

# EarthArXiv PREPRINT: Oceanic redox conditions during the terminal Cambrian extinction event

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## Abstract

Marine animal diversity during the late Cambrian was punctuated by a series of extinctions that have generally been attributed to oceanic anoxic events associated with positive carbon isotope excursions. Here we present carbon and uranium isotope compositions ( $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$ ) as proxies for organic matter burial and oceanic redox, respectively, from carbonate rocks of the Wa'ergang section, South China. The dataset spans an interval that includes the last major negative  $\delta^{13}\text{C}$  excursion (TOCE) of the Cambrian Period. The TOCE is a globally documented event, recovery from which corresponds to the terminal Cambrian extinction event.  $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$  values covary through the section, shifting initially to lower values, with  $\delta^{238}\text{U}$  falling below the modern open-ocean seawater value from the start to the middle of the profile, followed by a shift to higher values towards the end of the Cambrian. The co-occurrence of  $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$  negative excursions, as well as extinctions associated with rising  $\delta^{238}\text{U}$ , has seldom been reported. Here we argue that positive  $\delta^{13}\text{C}$  vs.  $\delta^{238}\text{U}$  covariation can be produced by expanded intermediate reducing settings (from low- $\text{O}_2$  suboxia to intermittently sulfidic anoxia), which are likely to have been widespread during the late Cambrian with low atmospheric  $p\text{O}_2$  and a greenhouse climate. A stepwise increase in the  $\delta^{238}\text{U}$  baseline in carbonates across the Ediacaran–Cambrian boundary is consistent with the growing importance of an intermediate reducing sink through this interval. We propose further that divergent trends in deep and upper ocean redox conditions, as

26 well as the waxing and waning of the accommodation space for organic carbon burial, in response to climate  
27 and sea-level changes, could have driven the parallel isotope excursions. An expansion of intermediate re-  
28 ducing conditions, rather than persistent anoxic euxinia, is more consistent with the presence of benthic fau-  
29 nas and shoreward extension of deeper-water fauna that may have had a greater tolerance against hypoxia.

30 **Keywords:** uranium isotopes; TOCE; suboxic; OMZ; intermediate reducing; end-Ptychaspid Biomere extinc-  
31 tion

## 32 **1. Introduction**

33 The major body plans (phyla) of animals were already established during the early Cambrian bioradiations  
34 (‘Cambrian Explosion’), although available marine ecospace would not be filled to capacity until the Late  
35 Ordovician (Sheehan, 2001) and animal diversity suffered repeated extinctions after the ‘Cambrian Explo-  
36 sion’ (Palmer, 1984; Zhuravlev, 2001). Especially, during the Furongian Epoch (497–485.4 Ma, the last  
37 Cambrian epoch), marine invertebrate fossil diversity decreased significantly during the so-called ‘Furongian  
38 Gap’ (Harper et al., 2019). This interval comprises three major extinction events separating suprazonal bio-  
39 stratigraphic units named ‘biomeres’ (Palmer, 1965; Stitt, 1971; Palmer, 1984; Taylor, 2006). Sea-level  
40 changes, cooling, upwelling, and anoxic events have all been proposed as possible triggers for those extinc-  
41 tions (Palmer, 1984; Taylor, 2006; Gill et al., 2011; Saltzman et al., 2015).

42 The youngest Cambrian extinction event, the end-Ptychaspid Biomere extinction, occurred within the last  
43 Cambrian stage. Like other end-of-biomere extinctions, it was first recognized on the Laurentian shelf where  
44 trilobites experienced major and rapid turnover (Stitt, 1971; Palmer, 1984). This extinction was subsequently  
45 shown to also affect conodonts and brachiopods simultaneously (Miller et al., 2006; Freeman et al., 2018).  
46 Although there has been lack of paleontological studies on the global occurrences of this specific end-of-bio-  
47 mere extinction, the earlier end-Marjumiid Biomere extinction was observed in China, Australia, and Sweden  
48 (Saltzman et al., 2000; Ahlberg et al., 2009). All three late Cambrian extinctions have been noted to be asso-  
49 ciated with globally correlative carbon isotope excursions (Ripperdan et al., 1992; Saltzman et al., 2011,

50 2015), confirming their global origins. While the two earlier late Cambrian extinctions are related to the  
51 SPICE Event (Steptoean Positive Carbon Isotope Excursion, Saltzman et al., 2000), the last one is related to  
52 the TOCE Event (Top Of Cambrian Excursion, Buggisch et al., 2003; Zhu et al., 2006; Peng et al., 2012; Zhu  
53 et al., 2021), a negative carbon isotope anomaly that has two synonyms (Zhu et al., 2021):  
54 HERB (HEllnmaria–Red Tops Boundary, Ripperdan, 2002; Landing et al., 2020) and SNICE (Sunwaptan  
55 Negative Isotope Carbon Excursion, Sial et al., 2013). As with other end-of-biomere extinctions, multiple  
56 triggers have been proposed (Westrop and Ludvigsen, 1987; Loch et al., 1993; Runkel et al., 2010), although  
57 no clear consensus exists over the cause of either the terminal Cambrian extinction or the TOCE. However,  
58 changes in ocean redox conditions may have been a key factor linking those biotic and abiotic events.

59 In the last decade, uranium isotope compositions ( $^{238}\text{U}/^{235}\text{U}$  ratios; reported as  $\delta^{238}\text{U}$ , the permil variation of  
60  $^{238}\text{U}/^{235}\text{U}$  compared to the standard NBL CRM-145), have been used as a novel ocean redox proxy to investi-  
61 gate relationships between environmental and evolutionary crises (e.g., Dahl et al., 2014, 2019; Lau et al.,  
62 2016, 2017; Jost et al., 2017; White et al., 2018; Clarkson et al., 2018; Zhang et al., 2018b, 2019, 2020; del  
63 Rey et al., 2020). The redox sensitivity of  $\delta^{238}\text{U}$  is due to the exchange between the common U species,  
64 U(VI) vs. U(IV), and associated U isotope fractionation. In the modern ocean, U exists as the oxidized U(VI)  
65 species in stable uranyl bicarbonate complexes and has a long oceanic residence time (ca. 400 kyr) relative to  
66 the ocean mixing time (1–2 kyr). As a consequence, its concentration and isotope composition ( $\delta^{238}\text{U}$ ) are  
67 homogeneously distributed in open-ocean seawater (OSW) (Andersen et al., 2016). While the dissolved riv-  
68 erine U load is the main ocean input, removal may occur as either the oxidized U(VI) or reduced U(IV) spe-  
69 cies. The largest U sink is U uptake into reducing sediments, where U(VI) is reduced to the largely immobile  
70 U(IV) species with a preference for the heavier  $^{238}\text{U}$  isotope due to nuclear volume effects (Stirling et al.,  
71 2007; Weyer et al., 2008). Although U reduction occurs at a redox potential close to that for iron reduction  
72 (i.e., less reducing than ‘sulfidic anoxia’ or ‘euxinia’), and primarily at or below the sediment-water interface  
73 (Morford et al., 2005), the observed  $^{238}\text{U}/^{235}\text{U}$  fractionation between sediment and open-ocean seawater ( $\Delta_{\text{sed-}}$   
74  $\text{osw}$ ), is greatest under persistently sulfidic bottom waters in semi-restricted basins in modern environments  
75 (e.g., Andersen et al., 2014; Cole et al., 2020). Along continental margins, U removal occurs in oxygen-poor

76 regions under a range of different and ‘intermediate’ reducing conditions from anoxia within the sediment-  
77 porewater interface to low-O<sub>2</sub> suboxic<sup>1</sup> and intermittent sulfidic anoxic conditions (Dunk et al., 2002). Com-  
78 pared with the semi-restricted euxinic U sink, the intermediate reducing sink is associated with only minor  
79 expressed  $\Delta_{\text{sed-OSW}}$ , on average, due to various reasons. Firstly, when reductive U removal occurs within sed-  
80 iments beneath suboxic bottom waters, the expressed  $\Delta_{\text{sed-OSW}}$  can be lowered due to diffusion limitation on  
81 the U supply (Weyer et al., 2008; Andersen et al., 2014; Lau et al., 2020). Secondly, both spatial and tem-  
82 poral variations of oxygen minimum zones (OMZ) may lead to non-steady state U uptake and mute the ex-  
83 pressed  $\Delta_{\text{sed-OSW}}$  (Weyer et al., 2008; Andersen et al., 2016; He et al., 2021). Thirdly, particulate non-litho-  
84 genic uranium (PNU, Zheng et al., 2002), i.e., organic-matter-related U, can contribute significantly to total  
85 authigenic U when the bottom water has low dissolved oxygen content (<25  $\mu\text{M}$ , Zheng et al., 2002). Be-  
86 cause PNU is likely depleted in <sup>238</sup>U (Holmden et al., 2015; Hinojosa et al., 2016; Abshire et al., 2020), its  
87 mixing with U uptake *in situ* within the sediments, enriched in <sup>238</sup>U, can mute the total expressed  $\Delta_{\text{sed-OSW}}$ . In  
88 contrast, the U sink of U(VI) species is smaller than the reduced U sink (Dunk et al. 2002) and also generally  
89 associated with smaller U isotope fractionation, but towards lighter isotope compositions (e.g., Fe–Mn nod-  
90 ules have <sup>238</sup>U/<sup>235</sup>U that are ~0.2‰ lower than seawater; Goto et al., 2014). A larger U sink is the U(VI) up-  
91 take into carbonates, both via biotic or abiotic pathways. Although, a range of  $\delta^{238}\text{U}$  values have been ob-  
92 served from modern carbonates, both towards higher and lower  $\delta^{238}\text{U}$ , the majority show little variation from  
93 modern seawater (e.g., Weyer et al., 2008; Romaniello et al., 2013; Chen et al., 2016; Clarkson et al., 2020).  
94 The observed higher  $\delta^{238}\text{U}$  values are often attributed to U(IV) incorporation in carbonate cements under re-  
95 ducing porewater conditions, while lower  $\delta^{238}\text{U}$  may derive from effects of U adsorption and/or organic mat-  
96 ter (e.g., Romaniello et al., 2013; Chen et al., 2016; Clarkson et al., 2021). Because carbonates deposited un-

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<sup>1</sup> We choose “suboxic” to describe the depositional environments with low-O<sub>2</sub> (without specific upper and lower limits of dissolved O<sub>2</sub>) bottom waters, because “hypoxic” (used by Andersen et al., 2017) is for “physiological regime” (Tyson and Pearson, 1991) and its definition depends on the specific organism. All the terms for redox conditions (oxic, suboxic, non-sulfidic anoxic, intermediate redox, sulfidic, euxinic) refer to the bottom waters unless additional specifications, because water column redox conditions are more pertinent to animals.

97 der oxic conditions show limited U isotope fractionation, marine carbonates are the favored geological ar-  
98 chive for estimating the  $\delta^{238}\text{U}_{\text{OSW}}$  in the past (e.g., Weyer et al., 2008; Romaniello et al., 2013; Chen et al.,  
99 2016; Clarkson et al., 2020).

100 The global redox sensitivity of the uranium isotope proxy relates to changing OSW  $\delta^{238}\text{U}$  values due to the  
101 mass-weighted isotope fractionation of the burial flux ( $\delta^{238}\text{U}_{\text{OSW}} = \delta^{238}\text{U}_{\text{input}} - \sum \Delta_i f_i$ ,  $f_i$  is the proportion for  
102 each burial flux) compared to the input. Consequently, geological records of negative  $\delta^{238}\text{U}$  excursions have  
103 been taken to indicate expansion of euxinia (Cole et al., 2020), even though both the modern carbonate and  
104 intermediate reducing fluxes contribute a large proportion of the total U sink today compared to euxinic  
105 semi-restricted basins (Dunk et al. 2002; Andersen et al., 2016, 2017; Cole et al., 2020). Alternatively, we  
106 argue here that muted or positive excursions of  $\delta^{238}\text{U}$  could relate instead to expansion of the intermediate  
107 reducing sink at the expense of the euxinic sink and/or expansion of the oxic sink.

108 This study presents uranium and carbon isotope profiles and metal concentrations of marine limestones span-  
109 ning the full TOCE interval at the Wa'ergang section in South China, in order to study the coupling between  
110 oceanic redox conditions and the global carbon cycle during the late Cambrian, with implications for im-  
111 proved understanding of the terminal Cambrian extinction event.

## 112 **2. Materials and methods**

113 The Wa'ergang section is situated in Taoyuan County, western Hunan Province, China. It is located on the  
114 Jiangnan Slope on the southeastern margin of the Yangtze Platform (Fig. 1B). At the end of the Cambrian  
115 Period, the Yangtze plate was located on the northern margin of the Gondwana continent. The middle to up-  
116 per Cambrian strata were continuously deposited in the studied area, and are mainly composed of richly fos-  
117 siliferous carbonate rocks with stratigraphically useful assemblages of conodonts and trilobites (Peng et al.,  
118 2014; Bagnoli et al., 2017; Dong and Zhang, 2017). The basin should have been well connected to the open  
119 ocean because the section contains a rich assemblage of cosmopolitan trilobites that can be used for intercon-  
120 tinental correlation (Peng et al., 2012, 2014).

121 A total of 32 dark-gray limestone samples spanning the TOCE Event were collected from the upper part of  
122 Shenjiawan Formation at the Wa'ergang section. Our sampling was interrupted by a possibly allochthonous  
123 massive carbonate debris bed (~3 m), and this bed marks the approximate boundary between the *Eoconodon-*  
124 *tus* and *Cordylodus proavus* conodont zones (Bagnoli et al., 2017) and also the end-Ptychaspid Biome extinction  
125 horizon (see section 4.4 for more details). The Wa'ergang section above this bed is not as continuous  
126 as below, and so previous biostratigraphic (Bagnoli et al., 2017) and carbon isotope chemostratigraphic (Li et  
127 al., 2017) studies also stopped around this level. Fine-grained, micritic limestones without secondary veins  
128 and recrystallization were finely powdered. 50–150 µg powder of each sample was reacted with phosphoric  
129 acid at 70 °C in a Kiel IV carbonate device, and the CO<sub>2</sub> generated was analyzed on a MAT 253 mass spec-  
130 trometer in the Nanjing Institute of Geology and Palaeontology, Chinese Academy of Sciences. Both δ<sup>13</sup>C  
131 and δ<sup>18</sup>O values are reported relative to the V-PDB. The long-term external reproducibilities of δ<sup>13</sup>C and δ<sup>18</sup>O  
132 analyses are ±0.04‰ and ±0.08‰, respectively (1 SD, 600 replicates during one year of the laboratory stand-  
133 ard GBW-04405). Metal concentration and U isotope analyses were carried out using an ELEMENT XR  
134 ICP-MS (Nanjing University) and NEPTUNE Plus MC-ICP-MS (Nanjing Normal University), respectively.  
135 Samples (~100 mg) were leached by agitation for 4 to 12 hours in 1 M HCl at room temperature, until no fur-  
136 ther effervescence was observed (Lau et al., 2016; Zhang et al., 2018a). The sample supernatant was sepa-  
137 rated from the remaining residue. A small aliquot was taken and diluted 500-fold for each sample prior to the  
138 metal analyses. Rhodium (Rh) was added as internal standard for analyses (samples and standards). Concen-  
139 tration of each metal was calculated by comparison of the <sup>103</sup>Rh-normalized intensity of a sample with that of  
140 an artificial standard with high Ca and Mg contents similar to carbonates. The remaining sample was spiked  
141 with the IRMM-3636 <sup>236</sup>U–<sup>233</sup>U double spike, aiming for a <sup>236</sup>U/<sup>235</sup>U ratio of ~4 before preparation for U pu-  
142 rification. The U purification from matrix elements were done using RE resin following published protocols  
143 used to successfully separate U from a range different matrix including carbonates (Bura-Nakić et al., 2018,  
144 2020; Clarkson et al., 2020) and subsequently dissolved in 2% (v/v) HCl aiming for ~50 ppb U for mass  
145 spectrometric measurements. Full procedural blanks were <0.1 ng U, negligible to the sample sizes meas-  
146 ured. Uranium isotope measurements were performed in a static collection with 10<sup>11</sup> Ω resistors on all Fara-  
147 day cups. Corrections of <sup>238</sup>U and <sup>235</sup>U impurities (from IRMM-3636), tailing, hydrate formation and mass

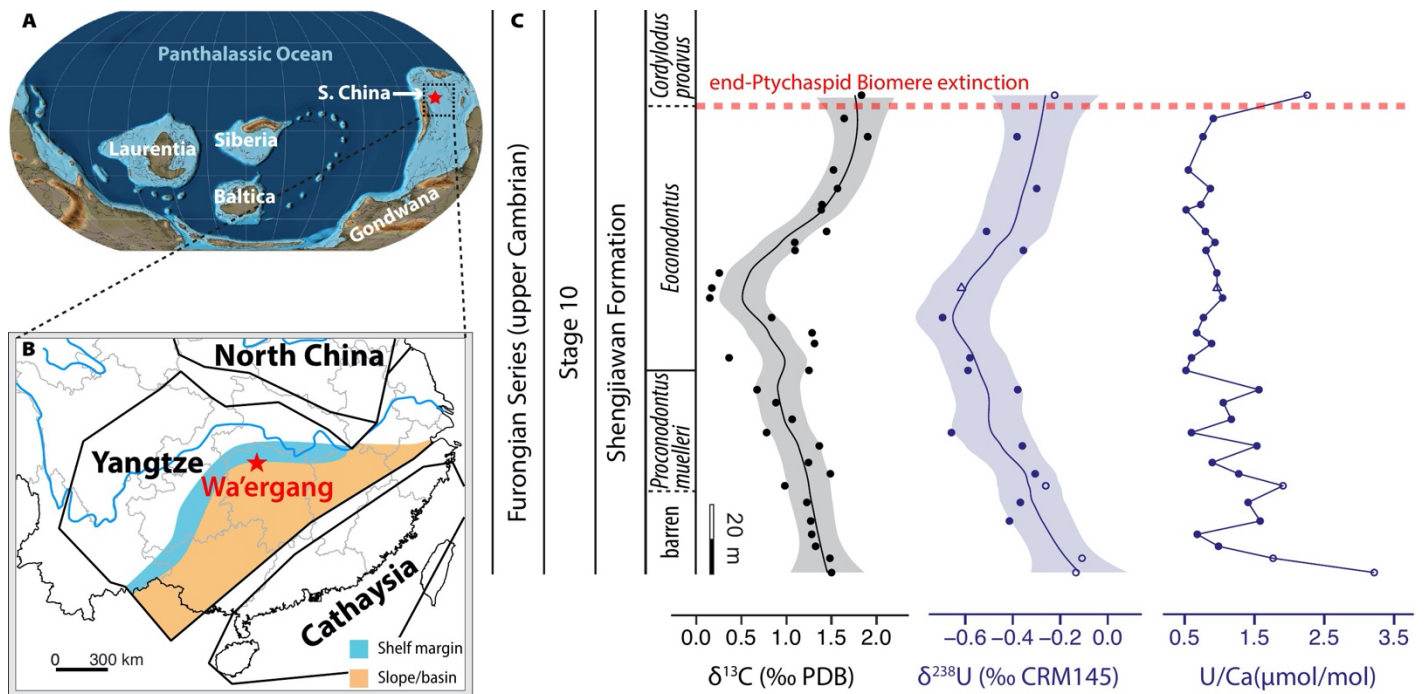
148 bias corrections were carried out as described by Andersen et al. (2016). The  $\delta^{238}\text{U}$  values were measured  
149 relative to the NBL CRM-145 standard. The internal precisions (2 SE) on measured  $\delta^{238}\text{U}$  values are better  
150 than  $\pm 0.05\text{‰}$  for all samples. Consecutive measurements of the in-house CZ-1 uraninite standard gave  $\delta^{238}\text{U}$   
151  $= -0.04 \pm 0.06\text{‰}$  (2 SD, 45 replicates during two years), in excellent agreement with the obtained values for  
152 the same standard from different laboratories and analytical set-ups (e.g., Stirling et al., 2007; Andersen et  
153 al., 2014, 2016; Bura-Nakić et al., 2018; Clarkson et al., 2020). Further, analyses of the IAPSO seawater  
154 gave  $\delta^{238}\text{U} -0.38 \pm 0.04\text{‰}$  (2 SD,  $n = 3$ ) within error of other determinations of this standard (Holmden et  
155 al., 2015).

### 156 **3. Results**

157 The  $\delta^{13}\text{C}$  values from Wa'ergang section range between 0.15‰ and 2.43‰, and the  $\delta^{18}\text{O}$  values lie within a  
158 narrow range ( $-10.2$  to  $-8.8\text{‰}$ ). The  $\delta^{13}\text{C}$  profile exhibits a negative excursion in the middle (Fig. 1C). There  
159 is no covariation between  $\delta^{18}\text{C}$  versus  $\delta^{18}\text{O}$  or Mn/Sr (Fig. 2A, 2B).

160 The  $\delta^{238}\text{U}$  data ranges between  $-0.66\text{‰}$  and  $-0.14\text{‰}$  and shows a depth profile (from 745 m to 875 m) that  
161 mimics the  $\delta^{13}\text{C}$  trend, with a near synchronous negative excursion. Values decrease from  $-0.14\text{‰}$  to a nadir  
162 of  $-0.66\text{‰}$  in the middle (at 815 m), and then return to near initial values ( $-0.23\text{‰}$ ) at the end of the TOCE  
163 Event (Fig. 1C). The Ca-normalized U concentration (U/Ca,  $\mu\text{mol/mol}$ ) ranges between 0.52 and 3.22 (Fig.  
164 1C, 2D). The highest U/Ca ratios are observed at the very beginning and end of the depth profile, whereby  
165 the four samples with the highest U/Ca ratios also exhibit the highest  $\delta^{238}\text{U}$  values. The U/Ca ratios, exclud-  
166 ing those four samples, distribute in two groups clustering around 0.8 and 1.5, respectively (Fig. 2D), and  
167 show weak correlation with  $\delta^{238}\text{U}$  values largely due to U/Ca ratios clustering around 1.5. There is no corre-  
168 lation with  $\delta^{238}\text{U}$  values for the other samples having U/Ca ratios clustering around 0.8, and the range and  
169 trend of  $\delta^{238}\text{U}$  curve exhibited by these samples remains the same as all the samples. The U/Al (ppm/ppm)  
170 ratios in the carbonate leachates range from  $1.43 \times 10^{-4}$  to  $1.54 \times 10^{-3}$ . Neither U contents nor U isotope  
171 compositions covary with Al concentrations (with correlation coefficients  $R^2 < 0.06$  and  $t$ -test  $p$ -values  $>$   
172 0.33).





173

174 Figure 1: A: Paleogeographic map showing South China and other paleocontinents during the late Cam-  
 175 brian (Scotese, 2021). The red star marks Wa'ergang section. B: Simplified geological map of South China  
 176 and the location of Wa'ergang section on the southeastern margin of the Yangtze plate. C: Chemostrati-  
 177 graphic data (carbon and uranium isotope compositions) of late Cambrian succession from Wa'ergang sec-  
 178 tion in Taoyuan County, western Hunan Province, China. The  $\delta^{238}\text{U}$  data possibly being affected by dolomiti-  
 179 zation or reducing porewater are denoted by a triangle and open circles, respectively. The  $\delta^{238}\text{U}$  data are cor-  
 180 rected for detrital U contributions. Curves of  $\delta^{238}\text{U}$  and  $\delta^{13}\text{C}$  are smoothed by LOESS fitting with shaded  
 181 95% confidence intervals. A red dashed line right below a carbonate debris bed marks the boundary between  
 182 the *Eoconodontus* and *Cordylodus proavus* conodont zones (Bagnoli et al., 2017), and this biozone boundary  
 183 corresponds to the rising limb of the TOCE (Landing et al., 2011; Saltzman et al., 2015; Li et al., 2017;  
 184 Azmy, 2019) and the terminal Cambrian end-Ptychaspid Biome extinction event (Palmer, 1984; Landing et  
 185 al., 2011).

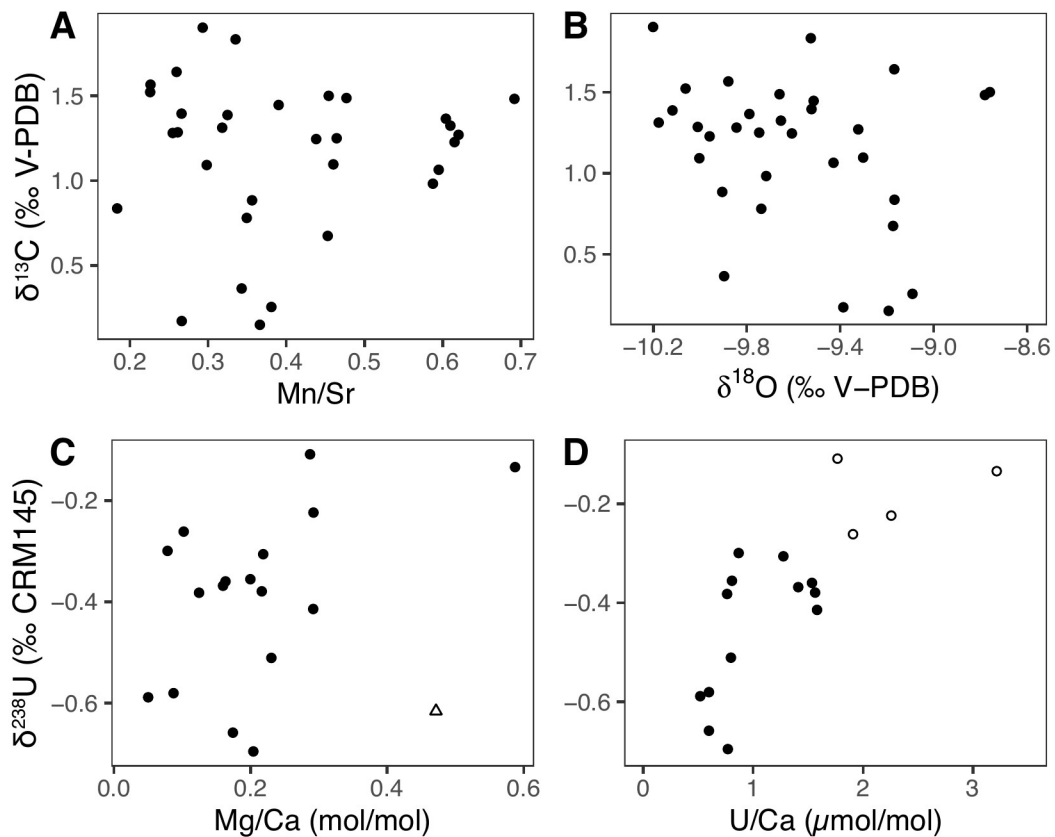
## 186 4. Discussion

### 187 4.1. Assessment of the isotope data

188 There are a range of considerations for the obtained isotope data that require evaluation. It is particularly im-  
 189 portant to demonstrate how likely measured data show primary marine signatures or are altered by various  
 190 syngenetic, diagenetic or mixing effects.

191 Diagenetic alteration of carbonates, by interaction with meteoric waters, tends to deplete Sr and  $^{18}\text{O}$  but en-  
 192 rich Mn. Because carbon is a major element in carbonates but minor in meteoric waters, its isotope composi-

193 tion is less easily altered compared with Sr and O. Limestones with Mn/Sr ratios lower than 10 are consid-  
 194 ered most likely to preserve primary  $\delta^{13}\text{C}$  values (Kaufman and Knoll, 1995). In our dataset, Mn/Sr ratios are  
 195 all lower than 0.7, which is even lower than a proposed upper limit of 2 for preserving primary Sr isotope  
 196 compositions (Kaufman and Knoll, 1995). The  $\delta^{18}\text{O}$  values do not correlate with Sr, Mn, or Mn/Sr (with cor-  
 197 relation coefficients  $R^2 < 0.09$  and  $t$ -test  $p$ -values  $> 0.11$ ), suggesting that  $\delta^{18}\text{O}$  values are also not obviously  
 198 altered. Moreover, neither  $\delta^{18}\text{O}$  nor Mn/Sr, as diagenetic proxies, vary systematically with changes in  $\delta^{13}\text{C}$   
 199 ( $R^2 < 0.06$  and  $p$ -values  $> 0.19$ ), which strongly supports use of these carbon isotope data for interpreting the  
 200 paleoceanic environment.



201

202 Figure 2: Cross plots of  $\delta^{13}\text{C}$  vs. proxies for diagenetic alteration (A: Mn/Sr ratio, B:  $\delta^{18}\text{O}$ ), and  $\delta^{238}\text{U}$  vs.  
 203 proxies for dolomitization (shift  $\delta^{238}\text{U}$  to lower values, C: Mg/Ca molar ratio) and reducing porewater (shift  
 204  $\delta^{238}\text{U}$  to higher values, D: U/Ca) in limestone samples from Wa'ergang section. The data possibly being af-  
 205 fected by dolomitization or reducing porewater are denoted by a triangle (low  $\delta^{238}\text{U}$  and high Mg/Ca) and  
 206 open circles (high  $\delta^{238}\text{U}$  and high U/Ca), respectively.

207 For the U isotope systematics, the potential effects of detrital contribution, U isotope fractionation mecha-  
 208 nisms and diagenesis all require discussion. In terms of detrital contribution, the Al content in carbonate  
 209 leachates may be related to trace levels of detrital siliciclastic rock components that may dissolve during the

210 leaching (e.g., Clarkson et al., 2020) and can therefore be used to assess siliciclastic contamination of the  
211 leachates. Assuming that the Al is solely related to the detrital siliciclastic contribution, it is possible to cor-  
212 rect the bulk  $\delta^{238}\text{U}$  values for this by mass balance, based on assumptions about the U/Al and  $\delta^{238}\text{U}$  of this  
213 phase. Assuming  $\text{U/Al} = 3.5 \times 10^{-5}$  (ppm/ppm; McLennan, 2001) and  $\delta^{238}\text{U} = -0.3\text{‰}$  (Andersen et al., 2014)  
214 for the detrital contribution results in a shift in measured  $\delta^{238}\text{U}$  less than 0.072‰, indicating that any effect  
215 from detrital U would be negligible in these limestone leachates. Similarly, Clarkson et al. (2020) performed  
216 leaching experiments on carbonates using different leaching reagents showed no bias in the obtained  $\delta^{238}\text{U}$   
217 using 1 N HCl compared to a range of other milder leaching methods.

218 There are several syngenetic or diagenetic effects that may affect the  $\delta^{238}\text{U}$  in carbonates. Carbonate  $\delta^{238}\text{U}$   
219 values can shift to lower values during dolomitization, especially when molar Mg/Ca ratios are higher than  
220 ca. 0.5 (Romaniello et al., 2013). However, data from upper Jurassic carbonates do not show any correlation  
221 between the degree of dolomitization (Mg/Ca) and  $\delta^{238}\text{U}$  values (Herrmann et al., 2018), which is also true  
222 for our samples ( $R^2 = 0.10$ ,  $p$ -value = 0.21, and see Fig. 2C). Our samples with low  $\delta^{238}\text{U}$  values ( $< -0.6\text{‰}$ )  
223 generally have low Mg/Ca molar ratios ( $< 0.21$ ), with only one exception whose Mg/Ca molar ratio is 0.47  
224 (denoted by a triangle in Fig. 1C and Fig. 2C). In contrast, the authigenic uptake of additional U under reduc-  
225 ing conditions can shift  $\delta^{238}\text{U}$  to higher values. This effect is likely to result dominantly from uptake of re-  
226 duced U into carbonate-cements under reducing pore-water conditions (e.g., Romaniello et al., 2013; Clark-  
227 son et al., 2021). It is striking that the four samples with the highest  $\delta^{238}\text{U}$  values also have the highest U con-  
228 tents (see Fig. 2D). This suggests that they may have been affected by authigenic uptake of U under reducing  
229 conditions, analogous to modern Bahamian carbonates (Romaniello et al., 2013). In Eocene carbonate sam-  
230 ples, Clarkson et al. (2021) did observe the effect of reduced U uptake and higher  $\delta^{238}\text{U}$  with increasing  
231 U/Ca, whereby the increase initiated at  $> 0.125$  ( $\mu\text{mol/mol}$ ) in those samples. While the exact U/Ca threshold  
232 in Clarkson et al. (2021) cannot be used in our case due to different oceanographic settings and ocean U  
233 budgets, we argue that these four U-rich samples, towards the base and top of the depth profile, might record

234 higher  $\delta^{238}\text{U}$  than contemporaneous seawater. For the remaining samples with  $\text{U}/\text{Ca} < 1.6$ , there is no obvi-  
235 ous trend of increasing U concentration with high  $\delta^{238}\text{U}$  (Fig 2D) and so their measured  $\delta^{238}\text{U}$  values are con-  
236 sidered likely to reflect a near seawater  $\delta^{238}\text{U}$  value at the time of carbonate formation.

## 237 **4.2. The TOCE Event: a global negative carbon isotope excursion**

238 The negative  $\delta^{13}\text{C}$  TOCE excursion has been well-documented in nearly all late Cambrian paleocontinents,  
239 including organic carbon isotope profiles in Baltica, and carbonate carbon isotope profiles in western Lau-  
240 rentia, northeastern Laurentia, Precordillera terrane, Siberia, Australia, Tarim, North China and South China  
241 (Miller et al., 2015; Azmy, 2019; Landing et al., 2020; Zhu et al., 2021). The amplitude of this  $\delta^{13}\text{C}$  shift  
242 ranges between  $-0.5\text{‰}$  and  $-4\text{‰}$ . The nadir of TOCE consistently occurs within the lower part of the *Eoco-*  
243 *nodontus* Zone in most of the above-mentioned paleocontinents with sections having well-established bi-  
244 ozones (Landing et al., 2011; Azmy, 2019; Landing et al., 2020). Our data from Wa'ergang section show that  
245 the onset of the full TOCE Event lies within the *Proconodontus muelleri* Zone, which is also true for Black  
246 Mountain in Australia (Ripperdan et al., 1992) and Sneakover Pass in Utah, USA (Miller et al., 2015). The  
247 intercontinentally correlative TOCE excursion implies a perturbation of the global carbon cycle.

248 There is still no clear consensus on the cause of TOCE, but it may be linked to sea-level changes. The associ-  
249 ation between the  $\delta^{13}\text{C}$  minimum of TOCE and a regression event is evidenced from disconformities and de-  
250 tailed sequence stratigraphic analysis on various paleocontinents, including Australia (Ripperdan et al.,  
251 1992), North China (Ripperdan et al., 1993) and Laurentia (Miller et al., 2015). Ripperdan (2002) proposed  
252 that weathering of 'old carbon' on formerly productive platforms provided the source of isotopically light  
253 carbon. However, weathering of both organic matter and carbonate would have increased after a sea level  
254 fall. Furthermore, there is no evidence of widespread shallow water organic rich sediments in the underlying  
255 strata, instead, carbonate was deposited in broad tropical epicontinental (epeiric) seas throughout the late  
256 Cambrian (Landing, 2011). Therefore, enhanced weathering would have provided isotopically heavy rather  
257 than light carbon. We suggest that the TOCE Event could have been driven by changes to carbon sinks rather  
258 than sources (see detailed discussions in section 4.4 and 4.5).

### 259 **4.3. Positive carbon and uranium isotope shifts and the terminal Cambrian extinction**

260 The late Cambrian (Furongian Series) records three extinction events at the top of the Marjumiid, Pteroc-  
261 phaliid, and Ptychaspid biomes, respectively (Palmer, 1984). The youngest one, the end-Ptychaspid Bio-  
262 mere extinction, coincides precisely with the *Eoconodontus–Cordylodus proavus* conodont Zone bound-  
263 ary (Palmer, 1984; Landing et al., 2011). This biostratigraphic level corresponds to the rising limb of the  
264 TOCE, i.e., a  $\delta^{13}\text{C}$  positive shift following the TOCE nadir (Landing et al., 2011; Saltzman et al., 2015; Li  
265 et al., 2017; Azmy, 2019).

266 Generally, there is evidence against sea-level changes as the ‘killing mechanism’ of the late Cambrian end-  
267 of-biomere extinctions: 1) The lithological changes associated with all three end-of-biomere extinctions are  
268 not uniform in different locations, and are usually minimal, although obvious faunal turnovers are similar. 2)  
269 Well-defined continent-wide regressions occurred *within* the Pteroccephaliid and Ptychaspid biomes without  
270 obvious faunal effects (Palmer, 1984; Loch et al., 1993; Taylor, 2006). Specifically, the link between sea-  
271 level (and/or climate) changes and the end-Ptychaspid extinction is highly controversial (Westrop and  
272 Ludvigsen, 1987, cf. comments by Loch et al., 1993 and Taylor, 2006; Runkel et al., 2010, cf. comments by  
273 Landing, 2011). In contrast, positive  $\delta^{13}\text{C}$  excursions are strongly related with recurring extinctions from the  
274 late Cambrian Furongian Stage to Early Ordovician Tremadocian Stage, providing evidence of periodic ex-  
275 pansion of anoxia delaying further animal diversification (Saltzman et al., 1995, 2011, 2015). Coincidence  
276 of positive  $\delta^{34}\text{S}$  shifts at the tops of Marjumiid (Gill et al., 2011) and Symphysuriniid (Saltzman et al., 2015)  
277 biomes, and a negative  $\delta^{238}\text{U}$  shift at the top of the Marjumiid biomere (equivalent to the onset of SPICE,  
278 Dahl et al., 2014), provide further evidence for anoxia. However, there has been no strong evidence for an-  
279 oxia linked with all the other biomes. Moreover, a negative shift (end-Marjumiid, Dahl et al., 2014), posi-  
280 tive shift (end-Ptychaspid, this study), and fluctuation (end-Pteroccephaliid, Dahl et al., 2014) of  $\delta^{238}\text{U}$  have  
281 all been observed during the end-of-biomere extinctions, which appears to weaken the proposed link between  
282 end-of-biomere extinctions and anoxia.

283 Both positive and negative  $\delta^{13}\text{C}$  shifts are observed to be associated with negative  $\delta^{238}\text{U}$  shifts indicating an-  
284 oxia during other extinction events or delayed biotic diversifications/recoveries. Broad coincidence of a posi-  
285 tive  $\delta^{13}\text{C}$  shift with a negative  $\delta^{238}\text{U}$  shift was observed from late Ediacaran (Zhang et al., 2018b), early and  
286 late Cambrian (Dahl et al., 2014, 2019), late Silurian (del Rey et al., 2020), Late Devonian (White et al.,  
287 2018), Early Triassic (Lau et al., 2016), earliest Jurassic (Jost et al., 2017), and mid-Cretaceous (Clarkson et  
288 al., 2018) successions, which is commonly explained by enhanced organic carbon ( $^{13}\text{C}$ -depleted) burial due  
289 to expansion of anoxia (with  $^{238}\text{U}$ -enriched sediments). In comparison, negative shifts of both  $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$   
290 have been reported less commonly, from Cryogenian (Lau et al., 2017), end-Permian to earliest Triassic  
291 (Zhang et al., 2020), Early Triassic (Zhang et al., 2019), and Triassic/Jurassic boundary (Jost et al., 2017)  
292 successions. This type of correlation has been attributed to anoxia caused by the release of isotopically light  
293 carbon sourced from volcanic degassing and/or decomposition of sedimentary or oceanic organic carbon res-  
294ervoirs. In other words, changes in the global carbon cycle, as proxied by  $\delta^{13}\text{C}$  shifts, are regarded as the  
295 cause in the latter situation, rather than the result of anoxic events as in the former situation. As a special  
296 case, isotopically light C emissions during the mid-Cretaceous OAE2 accompanied insignificant negative  
297  $\delta^{13}\text{C}$  shifts, but induced pronounced and prolonged anoxia as reflected by positive  $\delta^{13}\text{C}$  shifts and negative  
298  $\delta^{238}\text{U}$  shifts (Clarkson et al., 2018).

299 Positive  $\delta^{238}\text{U}$  shifts follow negative shifts through most  $\delta^{238}\text{U}$  ‘excursions’ (contra: Lau et al., 2017), indi-  
300 cating the waning of anoxia after a perturbation. During a positive  $\delta^{13}\text{C}$  excursion, a positive  $\delta^{238}\text{U}$  shift usu-  
301 ally corresponds to the recovery/falling limb of the  $\delta^{13}\text{C}$  excursion (e.g., del Rey et al., 2020; White et al.,  
302 2018; Lau et al., 2016; Jost et al., 2017; Clarkson et al., 2018). However, during a negative  $\delta^{13}\text{C}$  excursion, a  
303 positive  $\delta^{238}\text{U}$  shift can simply correspond to the recovery/rising limb of the  $\delta^{13}\text{C}$  excursion (Zhang et al.,  
304 2020), or lag behind a subsequent positive  $\delta^{13}\text{C}$  shift due to sustained anoxia (i.e., corresponds to the recov-  
305 ery/falling limb of the secondary positive  $\delta^{13}\text{C}$  excursion, e.g., Jost et al., 2017; Clarkson et al., 2018), or be  
306 absent due to even more prolonged anoxia (Lau et al., 2017). Therefore, cases with coeval positive shifts of  
307 both  $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$  are rare. Besides the aforementioned one case with nearly synchronous recoveries of C  
308 and U isotope data during negative  $\delta^{13}\text{C}$  excursions (Zhang et al., 2020), positive  $\delta^{238}\text{U}$  shifts have also been

309 observed during ongoing positive  $\delta^{13}\text{C}$  shifts (Dahl et al., 2014; Clarkson et al., 2018). It is plausible that the  
310 latter cases may have resulted from a smaller oceanic reservoir of U than C, so that  $\delta^{238}\text{U}$  responded more  
311 rapidly than  $\delta^{13}\text{C}$  in dynamic states when anoxia waned (Dahl et al., 2014; Clarkson et al., 2018). However,  
312 this ‘reservoir effect’ is inconsistent with similar decay timescales for  $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$  excursions during the  
313 SPICE, which implies that other control factors than extent of anoxia may have existed (Dahl et al., 2014).

314 Nearly synchronous negative excursions (including both falling and rising limbs) of  $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$ , as we  
315 observe for TOCE, are indeed rare (e.g., Zhang et al., 2020). Besides, all the aforementioned cases with nega-  
316 tive shifts of both  $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$  are likely related to warming and anoxia (Lau et al., 2017; Jost et al., 2017;  
317 Zhang et al., 2019, 2020), while the falling limb of TOCE has been linked to sea-level fall and therefore cool-  
318 ing. Almost all the aforementioned negative  $\delta^{238}\text{U}$  shifts are also accompanied by the deleterious effects of  
319 anoxia on animals (contra: Tostevin et al., 2019), but the terminal Cambrian extinction is above the nadir of  
320 TOCE and coincident with a positive  $\delta^{238}\text{U}$  shift indicating oxygenation. These unusual features of the TOCE  
321 Event could be explained by significant abiotic and biotic roles played by intermediate reducing conditions,  
322 as discussed in the following three sub-sections.

#### 323 **4.4. Positive $\delta^{13}\text{C}$ vs. $\delta^{238}\text{U}$ correlation corroborated by intermediate reducing settings**

324 The seawater  $\delta^{13}\text{C}$  value is proportional to the relative fraction of carbon buried as organic matter when there  
325 are no significant changes in the sources. In modern oceans, ~80% of organic carbon is buried in deltaic sedi-  
326 ments and mainly of terrestrial origin (Schlesinger and Melack, 1981; Berner, 1982). The deltaic sink of the  
327 late Cambrian would have been significantly reduced because of the lack of land plants. If we omit this sink,  
328 the euxinic organic C sink comprises only <5% of the total, whereas about half of organic C burial occurs in  
329 intermediate reducing settings (Berner, 1982). By comparison, U isotopic fractionation is most significant in  
330 euxinic settings, while muted in intermediate reducing settings (see Section 1 and following discussions).

331 Therefore,  $\delta^{238}\text{U}$  is a proxy for the extent of persistent sulfidic anoxia, and is highly sensitive because a dis-  
332 proportionately large fraction (~24%) of reduced U is buried in modern euxinic settings accounts for only  
333 <0.1% of global sea-floor area (Dunk et al., 2002; Andersen et al., 2016). As similarly proposed by Dahl

334 et al. (2014), although a negative  $\delta^{238}\text{U}$  still requires expansion of anoxia, larger contributions from interme-  
335 diate reducing settings (vs. deltaic sediments in Dahl et al., 2014) for both C and U burial can corroborate the  
336 positive  $\delta^{13}\text{C}$  vs.  $\delta^{238}\text{U}$  correlation, as we observed throughout the TOCE Event. Such intermediate reducing  
337 settings could have been common in late Cambrian oceans.

338 Redox reconstruction of a continental margin transect provides evidence for the early Cambrian establish-  
339 ment of modern-type OMZs dominated by ferruginous conditions and surrounded by oxic waters (Guilbaud  
340 et al., 2018). The persistence of small carbonaceous fossils within the Baltic OMZ suggests that the OMZ  
341 oscillated between oxic and ferruginous conditions (Guilbaud et al., 2018). Such specific and dynamic oce-  
342 anic redox conditions are likely to lead to muted expressed average  $\Delta_{\text{sed-OSW}}$  (Weyer et al., 2008; Cole et al.,  
343 2020; He et al., 2021). The occurrence of such dynamic OMZs could have increased in the late Cambrian due  
344 to the progressive warming that occurred since the early Cambrian (Frakes et al., 1992), and therefore their  
345 impacts on U and C budgets could have also been enhanced. The sea-level controlled changes in intersection  
346 areas of OMZs and continental margins (Lau et al., 2016) would have enhanced the coupling between  $\delta^{13}\text{C}$   
347 and  $\delta^{238}\text{U}$ .

348 Low atmospheric  $p\text{O}_2$  (ca. 50% present atmospheric level, Krause et al., 2018), together with low  $\text{O}_2$  solubil-  
349 ity in a typical greenhouse climate (Bernier, 1990; Frakes et al., 1992; Trotter et al., 2008; Landing, 2012),  
350 would have suppressed the dissolved-oxygen level in the surface ocean seawater during the late Cambrian.  
351 Furthermore, there is no unambiguous evidence for late Cambrian polar ice caps, and thus modern-like ther-  
352 mohaline circulation ventilating the deep ocean may not have existed then (Landing, 2012). Saline seawater  
353 with low  $\text{O}_2$  concentration from lower latitude marginal seas may have contributed to the deep ocean sea-  
354 water, leading to halothermal instead of thermohaline circulation (Brass et al., 1982; Railsback et al., 1990).  
355 Therefore, redox conditions with suboxic bottom waters and underlying anoxic sediments could have been  
356 more expansive in both shallow epeiric/marginal and deep open oceans, rather than mainly confined within  
357 highly productive continental shelves like today (ca. 6% of modern sea-floor area, Andersen et al., 2016). It  
358 is noteworthy that eukaryote-dominated “aquatic bioturbation” may have suppressed persistent euxinia and  
359 maintained the ventilation of the deep ocean since the early Cambrian (Butterfield, 2018), even when suboxic



360 waters may have expanded due to above mentioned physical causes. In contrast to the marginal OMZs, such  
 361 deep ocean suboxic conditions could have been more stable, although the effective  $\Delta_{\text{sed-OSW}}$  could potentially  
 362 have been diffusion-limited because of reductive U removal within the sediments under suboxic waters  
 363 (Weyer et al., 2008; Andersen et al., 2014; Lau et al., 2020).

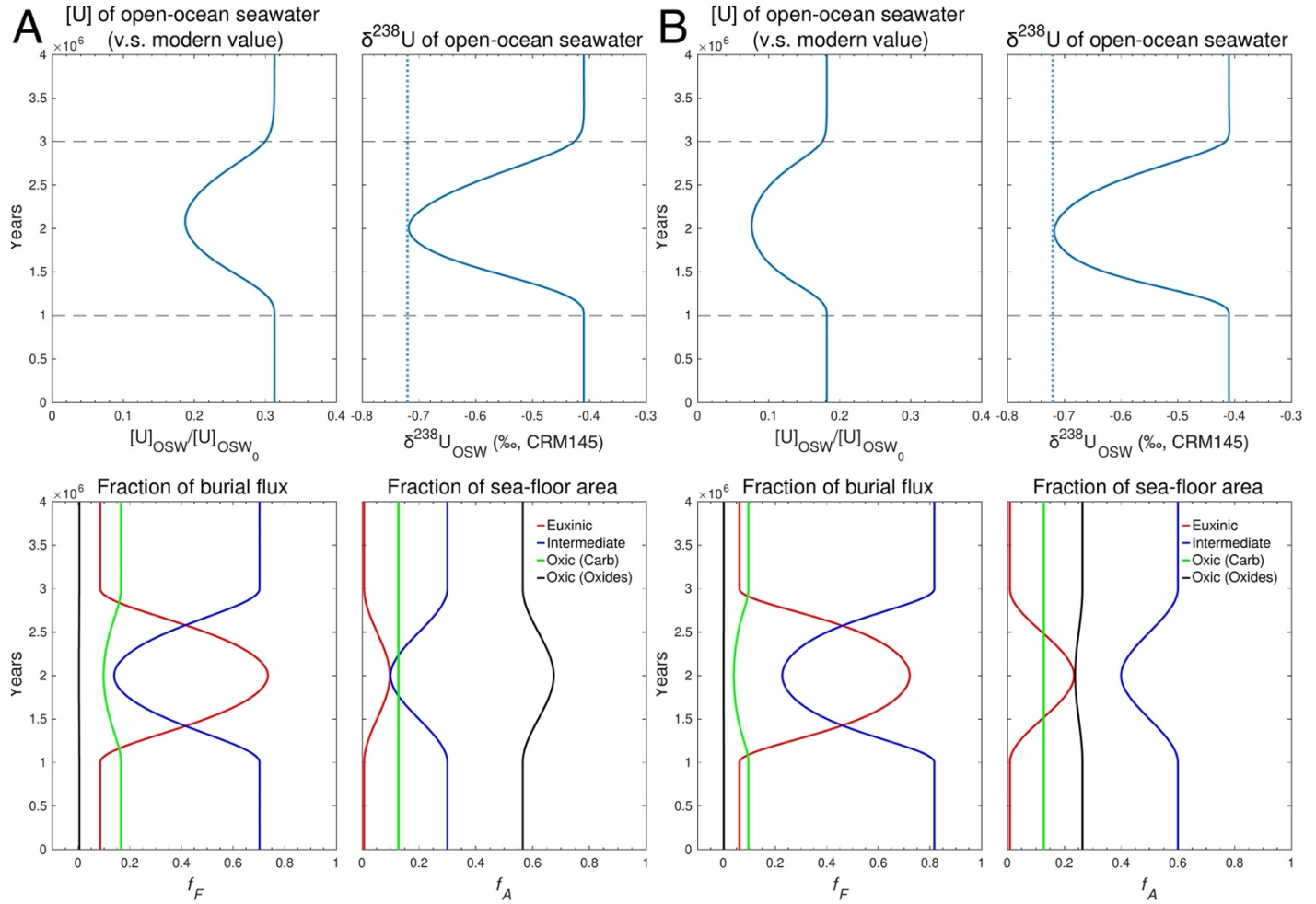
364 Under both of the above two intermediate reducing settings, organic-related PNU may have been high, even  
 365 in pelagic settings because of higher preservation potential for the ‘marine snow’ in a less-oxygenated ocean  
 366 than today. Moreover, because PNU is likely depleted in  $^{238}\text{U}$  (Holmden et al., 2015; Hinojosa et al., 2016;  
 367 Abshire et al., 2020), the coupling between organic-related PNU and intermediate reducing conditions could  
 368 further corroborate the positive  $\delta^{13}\text{C}$  vs.  $\delta^{238}\text{U}$  correlation.

369 To test the potential of the intermediate reducing U sink as a key driver of oceanic  $\delta^{238}\text{U}$ , we utilize a simple  
 370 semi-quantitative mass balance model to evaluate the impact of intermediate reducing settings on the global  
 371 U cycle. Firstly, the duration and magnitude of the  $\delta^{238}\text{U}$  excursion during TOCE Event have to be estimated.  
 372 The whole excursion, including both decreasing and rising limbs, spans about two conodont zones, or half of  
 373 Cambrian Stage 10 (489.5–485.4 Ma), which suggests a duration of ca. 2 Myr. Because the starting and end-  
 374 ing levels of the  $\delta^{238}\text{U}$  dataset likely have been impacted by incorporation of reduced U, we choose the low-  
 375 est sample with relatively low U/Ca, and use its  $\delta^{238}\text{U}_{\text{carb}}$  value ( $-0.41\text{‰}$ ) as the starting level for  $\delta^{238}\text{U}_{\text{OSW}}$ .  
 376 For the sake of simplification, we consider that the studied interval ends at the same level as indicated by the  
 377 overall  $\delta^{238}\text{U}_{\text{carb}}$  trend.

378 Table 1: Parameters used in U mass balance modeling.

Parameter	Value
U concentration <sup>1</sup> and isotope composition <sup>2</sup> in modern open-ocean seawater	3.238 ppb, $-0.39\text{‰}$
Total volume of the ocean	$1.37 \times 10^{21}$ L
Total area of ocean floor	$3.61 \times 10^{14}$ m <sup>2</sup>
Modern rate of U output flux and isotope fractionation <sup>2</sup> :	
Euxinic	$1.130 \times 10^{-3}$ g m <sup>-2</sup> yr <sup>-1</sup> , $0.42\text{‰}$
Intermediate reducing	$2.088 \times 10^{-4}$ g m <sup>-2</sup> yr <sup>-1</sup> , $0.15\text{‰}$
Carbonates (oxic)	$1.158 \times 10^{-4}$ g m <sup>-2</sup> yr <sup>-1</sup> , $0\text{‰}$
Metalliferous (oxic)	$7.121 \times 10^{-7}$ g m <sup>-2</sup> yr <sup>-1</sup> , $-0.25\text{‰}$
Modern U output flux and isotope fractionation: hydrothermal <sup>2,3</sup>	$6 \times 10^6$ mol, $0.25\text{‰}$
Modern total U input flux and isotope composition <sup>2,4</sup>	$45 \times 10^6$ mol, $-0.246\text{‰}$

379 (1) Chen et al. (1986); (2) based on U output flux and areal fraction of each redox setting estimated by An-  
 380 dersen et al. (2016); (3) assume constant values; (4) assume steady state of modern marine  $^{238}\text{U}$ - $^{235}\text{U}$  budget.



381

382 Figure 3: The results of simple semi-quantitative mass balance modeling for the evolution of open-ocean sea-  
 383 water (OSW)  $\delta^{238}\text{U}$  under two scenarios of changing intermediate reducing and euxinic areas during the ter-  
 384 minal Cambrian. The initial  $f_A^{\text{inter}}$  is 30% and 60% in scenarios A and B, respectively, and it evolves to de-  
 385 crease by 20% in both scenarios. The oxidic areal extent of carbonate deposits is held constant. This modeling  
 386 mainly demonstrates: 1) An obtained  $\delta^{238}\text{U}_{\text{OSW}}$  value could accommodate various combinations of propor-  
 387 tions of redox conditions; 2) A scenario with higher  $f_A^{\text{inter}}$  has a smaller oceanic U reservoir; 3) How [U] and  
 388  $\delta^{238}\text{U}$  of OSW respond to changes in negatively correlated  $f_A^{\text{eux}}$  and  $f_A^{\text{inter}}$ , and the magnitude of increase in  
 389  $f_A^{\text{eux}}$  could be less than the magnitude of decrease in  $f_A^{\text{inter}}$  (scenario A).

390 In the modern, the intermediate reducing sink of U has a lower burial rate and a smaller average isotope frac-  
 391 tionation factor ( $\Delta_{\text{inter-OSW}} \approx +0.15\text{‰}$ ) than the euxinic sink (cf. typical  $\Delta_{\text{eux-OSW}}$  is between +0.4‰ and  
 392 +0.6‰, Andersen et al., 2014; Cole et al., 2020). Combining those two factors, a greater areal fraction of the  
 393 intermediate reducing settings ( $f_A^{\text{inter}}$ ) at the cost of euxinic settings ( $f_A^{\text{eux}}$ ) would have increased both the  
 394 ocean U reservoir and the  $\delta^{238}\text{U}_{\text{OSW}}$ , and vice versa, as demonstrated in the modeled oceanic U evolution  
 395 through time in Fig. 3. An obtained  $\delta^{238}\text{U}_{\text{OSW}}$  value could accommodate various combinations of proportions

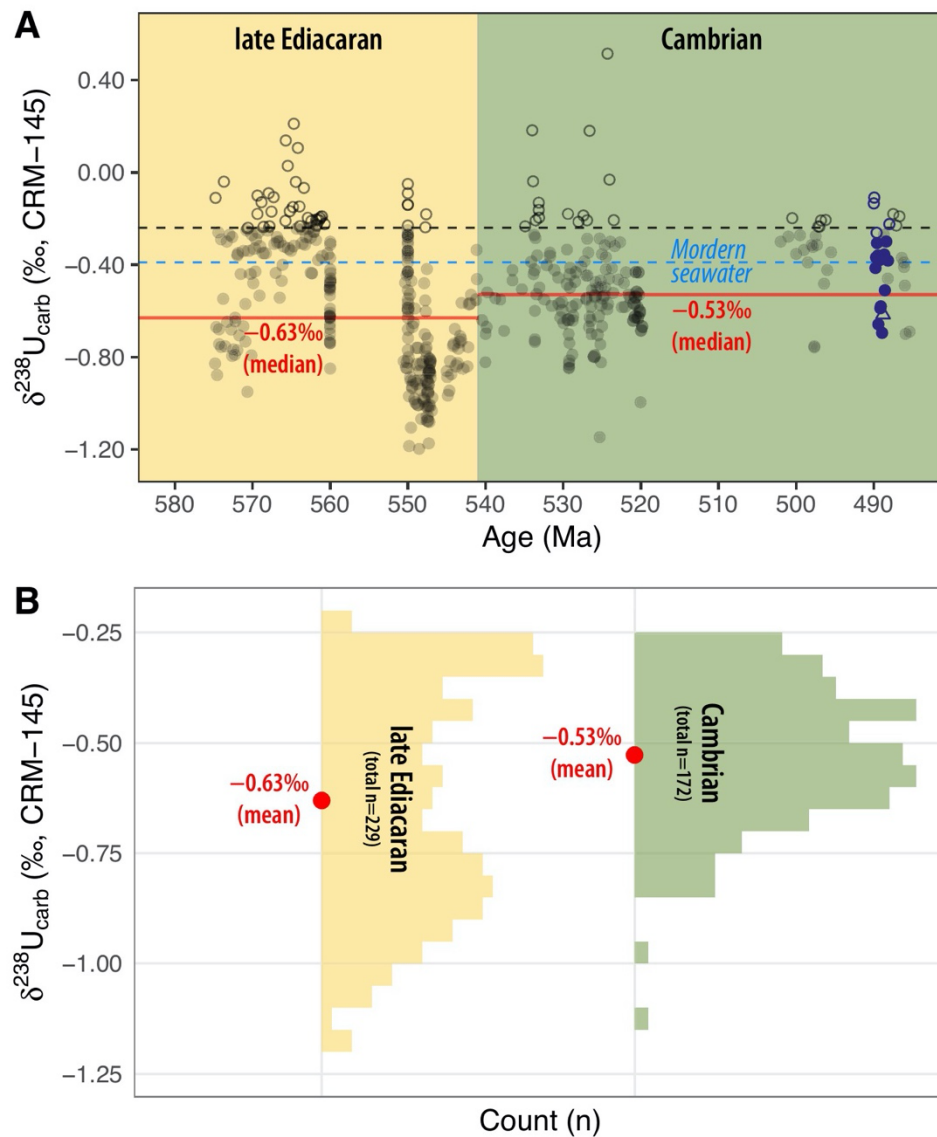
396 of redox conditions, as demonstrated by modeling scenario A versus B in Fig. 3. A scenario with a higher  
397  $f_{\text{inter}}^A$  has a smaller oceanic U reservoir. For example, obtaining a  $\delta^{238}\text{U}_{\text{OSW}}$  of  $-0.41\text{‰}$ , as used as the initial  
398 state, scenario B with a higher  $f_{\text{inter}}^A$  (60%) than scenario A (30%), it induces a lower  $[\text{U}]_{\text{OSW}}$  for scenario B  
399 than A (18% vs. 31% of modern level for A and B, respectively). Therefore, even if the  $\delta^{238}\text{U}_{\text{OSW}}$  was similar  
400 to the modern value, the oceanic U reservoir could have been smaller and probably more sensitive to changes  
401 in oceanic redox state during times when intermediate reducing settings were more expansive (scenario B vs.  
402 A, or late Cambrian vs. modern).

403 Obviously, the modeling has some caveats including the definition and choice of set values of the parameters  
404 used (listed in Table 1). However, our main purpose here is to illustrate how different changes in the relative  
405 proportions of intermediate reducing and euxinic U sinks can drive the same observed shifts in  $\delta^{238}\text{U}$ , and to  
406 highlight the importance of the intermediate reducing sink in minimizing the oceanic U reservoir and induc-  
407 ing positive  $\delta^{238}\text{U}$  shifts. An overall increasing size of the intermediate reducing U sink, including modern-  
408 type dynamic OMZ settings and persistently suboxic settings overlying anoxic sediments, should create a  
409 higher  $\delta^{238}\text{U}$  baseline than an ocean dominated by a sustained euxinic sink. Plotting a compilation of availa-  
410 ble carbonate  $\delta^{238}\text{U}$  data from late Ediacaran to Cambrian times (Fig. 4) shows, despite considerable variabil-  
411 ity in the data, a higher  $\delta^{238}\text{U}$  baseline (reflected in median, mean, and nadirs of negative excursions) during  
412 the Cambrian than the Ediacaran. The  $\delta^{238}\text{U}$  data from this study are consistent with this higher baseline.

#### 413 **4.5. Plausible climatic and oceanic drivers**

414 Unlike all the reported cases with covarying negative  $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$  shifts (Lau et al., 2017; Jost et al., 2017;  
415 Zhang et al., 2019, 2020), there is no evidence for either significant injection of isotopically light carbon  
416 (e.g., volcanic degassing, oxidization of methane hydrates or DIC pool) or warming during the TOCE Event.  
417 Although weathering of ‘old carbon’ may have contributed to the negative  $\delta^{13}\text{C}$  shift, it is unlikely that those  
418 recalcitrant sedimentary carbon could have significantly increased the atmosphere  $p\text{CO}_2$  and global tempera-  
419 ture. On the contrary, as mentioned in section 4.2, there is evidence for sea-level fall and thus cooling associ-  
420 ated with the TOCE nadir.

421 With generally high  $p\text{CO}_2$  levels, the relationship between the extent of anoxia and  $p\text{CO}_2$  is highly non-lin-  
422 ear, and both cooling and warming can induce expansion of anoxia (modeling results shown in Fig. 2 of Pohl  
423 et al., 2021). The trends for deep and upper ocean redox conditions in response to cooling can be divergent,  
424 such as the cases of the last glacial maximum (LGM, Jaccard and Galbraith, 2012) and end-Ordovician (Bart-  
425 lett et al., 2018; Pohl et al., 2021). Cooling increases oxygen solubility and oxygenates the upper ocean  
426 (<1500 m, Riedinger et al., 2021). However, less efficient ocean circulation transporting oxygen to depth  
427 (i.e., ventilation) and/or slowing down of the degradation of sinking organic matter (i.e., biological pump)  
428 could deoxygenate the deep ocean (Sigman et al., 2010; Matsumoto, 2007). Therefore, we prefer scenario A  
429 in Fig. 3 as better fitting the ocean redox changes during the TOCE Event, with a spread of both anoxic (pos-  
430 sibly in deep ocean) and oxic areas (possibly in shallower ocean). When the magnitude of a  $\delta^{238}\text{U}_{\text{OSW}}$  nega-  
431 tive excursion is a known fixed value, a higher  $f^{\text{A}}_{\text{inter}}$  baseline requires a larger expansion of euxinia to com-  
432 pensate for the inefficiency of the intermediate reducing sink in burying the heavier  $^{238}\text{U}$  isotope during the  
433 negative  $\delta^{238}\text{U}$  shift. As demonstrated in Fig. 3, when the  $f^{\text{A}}_{\text{inter}}$  evolves to decrease by 20% in both scenarios,  
434 scenario A with a smaller initial  $f^{\text{A}}_{\text{inter}}$  (30%) requires  $f^{\text{A}}_{\text{eux}}$  to be ca. 10%, whereas scenario B with a larger  
435 initial  $f^{\text{A}}_{\text{inter}}$  (60%) requires  $f^{\text{A}}_{\text{eux}}$  to be ca. 23%. In scenario B, the increase in  $f^{\text{A}}_{\text{eux}}$  is comparable to the de-  
436 crease in  $f^{\text{A}}_{\text{inter}}$ , which is inconsistent with the negative  $\delta^{13}\text{C}$  shift observed. Considering that the modern deep  
437 ocean (water depth >1500 m) comprises 86% of the total ocean area, the intermediate reducing conditions  
438 have to be largely distributed in the deep ocean for scenario B with a higher  $f^{\text{A}}_{\text{inter}}$  baseline (60%). In contrast,  
439 our preferred scenario A with a lower  $f^{\text{A}}_{\text{inter}}$  baseline (30%) implies relatively limited distribution of interme-  
440 diate reducing conditions, probably in areas covered by the oldest and most  $\text{O}_2$ -deficient bottom seawater  
441 and/or related with eastern boundary upwelling and thus high primary productivity. Slower ventilation and/or  
442 a more efficient biological pump during cooling would have promoted euxinia in those areas.



443

444 Figure 4:  $\delta^{238}\text{U}$  in carbonates from late Ediacaran to Cambrian. A)  $\delta^{238}\text{U}$  data measured in this study are colored in blue. Grey data points are literature data compiled by Chen et al. (2021). Circles are data greater than the riverine input value ( $-0.24\text{‰}$ , note that the highest estimate compiled by Andersen et al. (2016) is used as the criteria) marked by the black dashed line. The blue dashed line marks the modern seawater value ( $-0.39\text{‰}$ , Andersen et al., 2016). The red lines are the medians of late Ediacaran and Cambrian, excluding values higher than the riverine input value. B) Probability distribution plots of  $\delta^{238}\text{U}$  values of late Ediacaran (yellow,  $n = 229$ ) and Cambrian (green,  $n = 172$ ), excluding values higher than the riverine input value. The mean  $\delta^{238}\text{U}$  value (red dots) increased significantly (a one-sided  $t$ -test yields a  $p$ -value of  $4.4 \times 10^{-7}$ ) from late Ediacaran to Cambrian.

453 Although the deep ocean can be deoxygenated, both organic carbon burial and intermediate reducing conditions tend to focus towards (on or near) the continental margin, because of higher nutrient availability (from river or eastern boundary upwelling) and higher preservation potential in areas with higher sedimentation rates (Bernier, 1982). Therefore, sea level changes can significantly affect the extent of intermediate reducing conditions and the accommodation space for organic carbon burial, and thus contribute to the positive  $\delta^{13}\text{C}$

458 vs.  $\delta^{238}\text{U}$  correlation. Such effects could have been more exacerbated during Cambrian Stage 10, because sea  
459 level is estimated to be more than 100 m higher than today (Miller et al., 2005), and marginal/epeiric seas  
460 were extensive (see the paleogeographic map reconstructed by Scotese (2021) in Fig. 1A).

461 The more doctrinal convergent trends in deep and upper ocean redox conditions during a warming/transgres-  
462 sive interval would have increased the extent of both anoxic and intermediate reducing settings, and been re-  
463 flected by a more usual negative  $\delta^{13}\text{C}$  vs.  $\delta^{238}\text{U}$  correlation, such as observed from the onset of the SPICE  
464 (Dahl et al., 2014). However, the upper part of the positive  $\delta^{13}\text{C}$  shift of SPICE is associated with a positive  
465  $\delta^{238}\text{U}$  shift and a regression (Saltzman et al., 2000; Dahl et al., 2014; Egenhoff et al., 2015), which is ex-  
466 plained by increasing deltaic sinks for both C and U by Dahl et al. (2014). We propose that it may also have  
467 been due to oxygenation of former anoxic areas and increasing intermediate reducing settings after massive  
468 reductant (organic carbon and pyrite) burial (Gill et al., 2011; Saltzman et al., 2011) and cooling. It is note-  
469 worthy that those positive shifts of both  $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$  start with an end-of-biomere extinction, follow an  
470 ongoing positive  $\delta^{13}\text{C}$  shift, and are associated with a regression, while the scenario for the rising limb of  
471 TOCE is quite the opposite for all those aspects.

#### 472 **4.6. Implications for the terminal Cambrian extinction**

473 We propose that the shifts to higher values for both  $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$ , following nadirs, at the terminal Cam-  
474 brian extinction level, resulted from an expansion of intermediate reducing settings and a concurrent reduc-  
475 tion of anoxic (euxinic) settings. This apparently contradicts the traditional hypothesis that anoxia was the  
476 ‘killing mechanism’ for the end-of-biomere extinctions. An expansion of persistent anoxic euxinia would  
477 have exterminated both shallow- and deeper-water fauna, and inhibited animal diversification (e.g., end-Per-  
478 mian extinction). However, such a scenario contradicts the observations that: 1) Benthic faunas and/or biotur-  
479 bation occur in worldwide successions during the SPICE interval encompassing the first and second end-of-  
480 biomere extinctions (Wotte and Strauss, 2015; Egenhoff et al., 2015); 2) Abundant epifaunal orthid brachio-  
481 pods co-occurred with the olenimorphs during the second and third end-of-biomere extinctions (Taylor,  
482 2006); 3) All the end-of-biomere extinctions had both high diversification and high extinction rates (Fortey,

483 1989), and shoreward extending deeper-water benthos, such as olenid trilobites, show a significant higher  
484 survival than endemic shelf taxa (Stitt, 1975; Palmer, 1984; Westrop and Ludvigsen, 1987). By contrast, an  
485 expansion of intermediate reducing conditions would remove onshore high-O<sub>2</sub>-obligatory incumbents, while  
486 giving opportunities to the offshore low-O<sub>2</sub>-tolerant fauna (Hallam et al., 1989), such as olenimorphs and  
487 brachiopods (Farrell et al., 2011). Moreover, intermediate reducing conditions may also reinforce evolution-  
488 ary innovations (Wood and Erwin, 2018). It is noteworthy that the innovation-promoting ‘anoxia’, with DO <  
489 ~0.5 mL/L and/or dynamic redox, used by Wood and Erwin (2018; see section “II. Low-oxygen habitats:  
490 ecology and evolution”), is almost equivalent to the ‘intermediate reducing’ term used herein, rather than to  
491 persistent anoxia or euxinia.

492 Importantly, the loci of reducing conditions are even more pertinent to the extinctions/turnovers than their  
493 areal extents. A negative  $\delta^{238}\text{U}$  shift is observed to *coincide* with the end-Marjumiid Biomere extinction  
494 (Dahl et al., 2014) and *precede* the terminal Cambrian end-Ptychaspid Biomere extinction (this study), re-  
495 spectively, which indicates an expansion of anoxia possibly occurring in the deep ocean for both cases. How-  
496 ever, the former case may have also been associated with increasing intermediate reducing conditions killing  
497 shelf animals, while animals can still thrive in the oxic shallow ocean for the latter case (similar to the sce-  
498 nario for terminal Ediacaran proposed by Tostevin et al., 2019). The later positive shifts of both  $\delta^{13}\text{C}$  and  
499  $\delta^{238}\text{U}$  for the latter case is associated with transgression (Miller et al., 2015), and possibly also warming.  
500 Both warming and transgression induce upward and landward movements of OMZ (Riedinger et al. 2021),  
501 which may progressively invade the shelf areas and finally trigger the terminal Cambrian extinction. Alt-  
502 hough the direction of sea-level change at the exact time of the terminal Cambrian extinction is still in dis-  
503 pute (see section 4.3), the invasion of OMZ over the shelf could have been geologically transient and blurred  
504 in geological records, but still sufficient to kill.

## 505 **5. Conclusions**

506 Wa’ergang section  $\delta^{238}\text{U}$  data, spanning an interval that includes the terminal Cambrian extinction event, ex-  
507 hibit a negative excursion parallel to the TOCE carbon isotope event. This kind of covariation between  $\delta^{13}\text{C}$

508 and  $\delta^{238}\text{U}$  has only rarely been reported and discussed, and is usually attributed to global warming which is  
509 inconsistent with evidence for a regression at the nadir of the TOCE. As with other end-of-biomere extinc-  
510 tions, the terminal Cambrian extinction level is associated with a positive  $\delta^{13}\text{C}$  shift (i.e., rising limb of the  
511 TOCE Event). However, unlike some of the end-of-biomere and many major Phanerozoic extinctions, which  
512 were also linked to anoxic events based on S (positive  $\delta^{34}\text{S}$  shift) and/or U (negative  $\delta^{238}\text{U}$  shift) isotope data,  
513 the terminal Cambrian extinction horizon is associated with a positive  $\delta^{238}\text{U}$  shift, which is generally consid-  
514 ered to indicate ocean oxygenation.

515 Positive  $\delta^{13}\text{C}$  vs.  $\delta^{238}\text{U}$  correlation can be explained by intermediate reducing conditions that are likely to  
516 have been widespread during the late Cambrian with low atmospheric  $p\text{O}_2$  and a greenhouse climate. A  
517 higher  $\delta^{238}\text{U}$  baseline in Cambrian compared with late Ediacaran oceans is consistent with the increasing im-  
518 portance of the intermediate reducing sink.

519 Coeval negative  $\delta^{13}\text{C}$  and  $\delta^{238}\text{U}$  excursions could have driven by divergent trends in deep and upper ocean  
520 redox conditions in response to climate changes, as well as the waxing and waning of the accommodation  
521 space for organic carbon burial due to sea-level changes. The rising limbs of those isotope excursions, at the  
522 terminal Cambrian extinction level, could have resulted from an increase in the intermediate reducing sink  
523 for both C and U. An expansion of intermediate reducing settings, rather than anoxic euxinia, is more con-  
524 sistent with the presence of benthic faunas and biogeographical changes associated with not only the terminal  
525 Cambrian, but also other late Cambrian end-of-biomere extinctions.

526 A shift in the  $\delta^{238}\text{U}$  value indicates a change in the extent of anoxia, it may co-occur with a change in the ex-  
527 tent of intermediate reducing conditions. The loci, rather than merely the extents, of those reducing condi-  
528 tions are particularly pertinent to the biotic effects. Therefore, both positive and negative shifts in  $\delta^{238}\text{U}$  have  
529 been linked with extinction events. Progressive understanding about geochemical proxies and the nature of  
530 specific biotic events should be combined to keep testing the proposed cause-and-effect linkage between past  
531 environmental changes and biological evolution.



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