# Dilation of subglacial sediment governs incipient surge motion in glaciers with deformable beds

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#### Abstract

Glacier surges are quasi-periodic episodes of rapid ice flow that arise from increases in slip-rate at 5 the ice-bed interface. The mechanisms that trigger and sustain surges are not well-understood. Here, we 6 develop a new model of incipient surge motion for glaciers underlain by sediments to explore how surges 7 may arise from slip instabilities within this thin layer of saturated, deforming subglacial till. Our model 8 represents the evolution of internal friction, porosity, and pore water pressure within the sediments as 9 functions of the rate and history of shearing of the till. Changes in pore water pressure govern incipient 10 surge motion, with less-permeable till facilitating surging because dilation-driven reductions in pore-11 water pressure slow the rate at which till tends toward a new steady state, thereby allowing time for the 12 glacier to thin dynamically. The reduction of overburden pressure at the bed caused by dynamic thinning 13 of the glacier sustains surge motion in our model. The need for changes in both the hydromechanical 14 properties of the till and thickness of the glacier creates restrictive conditions for surge motion that are 15 consistent with the rarity of surge-type glaciers and their geographic clustering. 16

17 Subjects: glaciology, geophysics, mathematical modeling

18 Keywords: glacier surges, glacier dynamics, granular mechanics

# 19 1 Introduction

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Surges are enigmatic characteristics of glacier flow. Broadly speaking, glacier surges are sub-annual to multi-annual periods of relatively rapid flow that occur quasi-periodically, with quiescent periods between surges ranging from several years to centuries [1, 2]. Flow velocities during a surge can reach 5–100 times typical quiescent-phase velocities because of commensurate increases in the rate of slip at the ice-bed interface, hereafter called basal slip rate. Accelerated basal slip rates are facilitated by changes in the mechanical, thermal, and hydrological properties of the bed, which may work independently or in concert to initiate, sustain, and arrest glacier surges [2–10].

Surges are known to occur in only about 1% of glaciers worldwide [11, 12]. Known surge-type glaciers 27 are clustered in a handful of globally dispersed geographic regions, share comparable geological factors, but 28 inhabit a variety of climates [1, 12, 13]. A common feature identified in surge-type glaciers is the presence 29 of mechanically weak beds consisting of thick layers of water-saturated, deformable sediment and erodible 30 sedimentary or volcanic rock [14-17]. This commonality suggests that the mechanics of deformable glacier 31 beds play an important role in initiating and sustaining glacier surges. However, the fact that not every glacier 32 underlain by sediments surges indicates that the existence of a deformable bed is not a sufficient condition for 33 surging [16]. Despite the prevalence of till, many existing surge models ignore till mechanics and consider 34 only rigid, impermeable beds, often with a focus on the hydrological and thermal states [10, 18]. 35 The prevailing model of glacier surges posits that incipient surge motion arises from a switch in the 36

<sup>37</sup> subglacial hydrological system from a relatively efficient channelized system to an inefficient distributed, or

linked-cavity, system [3, 10, 19]. Throughout the surge phase, the basal hydrological system likely remains 38 relatively inefficient, facilitating rapid basal slip due to lubrication from high basal water pressures, until 39 reestablishment of an efficient channelized system reduces basal water pressure and terminates the surge 40 [19–22]. Given a supply of water to the bed, this theory has the potential to explain rapid surge motion and 41 coincident increases in basal water pressure, at least in glaciers with rigid beds [19]. Indeed, observations of 42 a subglacial flood that occurred during, but did not initiate, a surge suggest that the basal hydrological system 43 was likely inefficient during the surge and became channelized just prior to surge termination [20, 23]. 44 However, surges are often observed to begin in late fall or winter, when surface meltwater supplies are 45 limited [19, 21, 24–27]. As noted by Kamb [3], often credited with introducing hydrological switching as 46 an incipient surge mechanism, surge onset in the absence of surface meltwater flux may require an incipient 47 surge mechanism beyond a switch from an efficient to an inefficient basal hydrological system. Furthermore, 48 observations of numerous surge-type glaciers in Iceland show that jökulhaups, or subglacial floods, do not 49 cause surges despite massive, rapid increases in basal water flux that characterize jökulhaups [15], and 50 it remains unclear if hydrological models derived under the assumption of rigid, impermeable beds are 51 applicable to glaciers with till-covered beds. In any case, hydrological models have not explained the spatial 52 distribution of surge-type glaciers and it seems unlikely that such models can explain why most surge-type 53 glaciers reside on deformable beds. So while the connection between surging and subglacial hydrology may 54 be robust, the causal link between the efficiency of the basal hydrological system and surge motion remains 55 unclear. 56

Another model of glacier surges, first advocated by Robin [28], contends that sediment underlying a 57 polythermal glacier may freeze during the quiescent phase, strengthening the bed, similar to binge-purge 58 models for Heinrich events [29–31]. As ice collects in an upstream reservoir, the thickening ice increases 59 the overburden pressure at the bed, resulting in a corresponding decrease in the melting temperature of 60 ice that can cause the bed to thaw and, subsequently, weaken. Warm, weakened beds facilitate basal slip, 61 resulting in frictional heating that melts basal ice. Melted ice further lubricates the bed leading to enhanced 62 basal slip and more heating, thereby driving a positive thermal feedback loop [5, 32, 33]. Because thermal 63 control of glacier sliding requires ice to freeze to the bed, it cannot explain surging in temperate glaciers. 64 in which the ice is at the melting temperature and is unable to freeze to the bed. Recent observational 65 work shows that at least some surges in polythermal glaciers initiate in temperate zones, suggesting further 66 limitations on the applicability of thermal instability to incipient surge motion [34, 35] and indicating that 67 thermal instability is not a universal surge mechanism [32]. 68

The prevalence of till layers beneath surge-type glaciers suggests that changes in the mechanical prop-69 erties of till caused by dilation and variable pore water pressure are a promising complement to the previous 70 models of incipient surge mechanisms, which assume rigid, impermeable beds [10, 36]. It would be difficult 71 to overstate the complexity of granular mechanics in subglacial till [37], which is especially pronounced 72 where the till contains coarse clasts, where ice at the ice-bed interface is laden with debris [38–40], where 73 the ice slides over the ice-till interface [39, 41, 42], where clasts frozen into the ice can plow through the 74 till [43], and where the till is mobilized during surging [44]. Even within a relatively simple layer of near-75 homogeneous sediment, we may expect multiple mechanisms to contribute to till deformation at any given 76 time, including grain boundary sliding, granular flow from comminution and grain rolling, and compaction 77 and dilation caused by shearing [45, 46]. Developing models that capture all of these mechanisms is an 78 active area of research, and we know of no current models that account for all mechanisms in a manner that 79 satisfyingly elucidates the underlying physics. Despite these challenges, notable surge models for glaciers 80 with deformable beds have been proposed by other authors. Truffer et al. [14, 47] inferred till mobilization 81 as a surge mechanism from direct observations of till deformation beneath a surge-type in Alaska. Wood-82 ward et al. [17] proposed a conceptual model based on ice penetrating radar surveys of a surge-type glacier 83 in Svalbard that indicated imbricate thrust faulting. And Clarke [37] developed a physical framework for 84 subglacial till based in part on critical state soil mechanics and an assumed viscoplastic rheology for satu-85

rated subglacial till. 86

Motivated in part by these models for surging in glaciers with deformable beds, we present a new physi-87 cal model that leverages the mechanical properties of granular materials to help explain incipient surge mo-88 tion in the absence of additional surface meltwater flux and frozen beds. Our model is informed by studies of 89 soil mechanics and earthquake nucleation and slow-slip events on tectonic faults containing water-saturated 90 gouge. Gouge and glacial till are mechanistically comparable materials in that both derive their strength 91 from a fine-grained matrix [37] and, in the cases of fault breccia and till, may feature coarse clasts [48]. 92 Regardless of the presence of coarse clasts, the load is carried by the fine-grained matrix. Laboratory exper-93 iments on fault gouge and till indicate that these materials have elastic-plastic rheologies with yield stresses 94 defined by the normal effective stress (the difference between overburden and pore fluid pressure) and the 95 tendency of the till to undergo internal frictional slip along grain boundaries [46, 49–57]. Shear strength is a 96 function of the rate of shearing within the till (hereafter called basal slip rate for glacier applications) and the 97 shear history of the till. Accounting for shear history is important because shearing can cause either dilation 98 or compaction of granular materials, depending on the state of consolidation in the material [58]. Dilation 99 has been identified through theory and observation as an important component controlling basal slip rates for 100 glaciers in Svalbard and Alaska, ice caps in Iceland, and ice streams in Antarctica [17, 47, 51, 54, 59–62]. 101 and here we seek to better understand the role of till compaction and dilation in incipient surge motion by 102 developing a simple model that captures the relevant physical processes. 103

#### 2 Model derivation 104

Consider a glacier with length  $\ell$ , thickness h, and constant width 2w, where  $h \ll w \ll \ell$ . Let us define a 105 coordinate system oriented such that x is along flow, y is across flow in a right-handed configuration, and z 106 is downward along the gravity vector (Fig. 1). Assume that ice thickness varies along-flow and is constant 107 across-flow such that h = h(x). 108

Water-saturated till underlies the glacier. We divide the till into two layers separated by a décollement: 109 the top layer is deformable with thickness  $h_s$  and pore water pressure  $p_w$ , while the lower layer is a stationary, 110 non-deforming half-space with pore water pressure  $p_{w_{\infty}}$ . Aside from strain rate, pore water pressure, and 111 otherwise stated properties, all physical properties of the till are assumed to be the same in both layers. 112 Our idealized glacier has a subglacial hydrological system that, like any glacier, evolves due to changes in 113 meltwater flux and basal slip rate [63-65]. Here we assume that both the state of the hydrological system and 114 the basal water flux are accounted for in  $p_{w_r}$ , the water pressure within the hydrological system, depicted as 115 a reservoir in the system diagram (Fig. 1). 116

We assume that basal slip is due entirely to deformation of the upper till layer, meaning that  $p_{w_r}$  only 117 influences ice flow through its influence on  $p_w$ . We make this simplifying assumption in spite of the fact that 118  $p_{w_n}$  may cause sliding of the ice relative to the bed [64, 66–69] because our focus is on how the mechanical 119 properties of till might induce surging in the absence of externally sourced meltwater flux. Unless there is a 120 significant flux of meltwater into the subglacial hydrological system, an unlikely scenario during winter,  $p_{m}$ 121 should remain approximately constant in time when averaged over a spatial scale of order the ice thickness. 122 This assumption of nearly constant wintertime  $p_{w_r}$  is merely conceptual and is not a necessary condition in 123 the subsequent derivation because time-varying  $p_{w_r}$  is accounted for in the model. Indeed, in future work, 124 subglacial hydrological models could be readily bolted onto the model presented here. For simplicity, we 125 ignore potential changes in pore water pressure caused by plowing particles [39, 43], and begin our study at 126

the glacier bed with an exploration of till mechanics. 127

# 128 2.1 Mechanical properties of till

We adopt a phenomenological model for the mechanical strength of till that depends on basal slip rate  $u_b$ and the state of the subglacial till  $\theta$ . This rate-and-state friction model accounts for instantaneous basal slip rate and, importantly, basal slip history, and was derived to explain numerous laboratory measurements of sliding on bare rock and granular interfaces. Rate-and-state friction is widely used in studies of earthquake nucleation and slow-slip events on tectonic faults, and gives the instantaneous shear strength of subglacial till as [49, 50]

$$\tau_t = N\mu = N\left[\mu_n + a\ln\left(\frac{u_b}{u_{b_n}}\right) + b\ln\left(\frac{\theta u_{b_n}}{d_c}\right)\right],\tag{1}$$

where  $\mu_n$  is the coefficient of nominal internal friction,  $d_c$  is a characteristic slip displacement,  $u_{b_n}$  is a constant reference velocity, and the constants a and b are material parameters that define the magnitude of the direct (velocity) and evolution (state) effects, respectively. As we will discuss, b is important for this study at it encodes the effect of dilation on the bulk friction coefficient  $\mu$ . In our idealized glacier geometry, the bed is horizontal and effective normal stress is equal to effective pressure, defined as

$$N = p_i - p_w, \tag{2}$$

$$p_i = \rho_i g h, \tag{3}$$

where  $\rho_i$  is the mass density of ice, g is gravitational acceleration,  $p_i$  is the ice overburden pressure, and  $p_w$ is the pore water pressure within the till.

Rate-and-state friction has received attention in studies of the ice-bed interface [36, 39, 42, 70, 71] and 142 is widely studied for slip on tectonic faults containing gouge [72–75], a material mechanistically similar to 143 till [76]. Though distinct in many respects, earthquakes and glacier surges are analogous in the sense that 144 both involve long quiescent periods and relatively short activation timescales. Slow-slip on tectonic faults 145 are particularly relevant to studying glacier surges because of their comparable slip durations and slow slip 146 rates compared with major earthquakes [74, 77]. Incipient motion in both earthquakes and glacier surges is 147 brought on by excess applied stress relative to frictional resistance. While stresses and displacement rates 148 are orders of magnitude higher in earthquakes than in glaciers, the experimentally verified rate-and-state 149 friction model is applicable to glacier surges as there is no known lower bound on velocity for the model to 150 be valid [78]. 151

<sup>152</sup> When till is deformed, individual grains are mobilized by cataclastic flow (which includes grain rolling <sup>153</sup> and boundary sliding), dilation, and comminution. Under small displacements, the granular structure of the <sup>154</sup> till is related to the pre-deformed structure, meaning that the till essentially remembers its prior state. Mem-<sup>155</sup> ory is represented by the state variable  $\theta$ , and is lost as the glacier slips over a characteristic displacement <sup>156</sup>  $d_c$ . Steady state till shear strength occurs when state evolution ceases ( $\dot{\theta} = 0$ ) and is defined as

$$\hat{\tau}_t = N \left[ \mu_n + (a - b) \ln \left( \frac{\hat{u}_b}{u_{b_n}} \right) \right],\tag{4}$$

where  $\hat{u}_b = d_c/\hat{\theta}$  is the steady state basal slip rate. (Hereafter, hatted values indicate steady state for the respective variable.) As we shall soon see,  $d_c$  is the slip distance over which state (and porosity) evolve, but it has also been interpreted as the slip distance at which the (rate-weakening) stress reduces to the residual stress [79]. Computational and microphysical studies have concluded that  $d_c$  is proportional to the thickness of the deforming layer [75, 80, 81], which can be expected to be of order 0.1–1 m in subglacial till and varies with permeability [53, 82]. Other factors influencing  $d_c$  include grain size and porosity [75].

State,  $\theta$ , has dimensions of time. It has been taken to represent the product of the contact area and intrinsic strength (quality) of the contact [83], but also has been interpreted as the average age of contacts between load-bearing asperities [84]. Under either interpretation, state is expected to evolve as a function of time, slip, and effective normal stress [49, 84–86]. To represent the evolution of  $\theta$ , we adopt what is commonly referred to as the slip law [50]

$$\dot{\theta} = -\frac{\theta u_b}{d_c} \ln\left(\frac{\theta u_b}{d_c}\right),\tag{5}$$

which dictates that state evolves only in the presence of slip. The only stable steady state in Eq. 5 exists at  $\theta = d_c/u_b$ ; when  $u_b > 0$ ,  $\theta$  always tends toward the stable steady state. Increasing  $u_b$  beyond  $d_c/\theta$ , through enhanced surface meltwater flux, calving, or other external forcing, will reduce  $\theta$  over time. Similarly, when  $u_b < d_c/\theta$ ,  $\theta$  will increase toward steady state. In the next section we show that changes in  $\theta$  are brought about through till compaction and dilation. As such,  $\theta$  accounts for the basal slip history and plays a key role in determining bed strength and the response of bed strength to shear and external forcing.

# 174 2.2 Pore water pressure

Till shear strength is proportional to effective pressure (Eg. 1), the difference between overburden and pore water pressure (Eq. 2). Assuming that the mass density of ice remains constant, effective pressure can only vary during surges due to changes in ice thickness and pore water pressure. Pore water pressure is linked to till compaction and dilation through changes in the effective till porosity. Thus, if we assume that the till is always saturated, then the rate of change of water mass per unit volume within the till is given as

$$\dot{m}_w = \rho_w \phi, \tag{6}$$

where  $\phi$  is the (dimensionless) effective till porosity, defined as the ratio of pore volume to total volume, and  $\rho_w$  is the density of water. In this section, we seek to understand the rate of change in pore water pressure as a function of basal slip rate under the basic assumptions that water is incompressible over the range of reasonable subglacial pressures and that frictional heating at the ice-bed interface and plastic dissipation within the till are negligible.

### 185 2.2.1 Evolution of porosity

Assuming that individual grains in the till are rigid, strain within the till will be accommodated by changes in porosity. Adopting an elastic-plastic model for the deformation of granular till, wherein the total strain is equal to sum of the elastic and plastic strains, we separate porosity changes into a plastic component,  $\dot{\phi}_p$ , and an elastic component  $\dot{p}_w\beta$  such that [73, 87]

$$\dot{\phi} = \dot{p}_w \beta + \dot{\phi}_p,\tag{7}$$

where

$$\beta = \frac{\partial \phi}{\partial p_w} = \frac{\epsilon_e \left(1 - \phi\right)^2}{N}$$
(8a,b)

is the till compressibility and  $\epsilon_e$  is the elastic compressibility coefficient, taken to be in the range  $\epsilon_e \sim 10^{-3}$ – 191  $10^{-1}$  [88]. Following work by Segall and Rice [73] and Segall et al. [77] on slow-slip events on tectonic 192 faults, we take the plastic component of porosity to have the same form as the evolution component of the 193 rate-and-state model for till shear strength (Eq. 1), namely

$$\phi_p = \phi_c - \epsilon_p \ln\left(\frac{\theta u_{b_n}}{d_c}\right),\tag{9}$$

where  $\phi_c$  is a (constant) characteristic porosity and  $\epsilon_p$  is a dilatancy coefficient, a dimensionless parameter 194 hereafter assumed constant and in the range  $10^{-4} \le \epsilon_p \le 10^{-2}$  [77]. We note that the only sensitivity 195 in our model to the absolute value of  $\epsilon_p$  is to the evolution of porosity; surge behavior, the main focus of 196 this study, is influenced only by the ratio  $\epsilon_p/\beta$ , which represents the relative importance of each term in 197 Eq. 7. By adopting Eq. 9, we are assuming that plastic deformation of the till is completely determined by 198 changes in state,  $\theta$ , the only variable in Eq. 9. This assumption is physically justifiable: irreversible changes 199 in porosity necessitate a change in the average age of granular contacts and, equivalently, a change in the 200 product of the contact area and quality, both of which are the physical interpretations of state discussed 201 above. Differentiating Eq. 9 in time yields 202

$$\dot{\phi}_p = -\epsilon_p \frac{\dot{\theta}}{\theta},\tag{10}$$

an expression that indicates that shearing causes till to compact ( $\dot{\phi}_p < 0$ ) when  $\theta$  is below steady state ( $\theta < d_c/u_b$ ) and to dilate when  $\theta$  is above steady state. Such behavior is consistent with observations of the response of over- and under-consolidated soils to shear [58]. As we will show, the relationship between plastic till deformation and state will give rise to rich mechanical relationships between compaction, dilation, and shearing, as is expected from sediments.

#### 208 2.2.2 Evolution of pore water pressure

Let us now consider water flux in the till in response to changes in porosity and sources outside the till shear layer. The rate of change of water mass is given by plugging the expressions for the total rate of change in porosity (Eq. 7) and the rate of irreversible (plastic) change in porosity (Eq. 10) into the expression for the rate of change in mass per unit volume (Eq. 6) yielding

$$\dot{m}_w = \rho_w \dot{p}_w \beta + \rho_w \epsilon_p \frac{u_b}{d_c} \ln\left(\frac{\theta u_b}{d_c}\right). \tag{11}$$

213 Conservation of water mass gives

$$\frac{\partial q_w}{\partial z} + \dot{m}_w = 0, \tag{12}$$

where  $q_w$  is the water mass flux and we have assumed horizontal gradients in water pressure are negligible compared with vertical gradients. Taking the basal ice to be impermeable requires water flux to be entirely into and out of the bed. Under these conditions, Darcy's law is given as

$$q_w = -\frac{\rho_w \gamma_h}{\eta_w} \frac{\partial p_w}{\partial z},\tag{13}$$

where  $\gamma_h$  is the till permeability and  $\eta_w$  is the dynamic viscosity of water. Combining Eqs. 11–13 under the assumption that till permeability is spatially constant and independent of porosity gives

$$\dot{p}_w = \kappa_h \frac{\partial^2 p_w}{\partial z^2} + \frac{\epsilon_p \dot{\theta}}{\epsilon_e \theta} \frac{N}{(1-\phi)^2},\tag{14}$$

219 where

$$\kappa_h = \frac{\gamma_h}{\eta_w \beta},\tag{15}$$

is the hydraulic diffusivity of the deforming till layer. Measurements of hydraulic diffusivity in till give a range for  $\kappa_h$  of approximately  $10^{-9}$ – $10^{-4}$  m<sup>2</sup>/s, with a strong sensitivity to clay content [89, 90]. We take constant effective permeability to be a reasonable first approximation given the small change in permeability under glaciologically relevant pressures and strains found in discrete element modeling studies [82]. A more general treatment of pore water pressure evolution would include a porosity-dependent permeability in place of a constant effective permeability — for example, the Kozeny-Carman model used by [37]. We reserve this additional complexity for future work as our simple model retains the salient physical processes.

Shearing in till concentrates in a thin, multi-layer zone that is typically several centimeters thick [54, 91–
 93]. We therefore approximate

$$\frac{\partial^2 p_w}{\partial z^2} = \frac{p_{w_\infty} - 2p_w + p_{w_r}}{h_s^2},\tag{16}$$

where  $h_s$  is the thickness of the shear zone in the till,  $p_{w_{\infty}}$  is the water pressure in the underlying permeable half space, and  $p_{w_r}$  is the water pressure in the basal hydrological system (Fig. 1). With this approximation, Eq. 14 becomes

$$\dot{p}_w = \frac{p_{w_\infty} - 2p_w + p_{w_r}}{t_h} + \frac{\epsilon_p \theta}{\epsilon_e \theta} \frac{N}{\left(1 - \phi\right)^2},\tag{17}$$

where the first term represents Darcian flow into and out of the deforming till layer and the second term represents dynamical (dilation-driven) changes in pore water pressure. The Darcy-flow component of pore water pressure evolution is inversely proportional to the characteristic diffusive timescale for pore water in the deforming till layer

$$t_h = \frac{h_s^2}{\kappa_h}.$$
(18)

To simplify the analysis, we hereafter take  $t_h$  to be constant, thereby ignoring the dependence of  $\kappa_h$  and 236  $h_s$  on effective pressure N and porosity  $\phi$ . We justify this simplification by noting that  $\kappa_h$  (Eq. 15) and 237 till thickness  $h_s$  roughly scale as N, though a detailed analysis of the relation between  $h_s$  and N is beyond 238 the scope of this work [37]. Assuming  $h_s \sim N$  and  $\kappa_h \sim N$ ,  $t_h \sim N$  to a reasonable approximation and 239 therefore should retain the same order of magnitude during incipient surge motion. Similarly for permeabil-240 ity, where compaction-driven reductions in permeability will induce relatively small (factor of 2) decreases 241 in thickness  $h_s$  [82]. Such small changes are unlikely to dramatically alter the dynamics of surge motion 242 captured here, and we leave for future work a more detailed analysis involving variable  $t_h$ . 243

From the second term in Eq. 17, we can see that the sign of the dynamical (or dilation-driven) component of  $\dot{p}_w$  is determined by the state of the till relative to steady state. When state,  $\theta$ , is below (above) steady state and  $t_h > 0$ , pore water pressure will increase (decrease) until steady state is achieved. These changes in pore water pressure are entirely due to changes in till porosity: compaction  $(\dot{\phi}_p < 0)$  results in faster rates of change in the dynamical component of water pressure because  $\epsilon_p \dot{\theta} N / [\epsilon_e \theta (1 - \phi)^2] = -\dot{\phi}_p / \beta$ . Whether  $p_w$  increases or decreases following step changes in basal slip rate depends on the whether the ratio  $\theta u_b / d_c$ is greater than or less than unity.

# 251 2.3 Basal slip acceleration

Glacier ice is an incompressible viscous fluid in laminar flow, and the momentum equation, incompressibility condition, and continuity equation, respectively, take the forms

$$0 = \frac{\partial \tau_{ij}}{\partial x_i} - \frac{\partial \tilde{p}}{\partial x_i} + \rho_i g \delta_{iz}, \tag{19}$$

$$0 = \frac{\partial u_i}{\partial x_i},\tag{20}$$

$$\dot{h} = \dot{M} - \frac{\partial}{\partial x_i} (h \bar{u}_i), \qquad (21)$$

where  $u_i$  is the ice velocity vector,  $\bar{u}_i$  is the depth-averaged ice velocity vector,  $\tau_{ij}$  is the deviatoric stress tensor,  $\delta_{ij}$  is the Kronecker delta,  $\tilde{p}$  is the mean isotropic ice stress (pressure),  $\dot{M}$  is the total surface mass <sup>256</sup> balance (which includes surface and basal mass balance and is positive for mass accumulation), and we employ the summation convention for repeated indices. To simplify our analysis, we neglect vertical shearing
<sup>258</sup> in the ice column, and adopt a depth-integrated momentum equation (often referred to as the shallow shelf
<sup>259</sup> approximation) [94]

$$2\frac{\partial}{\partial x}(h\tau_{xx}) + \frac{\partial}{\partial y}(h\tau_{xy}) + \tau_b = \tau_d, \qquad (22)$$

where  $\tau_{xx}$  is the extensional deviatoric stress,  $\tau_{xy}$  the lateral shear stress, and we have neglected the trans-260 verse normal (deviatoric) stress  $\tau_{yy}$ . In some surge-type glaciers, vertical shearing may be the dominant flow 261 regime during the quiescent phase, while basal slip is the dominant flow regime during the surge phase. Eq. 262 22 is valid only when basal slip is dominant, and thus a model of basal slip acceleration derived from Eq. 263 22 may not fully detail glacier flow during incipient surge acceleration in some glaciers. Nevertheless, this 264 simplification is reasonable because the focus of this work is on till mechanics and the flow model based on 265 Eq. 22 will represent the salient processes of nascent surge acceleration. We reserve for future work a more 266 detailed analysis that retains more components of the stress divergence and is able to capture the transition 267 from vertical-shear-dominated flow to basal-slip-dominated flow. 268

Force balance dictates that basal shear traction cannot exceed the lesser of applied stress and yield stress of the till, giving rise to the relation [44, 95]

$$\tau_b = \min(\tau_d, \tau_t),\tag{23}$$

where  $\tau_t = \mu N$  is the till shear strength (Eq. 1) and the gravitational driving stress is defined as

$$\tau_d = \rho_i g h \alpha \tag{24}$$

where  $\alpha$  is the ice surface slope, assumed small such that  $\sin(\alpha) \approx \alpha$ . Recall that we are focusing on the case in which rapid flow during the surge is accommodated primarily by deformation of the bed, giving rise to the relations  $\tau_b = \tau_t$  and  $u_s \approx u_b$ .

Let us now focus only on the region where the surge is initiated and assume the areal extent of incipient surge motion is large enough to make the gradient of longitudinal stress (first term in Eq. 22) negligible during the nascent surge phase. Taking ice to be shear-thinning fluid, the constitutive relation, commonly known as Glen's law [96], is

$$\dot{\varepsilon}_e = A \tau_e^n,\tag{25}$$

where  $\dot{\varepsilon}_e = \sqrt{\dot{\varepsilon}_{ij}\dot{\varepsilon}_{ij}/2}$  is the effective strain rate,  $\tau_e = \sqrt{\tau_{ij}\tau_{ij}/2}$  is the effective deviatoric stress, the rate factor A is a scalar, and the stress exponent is n = 3. Hereafter, A and n are assumed constant. Under our prior assumptions,  $2\dot{\varepsilon}_e \approx \partial u_b/\partial y$  and  $\tau_e \approx \tau_{xy}$ . Integrating the reduced form of Eq. 22 twice along y subject to the symmetry condition  $\tau_{xy} = 0$  at the centerline and no-slip condition at the margins gives the centerline basal slip rate

$$u_b = u_r \left[ \alpha - \mu \left( 1 - \frac{p_w}{p_i} \right) \right]^n, \tag{26}$$

284 where

$$u_r = \frac{2A(\rho_i g)^n}{n+1} w^{n+1},$$
(27)

is a reference velocity. Taking  $u_r$  and w to be constants and differentiating Eq. 26 with respect to time yields an expression for acceleration in basal slip

$$\dot{u}_{b} = nu_{b} \left[ \frac{\dot{\alpha} - \mu \frac{p_{w}}{p_{i}} \left( \frac{\dot{h}}{h} - \frac{\dot{p}_{w}}{p_{w}} \right) - b \frac{\dot{\theta}}{\theta} \left( 1 - \frac{p_{w}}{p_{i}} \right)}{\alpha + (an - \mu) \left( 1 - \frac{p_{w}}{p_{i}} \right)} \right],$$
(28)

where the rates of change in glacier geometry ( $\dot{h}$  and  $\dot{\alpha}$ ), pore water pressure ( $\dot{p}_w$ ), and state ( $\dot{\theta}$ ) all contribute to the basal slip acceleration, along with instantaneous geometry (h and  $\alpha$ ), pore water pressure ( $p_w$ ), state ( $\theta$ ), and basal slip rate ( $u_b$ ). Note that the conditions  $\tau_d > \tau_b$  and  $\tau_b = \tau_t$ , discussed and imposed earlier in this section, ensure that the denominator in Eq. 28 is always greater than zero.

Eq. 28 is the central result of this study. This formula describes the dependence of surge acceleration 291 on glacier geometry, pore water pressure, and the properties of the till. The terms in the numerator can be 292 related to the processes of interest during the surge. Namely, the first term in the numerator ( $\dot{\alpha}$ ) essentially 293 represents the rate of change in the gravitational driving stress. The second term in the numerator captures 294 the evolution of effective pressure (N), which governs the shear strength of the bed. The third and final 295 term in the numerator accounts for the influence of dilation on the internal friction coefficient of the till. We 296 spend the remainder of this study investigating the influence of the various physical processes represented 297 in Eq. 28. 298

#### 299 **3 Results**

Since shear strength of the till is the governing factor in surge motion and is defined by three variables (overburden pressure  $p_i$ , pore water pressure  $p_w$ , and the internal friction coefficient  $\mu$ ), we present the results in three sections. In the first section, we discuss the evolution of pore water pressure following an increase in basal slip rate. Second, we consider the acceleration of basal slip for a glacier with a fixed geometry (*i.e.*, fixed overburden pressure). Lastly, we explore the full model, which allows for variations in pore water pressure, glacier geometry, and internal friction coefficient for till.

# **306 3.1 Evolution of pore water pressure**

Pore water pressure in the deforming till layer evolves due to dilation and compaction of the till as well as 307 through the exchange of water between the deforming till layer, the subglacial hydrological system, and the 308 stagnant till layer that underlies the deforming layer (Eq. 17 and Fig. 2). In our model, the pressures in the 309 stagnant till layer and the subglacial hydrological system are assumed constant in time, and the flow of water 310 into or out of the deforming till layer is described by Darcy's law (Eq. 13). Using the parameter values given 311 in the caption of Fig. 2, we integrate Eqs. 5, 7, and 17 forward in time from the initial conditions  $u_{b_0} = 10$ 312 m/yr,  $\phi_0 = 0.1$ ,  $\theta_0 = d_c/u_{b_0}$ , and  $p_{w_0} = p_{w_r} = p_{w_{\infty}}$  using the variable-coefficient ordinary differential 313 equation (VODE) solver implemented in SciPy (version 1.3.1), an open-source Python toolkit [97]. 314

The results shown in Fig. 2 illustrate how the evolution of pore water pressure  $p_w$  following a step 315 increase in basal slip rate is influenced by the hydraulic diffusion timescale of the deforming till layer  $(t_h)$ 316 and the relative values of the elastic ( $\epsilon_e$ ) and plastic ( $\epsilon_p$ ) compressibility coefficients. Note that because we 317 hold  $t_h$  fixed in time, only the relative compressibility ratio  $\epsilon_e/\epsilon_p$  influences pore water pressure, not the 318 absolute values of  $\epsilon_e$  and  $\epsilon_p$ . All cases shown in Fig. 2 start at steady state and indicate initial decreases in 319 pore water pressure  $p_w$  in response to till dilation followed by a return to steady state  $(p_{w_0} = p_{w_r} = p_{w_{\infty}})$ 320 via Darcian flow over a timescale proportional to the diffusion timescale. The minimum pore water pressure 321 is determined by the diffusion timescale  $t_h$  and the relative compressibility  $\epsilon_e/\epsilon_p$ . For a given relative 322 compressibility, longer diffusion timescales, corresponding to lower till permeabilities, lead to a greater 323 drop in pore water pressure (Fig. 2, upper panel). For a given diffusion timescale, smaller values of relative 324 compressibility, which indicate stronger dilatancy of the till relative to poroelastic effects, result in greater 325 drops in pore water pressure (Fig. 2, lower panel). 326

# 327 **3.2** Acceleration with fixed ice thickness

We now consider glacier acceleration. As a first step, we simplify our analysis by assuming that the timescale 328 of interest is longer than the timescale for pore water diffusion  $(t > t_h)$  but short enough to allow us to 329 reasonably neglect changes in glacier geometry. While it can be argued that this condition may be physically 330 contrived in some cases, it is useful for exploring surge dynamics and the behavior of the till in the absence 331 of some complicating factors (in the next section we will allow glacier geometry to evolve). After fixing 332 glacier geometry by imposing  $\dot{h} = 0$  and  $\dot{\alpha} = 0$  at all times, we solve the system of equations defined by 333 Eqs. 5, 7, 17, and 28. For all results discussed here, we prescribe as the initial velocity  $u_b = 1.1\hat{u}_b$  at t = 0, 334 where  $\hat{u}_b = 10$  m/yr, and set the initial values for all other variables to their respective steady state values. 335 The system of equations is stiff, and therefore, we integrate forward in time using an implicit Runge-Kutta 336 method — specifically the Radau IIA fifth-order method — implemented in SciPy (version 1.3.1). 337

In the cases shown in Fig. 3, we focus on the influences of a range of viable evolution effects (*b* values; indicated by line intensity and thickness) and different hydraulic diffusion timescales ( $t_h$ ; indicated by colors). Aside from *b* and  $t_h$ , all parameters are the same for all cases and are listed in the Fig. 3 caption. Note that a = 0.013, so in terms of the till friction coefficient  $\mu$ , the cases shown in Fig. 3 are both rate-weakening (a < b; solid lines) and rate-strengthening (a > b; dashed lines).

The most notable feature in all cases shown in Fig. 3 is the lack of unstable acceleration. Steady state 343 speed is governed by the steady state shear strength of till (Eq. 4) and is therefore sensitive to the rate-and-344 state parameters (a - b) and  $\mu_n$ . Since the direct effect (a) is constant all cases in Fig. 3, increasing the 345 evolution effect (b) leads to a greater steady state stress drop and faster steady state basal slip rate due to the 346 increasingly negative value (a - b). The steady state values for all state variables are independent of the 347 diffusion timescale  $t_h$  and characteristic slip length  $d_c$ . The primary influences of  $t_h$  and  $d_c$  are on the time 348 the system take to reach steady state and the peak change in pore water pressure. These results show that 349 the system tends to steady state over a characteristic timescale that scales with the (dimensionless) hydraulic 350 transmittance 351

$$\psi_0 = \frac{\epsilon_p \hat{u}_{b_0} t_h}{\epsilon_e d_c} \tag{29}$$

defined as the ratio of the hydraulic diffusion timescale  $t_h$  to the timescale for dilation-driven changes in 352 pore water pressure  $d_c \epsilon_e / (\epsilon_p u_{b_0})$ . The dependence on  $\psi_0$  of the time to steady state is indicated in Fig. 3 by 353 noting that the only term in  $\psi_0$  that changes between the difference cases is the hydraulic diffusivity  $\kappa_h$  (and, 354 consequently,  $t_h$ ). The time axes in Fig. 3 are normalized by  $d_c \epsilon_e / (\epsilon_p u_{b_0})$ , the timescale for dilation-driven 355 changes in pore water pressure to help show that model realizations in which the diffusion timescale  $t_h$  is an 356 order of magnitude longer, take an order of magnitude longer time to evolve to steady state. As we show in 357 the next section, the time required to reach steady state is a crucial factor governing whether or not a glacier 358 surges. 359

The behavior of the model in the absence of changes in glacier geometry (Fig. 3) provides further insight 360 that help explain some of the results of the full model presented in the next section. For instance, the till 361 dilates in all cases due to initial step and subsequent changes in basal slip rate (Fig. 3). The amplitude of 362 the change in till porosity scales with the evolution parameter b, with larger values of b resulting in greater 363 dilatancy. As seen in the previous section, higher dilatancy results in a larger drop in pore water pressure 364 as the glacier accelerates. Dilatancy also drives a reduction in the internal friction coefficient of till, as a 365 dilated till provides less resistance to shearing due to reduced contact areas between grains. This drop in the 366 internal friction coefficient commensurately reduces the shear strength of the till. 367

# **368 3.3** Acceleration with variable ice thickness

Over longer timescales, dynamically driven changes in glacier geometry can be important, and we must consider the full expression given in Eq. 28. To do so, we approximate changes glacier geometry by recalling that *h* varies only in the along-flow (*x*) direction and focusing only on the central trunk of the glacier where across-flow variations in the depth averaged velocity vector  $\bar{\mathbf{u}}$  can be neglected. Thus, the continuity equation (Eq. 21) becomes

$$\dot{h} = \dot{M} - \frac{\partial}{\partial x} \left(\zeta h u_s\right),\tag{30}$$

where  $\zeta = \bar{u}/u_s$ ,  $\bar{u}$  is the depth-averaged glacier speed, and  $u_s$  is the glacier surface speed. Since we have taken ice to be a non–Newtonian viscous fluid, we have  $(n + 1)/(n + 2) \leq \zeta \leq 1$ , where *n* is the stress exponent in the constitutive relation for ice (Eq. 25). In this study, we adopt the most common value for the stress exponent, n = 3, and we prescribe  $\zeta = 1$  for consistency with the reduced momentum equation in Eq. 22 (when  $\zeta = 1$ ,  $u_s = u_b$ ). We further simplify the expression for dynamical thinning by neglecting extensional strain rates (consistent with the assumptions in §22.3), yielding

$$h = \alpha \zeta \left( u_* - u_b \right),\tag{31}$$

where  $u_* = \dot{M}/(\alpha\zeta)$  is the balance velocity. Finally, the rate of change in surface slope becomes

$$\dot{\alpha} = -\frac{\partial \dot{h}}{\partial x} \approx \frac{\dot{h}\alpha}{h}$$
(32a,b)

where Eq. 32b follows from the assumption of a parabolic surface profile for the glacier [88]. These approximations complete the quasi-1D model, and we solve Eqs. 5, 17, 28, 31, and 32 using the numerical solver described in §33.2.

The results, shown in Fig. 4, indicate markedly different behavior from the case where glacier geometry 383 was held fixed (§33.2). Most notably, surging — defined here as an order of magnitude increase in basal slip 384 rate — occurs for some combinations of the evolution parameter b and diffusion timescale  $t_h$ . In particular, 385 for our chosen parameters (given in the Fig. 4 caption), higher b values and longer  $t_h$  times result in surges. 386 On the other hand, b values and  $t_h$  times too small and/or short to generate surge behaviors produce prosaic 387 glacier dynamics (small b, short  $t_h$ ) or abandoned surges (small b, long  $t_h$ ), the latter of which we define 388 as a period of rapid flow speeds (factor of two or more faster than quiescent speeds) that do not meet the 389 definition of a surge, followed by a slowdown and evolution to steady state. To clarify the distinction: Initial 390 acceleration is unstable in surges and stable in abandoned surges. 391

To explore the processes that govern whether a surge develops, is abandoned, or is essentially absent, 392 let us focus on some illustrative cases shown in Fig. 4. We start with two prominent cases: those with 393 the highest b values (and therefore the heaviest lines in Fig. 4) and different hydraulic diffusivities (*i.e.*,  $t_h$ 394 values). The case with b = 0.05 and higher diffusivity (and, consequently, higher hydraulic permeability 395 and shorter  $t_h$ ), shown with the heavy red lines in Fig. 4, undergoes an abandoned surge, defined by a 396 brief acceleration phase, resulting in a maximum velocity of approximately twice the steady state slip rate 397  $(u_b/\hat{u}_{b_0} \approx 2)$ , followed by deceleration and evolution to steady state. In this case, the glacier thins some-398 what, but the till tends to steady state before there is any marked change in the effective pressure at bed (N). 399 The case with b = 0.05 and lower hydraulic diffusivity (heavy blue line) surges, with muted acceleration 400 (relative to the case with higher hydraulic diffusivity) preceding a continual reduction in state, pore water 401 pressure, ice thickness, and till internal friction coefficient. The rates of change in each of these values when 402 the integration was terminated (at  $u_b/\hat{u}_{b_0} = 10$ ) show that the glacier would continue to accelerate in the 403 absence of contravening processes, such as increases in extensional stresses, that are not considered in our 404 model but could manifest in a natural glacier. It is important to note that the effective pressure N continually 405 decreases despite reductions in pore water pressure  $p_w$  because of the dynamic thinning of the glacier. In 406 other words, reductions in overburden pressure  $p_i = \rho_i gh$  outpace reductions in pore water pressure  $p_w$ , 407 leading to a net decrease in  $N = p_i - p_w$  that complements reductions in the friction coefficient  $\mu$ , ensuring 408

that basal drag ( $\tau_b = \tau_t = N\mu$ ) diminishes in time. Sustained acceleration of the glacier unequivocally 409 indicates that the decline of basal drag outpaces thinning-induced reductions in gravitational driving stress. 410 Other cases shown in Fig. 4 indicate the same basic behavior: till with higher values of hydraulic 411 permeability allows for faster acceleration, which causes the till to evolve to steady state before significant 412 thinning of the glacier can occur. Rates of acceleration and evolution to steady state are slower in less-413 permeable till, allowing rapid ice flow to persist for longer periods of time, facilitating dynamic thinning of 414 the glacier. Longer timescales with relatively muted acceleration allow for thinning because dynamic glacier 415 thinning scales as the time-integral of ice velocity (Eq. 31), meaning that longer periods of moderately rapid 416 flow can produce more thinning than much short periods of somewhat faster flow. These results suggest that 417 it is the reduction in overburden pressure  $p_i$ , and therefore effective pressure N, through dynamic thinning 418 that is ultimately responsible for sustaining surge motion. The lack of unstable acceleration when glacier 419 geometry is fixed in time (discussed in the previous section) and the manifestation of surging in cases of rate-420 strengthening friction coefficients (dashed lines in Fig. 4) both serve to highlight importance of dynamic 421 thinning for sustaining surge motion. 422

The evolution of till porosity, as shown in Fig. 4, is markedly different from the case with fixed glacier 423 geometry (previous section). Till consistently dilated when glacier geometry was fixed because effective 424 pressure decreased then returned to steady state along with water pressure. But the dependence of the rate 425 of change in till porosity on the effective pressure via  $\beta$  (Eqs. 7 and 8) results in net compression when we 426 allow the glacier to thin. As effective pressure decreases due to thinning of the glacier, the sensitivity of 427 the rate of change in porosity due to changes in pore water pressure become more pronounced. Since pore 428 water pressure decreases in response to the evolution of till state (Eq. 17), the net effect is till compaction 429 that lags reductions in pore water pressure. 430

The results discussed in this section indicate that the principal factors governing the surge behavior of a 431 glacier are the hydraulic diffusion timescale of the deforming till layer,  $t_h$ , the relative compressibility  $\epsilon_e/\epsilon_p$ . 432 and the evolution parameter b, the latter of which dictates the response of the internal friction coefficient 433 to till dilation. We explore this parameter space in Fig. 5; except where indicated, model parameters 434 are the same as for Fig. 4, and we use the same numerical solver. The results in Fig. 5 show that for 435 any relative compressibility  $\epsilon_e/\epsilon_p$ , surge-type behavior is favored in glaciers with high b values and long 436 diffusion timescales (*i.e.*, relatively impermeable beds). Higher b values imply a greater reduction in the 437 internal friction coefficient of till ( $\mu$ ) in response to changes in porosity (and therefore, state), with rate-438 weakening values (b > a) resulting in a reduced steady state friction coefficient. Positive glacier acceleration 439 is generally expected as the friction coefficient decreases in response to state evolution, causing surges to 440 be favored at higher b values. As previously discussed, longer diffusion timescales (*i.e.*, lower hydraulic 441 permeability) diminish the rate of porosity (state) evolution, and therefore, slows dilatant hardening effects. 442 Thus, slow diffusion of pore water enables a longer acceleration period that allows time for dynamic glacier 443 thinning to drive a net reduction in the effective pressure. Surge-type glaciers are more likely to manifest 444 in tills that have a high relative compressibility,  $\epsilon_e/\epsilon_p > 10$ , as these higher values imply less dilatant 445 hardening (the reduction in pore water pressure due to shearing; cf. Fig. 2). 446

The rich dynamical behavior illuminated in Fig. 5 is enhanced by the manifestation of regions (in the 447 parameter space) of abandoned surges adjacent to the regions of surging behavior. Abandoned surge regions 448 are indicated in Fig. 5 by maximum basal slip rates greater than the initial value  $(u_{b_{max}}/\hat{u}_{b_0} > 2)$ , as shown 449 in purple-to-red hues) and final basal slip rates less than the initial value  $(u_{b_{final}}/\hat{u}_{b_0} < 0.5)$ , as shown in 450 grey tones). Abandoned surges manifest only where b values are relatively large but not large enough to 451 produce a surge and diffusion timescales are slightly too short to allow for a full surge. According to our 452 results, it is possible for a glacier to exhibit abandoned surges for any value of  $\epsilon_e/\epsilon_p$ , but the region in the 453 parameter space that produces abandoned surges increases with  $\epsilon_e/\epsilon_p$  (*i.e.*, as dilatant hardening decreases). 454 Two other remarkable and persistent features of the parameter space are worth highlighting. First, aban-455 doned surge regions are accompanied by an area of the parameter space that takes the shape of an airfoil 456

containing points suitable for surge-type glaciers. In all cases, these airfoil features are isolated from the 457 main region of surging, oriented at roughly the same angles in the parameter space, have long-axes lengths 458 that scale nonlinearly with  $\epsilon_e/\epsilon_p$ , and have positions that shift toward higher  $t_h$  and smaller b as  $\epsilon_e/\epsilon_p$  in-459 creases. The boundaries of these features are diffuse in the direction of smaller  $t_h$  and b but feature sharp 460 transitions in both max and final slip rates at higher  $t_h$  and b values. Second, the boundary separating the 461 surging region from the non-surging and abandoned surge regions is sharp, rather than diffuse, suggesting 462 the existence of a supercritical Hopf bifurcation at the (approximately) linear boundary between surging and 463 non-surging in the  $t_h$ -b parameter space. As expounded on in the Discussion section, this sharp boundary 464 and possible bifurcation illuminates some potential mechanisms that cause surging to switch on and off over 465 longer (multi-centennial) timescales in given glacier system, and for surging glaciers to be relatively rare 466 and geographically clustered. We reserve for future work detailed exploration of bifurcations in the system. 467 To better understand the features in Fig. 5, we further explore the dynamics in Fig. 6, which shows that 468 small variations in b for fixed values of  $t_h$  and  $\epsilon_e/\epsilon_p$  lead to a range of responses. The parameter values repre-469 sented in Fig. 6 are shown with corresponding colors in Fig. 5. In order of decreasing b, we observe surging 470 following the perturbation (blue line; b = 0.03), abandoned surging (orange line; b = 0.028), an abandoned 471 surge followed by a surge at longer timescales (red line; b = 0.026), and slight dynamical variations (green 472 and olive lines;  $b \le 0.024$ ). These transitions in dynamical behavior as a function of decreasing b can be 473 understood in the context of changes in  $\mu$ , the internal friction coefficient of the till. The sensitivity of  $\mu$  to 474 changes in state increases with b values, allowing for greater and more rapid reductions in the friction coef-475 ficient — and, by extension, the shear strength of the till,  $\tau_t$  (lowest panel of 6) — at higher b values. Thus, 476 higher b values lead to unstable acceleration immediately following the perturbation by allowing dynamic 477 glacier thinning driven a net reduction in the effective pressure, further decreasing the shear strength of the 478 till. Slightly smaller b values in the abandoned surge region result in slightly smaller changes in  $\mu$ , which 479 creates a situation that is unfavorable to surging because the acceleration in basal slip rate is sufficiently fast 480 to drive till evolution but not significant dynamic thinning of the glacier. As a result, the initial acceleration 481 is facilitated by reductions in both the effective pressure and internal friction coefficient, but decreases in 482 pore water pressure eventually outpace reductions in overburden pressure, resulting in an net increase in 483 effective pressure (and  $\tau_t$ ) and ultimate stagnation of basal slip. Finally, a delayed surge manifests at median 484 b values (b = 0.026 for  $t_h = 2600$  days; red line in Fig. 6) due to trade-offs in basal slip acceleration, till 485 dilation, and evolution of the internal friction coefficient. In this case, small initial decreases in  $\mu$  driven 486 by state evolution allow for basal slip acceleration, which drives the till toward steady state and ultimately 487 increases state beyond the initial steady state value as the glacier slows. Since basal slip does not stagnate 488 as it did in the previously discussed case, the till continues to evolve, eventually leading to compaction and 489 commensurate increase in pore water pressure. This increase in pore water pressure drives a reduction in 490 effective pressure that leads to glacier acceleration, which eventually becomes self-sustaining as the glacier 491 thins and effective pressure drops. 492

We find good agreement between our model behavior and observations of surge motion in natural 493 glaciers (Fig. 7). Our model reproduces both the timing and order of magnitude of the speedup with a 494 range of values for the evolution coefficient b and diffusion timescale  $t_h$ . In Fig. 7 we show results using 495 b = 0.03 and  $t_h = 3000$  days and other parameters corresponding to values used in Figs. 3 and 4. Note 496 that our focus in this study has been on the incipient acceleration phase of the surges, and simplifications in 497 the model, namely the lack of an evolving subglacial hydrological system and consideration of extensional 498 stresses in the momentum balance, prevent the model from decelerating [10]. The agreement between our 499 model and these data, however, is encouraging as it suggests that the dilation and glacier-thinning timescales 500 we consider in our model do indeed work in concert to trigger glacier surges. 50

#### 502 4 Discussion

At this point, we have derived and explored the behavior of a fundamentally new dynamical model of 503 incipient surge motion that considers the mechanics of subglacial till and ice flow. Few comparable models 504 exist in the literature, thus we endeavor to develop the simplest model capable of capturing the salient 505 physical processes of ice slipping due to deformation of beds composed of water-saturated till. As detailed 506 later in this section, natural glacier systems will, of course, be more complex than our model. Nevertheless, 507 our model evinces rich dynamical behaviors consistent with observations, suggesting that our model strikes 508 an appropriate balance between capturing the salient physical processes while remaining simple enough to 509 allow for physical insight. 510

### 511 4.1 Mechanics of incipient surge motion

Rich dynamical behavior in our model is driven by the interactions of the three factors that define the shear 512 strength of the till  $\tau_t = (p_i - p_w)\mu$ : the overburden pressure  $p_i = \rho_i gh$ , pore water pressure  $p_w$ , and 513 the rate-and-state-dependent internal friction coefficient  $\mu = \mu(u_b, \theta)$ . To understand surge behavior in 514 glaciers with till-covered beds, it is important to recognize that pore water pressure tends to decrease due to 515 dilation, which strengthens till and resists surge motion, while the internal friction coefficient can increase 516 or decrease, often by small amounts. Rate-weakening internal friction (a - b < 0) can help to facilitate 517 surges but is not a necessary condition as surges are possible with rate-strengthening friction coefficients 518 (a - b > 0) under conditions that allow for reduction in effective pressure (Fig. 5). 519

The key process governing incipient surge motion is suction caused by till dilation in relatively imper-520 meable till. In this case, pore water pressure decreases in response to shear-driven dilation, and the drop in 521 pore water pressure diminishes the ability of till to evolve to a new steady state. If hydraulic permeability 522 is sufficiently low (*i.e.*, if the diffusion time of the deforming till layer  $t_h$  is sufficiently long), slowing of 523 state evolution allows the glacier to accelerate for longer periods of time. This longer acceleration phase 524 gives the glacier time to thin dynamically, which reduces the overburden pressure  $(p_i)$ . In the region of the 525 parameter space shown in Fig. 5, the reduction in overburden pressure outpaces drops in pore water pressure 526  $(p_w)$  leading to a net reduction in the effective pressure  $(N = p_i - p_w)$  and thereby the shear strength of till 527  $(\tau_t = \mu N)$ . From Eqs. 24 and 32, we can see that the rate of change in driving stress is  $\dot{\tau}_d \approx 2\dot{p}_i \alpha$ , indicat-528 ing that driving stress evolves at least an order of magnitude more slowly than changes in overburden due 529 to the shallow slopes of glaciers ( $\alpha \ll 1$ ). As a result, reductions in overburden pressure facilitate sustained 530 excess driving stress ( $\tau_d > \tau_b$ ), the key ingredient for sustained incipient surge motion. It is necessary, then 531 that the initial acceleration must be large enough and last for long enough to generate sufficient dynamical 532 thinning of the glacier. 533

# 534 4.2 Implications of surge mechanics

The need for dynamic thinning to sustain surge motion gives two necessary conditions for glacier surging: 535 till must have sufficiently low hydraulic permeability to allow for incipient surge motion to be maintained 536 over a long enough period of time, and the velocity during the nascent surge much exceed the balance 537 velocity to allow for dynamical thinning. The latter condition implies a third necessary condition: shear 538 strength of the till must be less than the balance driving stress, defined as the driving stress at which the 539 balance velocity is achieved through internal deformation of the ice column. Consequently, yielding of the 540 till must occur at glacier velocities slower than the balance velocity to allow for continual shear-loading of 541 the till. 542

In the accumulation zones of surging glaciers, flow speeds must be slower than the balance velocity to build an ever-thickening reservoir of ice [15]. This condition must persist throughout the quiescent phase because once the flow speed reaches the balance velocity, there would be no way to further increase driving stress and load the bed as ice-mass would be evacuated by flow accommodated through vertical shearing of

the ice column. In other words, mass balance along with the geometric and rheological properties of surge-

<sup>548</sup> type glaciers allow them to build a reservoir that exerts a driving stress equal to bed failure strength before

flow rates reach the balance velocity. To illustrate this point, consider that the maximum load a glacier can apply to its bed is given by the gravitational driving stress when the surface velocity of the ice equals the balance velocity and basal slip rate is negligible ( $\tau_b \approx \tau_d$ ). Surface velocity due solely to vertical shearing within the ice column  $u_v$  is given by assuming that stress increases linearly with depth, that ice rheology is constant with depth, and that ice flow is parallel to the ice surface, yielding

$$u_v = \frac{2Ah\tau_d^n}{n+1},\tag{33}$$

where *A* is the prefactor and *n* is the stress exponent in the constitutive relation for ice (Eq. 25). Defining the rate of change in driving stress as (cf. Eqs. 24, 31, and 32)

$$\dot{\tau}_d \approx 2\rho_i g \alpha^2 \zeta \left( u_* - u_s \right),\tag{34}$$

and setting  $u_s = u_v = u_*$  in Eq. 34 gives the balance driving stress

$$\tau_{d*} = \tilde{\tau}_d \left(\frac{n+2}{2}\right)^{\frac{1}{n+1}} \approx 1.25 \,\tilde{\tau}_d,\tag{35}$$

<sup>557</sup> where the potential drag at the bed is

$$\tilde{\tau}_d = \left(\frac{\rho_i g \dot{M}}{A}\right)^{\frac{1}{n+1}},\tag{36}$$

whose variables  $\dot{M}$ , A, and, to a lesser extent,  $\rho_i$  are governed by local climate [90]. Although mass density cannot vary more than 25%,  $\dot{M}$  and A can vary independently by orders of magnitude. Thus, potential drag  $\tilde{\tau}_d$  for an idealized glacier is determined almost exclusively by  $\dot{M}/A$ , the ratio of mass balance,  $\dot{M}$ , to the rate factor, A, which depends on ice temperature and interstitial meltwater content, along with crystallographic fabric [99].

Eqs. 35 and 36 underpin a necessary condition for surging: At a minimum, surging glaciers must 563 have a climate, and geometry, that allows for sufficiently high  $\tilde{\tau}_d$  values—a combination of high mass 564 balance and stiff ice (i.e. small A)—to overcome the strength of their beds. As a result, the geographic 565 distribution of surge-type glaciers will reflect areas that combine sufficiently high rates of snowfall, relatively 566 low summertime melt at the surface, and cold, stiff ice with beds that have yield stresses below the respective 567  $\tilde{\tau}_d$  but are strong enough to allow the glacier to develop driving stresses that allow for order-of-magnitude 568 increases in ice flow during the surge. Assuming that the pre-surge surface velocity,  $u_{s_{pre}}$ , in the region 569 where a surge begins is primarily due to viscous deformation in the ice column (i.e.,  $\tau_{b_{pre}} \approx \tau_{d_{pre}}$ ) and 570 considering that surface velocity at peak surge speeds,  $u_{ssurge}$ , is due primarily to basal slip, the gravitational 571 driving stress necessary to produce a given speedup can be approximated as 572

$$\tau_{d_{pre}} \approx \tau_{t_{surge}} \left[ 1 - \frac{u_{s_{surge}}}{u_{s_{pre}}} \frac{h_{surge}^n h_{pre}}{w^{n+1}} \right]^{-1/n},\tag{37}$$

where  $\tau_{t_{surge}}$  is the shear strength of the till when the glacier is flowing at peak surge speed. Note that typical values for the bracketed term in Eq. 37 will be approximately one for glaciers that are wider than they are thick (a condition stated at the beginning of the model derivation). Combining Eq. 37 with the balance velocity explicitly gives the necessary condition

$$\tau_{d_{pre}} < \tau_{d*},\tag{38}$$

which to a good approximation is simply  $\bar{\tau}_t < \tilde{\tau}_d$ , where  $\bar{\tau}_t$  is the long-term average shear strength of the till in the region where surges nucleate. The range of reasonable values on  $\rho_i g$  is small, so to a good approximation, whether a glacier meets the condition in Eq. 38 is determined primarily by mass balance, ice rheology, bed strength, and cross-sectional aspect ratio (h/w).

The condition defined by Eqs. 35 through 38 yield surge conditions discussed in previous observational studies. The dependence on mass balance is consistent with observations that have shown cumulative quiescent-phase mass balance to be a reliable predictor of surging on Variegated Glacier, Alaska [100, 101]. The temperature-dependent ice rheology reproduces the climatic and geometric trends reported in [12] (Fig. 8). In this framework, warmer climate (and ice temperatures) require higher values of surface mass balance to satisfy the condition that the bed yields before the driving stress becomes high enough to cause the glacier to flow at the balance velocity through internal deformation within the ice.

Further insight into the spatial distribution and longer-term evolution of surge-type glaciers can be 588 gleaned from the boundaries between surge-type and non-surge-type glaciers illuminated in the permeability 589 vs evolution effect parameter space (Fig. 5). The sharp, diagonal boundary between surging on non-surge 590 behavior suggests the existence of a Hopf bifurcation in the system and lies at values that are likely to be 591 relatively rare in nature and closely linked to local lithology and degree of weathering. In particular, our 592 model suggests that values of hydraulic diffusivity for till in surge-type glaciers falls in the lower range of 593 observed values (~  $10^{-9}$  m<sup>2</sup>/s) for the range of b values explored in this study. Such low hydraulic dif-594 fusivities are consistent with canonical values of permeability expected for fine-grain sediments and loams 595 [58, 90]. The need for such low values of hydraulic permeability and fine-grained sediments suggests a 596 potential role for comminution and sediment transport in activating and deactivating surging over millennial 597 timescales, though future work is needed to elucidate these connections. 598

The governing role of till dilation and evolving pore water pressure in our model points to further meth-599 ods for testing the model in nature. In addition to the comparisons with data similar to those given in this 600 study (namely Fig. 7 and the preceding discussion of geographic distribution of surge-type glaciers), we 601 propose that passive seismic data collected during the incipient surge phase would provide valuable insight 602 into the salient processes and could be used to test our model. Passive seismic data are routinely used to 603 estimate the seismic moment from which estimates of the bulk shear modulus can be gleaned. The shear 604 modulus is sensitive to both the porosity and pore water pressure, and so can be used as a means to observe 605 till dilation and variations in pore water pressure. 606

# **4.3** Model limitations and future development

Our goal with this work is to better understand basal mechanics by developing a model for incipient surge motion in glaciers with till-covered beds. We do not attempt to capture all of the processes that my be important in initiating and sustaining glacier surges. As a result, our model has some limitations that provide avenues for future work.

A notable limitation is the lack of explicit treatment for evolution of the subglacial hydrological system 612 during any stage of the surge or the quiescent phase. The influence of basal hydrological characteristics is 613 manifested in the model through the system water pressure  $p_{w_r}$ , but we implicitly treat this water pressure 614 as passive in the model development. A fully passive basal hydrological system is unlikely given the rapid, 615 extreme changes in glacier dynamics that define a surge. During surges, significant volumes of till are 616 displaced, filling most existing cavities, basal crevasses, or channels that constitute the contemporaneous 617 hydrological system [17]. This lack of explicit treatment for changes in  $p_{w_r}$  due to till displacement leaves 618 open the possibility that increases in basal water pressure caused by changes in the basal hydrological 619 system can cause surges. What we have provided in this study are proposed mechanisms of incipient surge 620 motion in glaciers with deformable beds that are not dependent on changes in the basal hydrological system. 621 The existence of such a mechanism, which works equally well for temperate and polythermal glaciers, and 622

observations of surges beginning in times of the year when there is little or no additional surface meltwater available to pressurize a basal hydrological system (e.g. during winter), supports the hypothesis that it is the incipient surge motion that diminishes the efficiency of any extant hydrological system rather than changes in the hydrological system that lead to surges.

We do not explicitly consider enhanced melting of basal ice through frictional heating or viscous dis-627 sipation. The reason for this exclusion is twofold. First, melt-rates scale linearly with the product of basal 628 slip rate and till shear strength. While this product likely increases during the early surge phase, the trade-629 off between diminished till shear strength, basal slip rate, and the characteristics of subglacial hydrological 630 systems is nontrivial and leads to melt rates that are orders of magnitude below surface meltwater fluxes in 631 many areas [30, 59]. The second reason we exclude slip-induced melting is that melting only influences ice 632 dynamics through changes in basal and pore water pressure [10]. Without a reliable model for subglacial 633 hydrology, there is no way to effectively link basal melt rate and water pressure. 634

Our model does not capture the down-glacier propagation of mechanical, kinematic, or basal-water 635 pressure waves [19, 102]. This limitation arises from the fact that our model is essentially one-dimensional, 636 meaning that we neglect extensional (along-flow normal) stresses and strain rates (Eqs. 26 and 31) along 637 with horizontal gradients in water pressure. During the quiescent phase, neglecting extensional stresses is 638 reasonable in the upper accumulation zone where surges are prone to begin. Here, surface velocities tend 639 to be slow and relatively consistent over large spatial scales, meaning that along-flow strain rates are small 640 relative to the effective strain rate; since ice is a viscous fluid, low strain rates mean low stresses. During 641 the surge, the surface velocities are high, with the exception of the period when surge waves are present, 642 and velocities can be expected to have small spatial gradients [6, 27]. A more complete model of glacier 643 surges would include more terms of the stress divergence such that it could account for the propagation of 644 surge motion through the glacier. This more complete model would be useful for further investigating the 645 influence of glacier length on surge behavior [10]. However, we consider our box-model analysis to be a 646 prerequisite to more complicated flowline and 3D studies, which we reserve for future work. 647

#### 648 **5** Summary and Conclusions

In this paper, we develop a new model of incipient surge motion in glaciers with till covered beds. Incipient 649 surge motion in our model occurs in the absence of enhanced water flux to the bed, changes to the basal 650 hydrological system, and freeze-thaw cycles in till. Our model is based on granular mechanics of the till 651 and focuses on processes that can lead to unstable acceleration in glaciers with deformable beds. Our 652 model is unique among existing surge models in that it accounts for till porosity and pore water pressure, 653 and represents the evolution of internal friction, porosity, and pore water pressure within the deforming till 654 layer as a functions of the rate and history of shearing within the deforming till layer. This combination 655 of mechanisms allows for exploration of the rich dynamics that arise from changes in the three factors 656 that govern the shear strength of till: ice overburden pressure, pore water pressure, and the internal friction 657 coefficient. To represent these factors, we adopt the phenomenological rate-and-state model commonly used 658 in studies of slip on tectonic faults. We link the state variable, which encodes the history of basal slip, to till 659 porosity and derive a model in which pore water pressure evolves due to changes in porosity and transport 660 of pore water (i.e., Darcy flow) into and out of the deforming till layer. 661

We find that till dilation, and more specifically suction caused by the reduction of pore water pressure in response to dilation, is a fundamental control on incipient surge motion. This control arises from the need for dynamic thinning of the glacier to sustain surge motion by reducing the effective pressure at the bed. Glacier thinning is necessary because, following a perturbation, till tends toward a new steady state while flow of water into and out of the deforming layer acts to equalize pore water pressure between the underlying static till layer, the deforming till layer, and the subglacial hydrological system. As a result, the shear strength of the bed tends to a new steady state, leading to stable acceleration, unless the glacier thins. If the permeability of the till is sufficiently low, the evolution of the till to a new steady state is slow enough to allow accelerated surge motion to thin the glacier, so long as flow speeds during the nascent surge exceed the glacier's balance velocity. Thinning of the glacier allows for unstable acceleration of the glacier due to reductions in shear strength of the till, leading to order-of-magnitude increases in flow velocity that characterize surges and are consistent with observations of glacier acceleration during surges.

The hydromechanical properties of till, namely the need for low till permeability, required to induce 674 rapid glacier thinning and surge motion give rise to restrictive conditions for glacier surges and rich dynam-675 ics. The necessary conditions for surging illuminated by our model are low hydraulic permeability in the 676 deforming till layer, surge velocities that exceed the balance velocity, and maximum shear strength of till 677 that is less than the driving stress needed to achieve the balance velocity through vertical shearing in the ice 678 column. These conditions are consistent with the rarity of surge-type glaciers; the geographic and climatic 679 distribution and clustering of surge-type glaciers; and millennial-timescale evolution of surge behavior. Fur-680 thermore, the rich dynamics produced by our model allow for abandoned surges along with a spectrum of 681 surge-like behaviors that are consistent with kinematic observations of natural glaciers but are lacking in 682 existing surge models. 683

Our model is necessarily simplified but contains important new physical processes — namely, till me-684 chanics — that have been neglected in virtually all previous studies of glacier surges. To focus on the 685 complex processes of water saturated till, we deliberately ignore other processes that may be essential for a 686 complete understanding of surge dynamics. Most notably, we neglect extensional stresses and vertical shear-687 ing in the ice column, and we treat the subglacial hydrological system as static. As a result, our model only 688 captures the incipient surge phase and not slowdowns that terminate surges. We derive our model such that 689 the inclusion of a dynamic subglacial hydrological system should be a relatively straightforward additions 690 and extension and vertical shear stresses can be included with the application of a more sophisticated flow 691 model that accounts for more terms of the stress divergence in the momentum equations. These avenues 692 provide numerous opportunities for future exploration of surge dynamics. 693

- <sup>694</sup> *Data access*: No new data are presented in this study. Source code for the numerical simulations is available
- 695 at github.com/bminchew/glacier\_surging1.git.
- 696 Author contribution: BM conceived the project, led the model development, and drafted the manuscript.
- <sup>697</sup> CM provided essential insight, assisted with model development, and helped revise the manuscript.
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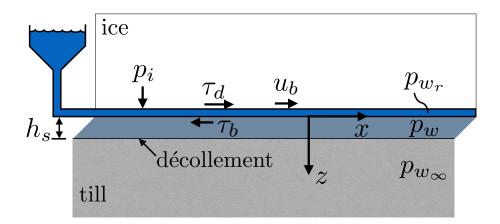


Figure 1: Model schematic showing a zoomed in view of the base of the idealized glacier with important parameters labeled.

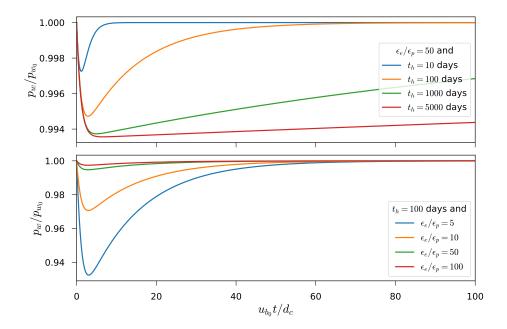


Figure 2: Evolution of pore water pressure in the deforming till layer (§33.1) following a step increase in basal slip rate,  $u_b = 10u_{b_0}$  for  $t \ge 0$ , from an initial steady state ( $\theta_0 = d_c/u_{b_0}$ ). The upper panel shows the influence of the hydraulic diffusion timescale of till on the evolution of pore water pressure for a fixed  $\epsilon_e/\epsilon_p$  ratio while the lower panel illustrates the influence of the ratio of the elastic to the plastic compressibility coefficients for a fixed diffusion timescale. Water pressures in the subglacial hydrological system ( $p_{w_r}$ ) and underlying stagnant till layer ( $p_{w_{\infty}}$ ) are defined as  $p_{w_r} = p_{w_{\infty}} = 0.9p_i$  and held constant in time. Other relevant parameters values are:  $d_c = 0.1$ ,  $\mu_n = 0.5$ ,  $u_{b_0} = 10$  m/yr,  $\phi_0 = 0.1$ , and  $p_{w_0} = p_{w_r} = p_{w_{\infty}}$ .

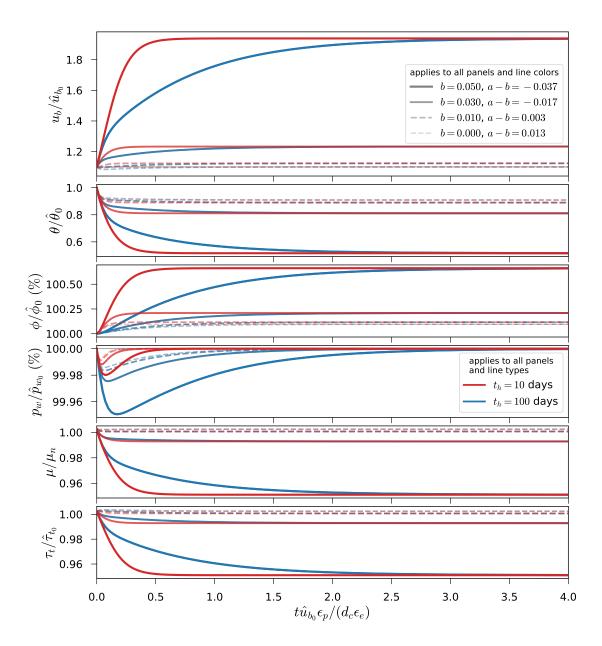


Figure 3: For fixed ice thickness §33.2: evolution of (from top to bottom) basal slip rate  $(u_b)$ , state  $(\theta)$ , porosity  $(\phi)$ , pore water pressure in the deforming till layer  $(p_w)$ , internal friction coefficient for till  $(\mu)$ , and till shear strength  $(\tau_t)$  following a perturbation in basal slip rate from steady state. The perturbation in basal slip is  $u_b = 1.1\hat{u}_b$  at t = 0, a value indicated by the thin solid gray line in the upper panel. We consider a range of evolution effects (*b* values, indicated by line widths and intensities in all panels) and two hydraulic diffusion timescales:  $t_h = 10$  days (red lines in all panels) and  $t_h = 100$  days (blue lines in all panels). In all panels, solid lines indicate rate-weakening (a < b) and dashed lines indicate rate-strengthening (a > b). Prescribed values are  $\hat{u}_b = 10$  m/yr,  $\hat{p}_w/p_i = 0.92$ ,  $\hat{\phi}_0 = 0.1$ ,  $d_c = 0.1$  m,  $\epsilon_p = 10^{-3}$ ,  $\epsilon_e = 50\epsilon_p$ , n = 3,  $\alpha = 0.05$ , a = 0.013, and  $\mu_n = 0.5$ . Note that  $d_c/\hat{u}_b = 0.01$  yr, making the total time on the horizontal axis 1 year. Here, we are interested in the response of the till only, so we hold glacier geometry constant.

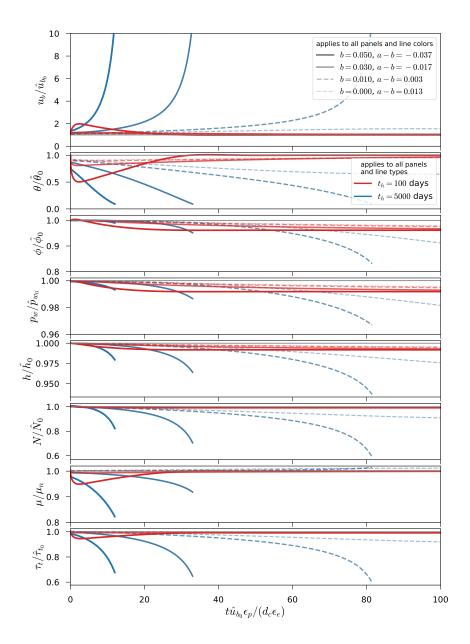


Figure 4: For variable ice thickness (§33.3): evolution of (from top to bottom) basal slip rate  $(u_b)$ , state  $(\theta)$ , porosity  $(\phi)$ , pore water pressure in the deforming till layer  $(p_w)$ , ice thickness (h), effective pressure (N), internal friction coefficient for till  $(\mu)$ , and till shear strength  $(\tau_t)$  following a perturbation in basal slip rate from steady state. All factors are normalized by their respective initial steady state values. Velocity perturbation and other parameters are the same as for Fig. 3. Line thickness and continuity indicate different values of the evolution term b, as indicated in the legend in the upper panel, while line colors indicate values of the hydraulic diffusivity timescale for till  $(t_h)$ , as shown in the legend in the third panel. Dashed lines indicate that the internal friction coefficient is rate-strengthening (i.e., (a - b) > 0). Truncated lines occur when the integration is stopped; we chose  $u_b/\hat{u}_{b_0} = 10$ , which we define as indicating a surge, as the stopping condition. Over a long enough timescale, the line representing b = 0 and  $t_h = 5000$  days eventually surges.

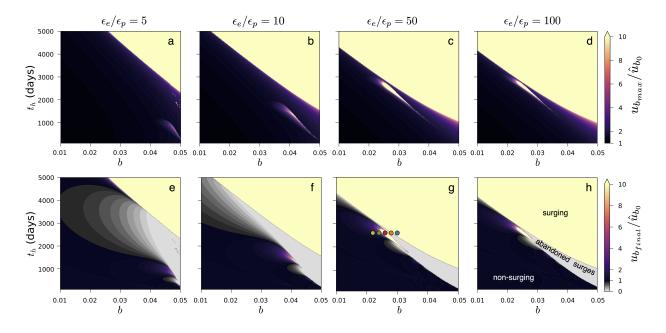


Figure 5: Parameter space covering the three principal parameters influencing incipient surge motion: the evolution effect b (x-axes of all panels), hydraulic diffusion timescale  $t_h$  (y-axes of all panels), and relative till compressibility  $\epsilon_e/\epsilon_p$  (columns). The top row (a–d) indicates the maximum basal slip rate  $(u_{b_{max}}/\hat{u}_{b_0})$  achieved by the modeled glacier following a perturbation identical to that in Fig. 4, while the bottom row (e–h) shows the final basal slip rate  $(u_{b_{final}}/\hat{u}_{b_0})$ . Colored dots in (g) show the line colors and parameters for model outputs shown in Fig. 6. All other parameters are the same as in Fig. 4.

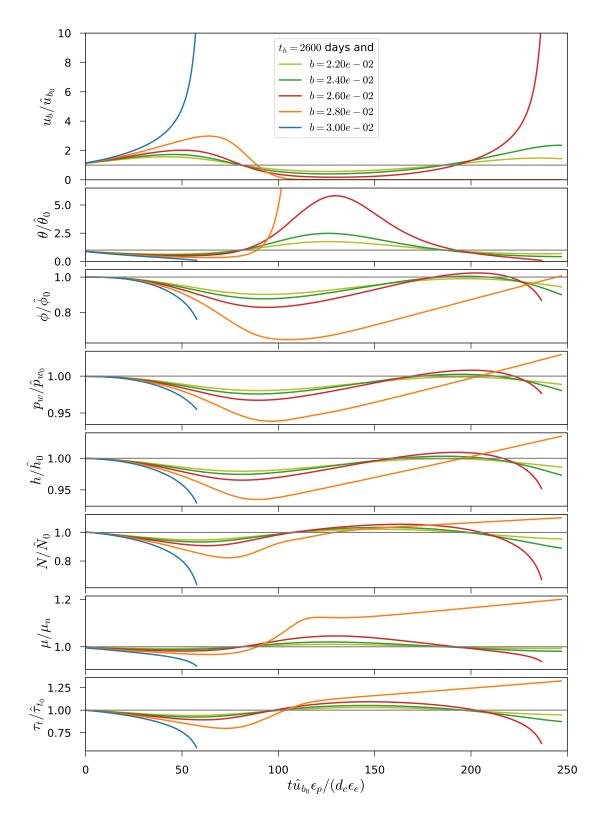


Figure 6: Similar to Fig. 4 except models are run using parameter values indicated in Fig. 5g. Line colors correspond to dot colors in Fig. 5g.

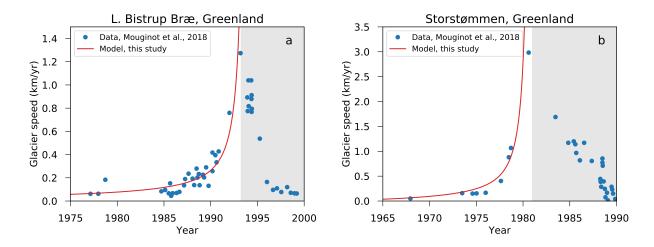


Figure 7: Comparison between our model and observed glacier surface velocities from two surges, (a) L. Bistrup Bræ and (b) Storstømmen, northeast Greenland [98]. Model parameters are the same as in Fig. 3 and 4, and with b = 0.03,  $t_h = 3000$  days, and initial velocity set according to the data. The grayed regions indicate the slowdown phase of the surge, which our model does not attempt to represent.

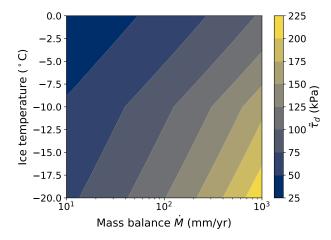


Figure 8: Potential drag at the bed  $\tilde{\tau}_d$  (Eq. 36) as a function of surface mass balance  $(\dot{M})$  and ice temperature. The rate factor is taken to depend on ice temperature T according to the Arrhenius relation  $A = A_* \exp \{-Q_c (T^{-1} - T_*^{-1})/R\}$ , where  $T_* = -10$  °C,  $A_* = 3.5 \times 10^{-25}$  Pa<sup>-3</sup> s<sup>-1</sup>,  $Q_c$  is the activation energy that increases from 60 kJ/mol for  $T \leq T_*$  to 115 kJ/mol for  $T_* < T \leq 0$  °C, and R = 8.314 J/(K·mol) is the ideal gas constant [90].