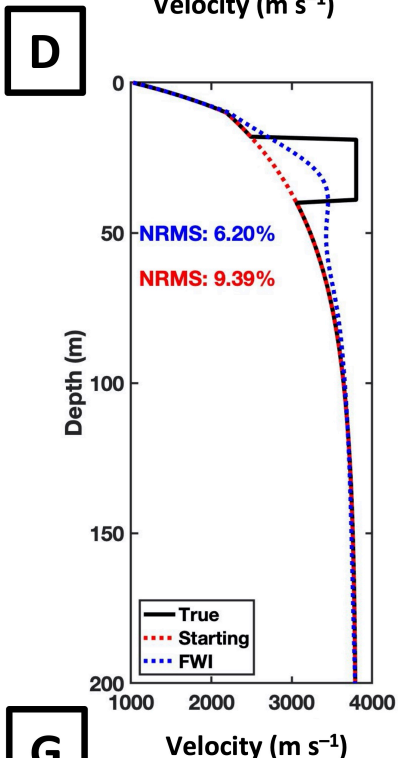
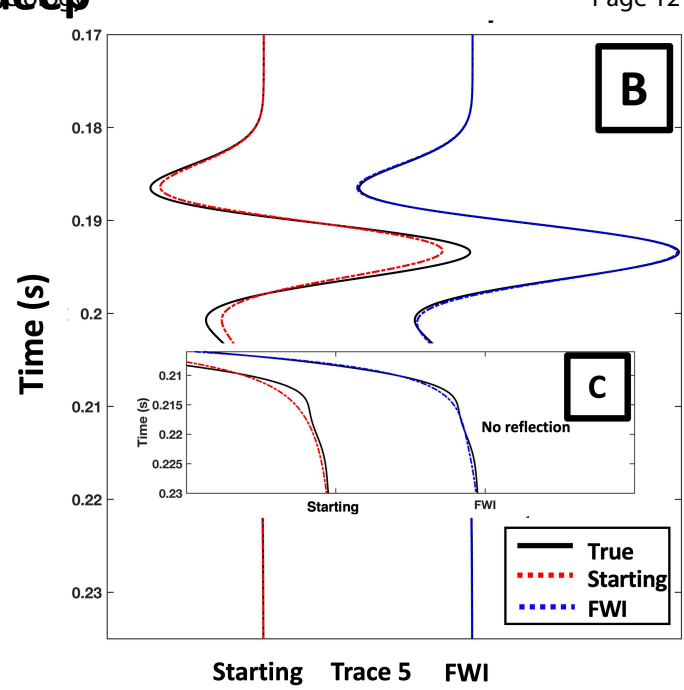
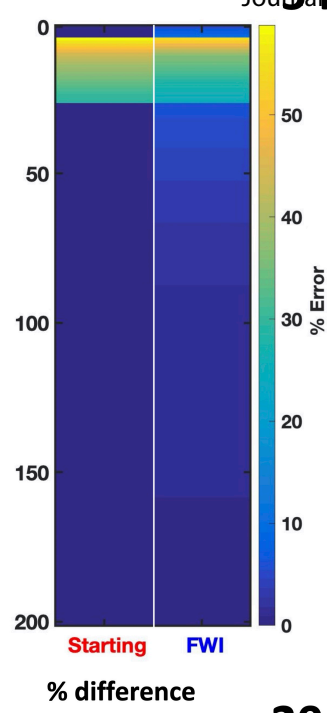
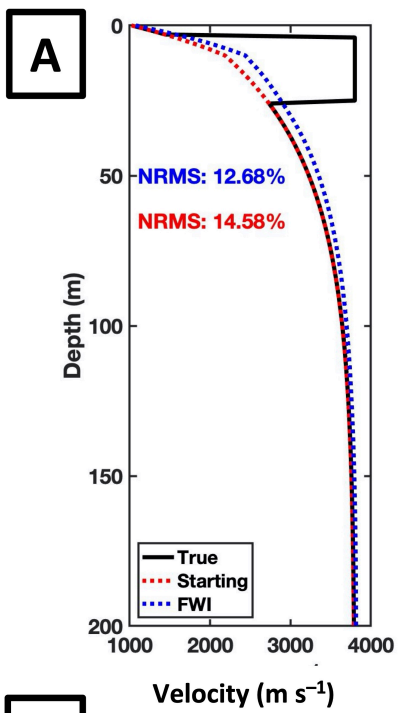
40 m thick

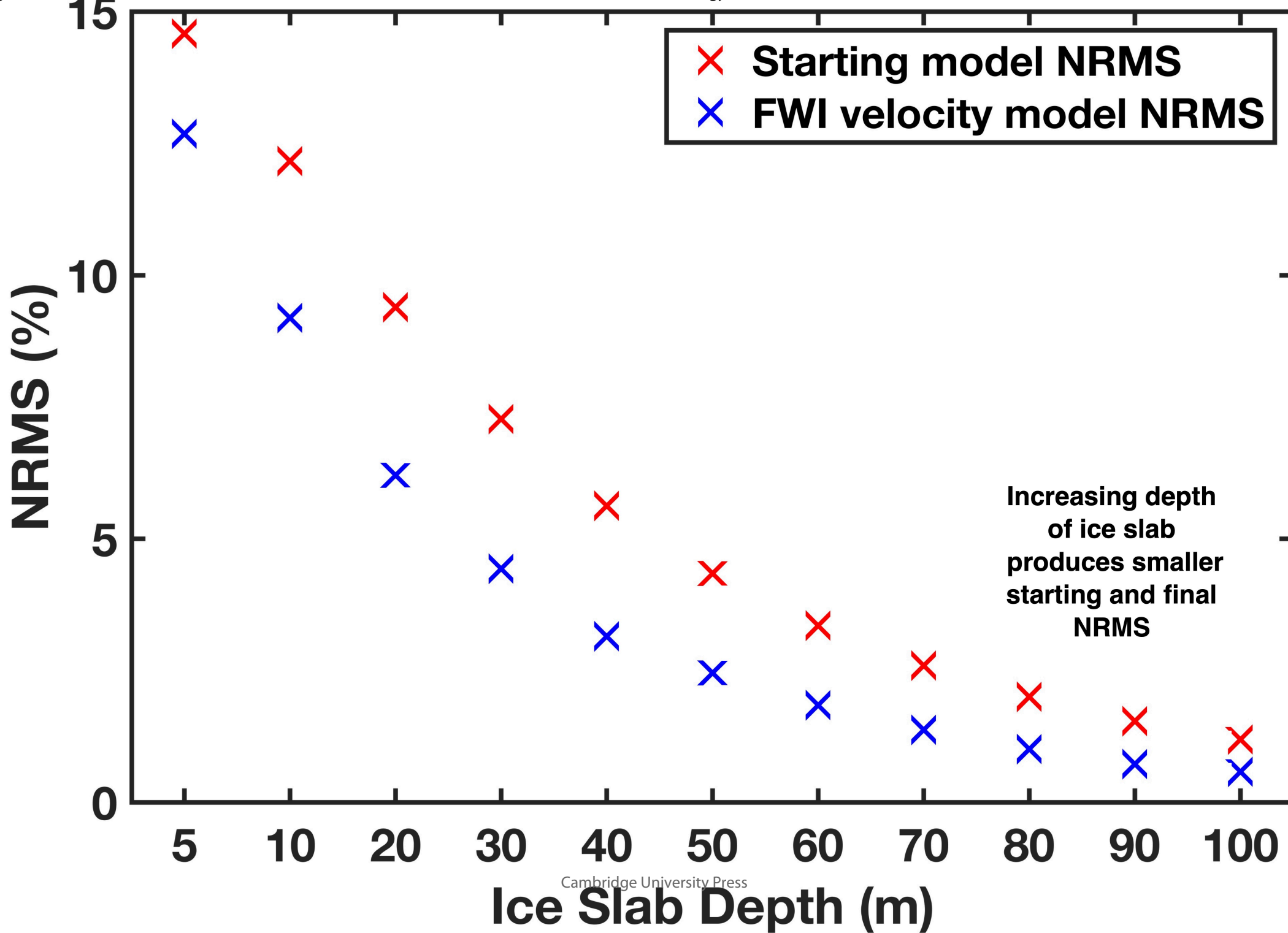


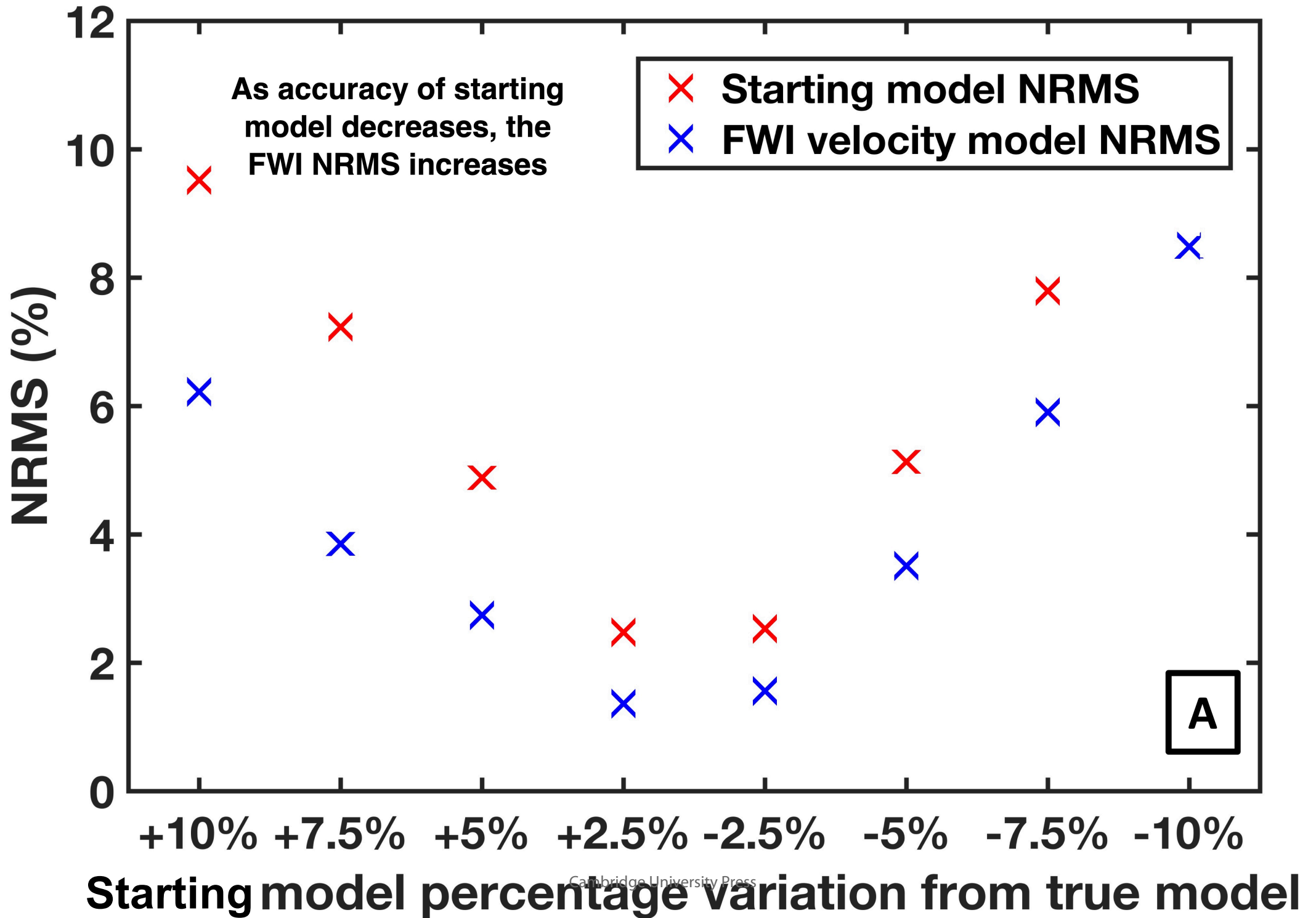
80 m thick











Characterising Ice Slabs in Firn Using Seismic Full Waveform Inversion

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Abstract

The density structure of firn has implications for hydrological and climate modelling, and ice shelf stability. The structure of firn can be evaluated from depth models of seismic velocity, widely obtained with Herglotz-Wiechert Inversion (HWI), an approach that considers the slowness of refracted seismic arrivals. However, HWI is strictly appropriate only for steady-state firn profiles and the inversion accuracy can be compromised where firn contains ice layers. In these cases, Full Waveform Inversion (FWI) may yield more success than HWI. FWI extends HWI capabilities by considering the full seismic waveform and incorporates reflected arrivals. Using synthetic firn density profiles, assuming both steady- and non-steady-state accumulation, we show that FWI outperforms HWI for detecting ice slab boundaries (5-80 m thick, 5-80 m deep) and velocity anomalies within firn. FWI can detect slabs thicker than one wavelength (here, 20 m, assuming a maximum frequency of 60 Hz) but requires the starting velocity model to be accurate to $\pm 2.5\%$. We recommend for field practice that the shallowest layers of velocity models are constrained with ground-truth data. Nonetheless, FWI shows advantages over established methods, and should be considered when the characterisation of firn ice slabs is the goal of the seismic survey.

1. INTRODUCTION

Meltwater processes on Antarctic ice shelves are influenced by the permeability of surface snow and firn. Ponding of meltwater above impermeable shallow ice has been implicated as a precursor to ice shelf collapse (Banwell 2017). Understanding the extent of impermeable zones within firn - so-called 'ice slabs' - is therefore important for hydrological modelling and subsequent predictions of the long-term stability of the ice shelves that fringe Antarctica. The formation and subsequent freezing of meltwater on (and within) ice shelves reduces firn permeability, causing the formation of surface meltwater ponds (Munneke and others, 2014; Bevan and others, 2017). These in turn influence ice shelf stability by promoting hydrofracture, a process that has been associated with the 2002 collapse of Larsen B ice shelf (Scambos and others, 2004). As ice shelves buttress ice streams, they restrict the transfer of ice from terrestrial ice sheets into the ocean. When the buttressing is removed

46 following collapse, feeder glaciers and ice streams accelerate and can increase the contribution of
47 terrestrial glaciers to sea-level rise (Wuite and others 2015). As patterns of melt and refreezing
48 change (Rintoul and others, 2018), it is expected that the presence of ice slabs within snow-covered
49 regions will become more prevalent, including in Antarctica. There is therefore an important and
50 growing need to characterise ice slabs within firn.

51

52 Ice slabs form over several cycles of thaw and refreeze, when pre-existing ice layers within firn
53 coalesce. Ice slabs form in locations where surface melt occurs, including the coastal regions of
54 Antarctica and Greenland. With a lateral extent of tens-to-hundreds of meters, ice slabs make the
55 shallow firn column impermeable (Benson, 1962; MacFerrin and others, 2019, Miller and others
56 2022) and can increase its local density from 400 – 800 kg m⁻³ (typical of firn) to that of pure glacier
57 ice, 917 kg m⁻³ (e.g. Hubbard and others, 2016; MacFerrin and others 2019; Culberg, and others
58 2021). Radar data from IceBridge AR flight lines from 2010–2014 show that ice slabs covered
59 64,800–69,400 km² of the Greenland ice sheet in 2014, approximately 4% of the ice sheet's total
60 area (MacFerrin and others, 2019). Greenland's ice slabs have been predicted to increase in area by
61 130-850% by 2100 depending on the level of 21st century CO₂ emissions (MacFerrin and others,
62 2019). Without explicitly accounting for the effects of ice slabs, meltwater runoff can be
63 underestimated, for example in Greenland, regional climate models suggest runoff to be
64 underestimated by almost 60% if ice slab formation is excluded (MacFerrin and others 2019; Lai and
65 others, 2020).

66

67 Seismic reflections and refractions in ice are caused by any process that changes its elastic
68 properties, including density, bulk and shear moduli, viscosity and anisotropy (Diez and others,
69 2013; Schlegel and others, 2019), which in turn modify the velocity of compressional (P) and shear
70 (S) seismic energy (Aki and Richards, 2002). Consequently, seismic methods have broad applicability
71 in glaciology for imaging the internal and underlying structure of glaciers and ice masses, (e.g.,
72 Brisbourne and others, 2019; Church and others, 2019; Diez and others. 2016; Riverman and others,
73 2019), but are increasingly used to quantify glacier physical properties (e.g. density, water content,
74 etc.; Booth and others, 2012; Endres and others, 2009; Macchioli- Grande and others, 2020; Peters
75 and others, 2012), temperature from changes in anisotropy (e.g. Llorens and others, 2020; Lutz and
76 others, 2019) and characterise the properties of snow and firn from seismic data (e.g. Bradford,
77 2010; Diez and others, 2014; Kinar and Pomeroy, 2007; Kohnen and Bentley, 1973; Schlegel and
78 others, 2019).

79

80 Seismic methods have been applied to characterise the density structure of firn, using seismic
81 velocity as a proxy for density (e.g., Kirchner and Bently 1979, King and Jarvis 1991, 2007; Booth and
82 others, 2013; Hollmann and others 2021). As the degree of firn compaction increases, so too do
83 density and elastic moduli, leading to an increase in seismic velocity. When an ice slab is present
84 within a firn column, the contrast in seismic velocity between that ice and the adjacent firn can be
85 used to diagnose the presence, bulk properties and thickness of that ice slab.

86

87 A commonly used approach for characterising seismic velocity trends in firn from controlled-source
88 seismic data is the Herglotz-Wiechert inversion (HWI) (Herglotz, 1907; Wiechert, 1910; Slichter,
89 1932; Nowack, 1990) in which a velocity-depth model is evaluated from the slowness (the reciprocal
90 of velocity) of seismic arrivals (e.g. Rege and Godio, 2011; Diez and others, 2013; Thiel and Ostenso,
91 1961). Though popular in glaciology, a key assumption in HWI is that seismic velocity must increase
92 with depth, which is violated when ice slabs are present in the firn column – specifically across the
93 lower boundary of the slab (or multiple slabs), where material transitions back into unmodified firn.
94 When HWI is applied to regions with ice slabs, slab boundaries are improperly represented due to

95 the limitations of the technique, leading to an incorrect velocity structure above, within and below
96 the ice slab (Aki and Richards 2002).

97
98 These limitations can be overcome by the use of seismic Full Waveform Inversion (FWI) methods.
99 FWI extends the capacities of HWI by using both the slowness and amplitude information in the
100 seismic dataset, thereby matching the recorded seismic wavefield rather than just the travel-time of
101 arrivals. For the case of ice slabs, which present a seismic velocity reduction at their base, FWI is in
102 principle sensitive to this velocity structure and hence offers the potential to determine slab
103 thickness. Furthermore, HWI methods consider only the travel-time of first-arrivals in a seismic
104 dataset, whereas FWI can also access later refracted arrivals and the reflections from the bounding
105 interfaces of the ice slabs.

106
107 Unlike ray-based methods, which consider only the traveltimes of first arrivals, FWI considers finite-
108 frequency wave propagation and evaluates velocity models using the amplitude and travel time of
109 the recorded wavefield. Therefore, the resolution of FWI is only limited by the source and receiver
110 distribution, noise level and scales with the seismic wavelength (Williamson, 1991; Schuster, 1996;
111 Pratt and others 2002, Warner and others, 2013). Babcock and Bradford (2014) provided the first
112 glaciological application of FWI to characterise subglacial seismic velocities in the presence of
113 seismically 'thin' layering (i.e., less than $\frac{1}{4}$ wavelength, typically ~ 10 m; Smith, 2007; Booth and
114 others, 2012; Widess, 1973). The study reported encouraging results, in particular in terms of
115 improving the accuracy of recorded compressional (P-) wave velocities.

116
117 Here, we explore the scope of FWI methods to recover firn velocity structures that are currently
118 unresolvable with HWI. We first compare FWI and HWI for synthetic seismic data that model
119 steady-state firn accumulation profiles, where HWI results should be reliable. The performance of
120 FWI is then considered for seismic velocity models corresponding to firn with ice slab inclusions.

121
122 For steady-state firn cases, we show that outputs from FWI are no less accurate than conventional
123 HWI, but are greatly superior for any non-steady state firn profile in which ice slabs are present.

124
125

126 2. INVERSION APPROACHES

127

128 2.1 Herglotz-Wiechert Inversion

129

130 HWI produces a 1-D velocity structure in which velocity must increase with depth. In the case of firn,
131 this can be used to produce accurate velocity models for steady-state conditions, and has been used
132 repeatedly to characterize firn structure in a variety of settings (e.g., Rege and Godio, 2011; Diez and
133 others, 2013; Thiel and Ostenso, 1961). This one dimensional continuous velocity structure can be
134 approximated by a stack of thin layers of constant velocity, which can be considered a suitable
135 approximation for many firn structures. Following Snell's law, seismic energy incident at a boundary
136 refracts and the ratio of the sine of the angle of incidence θ_n and the velocity in the n^{th} layer, v_n is
137 constant, defining the horizontal slowness or ray parameter p .

138 For a monotonically increasing velocity, the ray parameter decreases monotonically, causing the ray
139 to turn and to be recorded at the surface allowing sampling of the velocity structure. At the turning
140 point, where the maximum depth (z_{max}) of the propagation is reached, the refraction angle θ reaches
141 90° and the horizontal slowness is equal to the inverse of the velocity at this depth, allowing a
142 mapping of seismic velocities using methods such as HWI.

143
 144 To convert slowness to a depth/velocity domain, the HWI approach uses Abel's integral equation.
 145 From Bôcher (1909), the necessary and sufficient conditions that Abel's integral must meet are that
 146 the derivative of $t(x)$, *slowness*, can be discontinuous, but $t(x)$ itself cannot be discontinuous (such as
 147 when dealing with velocity discontinuities and lateral velocity variations). For the travel time-offset
 148 data to be used successfully with the HWI, the travel time picks obtained from the first break arrival
 149 times of the seismic data must be smooth and continuous. Various algorithms exist for fitting a curve
 150 to the first break picks to achieve this, with the Levenberg-Marquardt algorithm being used here
 151 (Moré, 1978; Kirchner and Bentley, 1990), as implemented by King and Jarvis (2007). The traveltime,
 152 t , approximation is achieved through

$$153 \quad t = a_1((1 - e^{-a_2x}) + a_3(1 - e^{-a_4x}) + a_5x) \quad (1)$$

154 with a_{1-4} being curve fitting parameters and a_5 is the inverse of the seismic velocity of ice.

155
 156 Using Eq (1) instead of the measured traveltimes ensures that traveltime increases
 157 monotonically with distance, (i.e. velocity increases monotonically with depth), and allows a
 158 solution using Abel's equation (Kirchner and Bentley, 1990). For each offset (x), the slowness
 159 (p) of the traveltime curve can be determined and the depth (z) to the related velocity (as
 160 slowness u) can be determined using:

$$161 \quad z(u) = \frac{1}{\pi} \int_0^{x(u)} \cosh^{-1}\left(\frac{p}{u}\right) dx \quad (2)$$

162
 163 Once repeated for all offsets on the slowness offset curve, a smooth velocity-depth model
 164 is obtained (Nowack, 1990; Aki and Richards 2002).

165 166 167 168 169 170 171 **2.2 Full Waveform Inversion**

172
 173 FWI delivers subsurface velocity models by minimising the misfit between observed and modelled
 174 seismic waveform data. The seismic wave equation is used to describe wave propagation in a
 175 medium and can be used to calculate synthetic seismic waveforms (\mathbf{p}') from a (starting) velocity
 176 model, (Virieux and Operto, 2009; Babcock and Bradford, 2014). The starting velocity model is
 177 updated through successive iterations that improve the match between predicted and observed
 178 data.

179 A solution to the inversion is found by matching the predicted seismic data (\mathbf{p}') to the observed data
 180 (\mathbf{d}) trace by trace (Mulder and Plessix, 2004; Warner and others, 2013). This uses a non-linear local
 181 iterative minimisation scheme: in its simplest form, the misfit is characterised by a scalar value
 182 termed the objective function (Warner and others, 2013). The most common form of the objective
 183 function (OF) is a least-squares (LS) formulation that minimises the sum of the square of the
 184 difference between the observed and predicted datasets:

$$185 \quad f = \frac{1}{2} |\mathbf{p}' - \mathbf{d}|_2^2 \quad (3)$$

186
 187 where $|\cdot|_2^2$ indicates the L2 (least-squares) norm. The model that minimises the objective
 188 function is considered to be the best solution to the inversion (Pratt, 1999).

190 The FWI algorithm used in this study is based on the acoustic wave equation,

191

$$192 \quad \frac{\partial^2 \mathbf{p}}{\partial t^2} = v_p^2 \rho \nabla \cdot \left(\frac{1}{\rho} \nabla \mathbf{p} \right) \quad (4)$$

193

194 where \mathbf{p} is pressure, v_p is the propagation velocity of seismic compressional (P-) wave, and ρ is the
195 density of the medium. The equation assumes isotropic wave propagation and no attenuation.

196

197 The acoustic wave equation is usually modelled with finite-difference (FD) methods, due to their
198 simplicity and efficiency compared to other techniques available to solve partial differential
199 equations (Virieux and Operto, 2009; Zhang and Yao, 2013). To simplify the source characterization
200 in the FD approach the source wavelet is modelled using a Ricker wavelet with a peak frequency of
201 60 Hz. To ensure modelling stability, data are recorded with a time sampling of 0.001 s, for 1 second
202 of propagation (Courant and others., 1967).

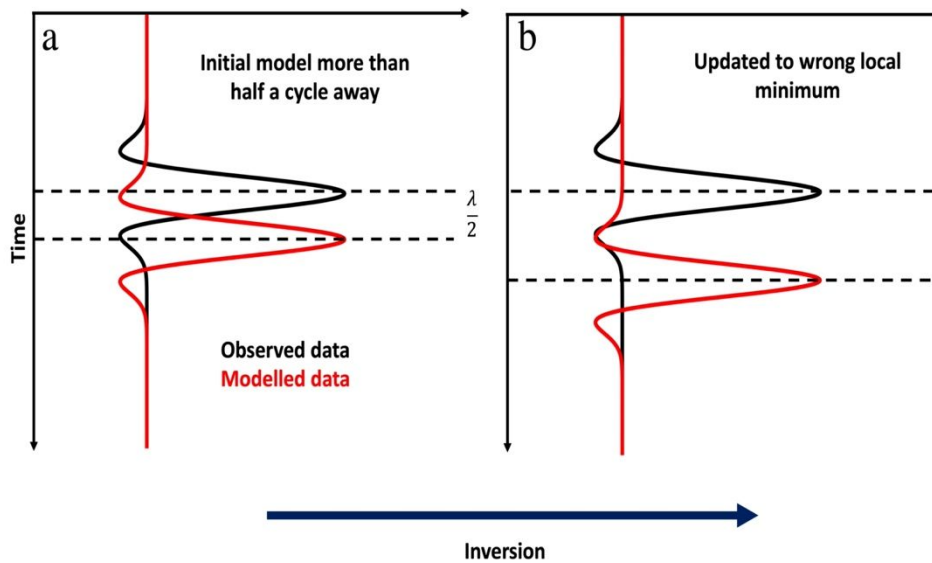
203

204 Seismic wave propagation is described by the seismic wave equation containing the elastic
205 properties of the subsurface. For relatively simple elastic (velocity) models, the seismic wave
206 equation can be solved analytically. For more complex models, numerical solutions are necessary
207 (Ben-Menahem and Singh, 2012; Guasch, 2012; Moczo and others, 2014). FD is a commonly used
208 and stable method and has been found to provide computationally efficient and accurate solutions
209 for a wide range of velocity structures (Virieux and Operto, 2009; Zhang and Yao, 2013).

210

211 FWI solutions can suffer from non-linearity and model non-uniqueness, and the technique can be
212 computationally expensive. A particular problem is that of cycle-skipping, where modelled and
213 recorded data are out of phase by half a wavelength, yet still offer a locally-minimised objective
214 function (Sirgue, 2006) (Figure 1). In such cases, FWI converges on a local, rather than global,
215 minimum, which reduces the objective function but produces, an incorrect velocity model. Cycle
216 skipping can be exacerbated by data acquisition, survey geometry and the choice of inversion
217 algorithm (Guasch, 2012; Shah and others, 2012; Jones, 2015, 2019; Brittan and others, 2013; Bai
218 and Yingst, 2014; Borisov and others, 2017) and starting velocity model, which is selected carefully
219 such that the first iteration of modelled data matches the observations to within half a wavelength.
220 The risk of cycle skipping is mitigated by i) using the low frequencies (i.e., long wavelengths) in the
221 data which, due to their longer wavelength, are less prone to cycle skipping, and ii) including far-
222 offset data, which typically contain higher velocities and thus longer wavelengths (Warner and
223 others, 2013; Sirgue and others, 2009; Al-Yaqoobi, 2013; Virieux and Operto, 2009).

224



225
 226 *Figure 1: Schematic representation of cycle skipping (adapted from Prajapati and Ghosh,*
 227 *2016). (A) If the initial modelled data and observed data are more than half a cycle away*
 228 *then (B) the data updates to a local minimum, i.e., the modelled data is matched to the*
 229 *incorrect part of the observed data, in that the trailing-trough of the black curve coincides*
 230 *with the leading-trough of the red curve*

231
 232 For the inversion, seismic propagation is modelled assuming an acoustic wave in a 2-D isotropic
 233 medium with no attenuation, with the recovered parameter being P-wave velocity. When calculating
 234 the residual, data are normalised by the maximum amplitude in each trace.

235
 236 The misfit between recorded and modelled data is defined as the objective function (OF) given in
 237 Eq. 3. We use an adjoint method (Plessix, 2006) and a gradient-based method (Tarantola, 1988) to
 238 update the model between iterations. We use the Madagascar framework (Fomel and others,
 239 2013), provided by the Center for Wave Phenomena, to implement this approach (Aragao and Sava,
 240 2020). To minimize the impact of cycle skipping, we start iterations for the low frequency wavefield,
 241 filtered between 3 Hz and 10 Hz, until OF updates suggest model convergence. Thereafter, the
 242 frequency content is progressively increased in 10 Hz bands, to a maximum of 60 Hz.

243
 244
 245 In our FWI we use a gradient approach to define the fit between recorded and modelled data. To
 246 minimise the OF with respect to the model parameters (\mathbf{m}) the OF is differentiated,

$$247 \quad \Delta \mathbf{m} = -\alpha \frac{\partial f}{\partial \mathbf{m}} \quad (5)$$

248
 249
 250
 251 This OF gradient indicates the direction of update of the model \mathbf{m} in the next iteration in order to
 252 reduce the OF, with α a scaling factor known as the step length (Dai and Yuan 1999).

253

254

255 3. SYNTHETIC TESTING OF FWI APPLICABILITY

256

257 To validate the performance of FWI for realistic steady-state firn velocity profiles, firn density
 258 profiles are simulated using the Herron-Langway (HL) firn densification model (Herron 1980) and
 259 converted to velocity (Kohnen, 1972). The HL model is based on the three stages of firn generation
 260 and uses snow accumulation rate and ambient temperature as input variables.

261

262 The HL model requires parameters to be chosen for total firn thickness, surface accumulation rate,
 263 surface snow density, and the average temperature at 10 m depth. The chosen values (Table 1) for
 264 density (snow, ice and critical), and temperature are consistent with those of Herron and Langway
 265 (1980).

266

267

268 *Table 1: Parameterisation used for the Herron and Langway firn densification model*

Model Parameter	Value
10 m depth temperature	-30 °C
Density of pure ice	917 kg m ⁻³
Density of surface snow	400 kg m ⁻³
Depth of model	200 m
Critical density	550 kg m ⁻³
Accumulation rate	0.2 m w.e. yr ⁻¹

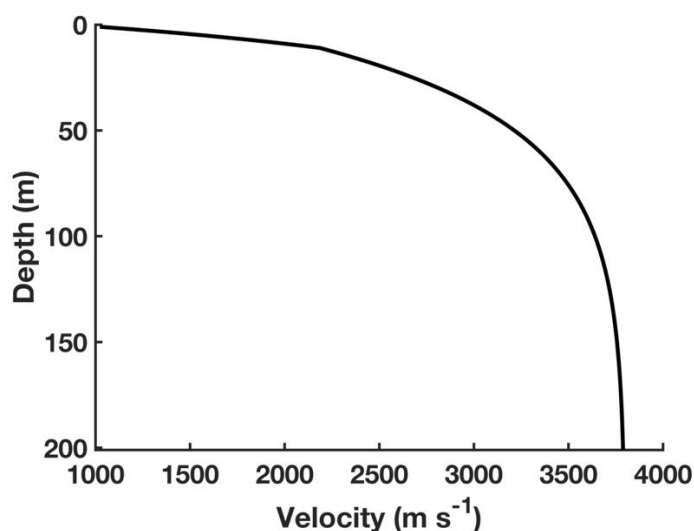
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270

271 The accumulation value of 0.2 m w.e. yr⁻¹ is used to represent a typical value for coastal Antarctica. It
 272 is generally accepted that higher accumulation rates produce thicker firn profiles, and thus gentler
 273 gradients of density and velocity increase (Herron and Langway, 1980) (Figure 2).

274

275



276

277

278 *Figure 2: The synthetic seismic velocity profile obtained by converting the Herron and Langway densification*
 279 *profile with an accumulation rate of 0.2 m w.e. yr⁻¹ with the Kohnen (1972) approximation. From a depth of 0*
 280 *to 25 m, the most gradual increase in velocity with depth is seen. Deeper than 25 m (where the critical density*

7

281 *is reached) the densification, and hence velocity, rate increases. A maximum velocity of 3800 m s⁻¹ is reached at*
 282 *200 m depth.*

283

284 The density profiles obtained from the HL model are converted to seismic velocity using the Kohnen
 285 (1972) approximation. A single seismic source is placed at 0 m offset, with surface receivers placed
 286 from 0 - 1000 m (5-times greater than the model depth) in one meter increments.

287

288 Three analyses are performed using the synthetic firn velocity profiles. Firstly, the velocity model
 289 from the FWI is compared to the velocity model produced by the HWI, where the HWI model is
 290 used as the starting model for FWI. Secondly, we impose an increasing degree of systematic error to
 291 the true model to use as the starting velocity model for the FWI, to explore the extent to which FWI
 292 can resolve incorrect starting models. This will explore how a decreasing starting model accuracy
 293 affects the FWI update to the velocity model and the data. Finally, the third model simulates two
 294 different HL firn densification profiles (low and high accumulation of 0.1 m w.e. yr⁻¹ and 0.4 m w.e.
 295 yr⁻¹, respectively), but uses the starting velocity model from the original profile (an accumulation of
 296 0.2 m w.e. yr⁻¹). This explores whether FWI can distinguish different densification scenarios even
 297 when a 'benchmark' starting velocity profile is assumed.

298

299 Thereafter, the steady-state models are modified to introduce ice slabs into the firn profile.
 300 Assuming the velocity and density of an ice slab to be 917 kg m⁻³ and 3800 m s⁻¹ (Paterson, 2016),
 301 these values are allocated to varied depth and thickness ranges of the firn profile to simulate the
 302 presence of ice slabs. We assess whether FWI is able to detect the velocity change at the upper and
 303 lower interfaces of the slab, and the extent to which it resolves the correct velocity model.

304

305 Velocity model outputs from FWI are compared to the true subsurface velocity model using the
 306 NRMS error, defined by Kragh and Christie (2002) as

307

$$308 \quad NRMS = \frac{200 \times RMS(V - U)}{RMS(V) + RMS(U)} \quad (6)$$

309

310 where RMS(V) and RMS(U) are the root-mean-square velocities of the true and predicted.
 311 The absolute percentage difference (DD) between the true and inverted velocity models is defined
 312 throughout the model with a depth interval of one meter. DD indicates how close the modelled
 313 velocity (U_{zi}) is to the true velocity (V_{zi}), calculated as;

314

315

$$316 \quad DD = \sqrt{\left(\frac{U_{zi} - V_{zi}}{U_{zi}} \times 100\right)^2} \quad (7)$$

317

318

319 **4. RESULTS**

320

321

322 **4.1 HWI of steady-state profiles**

323

324 Data are forward modelled from the synthetic velocity model (true model). This produces the
 325 observed seismic dataset. From these observed data, travel times are extracted and the HWI
 326 inversion is used to obtain an estimation of the subsurface velocity structure and compared to the
 327 true velocity model.

328

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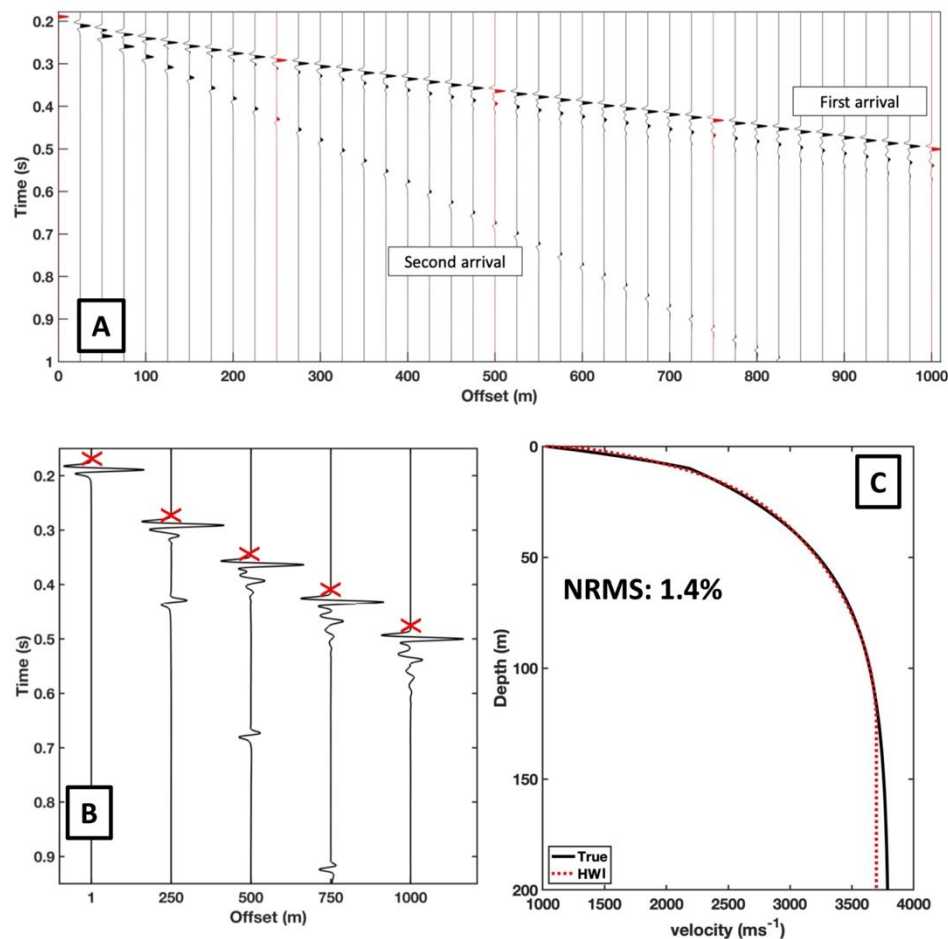
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335

In general, HWI provides a close representation of the velocity distribution of the steady-state firn profile. From 15-125 m, the velocity is recreated accurately, and the predicted velocity remains within 3% of the true model. For the shallowest depths, before the critical density is reached, HWI overestimates velocity. This is consistent with observations of Hollmann and others (2021), who suggested that HWI are poorly constrained in the near-surface, with inaccuracies in first-break picking being proportionally greater at smaller travel times. Beyond 125 m depth, HWI underestimates velocity by 4%, converging on a velocity of $\sim 3650 \text{ m s}^{-1}$.



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Figure 3: (A) Forward modelled, observed, synthetic data (**d**). produced from the HL firn velocity model with an accumulation rate of $0.02 \text{ m w.e. yr}^{-1}$. Red traces show those enlarged in (B). (B) First break picks used as the input for Herglotz-Wiechert shown for selected offsets. (C). The output velocity model produced by the HWI (Red) compared to the true HL firn velocity model (Black).

343

4.2 FWI of steady-state profiles

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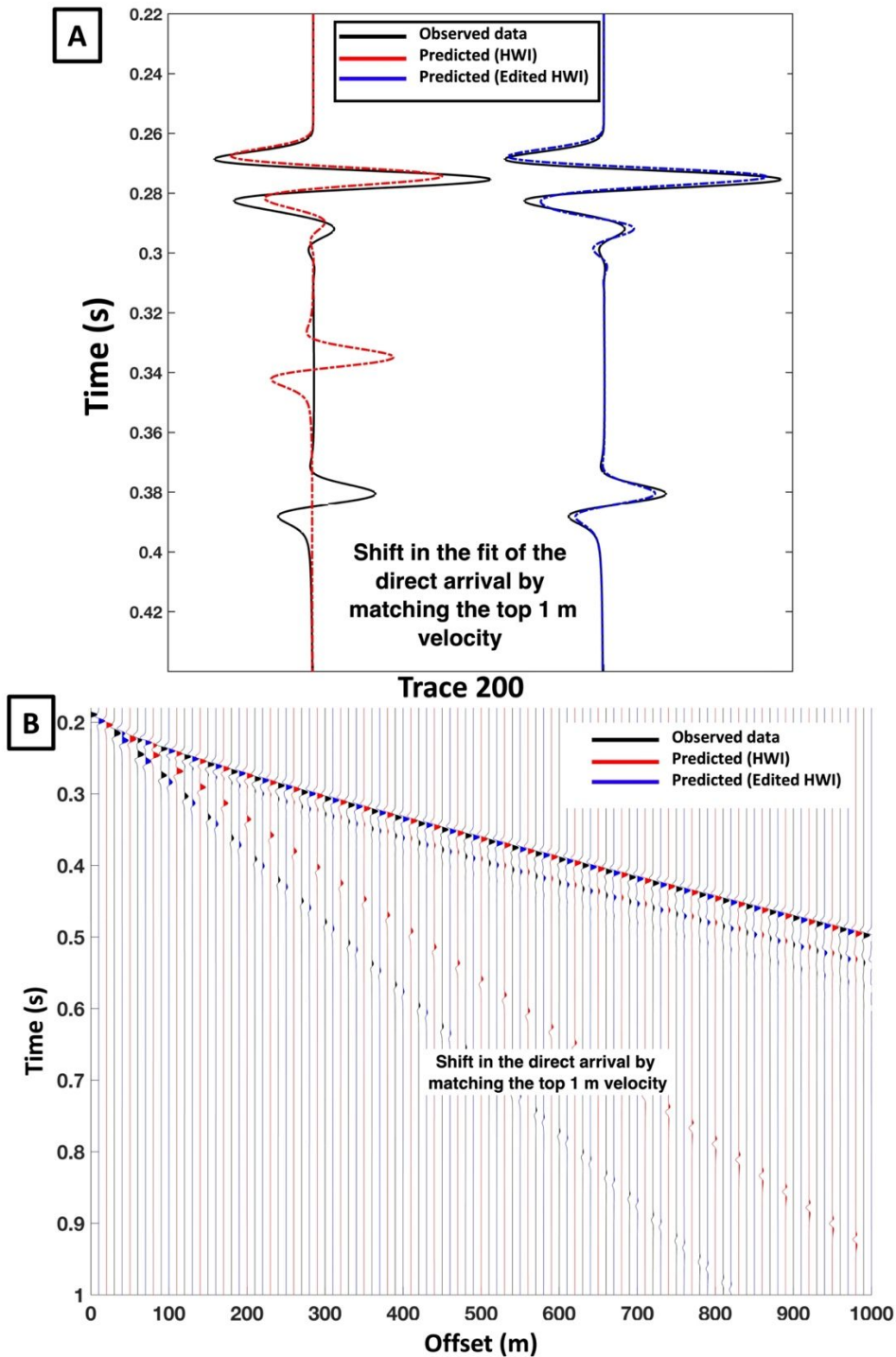
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350

The output of the HWI (Figure 3C) is used as a starting velocity model for FWI. Data are forward modelled from this starting velocity model to obtain a predicted seismic dataset, **p'**. Comparing (the observed data (**d**)) and **p'** (Figure 4), the first arrival is modelled accurately but cycle skipping has occurred for the second arrival. This is evident in the red trace in Figure 4A: the second arrival in the predicted data (red) appears $\sim 0.005 \text{ s}$ earlier than in the model, suggesting that shallow velocities are being overestimated. This is also evident in Figure 4B, where the red-coloured second arrivals

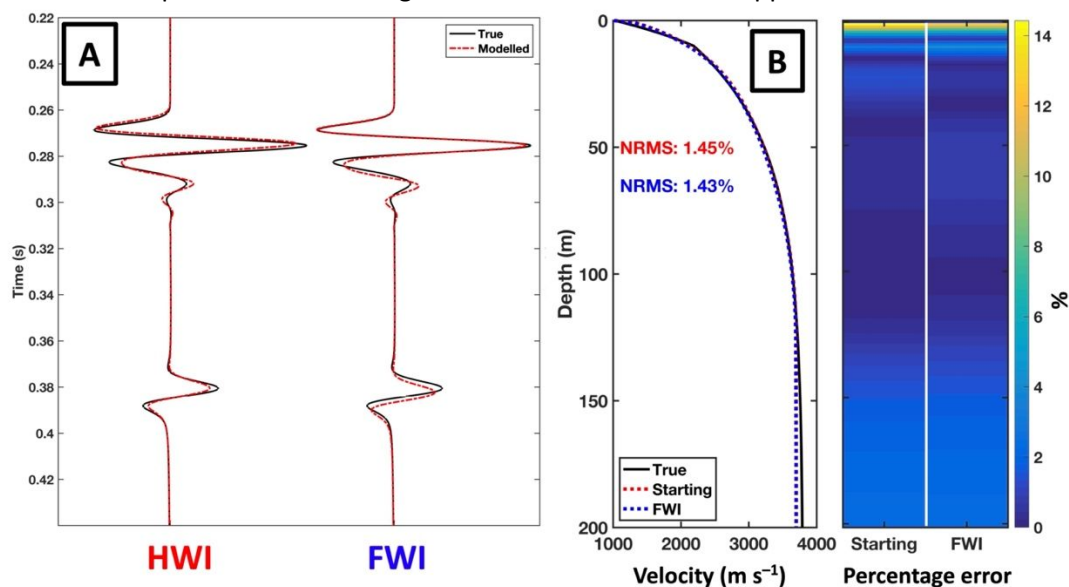
351 show a faster velocity trend than does the model. This mismatch can propagate into deeper velocity
 352 values, and hence requires improvement.
 353



354
 355 *Figure 4: (A) Comparison of seismic arrivals for trace 200 between the observed data (black), the predicted data*
 356 *(red) and predicted data from an adjusted starting velocity model (blue). The data produced from the*
 357 *predicted (HWI) starting model are prone to cycle skipping in the second arrival, hence the edited HWI velocity*
 358 *model is used for a starting model with FWI.*
 359

360 The blue trace in Figure 4A shows the output from a modified starting model, which fixes the
 361 velocity in the uppermost one meter of the profile to the true model value (1023 m s^{-1}). With this
 362 modification, both arrivals are now free from cycle skipping. This highlights the vulnerability of HWI
 363 and FWI to near-surface velocity errors, and points to the need for field acquisitions to constrain the
 364 shallowest velocities – either through a small-offset seismic refraction survey or a vertical seismic
 365 profile (VSP) in a borehole or test pit.

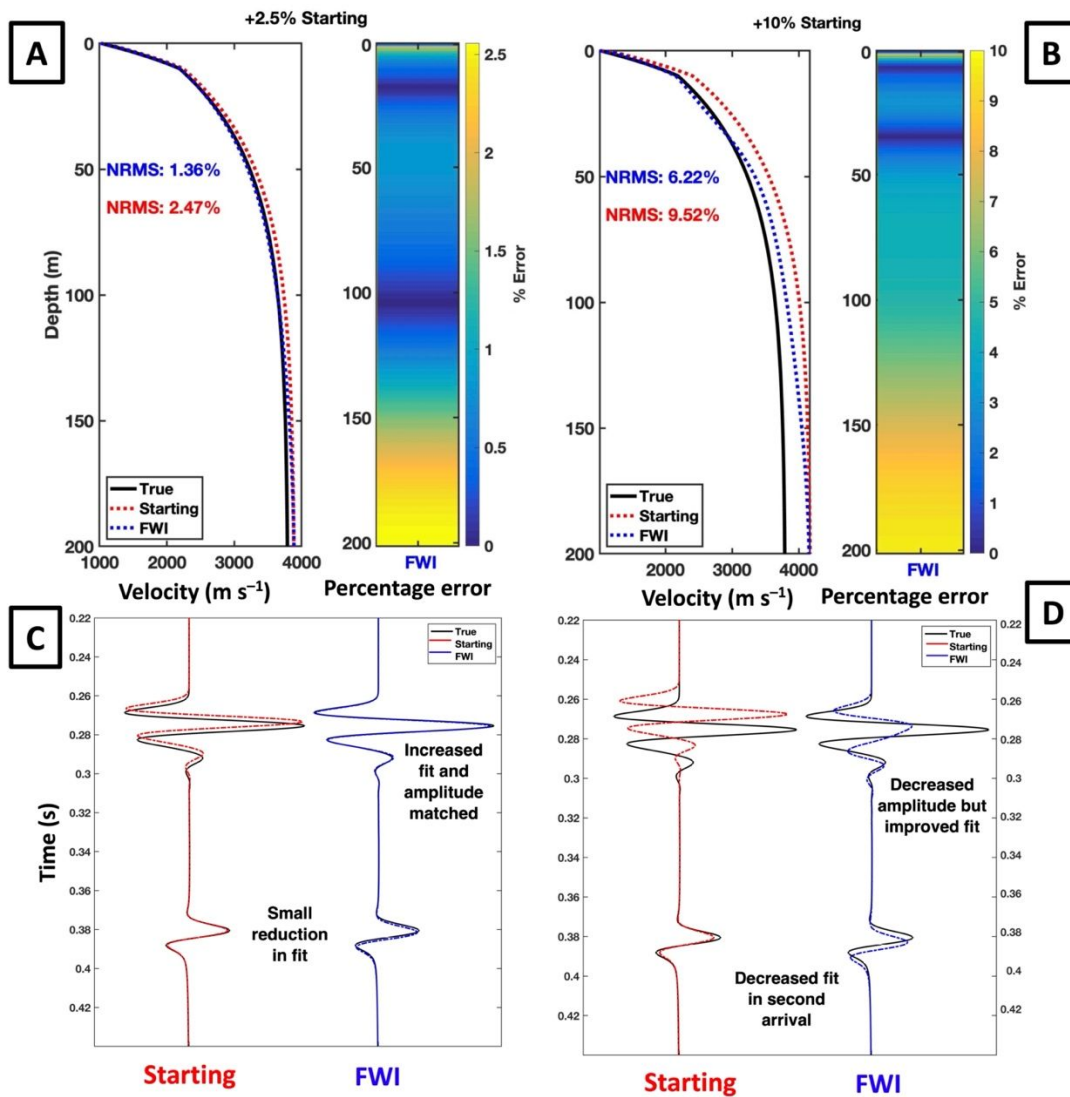
366
 367 Once the uppermost velocity is constrained, Figure 5 suggests that FWI can perform as reliably as
 368 the HWI that provided the starting model: the NRMS for both approaches is $\sim 1.4\%$.



369
 370 *Figure 5: (A) The output of FWI on the adjusted HWI starting model, shown for trace 200. (B)*
 371 *Velocity model output from FWI compared to the starting model (red) and true model (black),*
 372 *expressed as NRMS and the percentage error between the two output models (HWI and FWI) and the*
 373 *true model.*

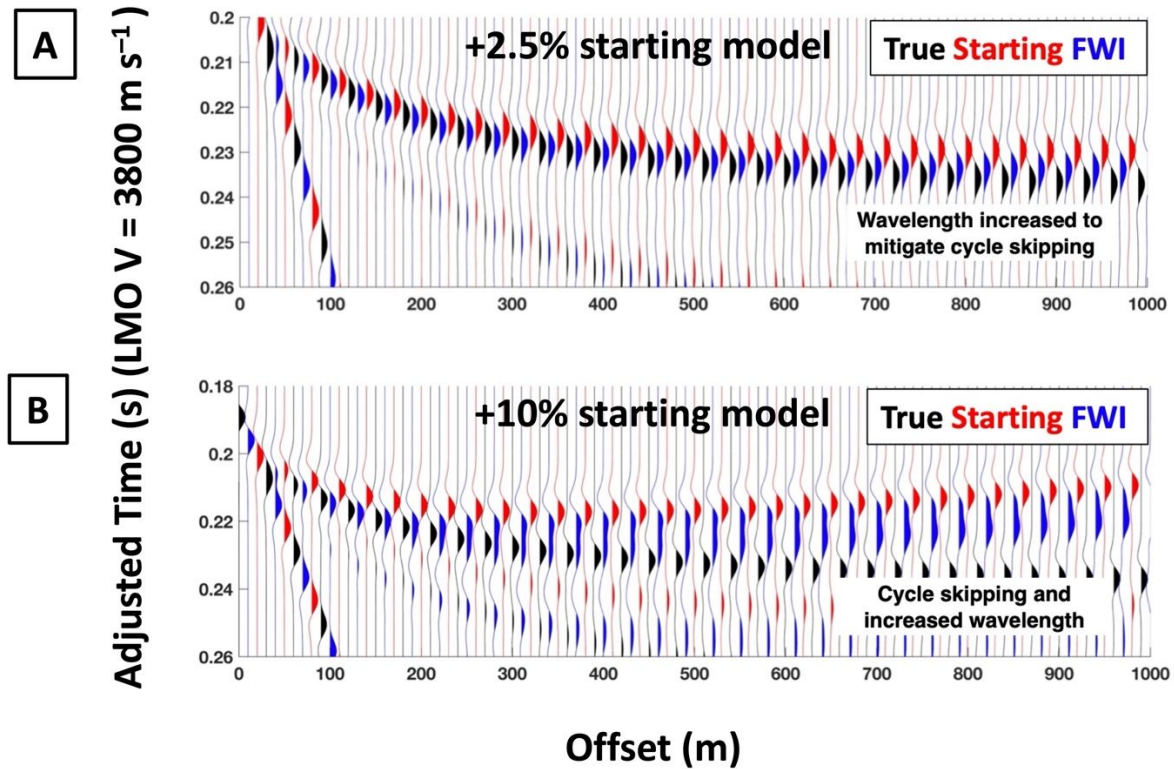
374
 375 To explore the sensitivity of FWI to velocity errors, the starting velocity model is perturbed from its
 376 true value by up to $\pm 10\%$. This generates eight further starting models. Figure 6 shows examples
 377 of FWI performance where the starting model is overestimated by 2.5% (A and C) and 10% (B and D).
 378 The analysis indicates that FWI is robust for the 2.5% error, but cycle skips for the case of the 10%
 379 error (Figure 7).

380



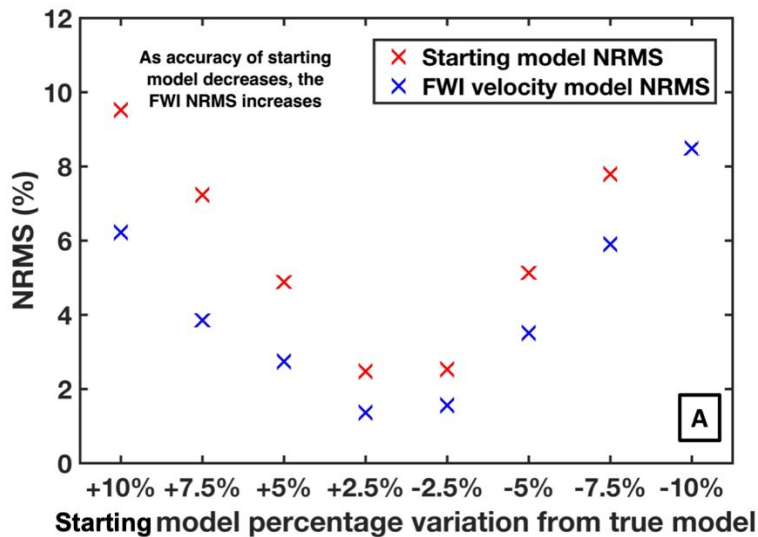
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Figure 6: (A) and (B), the velocity models produce by FWI for a starting model that is a 2.5% overestimation and 10% overestimation of the true model. (C) and (D) the seismic data for trace 200 for the same starting models respectively. The over estimation of 10% still enables the near offset traces to be matched, but the amplitude is not correctly accounted for with the acoustic FWI.



389
 390 *Figure 7: The observed (true), starting (predicted) and updated (FWI) data for (A) a 2.5% starting model*
 391 *and (B) a 10% starting model. The data updated by FWI for the 10% starting model shows cycle*
 392 *skipping from an offset of 300 m. The data update from FWI for 2.5% model shows no cycle skipping,*
 393 *but from an offset of 800 m, the peaks in the data are not fully matched.*

394
 395
 396



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 401

402 *Figure 8: (A) The NRMS update for eight starting model variations. As the perturbation to the starting*
403 *model increases, the update by FWI is further away from the true model (i.e. a higher final NRMS). FWI*
404 *is insensitive to whether a starting velocity model is under- or over-estimated, producing similar results*
405 *for both scenarios.*

406
407 This perturbation analysis (summarized over Figures 6, 7 and 8) shows that FWI can recover the true
408 velocity model even with an incorrect starting model provided that the deviation does not exceed
409 2.5%. At larger deviations, the inversion becomes prone to cycle skipping in the far offset traces, and
410 the depth to which a reliable inversion can be performed decreases. This is expected since the
411 absolute mismatch between the starting and true velocity models is less in the shallow surface, where
412 the velocity is smaller. Therefore, for the 60 Hz frequencies used in this study, FWI requires a starting
413 model that is within 2.5% of the true velocity profile to provide reliable results.

414
415

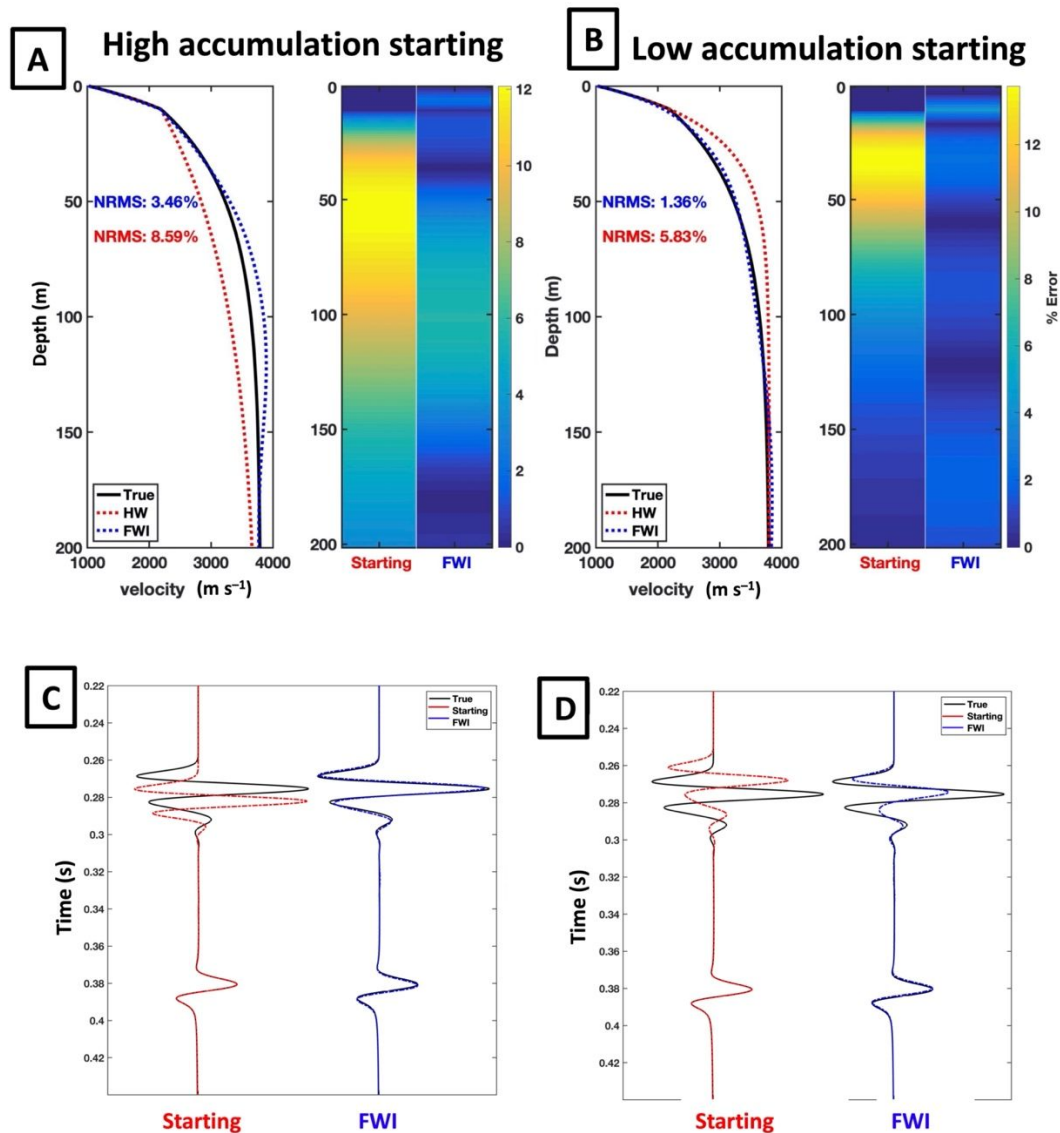
416 **4.3 Recovery of a firn profile from an incorrect snow accumulation regime**

417
418 To determine whether FWI is able to resolve different accumulation regimes, we deliberately
419 misrepresent the starting velocity model with substitute models corresponding to lower and higher
420 accumulation.

421
422 When accumulation is over-estimated in the starting model (Figure 9a, c), FWI improves the NRMS
423 error by ~60%. The final NRMS mismatch is 3.5%, although velocities are overestimated in the depth
424 range of 50-150 m, approaching 4000 m s⁻¹. In this range, the starting velocity model is too far from
425 the true model, causing cycle-skipping. However, when accumulation is under-estimated in the
426 starting model (Figure 9b, d), the improvement to the NRMS error is ~77% and the final NRMS
427 mismatch is just 1.4%. These results suggest that FWI is largely robust to errors in an assumed
428 accumulation model, although the starting velocity model should still be as accurate as possible to
429 avoid cycle-skipping.

430
431 Combined, these results show the potential for stable FWI implementation for steady-state firn
432 profiles. Although vulnerable to cycle-skipping errors, FWI can recover the seismic velocity structure
433 of firn profiles when supplied with i) a reasonable starting velocity model derived from initial HWI
434 and ii) constraint of the shallowest seismic velocity.

435



436
 437 *Figure 9: Velocity model outputs from FWI for a starting model that assumes (A) too high an*
 438 *accumulation, and (B) too low an accumulation compared to the true model. (C) and (D) show the*
 439 *seismic data for trace 200, for a starting model from too high and low accumulation respectively.*
 440

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5 FWI DETECTION OF ICE SLABS

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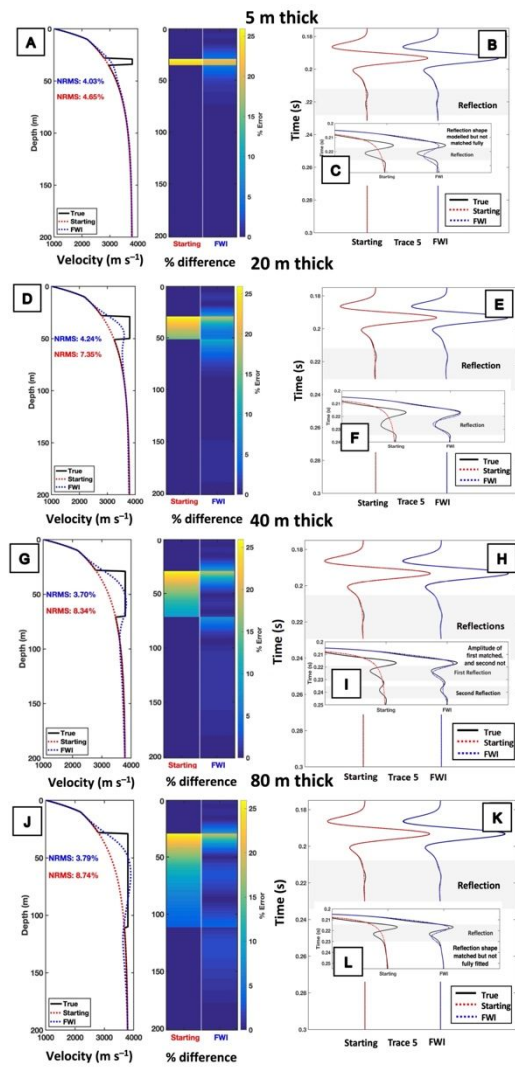
449

5.1 Thickness variations

450 In our experiment, the upper boundary of the ice slab is fixed at a depth of 30 m, and its thickness is
451 extended from 5 m to 80 m. Figure 10 shows selected examples of 5 m, 20 m, 40 m and 80 m from
452 this thickness range, and results are summarised in Figure 11.

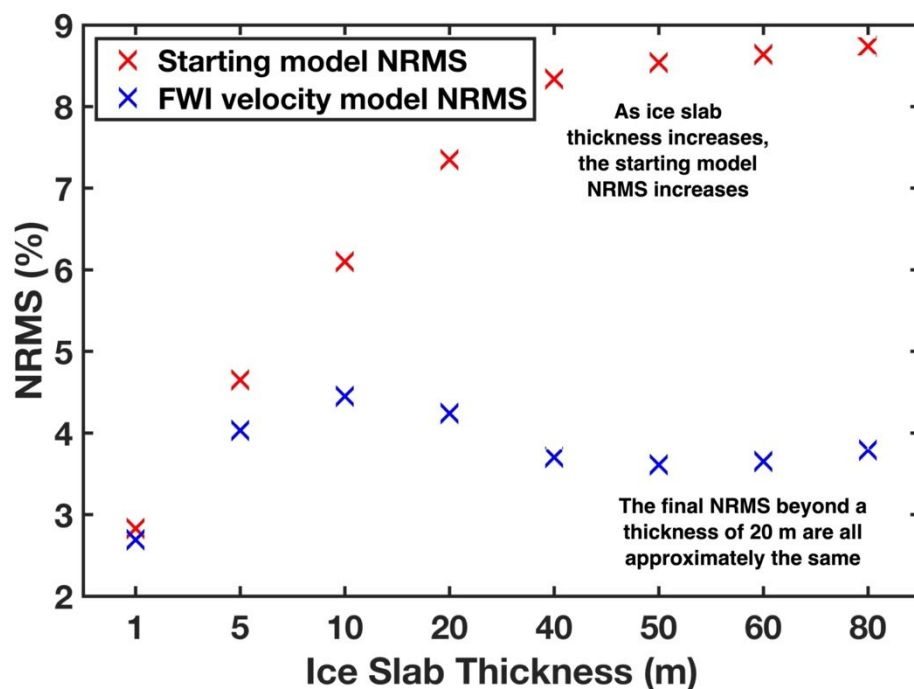
453
454 For any ice slab thickness exceeding the minimum wavelength of the seismic data (here, $\lambda = 20$ m)
455 (Figure 10D), FWI models show a distinct deviation towards increased velocity compared to the
456 starting model. Thinner layers cause a perturbation to the FWI velocity model, which could be used
457 to infer the presence of a slab, but they are unable to resolve its thickness or velocity. For the
458 thinnest slab thickness of 5 m (Figure 10 A), neither HWI or FWI are sensitive to the velocity anomaly
459 and the NRMS error is similar in both cases. For thicker ice slabs, particularly $>2\lambda$ (40 m) (Figure 10
460 G), the velocity anomaly is recovered well by FWI: the maximum velocity in the slab is ~ 3800 m s⁻¹.
461 Furthermore, the velocity also correctly reduces in the undisturbed firn that underlies the slab.
462

463 As the ice slab thickness increases, the NRMS error between the true model and the starting model
464 increases. An increase in slab thickness from 1 m to 80 m results in an increase in NRMS reduction
465 from approximately 5% to 60%. (Figure 11). In all cases, ice slabs ≥ 40 m (2λ) thick show a 50%-60%
466 decrease in NRMS while ice slabs thinner than 40 m only reduce NRMS by 5%-27%.
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Figure 10: Velocity model outputs from ice slabs of different thickness (A) 5 m, (D) 20 m, (G) 40 m and (J) 80 m. As ice slab thickness increases, the base of the ice slab propagates into firn with a greater compaction, and therefore seismic velocity. As such, the velocity anomaly between the firn and ice slab is smaller at greater depths.



477
478 *Figure 11: The reduction in NRMS achieved by FWI. An ice slab of greater thickness has the largest*
479 *starting NRMS error but can be corrected by FWI.*
480

481 5.2 Depth variations

482 For these experiments, the thickness of the ice slab is fixed at 30 m, and the depth of its upper
483 boundary is varied from 5 m to 80 m. Figure 12 shows selected examples of 5 m, 20 m and 80 m
484 from this depth range, and results are summarised in Figure 13.
485

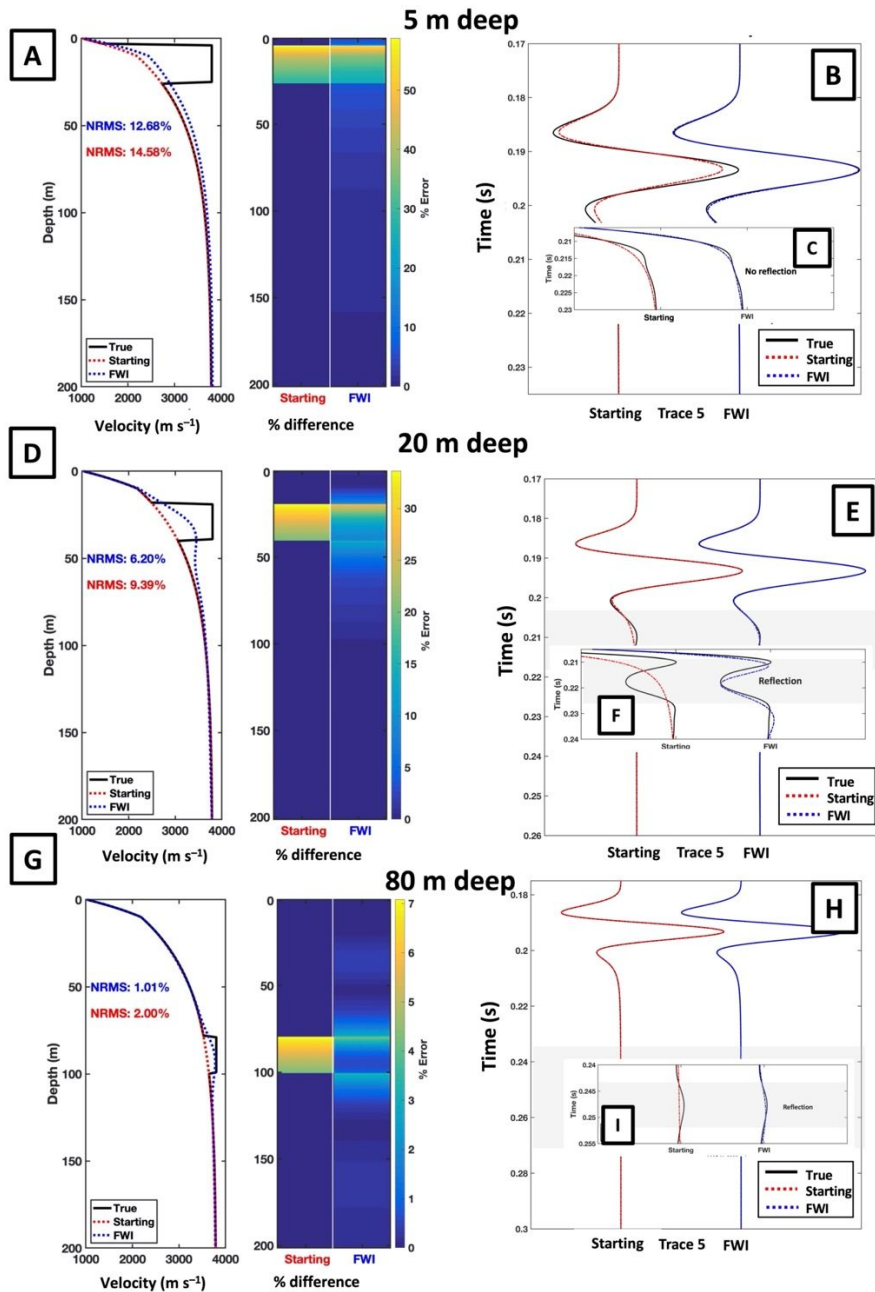
486 For ice slabs at a depth of 5 m (Figure 12A), no significant improvement to the velocity model is
487 achieved by FWI. For these data, FWI increases the overall velocity of the starting model from a
488 depth of 5 m to 60 m and does not recover the velocity inversion, instead introducing a localised
489 velocity update where the ice slab is located. When the ice slab is located at depths of ≥ 20 m
490 (Figure 12 D and E), a localised update to the starting model is observed, and the velocity inflexion
491 at the base of the ice slab is detected. The precision of the velocity inflexion marking the upper and
492 lower interface of the slab increases with depth, given that the starting model becomes closer to the
493 true model. This is consistent with the performance for the previous models, in which the resolution
494 of thicker ice slabs was improved given the smaller deviation to the starting velocity model.
495 Increasing the slab depth over the full range investigated, from 5 m to 100 m, results in a increase in
496 NMRS reduction from 13% to 51%.
497

498 Resolution limitations to detect ice slabs with FWI are based on the minimum wavelength of the
499 seismic data. When ice slabs are present with a thickness similar to the wavelength (20 m), FWI
500 begins to generate a distinctive velocity update, producing an apparent deviation from the starting
501 model and detecting the velocity inversion. As the thickness of the ice layer increases, FWI recovers
502 the true velocity anomaly well, with 40 m (2λ) thick layers accurately predicting the expected 3,800
503 m s^{-1} . Layers thinner than the dominant wavelength are still detected by FWI, causing deviations to
504 the recovered velocity model; however, FWI does not recover ice velocities correctly in such cases.
505 Ice slabs located within a depth interval less than the minimum wavelength are recovered as a single
506 ice slab, with increasing distance between the features resulting in improved characterisation of the
507 two layers.

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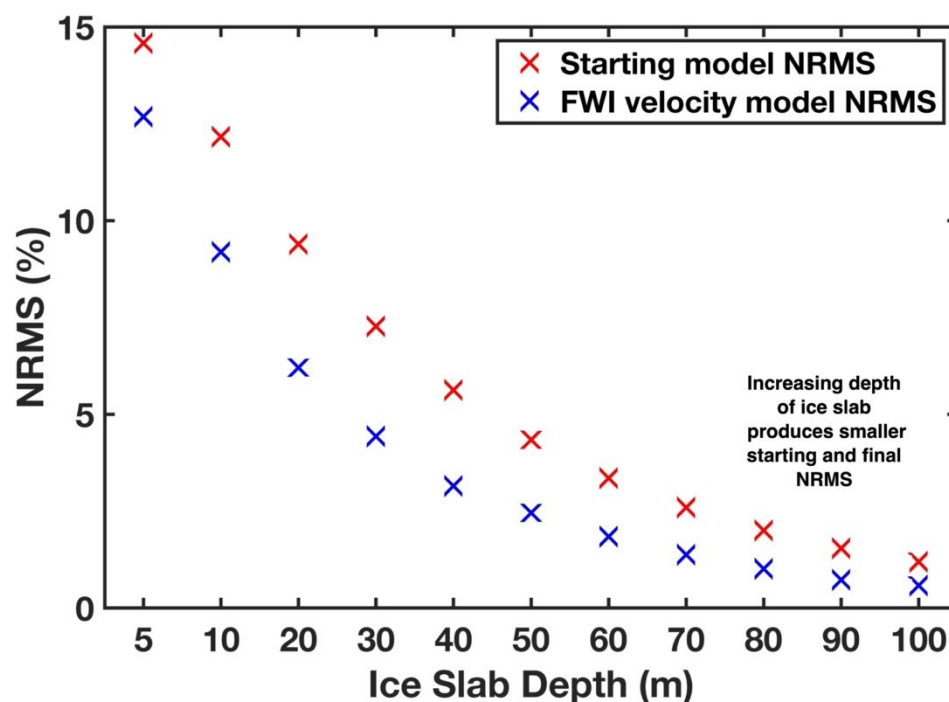
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514 *Figure 12: Velocity models for ice slabs at varying depths (left), the associated percentage error for every 1 m depth*
 515 *sample (right) and the NRMS error for the whole velocity profile. (A) Ice slab is not detected. (D) Velocity inversion begins*
 516 *to be recovered. (G) Velocity inversion detectable and update is a clear divergence from background velocity trend. As ice*
 517 *slabs are located deeper in the firn, the velocity anomaly caused by their presence within the background firn*

518 compaction trend, is proportionally smaller.



519
520 *Figure 13: The reduction in NRMS achieved by FWI for models with increasing depth of the ice slab.*

521
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523

524 6. DISCUSSION

525

526 6.1 Advantages of FWI over conventional inversion approaches

527

528 The firn layer is important to understand as it can provide insight into the accumulation history of a
529 glacier and should influence the design and interpretation of geophysical surveys that aim to explore
530 basal glacier properties. We show that acoustic FWI can be used to improve reconstruction of the
531 seismic structure of firn, compared to conventional travelt ime tomography approaches. FWI can
532 improve the recovery of the velocity structure of steady-state firn, leading to improved assessment
533 of density and understanding of the accumulation regime. Furthermore, FWI yields accurate results
534 even for poor starting models, provided that the deviation between the true and starting velocity
535 models is small enough to avoid cycle skipping. We show that FWI can determine the depth and
536 thickness of ice slabs located within the firn column, although the precise resolution and accuracy
537 depends on the thickness and depth of the ice slab (improving with increasing depth and thickness).

538

539

540 Analysis of a range of synthetic firn structures, including steady- and non-steady state accumulation,
541 show FWI can perform at least as well as HWI travel-time tomography approaches, but performs
542 particularly well when ground-truth estimates of the shallowest seismic velocity structure are
543 available. When FWI is used with the HWI velocity model as a starting model, the improvements of
544 the velocity model accuracy produced by FWI are small (with a maximum NRMS reduction of 0.5% to
545 the starting velocity model). An advantage of FWI, however, is that it can overcome errors in an
546 HWI-derived starting model given its iterative model update. At the very least, the FWI output will
547 be no worse than that initially derived from HWI .

20

548 Booth and others (2013) compared ground penetrating radar (GPR) with seismic refraction for
549 reconstructing the thickness and density of glacier snow-cover. GPR was found to be more
550 successful for accurately determining snow thickness, but seismic-derived velocities were more
551 closely related to densities measured in a co-located snow pit. Although empirical relationships are
552 still required to convert seismic velocity to density, FWI has shown that the velocity terms in such
553 conversions can now be evaluated more reliably. Furthermore, with the extension of our acoustic
554 FWI approach to an elastic inversion, it may be possible in the future to invert directly for firn
555 density, thereby circumventing empirical approaches altogether.

556
557

558 **6.2 Real-world applications of FWI methods**

559 The presence of ice slabs influences meltwater drainage and runoff across glaciers and ice masses
560 (MacFerrin and others, 2019). In the case of ice shelves, this decrease in permeability and increase in
561 surface meltwater can lead to a reduction in ice shelf stability (Kuipers Munneke and others, 2014).
562 This was observed on the Larsen B ice shelf, where firn compaction, meltwater ponding and
563 hydrofracturing were strongly implicated in the ice shelf's rapid disintegration in 2002 (Scambos and
564 others, 2004). FWI analysis of seismic data obtained over this region could have provided insight into
565 the internal structure of the ice shelf prior to its collapse and motivates the application of FWI to
566 existing ice shelves of concerning stability such as Larsen C Ice Shelf (LCIS).

567
568 Hubbard and others (2016) imaged a 40 m thick ice slab in a borehole located in Cabinet Inlet (in the
569 upstream reaches of the northern sector of LCIS) and interpreted the ice as having formed from the
570 accumulation of episodically refrozen surface meltwater ponds. Here the firn zone is 10°C warmer
571 and 170 kg m⁻³ denser than undisturbed firn in the surrounding area. Regional geophysical surveys
572 suggested that the ice slab is at least sixteen kilometres across and several kilometres long. While
573 GPR surveys (Booth and others, 2018) were able to constrain the layer's thickness and seismic
574 velocity models (Kulesa and others, 2015) were consistent with pure ice, neither method could
575 establish both the full depth extent and velocity anomaly of the slab. FWI methods show promise for
576 applications such as this, particularly given that the thickness of the slab exceeds more than twice
577 the wavelength of the seismic data presented in Kulesa and others (2015).

578
579 The next steps for FWI in glaciology are to apply these synthetic-based observations to real data.
580 Notable requirements observed from the synthetic studies are the use of very near offset receivers
581 to record the reflection from the ice combined with long offset to record the deep refractions. A
582 form of ground truth in the very near offset will aid to prevent cycle skipping, and improve the
583 likelihood of a successful FWI. The seismic source should also be as broadband as possible, featuring
584 sufficiently low frequency to prevent cycle skipping while also including high frequencies to improve
585 resolution.

586
587

588 **7. CONCLUSION**

589
590

591 It is important to be able to map firn structure and hydrology to understand process and to guide
592 models of the stability of ice shelves, in particular the impact of impermeable ice slabs. Ice slabs can
593 be detected and characterised by several geophysical methods, but FWI methods show potential for
594 significant improvement over established methods. Using synthetic steady-state firn velocity profiles
595 produced by the Herron and Langway densification model, we show FWI offers improved
596 reconstructions over conventional approaches when the starting velocity model was a poor

597 representation of the subsurface (as it commonly is). In non-steady-state cases featuring ice slabs,
598 FWI improves the constraint of slab depth and thickness, which is currently impossible to do with
599 established HWI approaches.

600

601 These synthetic analyses suggest that FWI should be considered in future seismic campaigns in
602 glaciology, particularly over complex subsurface structure with minimal ground-truth control. The
603 next steps for FWI in glaciology require the acquisition of FWI-compliant seismic data from real-
604 world applications to validate our model approaches. Any location with ice slabs within the firn
605 column would be appropriate, particularly at critical sites such as LCIS (e.g., for validation and the
606 necessary shallow ground-truth constraint) makes it an attractive proposition for a test case.

607

608

609 **ACKNOWLEDGEMENTS:**

610

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613 from The International Association of Mathematical Geoscientists. Roger Clark and Emma Smith are
614 thanked for their constructive discussion and support with this research and manuscript.

615

616 **DATA AVAILABILITY:**

617 Synthetic firn seismic velocity profiles are available to download from the figshare repository,
618 <https://doi.org/10.6084/m9.figshare.20765350.v1> (Pearce, 2022).

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