

37 **Conflict of interest disclosure**

38 The authors certify that they have no conflict of interest in the subject
39 matter or materials discussed in this manuscript.

40 **Data Availability**

41 Following peer review, the UAV and TLS georeferenced point clouds, GNSS
42 check points and final DEM will be made available from the digital
43 depository at the lead author's institution, with an associated DOI. Prior to
44 completion of peer review, data can be requested by contacting the
45 corresponding author.

38 **1.0 - Introduction**

39 Unoccupied Aerial Vehicles (UAVs; Joyce et al., 2021) have been
40 transformative in providing a platform to deploy sensors to quantify the
41 topography of the Earth's surface, for investigations from the spatial scale
42 of individual landform features upwards (Piégay et al., 2020; Tomsett &
43 Leyland, 2019). Where logistical or legislative constraints allow flying, and
44 spatial coverage can be achieved timeously, UAV mounted sensors have
45 largely superseded alternative approaches to surveying, including
46 terrestrial laser scanning (TLS; Brasington et al., 2012; Williams et al.,
47 2014; Alho et al., 2011). Sensors that have been mounted onto UAVs to
48 acquire data to map topography can be grouped into two remote sensing
49 categories: passive and active (Lillesand et al., 2015). To date, the former
50 category has dominated geomorphological applications but technological
51 developments in LiDAR technology herald the potential for the return of
52 more active remote sensing methods for topographic reconstruction.

53

54 Passive sensors include digital cameras that are used to acquire images
55 that are subsequently used in Structure from Motion (SfM)
56 photogrammetry (Smith et al., 2016). Whilst SfM photogrammetry has
57 enabled a plethora of geomorphic investigations (e.g. Bakker and Lane,
58 2017; Marteau et al., 2017; Cucchiaro et al., 2018; Llena et al., 2020;
59 Eschbach et al., 2021), there are aspects of SfM photogrammetry that limit
60 what can be achieved to reconstruct topography. The passive nature of the
61 technology poses particular problems for reconstruction bare earth
62 topography; imagery cannot penetrate vegetation cover and vegetated
63 areas are typically associated with poorer processing quality due to weaker
64 image matching (Carrivick et al., 2016; Eltner et al., 2016; Iglhaut et al.,
65 2019; Resop et al., 2019). Shadows caused by vegetation and/or
66 topographic features also reduce and sometimes eliminate the
67 effectiveness of SfM photogrammetry in what are often key areas of a
68 survey such as steep and geomorphologically dynamic river banks (Kasvi
69 et al., 2019; Resop et al., 2019). Whilst workflows to minimise potential
70 systematic errors, such as large forward and lateral overlap of imagery, as
71 well as double grid flying patterns (James & Robson, 2014; Wackrow &
72 Chandler, 2011) have been established these don't overcome localised
73 errors that arise from image quality and in many situations they
74 significantly add to UAV flight time.

75

76 In contrast to SfM photogrammetry, active remote sensing offers direct
77 survey of topography. Airborne Light Detection and Ranging (LiDAR)
78 surveys (Glennie et al., 2013), that have been acquired using sensors

79 mounted on crewed planes or helicopters, have been transformative in
80 enabling the construction of Digital Elevation Models (DEMs) at spatial
81 scales $>1 \text{ km}^2$. Such datasets have been widely used for a variety of
82 geomorphological investigations (Clubb et al., 2017; Jones et al., 2007;
83 Sofia et al., 2014). Whilst the importance of these sensors cannot be
84 understated (Tarolli & Mudd, 2020), the cost of the instruments and
85 associated deployment logistics have limited most geomorphologists to
86 using archival airborne LiDAR datasets (Crosby et al., 2020). Early
87 integration of LiDAR sensors on UAV platforms was demonstrated in
88 forestry applications (Jaakkola et al., 2010; Lin et al., 2011; Wallace et al.,
89 2012). More recently, UAV LiDAR including topographic-bathymetric
90 systems have been demonstrated across several fluvial environments and
91 applications (e.g. Resop et al., 2019; Mandlbürger et al., 2020; Islam et
92 al., 2021; Resop et al., 2021). Despite these pertinent examples, the
93 growth trajectory of UAV LiDAR surveys remains significantly slower than
94 that of UAV SfM photogrammetry when it was in its geomorphic application
95 infancy (Babbel et al., 2019; Pereira et al., 2021), due to the relatively high
96 entry cost of LiDAR sensors and associated large payload UAV platforms
97 required. However, a new generation of cheaper, solid state LiDAR sensors
98 (Štroner et al., 2021) offers potential for a return to active remote sensing
99 of dry topography, now using UAV platforms. However, this technology has
100 not yet been applied and assessed in geomorphic environments.

101

102 LiDAR measurements in their traditional form consist of a pulse or wave
103 being emitted from a laser sensor, which is steered across an area of
104 interest using moving components (i.e. mirrors) which are precisely aligned
105 and regularly calibrated. Either the time-of-flight between the emission of
106 the laser and its subsequent reflection, or variability in the reflected laser
107 frequency, are then used to determine range. Many LiDAR sensors can also
108 detect multiple returns (Resop et al., 2019; Wallace et al., 2012), usually
109 based on the intensity of the return. In contrast to traditional LiDAR, solid-
110 state LiDAR systems feature few or no moving parts, being comprised of
111 modern electronic components instead. They use an array of aligned
112 sensors, which when combined enable significantly increased scanning
113 rates (Velodyne LiDAR, 2022). The development of solid-state LiDAR can
114 be traced back to obstacle avoidance and navigation for autonomous
115 vehicle development in the mid-2000s when the limited scanning rate of
116 mechanical LiDAR systems was deemed insufficient for these tasks (Pereira
117 et al., 2021; Raj et al., 2020). The difference between mirror-based
118 mechanical and solid-state LiDAR systems parallels the difference between
119 traditional whiskbroom and newer push-broom scanning systems found on

120 space-based satellites (Abbasi-Moghadam & Abolghasemi, 2015). The
121 change in internal components from mechanical to electronic resolves
122 limitations in mounting LiDAR units on UAVs due to the relatively large size,
123 fragility, and the cost of mirror-based sensors. Indeed, the escalating
124 demand for solid-state LiDAR units from automotive, robotic production line
125 and autonomous delivery industries (Kim et al., 2019) has necessitated
126 scalable manufacture of these units and a subsequent reduction in unit cost.
127 Moreover, automotive specifications for this technology have demanded a
128 wide field-of-view (FOV) and fine angular resolution to enable higher detail
129 at longer range, meaning solid-state instruments are often of comparable
130 or better quality than their traditional mechanical counterparts.

131
132 The aim of this paper is to evaluate the performance of a consumer-grade
133 solid state LiDAR sensor mounted on a UAV to reconstruct the topography
134 of a vegetated fluvial environment. Our first objective is to acquire and
135 process LiDAR point clouds using a variety of UAV flight heights and speeds,
136 and assess their associated horizontal and vertical errors, for a test site;
137 an artificial grass football pitch. Our second objective is to acquire and
138 assess a LiDAR survey of a 3 km long reach of the braided River Feshie to
139 quantify dry topography. In the discussion we (i) reflect upon the
140 advantages of consumer-grade LiDAR compared to the existing set of
141 geomatics technologies that are available for geomorphologists to quantify
142 the form of the Earth's surface, (ii) discuss errors in vegetated areas and
143 approaches that could be used to quantify topography in wet areas and (iii)
144 we offer recommendations for acquiring airborne LiDAR surveys with UAVs.

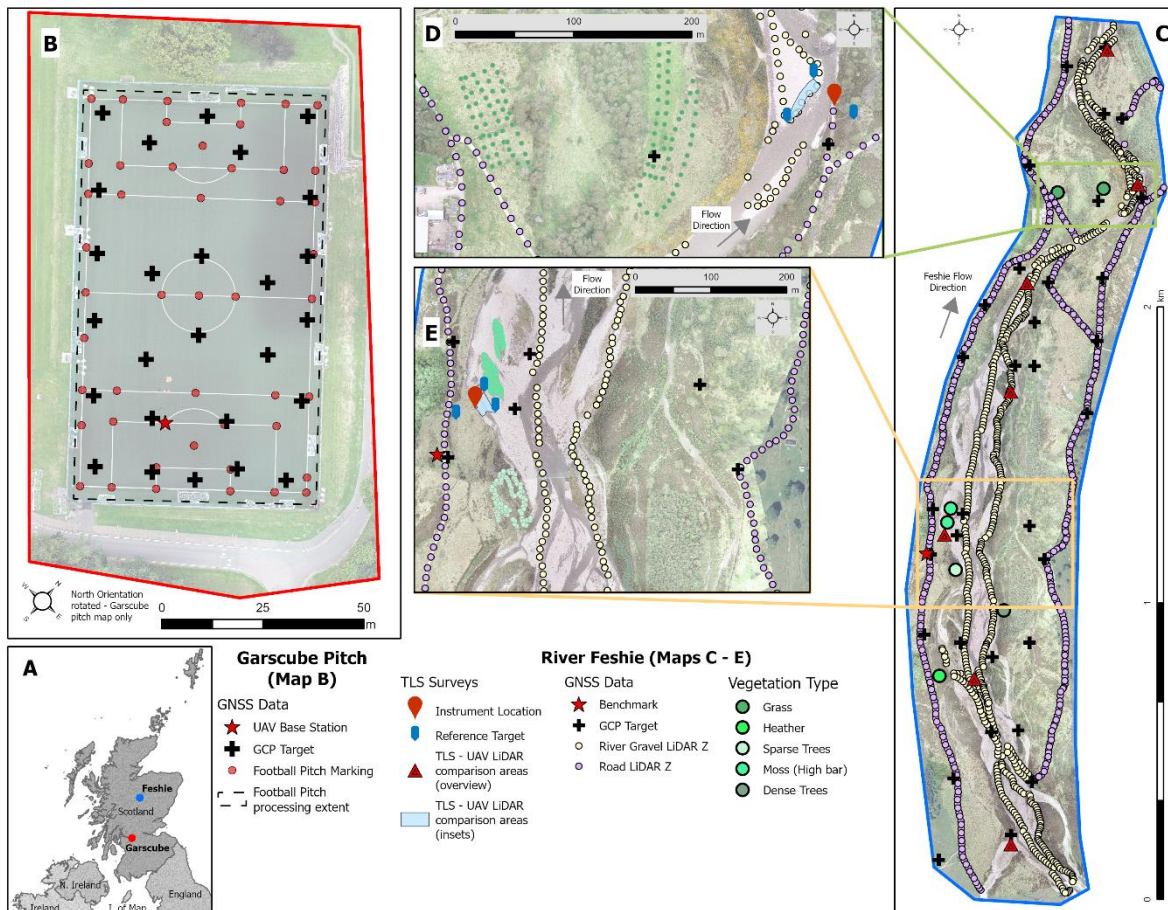
2.0 - LiDAR sensor and field setting

We focus upon testing a DJI Zenmuse L1 solid-state LiDAR sensor, which integrates a Livox AVIA solid-state LiDAR module, a high-accuracy Inertial Measurement Unit (IMU), and a camera with a 1-inch CMOS (Complementary Metal Oxide Semiconductor) sensor on a 3-axis stabilized gimbal. The DJI L1 solid-state LiDAR sensor was mounted on a DJI Matrice 300 Real-Time Kinematic (RTK) UAV platform, which is capable of undertaking mapping flights of around 35 minutes with the sensor payload. The aircraft and sensor were linked to a D-RTK 2 GNSS base station by radio to enable the receipt of accurate RTK-GNSS position data.

Testing of the DJI L1 solid-state LiDAR system was undertaken at the University of Glasgow Garscube Sports Campus (Figure 1b) to assess the positional accuracy of the system. An artificial sports pitch was chosen as the initial test site, given the relative flatness of the football pitch, the abundance of pitch markings for check points, and the ability to easily distribute and position a further dense grid of ground control targets.

A braided reach of the River Feshie, Scotland, was chosen to assess the LiDAR system in a natural vegetated fluvial environment (Figure 1c). This reach is iconic as a site to assess geomatics technologies for the quantification of topography, including RTK-GNSS (Brasington et al., 2000), aerial blimps (Vericat et al., 2008), terrestrial laser scanning (Brasington et al., 2012), wearable LiDAR (Williams et al., 2020a) and RTK-GNSS positioned UAV imagery for SfM photogrammetry (Stott et al., 2020), as well as geomorphological application to quantify sediment budgets (Wheaton et al., 2010), and to shed light on the mechanisms of channel change (Wheaton et al., 2013). This history of innovation, and the low vertical amplitude of topographic variation, made this both an ideal and challenging site to test the use of the LiDAR in a natural environment. The Feshie reach is characterised by a D_{50} surface grain size of 50 to 110 mm (Brasington et al., 2012). At the time of survey, the reach featured a network of shallow anabranches, which were up to c. 1 m in depth and occupied ~15% of the active width. The active reach features a number of vegetated bars, colonised with grasses, sedges, and heather, as well as Scots Pine (*Pinus sylvertris*), silver birch (*Betula pendula*) and common/grey alder (*Alnus glutinosa/Alnus incana*). Across the River Feshie riverscape, woody vegetation densities are generally increasing across the valley bottom, including within and on the banks of the active channel, due an active and ongoing approach to manage deer numbers (Ballantyne et al., 2021). The presence of a variety of vegetation, with different heights

186 and densities, presents a useful applied context for evaluating the ability of
 187 the LiDAR system to detect ground returns through vegetation canopies
 188 and for point cloud processing algorithms to filter vegetation returns.
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 190



191
 192 *Figure 1: Overview of the two study sites, a) showing the location of the*
 193 *Garscube site near Glasgow and the Feshie site in the Cairngorms*
 194 *National Park, b) the dense control network across the artificial football*
 195 *pitch at Garscube site, c) an overall view of the Feshie survey with GNSS*
 196 *points along roads, river gravel and in vegetation, along with TLS surveys,*
 197 *d) and e) to zoomed insets showing more detail of the additional GNSS*
 198 *and TLS survey extents.*

199 **3.0 - Methods**

200 **3.1 - UAV LiDAR data collection**

201 Flights were planned directly in the DJI Pilot app on the aircraft controller,
 202 using imported KML polygon areas. Automated IMU calibration was
 203 activated; LiDAR scan side overlap was set to 50%; and triple returns were
 204 recorded, with a sampling rate of 160 kHz. The flight path pattern was
 205 aligned at both sites to remain within UK CAA Visual Line-of-Sight
 206 recommendations for flying UAVs. Moreover, the flight path patterns

207 ensured that sufficiently frequent sharp turning (every 100 seconds or
 208 every 1000 m with flight speed of 10 m/s) was undertaken for IMU
 209 calibration purposes, in-line with the manufacturer recommendations. The
 210 LiDAR data were stored on an SD card within the DJI L1 solid-state LiDAR
 211 sensor.

212
 213 This initial testing at Garscube consisted of four flights over a synthetic
 214 football pitch and surrounds, each with different flying height (60 and 80
 215 m) and speed variables (5 and 10 m/s; Table 1). At the River Feshie site,
 216 the required flight path pattern resulted in the reach being split into six
 217 flight blocks (Table 1), which were spaced longitudinally along the valley
 218 bottom. Flight lines were orientated in a traverse direction along the valley
 219 bottom (approximate maximum for DJI M300 RTK aircraft with L1 solid-
 220 state LiDAR sensor payload; 40 mins covering up to 0.4 km²). These
 221 separate flights were subsequently merged at later processing stages.

222
 223 *Table 1: Flight Parameters, Point Counts & Densities for UAV LiDAR data*
 224 *collection.*

Flight Blocks	Flight Parameters		Pre-processing		Post-thinning	
	Flying Height (m above takeoff)	Speed (m/s)	Initial Number of Points	Point Density (pts/m ²)	Thinned Number of Points	Point Density (pts/m ²)
Garscube 1	80	5	7,948,865	645	1,576,001	128
Garscube 2	60	5	10,994,366	887	1,369,374	111
Garscube 3	60	10	5,803,970	470	1,359,296	110
Garscube 4	80	10	4,262,304	346	1,165,226	95
Feshie 1	70	10	167,801,385	403	32,417,397	82
Feshie 2			153,049,016	370	27,223,825	66
Feshie 3			76,774,455	341	16,411,617	73
Feshie 4			111,741,189	343	23,009,919	73
Feshie 5			79,409,092	333	17,002,397	71
Feshie 6			166,018,675	358	27,331,428	62

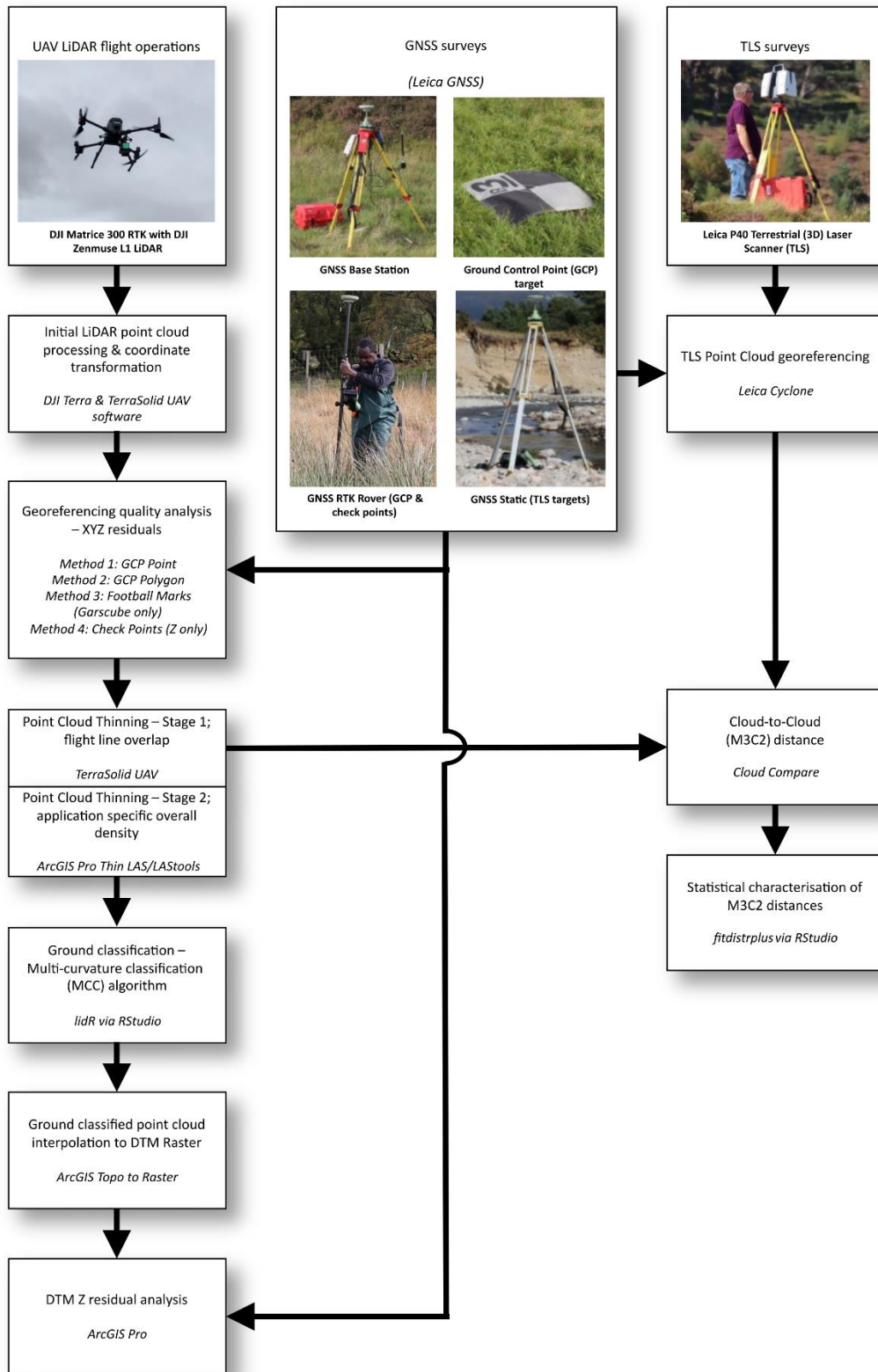
225
 226 **3.2 - GNSS data collection**

227 Twenty-six chessboard pattern Ground Control targets were laid in a semi-
 228 regular pattern across the Garscube sports pitch (Figure 1b) and measured
 229 with a Leica Viva GS08 survey-grade RTK-GNSS, positioned with a tripod

230 for stability. Furthermore, an extra 48 points were collected at distinct
231 sports pitch markings (e.g. at corners; Figure 1b). All the GNSS points
232 collected used the nearby GLAS reference station across Leica SmartNet
233 mobile network corrections, resulting in an average horizontal and vertical
234 quality of < 1 cm for the Ground Control targets, and slightly larger, c. 1
235 cm for the measurements of sports pitch marks.

236

237 Thirty-four GCPs were laid across the Feshie study area to provide XYZ
238 quality checks (Figure 1c – 1e). These targets were positioned using a Leica
239 1200 Series RTK-GNSS unit with a bipod for stability. The Feshie GNSS
240 points were corrected using a Leica GS16 in base station mode located over
241 a well-established ground mark that has been used in previous surveys.
242 This resulted in average reported point qualities of < 1 cm in both horizontal
243 and vertical. Similar to the football markings, a large sample of points was
244 collected along most of the main estate vehicle tracks within the study site
245 as well as along the dry gravel sections of the river channel area using RTK-
246 GNSS without a bipod and a shorter occupancy (Figure 1c – 1e).
247 Furthermore, sample points were taken within five types of vegetation
248 cover (grass, heather, sparse tree, dense trees, and high bars with moss)
249 to enable assessment of the LiDAR in vegetated areas (Figure 1c – 1e).



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Figure 2: Data collection and data processing workflow. The three columns (UAV flight operations, GNSS surveys, TLS surveys) represent the main techniques of data collection. TLS surveys were used in this investigation as a rigorous accuracy check, but subsequent surveys are unlikely to use this technique to assess the quality of a Digital Terrain Model produced from UAV LiDAR.

257 **3.3 - UAV LiDAR data processing**

258 The Garscube datasets were used to develop a data processing workflow
259 from the point cloud through to an output Digital Terrain Model (DTM;
260 Figure 2); this workflow was subsequently applied to process the River
261 Feshie data. The data were first processed in DJI Terra software to create
262 an initial LAS point cloud file and flight path trajectory files. In this step,
263 processing involved the initial georeferencing of the point cloud, based on
264 the RTK-GNSS onboard the aircraft (direct georeferencing; Dreier et al.,
265 2021), using the Optimise Point Cloud Accuracy setting. The point cloud
266 was then exported in WGS84 latitude and longitude coordinates with
267 ellipsoidal heights. Next, the data were imported into TerraSolid software
268 and processed using the Drone Project wizard in the TerraScan module. In
269 this step, the LAS file output from DJI Terra, as well as flight path trajectory
270 files, were projected to a local coordinate system: OSGB36(15) British
271 National Grid (EPSG:27700) for horizontal position and Ordnance Datum
272 Newlyn (ESPG:5701) for orthometric height.

273
274 The point cloud data were thinned (Resop et al., 2019) using two processes
275 to reduce and balance the point density such that processing over larger
276 areas (e.g. Feshie study area = c. 1.5 km²) did not become computationally
277 cumbersome due to the high point densities (Table 1). Firstly, overlapping
278 points captured whilst flying along adjacent flight lines were removed using
279 a tool in the TerraScan Process Drone Data wizard which establishes the
280 closest overlapping point relative to the nearest flight line and discards the
281 other overlapping points, thereby minimising noise in these overlap areas.
282 The data were then further thinned using the Thin LAS tool in ArcGIS Pro
283 to reduce the point density to a point every 15 cm in both the horizontal
284 and vertical, which approximated the required resolution for the
285 geomorphological context of the survey. A similar open-source tool is
286 available through LASTools (rapidlasso GmbH, 2021).

287

288 **3.4 - XYZ residual analysis: GCPs**

289 Two methods were used to select LiDAR points from each pre-thinned point
290 cloud for comparison to the known GNSS coordinates in all three
291 dimensions (Easting/Northing/Height). First, a point-to-point method,
292 referred to hereafter as *GCP Point*, was used to digitise a point selection at
293 the centre of the ground target in the displayed LAS file in ArcGIS Pro
294 software. This is similar to the method to GCP selection in SfM
295 photogrammetric processing (e.g. with Pix4D software; Stott et al., 2020).
296 The second point-to-point method, referred to hereafter as *GCP Polygon*,
297 was used to digitise a polygon of the extent of the ground control target (c.

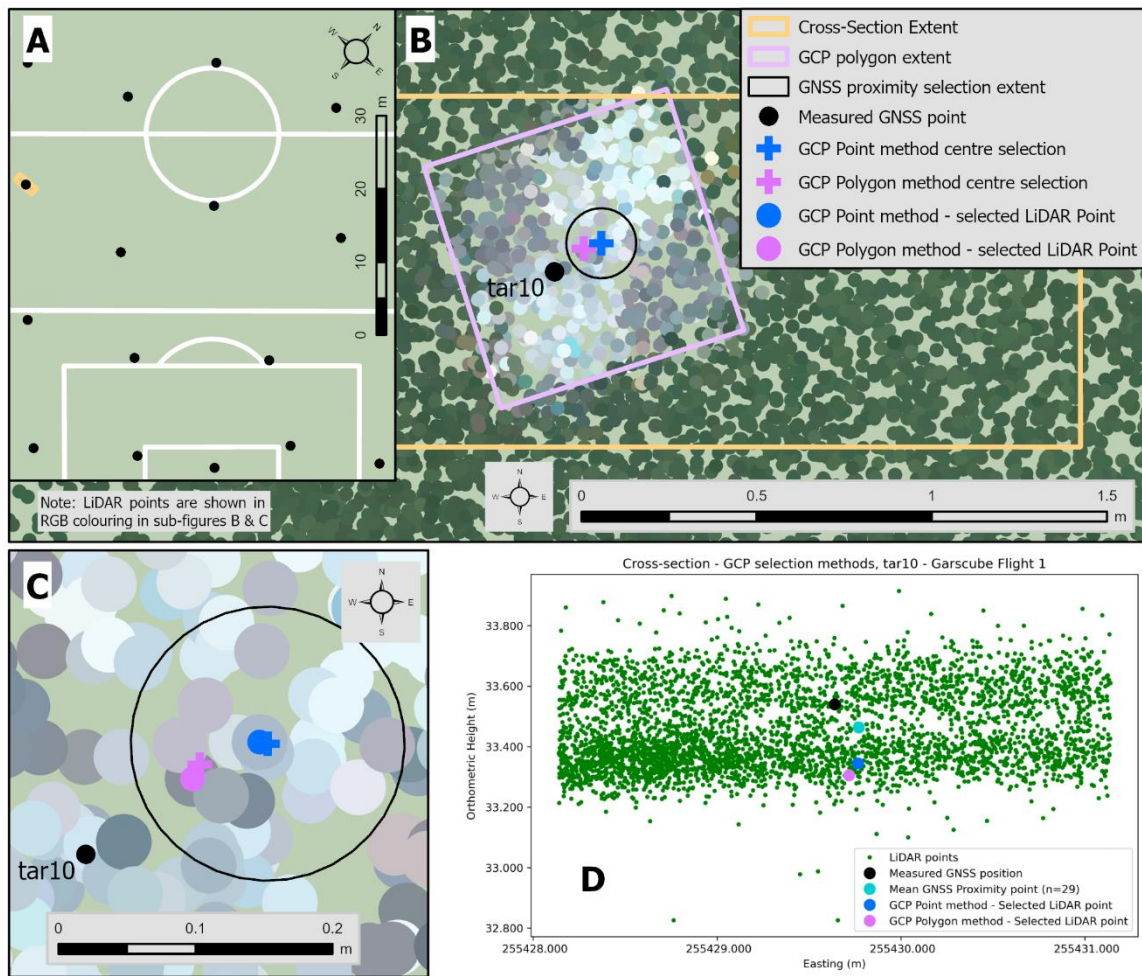
298 0.61 m x 0.61 m) from the displayed LAS data. The centre point of the
299 digitised polygon was calculated and used as the single selection point. At
300 Garscube, the additional GNSS measurements taken on the football pitch
301 markings were also used for residual analysis. The centre of the intersecting
302 pitch lines (pitch lines were 0.114 m wide) were used to digitise a point at
303 this location, in the same manner as the *GCP Point* method. This analysis
304 will be hereafter referred to as *Football Marks*. For all three of these
305 methods, the coordinates from the nearest LiDAR point (in XY) to the GCP
306 selection were subtracted from the GCP coordinates to determine the
307 individual residual for that GCP in each dimension, and summary statistics
308 were calculated for each flight (Mayr et al., 2019).

309

310 **3.5 - Z residual analysis: GCPs and check points**

311 Upon initial inspection of some of the orthometric height results from the
312 point-to-point methods described above, some significantly larger residuals
313 were identified. Some investigation determined that it was caused when
314 the selected LiDAR point was not quite representative of the local sample
315 of points and their recorded orthometric heights (Figure 3d). Therefore, a
316 further method of residual analysis was devised which used a sample of
317 the LiDAR points located within a 0.1 m radius of the selected location (GCP
318 or check point) to enable the calculation of the mean orthometric height of
319 the LiDAR points within this search radius prior to differencing with the
320 measured GNSS height. This method is herein referred to as *GNSS*
321 *Proximity* (Figure 3b/3c). For the Feshie, the additional GNSS
322 measurements along the vehicle tracks, dry river bars and in vegetation
323 were used to supplement the GCPs and provide further data to assess the
324 vertical consistency of the LiDAR data across a variety of surface types.

325



327
 328 *Figure 3: Selection of LiDAR point for Z residual calculation using point-to-*
 329 *point comparison methods. a) Location of measured GNSS (GCP target)*
 330 *points across Garscube football pitch. b) GCP location in RGB-coloured*
 331 *point cloud with cross-section, digitised target extent, and various point*
 332 *locations. c) An inset around the centre of GCP target showing the two*
 333 *LiDAR points selected as nearest to centre selections for GCP Point and*
 334 *GCP Polygon methods, as well as the extent of GNSS Proximity selection*
 335 *(n=29 for this target). d) Cross-section of point cloud showing how the*
 336 *selection of nearest LiDAR point (GCP Point or GCP Polygon methods)*
 337 *can result in non-representative Z location and an outlier residual, with GNSS*
 338 *Proximity method performing better since the selected point(s) are closer*
 339 *to the position measured by RTK-GNSS.*

340 **3.6 - Ground classification and DTM creation**

341 Digital Terrain Models (DTMs) were created from the Garscube and Feshie
 342 point cloud data. For Garscube, a DTM was created for each of the four test
 343 flights, and in the Feshie a single DTM created from the combination of the
 344 six individual DTMs for each flight block.

346 To create a DTM from the point cloud, it first needed to have a subset of
347 points classified as ground returns. The lidR library (Roussel & Auty, n.d.;
348 Roussel et al., 2020) within R software (R Core Team, 2021) was used to
349 classify ground returns in the point cloud. This library was used to test
350 different input parameters and ground classification algorithm options,
351 using the Garscube Flight 1 dataset and part of the Feshie point cloud. The
352 tests were undertaken for three algorithm options: the Cloth-Simulation
353 Function (CSF; Zhang et al., 2016); Progressive Morphological Filter (PMF;
354 Zhang et al., 2003); and Multiscale Curvature Classification (MCC; Evans &
355 Hudak, 2007). Once the MCC algorithm was chosen further testing using
356 various values for curvature and scale parameters was undertaken using
357 on Garscube and Feshie test areas. Default parameters identified by Evans
358 & Hudak (2007), scale (λ or s) of 1.5 and curvature (t) of 0.3, were used
359 based on the findings of these tests. Due to the intensity of computational
360 processing, each of the six River Feshie point clouds were processed
361 separately to extract a subset of ground classified points.

362

363 The ground classified point clouds (four at Garscube, six at Feshie) were
364 then interpolated into a raster DTM of 0.2 m resolution using the Topo to
365 Raster tool in ArcGIS Pro (Hutchinson, 1989; Smith et. al., 2003). Three
366 flight blocks at the Feshie were merged into a single interpolation meaning
367 only two halves needed merged, using the centre of the overlap zone
368 between Flight 3 & Flight 4. The Feshie and Garscube DTMs were then also
369 assessed for vertical accuracy against the known GNSS heights using data
370 from all the various surface and target types.

371

372 **3.7 - Terrestrial Laser Scanning comparison – River Feshie**

373 Terrestrial Laser Scanning (TLS) data collected at seven sample sites across
374 the River Feshie were used to quantify the M3C2 differences (Lague et al.,
375 2013) between the UAV LiDAR and the TLS point clouds (Babbel et al.,
376 2019; Dreier et al., 2021; Mayr et al., 2019). The seven samples varied in
377 spatial extent ($n = 148,687$ to $3,116,779$ point samples), but all focused
378 on gravel bar areas within the active river zone with vegetation and areas
379 outwith the control targets removed prior to further analysis (blue polygon,
380 Figure 1d and 1e).

381

382 The M3C2 differences were calculated in CloudCompare (CloudCompare,
383 2022) using the default algorithm and settings (Lague et al., 2013; TLS as
384 reference point cloud). The calculated M3C2 standard deviations were used
385 to visualise the minimum and maximum expected values for the M3C2
386 distributions. Subsequently, the seven samples were combined and the

387 overall M3C2 distribution was approximated empirically following the
388 procedure presented in Williams et al. (2020a). The fitdistrplus R-package
389 (Delignette-Muller & Dutang, 2015) was used to identify reasonable
390 candidate distributions and select the best-fit (Supplementary Materials C).

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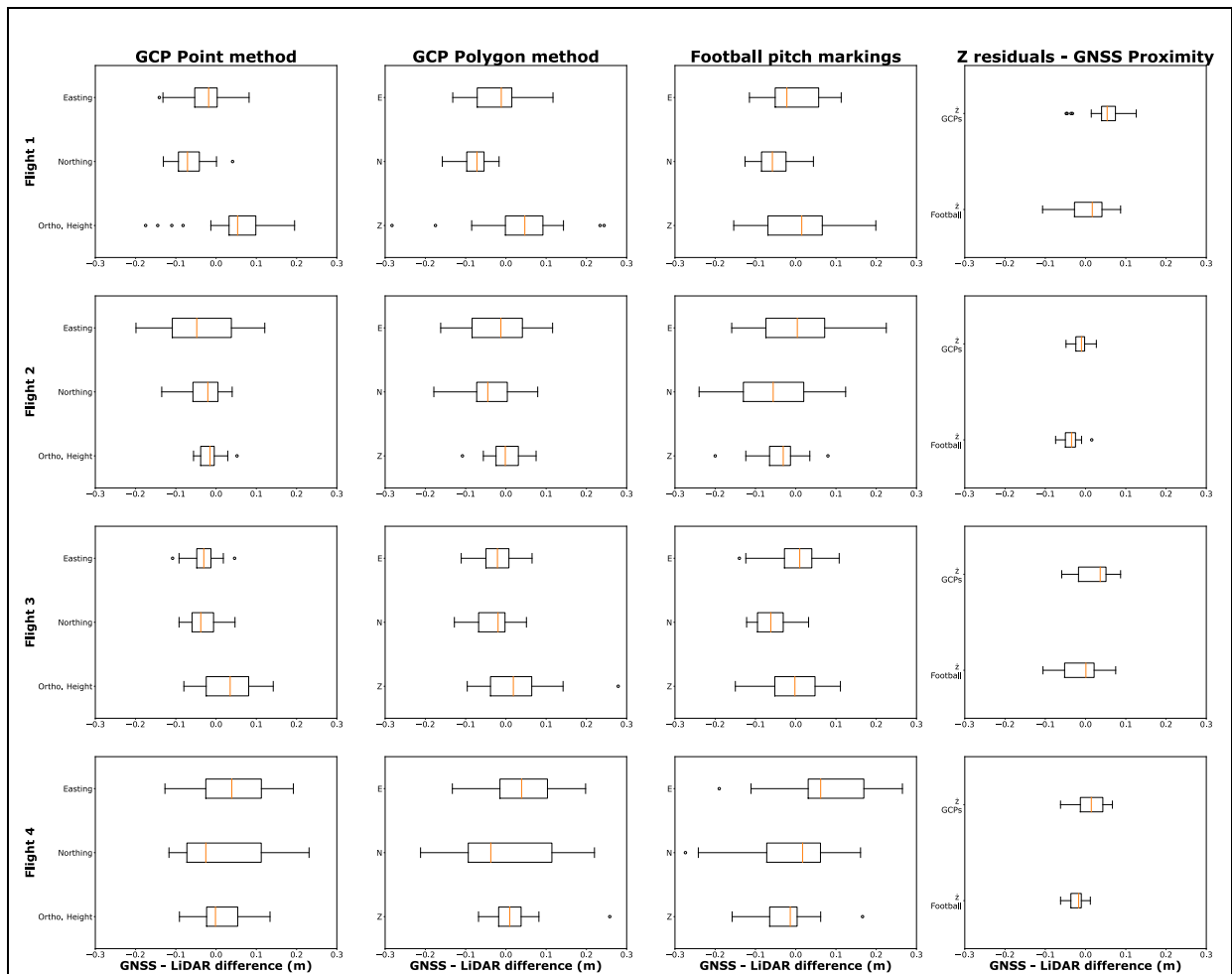
392 **4.0 - Results**

393

394 **4.1 - Garscube XYZ residual results**

395 Initial testing of the positional uncertainty of the DJI L1 solid-state LiDAR
396 system undertaken at the synthetic football pitch at Garscube
397 demonstrated sufficiently accurate and precise results with respect to both
398 the horizontal and vertical residuals. These results are summarised in
399 Figure 4 which shows the consistent centimetric-scale accuracy in all
400 dimensions across the four different flight tests, as well as the four different
401 *GCP Point, GCP Polygon, Football Marks and GNSS Proximity* residual
402 methodologies. The magnitude of the errors across the four flights and
403 three different comparison methods (ranging between -0.076 m and 0.077
404 m in horizontal, and -0.040 m and 0.057 m in vertical) are mostly within
405 several guideline thresholds you could expect and consider for this type of
406 data collection (e.g. The Survey Association, 2016; also see Table 2).
407 Firstly, the planimetric and vertical accuracy of the GNSS measurements
408 (Supplementary Materials A) used to calculate the positional residuals of
409 the LiDAR data are comparable. Secondly, considering the average point
410 densities of the pre-thinning point clouds (Table 1), the residual errors of
411 the LiDAR data are again of a similar magnitude as the spacing of LiDAR
412 points (varying between 0.088 m (Garscube Flight 1) and 0.127 m (Feshie
413 Flight 6) spacing between LiDAR points). As a third and final point, our
414 controlled test results here at the Garscube football pitch exceed those
415 quoted by the manufacturers of the equipment, DJI (horizontal: 10 cm @
416 50 m; vertical: 5 cm @ 50 m). The DJI test conditions were similar to those
417 used at the football pitch, with the differences being flying height (DJI = 50
418 m; Garscube 60 & 80 m) and this work also evaluated a slower flight speed
419 (DJI = 10 m/s only; Garscube 5 & 10 m/s).

420



421 *Figure 4: Garscube GNSS-LiDAR residuals. Each row represents a*
 422 *different flight test (Table 1), and each column a different method for*
 423 *calculating the residuals. Note that the first three columns are for XYZ*
 424 *residuals, whilst the right column is the mean average of Z residuals, for*
 425 *the GCPs and Football Marking respectively.*

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At Garscube, four flights were conducted with one of the objectives being to establish any significant difference between different flight parameters, namely flying height, and speed. These parameters influence the point density of the data, as well as the possible coverage area during a single flight or a larger survey campaign with multiple flights (Babbal et al., 2019; Resop et al., 2019). To establish if one of these combinations was optimal based on the above geometric residual results, the Easting, Northing and Orthometric Height residuals of all the GNSS measurements for the four flights were combined (*GCP Point, GCP Polygon and Football Marks* methods) and statistically compared using a Kruskal-Wallis, non-parametric test. The results of these tests concluded no statistical difference between any of the flights for any of the three dimensions (Easting, Northing or Orthometric Height).

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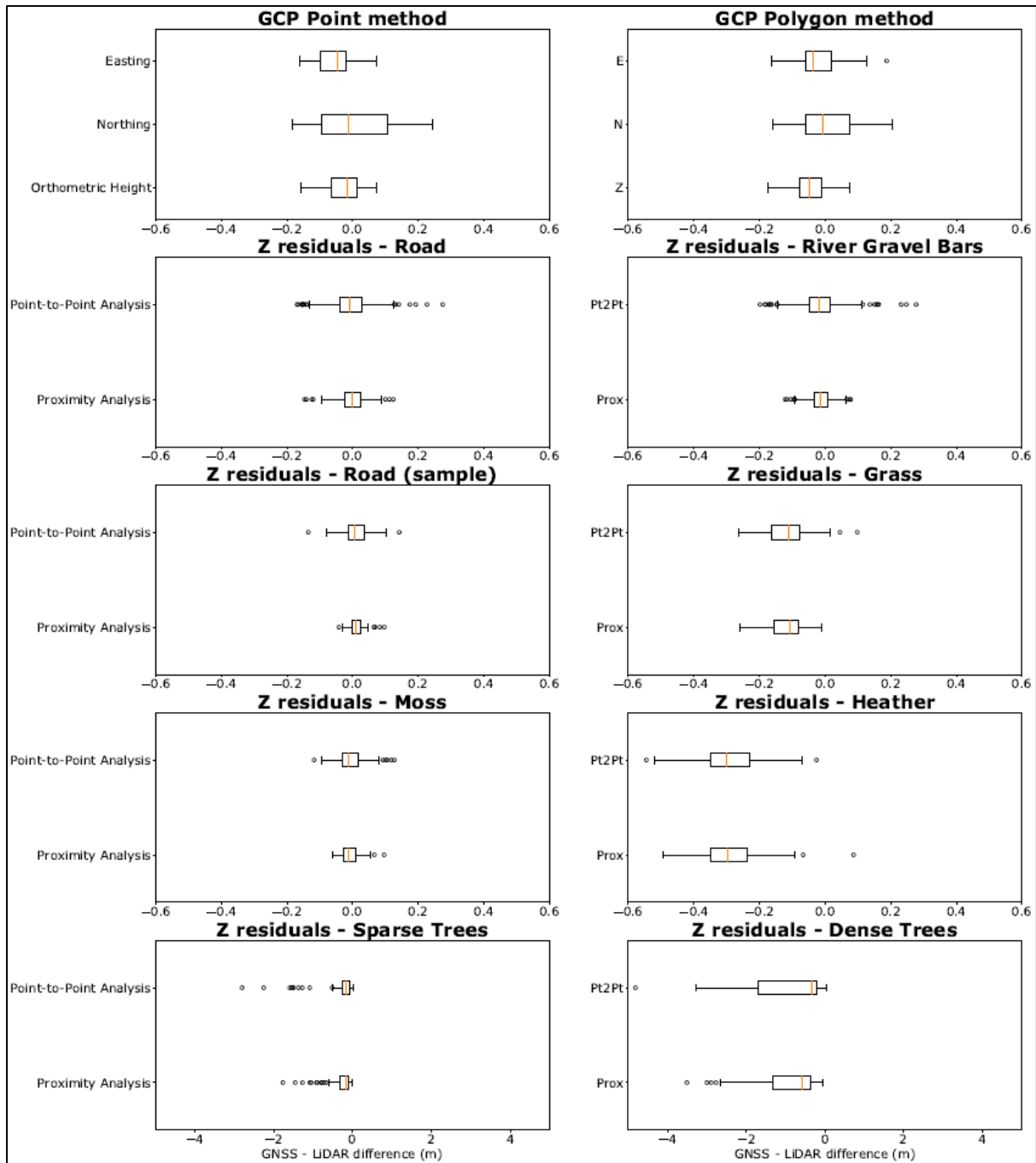
441 Further investigation of the residuals shows minor variability between the
442 flights in terms of the directionality of the various residuals calculated,
443 notably in the Easting & Northing dimensions. However, the magnitude of
444 this variability was still minimal (c. 0.06-0.08 m) and remained within the
445 expected tolerances described above. Although the same programmed
446 flight path was used for all Garscube flights with the use of the D-RTK base
447 station for the aircraft, the actual flight paths displayed some minor
448 variability, which could be attributed to environmental conditions like the
449 light wind and associated corrections to maintain the flight path to the plan.
450 This variability in flight path may go some way to explaining the minor
451 variance between the different flights that are not explained by changes in
452 flying height and speed.

453

454 **4.2 - River Feshie XYZ residuals**

455 The magnitude and variability of the geometric residuals for the River
456 Feshie site (Figure 5) were comparable to those seen during the Garscube
457 testing, for non-vegetated areas (GCPs, Road, River Gravel; ranging
458 between -0.050 m and 0.011 m in horizontal, and -0.048 m and -0.002 m
459 in vertical). Residuals for vegetated areas were, however, more complex.
460 For these areas, in addition to summarising geometric residuals for all the
461 sample points (Figure 5), Figure 6 shows representative cross-sections
462 through the point cloud for each vegetation type. The residuals of the pre-
463 thinned point cloud in these vegetated areas show significant offsets
464 between the measured GNSS points and selected point cloud data.
465 However, all the trends in the residuals are similar to the magnitude of the
466 vertical dimensions of these different vegetation types. For example, LiDAR
467 data collected in areas with moss (on gravel bars) had a mean average
468 vertical residual of -0.007 m, whereas areas of heather (without trees) had
469 a mean average offset of -0.290 m. With respect to the latter, this is
470 indicative of the LiDAR measurements not penetrating through heather to
471 the ground level, which can be seen in a representative cross-section
472 through the point cloud for this vegetation type (Figure 6). Residuals for
473 grass are similar to those associated with heather, albeit of a smaller
474 magnitude (-0.116 m), most attributable to the lesser density of the
475 vegetation structure. For canopy-type vegetation, residuals demonstrate
476 that the LiDAR is capable of partial penetration through sparse trees but
477 not dense trees; the mean average vertical residuals were respectively -
478 0.297 m and -0.883 m for these vegetation types.

479

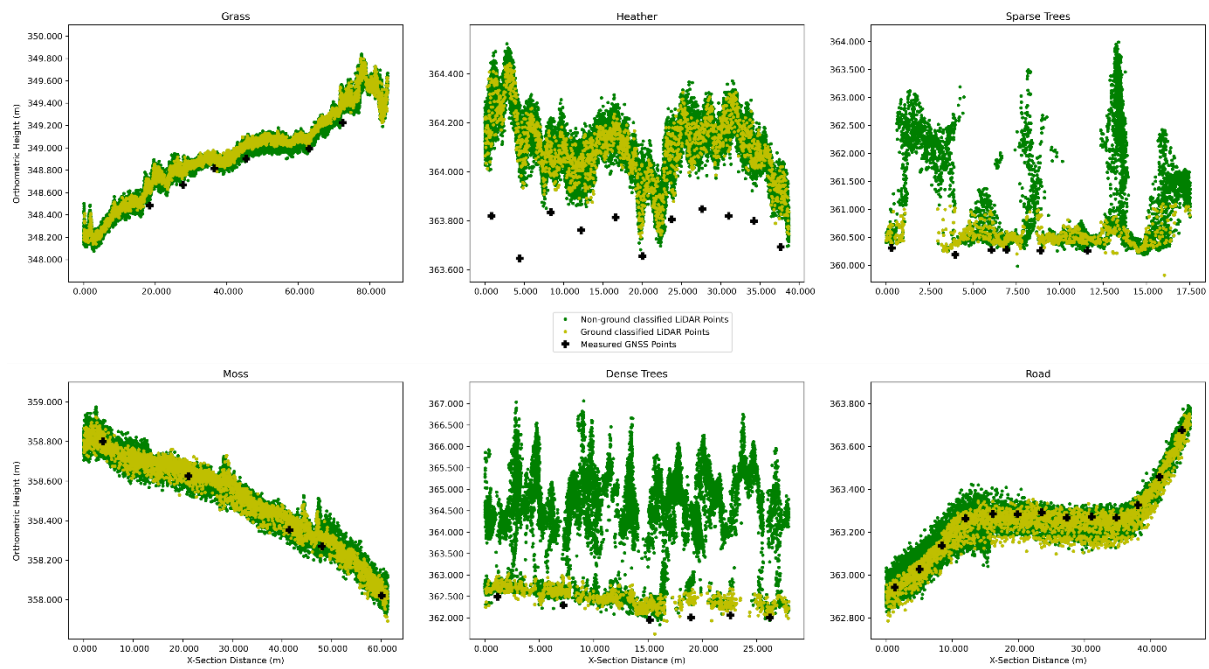


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Figure 5: Feshie GNSS-LiDAR residuals. The first row shows the XYZ residual results when using the GCP Point and GCP Polygon methods. Row 2 and below shows Z residuals for the various measured check points throughout the Feshie using both the point-to-point method and also an average of the LiDAR points within immediate proximity.

486
487
488

Figure 6 shows several cross-sections from the different vegetated areas, showing how the LiDAR penetrated through canopy-type vegetation, but could only capture the top surface of denser vegetation types like heather.



490

491 *Figure 6: Example 1 m wide cross-section through the vegetated areas of*
 492 *the LiDAR survey. GNSS measured points shown by black crosses show*
 493 *the lack of penetration of LiDAR measurements through dense vegetation*
 494 *(e.g., Heather), whilst on less dense vegetation (e.g., Moss) or hard*
 495 *features (e.g., Road) the GNSS measurements are centred within the*
 496 *LiDAR measurements.*

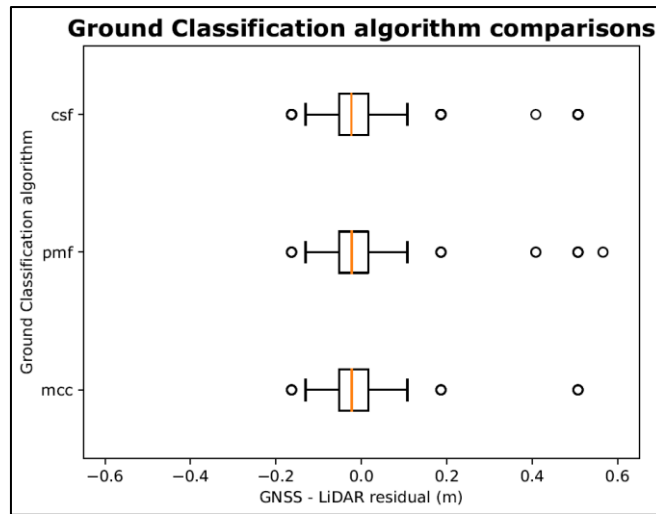
497 **4.3 - Ground Classification and DTM creation**

498 Ground classification is a key step to produce a realistic terrain product for
 499 further use. Therefore, particular attention was paid to selecting the best
 500 algorithm and parameters for the variety of features seen in vegetated
 501 fluvial environments.

502

503 Three different ground class algorithms and a range of associated
 504 parameters were tested on Garscube Flight 1 and a test area within the
 505 River Feshie site. This resulted in 146 test point clouds being created, with
 506 nearly 2,500 residual calculations. These residuals were then tested to see
 507 if there was any statistically significant difference between any of the
 508 algorithms across all parameter settings. Figure 7 shows the distribution of
 509 residuals plotted for each algorithm, and almost no difference can be seen
 510 between them. All three algorithms converge around minimal to no
 511 elevation residual when compared against the GNSS measurements. The
 512 performance of the three algorithms could not be statistically separated.
 513 The Multi-Curvature Classification (MCC) algorithm was chosen (using $\lambda =$
 514 1.5 and $t = 0.3$ as input parameters) for this ground classification for two
 515 reasons. First, it gave the best qualitative result by removing non-ground

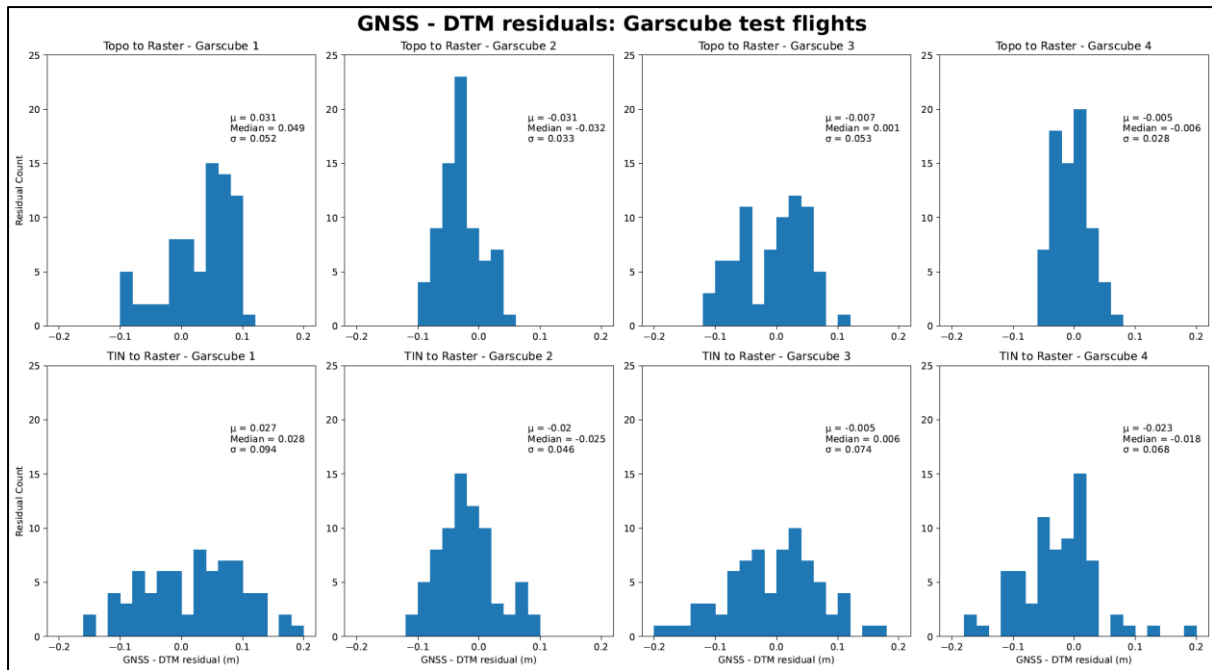
516 features like buildings and trees from the test sites used. Secondly it also
517 did not remove too much data, resulting in large holes in the point cloud
518 that were associated with other alternative algorithms and parameter
519 settings.



520
521 *Figure 7: Boxplots for each of the three ground classification algorithms*
522 *trialled using the lidR coding package (J. R. Roussel et al., 2020).*
523 *Residuals are combined from both the Garscube and the Feshie test site,*
524 *for all parameter settings combined.*

525 Converting point cloud data into continuous gridded raster products
526 required an appropriate interpolation method. Further analysis was
527 undertaken with all four Garscube flights, comparing the Topo to Raster
528 interpolation, available in ESRI ArcGIS products (Hutchinson, 1989; Smith
529 et al., 2003) and another common methodology in geomorphological
530 applications, converting point data via a Triangulated Irregular Network
531 (TIN) to raster.

532
533 Quantitative analysis of the DTM residuals from the GNSS measurements
534 (Figure 8) across the football pitch showed no obvious difference between
535 the methods. However, Topo to Raster interpolation had a tighter
536 distribution of residuals (indicated by the standard deviations, Figure 8)
537 across all four flights, despite the mean and median of some flights being
538 lower for the TIN to Raster method. Consequently, Topo to Raster was
539 chosen with no drainage corrections applied.



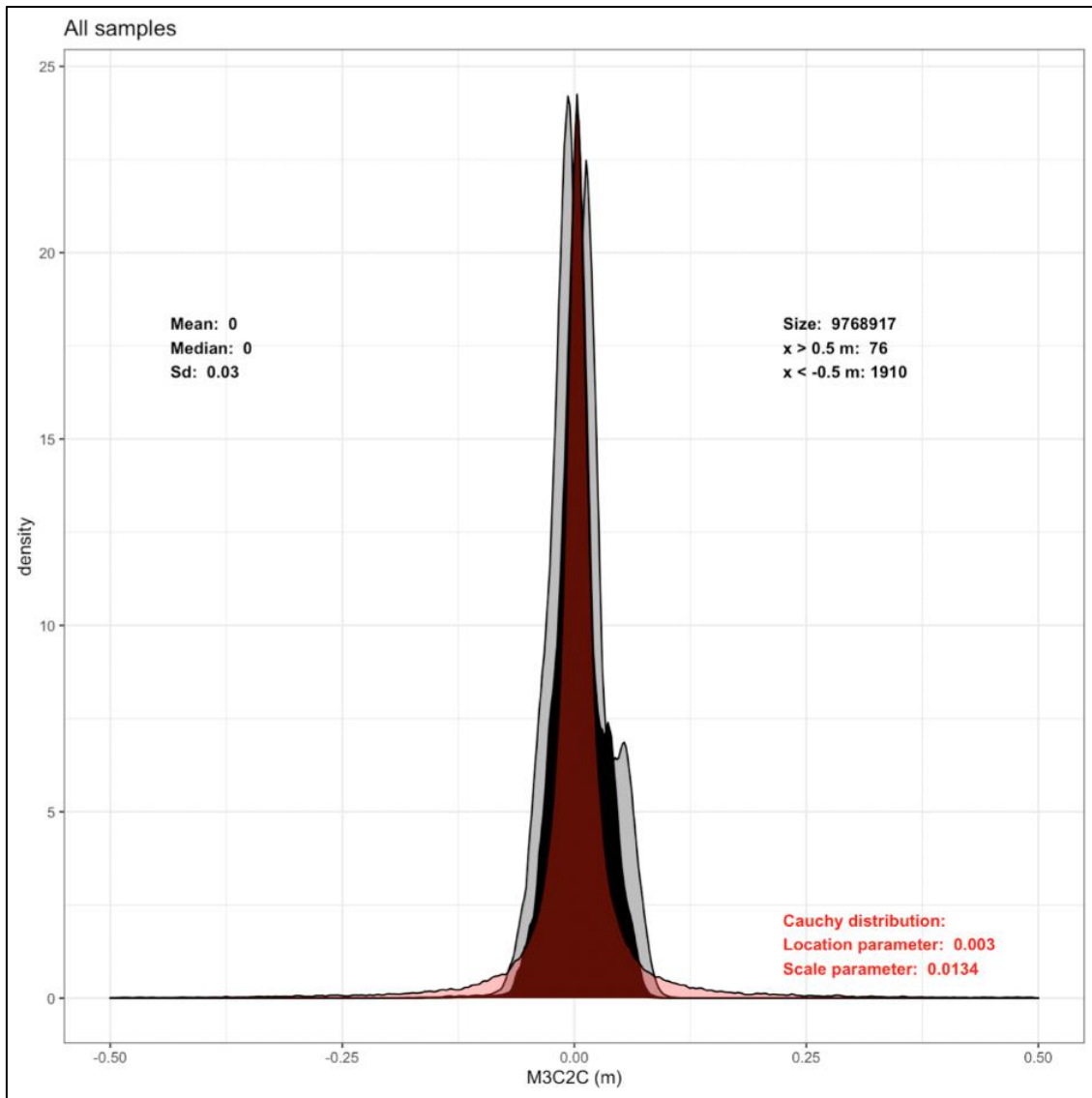
540

541 *Figure 8: Testing of two interpolation methods across all four Garscube*
 542 *test flights. Topo to Raster interpolation (top row) and TIN to Raster*
 543 *interpolation (bottom row).*

544 **4.4 - M3C2 differences**

545 The local M3C2 calculations for the seven sample sites, which compared
 546 the UAV LiDAR and TLS point clouds, showed the dominance of marginally
 547 zero M3C2 residual values for the all the sub-areas. The mean M3C2
 548 residuals ranged from -0.02 m to 0.05 m respectively, with equally low
 549 median residuals varying between -0.01 m to 0.05 m and tight standard
 550 deviations of these M3C2 residual distributions between 0.02 m and 0.04
 551 m. Outlier residuals, defined as M3C2 differences greater than 0.5 m, were
 552 also minimal across all the sample site, only representing between 0.007%
 553 and 0.04% of the local samples.

554 The distribution fitting shows how a Cauchy distribution (location parameter
 555 = 0.003; scale = 0.0134) outperforms the corresponding Gaussian fitting,
 556 for the approximation of the combined M3C2 difference from all areas
 557 (Figure 9). The latter is strong evidence for the marginally zero type of the
 558 M3C2 difference between the two point clouds (UAV LiDAR and TLS), since
 559 the Cauchy distribution is characteristically leptokurtic.



560

561 *Figure 9: The distribution of the combined M3C2 differences between the*
 562 *UAV-LiDAR and the TLS point clouds (River Feshie, black). The grey*
 563 *histograms demonstrate the maximum and the minimum expected*
 564 *distributions (M3C2-uncertainty and M3C2+uncertainty for left and right*
 565 *respectively). The red fitting, shows samples of the fitted Cauchy*
 566 *distribution as selected and approximated in Supplementary Materials C.*

567 **5.0 - Discussion**

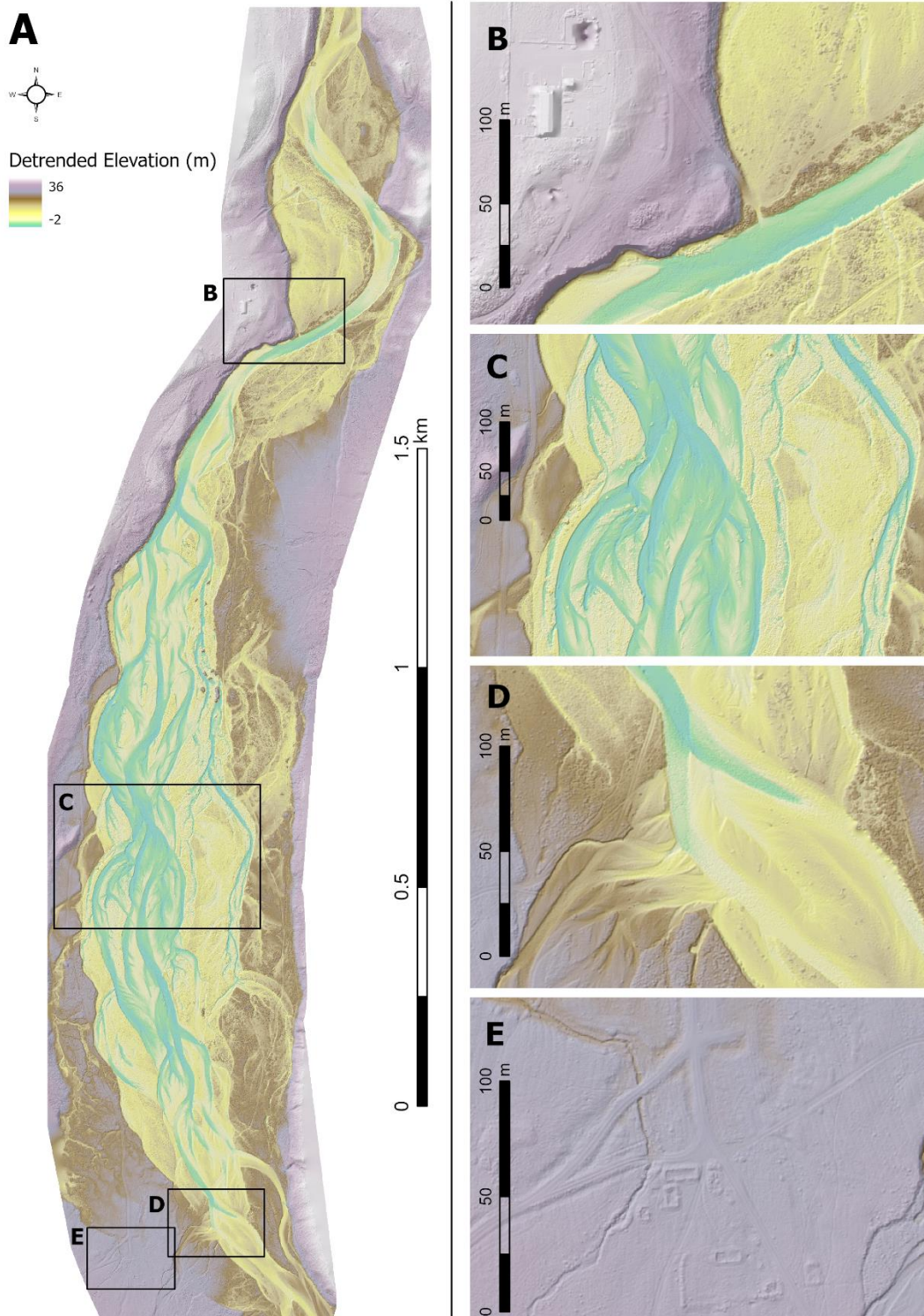
568

569 **5.1 – Reach-scale topography**

570

571 Figure 10a shows the reach-scale DEM of the River Feshie collected using
572 the DJI L1 solid-state LiDAR sensor in September 2021. This figure also
573 highlights particular areas of interest to illustrate the overall quality of the
574 topographic reproduction (Figures 10c and 10d), some areas where the
575 automated point cloud classification algorithm does not remove all surface
576 objects (Figure 10b) and where historic anthropogenic features can be
577 revealed (Figure 10e). The ground control and vertical check point error
578 assessments at the River Feshie demonstrate that the horizontal and
579 vertical accuracy of point data acquired by UAV solid-state LiDAR is at least
580 comparable to equivalent surveys undertaken on the same reach using SfM
581 photogrammetry (Stott et al., 2020) and ground-based laser scanning
582 (Williams et al., 2014). The magnitude of the residuals are comparable to
583 the feasible level of detection in a fluvial gravel-bed river environment due
584 to the surface grain size. Moreover, the residuals must be considered within
585 the context of the LiDAR point spacing, which ranges from c. 0.034 m to
586 0.055 m for Garscube and the River Feshie respectively. These point
587 spacings are dense for aerial topographic surveys but the inherent noise in
588 the point cloud data (Figure 6) will likely occlude opportunities for grain size
589 mapping from elevation distributions as demonstrated in a range of
590 investigations that have developed empirical relationships between
591 detrended surface roughness and grain size (e.g. Brasington et al., 2012;
592 Pearson et al., 2017; Reid et al., 2019).

593 The UAV solid-state LiDAR to TLS point cloud comparison clearly indicates
594 marginally zero residuals in unvegetated areas. Thus, future geomorphic
595 applications of the DJI L1 solid-state LiDAR sensor need not conduct error
596 analysis assessment to the degree that has been undertaken here to
597 quantify horizontal and vertical residuals. Table 2 summarises the errors
598 from this investigation relative to those from alternative geomatics
599 technologies. The errors reported here, for the River Feshie, using UAV
600 solid-state LiDAR are comparable to those from the other geomatics
601 technologies detailed. However, the UAV solid-state LiDAR system also
602 enables a larger extent to be covered at a much higher survey density.
603 Although the workflow is not fully streamlined into one software application,
604 it is both reproduceable and modifiable. Indeed, since data collection and
605 processing of the Garscube and River Feshie datasets, updates to DJI Terra
606 software could further streamline the processing workflow with respect to
607 coordinate conversions datums and point cloud densities.



608

609 *Figure 10: a) DEM of the 3 km long River Feshie reach, with hillshade*
 610 *illumination and linearly detrended by longitudinal valley slope. Insets*
 611 *shows areas of interest: b) artefacts of estate buildings and vegetation*
 612 *not removed through automated point classification process; c)*
 613 *anabranches; d) confluence of Shlochd Beag and River Feshie; e)*
 614 *footprints of demolished estate buildings under grass cover revealed by*
 615 *LiDAR DEM and hillshade.*

616 *Table 2: Table of comparative geomatics technologies for the collection of topographic data. pts = points; RMSE =*
 617 *Root Mean Square Error.*

Geomatics technology	Study site	Area of study (km ²)	Mean survey density	Error statistics				Reference
				Mean horizontal error (μ_{Hz} , m)	Standard deviation – horizontal error (SD_{Hz} , m)	Mean vertical error (μ_z , m)	Standard deviation – vertical error (SD_z , m)	
UAV solid-state LiDAR	River Feshie, Scotland	1.49	358 pts/m ²	-0.050 to 0.011	0.055 to 0.112	-0.048 to -0.002	0.037 to 0.058	This paper
Satellite Photogrammetry	Cook River, New Zealand	~15.6	0.5 m panchromatic images (Pleiades 1A)	-	-	0.04 to 0.08	0.68 to 0.85	Zareei et al., 2021
Aerial Photogrammetry (crewed aircraft/helicopter)	Davos, Switzerland	26.35 & 119.0	Ground Sampling Distance (GSD) – 0.25 m	0.03 to 0.21	-	0.10 to 0.33	-	Bühler et al., 2015
Aerial infrared ($\lambda = 1550\text{nm}$) LiDAR (crewed aircraft/helicopter)	Tisza River, Hungary	1.3	4 pts/m ²	-	-	-0.15	0.17	Szabó et al., 2020
Terrestrial Laser Scanning	Rangitikei River, New Zealand	500 m length reach	20,000 pts/m ² @ 50 m range	0.00244*	0.00139*	-	-	Lague et al., 2013
Mobile Laser Scanning	River Feshie, Scotland	0.125	50 pts/m ²	0.014 to 0.025	0.019 to 0.038	0.051	0.028	Williams et al., 2020
Real-Time Kinematic GNSS	River Feshie, Scotland	0.013	0.64 – 1.10 pts/m ²	0.072 to 0.085	0.019 to 0.020	0.085	0.026	Brasington et al., 2000
UAV SfM photogrammetry	River Feshie, Scotland	1.0	GSD = 23 mm	0.014 to 0.021	0.022 to 0.024	0.054 to 0.057	0.069 to 0.072	Stott et al., 2020
	Leopold Burn, Pisa Range, New Zealand	0.4	DEM resolution = 0.15 m	0.013 to 0.037 (RMSE)	-	0.022 to 0.046 (RMSE)	-	Redpath et al., 2018
Robotic Total Station	Lemhi River, Idaho	~0.002 to 0.023	DEM resolution = 0.1 m	-	-	0.001 to 0.008	0.030 to 0.042	Bangen et al., 2014

618 * TLS target (XYZ combined) errors

619

620 **5.2 – Vegetation and bathymetry**

621 An advantage of using active remote sensing techniques, such as LiDAR, is
622 their penetration of vegetation and thus the ability to derive a bare earth
623 DTM instead of vegetated DSM. In this paper we demonstrate that the error
624 in vegetated areas varies (-0.007 m to -0.883 m; Figures 5 and 6)
625 depending upon the density of vegetation. Several other investigations
626 (e.g. Babbel et al., 2019; Crow et al., 2007; Evans & Hudak, 2007;
627 Javernick et al., 2014; Resop et al., 2019) have found similar limitations
628 related to ground/vegetation classification related to vegetation density,
629 particularly the presence of dense understory vegetation which significantly
630 reduced LiDAR penetration to ground level. To obtain a true ground
631 measurement the laser pulse from the instrument has to pass through any
632 canopy and understory vegetation in both directions (i.e. away from the
633 sensor and on return). This can be considered partially a function of the
634 LiDAR sensor's power specification. The DJI L1 solid-state LiDAR sensor
635 produces around 30W with a maximum of 60W; our investigation has
636 demonstrated the capabilities of this sensor for penetrating sparse
637 vegetation and the limitations for penetrating dense vegetation. Several
638 authors have described potential considerations which may improve data
639 collection using LiDAR in vegetated areas including a methodology for
640 canopy and ground penetration estimation, scan angle including overlap
641 percentage (Babbel et al., 2019; Crow et al., 2007) and field-of-view,
642 seasonal flying during winter period with less foliage (Crow et al., 2007;
643 Resop et al., 2019), and flight orientations in areas of linear vegetation
644 growth (e.g. plantation forests; Crow et al., 2007). For types of vegetation
645 that are similar to those found in the River Feshie, further experiments
646 could be conducted to assess improvements to vegetation penetration by
647 flying lower, increasing the flight overlaps to >50%, changing the scanning
648 pattern, altering point cloud thinning to ensure more oblique points
649 originating from an adjacent flight line with the field-of-view are used more,
650 and flying after autumnal foliage dieback. The latter is, however, species
651 specific and would not overcome problems with heather since it does not
652 dieback. Overall, it is thus recommended that users always conduct a pre-
653 survey investigation of their site to assess the best approach to minimise
654 errors arising from dense canopy and/or understory vegetation.

655 A key limitation of the DJI L1 solid-state LiDAR is that returns from
656 terrestrial targets are of direct use without further processing
657 considerations. Returns in wet areas of the Feshie, such as anabranches,
658 had a sporadic distribution of return densities, with some areas having no
659 returns (Babbel et al., 2019; Passalacqua et al., 2012; Resop et al., 2019),
660 whilst other areas have similar densities to adjacent terrestrial targets (e.g.
661 gravel bars). The identification of wet areas from the LiDAR data alone is
662 not trivial given the inconsistency of return densities. Similar to Pan et al.,

663 (2015), in this survey we conducted a post-survey digitisation to map water
664 extent from the orthoimage produced by the camera in the L1 solid-state
665 LiDAR sensor, which was also further supported by measured RTK-GNSS
666 positions along the channel edge. However, several other semi-automated
667 approaches could also be considered to identify the extent of wet areas
668 such as the use of spectral information from the orthoimage to colour the
669 LiDAR point cloud (Carbonneau et al., 2020; Islam et al., 2021), waveform
670 feature statistics and neighbourhood analysis (Guo et al., 2023) or using a
671 more advanced geometric approach (e.g. Passalacqua et al., 2010). All
672 these suggested semi-automated approaches currently utilise raster data
673 formats (i.e. orthoimagery or a Digital Elevation Model), but there may be
674 potential to explore the use of the original LiDAR point cloud data. Once
675 the wet area extent has been established, there are three broad approaches
676 that could be applied to reconstruct the topography of wet areas, which
677 could subsequently be fused (Williams et al., 2014) into the dry bare earth
678 DTM. First, wet topography could be directly surveyed using robotic total
679 station, RTK-GNSS or echo-sounding (e.g. Williams et al., 2014; Williams
680 et al., 2020b). Second, RGB images that are acquired as part of the DJI L1
681 solid-state LiDAR survey, to colourise the point cloud, could be used to
682 produce an orthomosaic image and depth could then be reconstructed using
683 spectrally based Optimal Band Ratio Analysis (OBRA; Legleiter et al.,
684 2009); a technique that has been operationalised by Legleiter (2021) in the
685 Optical River Bathymetry Toolkit (ORByT). This approach requires glint-free
686 images, or images with glint removed (Overstreet & Legleiter, 2017), and
687 independent depth observations to select the band ratio that yields the
688 strongest correlation between depth and the image-derived quantity.
689 Finally, the third approach is to acquire a set of RGB images from the UAV
690 platform that can be processed using SfM photogrammetry and then
691 corrected for light refraction through the water column using either a
692 constant refractive index (Woodget et al., 2015) or by deriving refraction
693 correction equations for every point and camera combination in a SfM
694 photogrammetry point cloud (Dietrich, 2017). All three approaches require
695 water surface elevation to be reconstructed before bed levels are
696 calculated; this requires diligence and can be a source of significant error
697 (Williams et al., 2014; Woodget et al., 2019). Of these three approaches,
698 optical empirical bathymetric reconstruction requires the least additional
699 data collection and processing; direct survey involves time-consuming
700 ground-based sampling whilst bathymetric correction techniques require
701 images and computational overheads associated with SfM
702 photogrammetry. All these techniques are widely established and have
703 been applied to a range of rivers; it is thus beyond the scope of our
704 investigation to demonstrate these techniques here for the Feshie.

705

706 **5.3 - Best practice recommendations**

707 Table 3 presents a set of ten best practice recommendations based on our
708 experience of deriving a bare earth DTM of the River Feshie using UAV solid-
709 state LiDAR. The recommendations are organised around the key steps in
710 the workflow that was developed and applied in this investigation. The first
711 three items relate to surveying considerations. Flight planning
712 considerations include the choice of the UAV navigation app and how the
713 UAV will be operated. The length of flight lines needs to stay within relevant
714 UAV flying laws and guidance. This may also be influenced by sensor
715 requirements; for example, the DJI L1 solid-state LiDAR sensor requires
716 flight line length to be <1000 m so that the IMU is regularly calibrated
717 during turning. For large survey areas, such as the 3 km River Feshie
718 reach, battery logistics becomes important as flight duration is greater than
719 the power that one set of batteries can provide (Resop et al., 2019);
720 locations for flight landing and take-offs to replace batteries need to be
721 accessible and planned. Sensor operation considerations are closely related
722 to flight planning considerations. Flight lines need side overlap of at least
723 50% but increasing overlap too much, for example to the 80% suggested
724 for SfM photogrammetry (James et al., 2019; Woodget et al., 2015), will
725 result in much longer flight times. Flying lower and slower yield a higher
726 sampling rate and thus greater point density but this increased sampling
727 rate will result in the use of more battery power. A choice also needs to be
728 made about the number of results to record; the L1 sensor's single outgoing
729 pluse can be received as triple returns. Although not investigated here,
730 these returns can be analysed to characterise vegetation type and density
731 (Resop et al., 2019; Wallace et al., 2012). The third consideration is the
732 acquisition of independent survey data. Appropriate equipment (e.g. RTK-
733 GNSS, total station, TLS) needs to be deployed to sample surfaces that are
734 subjected to error analysis.

735 The fourth and fifth considerations are coordinate transformation and cloud
736 thinning. Raw point cloud data need transformation if output in a local or
737 national coordinate system is required. In this investigation, TerraSolid
738 software was used to transform the raw point cloud into the required
739 coordinate system, British National Grid (BNG). However, a recent software
740 update to DJI Terra now offers transformation to BNG, which simplify this
741 processing workflow. Point cloud thinning needs to consider the point
742 density that is required as output, possibly based off gridded DTM
743 resolution, and the algorithm that is subsequently used to thin both overlap
744 and the overall point cloud.

745 Consideration seven concerns the approach to point classification; a key
746 step in the process of deriving a high-quality DTM since this determines
747 which points are selected to represent bare earth. This investigation trialled

748 146 separate algorithms and parameter settings combinations before
749 settling on the default Multi-Curvature Classification (MCC) algorithm
750 (Evans & Hudak, 2007). This algorithm was specifically developed for
751 natural, forested areas. This contrasts with classification approaches for
752 more anthropogenically developed areas, where sharper curvature (e.g.
753 building walls, roofs) are considered, as opposed to softer curvature with
754 topography and vegetation. As the name suggests, MCC utilises a curvature
755 threshold method to assess and classify ground versus non-ground returns
756 at multiple scales within a local neighbourhood. Haugerud and Harding
757 (2001) developed a similar curvature-based classification algorithm known
758 as Virtual DeForestation (VDF) and suggested that the curvature tolerance
759 parameter (t) should be set at around four times the interpolated cell size.
760 Based on scale of sediment features in the River Feshie requiring a spatial
761 resolution of around 20 cm for geomorphological analyses, an appropriate
762 curvature tolerance of 0.8 was trialled for the various algorithms. This was
763 found to be quantitatively inseparable from residuals obtained from other
764 parameters but appeared qualitatively inferior to other settings, particularly
765 those outlined by Evans and Hudak (2007) and other lidR package
766 documentation. Sinkhole type artefacts, seen in some of our early test
767 results with other anthropogenically focused algorithms (e.g. in TerraSolid),
768 were elucidated in Evans and Hudak (2007) as negative blunders resulting
769 from scattering of the LiDAR pulses. The sinkhole artefacts tended to be
770 most obvious on harder surfaces such as road and gravel bars, due to the
771 uniformity of these surfaces. These sinkholes appeared to result from
772 commission errors (classifying non-ground point as ground, false positive)
773 using erroneous points that were below the actual ground and caused these
774 significant artefacts in the first tests of gridded raster terrain model
775 outputs. These sinkhole artefacts did not appear to be replicated in the
776 more natural algorithms like MCC, which was used in the final product,
777 although anthropogenic areas (e.g. farm buildings, Figure 10B) did have
778 artefacts that were of less concern given the topographic context.

779 Item eight considers the algorithm choice to interpolate to a raster. Item
780 nine focuses on accuracy assessment. At the same stage as flight and
781 independent survey data planning, the accuracy assessment requirements
782 need to be considered. It is recommended that these are split into three
783 stages: pre-processing to assess the survey; post-processing to assess the
784 ground classification; and raster interpolation to assess the gridded
785 product. Finally, the approach for reconstructing wet areas, if required,
786 needs to be determined. Options are discussed above, in Section 5.2, and
787 may influence flight planning and a need to acquire depth data.

788 *Table 3: Best practice recommendations for acquiring and processing UAV*
 789 *solid-state LiDAR.*

Item	Considerations
1. Flight planning	<ul style="list-style-type: none"> • Choice of UAV. • Choice of UAV navigation app • Flight height, speed, direction. • Logistics for flight take-off and landing, including battery duration and battery swapping.
2. Operation of sensor	<ul style="list-style-type: none"> • Choice of sensor • Swath width and side overlap (50%). • Number of returns to record. • Sampling rate. • Calibration of IMU.
3. Independent survey data	<ul style="list-style-type: none"> • Distribution and number of independent points (e.g. targets, landscape features) to independently survey • Choice of equipment for accuracy assessment e.g. RTK-GNSS / total station / TLS.
4. Coordinate transformation	<ul style="list-style-type: none"> • Coordinate system for data collection and output product.
5. Cloud thinning	<ul style="list-style-type: none"> • Methods to thin overlap and overall point cloud.
6. Point classification	<ul style="list-style-type: none"> • Selection of algorithm. • Definition of representative sample for accuracy assessment.
7. Manual point cloud editing	<ul style="list-style-type: none"> • <i>Likely optional but should be considered after evaluating point classification accuracy.</i>
8. Interpolation to raster	<ul style="list-style-type: none"> • Selection of algorithm e.g. Topo2Raster, TIN to Raster.
9. Accuracy assessments	<ul style="list-style-type: none"> • Selection of statistical methods during three stages: <ul style="list-style-type: none"> ○ (1) Pre-processing – survey assessment; ○ (2) Post-processing – classification assessment; ○ (3) Raster interpolation assessment.
10. Wet areas	<ul style="list-style-type: none"> • Identification and mapping of wet area(s) extent(s). • Selection of technique for reconstruction, if required. • Approaches available: <ul style="list-style-type: none"> ○ Direct survey (robotic total station, RTK-GNSS, echo-sounding); ○ Refraction correction of SfM photogrammetry derived point cloud; ○ Spectrally based Optimal Band Ratio Analysis.

790

791 **6.0 - Conclusion**

792 This investigation has evaluated a new consumer-grade UAV solid-state
 793 LiDAR sensor for topographic surveying and geomorphic characterisation of

794 fluvial systems. Given that this new type of LiDAR technology has mainly
795 been used outwith topographic surveying until very recently (Kim et al.,
796 2019; Raj et al., 2020; Štroner et al., 2021), the importance of our
797 investigation lies in the extensive geolocation error evaluation across study
798 areas with different degrees of topographic complexity.

799 Our results suggest that, in unvegetated areas, the accuracy of the DJI
800 Zenmuse L1 solid-state UAV LiDAR system is comparable to other current
801 UAV or aerial-based methods such as SfM photogrammetry, and
802 statistically indistinguishable from detailed ground-based TLS surveys. It is
803 possible to produce DEMs that achieve sub-decimetre scale (<0.1 m)
804 geolocation accuracy from the RTK aircraft position alone, even when
805 surveying in fluvial environments that are characterised by “noise” from
806 surface roughness associated with sediment and sparse canopy-type
807 vegetation. However, the solid-state LiDAR sensor was unable to penetrate
808 dense ground-hugging vegetation like heather or thick grass, resulting in
809 elevation bias in areas characterised by these types of vegetation.

810 Our investigation provides an initial processing workflow for UAV solid-state
811 LiDAR data, when applied to vegetated parts of the Earth’s surface.
812 Although the workflow is currently discontinuous, using a variety of
813 different software to process and assess the dense point clouds that are
814 acquired using these sensors, further software development will likely
815 improve processing efficiency. This will enable the characterisation of the
816 topography, and objects such as vegetation, using the increased density of
817 data that UAV solid-state LiDAR provides, and the increasingly large areas
818 that can be surveyed with contemporary UAV platforms.

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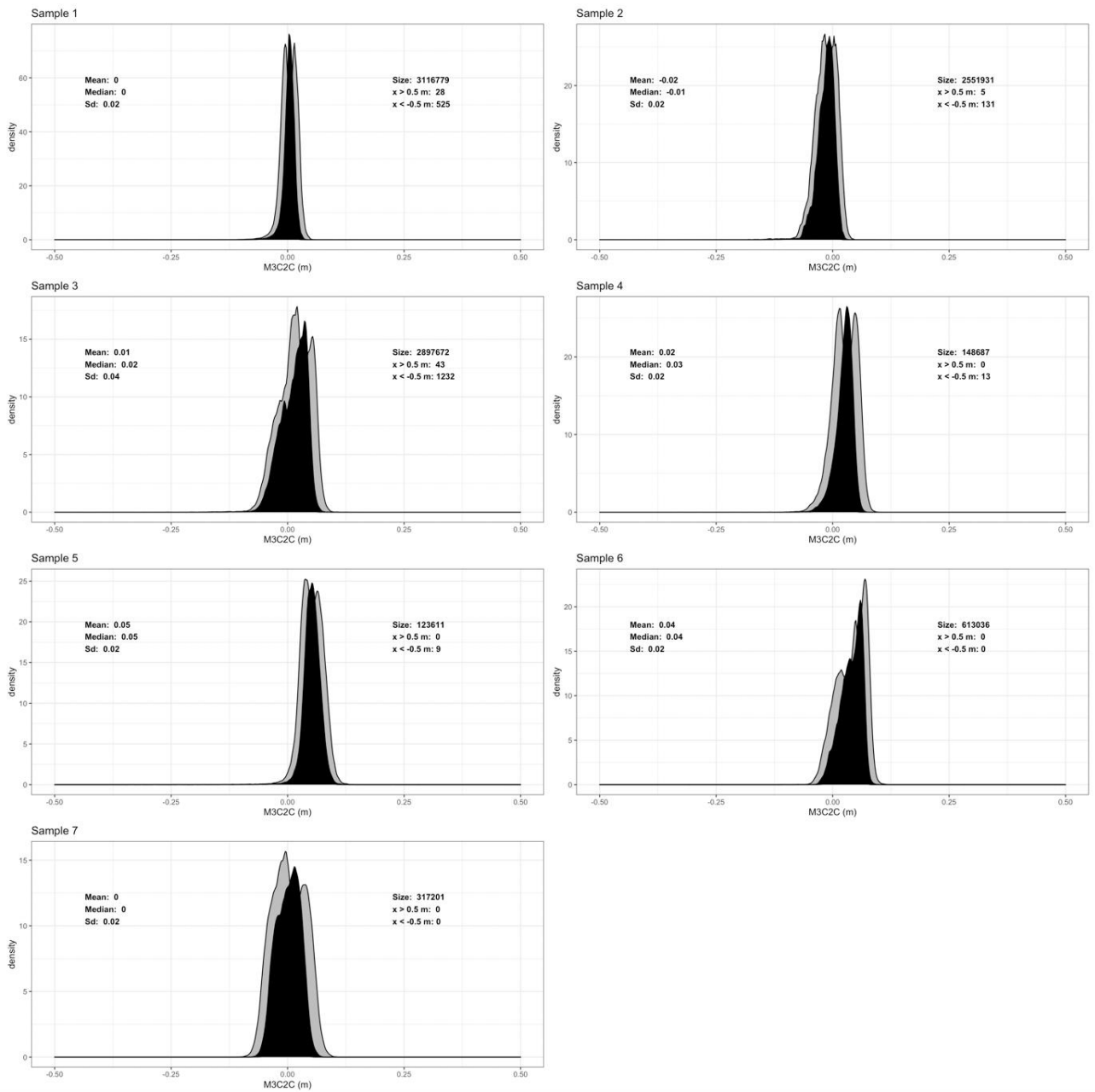
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- 1 **Supplementary Material A: RTK-GNSS measurement quality**
- 2 Table S1: Coordinate quality (CQ) and occupation details of the RTK-GNSS
- 3 measurements used for comparison to UAV LiDAR data.

Field site	GNSS Point Type	Occupation Time	Coordinate Quality Type	Mean (m)	Standard Deviation (m)
Garscube	Ground Control Targets	30 s	Horizontal (2D) CQ	0.005	0.001
			Vertical (1D) CQ	0.008	0.002
	Football Pitch markings	5 s	Horizontal (2D) CQ	0.008	0.002
			Vertical (1D) CQ	0.012	0.003
Feshie	Ground Control Targets	1 min	Horizontal (2D) CQ	0.004	0.001
			Vertical (1D) CQ	0.006	0.002
	Road Orthometric Height	5 s	Horizontal (2D) CQ	0.009	0.005
			Vertical (1D) CQ	0.014	0.007
	River Gravel Orthometric Height	5 s	Horizontal (2D) CQ	0.006	0.002
			Vertical (1D) CQ	0.011	0.002
	TLS Targets	Minimum 5 mins	Horizontal (2D) CQ	0.0002	0.0001
			Vertical (1D) CQ	0.0006	0.0004
	Vegetation Orthometric Height	1s	Horizontal (2D) CQ	0.007	0.012
			Vertical (1D) CQ	0.004	0.008

5 **Supplementary Material B: Distribution of M3C2 differences**
 6 **(individual sub-areas)**



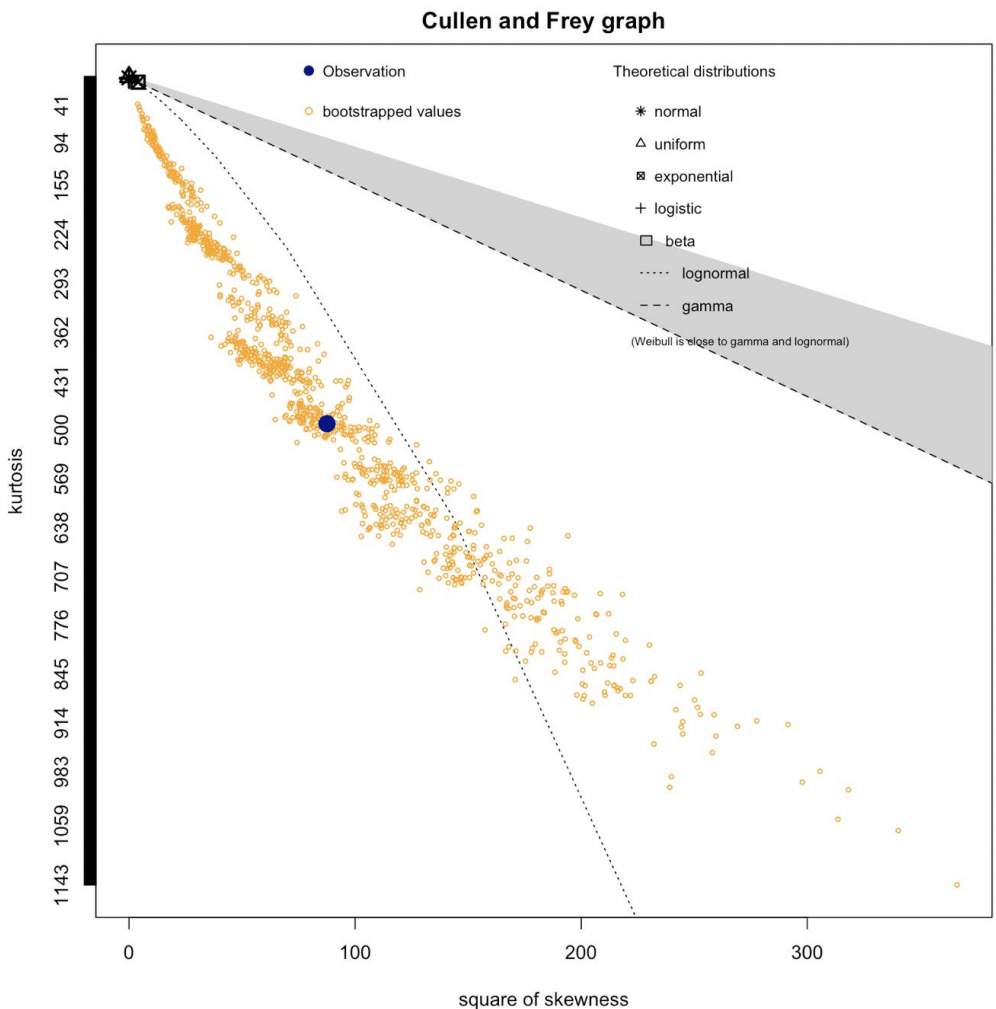
7

8 Figure S1: The distribution of the sampled M3C2 differences (Samples 1-
 9 7) between the UAV-LiDAR and the TLS point clouds (River Feshie, black).

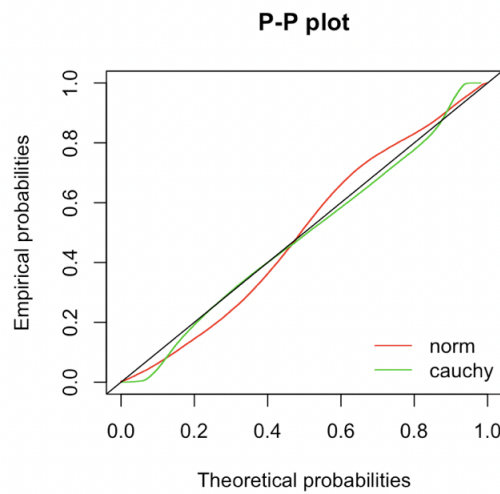
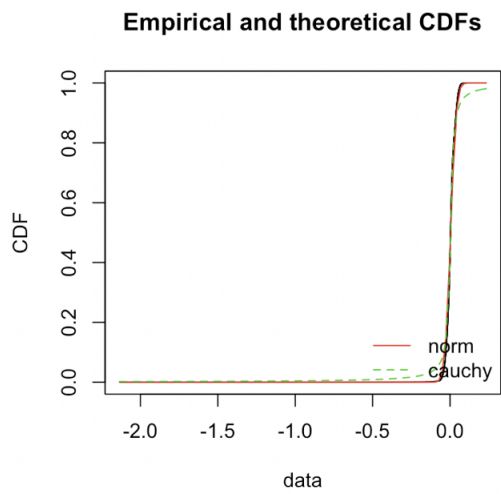
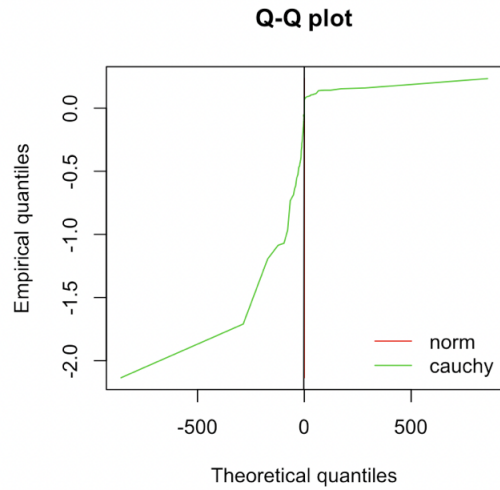
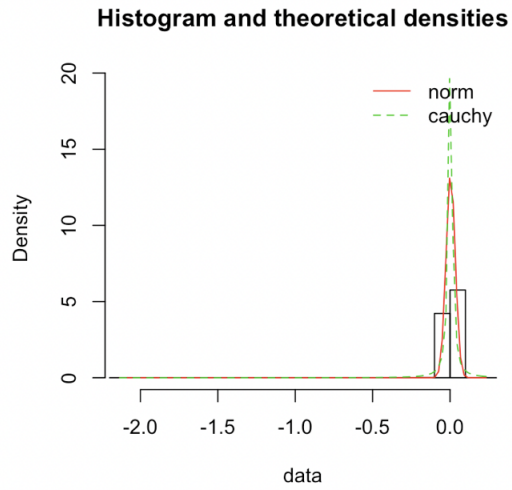
10 The grey histograms demonstrate the maximum and the minimum
 11 expected distributions (M3C2-uncertainty and M3C2+uncertainty for left
 12 and right respectively).

13 **Supplementary Material C: Distribution fitting for the combined**
14 **M3C2 sample (River Feshie).**

15 Figure S2 shows the Cullen and Frey diagram for the identification of
16 candidate distributions for the combined M3C2 sample. The bootstrapped
17 samples fall in the "symmetric" region, and we test the normal and the
18 Cauchy distributions, as the histogram indicates a mean and a median
19 approximating 0. The normal distribution outperforms the Cauchy at the
20 tails of the distributions (Q-Q plot, Figure S3). However, the Cauchy
21 distribution outperforms the normal in terms of central tendency (P-P plot,
22 Figure S3). The histogram and CDF diagrams lead to the same conclusions.
23 The confirmation for the selection of the distribution comes from the
24 goodness of fit criteria (Table S2) where the selected distribution (Cauchy)
25 marginally outperforms the normal for both the Akaike's and the Bayesian
26 calculation.



27
28 Figure S2: Cullen and Frey diagnostics for the combined M3C2 sample.
29 The area variation of bootstrapped values (yellow) indicates that the best
30 candidate distributions less likely to be non-symmetric. This is supported
31 graphically by the form of the histogram (Figure S3).



32

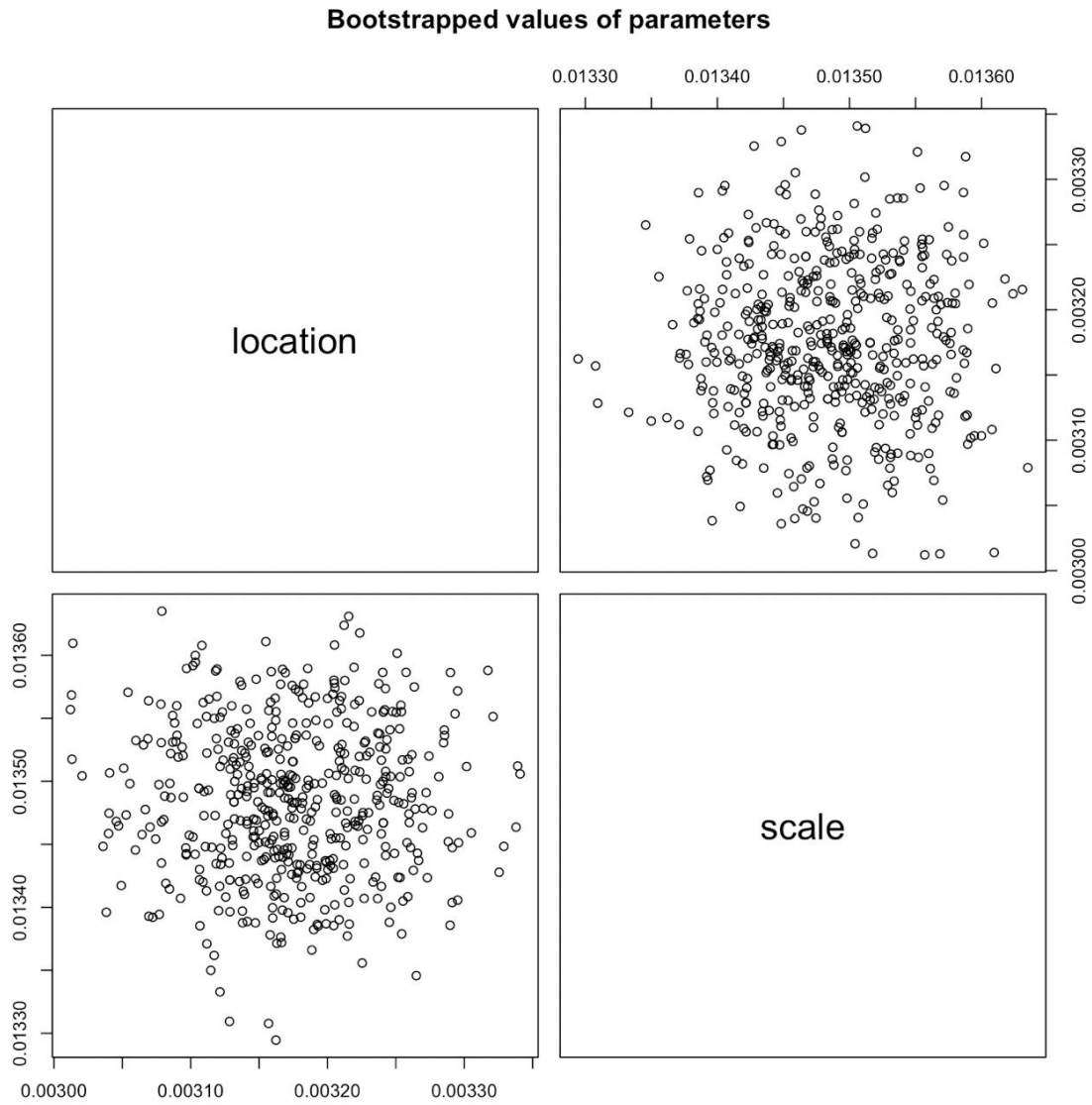
33 Figure S3: Fitting plots for the examined normal and Cauchy distributions.

34 Table S2: Goodness of fit statistics for the tested normal and Cauchy
 35 distributions. The Cauchy distribution outperforms the normal (marginally)
 36 as both the Akaike's and the Bayesian criteria are smaller.

Goodness-of-fit statistics		
	Normal	Cauchy
Kolmogorov-Smirnov statistic	0.06752856	0.06044401
Cramer-von Mises statistic	186.06562228	60.54967189
Anderson-Darling statistic	Inf	851.88017587
Goodness-of-fit criteria		
	Normal	Cauchy
Akaike's Information Criterion	-420356.9	-425859.6
Bayesian Information Criterion	-420337.8	-425840.6

37

38 Figure S4 demonstrates the stability of the selected distribution for M3C2
 39 combined sample. For the Cauchy distribution 1000 bootstrapped
 40 parameters were cross compared, revealing a variation of approximately
 41 0.003 for the location parameter and 0.013 for the scale parameter. This
 42 range is also confirmed in Table S3, where 97.5% of the bootstrapped
 43 parameters fall within those ranges. The differences are marginal,
 44 indicating good stability of the selected distribution for the scaling of the
 45 data.



46

Figure S4: Bootstrap parameters for selected distributions.

47

48 Table S3: Statistics of the bootstrapped distribution parameters (Cauchy).

	Median	2.5%	97.5%
Location	0.003171376	0.003050031	0.00329234
Scale	0.013484919	0.013376707	0.01359002

49

50