# Notice

This is a non-peer reviewed preprint submitted to EarthArXiv. This manuscript has been submitted to Geophysical Research Letters on 2020-02-19 with a reference number 2020GL087611. Subsequent versions may differ in text and content, please check for the newest version before referencing this preprint.

# **Details:**

Title: Analytical prediction of seismicity rate due to tides and other oscillating stresses

Authors:

Elías Rafn Heimisson (Caltech) Jean-Philippe Avouac (Caltech)

Contact: eheimiss@caltech.edu

# Analytical prediction of seismicity rate due to tides and other oscillating stresses

# Elías R. Heimisson<sup>1</sup>, and Jean-Philippe Avouac<sup>1</sup> <sup>1</sup>Division of Geological and Planetary Sciences, California Institute of Technology, Pasadena, CA, USA Key Points: We derive a simple analytical model for seismicity rate based on rate-and-state friction The model can be applied to perpetually oscillating stresses on Earth and other solid-surface bodies

• We reevaluate recent work on possible tidally triggered seismicity on Mars

 $Corresponding \ author: \ Elías \ R. \ Heimisson, \ \texttt{eheimiss@caltech.edu}$ 

#### 11 Abstract

Oscillatory stresses are ubiquitous on Earth and other solid-surface bodies. Tides and 12 seasonal signals perpetually stress faults in the crust. Relating seismicity rate to these 13 stresses offers fundamental insight into earthquake triggering. We present a simple model 14 that describes seismicity rate due to perpetual oscillatory stresses. The model applies 15 to large amplitude, non-harmonic, and quasi-periodic stressing histories. However, it is 16 not valid for long periods, which are larger than a characteristic time  $t_a$ . We show that 17 the seismicity rate from a short period stressing scales with the stress amplitude, but for 18 long periods, the stressing rate. We suggest that parameter  $A\sigma_0$  may be underestimated 19 if stresses are approximated by a single harmonic function. We revisit Manga et al. (2019), 20 which analyzed the potential tidal triggering of Marsquakes. We find that the maximum 21 response of the seismicity on Mars was likely overestimated by over one order of mag-22 nitude. 23

#### <sup>24</sup> Plain Language Summary

The surface of the Earth, and many other planets and moons, is constantly being stressed in an oscillatory manner, for example, by the gravitational pull of moons, planets, and suns. Further, the weather, climate, oceans, and other factors may also generate oscillatory stresses. The resulting fluctuations in stress may result in an increased or decreased probability of earthquakes with time. Here we derive a simple formula that can help scientists understand how these oscillatory stresses relate to seismic activity. Moreover, we revisit a recent estimate of the maximum sensitivity of Marsquakes to oscillatory stresses and find that it was likely overestimated.

# <sup>33</sup> 1 Introduction

Faults in the shallow crust are submitted to perpetual, quasi-periodic, oscillatory 34 stress perturbations due to a number of forcing factors. In particular, oceanic or solid 35 earth-tides, seasonal surface loads due to surface hydrology and the cryosphere, and sur-36 face temperature changes. The study of the seismicity response to such stress variations 37 can in principle provide insight into fault friction and earthquake nucleation mechanisms 38 (e.g. Beeler & Lockner, 2003; Scholz et al., 2019; Luo & Liu, 2019; Ader et al., 2014) and 39 possibly inform us on the preparatory phase to impending earthquakes (e.g. Chanard 40 et al., 2019; Tanaka, 2012). On Mars and the Moon, such factors might actually be the 41

dominant source of seismicity (Manga et al., 2019; Duennebier & Sutton, 1974; Lognonne,
2005).

Stresses from oscillatory loading are temporally complex but can be computed with 44 reasonable accuracy (e.g. Lu et al., 2018; Johnson et al., 2020), their relationship to changes 45 in seismicity or tremor rate might reveal fundamental insight into earthquake trigger-46 ing. While tectonic tremors seem strongly correlated to tides both in the roots of strike-47 slip faults (Thomas et al., 2012, 2009) and subduction zones (Rubinstein et al., 2008; Yabe 48 et al., 2015; Houston, 2015). It has also been observed that slow slip can be modulated 49 by tidal stresses (Hawthorne & Rubin, 2010). Seasonal variation of seismicity driven by 50 surface load variations have been reported in a number of studies (e.g. Bettinelli et al., 51 2008; Amos et al., 2014; Ueda & Kato, 2019). However, in most places, the seismicity 52 rate depends weakly on tides (Tanaka et al., 2002; Cochran et al., 2004), except at mid-53 ocean ridges where a particularly strong response has been observed (e.g. Tolstoy et al., 54 2002). With the emergence of the next generation of machine learning and template match-55 ing techniques for generating earthquake catalogs, which may have ten times the sen-56 sitivity of traditional methods (e.g. Ross et al., 2019), we will be able to detect and quan-57 tify the seismicity response to tidal and seasonal loading. New developments in obser-58 vational earthquake seismology, as well as the emplacement of a seismometer on Mars, 59 call for a simple model for seismicity rate under tidal loading that can be compared to 60 data, here we provide such a model (equation 15) that can be readily used and has ef-61 fectively only one free parameter in most applications.

Theoretical studies to date have used the rate-and-state seismicity production model of Dieterich (1994) to develop an approximate theory for oscillatory stresses. Dieterich (2007) recognized that for small amplitude and short duration stress changes, the tidally 65 induced signal could be approximated as the instantaneous response predicted by the 66 Dieterich (1994) theory. Under these assumptions, Dieterich (2007) derived a simple re-67 lationship for a harmonic stress perturbation. Ader et al. (2014) provided a more gen-68 eral analytical expression and showed that once some of the assumptions made by Dieterich 69 (2007) no longer hold the response is not simply the instantaneous response; however, 70 the analysis of Ader et al. (2014) was also restricted to a single harmonic perturbation. 71 Because rate-and-state friction is highly non-linear, knowing the response to harmonic 72 perturbations is not sufficient to describe the response to oscillatory stress variations in 73 general. For example, tidal loading can not be explained by a single harmonic pertur-74

-3-

bation (e.g. Figure 1) and the formalism of Dieterich (2007) and Ader et al. (2014) would 75 not allow estimating the expected seismicity response. We, therefore, present a simple 76 approximate relationship for seismicity rate due to arbitrary long-term oscillatory stress-77 ing that is superimposed on the long-term constant stressing rate. The oscillatory stress-78 ing can be non-harmonic, quasi-periodic, and include random variations. The approx-79 imation is typically valid as long as the average of the oscillatory stress is zero on time-80 scale shorter than a characteristic time  $t_a$ . We give a mathematical condition for when 81 the approximation is valid and provide corrections and alternative expressions for end-82 member cases where the approximation breaks down. As an illustration, we show that 83 our solution predicts a seismicity response to seasonal forcing on Mars that is significantly 84 different from that predicted by Manga et al. (2019) based on the solution of Dieterich 85 (1994).86



Figure 1. Time-series of Coulomb stress changes due to the solid earth tides.(a) 10 years of Coulomb stress perturbations due to solid earth tides on a shallow right-lateral strike-slip fault striking NW-SE and located at Caltech campus. (b) The stress changes in the black box in (a). In section 3.1 we will compute the theoretical seismicity rate during the period in (b) where the entire time-series in (a) is used to fade out the instantaneous initial response.

### 87 2 Theory

88

Heimisson and Segall (2018) re-derived the Dieterich (1994) theory and showed:

$$R(t) = r \frac{K(t)}{1 + \frac{1}{t_a} \int_0^t K(t') dt'},$$
(1)

where R(t) is the seismicity rate produced by a populations of seismic sources with background seismicity rate r. See Heimisson (2019) for a detailed definition of the concept of a population of seismic sources. Further, Heimisson and Segall (2018) showed that if changes in normal stress  $\sigma(t)$  are small compared to the initial normal stress  $\sigma_0$  then Kis well approximated as:

$$K(t) \approx \exp\left(\frac{S(t)}{A\sigma_0}\right),$$
 (2)

see Eq. 30 in Heimisson and Segall (2018) for detailed conditions for the validity of the
approximation. Here S(t) = τ(t) - μσ(t) is the (modified) Coulomb stressing history,
where μ = τ<sub>0</sub>/σ<sub>0</sub> - α. τ<sub>0</sub> and σ<sub>0</sub> are the initial background shear and effective normal
stress respectively, α is the Linker-Dieterich constant (Linker & Dieterich, 1992).

The presence of the integral in Eq. 1 and the fact that K(t) > 0 causes perturbations introduced at t = 0 to decay. The (very) short time limit of Eq. 1, or alternatively the instantaneous response is simply:

$$R = rK(t) \approx r \exp\left(\frac{S(t)}{A\sigma_0}\right) \tag{3}$$

Dieterich (2007) argued that the instantaneous response (Eq. 3) is appropriate for periodic loading when the period T is small compared to a characteristic time, which describes when the seismicity rate starts decaying. We shall here investigate the validity of that arguement by Dieterich (2007), which has often been applied to tidal triggering of seismicity and tremor (e.g. Dieterich, 2007; Thomas et al., 2012; Delorey et al., 2017; Scholz et al., 2019).

We write the stressing history as the sum of steady stressing rate  $(\dot{\tau}_0 t)$  and timedependent stress perturbation  $S_T(t)$  and get

$$K(t) = \exp\left(\frac{S(t)}{A\sigma_0}\right) = \exp\left(\frac{S_T(t)}{A\sigma_0} + \frac{t}{t_a}\right) = \eta(t)\exp\left(\frac{t}{t_a}\right),\tag{4}$$

where  $t_a = A\sigma_0/\dot{\tau}_0$ . We assume  $\eta(t)$  is an function with the following property

$$\eta(t) = M + \epsilon(t), \text{ where } M = \lim_{T \to \infty} \frac{1}{T} \int_0^T \eta(t) dt \text{ with } |M| < \infty,$$
(5)

110 it follows that

$$\lim_{T \to \infty} \frac{1}{T} \int_0^T \eta(t) dt = \lim_{T \to \infty} \frac{1}{T} \int_0^T M dt + \frac{1}{T} \int_0^T \epsilon(t) dt = M + \lim_{T \to \infty} \frac{1}{T} \int_0^T \epsilon(t) dt.$$
(6)

In other words, M is the average of  $\eta(t)$  and  $|M| < \infty$ , thus the average of  $\epsilon(t)$  is zero, that is

$$\lim_{T \to \infty} \frac{1}{T} \int_0^T \epsilon(t) dt = 0.$$
(7)

For example, any periodic bounded function  $\eta(t) = \eta(t+T)$ , satisfies these conditions. 113 In which case the physical interpretation of  $\eta(t)$  is  $\log(\eta(t)) = S_p(t)/A\sigma_0$  where  $S_p(t) =$ 114  $S_p(t+T)$  is a periodic stress perturbation. There is no requirement that  $S_p(t)$  be a har-115 monic pertubation, such as previously explored (Ader et al., 2014; Dieterich, 2007). If 116  $\eta(t)$  is periodic then Eq. 4 describes a combination of steady stressing rate  $(t_a = A\sigma_0/\dot{\tau}_0)$ 117 and a sum of periodic stress perturbations that represent the tidal loading. Tidal load-118 ing, and other oscillatory stresses, has multiple components and their periods do not ex-119 actly differ by a integer the resulting stressing history, which we shall call  $S_T(t)$ , is not 120 periodic. However, we can still write  $\eta(t) = \exp(S_T(t)/A\sigma_0) = M + \epsilon(t)$ . Further, we 121 could imagine that  $\epsilon(t)$  contains a stochastic component. We shall now derive the long 122 term behavior of a population of seismic sources that is persistently subject to a stress-123 ing history that can be written in the form of Eq. 4. 124

125

At intermediate times we may simplify Eq. 1

$$\frac{R(t)}{r} = \frac{K(t)}{\frac{1}{t_a} \int_0^t K(t') dt'},$$
(8)

or using the form in Eq. 4

$$\frac{R(t)}{r} = \frac{\eta(t) \exp\left(\frac{t}{t_a}\right)}{\frac{1}{t_a} \int_0^t \eta(t) \exp\left(\frac{t}{t_a}\right) dt'}.$$
(9)

127 Substitution with 5 yields

$$\int_{0}^{t} \eta(t) \exp\left(\frac{t}{t_{a}}\right) dt' = t_{a} M \exp\left(\frac{t}{t_{a}}\right) + \int_{0}^{t} \epsilon(t') \exp\left(\frac{t'}{t_{a}}\right) dt'$$
(10)

and we get:

$$\frac{R(t)}{r} = \frac{\eta(t)}{M + \frac{1}{t_a} \int_0^t \epsilon(t') \exp\left(\frac{-(t-t')}{t_a}\right) dt'}.$$
(11)

We recognize that  $\int_0^t \epsilon(t') \exp\left(\frac{-(t-t')}{t_a}\right) dt'$  is simply a convolution. The function  $\exp(-(t-t')/t_a)$ , imposes a memory effect and essentially eliminates any contribution in fluctuations in  $\epsilon(t)$  in a time window of that lies significantly outside times  $t-t_a$  to t. Thus if  $\epsilon(t)$  averages to 0 on a timescales that is significantly shorter that  $t_a$  the integral can generally be ignored. For example, this condition is satisfied if the oscillatory stresses, and possible random stresses, average to approximately zero on a time-scale smaller than  $t_a$ . More precisely, the integral can be ignored if the following condition applies

$$\left|\frac{\frac{1}{t_a}\int_0^t \epsilon(t') \exp\left(\frac{-(t-t')}{t_a}\right) dt'}{M}\right| \ll 1, \text{ for all } t,$$
(12)

then equation 11 reduces to

$$\frac{R(t)}{r} = \frac{\eta(t)}{M} = \frac{\exp\left(\frac{S_T(t)}{A\sigma_0}\right)}{M}.$$
(13)

<sup>137</sup> We have denoted the oscillatory contribution of the stresses as  $S_T(t)$  and M is the av-<sup>138</sup> erage

$$M = \lim_{T \to \infty} \frac{1}{T} \int_0^T \exp\left(\frac{S_T(t)}{A\sigma_0}\right) dt.$$
 (14)

Equation 13 generalizes the special cases for a harmonic perturbation that were explored

by Ader et al. (2014). We note that oscillatory stress perturbations will take a 0 value

at some time, in other words, at time  $t_0$ ,  $S_T(t_0) = 0$ . The rate is

$$R_0 = \frac{r}{M},$$

Thus rate R is equal to the background average rate r is only when the there are no oscillatory stresses (that is  $R_0 = r$  if M = 1), thus the validity of the theory proposed by Dieterich (2007) is limited to the case when the stress perturbation is very small compared to  $A\sigma_0 (S_T(t)/A\sigma_0 \ll 1)$ . Since  $R_0$  may be an observable it can be useful to rewrite Eq. 13

$$R(t) = R_0 \exp\left(\frac{S_T(t)}{A\sigma_0}\right).$$
(15)

#### Validity of equations 13/15

148

The validity of equations 13 and 15 rests on the the validity of Eq. 12. Two dif-

ferent expansions of the relevant term are possible through repeated integration by parts

$$\frac{1}{t_a} \exp\left(-\frac{t}{t_a}\right) \int \epsilon(t') \exp\left(\frac{t'}{t_a}\right) dt' = \frac{\epsilon^{-1}(t)}{t_a} - \frac{\epsilon^{-2}(t)}{t_a^2} + \frac{\epsilon^{-3}(t)}{t_a^3} + \dots$$
(16)

$$\frac{1}{t_a} \exp\left(-\frac{t}{t_a}\right) \int \epsilon(t') \exp\left(\frac{t'}{t_a}\right) dt' = \epsilon - t_a \epsilon^1(t) + t_a \epsilon^2(t) - t_a^3 \epsilon^{-3}(t) + \dots$$
(17)

where  $\epsilon^n$  is the *n*-th derivative of  $\epsilon$  and  $\epsilon^{-n}$  is the *n*-th indefinite integral (or anti-derivative) of  $\epsilon$ . If the largest period,  $T_{max}$  in the Fourier decomposition of  $\epsilon$  with a non-zero coefficient satisfies  $T_{max} < t_a$  then the *n*-th term in Eq. 16 will be a correction of order  $O(T_{max}^n/t_a^n)$ , and convergence is expected. For long period changes  $T_{min} > t_a$ , equation 17 provides an expansion where we have  $O(t_a^n/T_{min}^n)$  correction for the *n*-th term.

In the short period limit,  $T_{max} < t_a$ , we find a first order correction to Eq. 13:

$$\frac{R(t)}{r} = \frac{\exp\left(\frac{S_T(t)}{A\sigma_0}\right)}{M + \frac{\epsilon^{-1}(t)}{t_a}},\tag{18}$$

where in practice we compute  $\epsilon^{-1}(t)$  using the following equation unless the indefinite integral is known analytically.

$$\epsilon^{-1}(t) = \int_{-t_0}^t \exp\left(\frac{S_T(t)}{A\sigma_0}\right) dt - Mt,$$

where  $t_0 > 0$  is chosen sufficiently large to erase the influence of the initial stress value in the integral. In the long period limit,  $T_{min} > t_a$ , we get,

$$\frac{R(t)}{r} = \frac{\exp\left(\frac{S_T(t)}{A\sigma_0}\right)}{\exp\left(\frac{S_T(t)}{A\sigma_0}\right) - t_a \exp\left(\frac{S_T(t)}{A\sigma_0}\right) \frac{\dot{S}_T(t)}{A\sigma_0}} = \frac{1}{1 - t_a \frac{\dot{S}_T(t)}{A\sigma_0}}.$$
(19)

Equation 19 may be useful when investigating long term behavior such as seasonal changes if  $t_a$  is shorter than 1 year as is probably the case in active tectonic settings (e.g. Bettinelli et al., 2008). Notably, equation 19 depends on the stressing rate, not directly the stress. Implying that, in this particular limit, the seismicity rate is out of phase with the stress variations. Furthermore, we see that equation 13 is not valid in this limit since it predicts that the seismicity rate is proportional to the stress change, not the stressing rate.

#### 167

# 3 Examples of applications and comparison with theory

168

### 3.1 Application to solid-earth tides

To test equation 13 against the full solution (equation 1) we generate a time se-169 ries of Coulomb stress change using the Solid software (Milbert, 2018). The entire time-170 series is shown in Figure 1a, but we will restrict our attention to the observations win-171 dow shown in Figure 1b. Most of the time series in Figure 1a is used to erase the ini-172 tial response or initial conditions in equation 1 and compute M. In the following we re-173 ferred to this procedure simply as erasing the initial response. We choose  $t_a = 0.5$  years. 174 We vary  $A\sigma_0$  as described in Figure 2 choosing rather low values that yield a particu-175 larly large response. We find that even for large fluctuations in R/r, equation 13 is in 176 good agreement with the full solution (Figure 2c). 177



Figure 2. Comparison of various approximations and the full solution in equation 1 after the initial response has been faded out. Scaled seismicity rate (R/r) for (a)  $A\sigma_0 = 5 \cdot 10^{-3}$  MPa, (b)  $A\sigma_0 = 2 \cdot 10^{-3}$  MPa, (c)  $A\sigma_0 = 1 \cdot 10^{-4}$  MPa. In all cases equation 13 provides an excellent approximation. A single harmonic perturbation does not capture the details of the curve shape or amplitude.

Corresponding theory for a single harmonic stress perturbation has been previously reported and is not repeated here for the sake of brevity. We computed the dominant frequency of the signal in Figure 1a, by computing a power spectral density. Then find the best fitting amplitude and phase by minimizing an  $L_2$  norm that quantifies the residual between the time-series shown in Figure 1a and the single harmonic function. The resulting stress perturbations are then used to compute the seismicity rate using both the expressions from Dieterich (2007) and Ader et al. (2014) in Figure 2. Using the dom-

-10-

inant frequency of the earth-tide signal generally predicts when the seismicity rate is higher
or lower than average. However, the shape and amplitude of the theoretical seismicity
rate time-series cannot be matched with a single harmonic function.

188

#### 3.2 Marsquakes: Reevaluating Manga et al. (2019)

Recently, Manga et al. (2019) argued that Mars might have a clearer relationship 189 between tides and seismicity rate, which could result in variation as large as two orders 190 of magnitude in scaled seismicity rate R/r, also known as relative seismicity rate (see 191 Figure 3 bottom-left panel in Manga et al. (2019)). As we show, their predicted signal 192 was apparently produced based on the initial instantaneous response (Figure 3a) and thus 193 incorrect. As discussed in the previous section, care needs to be taken to erase the ini-194 tial response when applying equation 1 by simulating a period of time before the obser-195 vation window that is much larger than  $t_a$  and is sufficiently long to estimate M accu-196 rately. If this is not done, the tidal response may be significantly over-estimated, indeed 197 by a factor of 1/M. 198

We use equation 1 without erasing the initial response and find a good agreement with their results (Figure 3a), in spite of some simplifying assumptions we make that are detailed in the next paragraph. Extrapolation of their results suggest that the changes in seismicity rate should be much smaller than they estimated (Figure 3b).



Figure 3. Reevaluation of Manga et al. (2019), reveals that they likely overestimated the their maximum response at least a factor of 10. (a) using an approximate stressing history we observe that equation 1 is in good agreement with the results reported in Figure 3 bottom-left panel in Manga et al. (2019), whereas equation 13 suggests that the amplitude should be approximately 100 times less. (b) Simulating a time-scale  $t \sim t_a$ , where  $t_a \approx 71.5$  earth years, shows that equation 1 and 13 appear to converge once the initial response gets erased.

To replicate the results of Manga et al. (2019), we simply approximate the Coulomb 203 stress perturbations they reported for strike  $= 0^{\circ}$  (Figure 2 in Manga et al. (2019)) by 204 a sum of three harmonic functions fitted to a digitized version of their figure. This pro-205 vides an excellent fit to the reported Coulomb stress calculations during the four days 206 window they show. However, the long term extrapolation shown in Figure 3b shows that 207 the seismicity rate decays over a time-scale of  $t \sim t_a$ , before reaching the true expected 208 rate due to tidal loading. The transient high seismicity rate would not be observable and 209 only the long term steady response should be considered observable. We appreciate and 210 respect the original and forward-looking work of Manga et al. (2019), but claim that their 211 maximum estimated seismicity response to tidal forcing is likely overestimated by at least 212 one order of magnitude. 213

#### <sup>214</sup> 4 Discussion

Equations 13 or 15 offer an estimate of the seismicity rate produced by a popula-215 tion of seismic sources due to a stressing history, which is produced by a constant stress-216 ing rate and oscillating stress sources. These equations are perfectly equivalent and sim-217 ple to use, given that the stressing history is known, there is only one free parameter that 218 may need to be fitted:  $A\sigma_0$ . In case of observations of a seismicity response to a known 219 stressing history, they might thus be used to assess the validity of the theory for seismic-220 ity rate based on rate-and-state friction (Dieterich, 1994; Heimisson & Segall, 2018) and 221 place constraints on the friction law. Further, establishing  $A\sigma_0$  by using tides or seasonal 222 stress variations has implications for physics-based forecasts of aftershocks, where this 223 parameter also needs to be estimated (e.g. Hainzl et al., 2010). Thus tides could be used 224 in advance to constrain the value as a function of geographic location. Then those val-225 ues could be used for aftershock forecasts once an earthquake occurs. 226

Equation 15 maybe more useful in data applications than 13 since it does not re-227 quire knowledge of the long-term stressing history and  $R_0$  can be simply estimated from 228 the observed rate as the calculated Coulomb stresses passes through  $S_T = 0$ . Remark-229 ably, Scholz et al. (2019) used Eq. 15 in their study in good agreement with data. They, 230 however, referred to R as "the instantaneous seismicity rate". As we have shown here 231 R in equation 3 represents the instantaneous seismicity rate, but equation 15 is the ap-232 proximate seismicity rate in the presence of long term response tidal loading or other os-233 cillatory stresses.  $R_0 \neq r$ , unless  $|S_T(t)|/A\sigma_0 \ll 1$  for all t, in which case  $R_0 \approx r$ . 234

The approximation made in equation 13 or 15 is not valid in the limit of a very long 235 period stress variations that are larger than  $t_a$  as described by equation 19. In this case, 236 we expect the seismicity rate to be proportional to the stressing rate, but not the stress. 237 Beeler and Lockner (2003) conducted experiments on a saw-cut sample in a triaxial load-238 ing frame. They imposed oscillatory stresses on a constant stressing rate and found that 239 for short periods compared to the nucleation time, changes in event probability was in 240 phase with the stress. However, for long periods the events probability was in phase with 241 the stressing rate. Their finding is in agreement with our theoretical results. Johnson 242 et al. (2017) investigated the relationship between seismicity rate and seasonal variations 243 in shear stress and stress rate in California. Depending on fault orientation, they iden-244 tified a weak correlation of seismicity rate with either shear stressing rate or stress. This 245

-13-

finding would suggest that on average  $t_a$  changes with fault orientation. That is reason-246 able since background stressing rates must vary with fault orientation. We emphasize 247 that when investigating seasonal changes in seismicity rate, which may be on a similar 248 time-scale as  $t_a$ , one has to be careful in picking the appropriate approximation (either 249 13 or 19). We strongly suggest that equation 1 should be used for reference after hav-250 ing erased the initial response. Further, we recall that our analysis assumes that a sin-251 gle degree of freedom spring-and-slider system can approximate the response of a fault 252 to a stress perturbation. Significant differences have been observed if finite fault effects 253 need to be taken into account (e.g. Kaneko & Lapusta, 2008; Ampuero & Rubin, 2008; 254 Rubin & Ampuero, 2005). Simulations indicate that this happens if the typical period 255 of the stress perturbation is of the order of  $2\pi t_a$  (Ader et al., 2014). In that case, the 256 analytical solutions described in this study would not apply. 257

Using a single harmonic function to represent the oscillating stressing history may be desirable due to the simplicity of the problem and the fact that spectral analysis, such as the Schuster spectra, can be used to extract the dominant period of the seismicity rate (Ader et al., 2014). However, this may lead to a bias in the estimate of  $A\sigma_0$  if the stressing history has multiple components that can add up coherently. Let us assume that the stressing history is composed of N harmonic components:

$$S_T(t) = \sum_{i=1}^N c_i \sin\left(\frac{2\pi t}{T_i} + \phi_i,\right)$$
(20)

where the amplitudes are sorted:  $c_1 < c_2 < \ldots < c_N$  and thus  $T_1$  is the dominant period. Using equation 15 and only the dominant harmonic component of the  $S_T(t)$  then one finds:

$$\log\left(\frac{\max(R)}{R_0}\right) = \frac{c_1}{(A\sigma_0)_{SH}},\tag{21}$$

where  $(A\sigma_0)_{SH}$  represent the estimate of  $A\sigma_0$  under the assumption of a single harmonic, and max(R) is the maximum observed seismicity rate. However, for multiple harmonics we find:

$$\log\left(\frac{\max(R)}{R_0}\right) = \max\left(\frac{\sum_{i=1}^N c_i \sin\left(\frac{2\pi t}{T_i} + \phi_i\right)}{(A\sigma_0)_{MH}}\right) \le \frac{\sum_{i=1}^N c_i}{(A\sigma_0)_{MH}},\tag{22}$$

where  $(A\sigma_0)_{MH}$  represent the estimate of  $A\sigma_0$  under the assumption of multiple harmonics. Thus we conclude that the ratio of the two estimates is bounded in the following manner

$$\frac{(A\sigma_0)_{MH}}{(A\sigma_0)_{SH}} \le \frac{\sum_{i=1}^N c_i}{c_1}.$$
(23)

We, therefore, expect that  $A\sigma_0$  is typically underestimated if a single harmonic stress source is assumed. This conclusion is consistent with Figure 2, which shows that that the amplitude is not well match by a single harmonic, but reducing  $A\sigma_0$  could provide better agreement, but the inferred value of  $A\sigma_0$  would be systematically underestimated.

#### <sup>277</sup> 5 Conclusions

We have derived a simple approximate equation for the relationship between seis-278 micity and oscillatory stresses, for example, due to tidal or seasonal loading, based on 279 rate-and-state friction. This relationship may be used in theoretical or observations stud-280 ies (equation 13 or 15). In applications to observations, only one free parameter ( $A\sigma_0$ ) 281 needs to be determined. We compare our approximations to using the dominant harmonic 282 mode of the stresses and to the full solution (1) where the initial response has been care-283 fully erased. We conclude that in most cases, our approximation is in excellent agree-284 ment with the full solution and is much more accurate than using a single harmonic stress 285 perturbation as an approximation. We find that Manga et al. (2019) very likely overestimated the changes in seismicity rate due to tides on Mars. We have here provided 287 a simple equation 13 that may be used to reevaluate this effect or, more generally, the 288 seismicity response expected from stress variations on Earth and solid-surface bodies, 289 provided that fault finite-size effects can be neglected. 290

#### 291 Acknowledgments

This is a theoretical paper and contains no data. This research was partly supported byNSF award EAR-1821853.

# 294 References

Ader, T. J., Lapusta, N., Avouac, J.-P., & Ampuero, J.-P. (2014, 05). Response of rate-and-state seismogenic faults to harmonic shear-stress perturbations. *Geo*-

-15-

| 297 | physical Journal International, 198(1), 385-413. doi: 10.1093/gji/ggu144               |
|-----|--|
| 298 | Amos, C. B., Audet, P., Hammond, W. C., Bürgmann, R., Johanson, I. A., & Ble-          |
| 299 | witt, G. (2014). Uplift and seismicity driven by groundwater depletion in              |
| 300 | central california. Nature, 509<br>(7501), 483–486. doi: 10.1038/nature13275           |
| 301 | Ampuero, JP., & Rubin, A. M. (2008). Earthquake nucleation on rate and state           |
| 302 | faults – aging and slip laws. Journal of Geophysical Research: Solid Earth,            |
| 303 | 113(B1). doi: 10.1029/2007JB005082   |
| 304 | Beeler, N. M., & Lockner, D. A. (2003). Why earthquakes correlate weakly with the      |
| 305 | solid earth tides: Effects of periodic stress on the rate and probability of earth-    |
| 306 | quake occurrence. Journal of Geophysical Research: Solid Earth, 108(B8). doi:          |
| 307 | $10.1029/2001 \mathrm{JB001518}$   |
| 308 | Bettinelli, P., Avouac, JP., Flouzat, M., Bollinger, L., Ramillien, G., Rajaure, S., & |
| 309 | Sapkota, S. (2008). Seasonal variations of seismicity and geodetic strain in the       |
| 310 | himalaya induced by surface hydrology. Earth and Planetary Science Letters,            |
| 311 | 266(3), 332-344.doi: 10.1016/j.epsl.2007.11.021  |
| 312 | Chanard, K., Nicolas, A., Hatano, T., Petrelis, F., Latour, S., Vinciguerra, S., &     |
| 313 | Schubnel, A. (2019). Sensitivity of acoustic emission triggering to small pore         |
| 314 | pressure cycling perturbations during brittle creep. Geophysical Research              |
| 315 | Letters, $46(13)$ , 7414-7423. doi: 10.1029/2019GL082093                               |
| 316 | Cochran, E. S., Vidale, J. E., & Tanaka, S. (2004). Earth tides can trigger shallow    |
| 317 | thrust fault earthquakes. Science, $306(5699)$ , 1164–1166. doi: 10.1126/science       |
| 318 | .1103961   |
| 319 | Delorey, A. A., van der Elst, N. J., & Johnson, P. A. (2017). Tidal triggering of      |
| 320 | earthquakes suggests poroelastic behavior on the san andreas fault. Earth and          |
| 321 | Planetary Science Letters, 460, 164 - 170. doi: https://doi.org/10.1016/j.epsl         |
| 322 | .2016.12.014   |
| 323 | Dieterich, J. (1994). A constitutive law for rate of earthquake production and its     |
| 324 | application to earthquake clustering. J. Geophys. Res. Solid Earth, $99(B2)$ ,         |
| 325 | 2601–2618. doi: 10.1029/93JB02581  |
| 326 | Dieterich, J. (2007). 4.04 - applications of rate- and state-dependent friction to     |
| 327 | models of fault-slip and earthquake occurrence. In G. Schubert (Ed.), <i>Treatise</i>  |
| 328 | on geophysics (second edition) (Second Edition ed., p. 93 - 110). Oxford: El-          |
| 329 | sevier. Retrieved from http://www.sciencedirect.com/science/article/                   |

| 330 | $\verb"pii/B9780444538024000750" doi: https://doi.org/10.1016/B978-0-444-53802-58802000-588002-588002-588002-588002-58800000000-5880000000000$ |
|-----|--|
| 331 | .00075-0   |
| 332 | Duennebier, F., & Sutton, G. H. (1974). Thermal moonquakes. Journal of Geophys-  |
| 333 | ical Research (1896-1977), 79(29), 4351-4363. doi: 10.1029/JB079i029p04351   |
| 334 | Hainzl, S., Brietzke, G. B., & Zoller, G. (2010). Quantitative earthquake forecasts  |
| 335 | resulting from static stress triggering. Journal of Geophysical Research: Solid  |
| 336 | Earth, 115(B11). doi: 10.1029/2010JB007473   |
| 337 | Hawthorne, J. C., & Rubin, A. M. (2010). Tidal modulation of slow slip in casca-   |
| 338 | dia. Journal of Geophysical Research: Solid Earth, $115(B9)$ . doi: $10.1029/$   |
| 339 | 2010JB007502   |
| 340 | Heimisson, E. R. (2019). Constitutive law for earthquake production based  |
| 341 | on rate-and-state friction: Theory and application of interacting sources.   |
| 342 | Journal of Geophysical Research: Solid Earth, 124(2), 1802-1821. doi:  |
| 343 | 10.1029/2018JB016823   |
| 344 | Heimisson, E. R., & Segall, P. (2018). Constitutive law for earthquake production  |
| 345 | based on rate-and-state friction: Dieterich 1994 revisited. Journal of Geophysi-   |
| 346 | cal Research: Solid Earth, $123(5)$ , $4141-4156$ . doi: $10.1029/2018$ JB015656   |
| 347 | Houston, H. (2015). Low friction and fault weakening revealed by rising sensitiv-  |
| 348 | ity of tremor to tidal stress. Nature Geoscience, 8(5), 409–415. doi: 10.1038/   |
| 349 | ngeo2419   |
| 350 | Johnson, C. W., Fu, Y., & Bürgmann, R. (2017). Seasonal water storage, stress  |
| 351 | modulation, and california seismicity. Science, $356(6343)$ , 1161–1164. doi: 10   |
| 352 | .1126/science.aak9547  |
| 353 | Johnson, C. W., Fu, Y., & Bürgmann, R. (2020). Hydrospheric modulation of stress   |
| 354 | and seismicity on shallow faults in southern alaska. Earth and Planetary Sci-  |
| 355 | ence Letters, 530, 115904. doi: 10.1016/j.epsl.2019.115904   |
| 356 | Kaneko, Y., & Lapusta, N. (2008). Variability of earthquake nucleation in  |
| 357 | continuum models of rate-and-state faults and implications for aftershock  |
| 358 | rates. Journal of Geophysical Research: Solid Earth, 113(B12). doi:  |
| 359 | $10.1029/2007 \mathrm{JB}005154$   |
| 360 | Linker, M. F., & Dieterich, J. H. (1992). Effects of variable normal stress on rock  |
| 361 | friction: Observations and constitutive equations. J. Geophys. Res. Solid  |

362 Earth, 97(B4), 4923–4940. doi: 10.1029/92JB00017

| 363 | Lognonne, P. (2005). Planetary seismology. Annual Review of Earth and Planetary          |
|-----|--|
| 364 | $Sciences,\ 33(1),\ 571-604.\ {\rm doi:}\ 10.1146/{\rm annurev.earth.} 33.092203.122604$ |
| 365 | Lu, Z., Yi, H., & Wen, L. (2018). Loading-induced earth's stress change over             |
| 366 | time. Journal of Geophysical Research: Solid Earth, 123(5), 4285-4306. doi:              |
| 367 | 10.1029/2017 JB015243  |
| 368 | Luo, Y., & Liu, Z. (2019). Slow-slip recurrent pattern changes: Perturba-                |
| 369 | tion responding and possible scenarios of precursor toward a megathrust                  |
| 370 | earthquake. $Geochemistry, Geophysics, Geosystems, 20(2), 852-871.$ doi:                 |
| 371 | $10.1029/2018 { m GC} 008021$  |
| 372 | Manga, M., Zhai, G., & Wang, CY. (2019). Squeezing marsquakes out of                     |
| 373 | groundwater. $Geophysical Research Letters, 46(12), 6333-6340.$ Retrieved                |
| 374 | from https://agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/                            |
| 375 | 2019GL082892 doi: 10.1029/2019GL082892   |
| 376 | Milbert, D. (2018). Solid. https://geodesyworld.github.io/SOFTS/solid.htm.               |
| 377 | (version update used: 2018-Jun-07)   |
| 378 | Ross, Z. E., Trugman, D. T., Hauksson, E., & Shearer, P. M. (2019). Searching for        |
| 379 | hidden earthquakes in southern california. Science, $364(6442)$ , 767–771. Re-           |
| 380 | trieved from https://science.sciencemag.org/content/364/6442/767 doi:                    |
| 381 | 10.1126/science.aaw6888  |
| 382 | Rubin, A. M., & Ampuero, JP. (2005). Earthquake nucleation on (aging) rate and           |
| 383 | state faults. Journal of Geophysical Research: Solid Earth, $110(B11)$ . doi: 10         |
| 384 | .1029/2005 JB003686  |
| 385 | Rubinstein, J. L., La Rocca, M., Vidale, J. E., Creager, K. C., & Wech, A. G.            |
| 386 | (2008). Tidal modulation of nonvolcanic tremor. Science, 319(5860), 186–                 |
| 387 | 189. doi: $10.1126$ /science.1150558   |
| 388 | Scholz, C. H., Tan, Y. J., & Albino, F. (2019). The mechanism of tidal triggering of     |
| 389 | earthquakes at mid-ocean ridges. Nature communications, $10(1)$ , 2526. doi: 10          |
| 390 | .1038/s41467-019-10605-2   |
| 391 | Tanaka, S. (2012). Tidal triggering of earthquakes prior to the 2011 tohoku-oki          |
| 392 | earthquake (mw 9.1). $Geophysical Research Letters, 39(7).$ doi: 10.1029/                |
| 393 | 2012GL051179   |
| 394 | Tanaka, S., Ohtake, M., & Sato, H. (2002). Evidence for tidal triggering of earth-       |
| 395 | quakes as revealed from statistical analysis of global data. Journal of Geophys-         |

| 396 | ical Research: Solid Earth, $107(B10)$ . doi: $10.1029/2001JB001577$                  |
|-----|---|
| 397 | Thomas, A. M., Bürgmann, R., Shelly, D. R., Beeler, N. M., & Rudolph, M. L.           |
| 398 | (2012). Tidal triggering of low frequency earthquakes near parkfield, california:     |
| 399 | Implications for fault mechanics within the brittle-ductile transition. Journal       |
| 400 | of Geophysical Research: Solid Earth, 117(B5). doi: 10.1029/2011JB009036              |
| 401 | Thomas, A. M., Nadeau, R. M., & Bürgmann, R. (2009). Tremor-tide correlations         |
| 402 | and near-lithostatic pore pressure on the deep san andreas fault. Nature,             |
| 403 | 462(7276), 1048-1051.doi: 10.1038/nature08654   |
| 404 | Tolstoy, M., Vernon, F. L., Orcutt, J. A., & Wyatt, F. K. (2002). Breathing of the    |
| 405 | seafloor: Tidal correlations of seismicity at Axial volcano. Geology, $30(6)$ , 503-  |
| 406 | 506. doi: $10.1130/0091-7613(2002)030 < 0503:BOTSTC > 2.0.CO;2$                       |
| 407 | Ueda, T., & Kato, A. (2019). Seasonal variations in crustal seismicity in san-in dis- |
| 408 | trict, southwest japan. Geophysical Research Letters, $46(6)$ , 3172–3179. doi: 10    |
| 409 | .1029/2018 GL081789   |
| 410 | Yabe, S., Tanaka, Y., Houston, H., & Ide, S. (2015). Tidal sensitivity of tectonic    |
| 411 | tremors in nankai and cascadia subduction zones. Journal of Geophysical Re-           |
| 412 | search: Solid Earth, 120(11), 7587-7605. doi: 10.1002/2015JB012250                    |