

# 1 Skilful forecasts of summer rainfall in the Yangtze River Basin from November

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## 9 ABSTRACT

10 Variability in the East Asian Summer Monsoon (EASM) brings the risk of heavy  
11 flooding or drought to the Yangtze River Basin, with potentially devastating impacts. Early  
12 forecasts of the likelihood of enhanced or reduced monsoon rainfall can enable better  
13 management of water and hydropower resources by decision-makers, supporting  
14 livelihoods and major economic and population centres across Eastern China. This paper  
15 demonstrates that the EASM is predictable in a dynamical forecast model from the  
16 preceding November, and that this allows skilful forecasts of summer mean rainfall in the  
17 Yangtze River Basin at a lead time of 6 months. Skill for May–June–July rainfall is of a  
18 similar magnitude to seasonal forecasts initialised in spring, although the skill in June–  
19 July–August is much weaker and not consistently significant. However, there is some  
20 evidence for enhanced skill following El Niño events. The potential for decadal-scale  
21 variability in forecast skill is also examined, although we find no evidence for significant  
22 variation.

23 **Key words:** seasonal forecasting, interannual forecasting, flood forecasting, Yangtze basin  
24 rainfall, East Asian Summer Monsoon.

## 25 Article Highlights:

- 26 ● The East Asian Summer Monsoon in MJJ can be skilfully predicted in a dynamical  
27 model initialised in November
- 28 ● This can be used to forecast Yangtze River Basin summer rainfall using a simple linear  
29 regression model
- 30 ● Skill in MJJ rainfall is comparable to seasonal forecasts at shorter lead times, but the  
31 skill in JJA is much lower.
- 32 ● No evidence is found of decadal-scale variation in skill.

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## 33 1. Introduction

34 The Yangtze River basin is subject to heavy rainfall driven by the East Asian Summer  
35 Monsoon. This can lead to devastating floods, impacting the lives and livelihoods of  
36 millions of people, and leading to economic losses of ~100bn CNY (~10bn USD) and  
37 hundreds of deaths (e.g. Podlaha et al., 2016, 2020, 2021). The variability in seasonal and  
38 annual rainfall, and the need to take action to mitigate potential flooding, also has  
39 significant impact on the provision of hydroelectric power via some of the world's largest  
40 hydropower dams, feeding into the energy supply of eastern China's megacities.

41 In response to a user need for improved long-term prediction of this monsoonal  
42 variability (Golding, Hewitt, Zhang, et al., 2017), since 2016 the UK Met Office in  
43 collaboration with colleagues in China has developed a trial seasonal forecast system for  
44 Yangtze River basin summer rainfall (Bett et al., 2018), based on the GloSea seasonal  
45 forecast system (MacLachlan et al., 2015). Continued research, into user requirements for  
46 decision-making (Golding et al., 2019; Golding, Hewitt, & Zhang, 2017), climate  
47 predictability (e.g. Liu et al., 2018), as well as forecast evaluation and model changes, have  
48 led to improvements in the forecasts (Bett et al., 2020).

49 Currently, forecasts are produced each week from late winter until the summer, with  
50 forecasts for early summer (May–June–July, MJJ) being available from February and  
51 forecasts for high summer (June–July–August, JJA) available from March. Forecasts are  
52 delivered each month to the China Meteorological Administration (CMA), to be used as  
53 part of the overall forecast messages that are communicated to stakeholders, as well as  
54 being sent directly to specific users to elicit feedback. The forecasts are based on dynamical  
55 predictions of an East Asian Summer Monsoon index, supplemented by a linear regression  
56 to produce calibrated probabilistic forecasts of regional mean rainfall. The forecasts are  
57 skilful, and have performed well even under near-unprecedented extremes (Bett et al.,  
58 2021).

59 At the lead times currently available, hydropower dam operators are given sufficient  
60 warning of high flood seasons to be able to reduce water levels in the dams, and hence  
61 reduce the risk of flooding. Reducing the water levels over an extended period, before the  
62 rainfall occurs, limits the negative impacts on agriculture downstream, which is dependent  
63 on a steady availability of water, and maintains the continuous, stable provision of  
64 hydroelectric power to the electricity grid. However, a lead time of at most 3 months limits  
65 the value of the forecast to energy distributors, who plan the supply of electricity to cities  
66 and industry across Eastern China up to a year in advance, and are able to make use of  
67 longer lead times to protect the reliable provision of electricity. Hydroelectric dam  
68 operators are currently required to provide forecasts of electricity production on these  
69 longer timescales, and therefore a longer lead time forecast of rainfall for the main flood  
70 season would support this.

71 Improvements in interannual-to-decadal climate prediction (e.g. Cassou et al., 2018;  
72 Meehl et al., 2021; Merryfield et al., 2020; Smith et al., 2019) have opened the possibility

73 of extending the lead time of seasonal climate services such as these beyond the periods  
74 available from traditional subseasonal-to-seasonal forecast systems (Dunstone et al., 2022).  
75 The Met Office Decadal Prediction System, DePreSys, has demonstrated high levels of  
76 skill in various features of the climate in the tropics and extratropics, at lead times beyond  
77 those of typical seasonal forecasts (Dunstone et al., 2016, 2018, 2020). DePreSys has also  
78 been shown to have some skill in forecasting East Asian Summer Monsoon rainfall in the  
79 extended summer, on short timescales (forecasts for JJAS initialised in May; Monerie et  
80 al., 2021) and longer timescales similar to our present investigation (forecasts for MJJAS  
81 initialised in November; Dunstone et al., 2020), as well as for the corresponding PMSL  
82 patterns over the West North Pacific. Other recent studies have also demonstrated the  
83 possibility of long-lead seasonal forecasts of summer rainfall in China, or the East Asian  
84 Summer Monsoon circulation more generally (Liu et al., 2021; Lu et al., 2012; Takaya et  
85 al., 2021).

86 Exploring how the skill of DePreSys in predicting the East Asian Summer Monsoon  
87 can be used to extend our Yangtze River basin rainfall forecasts to longer lead times, is a  
88 natural next step in the development of our climate service. In this paper, we shall therefore  
89 investigate the skill of forecasts of early summer and high summer rainfall over the  
90 Yangtze River basin, using the same method as the existing shorter-term seasonal forecasts,  
91 but based instead on dynamical forecasts initialised in November. This would double the  
92 current maximum lead time from 3 months to 6 months. In the following section we  
93 describe the data and methods we use for skill assessment, and we present our results in  
94 section 3. We summarise and discuss our results in section 4, and consider the prospects  
95 for improved climate services.

## 96 **2. Data and methods**

### 97 *2.1 Hindcasts and observations*

98 We use a set of hindcasts from the Met Office Decadal Climate Prediction System  
99 (DePreSys3, Dunstone et al., 2016, 2018). This is based on the Global Coupled 2  
100 configuration of the HadGEM3 climate model (Williams et al., 2015), which is the same  
101 as used by the Met Office seasonal forecast system GloSea5. The DePreSys3 hindcasts  
102 consist of 40-member ensembles initialised each November from 1959 to 2018. We use  
103 the first summers in each of these forecasts, covering the 60-year period 1960–2019.

104 We use the 850 hPa zonal wind fields from the hindcasts to calculate the Wang & Fan  
105 (1999) EASM index, averaged over MJJ and JJA each year. This index characterises the  
106 anomalous circulation in the western North Pacific, as the mean zonal wind in a box in the  
107 South China Sea ( $5^{\circ}$ – $15^{\circ}$  N,  $90^{\circ}$ – $130^{\circ}$  E) minus a box in the East China Sea ( $22.5^{\circ}$ –  
108  $32.5^{\circ}$  N,  $110^{\circ}$ – $140^{\circ}$  E) (Bett et al., 2020; Wang et al., 2008). Low values correspond to  
109 anomalously anticyclonic circulation in the western North Pacific (an enhanced, i.e.  
110 westward-extended, West Pacific Subtropical High, WPSH), which acts to enhance the  
111 northward progress of the Meiyu monsoon front, resulting in more rainfall over the  
112 Yangtze basin. High values of the EASM index correspond to anomalously cyclonic

113 circulation (a reduced WPSH), with moisture remaining over Southern China rather than  
114 progressing northwards over the Yangtze Basin. We have confirmed that our results are  
115 unchanged if we use a WPSH index; we retain the EASM index for consistency with  
116 previous work on Yangtze Basin seasonal forecast skill (Bett et al., 2020; Liu et al., 2018).  
117 We use the ERA5 reanalysis to calculate an observed EASM index over the same period,  
118 using the preliminary back-extension data for the pre-1979 period (Bell et al., 2020;  
119 Hersbach et al., 2019).

120 We use observed precipitation from the Global Precipitation Climatology Centre  
121 (GPCC) Full Data Monthly Product v2020 (Schneider et al., 2020). We calculate seasonal-  
122 mean regional-mean precipitation rates in three areas: the whole Yangtze River Basin itself,  
123 and two sub-basin regions defined by dividing the basin at 111° E: the Upper Reaches, and  
124 the Middle/Lower Reaches (following Bett et al., 2020). We label years as being El Niño,  
125 La Niña or neutral using the Niño3.4 SST anomalies in the December–January–February  
126 (DJF) preceding each summer, based on the Oceanic Niño Index (ONI)<sup>2</sup> data set, with a  
127 threshold of  $\pm 0.5$  K.

## 128 *2.2 Measures of skill, and regression-based forecasts*

129 When assessing the skill of the DePreSys model output, a natural and simple first  
130 quantity to examine is the correlation of the ensemble-mean hindcasts with the  
131 observations,  $r$ . This measure of the standardized co-variability of the model with the  
132 observations is directly related to the linear regression approach we use for producing  
133 forecasts (see below): the hindcast–observation correlation provides a measure of skill for  
134 future forecasts (e.g. Bett et al., 2018, 2020). The uncertainty in the correlation is  
135 characterised by 95% confidence intervals calculated using a Fisher z-transformation; this  
136 corresponds to a two-sided test of statistical significance at the 5% level (both positive and  
137 negative correlations can be used to produce skilful forecasts, e.g. the EASM index is  
138 negatively correlated with Yangtze rainfall across most of the basin).

139 In this paper, we also wish to evaluate the skill of the linear regression-based  
140 probabilistic forecasts themselves, in addition to the above measure of model–observations  
141 correlation. The linear regression of the observed precipitation, against a predictor from  
142 the DePreSys ensemble-mean hindcast (in our case, the EASM index), characterises their  
143 mean historical relationship. When a new EASM forecast is produced from DePreSys, the  
144 prediction interval on the regression at that EASM value provides the rainfall forecast  
145 probability distribution. This method of producing probabilistic forecasts corrects for any  
146 bias in the mean and variance, and yields calibrated probabilities, by construction (Bett et  
147 al., 2022), within the sampling limits given by the number of years in the hindcast. This is  
148 an important limitation when using the operational GloSea hindcast, as that only covers 24  
149 years (1993–2016). In contrast, the 60-year DePreSys hindcast allows statistically  
150 significant skill to be discernible from noise at a higher level of significance.

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<sup>2</sup> [https://origin.cpc.ncep.noaa.gov/products/analysis\\_monitoring/ensostuff/ONI\\_v5.php](https://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php)

151 To assess the skill of forecasts produced by this linear regression approach, we need  
 152 to use leave-one-out cross-validation: we produce forecast probability density functions  
 153 (PDFs) for each year in the hindcast period in turn, based on the regression relationship  
 154 between the observations and hindcasts in the remaining 59 years. The correlation of the  
 155 central estimates of these 60 cross-validated forecasts with the observations is a more  
 156 stringent measure of forecast skill, reflecting the sensitivity to, and frequency of, outliers  
 157 in the historical period. We will refer to this as the correlation skill,  $\hat{r}$ , and assess whether  
 158 it is significantly greater than zero using a one-sided Fisher z-test (skilful regression-based  
 159 forecasts can only be positively correlated with observations), again at the 5% level.

160 The performance of the forecast probability distributions themselves can be assessed  
 161 using the continuous ranked probability score (CRPS, e.g. Hersbach, 2000; Wilks, 2020).  
 162 For a given forecast, the CRPS is the integral of the squared differences between the  
 163 forecast cumulative distribution function (CDF) and that of the observation that year (i.e.  
 164 a step function CDF). The CRPS is therefore like a probabilistic forecast error: larger  
 165 values indicate that more forecast probability is distributed further away from the  
 166 observation. The CRPS from a proposed forecast model is compared with the CRPS from  
 167 a reference forecast strategy: in our case, we use climatology, i.e. the CDF given by the  
 168 distribution of 59 observations available when forecasting each year using cross-validation.  
 169 The difference between the mean CRPS from the forecasts ( $\overline{S}_{fc}$ ) and that of the reference  
 170 ( $\overline{S}_{ref}$ ), with respect to the difference between the perfect forecast score ( $S_{perf} = 0$ ) and the  
 171 reference, is the corresponding skill score (CRPSS, e.g. Wilks, 2020):

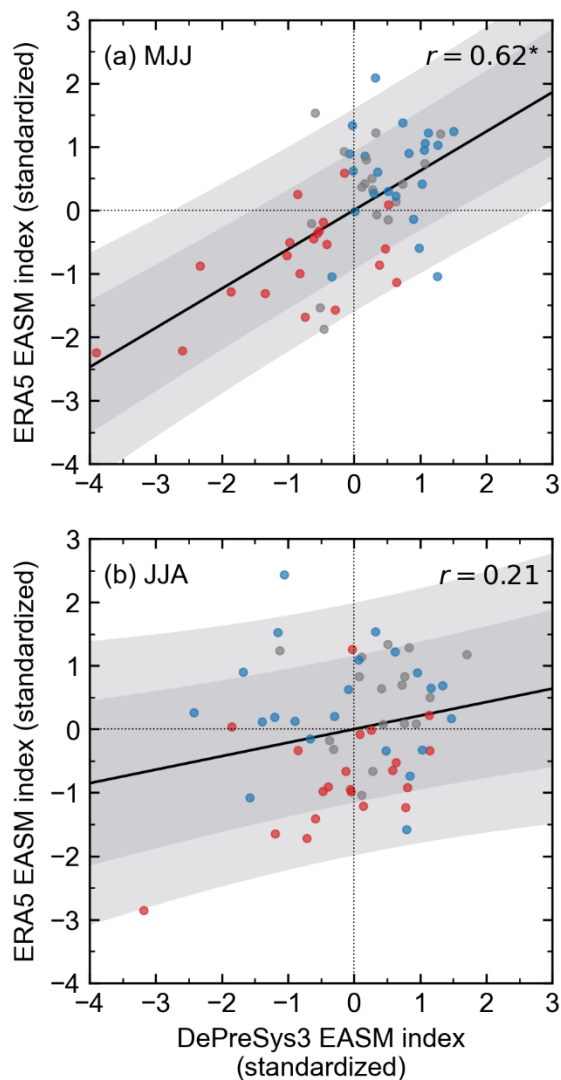
$$172 \quad CRPSS = \frac{\overline{S}_{fc} - \overline{S}_{ref}}{S_{perf} - \overline{S}_{ref}} = 1 - \frac{\overline{S}_{fc}}{\overline{S}_{ref}}$$

173 Positive values indicate that the forecast is better than the reference strategy, and  
 174 negative values mean that it is worse. We test for the forecast being significantly more  
 175 skilful than climatology by using a one-sided paired t-test at the 5% level to compare the  
 176 two mean CRPS values.

### 177 **3. Results**

#### 178 *3.1 Correlations between hindcasts and observations*

179

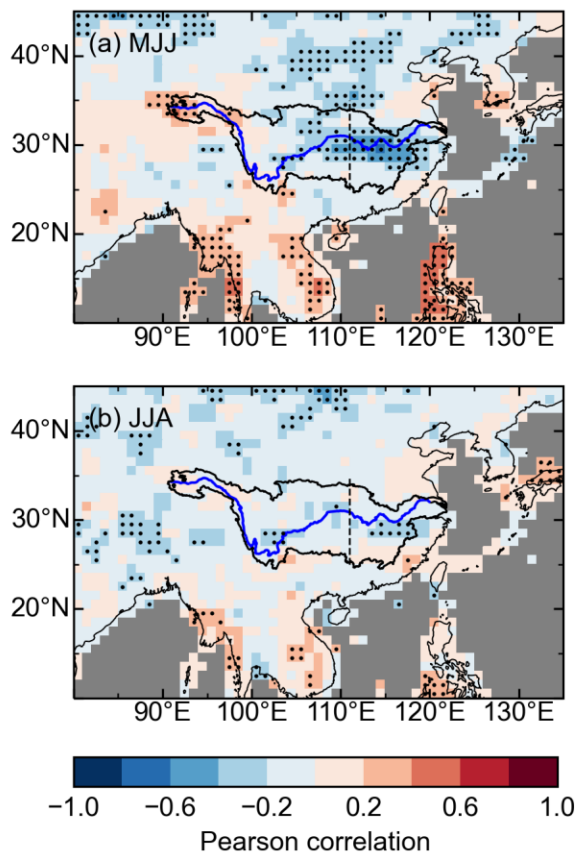


180 **Figure 1.** The relationship between the EASM index in observations, and in the DePreSys3  
 181 hindcasts initialised in November, for (a) MJJ and (b) JJA. Both panels include the  
 182 correlations  $r$ , marked \* where significant. Each point corresponds to a single summer, and  
 183 is coloured according to ENSO, using the ONI during the preceding DJF: Red points  
 184 correspond to El Niño and blue to La Niña. The diagonal black lines indicate the linear  
 185 regression, and the surrounding grey shading shows the 75% and 95% prediction intervals  
 186 based on that regression. The horizontal and vertical dotted lines indicate the mean values.

187 Figure 1 shows the correlation between the hindcast EASM index and the observed  
 188 values from ERA5, reflecting the model skill in predicting the EASM. There is significant  
 189 skill in early summer (MJJ,  $r = 0.62$ , with a  $p$ -value  $< 2 \times 10^{-7}$ ), but not in JJA ( $r = 0.21$ ,  $p$ -  
 190 value 0.102). Both of these 6-month lead correlations are significantly weaker than those  
 191 reported by Bett et al. (2020) for 1-month lead forecasts (0.87 for MJJ and 0.76 for JJA),  
 192 as would be expected for longer lead times.

193 There is a clear indication of the influence of winter ENSO on the subsequent EASM:  
 194 El Niño winters tend to result in negative EASM indices in MJJ, and La Niña winters tend  
 195 to result in positive EASM indices. However, this relationship is much stronger for the El  
 196 Niño side: if we select the 21 El Niño years only, the correlation barely changes ( $r = 0.59$ ,  
 197  $p = 0.004$ ), while for the 22 La Niña years  $r = 0.08$ . ENSO-neutral years yield  $r = 0.41$ ,  
 198 with  $p = 0.105$ . Selecting all ENSO-active years (following El Niños or La Niñas) yields a  
 199 correlation of  $r = 0.66$  ( $p < 7 \times 10^{-7}$ ), which is also very similar to selecting all years.  
 200 Furthermore, although there is no significant skill overall for JJA, the skill in El Niño years  
 201 is much better:  $r = 0.50$ , significant with  $p = 0.02$ . The JJA monsoon index correlations  
 202 following La Niña, ENSO-neutral or ENSO-active winters remain not statistically  
 203 significant ( $r = -0.1, 0.18$  and  $0.14$  respectively).

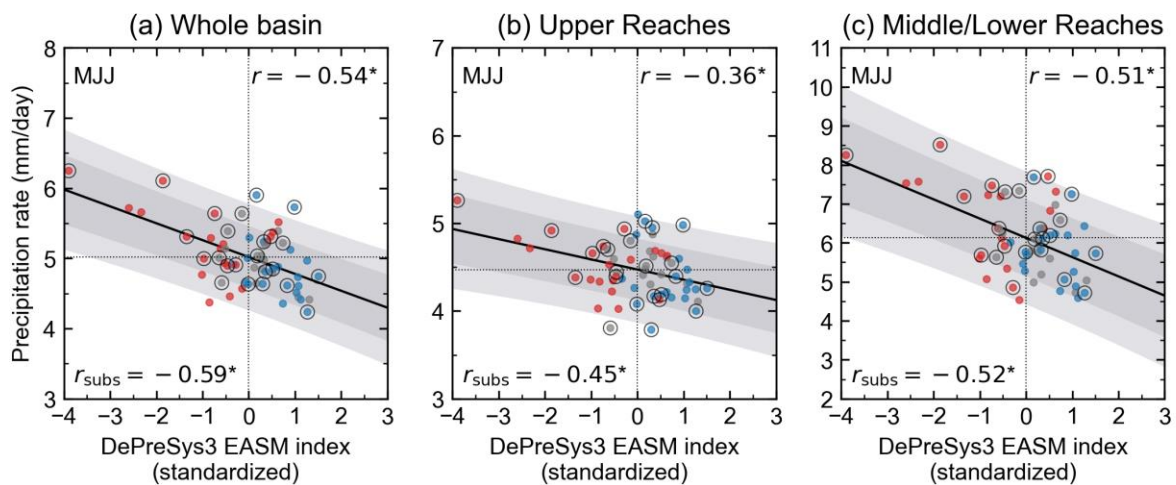
Correlation between DP3 EASM index  
and GPCP precipitation rate



204 **Figure 2.** Correlation between observed rainfall and hindcast EASM index in MJJ and JJA.  
 205 The Yangtze River is shown as a blue line, with its basin outlined in black, and the division  
 206 between the Upper and Middle/Lower Reaches is shown with a vertical dashed black line.  
 207 Stippling marks areas where the correlation is significantly different to zero.

208 In Figure 2 we map the correlation between the forecast EASM index and the observed  
 209 precipitation. As expected from Figure 1, we can identify some areas of significant  
 210 correlation in the Yangtze River basin in MJJ, mostly but not exclusively in the Middle  
 211 and Lower Reaches. In contrast, the correlations are much weaker in JJA.

212 Building on these results, we show scatter plots describing the relationship between  
 213 the DePreSys EASM index and regional-mean MJJ precipitation in Figure 3. Although the  
 214 correlations in all three regions are statistically significant, that for the Upper Reaches  
 215 remains rather small ( $|r| < 0.4$ ) and may be of marginal use for decision-makers, depending  
 216 on their particular requirements.



217 **Figure 3.** Relationships between observed regional-mean MJJ rainfall and the hindcast  
 218 EASM index. Correlations using all 60 years are marked in the top-right of each panel ( $r$ ),  
 219 and correlations based on the 24-year subset 1993–2016 are shown in the bottom-left ( $r_{\text{subs}}$ ;  
 220 points in that subset are circled). As in Figure 1, points are colour-coded according to  
 221 ENSO in the preceding winter, red for El Niño, blue for La Niña. The linear regression is  
 222 shown by the black line, surrounded by shading giving the 75% and 95% prediction  
 223 intervals. Horizontal and vertical dotted lines give the mean values over all 60 years.

224 As with Figure 1, Figure 3 shows a clear relationship with ENSO. For the MJJ results  
 225 shown, picking out the 21 El Niño years only yields significant correlations for the whole  
 226 basin ( $r = -0.53$ ,  $p = 0.011$ ) and the Upper Reaches ( $r = -0.50$ ,  $p = 0.021$ ), while the  
 227 correlation is reduced for the Middle/Lower Reaches ( $r = -0.42$ ,  $p = 0.054$ ). In contrast,  
 228 none of the regions show significant correlations for the subset of 22 La Niña years  
 229 ( $p > 0.15$  in all cases). These results highlight the importance of conditional skill in these  
 230 cases – e.g. although the correlation in MJJ for the Upper Reaches might be too low to be  
 231 useful in most years, the forecasts could be much more valuable following an El Niño.

232 This is also true for the JJA results (not shown). For the whole basin, the correlation  
 233 is  $-0.29$  ( $p = 0.026$ ), i.e. just significant at the 5% level. For the sub-basin regions the  
 234 correlations are weaker still, with  $|r| < 0.25$ . However, in the case of the Upper Reaches, in



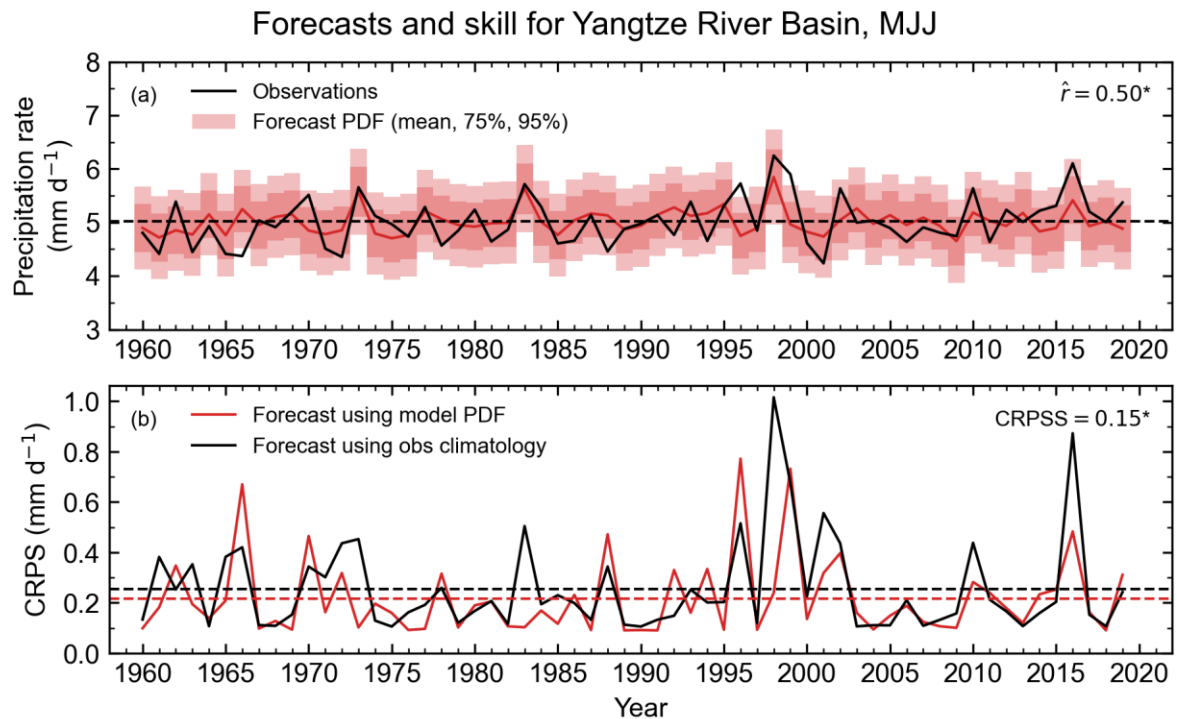
235 the summers following El Niño events the correlation strengthens to  $-0.49$  ( $p = 0.022$ ),  
236 similar to the values for MJJ.

237 We have also tested the impact of the longer hindcast period available from DePreSys  
238 (60 years) compared to GloSea (24 years). In Figure 3, the subset of years comprising the  
239 GloSea hindcast period (1993–2016) are highlighted, and the correlations based on those  
240 subsets alone are labelled as  $r_{\text{subs}}$ . Although the 24-year correlations all appear slightly  
241 stronger, these differences are not statistically significant, and the longer period gives the  
242 more robust estimates of skill: for example, the confidence intervals on the 24-year  
243 correlations are much wider, or equivalently, 60 years allows weaker correlations to be  
244 more robustly determined as statistically significant (for a given significance level). Again  
245 considering the whole-basin correlation for JJA (not shown), the 60-year correlation  
246 of  $-0.29$  has a 95% confidence interval of  $-0.50$  to  $-0.03$ , i.e. significant at the 5% level as  
247 described above. Using 24 years, the central estimate is relatively unchanged ( $-0.33$ ), but  
248 its confidence interval is now  $-0.64$  to  $+0.09$ , i.e. statistically indistinguishable from zero  
249 at the 5% level.

250 It seems clear from our results that the greatest prospects for significant and usable  
251 forecast skill using our method will be from MJJ for the Middle and Lower Reaches, and  
252 for the basin as a whole, although following an El Niño event forecasts for rainfall in the  
253 Upper Reaches of the basin should also be considered.

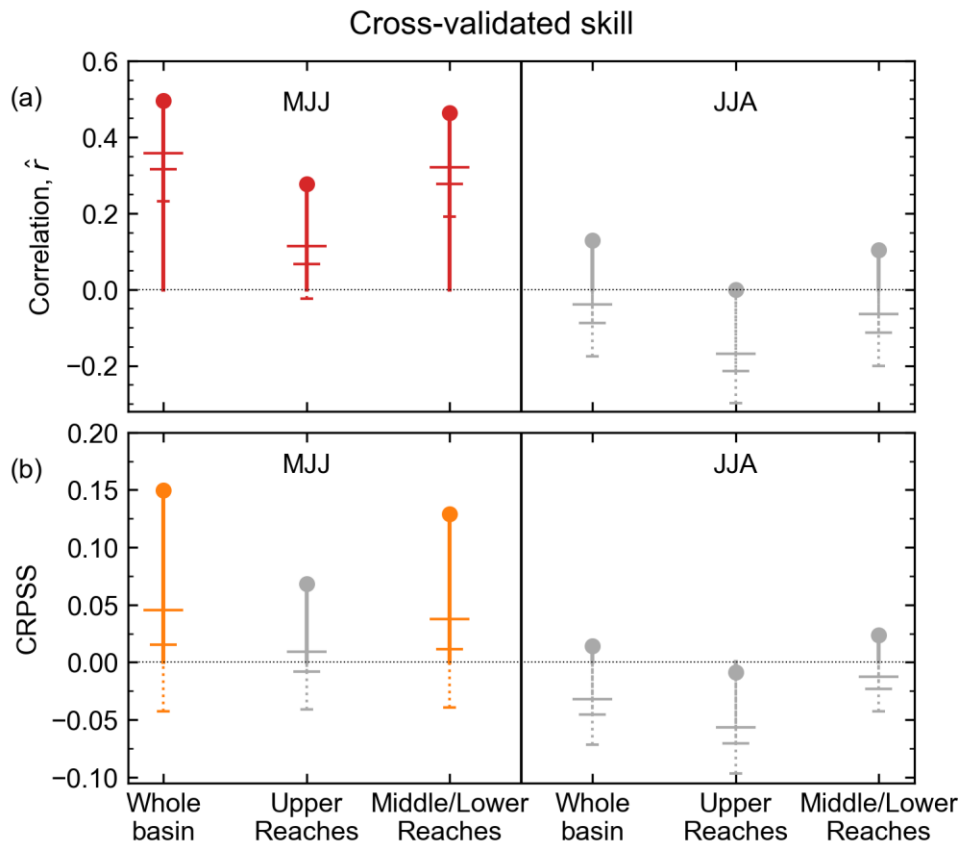
### 254 ***3.2 Cross-validated skill from linear regression***

255 Figure 4 shows the rainfall forecasts produced by linear regression with leave-one-out  
256 cross-validation, for the whole basin in MJJ. The correlation skill of the forecast central  
257 estimate ( $\hat{r}$ ), and the probabilistic skill (CRPSS) are both statistically significant at the 5%  
258 level ( $p = 0.00002$  and  $0.03$  respectively), showing that the forecasts represent an  
259 improvement over simply using the climatological distribution. It is important to note that  
260 the forecast uncertainty (in terms of the prediction intervals) remains of a similar size to  
261 the observed interannual variability, and there are two occasions where the observation lies  
262 outside the 95% prediction interval (as expected from 60 forecasts).



263  
 264 **Figure 4.** Time series showing forecast skill, using forecasts of MJJ rainfall in the Yangtze  
 265 River basin. Top (a): timeseries of the forecast PDFs (pink, in terms of the forecast mean,  
 266 and 75% and 95% prediction intervals) and observations (black), showing the correlation  
 267 skill  $\hat{r}$ , marked with a \* indicating it is significantly greater than zero. Bottom (b):  
 268 timeseries of CRPS values based on using the model PDF for the forecast (red), or using  
 269 the observed climatology as the forecast (black). The corresponding skill score is shown  
 270 (CRPSS), comparing the mean CRPS from the two forecast strategies (red and black  
 271 dashed lines); the \* indicates the model-based forecast is significantly better than using  
 272 climatology.

273 The climatology-based CRPS time series naturally shows notable spikes (increased  
 274 error) in the more extreme years, as by definition those years are not present in the  
 275 distribution used for the “forecast”. The DePreSys-based forecasts perform much better in  
 276 most of these cases (having smaller CRPS values), demonstrating the ability of the  
 277 dynamical model to produce out-of-sample forecasts.



278  
 279 **Figure 5.** Summary of regional skill for MJJ and JJA. Coloured points indicate the skill is  
 280 significantly greater than zero at the 5% level, i.e. the forecasts are significantly better than  
 281 using climatology; grey points indicate the skill is statistically indistinguishable from zero  
 282 at that level. Long, medium and short horizontal ticks on each line indicate the lower limits  
 283 of the one-sided confidence intervals at the 90%, 95% and 99% levels respectively.

284 Figure 5 summarises the correlation skill and CRPSS for rainfall in MJJ and JJA across  
 285 all three regions. Consistent with our previous results, there is no significant skill in JJA.  
 286 The correlation skill for the Upper Reaches in MJJ is statistically significant ( $p = 0.016$ )  
 287 but low (0.28), and the corresponding CRPSS of 0.068 indicates the forecasts are not  
 288 significantly better than using climatology ( $p = 0.07$ ).

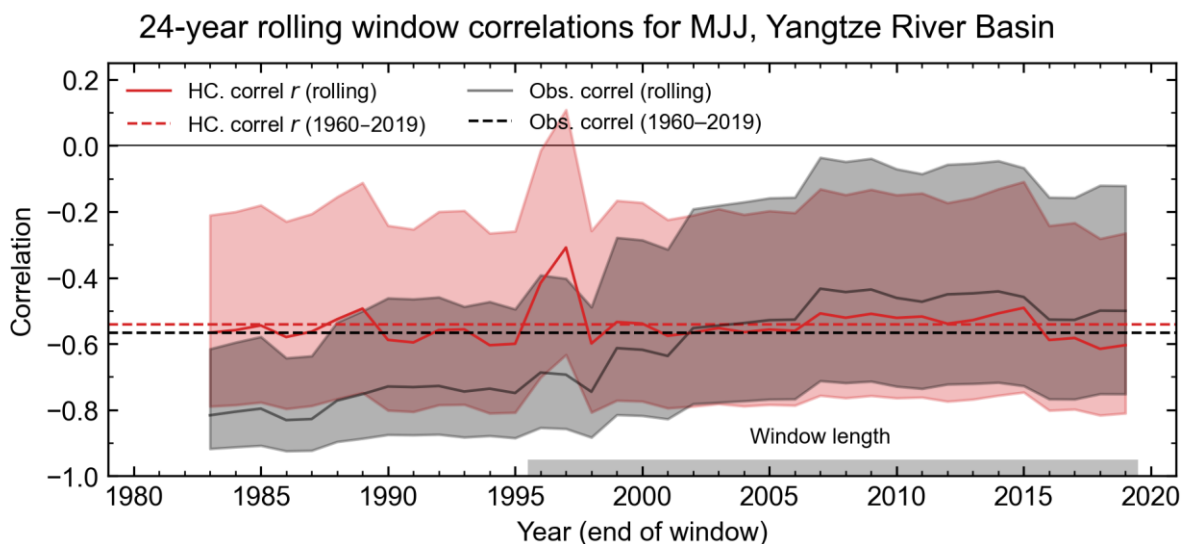
289 The cross-validation of these skill scores makes them more sensitive to the number of  
 290 contributing years. When we subset according to whether the summer follows an El Niño  
 291 or La Niña (as in the previous subsection), we find that only the correlation skill in MJJ for  
 292 the whole basin remains significant ( $\hat{r} = 0.38$ ,  $p = 0.046$ ). All other correlation skill is  
 293 worse under El Niño conditions ( $p > 0.1$  for MJJ,  $p > 0.5$  for the JJA cases), and La Niña  
 294 conditions ( $p > 0.3$  in all cases). None of the CRPSS values are significant when  
 295 subselecting by El Niño ( $p > 0.1$ ) or La Niña conditions ( $p > 0.4$ ).

296 **3.3 Potential variation in skill**

297 The length of the hindcast period available from DePreSys raises the question of  
 298 whether decadal-scale climate variability could affect the forecast skill, and if there would  
 299 be a benefit in focusing on the most recent 20–30-year period as typically used by seasonal  
 300 forecasting systems. In our case, as in section 3.1, we will be comparing with the 24-year  
 301 period used by GloSea, for consistency with our earlier results.

302 Figure 6 shows the correlations between EASM index and Yangtze basin rainfall in  
 303 MJJ for observations, and for the EASM hindcasts, using rolling 24-year windows within  
 304 the 60-year hindcast period. The observed correlation appears to weaken slightly over time:  
 305 it is approximately -0.8 for the earliest window (1960–1983), but approximately -0.5 for  
 306 the latest period (1995–2019), for example. However, the confidence intervals (uncertainty  
 307 ranges) on correlations based on 24 years are relatively large, and these values are not  
 308 significantly different to each other ( $p = 0.086$ ). It is not surprising therefore that the  
 309 correlation between model hindcast and observations does not show similar changes.

310



311

312 Figure 6. The variability in the relationship between EASM and Yangtze River basin  
 313 rainfall in MJJ, in terms of correlations in 24-year rolling windows. The black line shows  
 314 the observed EASM–rainfall correlation, with grey shading indicating the 95% confidence  
 315 intervals. The red line and shading shows the same, but for hindcasts of the EASM  
 316 correlated with the observed rainfall (cf. Figure 3). The window length is indicated by a  
 317 grey box, as labelled. Points are plotted at the final year of each window. The correlations  
 318 based on the full 60-year hindcast period are marked as dashed horizontal lines.

319

320 The results for the Middle and Lower Reaches in MJJ (not shown) are similar to those  
 321 seen in Figure 6, but with some periods where the observed correlation was not significant  
 at the 5% level. The results for the Upper Reaches in MJJ, and for all regions in JJA, show

322 much more variability and fewer periods of significance, particularly for the hindcast–  
323 observations correlations, as expected from there being little/no significant skill overall.

324 These results do not show convincing evidence of variation in skill, which gives us  
325 confidence in our use of the full 60-year hindcast period in our forecasts. It also further  
326 illustrates the benefit of longer hindcast periods when assessing and calibrating seasonal-  
327 to-interannual forecasts.

#### 328 **4. Discussion and Conclusions**

329 We have shown that DePreSys3 can skilfully forecast the EASM index in MJJ from  
330 November, and that this leads to skilful forecasts of rainfall in MJJ in the Middle and Lower  
331 Reaches of the Yangtze River basin, and for the basin as a whole. In contrast to similar  
332 seasonal forecasts initialised in the spring, we find no significant levels of skill in  
333 forecasting the EASM index, or Yangtze rainfall, in JJA. However, we do find some  
334 indications of enhanced skill in the Upper Reaches of the basin in both MJJ and JJA  
335 following El Niño events.

336 The EASM index we use captures the influence of sea surface temperatures (SSTs) in  
337 both the Pacific and the Indian Oceans on monsoon circulation and rainfall (Li et al., 2021;  
338 Liu et al., 2018; Takaya et al., 2021; Wang et al., 2008). As the Indian Ocean is able to  
339 store the impact of El Niño events, helping to persist their influence over an additional year  
340 (the Indian Ocean “capacitor” effect, e.g. Takaya et al., 2021; Xie et al., 2016), it is perhaps  
341 no surprise that the East Asian Summer Monsoon retains predictability at very long lead  
342 times. Indeed, Takaya et al. (2021) demonstrated forecast skill in the EASM index in JJA  
343 from April in the preceding year, using a dynamical model similar to ours.

344 A possibility for further extending our seasonal forecasts is to expand the statistical  
345 model component to use multiple predictors, which might retain predictability at longer  
346 lead times, or capture additional variability at lead times already explored. Obvious choices  
347 are SST indices, like a combination of Nino3.4, and the Indian Ocean Dipole (IOD) or  
348 Basin-wide indices (IOB). For example, Dunstone et al. (2020) has already shown that  
349 DePreSys retains skill in forecasting ENSO into the second winter after initialisation. Liu  
350 et al. (2021) take a very similar approach to us, using November initialisations to forecast  
351 JJA rainfall over southern China (overlapping the Yangtze basin), but use two predictor  
352 indices: SSTs in the Western North Pacific, and PMSL over a large area stretching from  
353 the tropical WNP down to Australia. This suggests that our poor skill for JJA might be  
354 improved by including better predictors. Pan & Lu (2022) have made a detailed study of  
355 predictors based on Pacific and Indian Ocean temperature and atmospheric circulation,  
356 which may help with predictability of the WPSH in July.

357 Another possibility, albeit more speculative, is to introduce extratropical predictors.  
358 The extreme rainy season of 2020 highlighted the capacity of midlatitude climate features  
359 such as the East Asian Jet to enhance the effect of the monsoon circulation captured by the  
360 EASM index (e.g. Bett et al., 2021; Li et al., 2021; and references therein). The summer  
361 NAO, for example, has a well-known teleconnection to the East Asian summer monsoon

362 (e.g. Linderholm et al., 2011), but it is also known that extratropical dynamical climate  
363 features such as this remain largely unpredictable in current forecasting systems (Dunstone  
364 et al., 2018). However, Han & Zhang (2022) have shown that the *winter* NAO has an  
365 impact on April/May rainfall in the Middle/Lower Reaches of the Yangtze basin, so  
366 including the NAO from the first or even second winter (Dunstone et al., 2016) may  
367 improve the forecasts for MJJ.

368 Our results show a clear benefit from having a long, 60-year hindcast period, as the  
369 assessed skill when using a shorter period can be notably affected by the  
370 inclusion/exclusion of particular extreme years. The longer hindcast also allows a more  
371 robust assessment of probabilistic skill scores like the CRPSS, which require more data to  
372 demonstrate a significant level of skill. However, when using such long periods, it is  
373 important to consider whether the skill varies over that period, particularly in the context  
374 of a changing climate. For example, many studies have shown decadal-scale variability in  
375 ENSO, and its predictability (e.g. Hou et al., 2022; Tang et al., 2008; Weisheimer et al.,  
376 2022; and references therein), and similarly for the EASM and WPSH (e.g. Li et al., 2016;  
377 Y. Zhang et al., 2022; Z. Zhang et al., 2018). Several studies have shown that summer  
378 rainfall in Eastern China is itself subject to decadal-scale variability (e.g. Yang et al., 2017;  
379 Zhang et al., 2018; Zhu et al., 2016). However, we have shown that, for the specific case  
380 of EASM-based Yangtze summer rainfall, there is no significant variability in skill.

381 Decision-makers in the hydroelectric dams along the Yangtze River and its tributaries  
382 are able to use long-range seasonal forecasts to prepare flood mitigation actions and  
383 estimate their energy production, allowing water and electricity resources to remain  
384 relatively stable and be well-managed in the event of an extreme flood or drought season.  
385 Forecasts of Yangtze River basin rainfall from November developed here could allow  
386 action to be taken with greater confidence, on timescales that match existing planning  
387 decisions.

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