

Global climate change trends detected in indicators of ocean ecology

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Short title: Climate trends in ocean color

One-Sentence Summary: Multivariate statistics reveal significant 20-year trends in ocean color over much of the ocean; a state-of-the-art ecosystem model attributes these to climate change.

Keywords: Ocean color. Climate change. Trend detection. Remote sensing.

Data Availability: Remote sensing data area available from <https://oceancolor.gsfc.nasa.gov>. Model outputs are available from <https://doi.org/10.7910/DVN/080JUV>. Code will be made available at <https://www.github.com/bbcael> and given a Zenodo DOI should this manuscript be accepted for publication.

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1 **Abstract**

2 Climate change-driven trends in phytoplankton populations, as viewed by Earth-observing satellites, were thought to
3 be masked by strong natural variability, so that >30 years of continuous data was needed to detect a climate trend.
4 Here we show that climate change trends emerge more rapidly in ocean color (remote sensing reflectance, R_{rs}), as
5 R_{rs} is multivariate and some wavebands have low interannual variability. We find significant trends in a twenty-year
6 time-series of R_{rs} from the MODIS-Aqua satellite over 52% of the global surface ocean, primarily equatorward of 40°.
7 The climate change signal in R_{rs} emerges after twenty years in similar regions covering a similar fraction of the ocean in
8 a state-of-the-art ecosystem model, implying that the observed trends may indeed be driven by climate change. Ocean
9 color may thus be a sentinel of climate change in surface ocean ecology and biogeochemistry.

11 Climate change is causing alterations in ecosystems, and is expected to increasingly cause such changes in the future
12 [1]. Surface ocean ecosystems cover 70% of Earth's surface and are responsible for approximately half of global primary
13 production [2]. Such communities are known to be changing at specific locations where long-term data are available
14 [3, 4]. Detecting climate change-driven trends in ocean ecosystems on a global scale, however, is challenging because
15 of the difficulties of making oceanographic measurements at sufficient spatial and temporal scales.

16 Satellite remote sensing is the only means to obtain global scale time series of marine ecosystems, as it is the only
17 way to obtain measurements on the required spatial and temporal scales. Ocean color satellites, which measure the
18 amount of light reflected from the earth's surface, have been collecting global measurements for several decades. A
19 great deal of research has focused on detecting long-term trends in ocean color data, particularly in chlorophyll-a (Chl)
20 and primary productivity over large regions [5, 6, 7, 8, 9]. However, several studies have shown that 30+ years are
21 required to detect climate change-driven trends in satellite-derived Chl [$\mu\text{g/L}$] [10, 11, 12], even on regional scales. Chl
22 provides information on the abundance of phytoplankton (the photosynthesizing microscopic organisms in the ocean),
23 and is estimated from ocean color measurements as the ratio of blue versus green light emanating from the ocean
24 [13]. Detecting climate change trends from satellite Chl, the principal product derived from ocean color, has so far not
25 been possible. This is because no single satellite mission has lasted a sufficient duration, and there are technical issues
26 with the intercalibration of merged multi-satellite products that complicate robust, quantitative trend detection [14,
27 12, 15]. Advances in statistical methods have allowed the detection of trends in large-scale regional Chl averages [16],
28 but it is difficult to distinguish for a given location whether Chl is or is not changing, and to attribute this to climate
29 change.

30 That said, the MODIS-Aqua (MOderate Resolution Imaging Spectroradiometer) satellite has far surpassed its originally
31 planned mission duration, having just completed twenty full years of high-quality global ocean color data collection.
32 The key variable provided by MODIS-Aqua (and any ocean color sensor) is remotely sensed reflectance (R_{rs}) which is

33 the ratio of upwelling radiance to downwelling irradiance incident on the ocean surface. MODIS-Aqua sensors measure
34 R_{rs} in several wavebands within the visible spectrum from 412nm in the blue part of the spectrum to 678nm in the
35 red.

36 The 20 year MODIS-Aqua record constitutes a truly unique dataset and presents an opportunity to revisit the possibility
37 of detecting and attributing climate change trends in satellite ocean color. The principal reasons one might expect this
38 to be possible are that 1) R_{rs} is multivariate, being measured by MODIS-Aqua at several wavebands, whereas Chl is
39 univariate, meaning R_{rs} potentially encapsulates a stronger signal than Chl (Figure S1), and 2) some R_{rs} wavebands
40 exhibit lower interannual variability than Chl [11], meaning R_{rs} potentially has lower noise. In a complex global ocean
41 ecosystem model, climate change driven trends in R_{rs} have been shown to indicate changes in phytoplankton community
42 structure and become distinguishable from natural variability more rapidly than trends in Chl [11]. However, these
43 multivariate advantages may not be sufficient to permit detection of trends because R_{rs} is known to be strongly
44 correlated between different wavebands [17], reducing the effective dimension of the measurement, and autocorrelation
45 in R_{rs} may persist even at the annual timescale, reducing the effective sample size of a given R_{rs} time-series. Solutions
46 to both of these issues are possible however. Multivariate regression allows the trends (and uncertainties in those trends)
47 in multiple variables to be estimated simultaneously, while accounting for correlations between dependent variables [18].
48 Methods also exist to account for autocorrelation in regression analysis, such as the Cochrane-Orcutt procedure [19],
49 which estimates and subtracts the autoregressive component. In essence, then, such a regression maximizes the signal
50 (number of simultaneous variables) used to detect a trend while also minimizing the noise (interannual variability in
51 those variables) and accounting for correlations between variables and years.

52 To investigate possible trends in ocean color, we performed such an autocorrelation-corrected multivariate regression
53 on the first twenty years of MODIS-Aqua ocean R_{rs} spanning July 2002 – June 2022 (Materials and Methods). We
54 find significant trends, here defined as a signal-to-noise ratio (SNR) greater than two, in 51.9% of the ocean, primarily
55 equatorward of 40° (Figure 1). In contrast, only a small fraction of this portion of the ocean has significant trends in
56 Chl (9.5%, green stippling in Figure 1), such that even if the green stippled areas in Figure 1 are excluded, more than
57 a quarter of the total ocean area has a significant trend in ocean color.

58 We also note that these trends are not strongly associated with changes in sea surface temperature (SST, °C). When
59 the same analysis is performed for MODIS-Aqua-based SST (Materials and Methods), we find significant trends in SST
60 in 23.1% of the ocean. However, only 22.6% of the ocean area with a significant trend in R_{rs} has a significant trend in
61 SST, and only 49.5% of the ocean area with a significant trend in SST has a significant trend in R_{rs} , whereas 23.1%
62 and 51.9% respectively would be expected to if trends in R_{rs} were unrelated to trends in SST. This suggests that the
63 detected changes in R_{rs} are not driven by changes in SST. Instead, R_{rs} changes may be due to other drivers such as
64 changing mixed layer depth or upper ocean stratification [20]. These are known to affect plankton communities and
65 expected to change with climate, but harder to detect trends in over shorter time periods (i.e. 20 years) because they
66 are measured less precisely than SST.

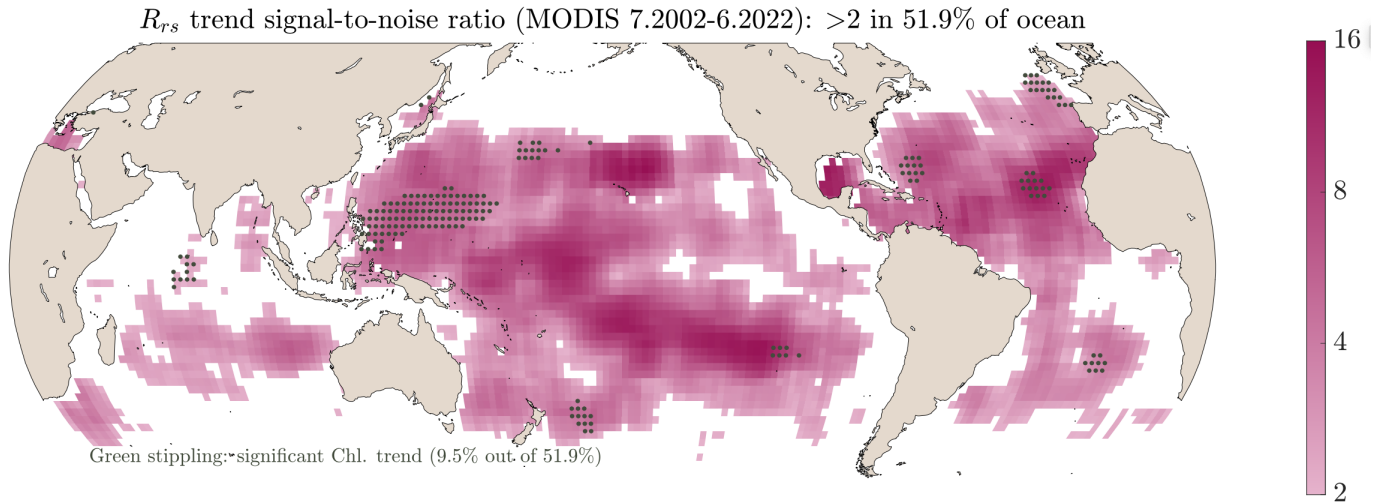


Figure 1: Map of locations where ocean color trend signal-to-noise ratio (SNR) is >2 for 20-year annual time series. Intensity of purple color indicates the SNR . Green stippling indicates regions with significant chlorophyll trends.

67 We find that a vast swathe of the ocean has a significant trend in R_{rs} , when considering many wavebands at the
 68 same time. Significant trends tend to occur in low-‘noise,’ (i.e. weak interannual variability) subtropical and tropical
 69 regions, rather than high-‘signal’ regions (Figure S2). The likelihood of SNR exceeding 2 and a trend being detectable
 70 increases with decreasing noise levels, but does not increase with increasing signal levels. Significant trends are also
 71 spectrally broad-based across wavebands and not linked to any particular waveband (Figures S3 and S4). Given the
 72 difficulties and associated uncertainties in converting R_{rs} to Chl and other products such as ocean optical properties or
 73 phytoplankton carbon, it is a challenge to interpret these trends ecologically and/or biogeochemically [21, 22]. However,
 74 ocean color does encode combined information about surface ecosystems, dissolved and particulate organic matter, and
 75 the identified trends suggest that these have significantly changed over the past twenty years.

76 The primary question of interest is whether the identified trends are driven by climate change specifically. To test
 77 this, we performed the same analysis on MODIS-like R_{rs} simulated by a numerical model of a complex global ocean
 78 ecosystem and associated biogeochemical cycles [23, 11]. The model simulates the changes to the marine ecosystem
 79 and optics over the course of the 21st Century under a high greenhouse emission scenario (see methods). By also
 80 considering a control simulation (i.e. without perturbation from increased emissions) we can attribute any changes
 81 to be driven by climate change. We analyzed this model in terms of the time of emergence (ToE [years]) [24], which
 82 quantifies how long it takes for the climate-change-driven trend in a simulation with climate change (i.e. a forced
 83 simulation) to emerge (with a signal-to-noise ratio of 2) from the natural variability in a simulation without climate
 84 change (i.e. a control simulation), both over the period 2000-2105. For the model R_{rs} , the $ToE \leq 20$ years in 45.6% of
 85 the ocean, a comparable fraction to the 51.9% of the ocean for which we find a significant trend in MODIS-Aqua R_{rs}
 86 (Figure 2). The (area-weighted) median ToE across the entire model surface ocean is 22.3 years. Furthermore, while
 87 the coarse resolution of the model would call into question any precise spatial comparison with observations, similar
 88 broad regions in both cases are responsible for the significant trends after twenty years, notably the North Atlantic

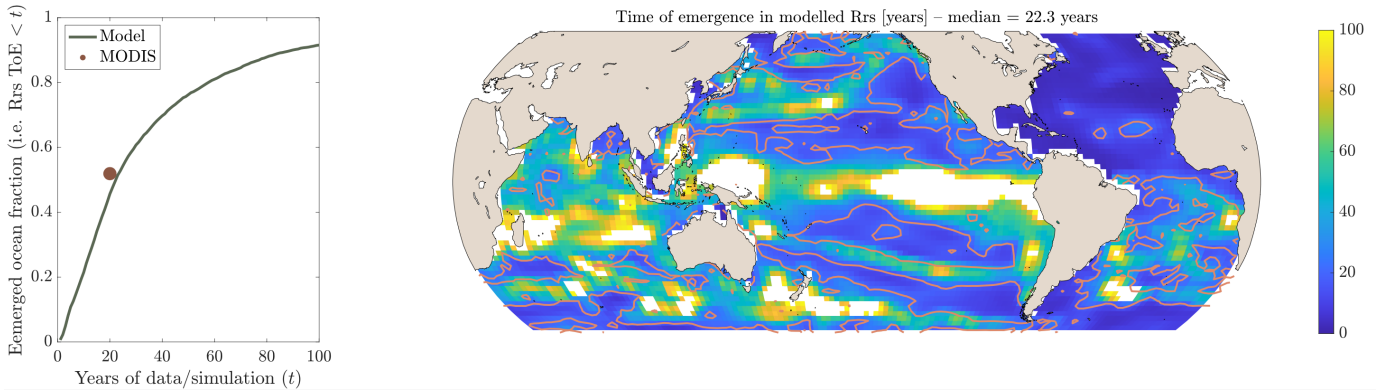


Figure 2: Left: cumulative distribution function of the time of emergence (ToE) of the ocean color trend in the model simulation. Orange point indicates fraction of total surface ocean area with a significant trend in the 20-year MODIS-Aqua time-series. Right: map of ToE in the model simulation. Orange lines are the 20-year ToE contour. See [11] for a similar plot for Chl.

89 and the subtropical Pacific. While this is arguably the only numerical model suitable for such investigations, limiting
 90 the strength of any attribution statement that can be made from it, the consistency in overall extent and general
 91 location of significant trends in the observations and emerged climate change-driven trends in the model suggest that
 92 the observed trends are indeed climate change-driven. Furthermore, as these changes in R_{rs} have previously been
 93 shown to be associated with community structure changes in the model [11], which emerge faster than Chl trends or
 94 those of other optically relevant properties, this consistency suggests the observed R_{rs} changes may be indicative of
 95 changes in phytoplankton community structure. Climate driven changes to phytoplankton community structure would
 96 likely have knock-on effects on food webs, biogeochemical cycles and marine biodiversity.

97 These results imply that climate change effects are already being felt in surface phytoplankton populations, but have
 98 not yet been detected since previous studies have considered Chl or other univariate approaches. This may indicate that
 99 ocean color (R_{rs}) is a ‘sentinel’ for ocean climate change, particularly for surface ocean ecology and biogeochemistry, as
 100 has been argued for lakes [25]. This is because, similar to lakes, R_{rs} integrates and is sensitive to climate-driven changes
 101 in properties of interest, facilitating early detection of climate change signals. R_{rs} , and thus surface ocean ecology,
 102 has changed significantly over a large fraction of the ocean over the past twenty years, possibly due to climate change
 103 and in the form of changing phytoplankton community structure. Such changes have potential implications both for
 104 the role of plankton in marine biogeochemical cycles and thus ocean carbon storage, and for plankton consumption by
 105 higher trophic levels and thus fisheries. These changes are consistent with expected changes in drivers such as mixed
 106 layer depth and upper ocean ocean temperature and stratification, but may be more easily detectable on the global
 107 scale as we have done here thanks to the multivariate nature of R_{rs} . This highlights the value of long-term satellite
 108 missions like MODIS-Aqua and of space agencies maintaining missions for as long as feasible. That significant trends
 109 occur primarily where interannual variability is low means that a similar signal may be expected to emerge in other
 110 portions of the ocean in coming years, though unfortunately the MODIS-Aqua mission is scheduled to end in the near
 111 future. For instance, our model results (Figure 2, left panel) suggest that another 6 years of MODIS-Aqua data, the

112 originally scheduled mission length, would reveal significant changes in another 10% of the ocean. While the remote
 113 sensing reflectance trends detected here are challenging to interpret given the difficulties and additional uncertainties
 114 involved in translating remote sensing reflectances into derived products, ongoing work (e.g. [26]) linking remote
 115 sensing reflectance to phytoplankton community composition in particular may shed light on what the trends found
 116 here indicate about changing surface ocean ecosystem structure [27, 28]. Given the key role of planktonic ecosystems
 117 to marine food webs, global biogeochemical cycles, and carbon cycle-climate feedbacks, a sentinel of change in these
 118 ecosystems is of great utility.

119 **Materials and Methods**

120 We generated a twenty year annual time-series of MODIS-Aqua R_{rs} and Chl by extracting the monthly level-3, 4km
 121 R_{rs} and Chl values from July 2002 to June 2022 from <https://oceancolor.gsfc.nasa.gov/>. We use the seven
 122 ocean wavebands for MODIS-Aqua centered at 412nm, 443nm, 488nm, 531nm, 547nm, 667nm, and 678nm ([https://
 123 modis.gsfc.nasa.gov/about/specifications.php](https://modis.gsfc.nasa.gov/about/specifications.php)). The 2022 reprocessing of R_{rs} and Chl was used, which reduces
 124 atmospheric correction errors and, crucially, minimizes any instrumental drift through updated sensor calibrations.
 125 Monthly data were aggregated into years each beginning in July, and data were averaged spatially to 2° resolution,
 126 resulting in a 90-by-180-by-20-by-10 array (respectively latitude, longitude, year, and waveband), and a 90-by-180-by-
 127 20 array for Chl. MODIS-Aqua was selected because it is now a twenty-year record, the longest single-satellite R_{rs}
 128 product available; merged products were not considered because of the known issues with satellite intercalibration,
 129 which are challenging to deal with quantitatively in detecting significant trends over time [12]. MODIS-Aqua also
 130 provides a daytime sea surface temperature (SST, $^\circ\text{C}$) product, for which we generated a comparable time-series (i.e.
 131 20 July-June years at 2° spatial resolution).

132 For each 2° -by- 2° grid cell, we then performed a multivariate regression of R_{rs} versus time. Before performing the
 133 regression, the serial autocorrelation in the signal was removed using the Cochrane-Orcutt procedure [19]. For locations
 134 with significant autocorrelation (42% of grid cells), one iteration was applied, and then a second iteration was applied
 135 for grid cells whose autocorrelation continued to be significant (9% of grid cells). No more than two iterations was
 136 applied to any grid cell because $<2\%$ of grid cells had significant autocorrelation at the 5% level after the application
 137 of zero-to-two iterations to all grid cells. Our conclusions are not affected by this choice; for instance, applying one
 138 iteration to all grid cells equally yielded a negligible difference. The same approach is applied to the Chl time series.
 139 We then calculate the SNR in each case according to

$$SNR = \frac{\sqrt{\sum_i b_i^2}}{\sqrt{\frac{\vec{b}}{\sqrt{\sum_i b_i^2}} C \left(\frac{\vec{b}}{\sqrt{\sum_i b_i^2}} \right)'}}$$

140 where \vec{b} is the vector of trend estimates for each waveband and C is the variance-covariance matrix of \vec{b} . In other

141 words the signal to noise ratio SNR is the magnitude of the multivariate trend vector (see Figure S1), divided by the
142 projection along this vector of the multivariate uncertainty of this multivariate trend. For Chl, i.e. the univariate case,
143 this reduces to $SNR = b/C$, where b is the magnitude of the trend and C is the uncertainty of this trend.

144 For Figure S3 we performed the same procedure as above for each individual MODIS-Aqua waveband of R_{rs} . Figure
145 S4 is identical to Figure S3 but with locations where $SNR < 2$ for all wavebands removed, to show that individual
146 wavebands have significant trends in small and overlapping regions, underscoring that the detected trends are due to
147 the multivariate nature of R_{rs} and not associated with any individual waveband. We also performed this analysis for
148 SST to compute the overlap between significant trends in R_{rs} and SST as described in the main text.

149 The biogeochemical model is the same as used in [11]. This is a complex ocean ecosystem and biogeochemistry
150 model, resolving the major elemental cycles and eight phytoplankton types. The ecosystem/biogeochemistry is forced
151 with output from an earth system model of intermediate complexity [29]. From an 1860 spinup, two simulations are
152 performed: one is a control simulation run with constant 1860 greenhouse gas concentrations, and a second is run with
153 high-emissions scenario with increasing greenhouse gas concentrations (Representative Concentration Pathway 8.5-
154 like). Thus the differences between the simulation indicate anthropogenically-driven climate change. Each simulation
155 is run for 250 years, nominally 1860 to 2110, and the analysis described here was performed on the last 106 years
156 (i.e. nominally from 2000 to 2105). The model resolves radiative transfer as described in [23] to generate R_{rs} at 25nm
157 resolution from 400–700nm, which we linearly interpolate to the MODIS-Aqua spectral waveband peaks (412, 443, 469,
158 488, 531, 547, 555, 645, 667, 678nm). Linearly interpolating the spectra to 1nm resolution and convolving with the
159 MODIS-Aqua spectral response functions did not affect the result. The model’s spatial resolution is 2°-by-2.5° with
160 22 vertical layers. The ocean physics displays a realistic year-to-year variability in surface temperature and produces
161 interannual variability (e.g. El Nino-Southern Oscillation) with frequency, seasonality, magnitude and patterns in
162 general agreement with observations. Because of the high computational demand of this model, we use a single climate
163 simulation from an ensemble of perturbed physics, perturbed initial conditions, and varied emissions scenarios, with
164 medium effective climate sensitivity of approximately 3.0°C [29]. The control simulation showed that there were no
165 significant drifts in the ecological or optical properties discussed here. We refer to [11] and references therein for further
166 details and model validation.

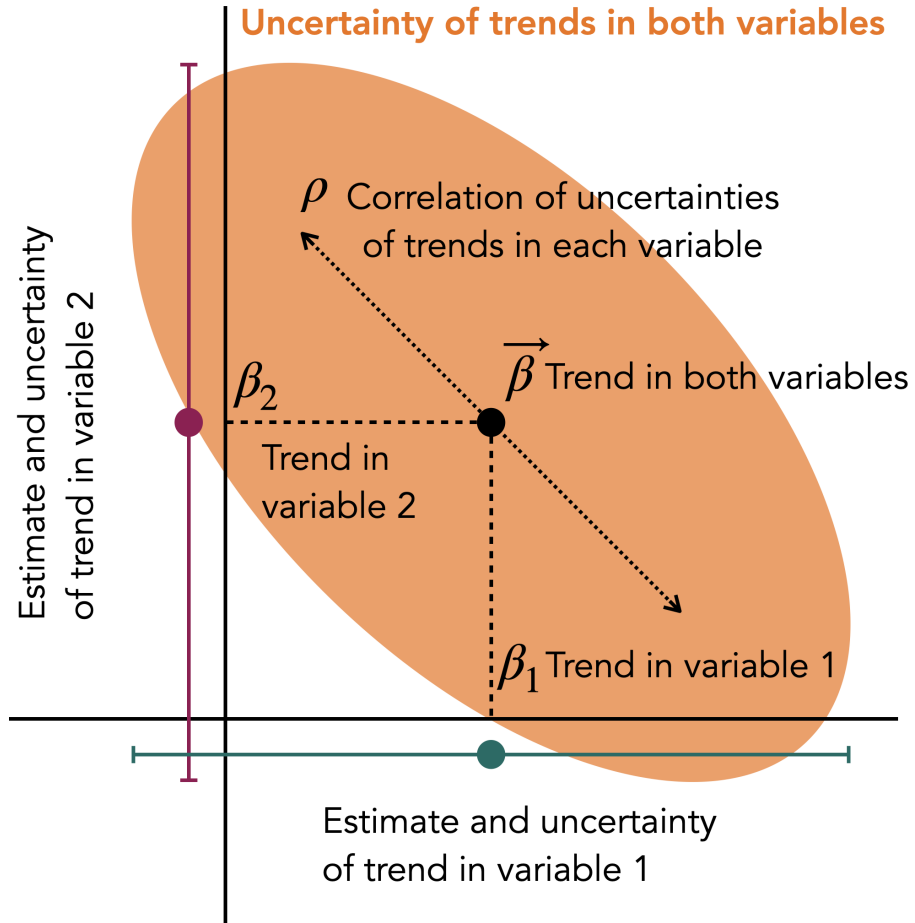
167 Using this model we perform the same multivariate regression as above. We then calculate, following [11] and others,
168 the time of emergence (ToE) for each grid cell according to $ToE = 2 \times (\text{standard deviation}) / (\text{trend})$, where the standard
169 deviation is that of the annual means at any grid location in the control run and the trend is that of the full forced
170 simulation. Calculating and removing any drift in the control simulation negligibly affected this calculation.

171 **References**

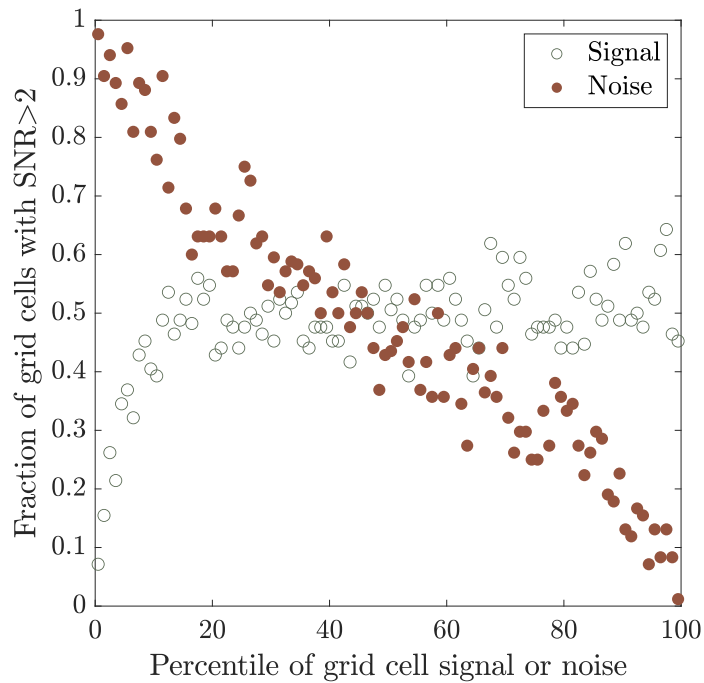
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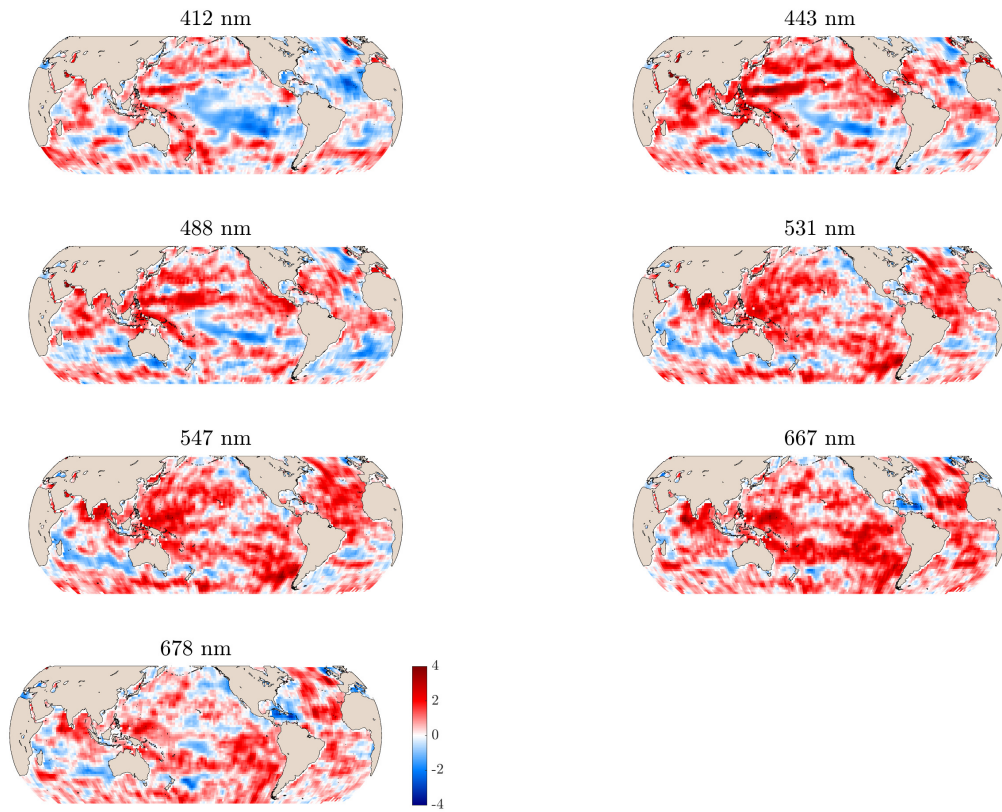
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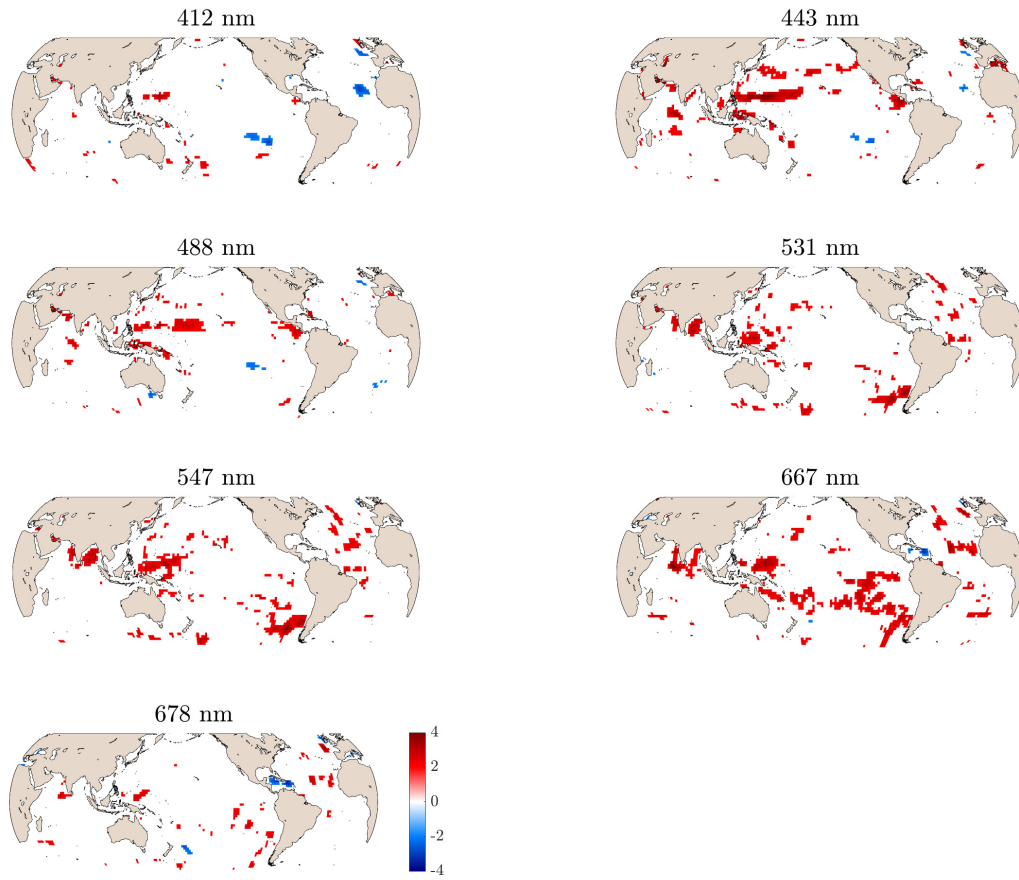
Supplementary Figure 1: Schematic of multivariate trend detection in two-dimensional case. Teal and purple points and error bars indicate estimates and uncertainties of trends in two different variables, β_1 and β_2 . Black point and orange ellipse indicate estimate and uncertainty in the two-dimensional variable $\vec{\beta} = (\beta_1, \beta_2)$. Dotted arrow indicates correlation (ρ) between uncertainties of estimates in each variable. In this graphical illustration, estimated trends in β_1 and β_2 are not significant, but the estimated trend in $\vec{\beta}$ is.



Supplementary Figure 2: Scatterplot of the fraction of grid cells with signal-to-noise ratios >2 versus the percentile of grid cells' 'signal' (i.e. the magnitude of the trend, empty green points) and 'noise' (i.e. the uncertainty in the trend, filled orange points). Lower-'noise' regions more often have signal-to-noise ratios >2 , whereas high-'signal' regions more often have signal-to-noise ratios <2 , indicating that places with significant trends are those with the lowest trend uncertainty, due to low interannual variability, rather than because they have the strongest trends.



Supplementary Figure 3: Maps of the signal-to-noise ratio of univariate regressions of each wavelength, with Cochrane-Orcutt procedure applied. Blue/red indicates a negative/positive trend, and intensity of color indicates the signal-to-noise ratio.



Supplementary Figure 4: Same as Figure S3 but where only locations with $SNR > 2$ are colored in.