

**Stress Changes on the Garlock fault during and after the  
2019 Ridgecrest Earthquake Sequence**

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47 **Abstract**

48       The recent 2019 Ridgecrest earthquake sequence in Southern California jostled the  
49 seismological community by revealing a complex and cascading foreshock series that culminated  
50 in a M7.1 mainshock. But the central Garlock fault, despite being located immediately south of  
51 this sequence, did not coseismically fail. Instead, the Garlock fault underwent post-seismic creep  
52 and exhibited a sizeable earthquake swarm. The dynamic details of the rupture process during the  
53 mainshock is largely unknown, as is the amount of stress needed to bring the Garlock fault to  
54 failure. We present an integrated view of how stresses changed on the Garlock fault during and  
55 after the mainshock using a combination of tools including kinematic slip inversion, Coulomb  
56 stress change, and dynamic rupture modeling. We show that positive Coulomb stress changes  
57 cannot easily explain observed aftershock patterns on the western section of the Garlock fault, but  
58 are consistent with where creep was documented on the central Garlock fault section. Our dynamic  
59 model is able to reproduce the main slip asperities and kinematically estimated rupture speeds ( $\leq$   
60 2 km/s) during the mainshock, and suggests the temporal changes in normal and shear stress on  
61 the Garlock fault were greatest near the end of rupture. The largest static and dynamic stress  
62 changes on the Garlock fault we observe from our dynamic model coincide with the creeping  
63 region, suggesting that positive stress perturbations could have caused this during or after the  
64 mainshock rupture. This analysis of near-field stress change evolution gives insight into how the  
65 Ridgecrest sequence influenced the local stress field of the northernmost Eastern California Shear  
66 Zone.

67 **Introduction**

68       The 2019 Ridgecrest earthquake sequence involved the rupture of a left-lateral M6.4  
69 foreshock that occurred on July 4, and a right-lateral M7.1 mainshock that occurred on July 6 and  
70 initiated approximately 13 km northwest of the foreshock epicenter (Fig. 1). This sequence was  
71 characterized by the activation of multiple orthogonal fault segments that are collectively referred  
72 to as the Little Lake fault zone (Llfz). Coseismic rupture of these faults continues to produce  
73 aftershocks, but it did not influence the adjacent left-lateral Garlock fault to fail. Instead, this  
74 sequence caused as much as three centimeters of surface creep on the Garlock fault that has been  
75 detected geodetically (Barnhart et al., 2019; Ross et al., 2019).

76       Several kinematic slip models have been developed to estimate the evolution of slip and  
77 rupture propagation during this highly complex sequence (Barnhart et al., 2019; Goldberg et al.,

78 2019; Liu et al., 2019; Ross et al., 2019). These models are consistent in the respect that a majority  
79 of foreshock and mainshock slip is limited to the upper 10 km depth. Positive stress change  
80 amplitudes ( $\sim 0.5$  MPa) are suggested from static Coulomb modeling and generally coincide with  
81 the  $\sim 25$  km long region of creep on the central Garlock fault segment (Barnhart et al., 2019). But  
82 the dynamic details of rupture and how stresses were mediated by the seismic wavefield remains  
83 hazy. The Garlock fault was apparently not near critical failure, or else we would have observed  
84 coseismic rupture there as well; this implies that the stress perturbations were unable to bring shear  
85 stresses to overcome the static Garlock fault strength.

86       When the Garlock fault will slip again is a major unknown. The Garlock fault extends for  
87  $\sim 260$  km and is geometrically segmented into western, central, and eastern sections that are  
88 characterized by variations in geologic slip-rate and recurrence interval (Davis and Burchfiel,  
89 1973; Hill et al., 1953, McGill and Sieh, 1991; Fig. 1). Astiz and Allen (1983) analyzed historical  
90 seismicity on this fault and hypothesized that a rupture on the eastern Garlock segment may be  
91 more likely given its apparent seismic gap, though both the central and western sections can  
92 independently support  $\sim M7$  earthquakes. Paleoseismic evidence suggests historic, non-periodic  
93 surface rupture for the central Garlock segment (Dawson et al., 2003), which is the closest segment  
94 to the Ridgecrest sequence. Portions of this segment also experienced a swarm of low-magnitude  
95 earthquakes ( $ML < 3.2$ ; Ross et al., 2019) and underwent creep. How the strain accumulation  
96 budget of the central Garlock fault was influenced by the recent Ridgecrest sequence is enigmatic  
97 and warrants further scrutiny for seismic hazard analysis. A spatial separation between the  
98 mainshock and Garlock fault planes is furthermore subject to uncertainty, as is the possibility of  
99 rupture branching from a segment of the Garlock fault onto an adjacent segment or to the San  
100 Andreas fault during a future earthquake. In particular, the central and western segments have co-  
101 ruptured within the last 10 kya, despite a step-over structure in between them (Madugo et al.,  
102 2012). Assessing the possibility of how close the Garlock fault is to failure depends on both the  
103 static and dynamic stress perturbations from the Ridgecrest sequence.

104       We aim to present a physically consistent picture of the stress interaction vis-à-vis the  
105 Garlock fault during and after the Ridgecrest sequence. We draw from updated kinematic  
106 inversion results that utilizes teleseismic and near-field strong ground-motion recordings to  
107 independently constrain the fault slip amplitude, extent and rupture initiation locations of the  
108 foreshocks and M7.1 mainshock. This is then used to inform our static Coulomb stress analysis

109 and dynamic rupture modeling efforts. We also discuss how our dynamic rupture model is  
110 consistent with theoretical predictions that suggest the Garlock fault was in a dynamically  
111 unfavorable set of conditions to co-rupture with the mainshock. Our analysis illustrates that both  
112 normal and shear stress changes were highest on the Garlock fault at the end of mainshock rupture,  
113 and could have been responsible for the observed geodetic creep.

## 114 **Methodology**

### 115 *Kinematic Slip Inversion*

116 We use a joint slip-inversion model that is based on static GPS, teleseismic and local  
117 strong-ground motion datasets (Ji et al., 2002). The M6.4 foreshock and the M7.1 mainshock are  
118 modeled with two and one fault segments, respectively. Here, the mainshock hypocenter has been  
119 relocated to a depth of 3 km by the arrival times of nearby strong motion and broadband seismic  
120 stations. Fault plane geometries roughly follow the USGS surface mapping and seismicity (Fig. 2)  
121 and the fault parameters and hypocenters of both earthquakes are summarized in Table 1. We note  
122 that the M7.1 mainshock ruptured bilaterally with a majority of slip concentrated within the upper  
123 10 km and a peak slip amplitude of 4.7 m located ~10 km NW of the hypocenter (Fig. 2). On the  
124 other hand, peak slip resolved for the foreshock is lower (1.3 m) and occurred mostly on the NE-  
125 SW striking fault plane (Supplemental Fig 2). We use the slip inversion result for the mainshock  
126 fault plane as input to our Coulomb stress change modeling.

127 We also compare our slip inversion results to those from other studies of the Ridgecrest  
128 mainshock slip. We utilize seismic and GPS datasets to constrain the slip which is similar to the  
129 approach by Liu et al., (2019). In contrast, other studies make use of a combination of high-rate  
130 GPS and Interferometric Synthetic Aperture Radar imagery (InSAR, Goldberg et al., 2019; Ross  
131 et al., 2019) or both InSAR and optimal image-tracking data (Barnhart et al., 2019). The details of  
132 slip distribution and the relative locations of maximum slip vary between different studies. The  
133 maximum slip is mostly shallower than (Barnhart et al., 2019), to the northwest of (Liu et al.,  
134 2019), or slightly deeper (Ross et al., 2019) than the hypocenter location used in their inversion.  
135 The kinematic slip inversion we present resolves two primary slip patches (i.e., Fig. 2), which have  
136 similar slip amplitudes (4.7 m and 2.5 m) and slip patch locations (northwest and southeast of  
137 hypocenter) to the Barnhart et al., (2019) and Liu et al., (2019) inversion results. Overall, our slip  
138 distribution is consistent with published models, characterized by bilateral rupture propagation and  
139 a shallow (< 10 km) slip distribution.

140 *Static Model: Coulomb Stress-Change*

141         Static stress changes are the final changes in the normal and shear stresses on the fault in  
142 response to slip after all seismic waves have propagated through. Such stress changes during the  
143 foreshock and mainshock of the Ridgecrest sequence have triggered thousands of aftershocks  
144 (Ross et al., 2019). Coseismic stress changes have also been known to trigger or to reduce creep  
145 after the earthquake (e.g., Allen et al., 1972, Bodin et al., 1994, Lienkaemper et al., 1997). Barnhart  
146 et al. (2019) observed that an increase in the Coulomb stress change from the Ridgecrest  
147 earthquake was correlated with the surface deformation after the earthquake. Studies have also  
148 suggested that the M6.4 foreshock and other large foreshocks promoted the rupture of the  
149 mainshock (Barnhart et al., 2019; Goldberg et al., 2019).

150         To assess static stress changes, we calculate the Coulomb stress change (Lin and Stein  
151 2004; Toda et al. 2005), denoted by  $\Delta CFS$ , caused by the foreshock on the mainshock and separate  
152 the contribution of stress change from each of the two foreshock fault planes. We also calculate  
153 the  $\Delta CFS$  due to mainshock slip on the Garlock fault. We represent the Garlock fault geometry as  
154 a plane with a strike, dip and rake of 70, 90, and 0 degrees, respectively. The strike of the Garlock  
155 fault varies from 68° in the east to 84° in the west (Fig. 6), but we use 70° for the receiver fault as  
156 it is closest to the strike of the western Garlock fault segment where the cluster of aftershocks  
157 occurred. We use a friction coefficient of 0.6 and a depth of 5 km in both cases. To address  
158 uncertainty in static friction level and hypocenter depth, we also examine how varying these  
159 parameters influences our results. We compare the results from friction coefficients of 0.2, 0.4 and  
160 0.6, and at 5 km depth, where peak slip occurred, and at 10 km depth, where the asperity with most  
161 slip extends.

162 *Dynamic Model: Initial Conditions and Constraints*

163         We model the mainshock fault plane as a 100-km, planar 2-D crack embedded in a  
164 homogeneous, isotropic, and linearly elastic medium with a shear-wave speed of 3.2 km/s. The  
165 model domain is composed of rectangular quadrilateral elements enclosed on all sides by  
166 absorbing boundaries (Supplementary Fig. S1). We choose a finite element size of 600-m with  
167 four Gauss-Lobatto-Legendre nodes (NGLL) to resolve dynamic rupture propagation at seismic  
168 frequencies up to 1 Hz for consistency with that resolved by the strong-motion dataset.

169         We select the linear slip-weakening friction law to control fault slip evolution and utilize  
170 the 2-D spectral element code SEM2DPACK to solve for dynamic rupture propagation (Ampuero,

171 2009, <https://sourceforge.net/projects/sem2d/>). The critical-slip distance ( $D_c$ ) is 0.3 m, which is  
172 constant along the fault (except for  $\sim 15$  km around the nucleation region) and is within the  
173 plausible range of previous slip-weakening dynamic rupture simulations for other crustal  
174 earthquakes of comparable magnitude and rupture dimension (e.g., Ma and Archuleta, 2006; Tinti  
175 et al., 2009). If dynamic friction ( $\mu_d$ ) is below the static friction ( $\mu_s$ ) level, then the fault  
176 experiences a strength-drop during coseismic rupture and its frictional behavior is slip-weakening;  
177 conversely, if the dynamic friction is greater than static friction, there is no work available to grow  
178 the propagating shear crack and the frictional behavior is slip-strengthening. The static friction  
179 coefficient is everywhere 0.5 along the fault. The fault is slip-weakening ( $\mu_d = 0.1$ ) along the  
180 central 70 km segment (35 km southeast and northwest of hypocenter) and slip-strengthening ( $\mu_d =$   
181 0.7) everywhere else in order to prohibit rupture from breaking the entire fault.

182         Given that we represent a strike-slip fault as a Mode II in-plane crack, our stress and friction  
183 conditions are relative to a region on the mainshock fault plane at depth. Our model aims to  
184 reproduce the rupture propagation along the section of the fault that crosses through the main slip  
185 asperity imaged in the kinematic inversion (Fig. 6). Effective normal stress is set to a constant  
186 level of 50 MPa that is consistent with elevated pore-pressure levels in the middle of permeable  
187 fault zones (Rice, 1992).

188         The initial shear stress distribution is a critical ingredient for any dynamic earthquake  
189 rupture model and determines the dynamic stress drop which in turn governs slip amplitude. We  
190 first calculate the static stress drop due to fault slip given by the kinematic inversion using a  
191 computationally efficient algorithm (Fig. 3; Ripperger and Mai, 2004). Earthquakes can exhibit  
192 total or near-total stress-drop due to strong dynamic weakening (e.g., Noda and Lapusta, 2013;  
193 Brodsky et al., 2020), meaning that the final shear stress on the fault after an earthquake is at or  
194 very near its dynamic fault strength level (the product of effective normal stress and dynamic  
195 friction). We make this assumption to calculate our initial shear stress by adding the static stress  
196 drop to the dynamic fault strength (Fig. 6).

197         Rupture is artificially nucleated in the middle of the fault using the time-weakening method  
198 (Andrews, 1985). This technique requires twice the critical half-crack length ( $2L_c$ ), an effective  
199 friction level ( $\mu_o$ ), and a weakening time scale ( $T_c$ ) after which the prescribed nucleation is turned  
200 off and rupture spontaneously evolves according to the non-linear interaction between fault

201 strengths and stresses. Given the friction law parameters we assume for Mode II rupture in an  
202 elastic domain,  $2L_c$  is given by

$$203 \quad 2L_c = \frac{2}{1-\nu} \frac{G}{\pi} \frac{\tau_s - \tau_d}{(\tau_o - \tau_d)^2} D_c \quad (1)$$

204 where  $G$  is the shear modulus (30 GPa),  $\nu$  is Poisson's ratio,  $\tau_s$  is the static fault strength,  
205  $\tau_d$  is the dynamic fault strength,  $\tau_o$  is the initial shear stress, and  $\mu_o$  is the effective friction  
206 coefficient calculated as the ratio between initial shear and effective normal stress amplitudes at  
207 the hypocenter. We determined that  $2L_c$  of 2 km,  $\mu_o$  of  $\sim 0.1$ , and  $T_c$  of 10 seconds are necessary  
208 to nucleate and sustain spontaneous rupture.

## 209 **Results**

### 210 *Static Stress Change*

211 We find the foreshock increased the  $\Delta CFS$  near the edges of the foreshock faults, especially  
212 at the intersection of Plane 2 and mainshock fault, but our relocated mainshock hypocenter is  
213 located in a region of slightly decreased  $\Delta CFS$  (Fig. 4a, Supplemental Fig. S3). However, this  
214 result depends on the method used to locate the mainshock hypocenter and its uncertainty. For  
215 example, the ANSS hypocenter is closer to ours but at twice the depth, while the hypocenter  
216 resolved by Ross et al. (2019) is much closer to the foreshock hypocenter but at twice the depth as  
217 well. The hypocenters estimated by the ANSS catalog and by Ross et al. (2019) are located near  
218 the edges of different regions of positive  $\Delta CFS$ . We also calculated the  $\Delta CFS$  from both foreshock  
219 planes separately (Fig. 4b, c). We denote the foreshock fault parallel to the main fault as Plane 1  
220 and the NE-SW striking cross-fault as Plane 2. Plane 2 has a much larger slip compared to Plane  
221 1, with almost twice the peak slip. However, Plane 1 causes larger Coulomb stress change on the  
222 mainshock fault compared to Plane 2, as Plane 1 is closer.

223 We further calculate the  $\Delta CFS$  on the Garlock fault due to mainshock slip and assess the  
224 effect of various friction coefficients and depths on our results. Overall, we find that the friction  
225 coefficient has a relatively small (i.e., a difference within  $\sim 0.1$  MPa) impact on our  $\Delta CFS$  results  
226 (Fig. 5). Larger friction coefficients increase the  $\Delta CFS$  amplitude and changes its distribution  
227 slightly (Fig. 5). This is similar to Barnhart et al. (2019), where they found that their results are  
228 consistent for all friction coefficients that they tested. Changing the depth from 5 km to 10 km  
229 increases the  $\Delta CFS$  amplitude and decreases the extent of the region of positive  $\Delta CFS$  on the  
230 Garlock fault sharply. The amplitude difference is because the largest portion of mainshock slip  
231

232 extends to about 10 km depth, and the change in slip at this depth produces a larger  $\Delta CFS$  than at  
233 5 km depth. The region of positive  $\Delta CFS$  in proximity to the creeping section of the Garlock fault  
234 is most consistent in spatial extent with that of Barnhart et al. (2019) when we use a friction  
235 coefficient of 0.2 and a depth of 5 km. Lastly, we find that the cluster of aftershocks on the Garlock  
236 fault are unlikely to be simply explained by Coulomb static stress change from the mainshock as  
237 the value of the stress change can be small and even negative (Fig. 5).

### 238 *Dynamic Earthquake Rupture model*

239 Our first goal is to explain the kinematic fault slip distribution using rupture dynamics. We  
240 seek to reproduce the two primary patches of 2.5 m and 4.7 m slip southeast and northwest of the  
241 hypocenter, respectively, (Fig. 3; 7a). We show the rupture history until 35 seconds to highlight  
242 the arrest of both the northwest and southeast rupture fronts (Fig. 7).

243 The initial conditions and friction parameters outlined in the methods section gives a good  
244 agreement between the kinematically imaged and dynamically modeled slip distributions. The  
245 exception is the region near the hypocenter, where the dynamic rupture model overpredicts the  
246 kinematic slip amplitude by  $\sim 0.8$  meters (Fig. 7a). This is most likely due to our time-weakening  
247 nucleation procedure, but is probably within the uncertainty of the true fault slip resolved by the  
248 kinematic inversion. The distribution in dynamic stress drop is positive where higher slip is  
249 concentrated, and negative in a small region southeast of the hypocenter and where we impose  
250 slip-strengthening frictional behavior at the ends of the fault (Fig. 7b).

251 The bilateral mainshock dynamic rupture is overall heterogeneous and spatiotemporally  
252 complex (Fig. 7c). There are three major asperities (i.e., relatively high dynamic stress-drop  
253 regions) that contribute to several rupture-front accelerations (Fig. 7b, c). The model shows a slow  
254 ( $< 1$  km/s) rupture front propagating to the southeast for the first 5 seconds after nucleation ceases;  
255 this southeast rupture front then accelerates to  $\sim 1.3$  km/s before decelerating and arresting at 28  
256 seconds (Figure 7c). In contrast, the northwest rupture front propagates at a more uniform speed  
257 ( $\sim 2.1$  km/s) before decelerating and stopping at  $\sim 25$  seconds. These rupture speeds are consistent  
258 with recent kinematic models that prescribe a constant sub-Rayleigh mainshock rupture speed  
259 (Goldberg et al., 2019; Liu et al., 2019; Ross et al., 2019). Rupture speed depends on how much  
260 total elastic work is partitioned into radiated or fracture energy during the faulting process. Slower  
261 ruptures (as observed during the Ridgecrest sequence) may be due to a relatively high fracture  
262 energy consumed on the fault, consistent with the hypothesis that the Llfz is less compliant and



263 more energy was needed to break multiple fault segments (Goldberg et al., 2019; Liu et al., 2019;  
264 Perrin et al., 2016). Our dynamic model shows that the mainshock rupture fronts do not exhibit  
265 slip-rate amplitudes above 4 m/s and propagate at well below the Rayleigh wave speed.

#### 266 *Temporal Stress Changes on the Garlock fault*

267 Using our dynamic rupture model, we investigate the stress contributions to a section of  
268 the central Garlock fault during and after the Ridgecrest mainshock. Note that given the  
269 limitation of our modeling domain, we cannot assess far-field dynamic stress contributions from  
270 surface-wave amplitude changes. We instead focus on how the initial peak stresses carried by  
271 near-field seismic waves impacted the Garlock fault during coseismic rupture.

272 The 2-D stress tensor in our model is for an isotropic body and yields three unique  
273 components:  $\sigma_{xx}$ ,  $\sigma_{yy}$ , and  $\sigma_{xy}$ . Only one component of the normal stress ( $\sigma_{yy}$ ) and the shear stress  
274 ( $\sigma_{xy}$ ) are important to be considered further in our analysis. If we place the strike of the mainshock  
275 fault plane on an east-west coordinate system, the angle between the mainshock and Garlock fault  
276 planes (measured clockwise) is approximately 110 degrees (Fig. 1a). We therefore applied a  
277 rotation of the stress field at a particular instant in time to represent the stress perturbation the  
278 mainshock imparts to the Garlock fault (Fig. 8; see Supplemental Information). When this rotation  
279 is performed at the final time-step, the rotated stress field is equivalent to the static stress change  
280 on the Garlock fault. We observe an abrupt transition from negative to positive normal static stress  
281 change as one crosses the intersection of the strike of the mainshock fault plane (Fig. 8a). The  
282 shear stress change is slightly more complex with an asymmetric stress amplitude distribution  
283 across the fault, but shows a very pronounced region of positive stress change that generally  
284 coincides with the ~25-km long section of the central Garlock fault that underwent creep (Fig. 8b;  
285 Barnhart et al., 2019; Xu et al., 2019). To confirm our static stress change analysis from the  
286 dynamic model, we compare it to our  $\Delta CFS$  calculation and find that its orientation and amplitude  
287 are consistent (Supplemental Fig. S4).

288 We also calculate the temporal stress change on the central Garlock fault segment during  
289 the Ridgecrest mainshock. We select one point near the creeping region on the Garlock fault (-60  
290 km, -10 km; Fig. 9, 10) to show how normal and shear stresses change during mainshock rupture.  
291 While propagation spontaneously arrests at near ~28 seconds towards the southeast, we simulate  
292 rupture until 100 seconds to make sure stress changes relax to constant levels, which are attained

293 at 60 seconds (Fig. 11). This section of the central Garlock fault begins to experience a positive  
294 normal stress change near 17.5 seconds (Fig. 9). During the main portion of coseismic rupture,  
295 normal stress changes reach their maximum of  $\sim 0.3$  MPa at 32 seconds (Fig. 9f, 11a). In contrast,  
296 positive shear stress changes arrive at the Garlock fault in three distinct pulses (e.g., Fig. 10f, 11a).  
297 Two of these positive shear stress change pulses arrive after the largest change in normal stress  
298 change and continue to be above the normal stress change amplitude for the remainder of our  
299 simulation (Fig. 10, 11a). The extrema of the normal and shear stress change amplitudes are  
300 symmetric through time due to the alternating arrivals of compressional (P) and shear (SV) wave  
301 motions.

### 302 **Discussion and Conclusion**

303 We show that stress changes during and after the Ridgecrest foreshocks and mainshock  
304 may have influenced post-seismic creep on the central Garlock fault segment and brought certain  
305 regions closer to coseismic failure. Our results also shed light on the temporal stress evolution on  
306 the Garlock fault due to source dynamics. Because both normal and shear stresses vary during  
307 coseismic rupture, evaluating their respective contribution is of critical importance to identifying  
308 periods when stresses changes may have been favorable to engender the observed post-seismic  
309 creep.

310 The Coulomb stress change results show that positive static stress changes were  
311 experienced on the central Garlock fault due to mainshock slip (Fig. 4 and 5) and are coincident  
312 with previously documented fault creep (Barnhart et al., 2019; Ross et al., 2019; Xu et al., 2020).  
313 Among Coulomb stress changes calculated for different friction levels and depths, in only one case  
314 (i.e., friction level of 0.6) is the positive static stress change seen to coincide with the section of  
315 the Garlock fault that experienced a sizeable aftershock swarm (Fig. 5). This may indicate that  
316 other post-seismic relaxation processes were at play to produce this aftershock pattern. When we  
317 assess the  $\Delta CFS$  through time we find that  $\Delta CFS$  predominantly increases during mainshock  
318 rupture and remains at a high level afterwards; this is evident from our dynamic model as the  
319 normal stress change amplitude is mostly below the shear stress amplitude (Fig. 11b).

320 Temporal stress changes during the mainshock rupture also support predominantly positive  
321 shear stress changes near this creeping Garlock region (Fig. 10), whereas positive and negative  
322 normal stress distribution are observed on both sides of the projected intersection of the mainshock  
323 and Garlock fault planes (Fig. 9). Our results for temporal normal and shear stress changes near

324 the Garlock fault agree with other dynamic rupture simulations that incorporate a complex 3-D  
325 fault geometry (Lozos and Harris, 2019). Because positive normal stress changes serve to  
326 strengthen the fault whereas positive shear stresses should bring the fault closer to failure, our  
327 dynamic model offers one possible scenario that creep could have occurred as soon as ~15 seconds  
328 after nucleation of the Ridgecrest mainshock when positive shear stresses began to arrive at the  
329 Garlock fault. However, this is speculative given that we do not have information on the absolute  
330 stress state of the Garlock fault prior to the aftershock/mainshock sequence.

331 Our dynamic model suggests that the largest shear stress changes (0.3 – 0.4 MPa) arrived  
332 before and after the largest normal stress changes, but they are comparable in amplitude (Fig. 9,  
333 10, 11a). Given this maximum shear stress change amplitude, we estimate approximately 0.1  
334 centimeters of slip may have been triggered near the creeping section of the Garlock fault at a  
335 depth less than 1 km (Supplemental Fig. S5a). We also test a model where creep on the Garlock  
336 fault was exceptionally shallow (< 300 m depth; Schleicher et al., 2019) but the distribution of  
337 creep is more heterogeneous. We still obtain a similar amount of creep that is consistent with the  
338 shear stress change amplitude (Supplemental Fig. S5b). These estimates are lower than the  
339 maximum magnitude of resolved surface creep (i.e., ~3 cm) documented earlier by Barnhart et al.,  
340 (2019) and Ross et al., (2019), however. We cannot rule out the possibility that the maximum  
341 resolvable creep was driven by cumulative strain-rate changes not seen by satellite observations  
342 since the smallest observation window is at least five to six days after the mainshock (Barnhart et  
343 al., 2019). Regardless of how much triggered creep was, extensometer data imply that it did not  
344 extend very deep into the crust (Bilham and Castillo, 2020).

345 The fact that the Garlock fault did not coseismically fail is also supported by theoretical  
346 considerations to the prestress state, rupture speed and fault orientation between the mainshock  
347 and Garlock fault planes if they are connected (Poliakov et al., 2002; Kame et al., 2003). For a low  
348 angle of maximum horizontal shear stress (SH\_max) with respect to the fault (< 45 degrees), this  
349 prestress state encourages rupture to bifurcate towards the compressional side, whereas a higher  
350 angle (> 45 degrees) predicts that the rupture along the extensional side is more favorable  
351 (Poliakov et al., 2002). We use stress tensor orientations inverted by Yang and Hauksson (2013)  
352 from earthquake focal mechanisms in central and southern California to determine SH\_max. We  
353 find that the orientation of SH\_max with respect to the North near the Ridgecrest region is between  
354 zero and five degrees east of North (Fig. 1a). Given that the mainshock fault plane is approximately

355 oriented 45 degrees west of North, the SH\_max orientation with respect to the mainshock fault  
356 plane is ~50 degrees. This implies that the regional stress state would inhibit rupture propagation  
357 to the Garlock fault, since the Garlock fault is oriented along the extensional side (Fig. 1a). Our  
358 dynamic rupture model predicts an average rupture to shear-wave speed ratio of 0.4, and such low  
359 levels are not likely to encourage rupture propagation to the Garlock fault, either (Kame et al.,  
360 2003).

361 One aspect we could continue to explore in greater detail is how a fully dynamic model  
362 incorporating segmented foreshock and mainshock fault planes changes the details of the temporal  
363 stress changes on the Garlock fault. Given that the Ridgecrest sequence produced multiple  
364 orthogonal faulting with some ruptures breaking the surface while others not (Ross et al., 2019),  
365 we would expect the temporal stress change to accordingly reflect this complexity.

366 How the M6.4 foreshock and M7.1 mainshock Ridgecrest sequences changed the local  
367 stress field in Southern California is a crucial question to consider given the proximity of these  
368 events to other active faults (e.g., Garlock and San Andreas). Through a unique combination of  
369 kinematic, static, and dynamic modeling, we present a physically coherent picture of the stress  
370 changes on the central Garlock fault during and after the coseismic rupture of the M7.1 event. We  
371 find that positive stress changes near the creeping section of the Garlock fault are observed during  
372 and after coseismic rupture. We also show that the greatest shear stress change was comparable to  
373 the greatest normal stress change, but arrived earlier during dynamic rupture; this may have  
374 promoted a section of the Garlock fault to creep even before the Ridgecrest mainshock finished  
375 slipping. Our dynamic models physically explain the resolved slip amplitude through the  
376 mainshock hypocenter and reproduce the low sub-Rayleigh rupture speeds previously suggested  
377 by kinematic rupture models.

### 378 **Data and Resources**

379 Static stress calculations are conducted using the Coulomb 3 software available from the  
380 USGS website, <https://earthquake.usgs.gov/research/software/coulomb/>. All codes used in  
381 dynamic model post-processing and figure creation as well as model input and output files are  
382 archived and freely accessible on UM Deep Blue ([https:// deepblue.lib.umich.edu/](https://deepblue.lib.umich.edu/)). Seismic  
383 waveform data used in the kinematic inversion are available upon request to Dr. Shengji Wei  
384 ([shjwei@ntu.edu.sg](mailto:shjwei@ntu.edu.sg)). Some figures in this paper were generated with the Generic Mapping Tools  
385 (GMT 5, Wessel et al., 2013) or used colormap schemes from Cramer (2018).

386

387 **Acknowledgements**

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389 acknowledge the funding support by the National Science Foundation through grant award  
390 1663769.

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552 **List of Tables**

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**Table 1**

556 Coulomb stress-change parameters of the mainshock and aftershock fault planes. Hypocenter  
557 location (latitude, longitude, depth) and maximum slip amplitude (meters) from kinematic  
558 inversion are also listed.

559

	<b>M<sub>w</sub></b>	<b>Hypocenter</b>	<b>Strike</b>	<b>Dip</b>	<b>Rake</b>	<b>Peak Slip (m)</b>
<b>Mainshock</b>	7.1	35.772N -117.602E 3 km	322	81	-170	4.7
<b>Foreshock</b>	6.4	35.705N -117.506E 9 km	318 228	88 81	-172 0	1.3 0.74

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581 **List of Figure Captions**

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592 **Figure 2.** Ridgecrest slip inversion results obtained using teleseismic and local strong-motion data.  
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596 **Figure 3.** Mainshock slip inversion results.

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598 **Figure 4.** Coulomb stress change due to foreshock plane 1 and 2 on the mainshock fault plane  
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625 **Figure 8.** Static stress-change field in the modeling domain rotated to the strike of the Garlock  
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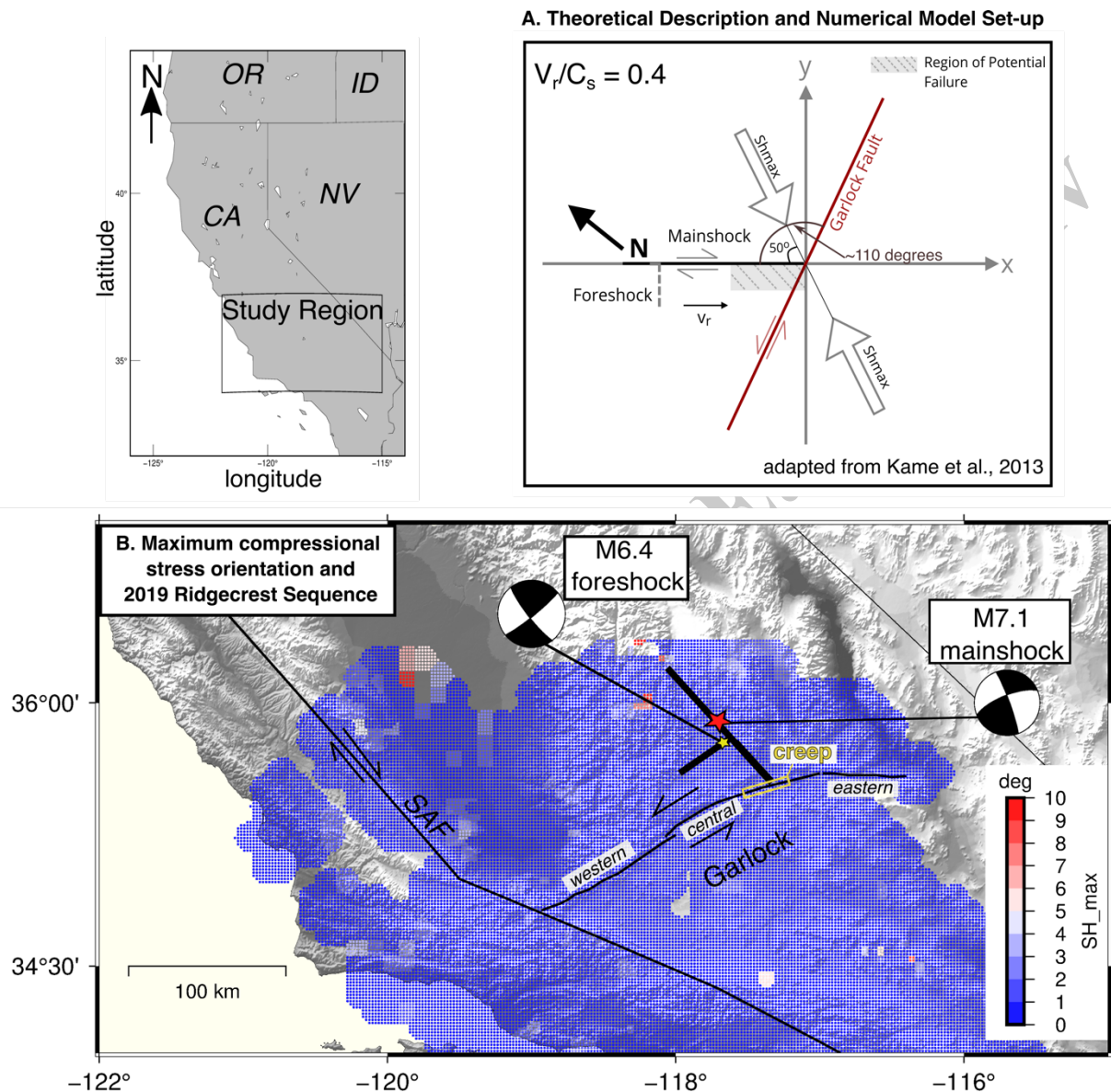
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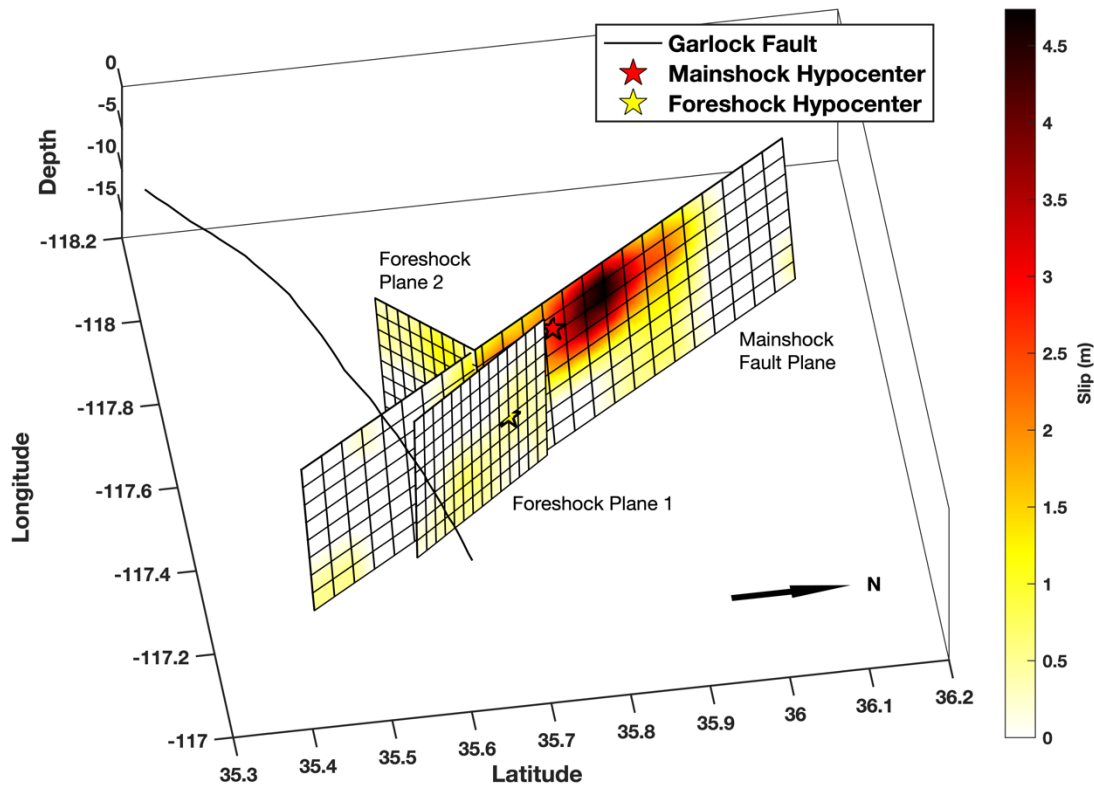
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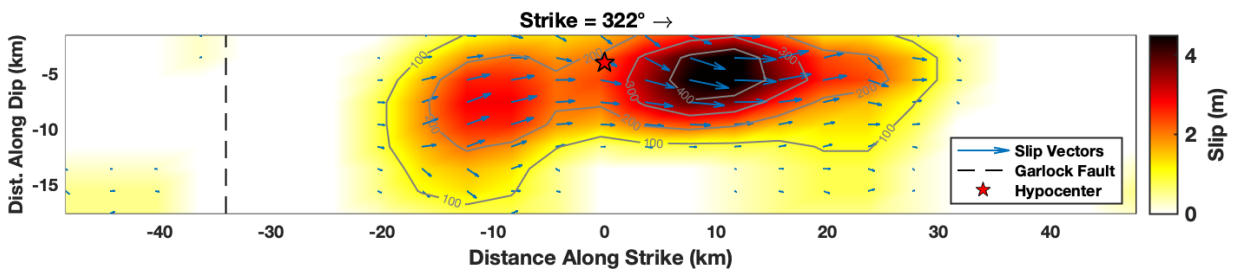
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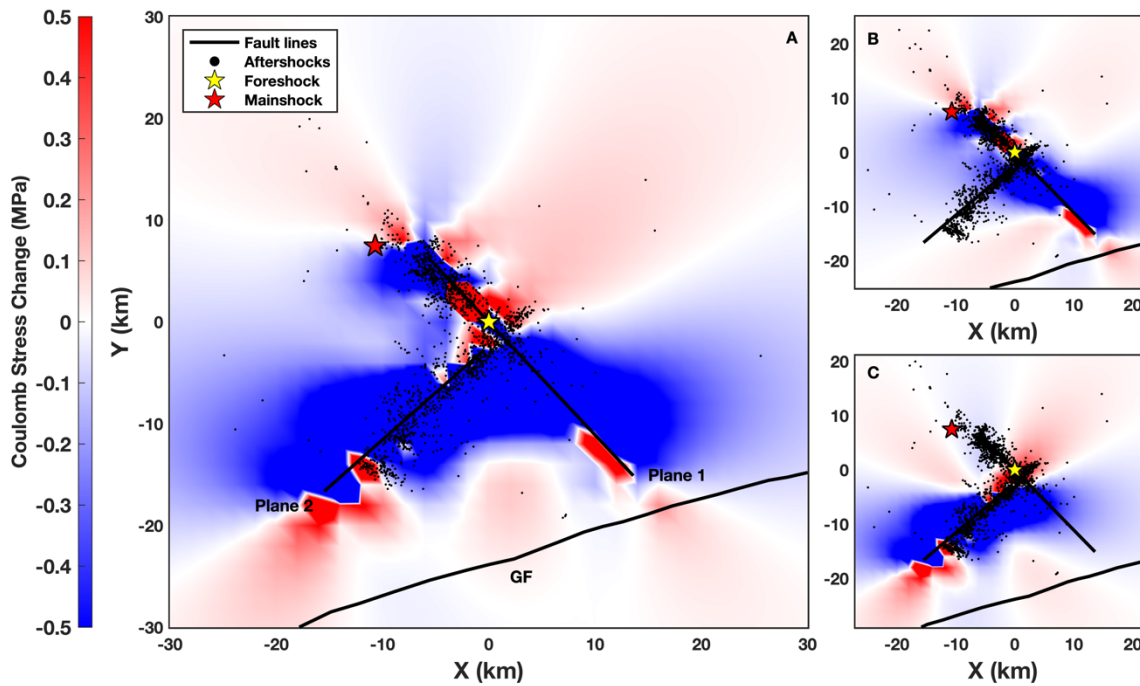
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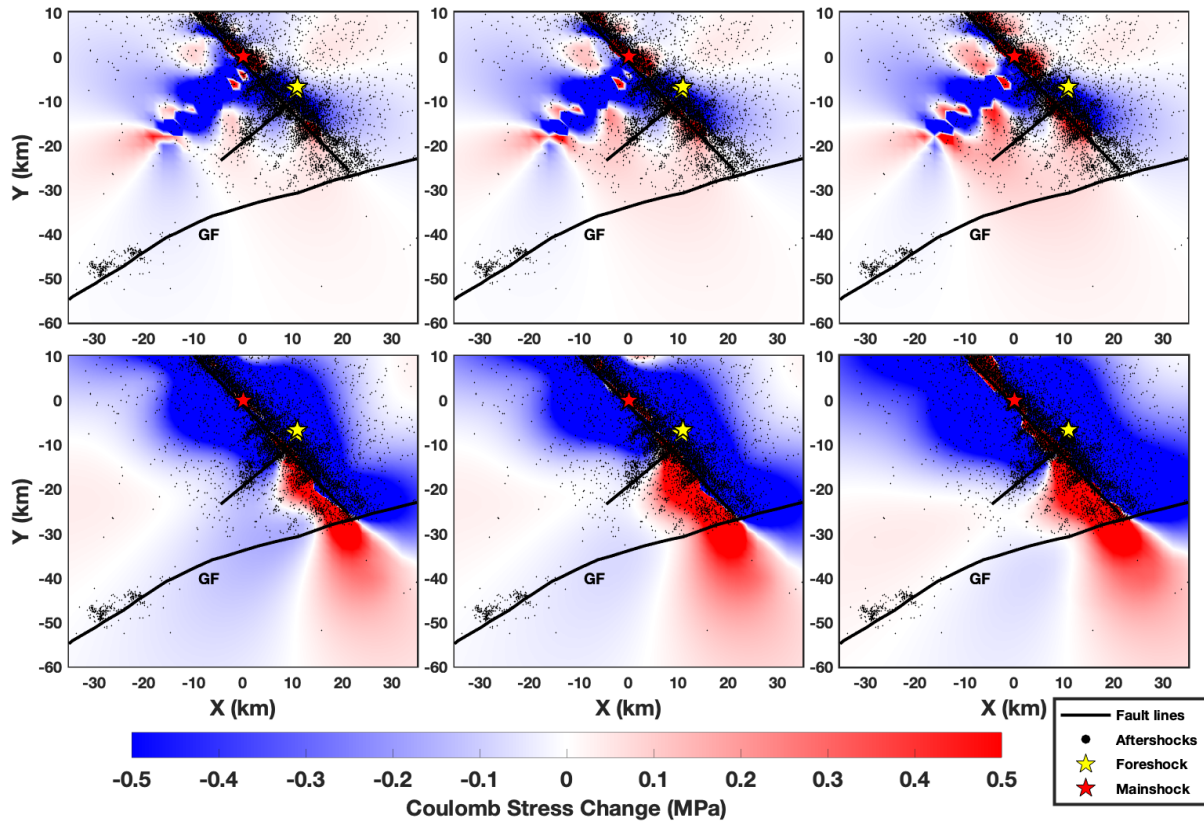
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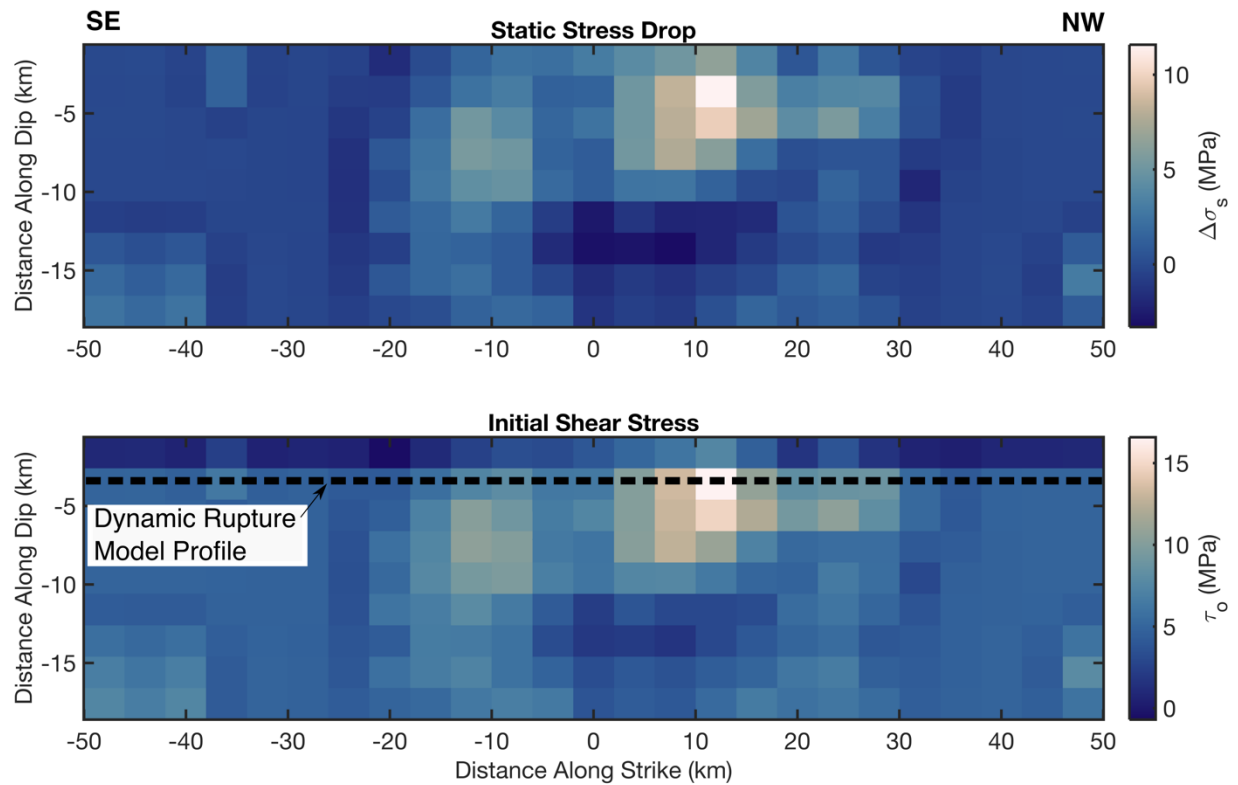
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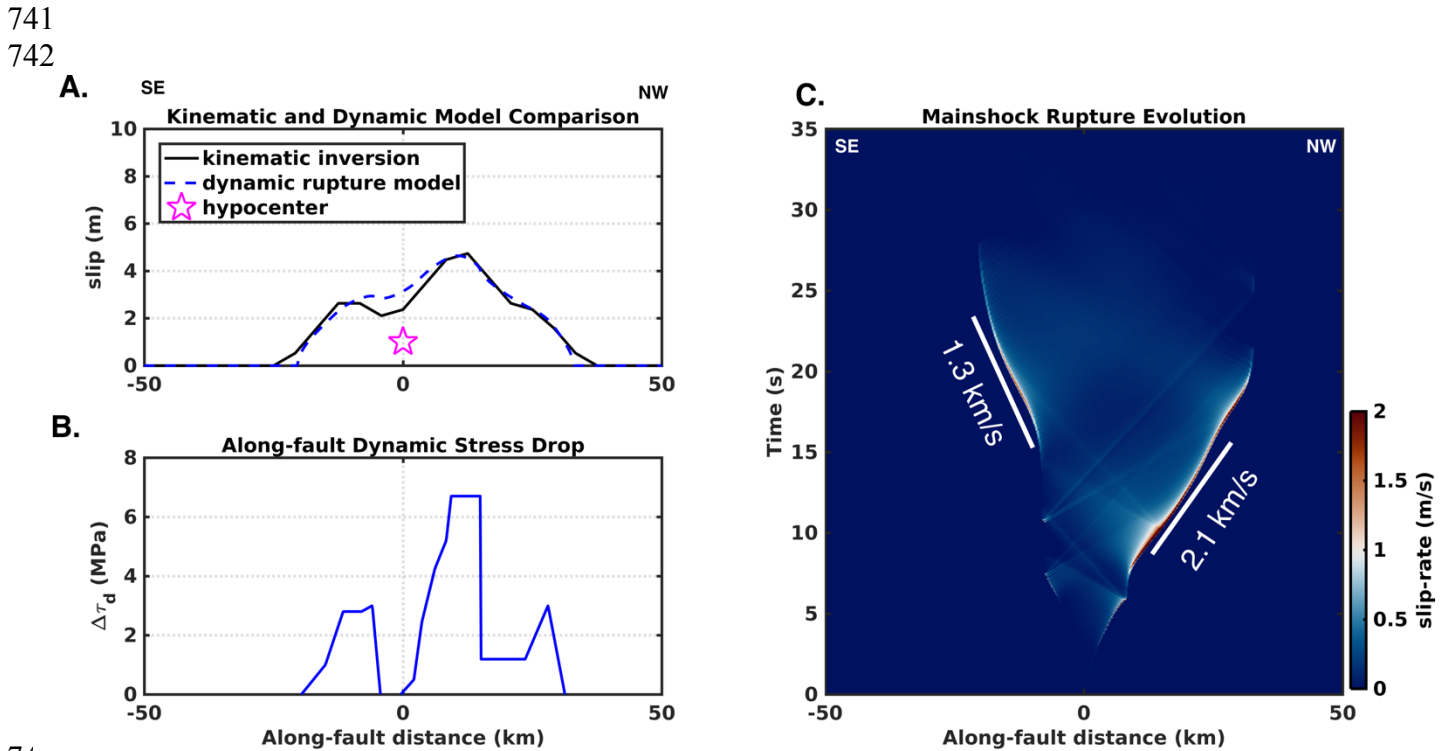
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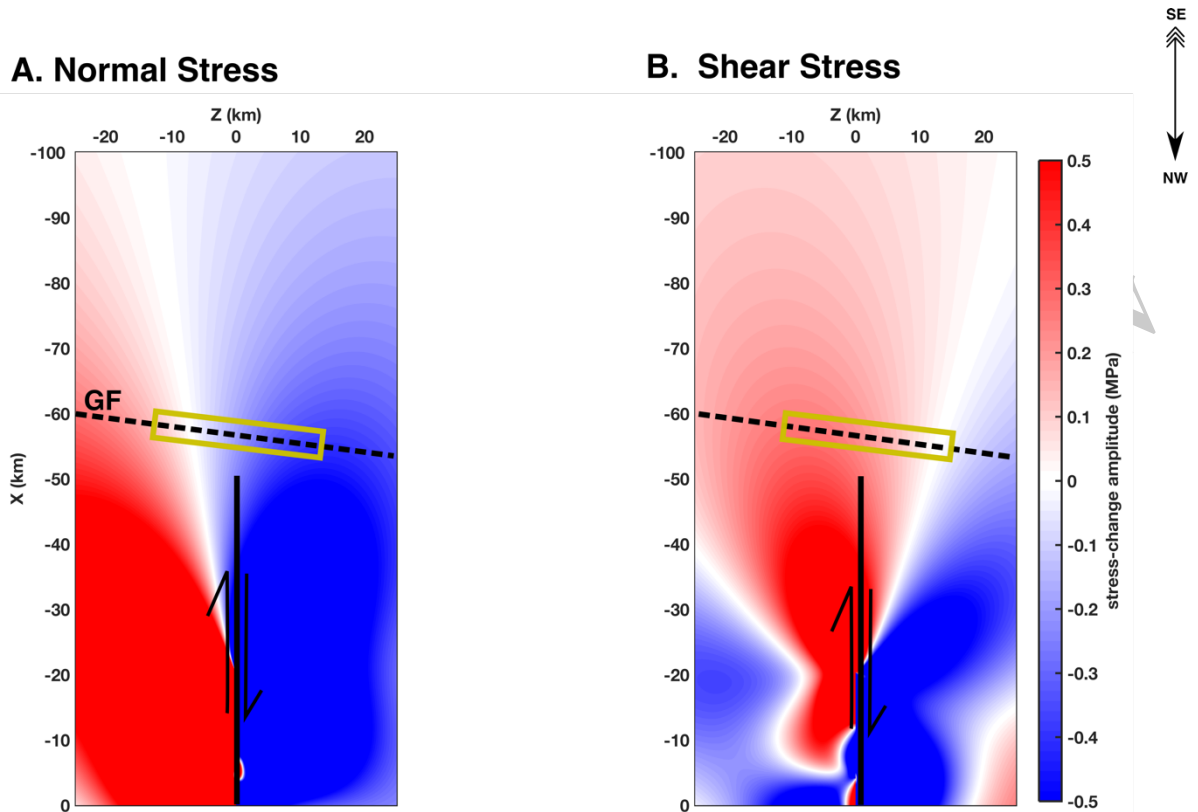
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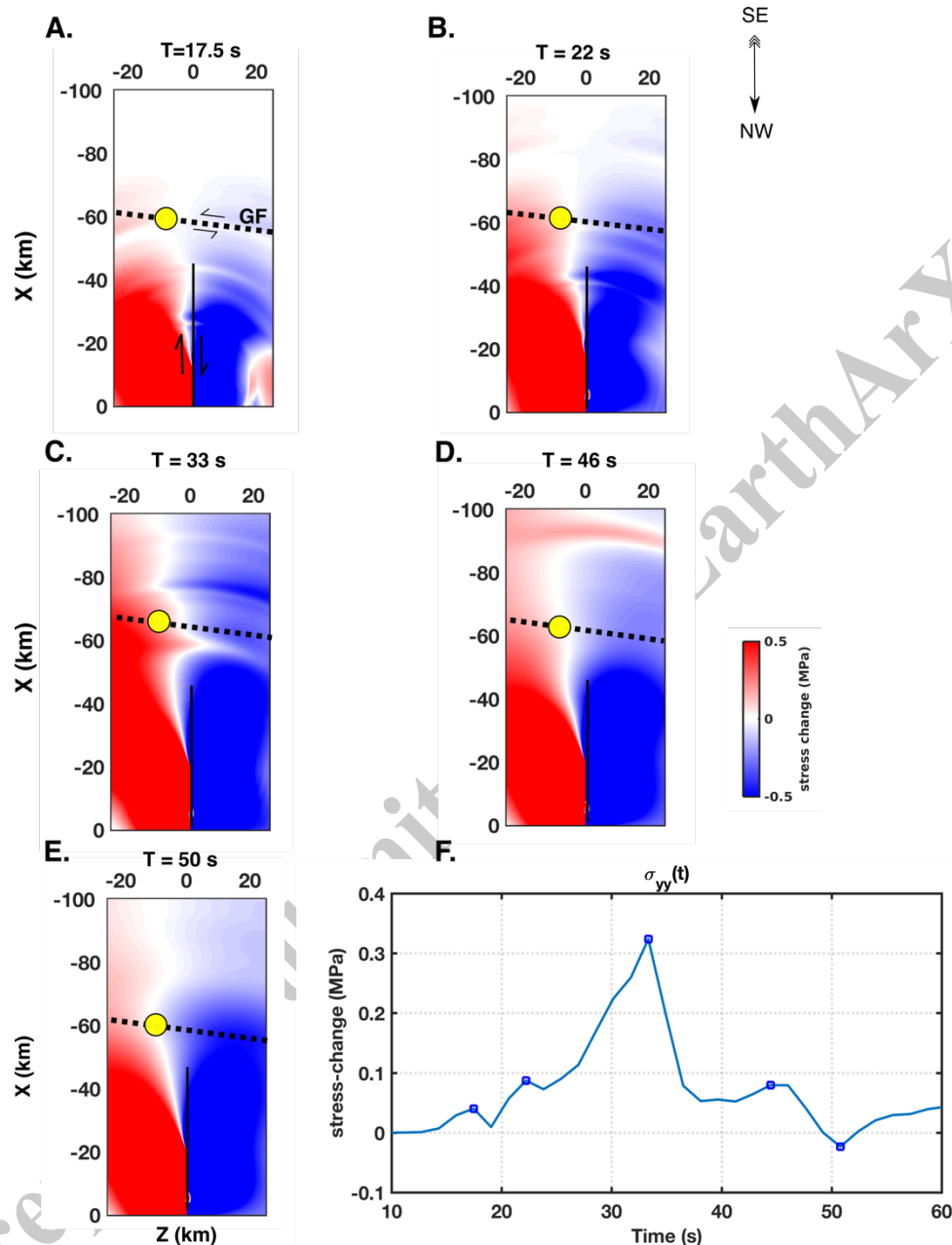
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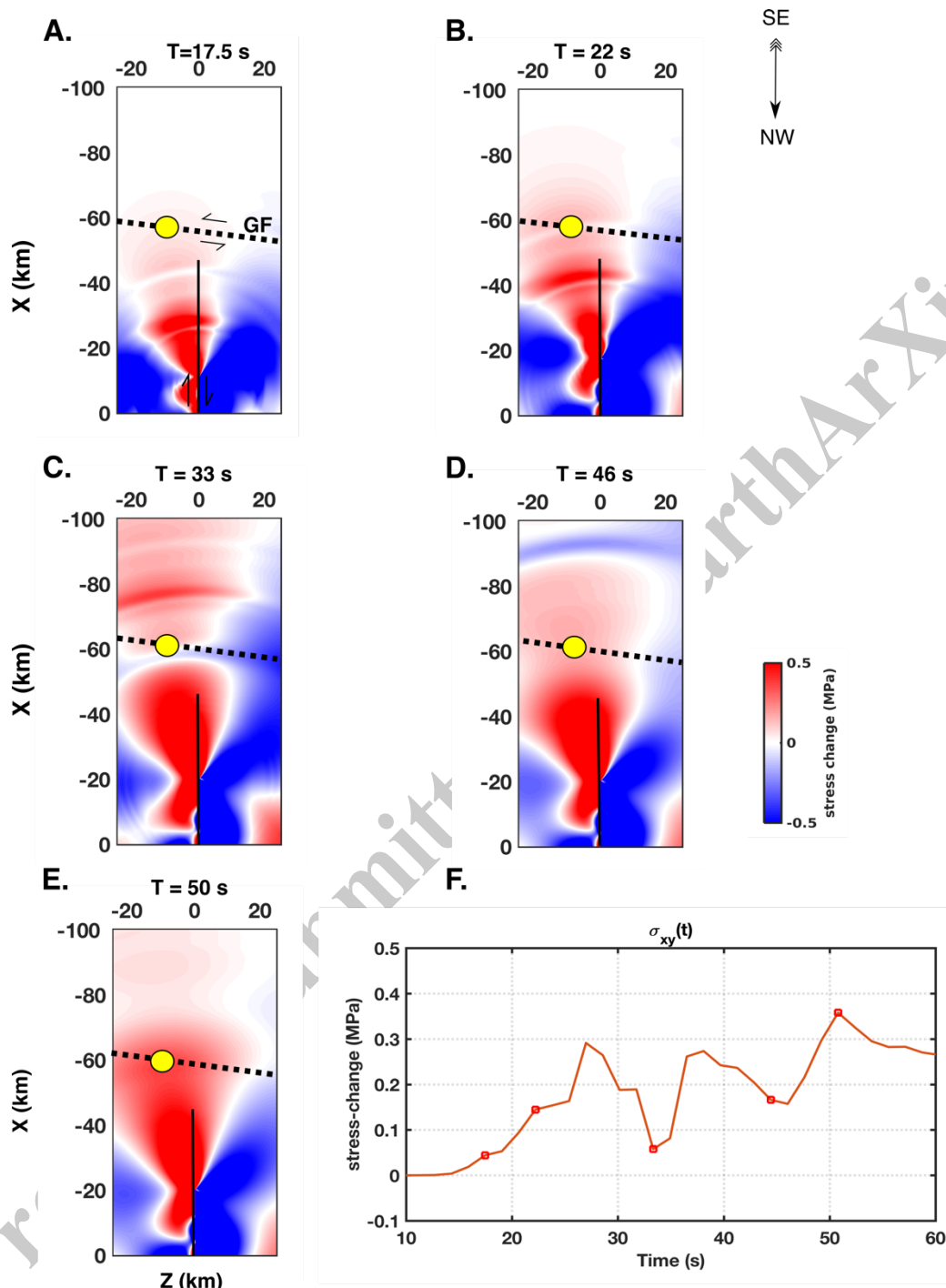
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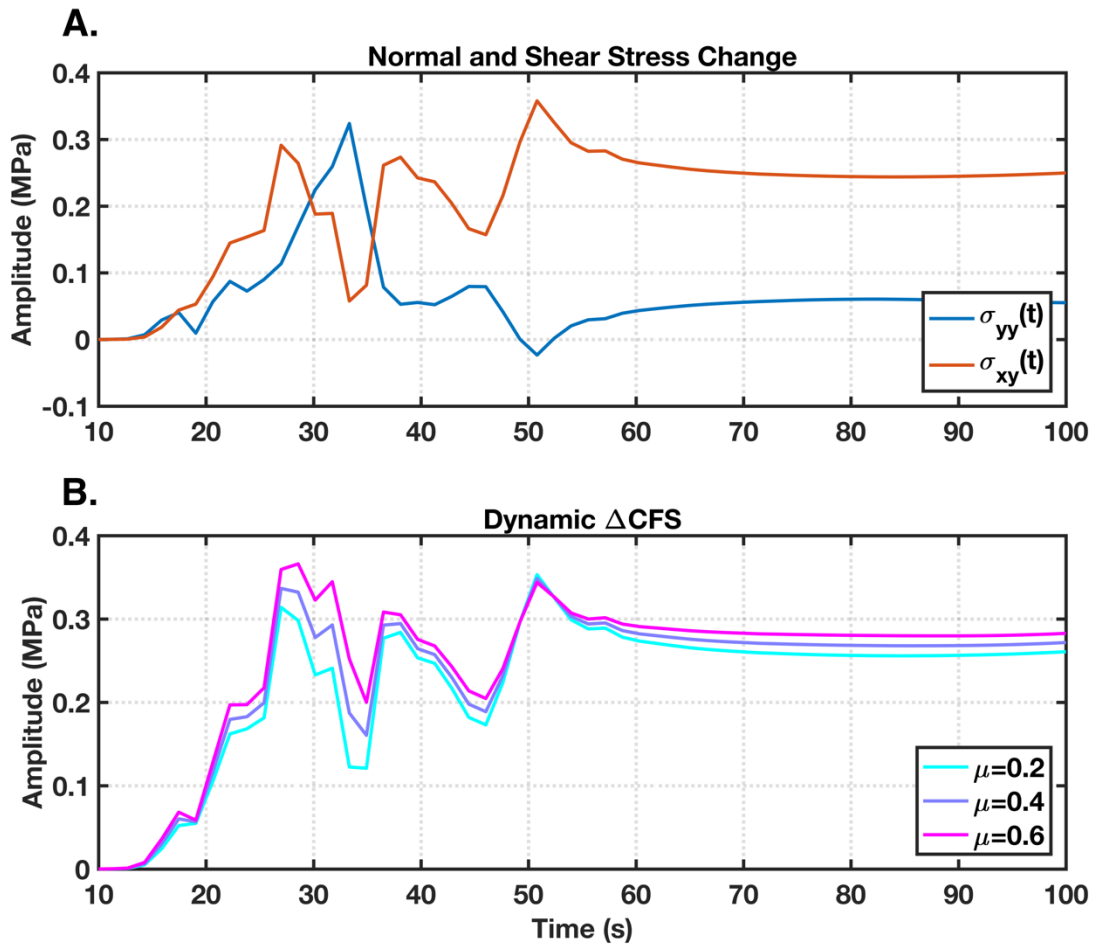
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