

# Modelling the ecological impacts of tidal energy barrages

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## ABSTRACT

Tidal energy has the potential to form a key component of the energy mix of a number of countries, including the UK. Nonetheless, the deployment of tidal energy systems is associated with potential environmental impacts as prime resource sites often coincide with unique ecosystems inhabited by sensitive organisms. Preceding studies have generally focused on the hydrodynamic impact of tidal energy schemes, i.e. how schemes alter the flow dynamics and sedimentary transport processes. Whilst these efforts are key in understanding environmental impacts, there is no straightforward step for translating sediment to faunal changes. Species distribution models offer methods to quantitatively predict certain possible impacts of tidal energy extraction. The River Severn is a distinguished candidate region for tidal energy in the UK featuring sites under stringent ecological protection regulations. We examine the impact of a proposed Severn tidal barrage on 14 species via the linking of hydrodynamic modelling to species distribution models. Through a selection of species that are linked via a simple food web system we extrapolate changes in prey species to the respective predator species. We show that species at lower trophic levels are adversely affected by the barrage, but higher trophic level organisms increase in possible habitable area. Once food web relationships are acknowledged this increase in habitat area decreases, but is still net positive. Overall, all 14 species were affected, with most gaining in distribution area, and only four losing distribution area within the Severn Estuary. We conclude that a large-scale tidal barrage may have detrimental and complex impacts on species distribution, altering food web dynamics and threatening food availability in the Severn Estuary. The methodology outlined herein can be transferred to the assessment and optimisation of prospective projects globally to aid in the sustainable introduction of the technology.

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## 1. Introduction

Marine renewable energy systems have been in the industry spotlight by investors and developers (Borthwick, 2016) due to incentives facilitating methods of producing carbon free energy. This is motivated by international commitments to mitigate anthropogenic induced climate change (Shields et al., 2011). In 2015, the United Nations Framework Convention on Climate Change (UNFCCC) adopted the Paris Agreement. As a consequence, the UK declared the country would make changes to contain global temperature increase at 2°C, whilst pursuing efforts to limit the increase to below 1.5 °C (Anderson and Peters, 2016). Tidal-based marine renewable energy systems are highly attractive in the UK as the country has one of the largest marine energy resources in the world (Neill et al., 2018) and represents approximately 50% of Europe's total tidal capacity (Greenmatch, 2017).

The use of tidal renewable energy systems can affect tidal patterns in the far-field, i.e. at relatively large distances from the site where energy is produced, and therefore a comprehensive assessment on the ecological impacts is necessary (du Feu et al., 2017). Most forms of tidal energy that include the use of marine energy technologies placed in the water will have an effect on the marine environment and by extension the surrounding ecosystem. Tidal technologies affect the currents, waves and sediment dynamics of an estuary and therefore have an impact on benthic species living in both the sediment and the water column (Frid et al., 2012). Furthermore, a multitude of hydrodynamic parameters are influenced by the introduction of a tidal energy system, such as sediment transport, salinity, and concentration of dissolved oxygen as summarised in the review of Kadiri et al. (2012).

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The scale and lifetime of the renewable energy technology and the surrounding marine environment are controlling factors on the extent of these impacts (El-Geziry et al., 2009). Previous studies regarding tidal range energy have generally focused on hydrodynamic impacts (Neill et al., 2009; Xia et al., 2010a; Martin-Short et al., 2015) and resource interactions (Lewis et al., 2017), extending to the quantification of suspended sediment transport flux changes (Gill, 2005; Shields et al., 2011; Falconer et al., 2018). A recent study by du Feu et al. (2019) employed simplified ecological modelling coupled to an adjoint optimisation solver to explore the interplay between maximising power and reducing environmental impact, concentrating on bed shear stress as a driver of environmental impact. Nevertheless, to-date there has been little research on the impacts tidal energy systems could have on food web dynamics by accounting for the changes in trophic links within an environment. This is also an aspect that can be carried out via ecological modelling.

Ecological modelling is a vital tool to assess the effect of potential environmental changes on organisms in an area of interest. A number of methods, including habitat suitability modelling, climate envelope modelling, and species distribution modelling (SDM) can be used (Lobo et al., 2010). SDM frequently obtains the realised and potential distribution of a species, and has become a fundamental and common practice in biogeography and biodiversity research over the last 10 years (Lobo et al., 2010; Araújo and Guisan, 2006). SDM can thus provide a way of predicting the impact tidal energy systems may have on a range of species based on environmental layers provided by hydrodynamic models. SDM explores the dynamic relationship between the geographical occurrence rate of species and corresponding environmental variables (Naimi and Araújo, 2016) and offers a means to numerically predict the future distribution of a species. The two prominent approaches to SDM are either modelling species individually or modelling community information (Hallstan et al., 2012). Studies have shown that modelling species individually generally gives higher predictive accuracy (Hallstan, 2011). Here, we focus on Maximum Entropy (hereby MaxEnt), a 2D machine learning method, to predict the distribution of species using environmental indicators and species occurrence points (Phillips and Dudik, 2008). MaxEnt operates by assessing the probability of the distribution of a species, based on data input into the model via environmental layers/variables (Merow et al., 2013). The modelled distribution is produced as a raster, with model predictions based on the probability of a species occurring in a particular grid cell, which is dependent on the environmental variables used as input to the MaxEnt model. MaxEnt is an accessible and reliable method of modelling the distribution of multiple species while using presence-only data (Yackulic et al., 2013). It has been used across a range of previous studies covering a range of taxa, including: least killifish (Bagley et al., 2013), desert mistletoe (Lira-Noriega et al., 2013), and seaweed meadows (Jueterbock et al., 2013). In the marine realm SDM are less well used as there are particular issues with accounting for feeding and ontological changes (Robinson et al., 2011). We attempt to address the first of these in this work.

The Bristol Channel and the Severn Estuary have been the focus of a number of tidal energy proposals, with a recent one being a pilot (or pathfinder) scale tidal lagoon in the Swansea Bay area (TLP, 2017). Due to the unique and sensitive nature of the Severn Estuary, construction of a tidal energy system would need to be carefully designed and constructed to minimise impact on these unique habitats (Angeloudis and Falconer, 2017). The scale of the impact depends on the habitat from which the tidal energy is extracted and hence ecological impact assessments have to be site-specific. Here, we configure hydrodynamic models of the Severn Estuary and assess the impact of a Severn Barrage design. We then demonstrate a methodology for assessing the potential ecological impacts of such marine infrastructure. We initially employ the hydrodynamic model outputs to construct a set of environment layers which are fed into an SDM model of the Bristol Channel and Severn Estuary. We sequentially examine changes in the distribution of 14 species which can be linked in a simple food web and examine if accounting for trophic links exacerbates or lessens the predicted impacts.

## 1.1. The Severn Estuary

The Severn Estuary represents a unique environment across England and Wales, featuring one of the largest coastal plains in the country (Potts and Swaby, 1993; Kirby and Parker, 1983). The inner Severn Estuary hosts the second highest tidal range in the world (~14m) (Bird, 2008), amplified by tidal resonance effects attributed to the estuarine geometry. This tidal range encompasses a substantial amount of marine energy in the transition between low and high tides. The estuary has a wide variety of environments which include littoral mudflats, sublittoral sand banks, and gravel/muddy areas. Due to the vast assortment of conditions the estuary has been designated a Ramsar site (wetland site of international importance), Special Protected Area (SPA) and Special Areas of Conservation (SAC) site, to securely protect the environment for key species (Natural England & the Countryside Council for Wales, 2009). The wide array of habitats provide vital nurseries, feeding and breeding grounds for adult and juveniles of the 111 listed

fish species inhabiting the estuary, which makes it one of the most diverse ecosystems in the UK (Henderson and Bird, 2010).

The potential of tidal energy technologies in the Severn can be demonstrated by the multiple high profile projects under investigation Neill et al. (2018), including designs for a Severn Barrage that could individually deliver 5-10% of the country's electricity needs Baker (1987). Consecutive proposals for barrage variants in the Severn Estuary were dismissed and denied planning permission, due in part to environmental concerns and economic challenges as with similar schemes worldwide. The latest breakthrough in the industry was delivered through the tidal lagoon concept and in particular the 320 MW Swansea Bay tidal lagoon proposal TLP (2017), which aimed to balance project economics and environmental concerns by proposing an artificial lagoon pilot scheme in the Swansea Bay area within the Bristol Channel. The most recent UK Government review by Hendry (2017) was highly supportive of the tidal lagoon technology highlighting how a Swansea Bay based pathfinder project would be followed by a series of complementary larger projects in the Severn Estuary and the Irish Sea. Contrary to the findings of the technical review, the UK Government proceeded in 2018 to dismiss the Swansea Bay tidal lagoon proposal questioning its value, citing nuclear and offshore wind options as more competitive TLP (2018).

The environmental factors at play in the Severn Estuary, such as the large tidal range and the wide variety of species, make it an ideal research site for examining the potential effect of a tidal range structure on the distribution of native species. To do this we employ the classical case study of the Severn Tidal Power Group (STPG) scheme for a Cardiff-Weston barrage, which is one of the largest tidal power proposals ever considered (Neill et al., 2018). The proposed tidal barrage spans ~16 km from Lavernock Point to Brean Down and encloses an area of ~573 km<sup>2</sup>. More information on the particular scheme has been extensively reported in the literature, particularly with regards to the assessment of the potential energy output (Xia et al., 2012), hydrodynamic impacts (Xia et al., 2010b; Zhou et al., 2014; Bray et al., 2016; Falconer et al., 2018) and the development of numerical models that are tailored to the particular application, e.g. Angeloudis et al. (2016a).

## 2. Methodology

In quantifying the potential ecological impact of the proposed tidal barrage on species in the Severn Estuary, the spatial distribution of 14 indicative species were selected on the basis of a range of habitat types and modes of life, but are interconnected via a relatively simple food web. Changes in hydrodynamics induced by the introduction of a barrage were calculated using a nonlinear shallow water equation based tidal model, *Thetis*, and were processed to form several environmental layers for the Severn Estuary. SDM was used to predict the present day and future distribution of species in the presence of a tidal barrage. Statistical analysis further assessed the change in species distribution before and after the installation of the tidal barrage, thus quantifying the ecological impact.

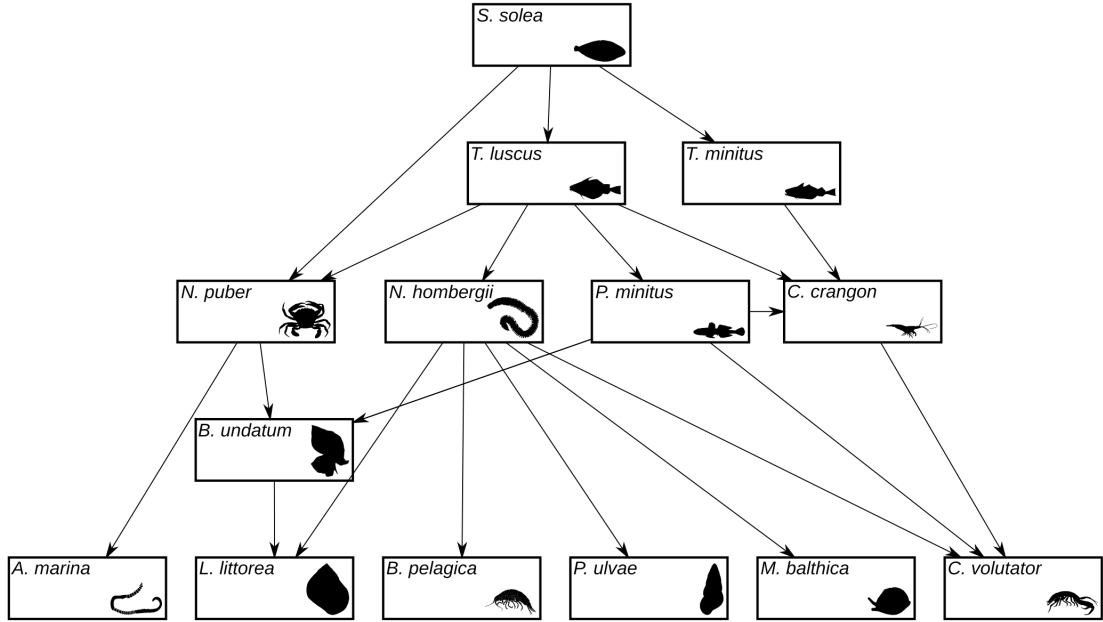
### 2.1. Modelled Species

The species modelled are summarised in Table 1, and are linked together through the food web system shown in Fig. 1, which illustrates their inter-dependencies as food sources. This research analysed 14 species that comprise a complex food web which shows different tiered relationships, but yet remains manageable to model and analyse. Thus the approach ensures that the potential effect marine renewable energy systems may inflict on a food web system is highlighted. The species data used in this study was derived from an online database, the National Biodiversity Network (<https://NBNatlas.org>), that collects location data for multiple species across the UK. All species were chosen to have a minimum of 50 data entries within the area of interest.

### 2.2. Coastal ocean modelling

Numerical models that simulate coastal ocean processes can be used to predict the altered hydrodynamics caused by the introduction of marine infrastructure. In this case we employ *Thetis* (Kärnä et al., 2018), a (2-D and 3-D) flow solver for simulating coastal and estuarine flows (Angeloudis et al., 2018; Pan et al., 2019; Vouriot et al., 2019), implemented using the *Firedrake* finite element Partial Differential Equation (PDE) solver framework (Rathgeber et al., 2016). For this work *Thetis* is configured to solve the nonlinear shallow water equations in non-conservative form:

$$\frac{\partial \eta}{\partial t} + \nabla \cdot (H_d \mathbf{u}) = 0, \quad (1)$$



**Figure 1:** Food web of the 14 species modelled, which shows the tiered relationships that are assessed.

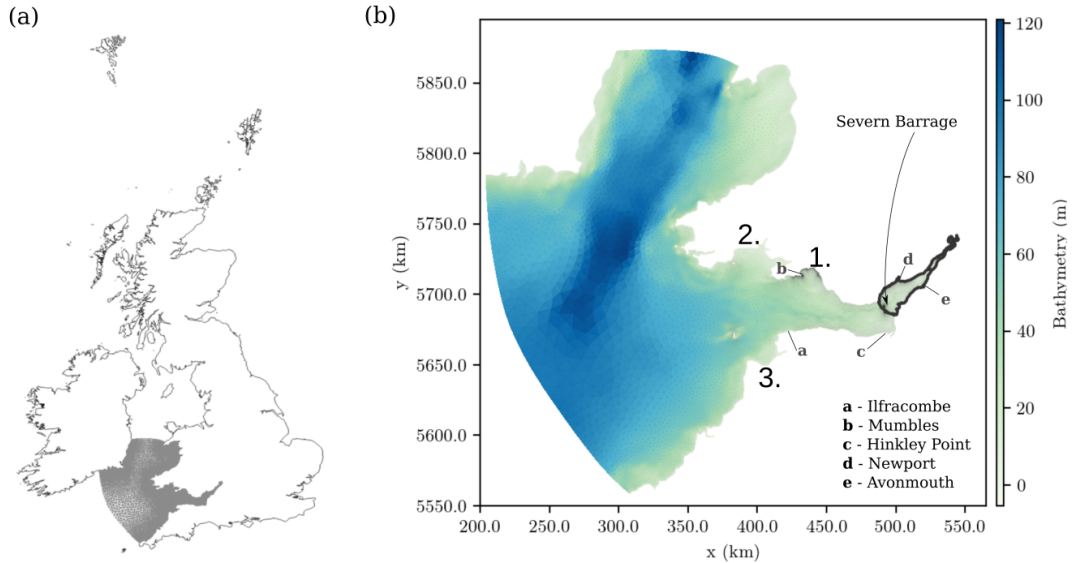
$$\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} - \nu \nabla^2 \mathbf{u} + f \mathbf{u}^\perp + g \nabla \eta = -\frac{\tau_b}{\rho H_d}, \quad (2)$$

where  $\eta$  is the water elevation relative to a fixed datum,  $H_d$  is the total water depth,  $\nu$  is the kinematic viscosity of the fluid, and  $\mathbf{u}$  is the depth-averaged velocity vector. The Coriolis term is represented as  $f \mathbf{u}^\perp$ , where  $\mathbf{u}^\perp$  the velocity vector rotated counter-clockwise over  $90^\circ$ . In turn,  $f = 2\Omega \sin \zeta$  with  $\Omega$  corresponding to the angular frequency of the Earth's rotation and  $\zeta$  the latitude. Bed shear stress ( $\tau_b$ ) effects are represented through the Manning's  $n$  formulation as:

$$\frac{\tau_b}{\rho} = gn^2 \frac{|\mathbf{u}| \mathbf{u}}{H^{\frac{1}{3}}}. \quad (3)$$

where  $n$  is the Manning's friction coefficient. Intertidal wetting and drying processes are represented according to the formulation of Kärnä et al. (2011). The model is implemented using a discontinuous Galerkin finite element discretisation (DG-FEM), using the  $P_{1DG} - P_{1DG}$  velocity-pressure finite element pair. A semi-implicit Crank-Nicolson timestepping approach is applied for temporal discretisation with a constant timestep of  $\Delta t = 100$  s. Finally, the discretised equations are solved using a Newton nonlinear solver algorithm via the PETSc library (Balay et al., 2016).

The *Thetis* setup stems from preceding research on tidal power plant assessments (Angeloudis et al., 2018; Harcourt et al., 2019). The computational domain was extended from the confines of the Bristol channel that are normally used for the assessment of more smaller tidal lagoon schemes. This expansion was deemed essential given the scale and expected impacts of a Severn Barrage. Notably, earlier studies have extended the domain even further, and up to the continental shelf (Zhou et al., 2014) with room for more sensitivity analyses to be performed in resolving the open



**Figure 2:** (a) Superimposed coastal model domain relative to the UK and (b) coastal model bathymetry interpolated from the dataset of (Edina Digimap Service, 2014) featuring an 1 arc-second resolution ( $\sim 30$  m). The coordinates above are based on a UTM zone 30N projection (spatial reference EPSG:32630). Adapted from Angeloudis (2019). Numbers indicate locations mentioned in the main text: 1. Swansea Bay, 2. Carmarthen Bay, 3. Devon coastline.

boundary problem for varying designs. The computational mesh was generated following an unstructured meshing methodology using *qmesh* and *Gmsh* (Geuzaine and Remacle, 2009) as described in Avdis et al. (2018). The domain's extent and discretisation are illustrated in Fig. 2 for completeness.

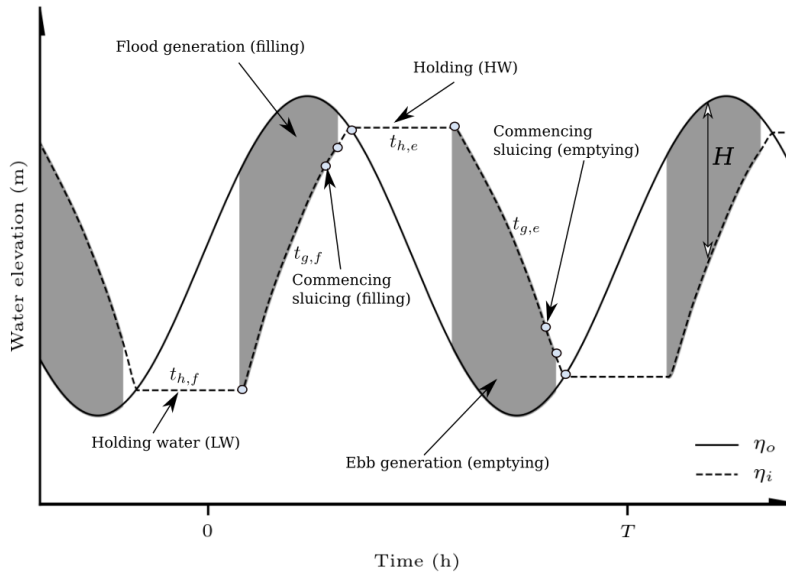
The simulated periods spanned a month (6/5/2003 to 6/6/2003) following a preliminary 5-day spin-up period that ensured independence of the predictions from the model's initial equilibrium conditions. Hydrodynamics were forced at the seaward boundaries through weakly imposed elevation boundaries based on the leading eight constituents from the TPXO tidal harmonic database (Egbert and Erofeeva, 2002), together with average flux boundaries at inland boundaries representing significant river inflows as per the UK National River Flow Archive. A Manning's number of  $n = 0.018 \text{ s/m}^{1/3}$  was imposed across the domain with more details on the validation of the extended domain reported in Angeloudis (2019) and omitted here for brevity.

### 2.3. Tidal power plant modelling

Tidal range energy refers to the potential energy contained in the transition of the water height between low and high tides. A tidal power plant regulates the operation of turbines and sluice gates to facilitate a head difference  $H$  across its two sides, defined as the difference between the inner ( $\eta_i$ ) and the outer water ( $\eta_o$ ) levels at the location of the turbines. There are varying strategies for the operation of tidal power plants (Bernshtein, 1961; Burrows et al., 2009), with a bidirectional operation with/without pumping intervals being the preferred options for most current proposals. This is due to advantages relating to the enhanced power output, wider distribution of power and reduced environmental impacts (Waters and Aggidis, 2016). Here, no pumping is performed in the hydrodynamic model for simplicity. Fig. 3 summarises the operation for the Severn Barrage modelled in this study, that featured a capacity of 8640 MW and a cross-sectional surface area of  $35000 \text{ m}^2$  consistent with previous modelling studies Xia et al. (2010a); Falconer et al. (2009). The representation of the tidal power plant within the *Thetis* hydrodynamics solver was implemented through a domain decomposition methodology detailed in Angeloudis et al. (2016b, 2018).

### 2.4. Species Distribution Modelling

MaxEnt was employed via the *dismo* R package (Hijmans et al., 2017) to create all SDMs. Two analyses were carried out: one considering the Severn Estuary without the barrage and one which included the proposed barrage. Each script loads the obtained presence data and relating environmental layers (see next section) for each species. The model then analyses these presence points against 20,000 (3% of all data cells) randomly selected pseudo-absence

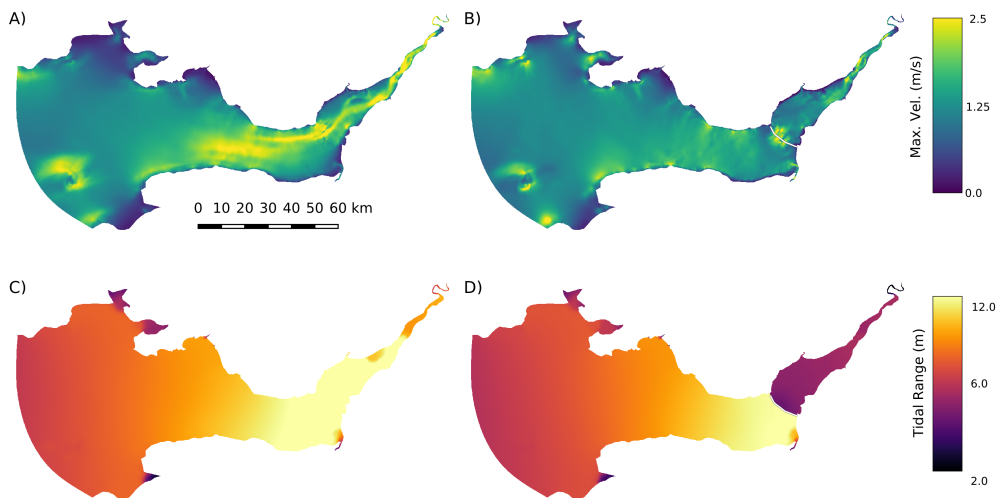


**Figure 3:** Two-way operation schematic for a conventional tidal power plant over an  $M2$  tidal period  $T = 12.42\text{h}$ , illustrating typical modes of operation. The grey shaded areas indicate periods when power is generated.  $t_{h,e}, t_{h,f}, t_{g,e}, t_{g,f}$  comprise operational controls used in the algorithm presented in Angeloudis et al. (2018) for a two-way operation sequence. For the generic “fixed-control” (Harcourt et al., 2019) operation these were set to  $t_{h,e} = t_{h,f} = 3.25\text{h}$   $t_{g,e} = t_{g,f} = 3.0\text{h}$  respectively.

points. We also ran with 2,000 randomly selected background points to assess sensitivity to pseudo-absence points. There was no significance difference in results and data from these is contained in the supplementary information. In order to validate each SDM, we performed a 5-way k-fold test, and an assessment of the Area Under Curve value (AUC) for both the individual k-fold tests as well as the complete model. We also examined the Spatial Sorting Bias (SSB) to check the coverage of our presence point data. All AUC tests produced a value of over 0.75 and all SSB tests gave a value close to 1.0, which are considered adequate for producing a useful SDM result (Araujo et al., 2005; Hijmans, 2012), though we note that AUC is an imperfect measure of model performance and hence we ensured each species had at least 25 data points after filtering (van Proosdij et al., 2016). The output of MaxEnt modelling is a prediction showing the expected probability of the species being present. The modelling process was repeated for both analyses using the appropriate environmental layers and again accounting for the presence of prey species according to the food web shown in Fig. 1.

In order to create a MaxEnt model for each species, we first need to create a number of environment layers. These were created by extracting data from the hydrodynamic models and interpolating those data from the unstructured mesh onto a regular raster grid (100 m resolution, 1,717,725 grid cells in total with 675,941 containing data) as required by MaxEnt. Layers are either trivially derived from the model (e.g. bathymetric depth) or require averaging or other calculations over the simulation duration (e.g. average speed). The layers and descriptions are summarised in Table 2. For each species used in this study, preliminary work ascertained which environmental layers produced strong response functions by systematically removing the environmental layers for which the response function was constant. These response functions are calculated by the MaxEnt algorithm and are used to calculate the probability of a species occurring in a location as a function of the values in the environment layer; in essence they are the probable effect of an environmental layer on habitat suitability probability for an individual species. The combination of layers for each species are outlined in Table 3. Presence predictions for primary consumers have also been used as environmental layers for secondary and tertiary consumers to incorporate the impact of prey species on predators as per the food web in Fig. 1. Results for this analysis are reported separately.

## Impacts of tidal energy barrages



**Figure 4:** Examples of the changes in the hydrodynamic layers used as input to the SDMs. The maximum velocity is shown in A. (without barrage) and B. (with barrage) where there is a large decrease across the whole estuary, with only some coastal areas not showing a decrease. Tidal range (C – without barrage and D – with barrage) shows a distinct decrease landward of the barrage.

### 3. Results

The introduction of a Severn barrage has a significant effect on the hydrodynamics of the region (Fig. 4). There are major changes landward of the barrage with a decrease in tidal range, as well as the maximum and average velocities. Consequently there is a shift in areas that are classified as inter- and sub-tidal. Tidal range changes are not immediately evident seaward of the barrage, though some bays do see a small increase in tidal range, such as in the Swansea Bay area. Combined, these hydrodynamic changes affect the entire region of interest.

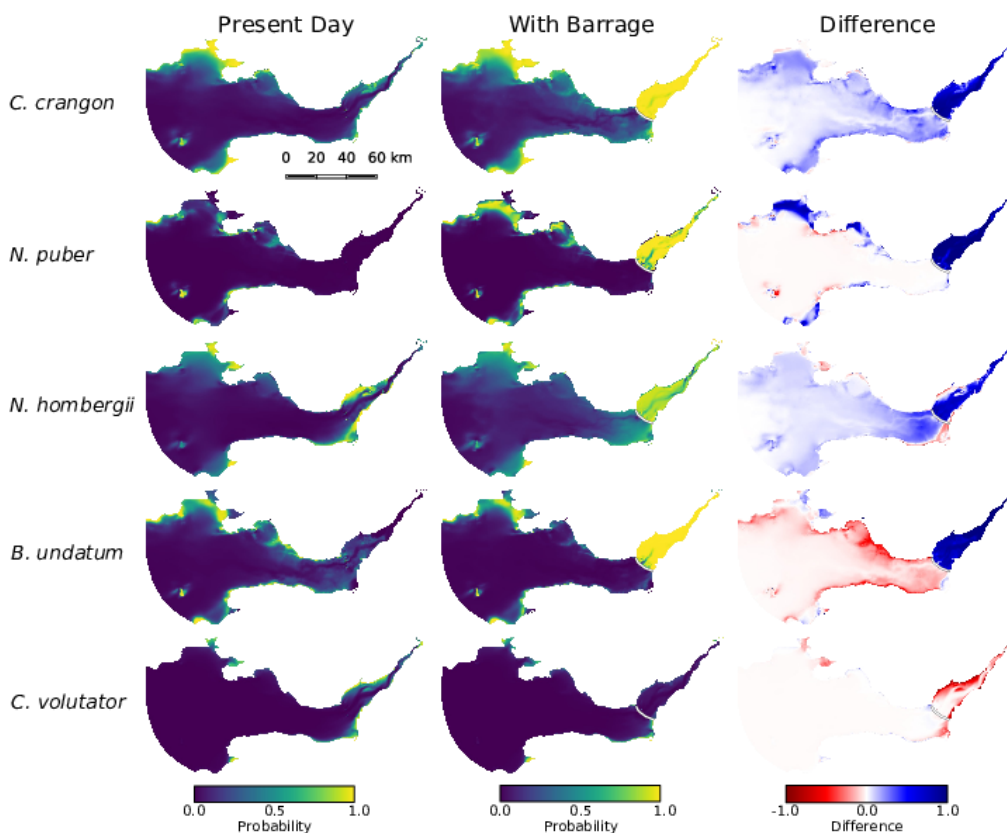
Overall the impact of the barrage is to increase the habitat availability *landward* of the barrage for most species. Only a few species (described below) show a reduction in suitable habitat in this region. Coastal regions show a mixed picture with some species showing an increase in species suitability and some a decrease. Overall, most species increase in habitat suitability area, with only a few showing a decrease. Analysis of area changes highlight a range of responses across the 14 modelled species (Table 4). Some species have a maximum loss of 283.3 km<sup>2</sup> (45.1% loss) (*C. crangon*), whereas other species have a maximum area gain of 1157.92 km<sup>2</sup> (124.4% gain) (*L. littorea*). This variation in increase/decrease for all 14 species (Table 4) suggests the barrage will have a wide range of impacts on species distribution and prevalence. Overall, there is a net gain of 5202.63 km<sup>2</sup> (38.44%) in species area cover across the estuary after barrage installation.

The barrage has clear implications on distributions of benthic species such as *C. volutator* and *C. crangon*. For *C. volutator*, a decrease in species distributions is generally observed across the estuary, with very few areas where species distributions is predicted to increase (Fig. 5). Whereas, for *C. crangon* a significant increase in species distributions is shown across the whole estuary, with only minor decreases along the Welsh coast, for example in Swansea Bay (Fig. 5). It is noticeable that the barrage creates a large habitat area landward of the barrage for *C. crangon* and *N. puber* where these organisms see a large increase in habitable area. Spatially, there are some areas which experience a decrease in habitat suitability. Swansea Bay, for example, shows a decrease for all benthic organisms, except *N. puber*.

Out of the ten benthic species modelled in this research, four had applied prey species as environmental layers. When accounting for prey species in modelling, benthic species distributions continue to increase in area, but the increase is smaller. When modelling without the use of prey species as environmental layers, an increase in species distributions was noted behind the barrage.

Pelagic species are known to be problematic in SDMs (Robinson et al., 2011). However, two (*S. solea* and *T. luscus*) of the four pelagic species are demersal. *T. luscus* and *P. minutus* show similar patterns of change with a large

### Impacts of tidal energy barrages

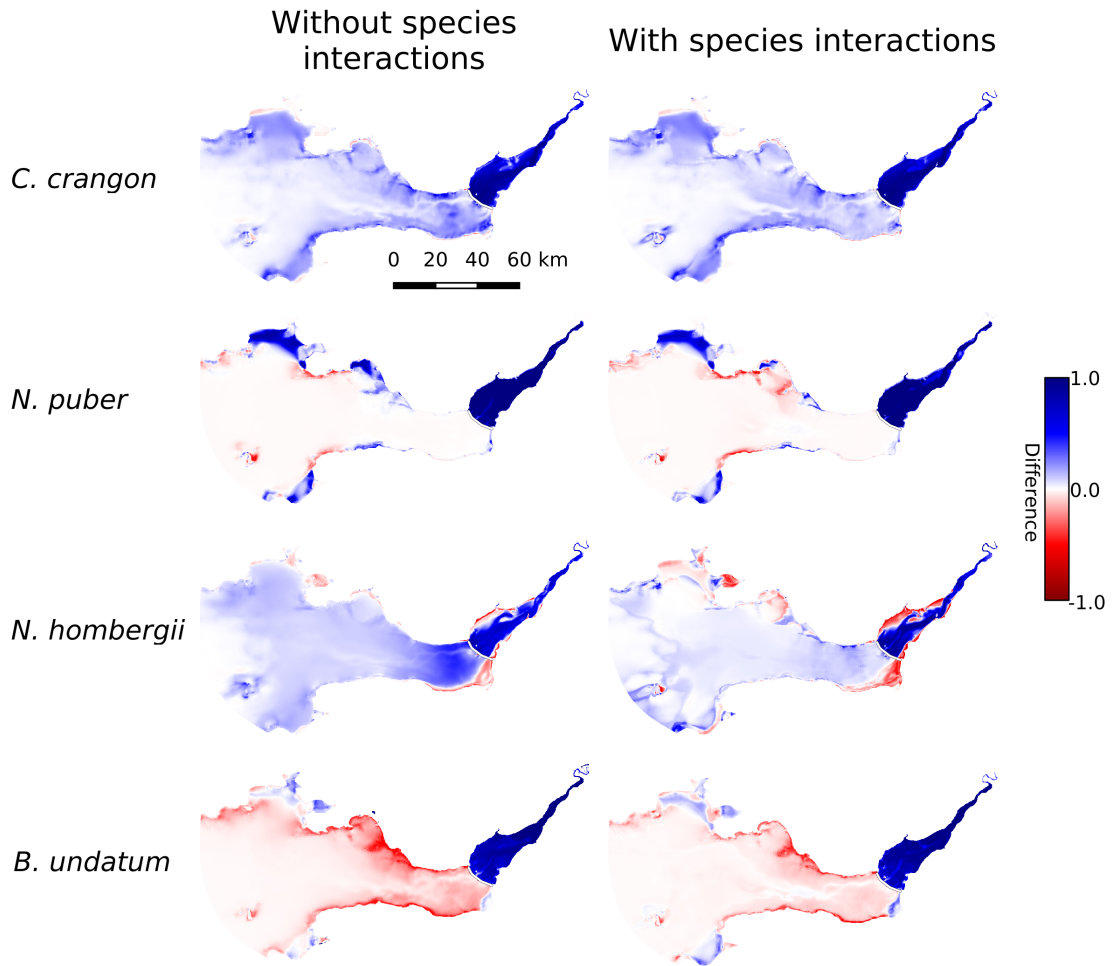


**Figure 5:** SDM predictions for five of the 11 benthic species (one per row) with the present day prediction shown in the first column, the prediction with the Severn barrage in place in column 2. Column 3 shows the difference between the two predictions (blue indicates an increase in probability, red a decrease).

increase of suitable habitat landward of the barrage and a general decrease within the estuary (Fig. 7). The most striking change occurs in *S. solea* with a large drop in suitability behind the barrage and in the outer estuary, but with a modest increase within the central regions and Carmarthen Bay. Conversely *T. minutus* show an increase across the whole estuary after barrage installation. All four pelagic species were modelled with prey species as environmental layers. Once the prey species were added distributions show similarities with the SDM without prey species, but also some important differences. First *S. solea* shows a similar pattern of a loss of area landward of the barrage, but with a large increase within the estuary. However, additional habitat losses are predicted along the outer edge of Carmarthen Bay and along the Devon coast. *T. luscus* now shows increase in habitat in Swansea and Carmarthen Bay as opposed to minor decrease and *T. minutus* has a lower increase landward of the barrage, but higher increases in Carmarthen Bay. *P. minutus* shows a complex change when prey species are added as environmental layers with areas that were previously an increase in habitat suitability after barrage installation now showing decreases and vice-versa. However, the area landward of the barrage still shows a strong increase.

The cause of these shifts when prey species are added can be demonstrated using *N. puber* which has two prey species (*A. marine* and *B. undatum*, the latter of which preys on *L. littorea*). The differences in habitat shift when including these prey species is highlighted in the Swansea Bay area. Prior to including prey species *N. puber* showed a small increase in habitat suitability, which then shifted to a decrease once prey were added. This difference is due to the decrease both prey species show in those areas and for *B. undatum* in turn is possibly driven by the shift in *L. littorea* (Fig. 8). The alteration in area is seen across all 10 realisations of the SDM model (Fig. 8) as the grey density function (the area above the threshold value for *N. puber*) shows a lower area than the blue density function (*N. puber* without prey species), but there is overlap between the two. Prior to barrage installation the two density functions (red





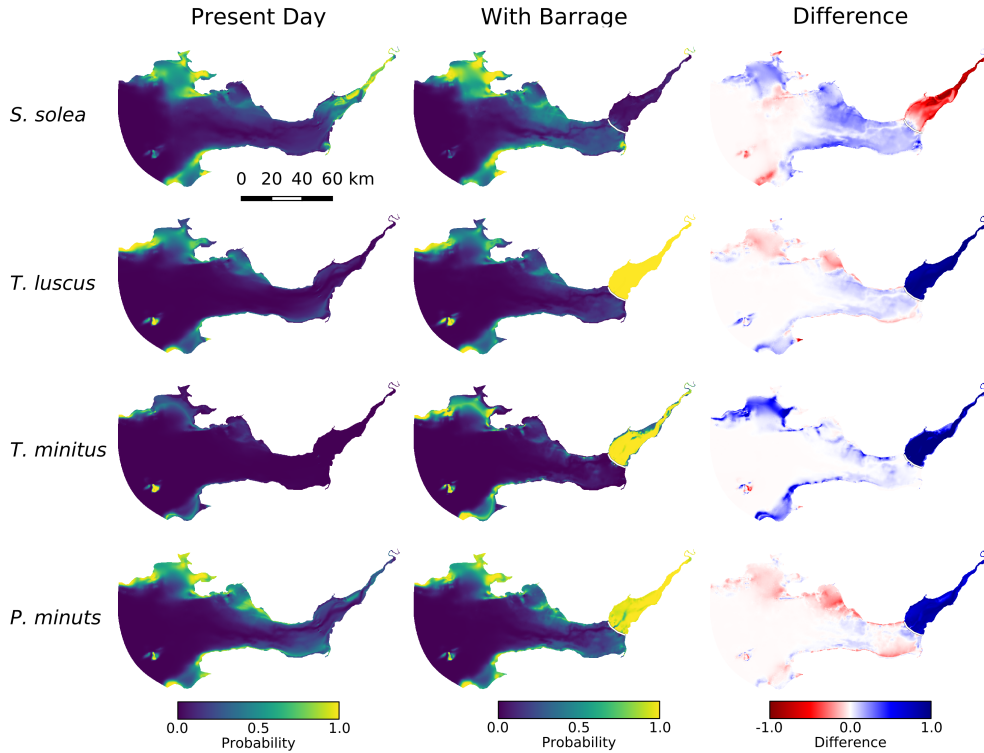
**Figure 6:** SDM predictions for five of the four benthic species (one per row) where they have dependencies on other species, with the present day prediction shown in the first column, the prediction with the Severn barrage in place in column 2. Column 3 shows the difference between the two predictions (blue indicates an increase in probability, red a decrease).

and yellow in Fig. 8) show substantial overlap.

For the area calculations when accounting for prey species in the modelling process, the impacts of the barrage on area cover are more constrained with most species showing smaller gains in area than without prey species included (Table 5). The exception is *T. minutus* which shows a 143% gain compared to a 124% without prey species (Table 4). The changes in area for *S. solea* is not significant. The inclusion of prey species has remarkably little effect on the bulk statistics with the percentage change of area calculated as 38.17% (when the six lower trophic level species are also included).

#### 4. Discussion

Velocity of a water body is known to have wider controls on sedimentation processes due to alterations to transportation and accumulation patterns (Widdows et al., 1998; Neill et al., 2009). The Severn Estuary features high current velocities due to exposure to waves from the Atlantic (Binnie, 2016) in combination with the tidal velocities. The barrage will remarkably reduce the tidal velocities across the estuary. Previous research has shown that benthic



**Figure 7:** SDM predictions for the four pelagic species (one per row) with the present day prediction shown in the first column, the prediction with the Severn barrage in place in column 2. Column 3 shows the difference between the two predictions (blue indicates an increase in probability, red a decrease).

organisms can be affected through installing a barrage by changes to sedimentation (Shields et al., 2011) especially in regions with higher tidal asymmetry such as the Severn Estuary (Neill et al., 2009; Uncles, 1981). Reduced velocity following barrage installation would slow natural sediment transportation that occurs through the estuary via ebb and flood tides which arrange habitats (Kadiri et al., 2012). This will result in sediment accumulation in regions with bed shear stress convergence, while erosion would increase in regions with bed shear stress divergence (Neill et al., 2009). Increase in sediment accumulation, alongside higher erosion across different regions will alter the current sedimentology of the estuary which has further impacts on species prevalence and species distributions due to lack of habitat availability. Therefore, a tidal barrage is thought to detrimentally impact ecology and species distributions through changing maximum velocity, altering sedimentation processes and consequently species habitats.

The model predictions presented here highlighted an overall decrease in lowermost trophic level benthic species distributions and an overall shift in predominance of suitable habitat landward of the barrage. This shift is generated by the reduction in velocities and a more consistent water level. This is contrary to the previous research. Although there is a large shift in habitat location within the estuary the overall area of suitable habitat increases for most species (Fig. 9), with only 4 of the fourteen species showing a reduction in suitable area. When including an estimation of the predator-prey relationships the increase is less substantial, but there is still an increase in suitable habitat (Fig. 1).

Species distributions models have a number of uncertainties associated with them, especially as measures of ecological impact. Primarily they calculate the probability of a suitable habitat. However, a 2D SDM cannot accurately model 3D interactions. Some of the species modelled here, such as *T. lucus*, are true pelagic species that reside in the water column (a 3D environment), which may explain some of the large increases in suitable habitat in the pelagic species. However, some benthic species also show increases of a similar magnitude. In order to mitigate this defi-

ciency we also included prey species as environmental layers as feeding is a key process for both benthic and pelagic predators [Robinson et al. \(2011\)](#). In the case of *T. lucus* the area change post barrage installation increased further when taking feeding into account. Including SDM outputs of prey species as layers of further SDMs is unconventional but this method allows our predictions to include some measure of the complex trophic relationships that occur and not to rely solely on shifts in environmental factors. Therefore, the installation of a tidal barrage will have complex impacts on species distribution for pelagic species as they occupy the water column and the true impact cannot be fully modelled using a 2D hydrodynamics model. Nonetheless it is evident that a barrage will alter species distribution, ecology and predatory relationships which constricts the food web and diminishes food availability.

The overall impact of installing a barrage on the Severn Estuary is an 38% net gain in area cover (Table 4). Through analysis of response functions of environmental layers (not shown), there is a noticeable relationship between species distributions and tidal range (influencing 11 species), and maximum velocity (impacting 10 species). Thus, the net gain in area cover is heavily influenced by alterations to tidal regime and the associated sedimentation processes by extension ([Widdows et al., 1998](#); [Neill et al., 2009](#)) and these primarily occur landward of the barrage. However, the impact a barrage inflicts on species distribution and modelled trophic relationships are complex and cannot be fully captured by SDMs. Despite the overall net gain across all 14 species, most of the primary benthic producers (*B. pelagica*, *P. ulvae*, *M. balthica*, and *C. volutator*) show a reduction in suitable area cover, especially in Swansea or Carmarthen Bays, highlighting the complexities of modelling whole ecosystems. These are regions where sedimentation seems to change through alterations to velocity and tidal regime ([Neill et al., 2009](#); [Kadiri et al., 2012](#)). This implies a strong spatial bias in impacts on biodiversity. Overall, whilst there is a net increase in suitable habitat, the distribution of normalised suitable area shows a distinct wider distribution, highlighting the species that show both negative and positive area changes (Fig. 10). When including the prey species as environment layers the post-barrage area distribution shows a positive shift compared to not including the prey species (Fig. 10) and the constraining function of including the prey species is shown by the increase in density around a normalised area increase of 2.0.

When modelling ecological change, many methods rely on altering the 3D aspect of environments into 2D components ([Duffy and Chown, 2017](#)). 2D modelling (including MaxEnt) encompasses changes in either surface or (as in the case here) depth-averaged characteristics. Whilst this is ideal for modelling benthic species that have limited movement through the water column, it lacks accuracy when modelling pelagic species which rely on 3D aspects of the environment. Moreover, 2D modelling becomes increasingly inappropriate when modelling 3D consumer relationships. As food webs are examples of dynamic interplay between 3D trophic interactions at different levels, a 2D model cannot project accurate changes and can consequently predict communities as overly vulnerable ([Pawar et al., 2012](#)). The inadequacy of 2D modelling pelagic species is exemplified in this research through the analysis of *T. lucus*, however the addition of prey species as environmental layers partially mitigates against the lack of 3D relationships. To enhance further research into marine environments it would be recommended to model prey species where appropriate and use 3D hydrodynamics modelling ([Duffy and Chown, 2017](#)), however, this would introduce a significant number of possible environmental layers that could be used, possibly creating even more complex outcomes and there are currently no three-dimensional SDMs available ([Duffy and Chown, 2017](#)).

The MaxEnt model matrix is amplified by the polynomial interaction specified in the model ([Elith et al., 2011](#)). A polynomial model is a tool for determining the input factors which drive a response, and allow for a curved response as opposed to a linear function. However, while using a polynomial function is ideal for modelling changes in location, MaxEnt can over-define features. Therefore, the model becomes over reliant on one function or layer which might not significantly change ([Elith et al., 2011](#); [Morales et al., 2017](#)). As a result, when accounting for prey species in modelling, the prediction can become over constrained which consequently produces an unrealistic representation of the expected species distributions change. An optimised regularisation multiplier parameter in MaxEnt can be used to reduce the extent to which the constraints are added ([Morales et al., 2017](#)). Furthermore, other SDM algorithms such as Bioclim and GLM (Generalised Linear Model) can be used to overcome the problems observed when using MaxEnt, but these methods have different drawbacks ([Elith et al., 2006](#)).

Whilst this study is limited to 14 species in the Severn Estuary, the techniques used and results can be applicable to any proposed tidal energy installation globally. Internationally the need to generate carbon free energy to mitigate the impacts of climate change is increasing. However, while policies such as the Kyoto Protocol 1997 and the Paris Agreement 2015 call for change, they do not enforce the need to minimise damages to ecosystems and ecological functioning. The UK has high potential for tidal energy and increased investment in this energy sector ([Greenmatch, 2017](#)). This has increased the need for ecological assessments to examine the ecological harm caused by industrial activities ([Calow, 1998](#)) and analyse the balance between ecological harm and the need for carbon free energy production. The

methods presented here could be used within an optimisation framework as in (du Feu et al., 2019) to optimise barrier or tidal lagoon operation as part of methods to minimise environmental and ecological shifts.

For locations with a high tidal range (such as the Severn Estuary), a tidal based energy system seems favourable as the source is stable and reliable. However, this research suggests that installing a static tidal barrage has overall impacts on ecological distributions and food web dynamics including wide spatial shifts. Garrett and Cummins (2005) state too small a tidal installation result in a minimum energy generation, yet too large interrupts tidal stream patterns and consequently are one of the highest ecologically damaging methods of generating clean energy (O'Rourke et al., 2010). In addition, it has been shown that impacts from a tidal barrage are not limited to the immediate region surrounding the barrage (Kadiri et al., 2012). Therefore, a climate-ecology balance is thought to be difficult to achieve with tidal based energy. Here, we show that an overall positive impact might be possible, but here might be areas that lose biodiversity and moreover, SDMs cannot account for species having to shift location; it shows only suitable habitat.

In this study, we have outlined the ecological impacts that can occur in the distribution of certain sensitive species in the vicinity of tidal energy schemes. However, it must be remarked that there will be additional ecological impacts to migratory fish which could be impeded by the presence of the barrage (Wolf et al., 2009) and may experience injury. The form of injury can vary and is typically attributed to hydrodynamic effects from pressure and turbulence changes, shear effects and even direct collision with the operation of the turbines (Davies, 1988). It is expected that further research on the development of fish-friendly turbine designs could serve to mitigate some of these effects (Hogan et al., 2014), with reports (Retiere, 1994) that the impact of the turbines on fish populations can be partially contained within tolerable levels subject to operational constraints in the regulation of the power plant. Furthermore, the SDM methodology discussed herein, does not consider effects above water, such as potential reductions in intertidal areas that could reduce the feeding grounds of wading birds (Goss-Custard et al., 1991).

## 5. Conclusion

The UK's bid to hold rises in average global temperatures to below 1.5°C emphasises the need for more renewable energy sources, such as tidal power (Anderson and Peters, 2016; Greenmatch, 2017). The Severn Estuary is ideal for harnessing tidal power to produce energy for a significant proportion of the UK due to its vast tidal range. By modelling 14 species linked via a food web system, it has been shown that installing a tidal barrage on the Severn Estuary could impact on the surrounding ecosystem in complex ways. Through visual and area change analysis, it was noted that 10 species were significantly impacted by the proposed tidal barrage. As well as this, assessment of species distributions showed that all 14 species have a significant change in probable presence, with a mix of detrimental and positive impacts. Therefore, it can be concluded that installing a barrage in the Severn Estuary may damage the surrounding ecosystem, especially at the lower trophic levels. To further enhance this ecological assessment, secondary and tertiary consumers were modelled with the addition of prey species as environmental layers, to account for the changes in food web dynamics and food availability. The results from SDM predictions using prey species as environmental layers and analysis of area change show a more constrained increase in suitable habitat area. Analysis of response functions and scholarly research supports these findings and suggests that the impacts of the barrage are predominantly through changes to sedimentation processes (transportation, accumulation and erosion patterns), and tidal regime (impounding water for a period of the water cycle). While these impacts have been shown to detrimentally affect the benthos of the Severn Estuary, alterations to sedimentation and tidal regime are complex to establish when modelling pelagic species due to the lack of interaction with the bed of the estuary. Therefore, changes to tidal regime and sedimentation are predicted to directly and indirectly impact benthopelagic species (*S. solea*), yet the relationship is complex to establish through 2D SDM of true pelagic species (e.g. *T. lucus*). It is challenging to reliably predict the ecological impacts of tidal energy but the combination of SDM and hydrodynamic modelling represents a useful methodology for quantifying predicted changes. The methods outlined here form the basis for further study into the ecological impacts of tidal energy schemes and could be applied to any site globally.

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## CRedit authorship contribution statement

**Amy L. Baker:** Conceptualisation of this study, running SDMs, initial draft of text. **Robert M. Craighead:** Running SDMs, contributions to text. **Emma J. Jarvis:** Running SDMs, contributions to text. **Harriett C. Stenton:** Running SDMs, contributions to text. **Athanasios Angeloudis:** Running and design of hydrodynamic models, contributions to text. **Lucas Mackie:** Running hydrodynamic models, contributions to text. **Alexandros Avdis:** Design of hydrodynamic models, contributions to text. **Matthew D. Piggott:** Design of hydrodynamic models, contributions to text. **Jon Hill:** Conceptualisation of this study, running SDMs, draft of text.

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











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**Table 1**

The habitat preferences and food source of each species modelled, showing how species link together as shown in Fig. 1.

Name	Common Name	Habitat description	Food source
<i>Solea solea</i> 	Dover sole	Reside in the soft, fine sands and estuarine muds (Wheeler, 1969; Bird, 2008).	Feed on smaller species, including amphipods, crustaceans, worms, and smaller fish e.g. poor cod (Wheeler, 1969; Bird, 2008).
<i>Trisopterus luscus</i> 	Bib	Found mainly over sandy regions surrounding the coast as they favour a mixed rocky/sand habitat (Wheeler, 1969; Bird, 2008).	Feed on pink and brown shrimp, as well as some shore crabs (Wheeler, 1969; Bird, 2008).
<i>Trisopterus minutus</i> 	Poor cod	Found in muddy and sandy beds up to depths of 15-200m (Wheeler, 1969; Bird, 2008).	Unspecified bottom feeders and typically feed on planktonic crustaceans and polychaete worms (Wheeler, 1969; Bird, 2008).
<i>Pomatoschistus minutus</i> 	Sand goby	Abundant in inshore waters over muddy and sandy beds (Wheeler, 1969; Bird, 2008).	Feed on polychaete worms, molluscs, and amphipods (Wheeler, 1969; Bird, 2008).
<i>Crangon crangon</i> 	Brown shrimp	Populate areas of sandy, muddy beds in the intertidal zone, with a preferred grain size of 125-710 µm (Pihl and Rosenberg, 1984).	Specific diet of smaller crustaceans and rely heavily on <i>C. volutator</i> (Cattrijsse et al., 1997).
<i>Necora puber</i> 	Velvet swimming crab	Inhabit the stony, rocky surfaces of the intertidal zone (Norman and Jones, 1992).	Feed on benthic species, algae and small crustaceans (Norman and Jones, 1992).
<i>Nephtys hombergii</i> 	Catworm	Intertidal to sublittoral shallows, in muddy sand with preferred grain sizes of less than 50 µm (Meißner et al., 2008).	General diet that consists of small benthic organisms including other polychaetes (Schubert and Reise, 1986).
<i>Buccinum undatum</i> 	Whelk	Found from low depths up to 1200m, in muddy, sand, gravel and rock of the subtidal zone (Valentinsson et al., 1999).	Feed on carrion, including other molluscs (Valentinsson et al., 1999).
<i>Corophium volutator</i> 	Mud shrimp	Occupy burrows in fine sediments, but can also be found in mudflats, saltmarshes, and brackish ditches (de Deckere et al., 2000).	Diatoms and other microorganisms in sediment (de Deckere et al., 2000).
<i>Littorina littorea</i> 	Periwinkle	Inhabit rocky shores, tidal pools, sandy environments and mudflats (Gendron, 1977).	Filter feeding on phytoplankton and zooplankton (Imrie et al., 1990).
<i>Arenicola marina</i> 	Lugworm	Preferred habitat of mid to low shore, sandy and muddy tidal flats, and settle in organic rich muds (Riisgård and Banta, 1998).	Subsurface deposit feeder (Riisgård and Banta, 1998).
<i>Macoma balthica</i> 	Pink clam	Populate the upper regions of the intertidal to sublittoral zone, just a few centimetres below the surface in fine sands and mud (Olafsson, 1986).	Filter feeding on phytoplankton and zooplankton (Olafsson, 1986).
<i>Peringia ulvae</i> 	Mud snail	Have been recorded in lagoons and muddy sands and in areas with relatively low salinity (Araújo et al., 2015).	Macro- and micro-algae (Araújo et al., 2015).
<i>Bathyporeia pelagica</i> 	Sand digger shrimp	Occupy wet, fine to medium sand (Fish and Fish, 1978).	Epistrate feeder (Fish and Fish, 1978).

**Table 2**

Environmental layers derived from the hydrodynamic model

Environmental Layer	Description
Always dry (categorical)	Locations with height above highest tidal height.
Average speed (m/s)	The mean current over the 30 day run time.
Maximum speed (m/s)	The maximum current over the 30 day run time.
Depth (m)	Water depth (negative above mean tide height).
Maximum water elevation (m)	Highest surface elevation over the 30 day simulation.
Minimum water elevation (m)	Lowest surface elevation over the 30 day simulation.
Subtidal (categorical)	Locations that are below the lowest tidal height.
Intertidal (categorical)	Locations that are between highest and lowest tidal height.
Tidal range (m)	Difference between minimum and maximum tidal height.

**Table 3**

Species and the environmental layer used for each. Where prey species were used as environmental layer these are also listed.

Species Name	Environmental Layers	Prey species used
<i>S. solea</i>	Bathymetry, Average velocity, Maximum elevation, Tidal range, Intertidal, Minimum elevation, Maximum velocity	<i>T. lucus</i> , <i>T. minutus</i> , <i>N. puber</i>
<i>T. lucus</i>	Bathymetry, Average velocity, Maximum elevation	<i>N. puber</i> , <i>C. crangon</i> , <i>P. minutus</i> , <i>N. hombergii</i>
<i>T. minutus</i>	Bathymetry, Average velocity, Maximum velocity, Maximum elevation	<i>N. hombergii</i> , <i>C. crangon</i>
<i>P. minutus</i>	Bathymetry, Tidal range, Average velocity, Maximum elevation	<i>C. crangon</i> , <i>C. volutator</i> , <i>B. undatum</i>
<i>C. crangon</i>	Bathymetry, Tidal range, Average velocity, Minimum elevation, Maximum velocity	<i>C. volutator</i>
<i>N. puber</i>	Bathymetry, Tidal range, Average velocity, Maximum elevation, Maximum velocity, Minimum elevation	<i>A. marina</i> , <i>B. undatum</i>
<i>N. hombergii</i>	Bathymetry, Minimum elevation, Maximum velocity, Average velocity, Tidal range	<i>L. littorea</i> , <i>M. balthica</i> , <i>C. volutator</i> , <i>P. ulvae</i> , <i>B. pelagica</i>
<i>B. undatum</i>	Bathymetry, Minimum elevation, Maximum velocity, Maximum elevation	<i>L. littorea</i>
<i>C. volutator</i>	Bathymetry, Tidal range, Maximum elevation, Maximum velocity	N/A
<i>L. littorea</i>	Bathymetry, Tidal range, Maximum elevation, Minimum elevation, Maximum velocity	N/A
<i>A. marina</i>	Average velocity, Bathymetry, Tidal range, Intertidal	N/A
<i>M. balthica</i>	Bathymetry, Minimum elevation, Tidal range	N/A
<i>P. ulvae</i>	Bathymetry, Tidal range	N/A
<i>B. pelagica</i>	Bathymetry, Maximum velocity, Maximum elevation, Subtidal, Tidal range	N/A

**Table 4**

Area difference calculated from habitat suitability maps before and after barrage installation for all 14 species considered. Negative percentage difference indicate a loss of area. Statistical significance ( $p$  values) are shown by \* symbols.

Taxon	Area (km <sup>2</sup> )	Area with barrage (km <sup>2</sup> )	Percent increase
<i>S. solea</i>	1030.17	1183.52	14.9%***
<i>T. luscus</i>	924.68	1527.13	65.2%***
<i>T. minutus</i>	1090.66	2323.79	113.1%***
<i>P. minutus</i>	1198.32	1520.85	26.9%***
<i>C. crangon</i>	1488.78	2745.74	84.4%***
<i>N. puber</i>	761.85	1581.14	107.5%***
<i>N. hombergii</i>	1238.18	2124.35	71.5%***
<i>B. undatum</i>	831.04	1073.34	29.2%***
<i>C. volutator</i>	618.12	365.71	-40.8%***
<i>L. littorea</i>	864.85	1128.47	30.5%***
<i>A. marina</i>	1164.83	1234.20	6.0%***
<i>M. balthica</i>	632.58	568.33	-10.2%***
<i>P. ulvae</i>	605.38	558.71	-7.7%***
<i>B. pelagica</i>	883.86	713.83	-19.2%***

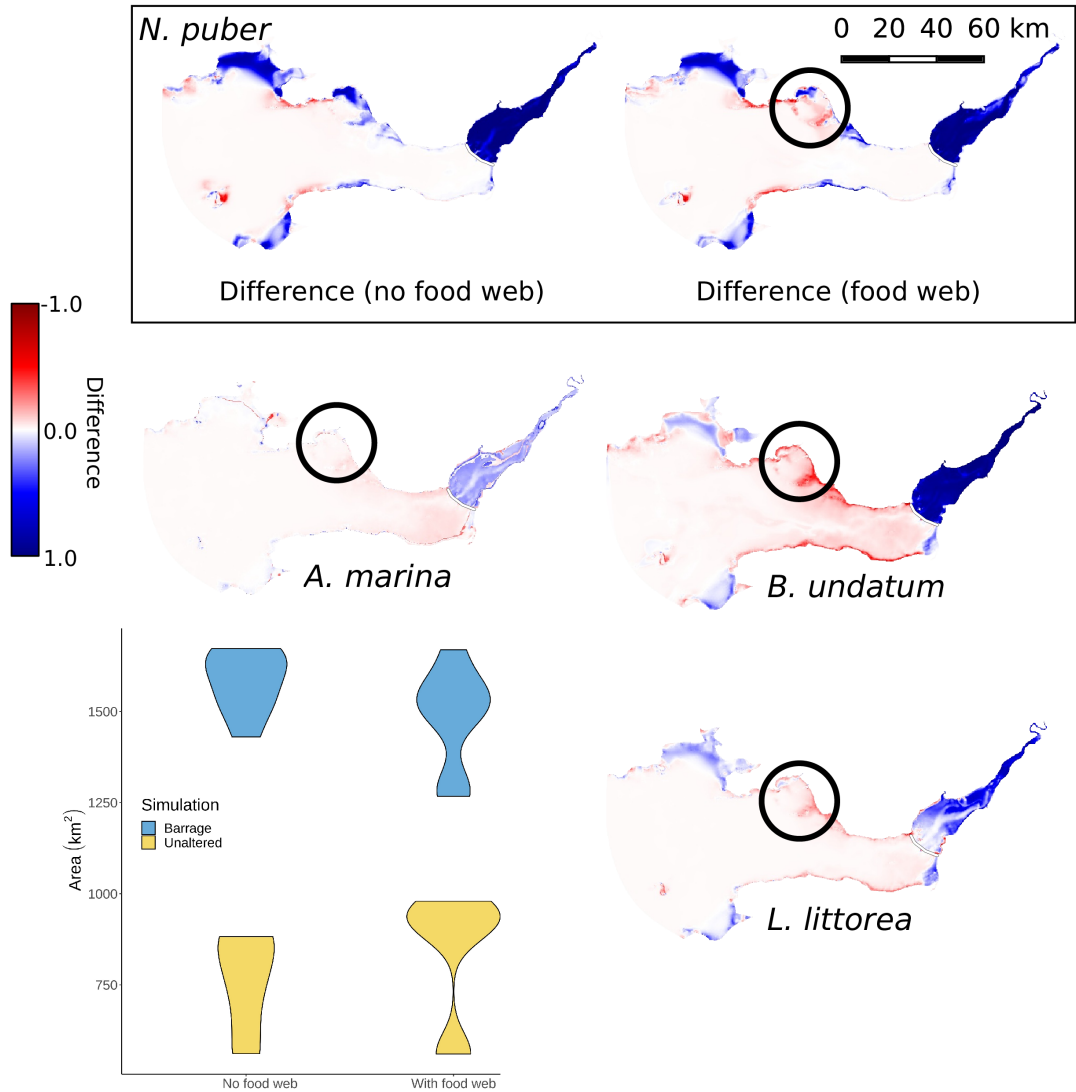
\*\*\* $p \leq 0.01$ , \*\* $p \leq 0.05$ , \* $p \leq 0.1$

**Table 5**

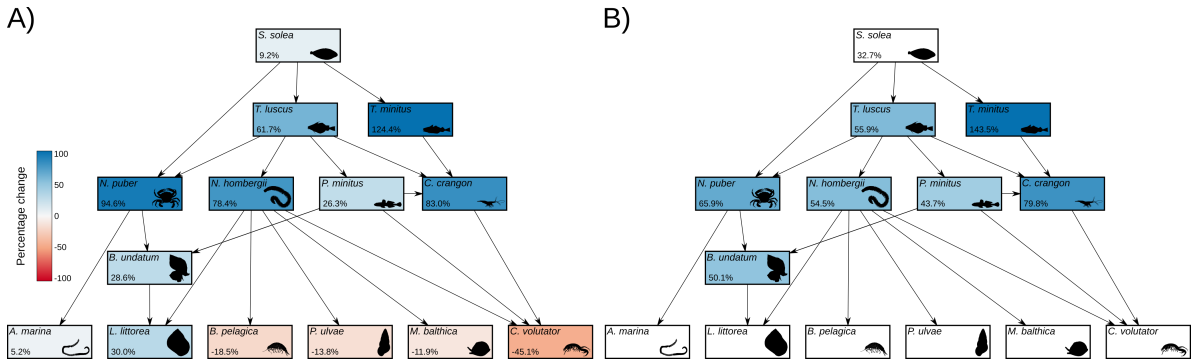
Area difference before and after barrage installation for the eight species that had dependencies on other species when the food web in Fig. 1 is accounted for. Negative percentage difference indicate a loss of area. Statistical significance ( $p$  values) are shown by \* symbols.

Taxon	Area (km <sup>2</sup> )	Area with barrage (km <sup>2</sup> )	Percent increase
<i>S. solea</i>	707.84	666.134	-5.9% <sup>ns</sup>
<i>T. lucus</i>	889.19	1471.72	65.5%***
<i>T. minutus</i>	958.44	2159.54	125.3%***
<i>P. minutus</i>	1410.54	2074.19	47.0%***
<i>C. crangon</i>	1227.33	2241.64	82.6%***
<i>N. puber</i>	843.85	1485.84	76.1%***
<i>N. hombergii</i>	868.51	1353.94	55.9%***
<i>B. undatum</i>	847.48	1285.32	51.7%***

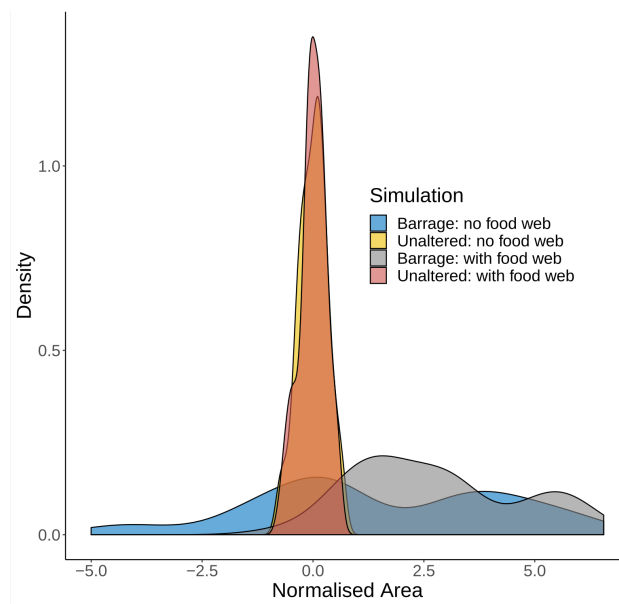
\*\*\* $p \leq 0.01$ , \*\* $p \leq 0.05$ , \* $p \leq 0.1$ , <sup>ns</sup> not significant



**Figure 8:** Difference of including prey relationships in the SDM using *N. puber* as an example. Top maps show the probability difference before and after barrage emplacement not using prey species (left) and using prey species (right). The area around Swansea Bay is highlighted where a major difference is observed. The difference maps for the prey species for *N. puber* are shown below with arrows denoting the prey relationships. The same area is highlighted with a large (negative) difference shown for *B. undatum* in particular which is driving this change. This is confirmed via the MaxEnt response functions (not shown). The bottom left panel shows the output of the 10 SDM models for *N. puber* for the four scenarios (with and without barrage, and with and without prey species). There is a notable reduction in the area increase when prey species are added in the “with barrage” simulation.



**Figure 9:** Area loss across the food web in the Severn. A) without consideration of prey species in the SDM and B) with prey species in the SDM. Red indicates loss of area, blue indicates increase in area. The bottom of the food web in B) is left white as these species had no dependencies on any other. *S. Solea* is also left white in B) as the reported area change is not statistically significant.



**Figure 10:** Normalised area across 10 SDM realisations for all 14 species four four sets of scenarios. Area is normalised to the unaltered species area (hence their mean is zero)