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1	Revealing the hillslope response to earthquake legacy effect using time series InSAR
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#### 31 Abstract

Strong earthquakes are not only able to change the earth's surface processes by triggering a large population of coseismic landslides but also by influencing hillslope deformation rates in post-seismic periods. An increase in post-seismic hillslope deformation rates could also be linked to a change in post-seismic landslide hazard level and, thus, could be exploited to better assess post-seismic landslide risk in a given area. However, variations in hillslope deformations from pre- to post-seismic phases have rarely been examined for strong earthquakes. This paper examines pre- and post-seismic hillslope deformations, from 2014 to 2018, for a large area (~2,300 km<sup>2</sup>) affected by the 2016 Mw7.8 Kaikoura earthquake using time series Interferometric Synthetic Aperture Radar (InSAR) techniques. To consistently analyze the entirety of the area from pre- to post-seismic phases, we aggregate InSAR-derived deformations for geomorphologically meaningful landscape partitions called Slope Units. We further examine the aggregated data through the hillslope deformation scheme, which we propose as a method to systematically identify the variations in post-seismic hillslope deformation trends. In this context, we label newly activated, uninterruptedly deforming, and stabilized hillslopes in the post-seismic phase. Our results show an ~130% increase in mean annual line-of-sight velocity after the earthquake. Overall, the areas affected by larger ground shaking show higher post-seismic deformations, which highlights the importance of the earthquake legacy effect as a factor controlling post-seismic hillslope deformations.

#### 65 **1. Introduction**

Failure of hillslopes is one of the most common secondary geohazards associated with strong 66 earthquakes (Fan et al., 2019). Nearly more than half of all seismic shaking-associated 67 68 fatalities are caused by landslides (Marano et al., 2009; Nowicki Jessee et al., 2020). Furthermore, cascading effects of landslides keep affecting our lives in post-seismic periods, 69 70 even years after earthquakes (Rosser et al., 2021). Therefore, identifying and monitoring the evolution of earthquake-triggered landslides in post-seismic periods is essential for disaster 71 72 prevention, loss mitigation, and understanding how seismic activity impacts the regional 73 landscape (Cai et al., 2022).

In spite of increasing research related to post-seismic landsliding (Chen et al., 2021; Kincey 74 et al., 2021; Tang et al., 2016), our knowledge of sub-meter deformation of active hillslopes 75 76 during the post-seismic phase is considerably lesser. Specifically, strong ground shaking 77 during earthquakes could create widespread fissures/tension cracks on the slope materials. 78 These newly generated discontinuity surfaces could decrease the hillslope strength and cause 79 slow movement that displays no indication of rapid slope failure (Petley et al., 2010). Moreover, 80 strong seismic shaking not only triggers coseismic landslides but also exacerbates hillslope stability in the post-seismic periods (Brain et al., 2017; Parker et al., 2015). This causes an 81 82 increase in the post-seismic landslide susceptibility or hazard level, which relates to the earthquake legacy effect (Fan et al., 2019; Tang et al., 2016; Tanyaş et al., 2021b). However, 83 84 there is limited literature on such incipient slow-moving landslides triggered by powerful earthquake shaking so far. For instance, Rosser et al. (2021) observed such cracks after the 85 86 2015 Gorkha earthquake and hinted at the possible development of slow-moving landslides 87 triggered by the earthquake.

88 So far, our understanding of earthquake legacy effect on post-seismic landsliding and their recovery time is mainly based on the examination of landslide inventories, while the same has 89 not been yet elaboratively analyzed by identifying, mapping, and monitoring dynamics of active 90 91 or slow-moving hillslopes. In fact, variations in surface deformations during pre- and post-92 seismic phases provide a more comprehensive picture of the earthquake legacy effect and its 93 evolution over time than multi-temporal landslide inventories because surface deformation 94 could exist regardless of landslide occurrences. Moreover, understanding how slow-moving 95 hillslopes originate/evolve after a common triggering event such as an earthquake can help us assess the post-seismic landslide hazard in a more robust way to plan preventive measures 96 97 and reduce the risk from rapid catastrophic failure (Palmer, 2017; Intrieri et al., 2018).

Spaceborne SAR (Synthetic Aperture Radar) provides a unique tool to capture hillslope
 deformations (Bamler and Hartl, 1998). SAR-based landslide observation and modelling

100 began way back in the middle of the 1990s (Fruneau et al., 1996), but only at the beginning 101 of the 21<sup>st</sup> century did InSAR (Interferometric SAR) become famous for monitoring landslide 102 deformations (Ferretti et al., 2001; Berardino et al., 2002; Hooper et al., 2004; Hooper, 2008; 103 Meisina et al., 2006). Particularly time series InSAR (TS-InSAR), such as PSI (Persistent Scatterer Interferometry) and SBAS (Small BAseline Subset) approaches, are the most 104 frequently applied techniques for landslide deformation analysis in recent years (Bayer et al., 105 106 2017; Tantianuparp et al., 2013; Zhao et al., 2018). The TS-InSAR applications largely transformed the process of landslide monitoring and hugely aided researchers in 107 understanding the evolution of slowly deforming hillslopes (Bayer et al., 2017; Colesanti et al., 108 2003; Colesanti and Wasowski, 2006; Handwerger et al., 2015; Hilley et al., 2004; Wasowski 109 and Bovenga, 2014; Bekaert et al. 2020). It can be used to unveil the smallest of 110 displacements that are happening within a slope. However, owing to the high computational 111 requirement of TS-InSAR techniques, regional scale analyses, in particular, associated with 112 earthquakes, are still rare. For instance, Lacroix et al. (2022) revealed the lagged initiations 113 114 and post-seismic relaxations of slow-moving landslides in the area hit by the 2015 Gorkha earthquake using Sentinel-1 SAR data. During this post-seismic relaxation phase, slow-115 moving hillslopes were found to have accelerating deformation mainly because of 116 117 groundwater transmission. Also, Martino et al. (2022) showed slow activations and 118 reactivations of landslides following an earthquake of magnitude (Mw = 5.1) in Italy with the 119 help of the Differential InSAR technique. Cheaib et al. (2022) uncovered three distinct post-120 seismic deformation pattern hillslopes affected by ground motion from the 2017 Sarpol Zahab 121 earthquake (Mw = 7.3): (i) post-seismic motion identical to pre-seismic level, (ii) steady increase in the post-seismic deformation velocity, and (iii) temporary increase in post-seismic 122 velocity, which recovers to pre-seismic level in some time after the earthquake. Cai et al. 123 124 (2022) exploited SBAS and laser scanning techniques to identified 16 slowly moving landslides that were developed after the Mw = 7 2017 Jiuzhaigou earthquake. Moreover, Cao 125 et al. (2022) identified multiple slow-moving landslides that were generated from the intense 126 ground shaking during the 2016 Kaikoura earthquake. 127

The literature implies that there is a growing interest in the geoscientific community for 128 129 unveiling the dynamics of incipient slow-moving hillslopes that are triggered by strong earthquakes. This study will contribute to this notion. Specifically, in this research, a new 130 131 systematic PSI-based approach is designed by integrating various pre- and post-processing 132 steps to detect and study the sub-meter deformation evolution of active hillslopes that existed before and those that are generated after the 2016 Mw= 7.8 Kaikoura earthquake using the 133 134 freely available Sentinel-1 SAR dataset. To systematically examine the large subset of the 135 area affected by the earthquake, we propose to follow a novel approach of aggregating InSAR-

136 derived deformations for every hillslope partition called Slope Unit (SU), as an alternative to 137 commonly used pixel clustering methods (Aslan et al., 2020; Bekaert et al., 2020). Ultimately, 138 to consistently analyze post-seismic hillslope evolution, we develop a hillslope deformation scheme (HDS), which could also be applied in any other earthquake-affected areas to classify 139 sub-meter hillslope deformation activity before and after an earthquake. Unlike the landslide 140 activity matrix suggested by Cigna et al. (2013), which requires pre-existing inventory, HDS 141 does not require a pre-existing inventory and is less intricate to understand and for applying 142 in earthquake-impacted hillslopes. 143

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# 2. Study area and data description

In this study, we chose to focus on hillslopes in a region affected by the 7.8 Mw Kaikoura 145 earthquake, which hit the north-eastern region of New Zealand's Southern Island on 14 146 November 2016 at local time 12:03 am (Figs. 1a and 1b). Earthquake hypocentre was located 147 at 15.1 km depth and the rupture originated from 42.69°S, 173.02°E (Duputel and Rivera, 148 2017). The earthquake displayed the most complex rupturing mechanism ever recorded, 149 involving more than 11 fault planes (Hamling et al., 2017). There has been no such large 150 magnitude earthquake documented in the history of New Zealand for over 100 years (Ulrich 151 et al., 2019). Displacements over 8 m have been observed in some regions of the Southern 152 Island (Hamling et al., 2017). The losses attributed to the event were around 1.8 to 4.9 billion 153 (Bradley et al., 2017). 154

Among the wide area affected by the 2016 Kaikōura earthquake, the particular region investigated in this work, with ~2,300 km<sup>2</sup> spatial extent (outlined by the yellow rectangle in Fig. 1c), is chosen mainly (i) to exploit freely available Sentinel-1 SAR images and (ii) to examine the main area affected the 2016 Kaikōura earthquake, which also hosts more than 50% of coseismic landslides. Specifically, Tanyas et al. (2022a) mapped ~4,000 coseismic landslides, and our study area covers 7,159 of them.



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Fig. 1. Study area. (a) Insert map showing the geographical situation of New Zealand in the 162 world map, (b) the location of study area in New Zealand along with the Sentinel-1 SAR scenes 163 covering the study area, and (c) physiographical setting and coseismic landslide distribution 164 of the study area affected by the 2016  $M_w$  = 7.8 Kaikōura earthquake. Panels (d) and (e) show 165 166 zoomed-in view of coseismic landslide polygons (Tanyaş et al., 2022a). Panel (f) shows the 167 distribution of examined Sentinel-1 SAR images over time for pre-Kaikoura (x-marks in blue) 168 and post-Kaikoura periods (Plus signs in orange) in ascending direction. SKR: the Seaward 169 Kaikoura Ranges; IKR: the Inland Kaikoura Range.

- We examined 90 Sentinel-1 SAR data available between October 2014 and December 2018
  in descending direction (Fig. 1b and Table 1). To obtain coherent radar scatterers as much as
  possible and avoid temporal decorrelation, the analysis period was split into two intervals (e.g.,
  Braun et al., 2020) as pre- and post-seismic periods (Fig. 1f and Table 1).
- 174 Sentinel-1 A and B, which have been active from 2014 and 2016 onwards, nominally have 6 175 to 48 day repeat cycle. For our case, the interval between two adjacent images majorly varies

between 24 and 48 days in the case of the pre-seismic period, while the interval becomes
shorter with most images acquired between an interval of 6 or 12 days in the post-seismic
period.

- 179 Table 1. Key information of Sentinel-1 (S-1) SAR data utilized in this study (Pol.: Polarimetric
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	channel).									
Peric	od Sensor	Direction	Pol.	Path	Frame / Image count	Start date/ End date	# images			
Pre Kaikōu	- S-1A ura	Ascending	VV	154	1040 / 14	28-10-2014 to - 10-11-2016	27			
	S-1A				1041 / 4					

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1040 / 3 &

1041 / 56

16-11-2016 to

24-12-2018

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This study used Generic Atmospheric Correction Online Service (GACOS, Yu et al., 2018) provided by Newcastle University for eliminating the atmospheric phase delays in SAR interferograms. We used the void filled Shuttle Radar Topography Mission (SRTM) DEM of 1arc second to assess the role of various DEM derivatives in hillslope deformation. Also, to evaluate the contribution of ground shaking associated with the 2016 Kaikōura earthquake, we used the U.S. Geological Survey (USGS) ShakeMap's Peak Ground Acceleration (PGA) estimate (Worden and Wald, 2016).

VV

# 189 **3. Methodology**

Post-

Kaikōura

S-1B

Ascending

Overall, the methodology of this study consists of seven steps: (i) pre-processing of PSI (single-master interferogram formation), (ii) PSI processing, (iii) analysis of the spatial distribution of PS across different landscape characteristics, (iv) detection of actively deforming PS points, (v) identification of active hillslopes during pre- and post- seismic phases, (vi) examining the sub-meter hillslope deformation evolution based on proposed HDS and (vii) probing the recovery of earthquake initiated active hillslope deformation. The complete workflow of this study is presented in Fig. 2.



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Fig. 2. General synopsis of the methods.

# 199 **3.1.** Pre-processing and Persistent Scatterer Interferometery (PSI) processing

Both pre-processing and PSI processing are run separately for the pre- and post- Kaikoura 200 phases. For the automated generation of Sentinel-1 interferograms, the open-source 201 SNAP2StaMPS python tool developed by Blasco et al. (2018) is used, which repeatedly calls 202 203 the graphs created from the graph processing tool (GPT) of SentiNel Application Platform 204 (SNAP version 8.0.0). This python-based SNAP2StaMPS tool is implemented in the Jupyter Notebook IDE on a 32 vCPU Intel ×86-64, 768 GB RAM, NVIDIA RTX A4000 GPU computing 205 206 unit. The stacks of interferograms are the output prepared for further Stanford Method for Persistent Scatterers (StaMPS, Hooper et al., 2012; Hooper, 2008) based PSI processing. To 207 properly remove the atmospheric phase, GACOS is employed with the help of TRAIN (Toolbox 208

for Reducing Atmospheric InSAR Noise, Bekaert et al., 2015). The final products are deformation time series of all PS and mean annual line-of-sight (LOS) velocity (V<sub>LOS</sub>) maps. The details for extracting surface deformation from the Sentinel-1 SLC dataset with VV polarization, including pre-processing and processing stages of PSI, are provided in the Supplementary Materials.

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# 3.2. Spatial distribution of PS over morphometric and seismic variables

We examine the spatial distribution of PS derived for the post-seismic period in relation to the morphometric and seismic variable for understanding their association. More specifically, the hillslope steepness and aspect are examined in relation to all PS and their velocity. These variables provide insights about the hillslope geometry and serve as an indicator in addressing the balance of stability factors acting on a hillslope and study area as a whole (McColl, 2022). As for the seismic influence, PGA estimates of the 2016 Kaikōura earthquake is examined with the PS showing the mean annual  $V_{LOS}$ .

## **3.3.** Detection of actively deforming PS points and hillslopes

Following the generation of surface deformation time series, PS points are methodically evaluated for identifying actively deforming points (Raspini et al., 2018). We mainly focus on PS deformation trend change over time and determine a critical stability threshold to the deformation velocity that can aid in identifying those potential actively deforming points. By following such a procedure, stable points can be eliminated for further analysis (e.g., Aslan et al., 2020).

For identifying actively deforming points, there are two commonly used methods in literature: 229 (i) setting a critical stability threshold of either one or two standard deviations for PS velocity 230 (Aslan et al., 2020; Bekaert et al., 2020) and (ii) using the hillslope velocity classification of 231 Cruden and Varnes (1996). In the case of the former method, those PS points whose absolute 232 velocities are more than 1 or 2 standard deviations ( $\sigma$ ) are mostly considered 'active', and the 233 234 rest are considered 'stable'. In the latter method, for instance, Cigna et al. (2013) characterized those hillslopes having active deformation to be extremely slow-moving ( $I \pm 13I \text{ mm/yr} \le V_{LOS}$ 235 < I±16I mm/yr) and very slow-moving hillslopes ( $V_{LOS} \ge I\pm16I$  mm/yr) based on Cruden and 236 237 Varnes (1996) classification. Even though there is no lower threshold coined for extremely slow-moving hillslopes in Cruden and Varnes (1996) classification, the authors made an 238 239 assumption in setting I±13I mm/yr as the minimum threshold.

This research proposes to couple both approaches summarized above to differentiate active PS from stable ones. In particular, we set the common critical stability threshold as  $|\pm 10|$  242 mm/yr. This assumption well aligns with the literature (e.g., 13 mm/yr in Cigna et al., 2013) 243 and the dataset we examined in which the one standard deviation of mean LOS (line-of-sight) 244 velocities from post-Kaikoura phase is 8.44 mm/yr. Therefore in this study, those PS having mean LOS velocities equal to or greater than |±10| mm/yr are classified as active points, while 245 the rest are excluded as they are stable. Moreover, this procedure can aid us in removing 246 pixels that are affected by shadow effects (Bekaert et al., 2020). In addition, active PS is also 247 sub-categorized as extremely slow-moving ( $|\pm 10|$  mm/yr  $\leq V_{LOS} < |\pm 16|$  mm/yr) and very slow-248 moving ( $V_{LOS} \ge |\pm 16|$  mm/yr) PS (Cruden and Varnes, 1996). We should also stress that PS 249 pixels that are located below 10 degrees of slope are eliminated as they are not mostly 250 251 associated with the hillslope deformation.

To systematically examine InSAR-derived hillslope deformations over the entire study area, 252 we use r.slopeunits software and delineate Slope Units (SUs), which are mapping units 253 representing those regions that have similar slope and aspect (Alvioli et al., 2018). In other 254 255 words, partitions of terrain displaying homogeneity in terms of slope and aspect are delineated 256 as a SU. These units represent what geomorphologists widely accept as a natural hillslope, 257 and it is widely used in landslide susceptibility/hazard modelling (Guzzetti et al., 2005). Earlier 258 studies had aggregated a cluster of significant coherent scatterers lying on a study site that 259 displays active deformation to be slow-moving hillslopes rather than considering any terrain unit since processes that occur within a slope is mostly discrete (Bekaert et al., 2020). 260 Although SUs have been in use for landslide studies since 1988, it has been rarely used for 261 identifying slowly moving hillslopes in combination with InSAR techniques (López-Vinielles et 262 263 al., 2021).

After generating SUs, we count the number of active PS points within each of them. At this 264 point, a PS threshold is defined to identify if a SU is slowly moving or not. Such an approach 265 increases the reliability that the active deformations of PS are associated with hillslope 266 processes and are not because of any individual unstable structures (Notti et al., 2014). Cigna 267 et al. (2013), López-Vinielles et al. (2021), and Pawluszek-Filipiak et al. (2021) suggested that 268 at least three to five actively deforming PS should be utilized for identifying a slow-moving 269 270 hillslope. However, in this study, we safely assume that those slope units which contain  $\geq 20$ 271 active PS are classified as slow-moving. We then calculate average deformations and annual 272 LOS deformation velocities for SUs to further classified them as extremely slow-moving and very slow-moving hillslopes. In addition, we investigate deformation time series to capture 273 274 continuous displacement patterns proving the existence of actively deforming hillslopes.

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# 3.4. Post-seismic hillslope deformation scheme

In order to define the different states of sub-meter hillslope deformation activity before and 278 279 after the Kaikoura earthquake, we propose a post-seismic hillslope deformation scheme (HDS) (Fig. 3). The average VLOS of the entire hillslopes is used to define the state of activity 280 of a hillslope. It is assumed that four different types of hillslope activities can be captured by 281 282 the proposed activity matrix. Type I describes those hillslopes that are stable in the pre-seismic phase, which become active in the post-seismic period (Lacroix et al., 2014). Type II refers to 283 284 actively deforming hillslopes that continue being actively deforming in the post-seismic period (Bekaert et al., 2020). Type III indicates actively deforming hillslopes that become stable 285 286 during the post-seismic period. Finally, Type IV refers to hillslopes that remain stable during 287 pre- and post-seismic phases.



- 288 289
- 290
- 291
- 292 **4. Results**



By processing 26 interferograms of Sentinel-1 acquisitions for the pre-seismic period, we generated 252,231 PS points where  $V_{LOS}$  range from -20.27 mm/yr to 20.08 mm/yr (Fig. 4a). The mean and standard deviation of the average  $V_{LOS}$  appear as 0.98 mm/yr and 3.86 mm/yr, respectively (Fig. 4a). As for the post-seismic period, we generated 134,810 PS points in which  $V_{LOS}$  values vary between -54.10 mm/yr and 39.10 mm/yr (Fig. 4b). The mean  $V_{LOS}$  is around -1.41 mm/yr, and the standard deviation is about 8.44 mm/yr (Fig. 4b). We used the group of nearly stable PS which exist in both periods to align all PS observations in a common reference grid.

In both cases, the positive values signify those regions of the study area moving toward the 302 sensor (i.e., roughly westward motions), while those parts moving away from the sensor (i.e., 303 roughly eastward motions) have a negative value. Spatial distribution of PS points does not 304 305 indicate a dramatic difference in V<sub>LOS</sub> over the study area in the pre-seismic phase, whereas distinctive high deformations (i.e., >20mm/yr) are captured in the post-seismic period, 306 specifically across the mountainous landscape around the rupture zone (e.g., the Seaward 307 Kaikoura Ranges). In the post-seismic phase, relatively high deformations are also 308 pronounced even far from the rupture zone whenever the landscape gets steeper (e.g., across 309 the Inland Kaikoura Range and the northwestern part of the study area). By comparing the PS 310 total amount between pre- and post-phases, Fig. 5 shows an approximately 130% absolute 311 312 increase in  $V_{LOS}$  after the earthquake.



Fig. 4. V<sub>LOS</sub> before (a) and after (b) the Kaikōura earthquake expressed in mm/yr presented
 with hillshade generated from DEM. SKR: the Seaward Kaikōura Ranges; IKR: the Inland
 Kaikōura Range.



VLOS bins

Fig. 5. Comparison of PS point counts during pre- and post-Kaikōura by generating mean
 annual LOS velocity bins.

319 Based on the approach described in Methodology section, we labeled actively deforming PS 320 points by setting a critical stability threshold of  $\pm 10$  mm/yr to V<sub>LOS</sub>. In the pre-seismic phase, approximately 1% of the PS points are labeled as active. This value increased to ~14% in the 321 post-seismic period. After labeling the active PS, we further classified them into two types 322 namely extremely slow-moving and very slow-moving PS. In the pre-Kaikoura phase, ~99% 323 (2,566) of active PS comes under extremely slow-moving while the rest (33 PS points) is very 324 slow-moving PS. During the post-Kaikoura phase, ~55% (10,641 PS points) and ~45% (8,805 325 PS points) of PS points are classified as extremely slow-moving and very slow-moving PS, 326 327 respectively (Fig. 6).





Fig. 6. Spatial distribution of extremely slow-moving (ESM) and very slow-moving (VSM) PS
 points during pre- and post-Kaikōura periods.

# **4.2.** Morphometric and seismic factors influencing post-seismic V<sub>LOS</sub>

To understand the variability of PS pixels having different deformation rates, we created ten 332 bins of V<sub>LOS</sub>, each having a 10 mm/yr interval and examined their variation over different slope 333 334 steepness and PGA values (Figs. 7a and 7b). Results show a descending pattern in slope 335 steepness from negative to positive surface deformations (Fig. 7a). Median V<sub>LOS</sub> values are 336 always between 30 and 40 degrees for the PS points experiencing deformations <-20 mm/yr. This observation matches with median slope steepness of coseismic landslides triggered by 337 the Kaikoura earthquake (Tanyas et al., 2022). At the other edge of the spectrum, PS points 338 with V<sub>LOS</sub>>20 mm/yr appear on gentle hillslopes where median slope steepness is 339 340 approximately below 20 degrees. In some specific V<sub>LOS</sub> bins (i.e., 20 mm/yr<V<sub>LOS</sub><30 mm/yr) 341 median slope steepness is even less than 10 degrees. Notably, both positive and negative deformation signals might be associated with hillslope motions. 342

As for the connection between ground shaking and post-seismic deformation, results show that PS having higher deformation either moving away from the satellite (from -40 to -60 mm/yr) or towards the satellite (from 20 to 40 mm/yr) are primarily found in regions that experienced PGA larger than 0.6 g during the earthquake (Fig. 7b). Overall, larger the ground shaking, higher the post-seismic deformation.



Fig. 7. Box plots showing the variation of the (a) slope steepness, and (b) PGA in response to various bins of  $V_{LOS}$  retrieved following the Kaikōura earthquake.

351 We also examined aspect distribution of PS points (Fig. 8). We focused, in particular, on active 352 PS points. Results show that PS points with deformation signal away from the sensor (i.e., 353 roughly eastward motions) are mainly located on east-northeast facing hillslopes (Fig. 8b), whereas the same link is not pronounced for the PS points where the deformation signal is 354 towards the sensor (Fig. 8c). In fact, in the former case, the aspect of hillslopes with high 355 deformations does not fully match with the dominant aspect of the examined landscape. But 356 it is well in alignment with aspect distribution of coseismic landslides likely associated with the 357 directivity effect of the Kaikoura earthquake (Tanyas et al., 2022). This implies that hillslopes 358 hosting more coseismic landslides due to the earthquake directivity effect also show high post-359 seismic deformations. 360





Fig. 8. Rose diagram displaying the pattern of aspect in relation to post-Kaikōura active
 surface deformations and landslides triggered during the main shock of the Kaikōura
 earthquake.

# 365 4.3. Actively deforming hillslopes and their post-seismic evolutions

To systematically examine actively deforming hillslopes, we aggregated PS points at the level of SUs (see Supplementary Materials, Fig. S6). Actively deforming hillslopes were further classified into extremely slow-moving and very slow-moving hillslopes based on the average LOS velocity of the hillslopes. By doing so, we labeled nine extremely slow-moving hillslopes in the pre-seismic period between October 2014 and November 2016 (Fig. 9a). After the Kaikōura earthquake, between November 2016 and December 2018, 243 hillslopes were actively deforming (Fig. 9b). Amon those hillslopes, 141 are found to be extremely slowmoving, and 102 are observed as very slow-moving.



Fig. 9. Actively deforming hillslopes identified during the pre-Kaikōura phase (a) and postKaikōura phase (b) superimposed on hillshade generated from DEM.

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After labeling active and stable hillslopes, we further examined their evolutions (Fig. 10) based on HDS (see Fig. 3). We did so by calculating the average deformation values of all PS points located in a SU. Below, we examined Type I, II, and III in detail with the deformation time series using one representative hillslope. We did not exemplify Type IV, which refers to stable hillslopes in both pre- and post-seismic phases.



Fig. 10. Four types of sub-meter hillslope deformation activity classes observed in the studyarea.

# 384 4.4.1. Type-I (SA)

Apart from triggering a large number of coseismic landslides, the 2016 Kaikōura earthquake is found to have initiated active deformation in 239 hillslopes across the study area, which were seen to be inactive before the large seismic event. Out of 239 hillslopes, 129 belong to the extremely slow-moving hillslope category, while the rest are very slow-moving hillslopes.

The representative hillslope is located 89 km northeast of the epicenter of the Kaikoura 389 earthquake and just above the Jordan thrust fault, which experienced a significant slip during 390 the 2016 event (Diederichs et al., 2019). The slope gradient of the hillslope ranges between 391 3° and 60°, and the hillslope has an area of 1.4 km<sup>2</sup>. There is a lack of PS coverage over the 392 densely vegetated body and toe of the hillslope owing to the temporal decorrelation. Yet, a 393 small region devoid of vegetation in the body of the hillslope is observed to contain a few PS. 394 395 Overall, the mean LOS velocity of the hillslope is 2.5 mm/yr during the pre-seismic phase and -31.51 mm/yr after the earthquake (Figure 11). 396

397 To understand how the deformation of the hillslope varies in different time steps before and 398 after the 2016 Kaikoura earthquake, the average deformation time series of the entire hillslope as well as one selected PS point (PS<sub>1</sub>), one on the scarp region of the hillslope is plotted (Fig. 399 400 11). The PS<sub>1</sub> has a mean V<sub>LOS</sub> of 3.35 mm/yr and -33.99 mm/yr during pre- and post-seismic phases. It can be noted from the linear trend of deformation that the hillslope was inactive 401 during the pre-Kaikoura phase and began to show a sudden increase in the deformation rate 402 after the earthquake (Fig. 11). Also, a gradual decay in the deformation velocity during the 403 post-Kaikoura period is observed from the average deformation time series of the hillslope. 404



Fig. 11. Hillslope representing Type I: (SA) category of HDS along with deformation time
 series before and after the Kaikōura earthquake for the entire hillslope and a selected PS
 point.

## 409 4.4.2. Type-II (AA)

410 We identified four hillslopes with active deformation in pre- and post-seismic phases. The representative hillslope is located 94.5 km away from the earthquake epicenter and has an 411 area of 1.01 km<sup>2</sup>. This hillslope is found to be extremely slow-moving before the earthquake, 412 which continues to be the same after the event but with a change in the deformation velocity. 413 The mean V<sub>LOS</sub> of the entire hillslope slightly increased from -11.64 mm/yr to -12.12 mm/yr 414 from pre- to post-seismic phases (Fig. 12). In addition, the mean V<sub>LOS</sub> of a selected PS point 415 416  $(PS_2)$  is -10.76 mm/yr before the mainshock while the same during the post-seismic phase is -10.61 mm/yr. 417



Fig. 12. Hillslope representing Type II: (AA) category of HDS along with deformation time
 series before and after the Kaikōura earthquake for the entire hillslope and a selected PS
 pixel.

# 422 4.4.3. Type-III (AS)

Five hillslopes are stabilized after the earthquake. The representative hillslope is 98 km northeast of the earthquake's epicenter and has an area of 0.81 km<sup>2</sup>. The hillslope has a positive mean  $V_{LOS}$  before and after the earthquake (Fig. 13). However, the hillslope is

- 426 observed to have extremely slow movement before the earthquake with a mean  $V_{\text{LOS}}$  of 11.66
- 427 mm/yr. In the post-seismic phase, there is a decrease in  $V_{LOS}$  to 6.43 mm/yr, which is below
- the active deformation threshold (see Fig. 13). The same can be observed for the selected PS
- 429 (PS<sub>3</sub>) point before (15.37 mm/yr) and after (5.07 mm/yr) the mainshock.



430



# 433 **4.4.4. Interactions with coseismic landslides**

We also checked the spatial distribution of hillslopes associated with both presence of coseismic landslides and active hillslope deformations (Fig. 14). Results show that 127 hillslopes that are found to be actively deforming in the post-Kaikōura phase are already affected by the coseismic landslides. However, 116 actively deforming hillslopes have not failed yet. This implies that in the future, new landslides in these actively deforming hillslopes could be expected.



Fig. 14. Different types of actively deforming hillslopes associated with the presence of
 coseismic landslides.

# 443 **5. Discussion**

440

444 Findings of this research offer a comprehensive illustration of how a large-magnitude 445 earthquake alters the deformation patterns of hillslopes.

This was achieved by means of two intrinsically related novelties introduced in this work. First, 446 447 for the first time, we used SUs to aggregate PS points to identify active hillslopes rather than using the standard pixel density clustering approach (e.g., Bekaert et al., 2020; Aslan et al., 448 2020). This approach helped us to systematically examined the entire study area and also to 449 develop the post-seismic hillslope evolution matrix, which could be considered as the second 450 451 novelty of this contribution. The literature shows examples of newly formed slow-moving landslides and/or failure of actively deformed hillslopes associated with strong earthquakes 452 453 (e.g., Cai et al., 2022; Cheaib et al., 2022; Bekaert et al., 2020). However, we were able to 454 expand such analyses indicating changes in hillslope deformations over the entirety of the examined area as we exploited SUs. 455

As a result, we developed and showcased a systematic approach for identifying active hillslopes which are already existed before and those that were generated after the impact of the 2016 Kaikōura earthquake. Our results documented an abrupt increase in the number of active deforming hillslopes following the ground shaking. Monitoring of such active hillslopes for a long time can help in making well-informed management decisions to prevent those active slopes from failing catastrophically owing to acceleration from further external triggers (Lacroix et al., 2020).

Among four different hillslope evolution categories described in this research, Type I points out hillslopes began deforming right after an earthquake. This could be interpreted as the genesis of a slow-moving landslide. Such evolution is mainly attributed to the intense seismic shaking, which reduces the strength of the hillslope (Brain et al., 2017). However, in our case, we do not know if those hillslopes were historically active before 2014. Therefore, Type I could also stand for reactivation of previously stabilized slow-moving hillslopes.

# 469 5.1. Similarities between abrupt coseismic and slow-moving post-seismic 470 deformations

Our analyses on the spatial distribution of surface deformations over the study area with 471 respect to morphometric and seismic variables provided some new insight helping us to better 472 understand factors governing hillslope deformation in post-seismic periods. In fact, some of 473 474 those observations showed similarities with variables controlling the spatial distribution of 475 coseismic landslides. For instance, most of the very slow-moving PS detected in the post-Kaikōura phase are concentrated around rupturing zone where the landscape was exposed 476 477 to higher ground shaking during the 2016 mainshock. Such an observation is also valid for coseismic landslides in general (Petricca et al., 2021; Huang et al., 2017) and specifically for 478 479 the ones triggered by the 2016 Kaikoura earthquake, as the majority of the landslides occurred 480 close to the fault rupture zone (Massey et al., 2020). In this context, our results show that the 481 earthquake legacy effect is also more persistent on hillslopes exposed to strong ground 482 shaking.

## 483 **5.2.** Limitations

Even though PSI-based sub-meter evolution monitoring and mapping of hillslopes has a good effectiveness, but it also has certain limitations. The first and foremost challenge in using PSI approach is the loss of temporal correlation, which can arise from many factors, including high precipitation, snowfall and growth of vegetation (Bekaert et al., 2020; Hanssen, 2001). This reason inhibited in acquiring PS points over highly vegetated region of the hillslopes while only 489 those scarp regions devoid of vegetation cover and dense snowfall were observed to contain 490 PS (see Figure 49). Such a disadvantage of temporal decorrelation can be reduced by utilising 491 a longer wavelength such as L-band images, which can penetrate through the dense vegetation cover (Xu et al., 2021). However, it should be also noted that shorter wavelength 492 493 data such as C-band images is extremely responsive in capturing deformation signals (van Natijne et al., 2022). In addition, employing other TS-InSAR techniques such as SBAS can 494 help in increasing the density of captured deformation measurements over space as its 495 characteristics allows selecting temporarily coherent distributed scatterers and point 496 scatterers along with PS (X. Chen et al., 2021). 497

The second constraint of this research is the unavailability of descending flight direction images of Sentinel-1, which inhibited the decomposition of LOS deformation into horizontal and vertical components. Therefore, this research utilised LOS deformation further to detect active PS in accordance with the hillslope velocity classification of Cruden and Varnes (1996). Such approach is not uncommon as previous studies have also utilised the LOS velocity for identifying active hillslopes (Bayer et al., 2018; Bekaert et al., 2020; Cheaib et al., 2022; Lacroix et al., 2022).

The next challenge is that InSAR is highly effective to detect the deformation that occurs in the LOS direction (Xu et al., 2021). In this study, a large number of hillslopes faces south-east direction while there is also considerable amount of hillslopes that are seen facing north and south direction. In addition, even though InSAR based deformation measurements reported to be in line with those recorded in GNSS stations (Cigna et al., 2021), the unavailability of GNSS station data from the study site to evaluate the reliability of the extracted surface deformation measurement is also a major limitation in this study.

512 It should also be noted that hillslopes with sub-meter deformations are only identified and 513 monitored in this study. Therefore, those hillslopes that are reported to be stable in this 514 research could also experience rapid movements or failures in the post-seismic phase. This 515 is because sudden meter-level displacement is almost impossible to be accurately detected by PSI. In addition, there are no studies available to our knowledge documenting the post-516 517 seismic rapid landsliding in the study area, thus, it is difficult to say if the stable slopes reported in this study are actually stable. In addition, in the post-seismic phase there are active 518 519 movements that are a mix of tectonic and landsliding related movements, which are not explored. 520

521 We did not examine the relationship between the deformation measurements with rainfall, soil 522 moisture, land surface temperature or areal fraction of snow cover as well as various 523 morphometric and/or geologic variables. Possible contribution of these environmental 524 variables should be studied further. Also, this research could be expanded further by 525 investigating scattering types of PS, including surface, volume and double bounce scattering, 526 using multi-polarization SAR data (e.g. Sentinel-1 SAR with VV and VH), which may contribute 527 to better data interpretation. Moreover, artificial intelligence such as recurrent neural network 528 (Kulshrestha et al., 2022) can be used to differentiate actively moving PS from stable ones.

## 529 6. Conclusion

This research developed a systematic approach for identifying extremely slow- and very slow-530 531 moving hillslopes in post-seismic periods. We integrated the use of slope units in the postprocessing of surface deformation measurements and examined the evolution of post-seismic 532 533 hillslope deformations over the entirety of the study area. We proposed and demonstrated a post-seismic hillslope deformation scheme, which could be applied in other areas affected by 534 earthquakes. In this context, we suggested four hillslope categories: (i) inactive hillslope 535 becoming active (Type I: SA), (ii) active hillslope remaining unaffected with changes in 536 537 dynamics (Type II: AA), (iii) active hillslope that have become inactive (Type III: AS) and (iv) 538 those hillslopes that are stable prior and following the earthquake (Type IV: SS)

539 Specifically, we examined pre- and post- seismic hillslope deformations in the area affected 540 by the 2016 Kaikoura earthquake and monitored their sub-meter evolution using freely available Sentinel-1 images through the PSI approach. The extracted surface deformations 541 showed an abrupt increase in deformations following the intense ground shaking and then a 542 gradual decrease in the following post-seismic periods from November 2016 to December 543 2018. Overall, the sharp increase in the number of stable hillslopes that started moving 544 extremely slowly and very slowly after the impact of the 2016 Kaikoura earthquake and their 545 deformation dynamics there after confirms the firm role of earthquake legacy effect on their 546 evolution. Spatial distribution of hillslope deformations also showed that the regions affected 547 by higher ground shaking exhibited also higher deformation in the post-seismic phase 548 compared to hillslopes affected by lower seismic shaking. 549

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#### 557 Data availability

558 All data used in this research was collected from publicly available data sources.

## 559 Author contribution

- 560 NS, HT and LC: Conceptualisation, Research design. NS, HT: Methodology, Software,
- 561 Visualisation. **NS:** Data curation, Formal analysis, Investigation. **LC and HT**: Supervision. **NS:**
- 562 Writing- Original draft preparation. **HT and LC:** Writing- Reviewing and Editing.

#### 563 Competing interests

564 The authors declare no competing interest.

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