

1 **Climatic and cave setting influences on drip water fluorescent organic matter**
2 **over an annual cycle in Northwestern Spain and implications for fluorescent**
3 **laminations in stalagmites**

4 *Laura Sibylla Endres¹, Céline Jacquin², Saul González-Lemos³, Laura Rodriguez-Rodriguez³, Jakub*

5 *Sliwinski⁴, Nikita Kaushal¹, Oliver Kost¹ and Heather Marie Stoll¹*

6

7 ¹Geological Institute; ETH Zürich, Sonneggstrasse 5, 8092 Zürich, Switzerland

8 ²EAWAG; CH-8600 Dübendorf, Switzerland

9 ³Departamento de Geología; Universidad de Oviedo, Spain

10 ⁴ School of Earth and Environmental Sciences, University of St. Andrews; Queen's Terrace, St. Andrews

11 KY16 9TS

12

13 Corresponding author: Laura Sibylla Endres (endres@erdw.ethz.ch, Phone: +41 (0)44 632 86 13)

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15

16 **ABSTRACT**

17 Speleothem fluorescence may elucidate past vegetation dynamics, while microscale fluorescent
18 laminations can provide annually resolved chronology. However, the origin of speleothem fluorescence
19 and the mechanism responsible for the formation of micrometer scale fluorescent lamination in
20 stalagmites, are not well constrained by monitoring studies. Here, we present results from a year-long
21 monthly drip water monitoring from seven locations in La Vallina cave (Northwestern Spain).
22 Fluorescence was quantified by excitation-emission matrices (EEM) spectrofluorometry. Five distinct
23 components were resolved by parallel factor analysis (PARAFAC) modeling, including previously
24 described humic-like, and protein-like components as well as an additional component suggesting a
25 contribution of indigenous fluorescence sourced from the bedrock. Variations in the overlying vegetation
26 and in the water reservoir age contribute to differences in the fluorescent components among different
27 drip sites. While some active stalagmites feature annual to sub-annual fluorescent laminae, the drip water
28 does not support higher abundance of humic-like fluorescence during the rainy season as a primary cause
29 for layer formation. The constancy in humic-like fluorescence, likely to arise due to abiotic interactions
30 with the bedrock, highlights possible other mechanisms on fluorescent layer formation, such as growth
31 rate control over the dilation of the fluorescence signal in the stalagmite.

32

33 **KEYWORDS**

34 banding, speleothem, monitoring, karst aquifer, climate reconstruction, proxy development,
35 PARAFAC, spectrofluorometer, absorbance, EEM

36

37 INTRODUCTION

38 Fluorescence is a common feature in speleothems from many caves and is generated by the
39 dissolved organic matter (DOM) preserved in the speleothems. Speleothem fluorescence has been
40 recognized for many decades (O'Brien, 1956) and has been characterized in organics dissolved from the
41 stalagmite matrix (Pearson et al., 2020) as well as by surface scan in-situ analysis (Perrette et al., 2005).
42 The in-situ distribution of fluorescence in stalagmites has been studied in thin or thick sections using UV
43 excitation and detection on photographic film (Shopov et al., 1994) or detection of reflected fluorescence
44 using epifluorescence microscopes (Baker et al., 1993; Luetscher et al., 2021), fibre-optics extensions
45 connected to spectrofluorometer (McGarry and Baker, 2000), or on a polished section using a pulsed laser
46 of a fixed wave length (Quiers et al., 2015) and confocal laser scanning microscopy (CLSM) ((Sliwinski
47 and Stoll, 2021). From in-situ techniques, fine (5 to 1000 micron) scale alternations of high- and low
48 fluorescence intensity perpendicular to the growth axis, have been described from many stalagmites from
49 mid-latitude regions and this layering has been demonstrated to be annual in many cases (Baker et al.,
50 2008; Proctor et al., 2000). The fluorescent layer intensity complexly interacts with speleothem trace
51 element chemistry (Huang et al., 2001; Sliwinski et al., 2022). In such stalagmites, several authors have
52 suggested the seasonal peak in fluorescence intensity is due to an increased in-flux of dissolved organic
53 matter (DOM) in drip waters during increased autumn leaf litter and rainfall (Borsato et al., 2007;
54 Kwiecien et al., 2022; McGarry and Baker, 2000), or alternately due to fine soil blown into the cave as an
55 aerosol (Fairchild and Baker, 2012; Luetscher et al., 2021). In addition to changes in DOM input, changes
56 in adsorption behavior at the crystal level, induced by variations in environmental and cave conditions
57 such as pH, redox state and cave ventilation, could also cause variations in the incorporation of the
58 fluorescent DOM ((Ramseyer et al., 1997; Sliwinski et al., 2022). Where the processes driving fluorescent
59 layers can be demonstrated to be annual, then fluorescent banding can be exploited as a paleo-seasonality
60 indicator (Ramseyer et al., 1997; Blyth et al., 2008; Sliwinski and Stoll, 2021; Orland et al., 2012) or to
61 improve age models through fluorescent layer counting (Kwiecien et al., 2022). However, due to the

62 limited number of monitoring studies and laboratory experiments available, direct evidence for
63 fluorescent banding formation is sparse and the physical process is currently not understood in detail.

64 Speleothem and drip water organics originate from surface vegetation (e.g. lignin
65 macromolecules), animal byproducts and soil processes; but also from biofilms and microbes living in the
66 karst environment (McGarry and Baker, 2000; Fairchild and Baker, 2012). In the carbonate aquifer,
67 organic matter can be degraded by microbial metabolism (Xia et al., 2022) or abiotically through
68 adsorption on the bedrock. In lab experiments by Jin and Zimmermann (2010), DOM adsorption on
69 carbonate host-rock was enhanced for high influx and long retention times. Adsorption in the same study
70 acts similar to a "buffer", because organics covering the active crystal surfaces have been shown to inhibit
71 bedrock leaching, and in contrast, low concentrations of DOM dissolved in water led to a release of
72 indigenous organic matter from the host rock. Further lab speleothem growth experiments indicate that
73 the presence of organic matter in the drip water can alter the fabric of the precipitated carbonates and
74 precipitation kinetics (Pearson et al., 2020). The chemical structure of the organic matter also influences
75 inorganic colloid coagulation (Wilkinson et al., 1997), and therefore the associated trace element transport
76 through the aquifer and incorporation into the calcite matrix (Blyth et al., 2008), especially for first-row
77 transition metals (Lindeman et al., 2021).

78 Only a minor part of the total DOM incorporated in speleothem fluoresces, often associated with
79 aromatic ring structures (Aiken, 2014). Fluorescence occurs due to a three-step process: 1) a molecule is
80 excited to a higher electronic state by absorption of a photon, 2) the molecule relaxes to lowest excited
81 singlet state (vibrational relaxation) and 3) the molecule emits light to return to ground state (Lakowicz,
82 2006). The possible total fluorescence yield depends on molecular structure and is higher for more "rigid"
83 structures, such as aromatic rings (Reynolds, 2014). Because fluorescence does not directly measure
84 organic molecules, but rather the interaction of functional groups with photons, fluorescence does not
85 provide a unique identification of the compounds present. Nonetheless, differing categories of
86 fluorescent DOM are preferentially excited by, and emit, at different wavelength ranges (Coble et al.,
87 2014). In the liquid phase, such as drip waters or dissolved carbonate, three-dimensional excitation-

88 emission matrices (3D EEM) can be measured with modern spectrofluometers to provide characterization
89 of the range of DOM types present and has been used extensively to study fresh and marine waters over
90 the last decades. Two main categories of fluorescent DOM have been described: humic-like fluorescence,
91 which resembles spectral features of isolated humic and fulvic acids (Alberts and Takács, 2004), and
92 protein-like fluorescence, resembling fluorescence of amino acids such as tryptophan and tyrosine (Coble
93 et al., 2014). Humic-like fluorescence is characterized by the presence of a double peak in the EEMs and
94 broader peaks, because it is thought to originate mostly from degradation of terrestrial biomaterial, which
95 typically consists of molecules of higher molecular weight and encompasses a number of different
96 compounds. Humic-like fluorescence also has been found to preferentially adsorb to the bedrock (Jin and
97 Zimmerman, 2010). Protein-like, in contrast, consists of more isolated compounds with lower molecular
98 weight and is thought to be more of microbial origin. Individual EEMs have been described by the main
99 peaks in excitation-emission wavelength pairs based on literature, e.g. Coble peak nomenclature (Coble et
100 al., 2014) or by ratios of the intensity at fixed excitation-emission wavelength pairs (e.g. the fluorescence
101 index, McKnight et al. (2001)). More recently, parallel factor analysis (PARAFAC, Stedmon and Bro
102 (2008)) has emerged to compile a model which describes the main fluorescent components and variability
103 over an entire dataset. The generation of a PARAFAC model enables further discussion about the
104 individual contributions to overall fluorescence and also allows to compare the dataset with other
105 published models through the OpenFluor database (Murphy et al., 2014a).

106 Speleothems beaker precipitation experiments incorporate peat water DOM (Pearson et al., 2020).
107 Prior studies of ground and cave drip waters have indicated dominant peaks in humic-like fluorescence,
108 with seasonally variable peak wavelengths (Baker and Genty, 1999). Compared to overlying soil water
109 fluorescence, spectra of drip water are likely to be blue-shifted, because of preferential adsorption on
110 bedrock (Philibert et al., 2022; Jin and Zimmerman, 2010) and continued microbial degradation.
111 Additionally, microbial fluorescence has been suggested to be important for cave waters (Birdwell and
112 Engel, 2010). Microbial (“protein-like”) fluorescence could occur in the cave system, but also be related
113 to livestock above the karst (Mudarra et al., 2011). In one study comparing EEM spectra of three

114 dissolved flowstone samples and the drip waters feeding them, the flowstones showed evidence of
115 microbial peaks that are not seen in the drip waters feeding them (Pearson et al., 2020). PARAFAC
116 modeling is common in water research and has been used briefly by Rutledge (2014, 2015) to investigate
117 DOM in drip waters after irrigation and also by Pearson et. al (2020).

118 In this present study, we have analyzed the evolution of fluorescent DOM in drip water at
119 multiple drip sites within La Vallina cave in NW Spain to contribute to a better understanding of the
120 spatial and temporal variations of organic matter in drip water and improve our understanding of
121 stalagmite fluorescence signals. We characterize the components of drip water fluorescence using a
122 PARAFAC model, and compare this model to cave properties, drip water chemistry and climatic
123 influences. The analysis is extended through measurements of fluorescence in the dissolved bedrock and
124 by CLSM images of actively growing stalagmites from the same cave. We evaluate the implications for
125 inferring past changes in landscape and climatic processes from stalagmite fluorescence, and for the
126 interpretation of annual to subannual fluorescence lamination in stalagmites.

127

128 **STUDY SITE**

129 Our study was conducted in La Vallina cave, situated near the town of Porrúa in Northwestern
130 Spain (43°27'38''N, 5°04'04 ''W, Fig.1). Under present-day conditions, the cave entrance is located 2.5
131 km from the coastline at an altitude of 70 m above the sea level. La Vallina formed within the
132 carboniferous dark sulfidic massive micritic limestone of the Barcaliente formation. The bedding is
133 subvertical, dipping 70° to the North. Barcaliente formation is rich in amorphous organic matter and
134 classified as containing type II and III kerogen (Álvarez et al., 2019). Numerous block falls throughout
135 the cave are consistent with a seismically active shallow crust (Delavaud et al., 2012). Karstification has
136 led to several dolines, which can be recognized at the surface above the cave.

137 For this study, we selected seven locations within the cave system at which to monitor organics in
138 drip water for one year from May 2020 – May 2021. Except for Mushroom (*MUS*), all sampling locations

139 are positioned in the upper of two cave galleries. Vegetation and land area above the cave are
140 significantly influenced by anthropogenic activities. A former primary deciduous forest has been
141 largely exchanged with eucalyptus trees, which have been introduced in the region since the 19th
142 century for wood production. The stem diameter of the current eucalyptus trees indicates ages around 60
143 years. Compared to other trees, eucalyptus has deep roots and a high water demand, which may have an
144 effect on present-day cave hydrology. Furthermore, parts of the land have been exposed to fire activity
145 and are currently covered with ferns. Exceptions are a few remaining oak trees close to the sampling
146 location “Forest” (Fig.1). Other parts of the land above the cave are used as pasture for farm animals
147 today. The detailed conditions for each sampling location are presented in Table 1 and Supplementary
148 Table 1.

149 Present day regional climate, primarily controlled by the proximity to the North Atlantic ocean, is
150 mild and warm. It can be classified as “Cfb” [temperate, with a warm summer, but no dry season],
151 according to the Köppen-Geiger classification (Köppen, 1884; Peel et al., 2007). The closest weather
152 station (Llanes, 4.2km NE of the cave, at the coast) has recorded a precipitation of 1267 mm/yr and an
153 annual mean temperature of 15.3°C for the study period May 2020 - May 2021. The weather patterns over
154 Northern Iberia are predominantly influenced by the westerlies with most incoming precipitation
155 originating from Atlantic front systems and exhibit a long-term weighted annual mean $\delta^{18}O$ of $-5.75 \pm$
156 0.34 ‰ (Santander GNIP station, 2000-2015, IAEA/WMO (2022)).

157 **METHODS**

158 **Experimental Methods**

159 *Field Campaign*

160 Drip water samples were collected from May 2020 to May 2021 on a quasi-monthly basis. Bi-
161 weekly sampling was carried out in autumn to evaluate the impact of a higher amount of rain and falling
162 leaves on drip water chemistry. Four locations (*GRA*, *GLO*, *SKY*, *PLA*) were monitored over the entire
163 year, *FOR* was monitored from September 2020-May 2021, *MUS* and *SNO* only for three dates in 2021.

164 From each location, samples for fluorescence measurements have been collected directly into amber glass
165 vials, which were previously combusted for 4 hours at 450°C. In a separate study (Kost et al., 2022),
166 splits of water samples on the same day and location were analyzed for stable isotopes, trace elements,
167 carbon isotopic ratio of dissolved inorganic carbon (DIC) and cave conditions (Temperature, RH, pCO₂)
168 were recorded. The samples were shipped to ETH Zurich and stored in the dark at 4°C before
169 measurement. Measurements of bedrock thickness for all sampling locations (Table 1) were carried out
170 with a modified Leica Disto X310 (Heeb, 2009, 2010). The sampling frequency was chosen to identify
171 seasonal scale changes in drip water fluorescence. Given the length of the entire time series (only one
172 year), longterm trends and interannual variability cannot be evaluated. The bi-weekly or monthly
173 sampling is also not designed to capture the effect of fast-changing fissure flows; however, with one
174 exception (*FOR*), the sampled sites are classified at the boundary between seepage flow and seasonal drip
175 based on the variation in drip rate, suggesting a dominant matrix flow component (Kost et al 2022).

176

177 *Drip Water Absorbance and Fluorescence Measurements*

178 Drip water samples were stored at 4°C in a refrigerator unit without other biological samples or
179 organic solvents. Samples were measured between one month and one year after collection and it has
180 been double-checked that storage time does not impact the results by re-measuring selected older samples
181 (Supplementary Figure 1). Previous studies showed a removal of especially tryptophan-like fluorescence
182 after filtering the samples (Baker et al., 2007). Therefore, because no large colloids were expected in our
183 drip waters and we were interested in total fluorescence reaching the speleothem, we did not filter the
184 samples.

185 Drip water absorbance spectra and fluorescence excitation-emission matrices (EEM) were
186 measured simultaneously with a Horiba AquaLog spectrofluorometer using 4 s integration time and a
187 step-size of 5 or 3 nm, depending on measurement day. Quartz cuvettes with a pathlength of 1x1 cm were
188 used and rinsed several times with nanoQ water between each measurement. During post-processing
189 EEMs with 3 nm resolution were converted to the coarser 5 nm resolution by bicubic interpolation to

190 enable PARAFAC analysis and increase comparability between individual measurements days. EEMs
191 were exported from the R1/C1 AquaLog output with respective excitation and emission wavelengths of
192 240-600 nm and 240-800 nm. Further post-processing included NanoQ water blank subtraction, inner-
193 filter effect correction, Raman/Rayleigh scatter removal and Raman normalization performed with eemR
194 (Massicotte, 2019). EEMs were visualized using the scientific colour map *batlow* (Cramer et al., 2020).
195 Intensity is given in normalized raman units (R. U.) and variability of data is expressed in the form of 1
196 standard deviation.

197

198 *Confocal Laser Scanning Microscopy*

199 Solid-phase fluorescence in three active stalagmites and one bedrock sample was investigated
200 with confocal laser scanning microscopy (CLSM). Measurements were performed at Scientific Center for
201 Optical and Electron Microscopy (ScopeM) at ETH Zurich using an Olympus Fluoview 3000 and the
202 methodology of Sliwinski and Stoll (2021). The instrumental settings result in an optical slice thickness
203 of less than 10 μm with an 10x objective. An excitation wavelength of 488 nm and detection window of
204 490-590 nm were used, and resulted in an optical slice thickness of less than 10 μm with an 10x objective.
205 The instruments minimum excitation wavelength is 405 nm, so UV excitation in solid state was not
206 possible. Retrieved images were mirrored to represent the original orientation and a scale bar was added
207 using Fiji (Schindelin et al., 2012).

208

209 *Liquid-Phase Fluorescence Measurement of Bedrock Sample*

210 Contribution of the bedrock to drip water fluorescence was investigated by dissolving three times
211 2 mg aliquots of drilled powder samples in 4.5 ml of 0.025 M HCl, analogous to Pearson et al. (2020).
212 The aqueous solution was brought to a pH of 5-7 by titration with NaOH. Dissolved bedrock absorbance
213 spectra and fluorescence EEM were post-processed identical to drip water and measured on the same
214 instrument, but with an integration time of 10 s and a step size of 5 nm.

215 **Numerical Analysis Methods**

216 *PARAFAC modeling*

217 PARAllel FACtor (PARAFAC) analysis (Stedmon and Bro, 2008) was used to investigate DOM
218 fluorescence variability in the spatial and temporal domain. Over the last decade, PARAFAC modeling
219 has become a standard approach for EEM interpretation due to the possibility of recognizing site-specific
220 peaks instead of referring to general literature (Coble et al., 2014). A global five-component model was
221 computed from 65 corrected EEMs by staRdom (Pucher et al., 2019) with non-negative constraints, a
222 model tolerance of $1e^{-8}$, 40 models and 10'000 maximum iterations. All models converged with these
223 settings and no additional outliers were found by visual. Validation with a regular split-half analysis was
224 not considered statistically robust due to the high variability between the sites and small sample size <
225 100 (Pucher et al., 2019). Instead, separate local PARAFAC models of the five main sampling sites were
226 computed with fewer components due to the small sample sizes of 9-13 samples. To compare local
227 models to the global five-component model, shape-sensitive congruencies (SSC) (Wünsch et al., 2019)
228 were computed for each combination to quantify similarities between the individual components in the
229 two models (Supplementary Figure 2).

230 The final PARAFAC model was uploaded to OpenFluor database (Murphy et al., 2014b) to
231 compare peaks with published PARAFAC models. Model stability was assessed with the core
232 consistency diagnostics (CORCONDIA) by Bro and Kiers (2003) and was found to be 79.65%.
233 PARAFAC components were visualized using the scientific colour map *ajolla* (Crameri et al., 2020).

234

235 *Calculation of Absorption Spectra and Slope Ratio*

236 In addition to the fluorescent measurement, optical properties of DOM can be characterized by
237 joint absorption measurements with the Aqualog spectrofluometer. The fraction of total DOM that
238 absorbs UV and visible light, chromophoric dissolved organic matter, can overlap with the fraction of
239 fluorescent DOM, but is not necessarily the same and analysing absorbance separately adds additional

240 information about the origin and composition of the samples. To this purpose, absorbance measured with
241 Aqualog was converted to the absorption coefficient α following Beer's law (Supplement Eq. 1).

242 We have used the absorption α as a quality test, whether the unfiltered samples are optically
243 dilute enough. Values for α were well below 0.1 for all samples and measured wavelengths except for
244 *GRA* on 7.8.2020, which showed evidence of mud contamination already during collection and was
245 subsequently treated as outlier. Two samples containing negative absorbance values have also been
246 disregarded for absorbance analysis.

247 From the entire absorption spectra, a slope ratio between a shorter (275-295 nm) over a longer
248 (350-400 nm) wavelength region was computed analogous to Helms et al. (2008). The two slopes, $S_{275-295}$
249 and $S_{350-400}$, were computed by fitting a linear model through the natural logarithm of the absorption
250 spectra values in the respective wavelength regions. By convention, positive slope values are given for a
251 decrease with higher wavelengths in order to fit the expected exponential decay.

252

253 **RESULTS AND DISCUSSION**

254 **Dominant Modes of Drip Water Fluorescence and their Attribution**

255 The principal peaks and modes of variability in fluorescence across all collected drip water
256 samples are identified with PARAFAC analysis. We present a five-component model, which explains
257 88% of the total variance within the entire data set (Fig. 2a and Table 2) and serves as a base template for
258 further interpretation of the individual locations. The components C1-C5 are normalized to unit intensity
259 and are ordered by the fraction of variance explained.

260 The dominant feature of odd PARAFAC components (C1,C3,C5) is the occurrence of a double
261 peak within the EEM (Fig. 2a). C1 shows two excitation peaks (Ex_{max}) at 240/320 nm and an emission
262 maximum (Em_{max}) at 405 nm, which are traditionally assigned to Peaks A_c and C (Coble et al., 2014).
263 These peaks have been widely recognized as humic-like components with high molecular weight and
264 aromatic organic compounds derived from plant litter (Stedmon and Cory, 2014; Coble et al., 2014). In

265 this study, these are thought to originate mostly from vegetation above the cave and containing
266 compounds from plant litter such as lignin. The components C3 ($E_{m_{max}} = 305$ nm, $E_{x_{max}} = 240/280$ nm),
267 and C5 ($E_{m_{max}}=455$ nm, $E_{x_{max}} =265/370$ nm), appear to be blue and red shifted versions of C1,
268 respectively. C5 exhibits a shift towards longer wavelengths and similar patterns have been attributed to
269 soil fulvic acid (Yamashita and Jaffé, 2008) and terrestrial higher plants (Cory and McKnight, 2005).
270 Shifting of wavelengths towards a more blue spectrum (i.e., shorter wavelengths) is likely to appear due
271 to breaking of aromatic bonds in the original compounds by microbial activity (degradation). C3, shifted
272 towards shorter wavelengths, possibly indicates the presence of older and/or more processed material.
273 This kind of fluorescence is often present in marine planktonic environments, but has also been shown to
274 be produced within the lower soil or epikarst by planktonic, heterotrophic bacteria feeding from plant
275 litter (Xia et al., 2022) and is consistent with EEM of fossil (degraded oil) compounds (Zhou et al., 2013).
276 We have dissolved the organic-rich bedrock to investigate a possible contribution of indigenous
277 fluorescence due to bedrock leaching. A comparison of the bedrock-derived EEM (Fig. 2b) with
278 PARAFAC peak wavelengths shows a strong similarity to C3. Therefore, we propose that fluorescent
279 material within the organic-rich bedrock, which potentially is released during bedrock leaching, could be
280 a major source for C3 drip water fluorescence. To our knowledge, such a contribution of fossil organic
281 matter to drip water fluorescence has not been discussed in literature previously.

282 The two even components (C2, C4) both contain single peaks with low Ex-Em wavelengths and
283 narrow Ex/Em spectra, which has been attributed to organic compounds with a lower degree of
284 conjugation such as microbially produced amino acids (Coble et al., 2014), microbial metabolism (Elliott
285 et al., 2006), but also plant-derived simple phenols, indoles and tannins (Aiken 2014).

286 On comparison with the OpenFluor database (Murphy et al., 2014b), spectra similar (similarity
287 scores in Ex and Em > 0.95) to C2 have occurred in cold (arctic) water surroundings, described as
288 tyrosine-like (Chen et al., 2018), but have also been found in EEMs from melted ice cores and described
289 as more processed microbial signal and tannin-like (Dandrilli and McConnell, 2021). While a tannin input
290 signal from eucalyptus trees above the cave can't be excluded, we find microbial activity here the

291 favorable explanation, because the observed seasonal variability of C2 is more similar to the microbially-
292 altered C3 and C4 components than to C1 and C5, attributed to higher plants. Moreover, C4 spectrum is
293 similar to the amino acid phenylalanine, which has been measured isolated by Wunsch et al. (2015).
294 Phenylalanine is a rather hydrophobic compound, e.g produced by algae/bacteria and consequently
295 expected to be a rather local signal originating from the lower soil/epikarst.

296 Characterisation of dissolved organic matter in cave drip water by EEM is limited, because EEM
297 spectral peaks do not have unique sources and cannot unambiguously be attributed to single compounds.
298 Additionally, PARAFAC modeling may create artifacts instead of biologically relevant signals, and
299 changing experiment conditions (e.g. pH or temperature of drip waters) can influence the peak intensities
300 and positions (Aiken, 2014). Nevertheless, as demonstrated by numerous applications of EEM and
301 PARAFAC in surface waters, the combination of EEM measurements and PARAFAC modeling can be
302 used successfully to describe properties of drip water fluorescence in more detail, with a comparably
303 rapid measurement method. The PARAFAC model reveals several, distinct fluorescent peaks of both,
304 humic-like and microbial origin. Specifically mentioned should as well be the absence of a further humic-
305 like peak, often present in soils (UVC humic-like, Cuss et al. (2019)). Our C5 peak might contain some of
306 this signal, but it is much weaker than would be expected. Based on studies investigating active carbon
307 adsorption (Philibert et al., 2022), we suggest that this component might be preferentially adsorbed on the
308 bedrock and this is the reason why it is missing in the here presented PARAFAC model. Future sampling
309 including soil water would help clarify the relative contributions of soil and karst fluorescent organic
310 matter. In combination with the here presented fossil contribution, this points towards a rather complex
311 interaction between karst and drip water fluorescence, which will also be reflected in the speleothem.
312 More specific information on the compounds present and their origin could be provided by future studies
313 employing ESI-FTIR-MS (Lechleitner et al., 2017) or LC-OCD and characterizing the microbiology of
314 the drip waters.

315

316 **Spatial Variation of Drip Water Optical Properties and Relationship to Cave Setting**

317 Drip water optical properties, summarized in Table 1, vary substantially between sampling
318 locations. Overall mean fluorescence over the entire EEM region ranges from 10.88 +/- 0.23 R.U. (*MUS*)
319 to 47.40 +/- 13.34 R.U. (*FOR*). The absorption coefficient of UV and visible light shows an exponential
320 decrease with increasing wavelength across sampling locations (Fig. 3a). The observed decrease of the
321 absorption coefficient is fast compared to samples from the surface or swamps (e.g. Helms et al. (2008)),
322 likely due to the higher amount of filtering occurring in the carbonate aquifer. In *PLA* and *GLO* the
323 median absorption spectra further show secondary peaks around 295 nm and 350 nm, respectively. These
324 peaks could theoretically occur due to spectral interferences with larger colloids, but overall absorption
325 coefficients of our samples are below $< 0.1 \text{ m}^{-1}$. Absorption coefficients in this bandwidth could also be
326 altered by compounds such as tryptophan or uric acid, which show local deviations in this wavelength
327 region, when measured in isolation (Spangenberg et al., 2021). *PLA* is located below pasture and a doline;
328 the presence of uric acid, originating from cattle, seems therefore plausible, but would need to be
329 confirmed by future testing of uric acid by liquid chromatography-mass spectrometry. *PLA* also has a
330 significantly different slope ratio ($p < 0.05$, Tukey-test), because the spectral slope $S_{275-295}$ is negative for
331 *PLA* due to the secondary peak (Fig 3b). Although a negative $S_{275-295}$ value is a deviation from the
332 expected exponential decay, similar features have been found in cave spring waters previously (Birdwell
333 and Engel, 2010).

334 Plotted by sampling location, the average and standard deviation fluorescence EEM plots show
335 substantial variations in peak distribution (Fig. 4). A key influence in our data set are the properties of
336 overlying land cover (Fig. 1), featuring a gradient from dominantly forest (*FOR*, *SNO*), to bushes (*GLO*,
337 *GRA*) and pasture (*PLA*, *MUS*, *SKY*) vegetation. Sampling locations *FOR* and *SNO* exhibit both similar
338 average patterns and variability, with the dominant contribution from the humic-like components C1 and
339 C5 (Fig. 4a,b). Above these locations, oak and eucalyptus trees dominate, therefore, the strong humic-like
340 fingerprint is consistent with a higher amount of plant litter and soil products expected to be transported
341 to the respective drip sites. In contrast, “protein-like” C2, C4 and also C3 (“fossil-like”) are rather low.

342 *GLO* and *GRA*, located in shallow depths and covered by ferns and singular trees, exhibit comparable
343 total fluorescence, but much greater contribution of C2 and C4. *PLA* and *MUS* feature the lowest total
344 fluorescence and very limited variability. *PLA* is covered by grass/pasture and *MUS* by ferns and singular
345 trees. Despite similar landcover at *PLA* and *SKY*, *SKY* features higher overall fluorescence.

346 Fluorescence is generally expected to decrease with longer transport and residence time, since the
347 organic matter can be degraded by microbial activity (Fairchild and Baker, 2012) and especially humic-
348 like compounds are likely to adsorb on the bedrock (Jin and Zimmerman, 2010). Within our data set,
349 depth is not a dominant control on humic-like fluorescence (Fig. 5a). Highest values are found in *FOR*
350 and *SNO*, covered by forest, with a cover thickness of 14.35 m - 14.47 m. In contrast, humic-like
351 fluorescence is lower in the shallow locations *GLO*, *GRA* and no decrease can be found compared to the
352 deepest locations *PLA* and *SKY*. Yet, for sites of comparable landcover (*GLO*, *GRA*, *MUS*), the deepest
353 site (*MUS*) does feature lower total fluorescence which could be due to greater degradation in transit to
354 the deeper site. However, these three sites feature similar total C1+C5 fluorescence; therefore, it is also
355 possible that instead of a depth driven process, the additional livestock activity at *GLO* and *GRA* may
356 contribute to the additional C2, C3, and C4 intensity relative to *MUS*.

357 In this setting, the variance of drip water $\delta^{18}\text{O}$ may be useful indicator of drip water residence
358 time (Kost et al. 2022). This is because individual rain events feature considerable $\delta^{18}\text{O}$ variability (Stoll
359 et al., 2015; Moreno et al., 2020), but mixing within the karst reservoir leads to dampening of this signal
360 to varying degrees at different drip sites. The greatest dampening of event scale variation, ie. those with
361 lowest variance in drip water $\delta^{18}\text{O}$, are inferred to be characterized by the longest residence times and
362 greatest mixing of infiltration events. Here, the $\delta^{18}\text{O}$ variance is calculated only for drip water sites
363 monitored for at least 9 samplings over at 9 month period, and is notably much greater for the *FOR*
364 location than for the other calculated sites (*PLA*, *SKY*, *GLO*, *GRA*). The site with much higher $\delta^{18}\text{O}$
365 variance and shorter residence time, *FOR*, features higher total C1+C5 fluorescence, compared to other
366 sites with longer residence time Fig. 5b. We have also calculated the ratio $\text{C3}/(\text{C5}+\text{C1})$ to assess the

367 relative significance of reworked or fossil DOM relative to fresher humic- and fulvic-like inputs. This
368 ratio correlates strongly with the humification index, which has also previously been used to estimate the
369 ages of organic matter (Ohno, 2002). The C3/(C5+C1) ratio is lowest for the *FOR* site of shortest
370 reservoir time, consistent with least microbial consumption and/or more adsorption of high-molecular
371 weight components (Fig. 5c). These trends are consistent with observations elsewhere that longer
372 residence times lead to increased DOM processing through microbial transformation and adsorption
373 reactions (Blyth et al., 2016; Lechleitner et al., 2017).

374 Additionally, the water flux may influence the fraction of input fluorescence which arrives at the
375 cave drip site. Although at similar depth and reservoir mixing inferred from $\delta^{18}\text{O}$ variance, the much
376 lower driprate of *PLA* (1.22 +/- 0.916 ml/min) than *SKY* (89.22 +/- 24.25 ml/min) correlates with a much
377 lower average total fluorescence intensity (Fig. 4b). The very high flux at *SKY* may saturate the
378 absorbance capacity of karst host rock. Conversely, a lower drip rate and flux at *PLA* may result in a
379 higher fraction of dissolved fluorescence components adsorbed by karst host rock and hence lower
380 concentrations emerging in cave drip water.

381 The fluorescent results therefore suggest the potential for multiple land surface origins of the
382 fluorescence components from humic and fulvic vegetation signals as well as potential livestock
383 contributions, further modified during transport through the karst system by microbial reworking and
384 adsorption on karst host rock (Fig. 6). Our spatial trends in total fluorescence and its composition
385 therefore suggest that at comparable bedrock depth, higher density of trees and shorter water residence
386 times favor higher relative contribution of fluorescence from humic-like and fulvic-like sources.
387 Fluorescence components more likely of microbial origin dominate at sites with longer water residence
388 times. For sites of comparable landcover and residence time, higher drip water flux (drip rate) correlates
389 with a higher total fluorescence.

390

391 **Temporal Variation of Fluorescence and Relation to Climate**

392 *Temporal variation in fluorescence components at different locations*

393 At a given drip site, the PARAFAC model components C1 and C5 feature more constant
394 concentrations over the year without strong seasonality (Fig. 7). In contrast, C2 and C4 intensity consists
395 of short-lived peaks and varies substantially on the seasonal timescale in most monitored locations,
396 especially in *GLO* and *GRA*. C3 appears more constant in some locations, but variable in other sites. Dips
397 in protein-like fluorescence (C2,C4), e.g. in October 2020, occur often concurrently across sampling sites.
398 In contrast, C3 peaks do not occur at the same time across drip sites, e.g. increased values in location
399 *FOR* in autumn are contrasted by especially low C3 values in *SKY. FOR*, which is the more shallow
400 sampling site and covered with denser vegetation, likely featuring rapid water transmission and shorter
401 reservoir times inferred from its high $\delta^{18}\text{O}$ variance, might be prone to receive an increased in-flux of
402 older soil material, e.g. from fissure flow. Despite the significant short term variation of some trace
403 elements, consistent with mixture of matrix and fissure derived water (Kost et al., 2022), components
404 C1,C3 and C5 variability is smoothed and generally, humic-like fluorescence is present over the entire
405 year. This constancy might be related to longer water residence times and well-mixed reservoirs, but it
406 may also or additionally reflect a buffering of the concentration of humic components by sorption
407 processes in the karst reservoir. Abiotically, humic-like compounds are preferentially adsorbed by the
408 carbonate bedrock, while during low input of humic-like compounds, a large portion of the compounds
409 are likely to desorb again from the bedrock (Jin and Zimmerman, 2010). In the presented data set, this
410 process together with the release of indigenous organic matter from bedrock, might have weakened a
411 potential seasonal cycle, leading to basically constant values of humic-like fluorescence.

412

413 *Relationship of fluorescence to rainfall and temperature*

414 Relevant for the interpretation of the fluorescent signal within speleothems is further the
415 dependence on climatological parameters such as temperature and precipitation. We investigate this

416 hypothesis by comparing the fluorescence dataset to climatological data from the nearby weather station
417 Llanes (4.2km NE of the cave, at the coast). Two equally-sized groups of dry and wet conditions are
418 compared for each location based on total rainfall amount in the 10 days prior to sampling (Fig. 8, top
419 row). The humic-like and fulvic-like components C1 and C5 are higher during wet conditions only for the
420 location *FOR*, whereas all other locations show no change between the wet vs dry conditions, and *PLA*
421 shows lower C5 for wet conditions. For C3, *FOR* shows higher values in wet conditions, whereas other
422 sites (*PLA*, *SKY*) show increased values for dry conditions or no difference (*GRA*, *GLO*). This contrasting
423 behavior at *FOR* may reflect an aged soil source of C3 at this site and its fast transmission due to the low
424 reservoir time of *FOR* drip water, versus deeper sites where C3 may be more significantly sourced from
425 fossil bedrock OM and therefore decoupled from the precipitation regime.

426 In contrast, microbial components C2, C4 are enhanced for dry conditions across all drip sites.
427 Here, the difference in C4 between the two conditions is more pronounced for shallow locations *FOR* and
428 *GLO*, than for *PLA* and *SKY*. Because all monitored sites continued dripping throughout the 16 month
429 monitoring period, we infer that water is present continually within the karst. However, we hypothesize
430 that the presence of cavities in semi-dry aquifers enhance the conditions for microbial life (C2,C3,C4).
431 Shallow locations might react more readily because they dry out faster and carbon sources for microbes
432 might be more abundant. An alternative interpretation would be that the microbial population and its
433 contributions are diluted during wet periods. Similar results, an increased presence of autochthonous
434 microbial fluorescence for drier conditions, have been found experimentally for karstic subsurface-
435 environments (Xia et al., 2022). The here presented groups are too small for statistical tests, but a t-Test
436 on the difference between the means of humic components C1,C5 and microbial components C2,C4 over
437 data from all locations yields significantly higher values in dry conditions for microbial components (p-
438 value= 0.021, Supplementary Figure 3).

439 In contrast to precipitation, with the same methodology no effect of ambient temperature on the
440 fluorescence fingerprint could have been detected (Fig. 8, bottom row), which is justified by the constant

441 temperature conditions present within the karst ($T_{\text{mean}}=13.96$ °C, average seasonal variation with SD
442 ± 0.32 °C) (Fig. 8, bottom row).

443

444 *Relationship of fluorescence to soil respiration, bedrock dissolution, and chelated metal transport*

445 We compare the fluorescence signals with indicators of karst processes measured on the same
446 drip water samples. The carbon isotopic ratio of dissolved inorganic carbon (DIC) in drip water is
447 interpreted as an indicator of the soil and epikarst CO₂ which in turn is driven by the aggregate
448 autotrophic and heterotrophic respiration rate (Kost et al., 2022). More negative $\delta^{13}\text{C}_{\text{DIC}}$ indicates higher
449 respiration rates. At the shallow and rapid transmitting *FOR* location, the higher soil and epikarst
450 respiration rates coincide with the highest concentrations of C1 (and C5), suggesting either that that high
451 respiration rates contribute to high production of humic-like breakdown products, or that conditions
452 optimal for maximizing respiration are also optimal for maximizing the release of humic-like components
453 to waters (Fig. 9a). We suspect that at the other sites with long data series (*SKY, PLA, GLO, GRA*),
454 longer water reservoir times may attenuate the seasonal signal in dissolved fluorescence leaving the soil
455 layer relative to the cycle of $\delta^{13}\text{C}_{\text{DIC}}$. This is because $\delta^{13}\text{C}_{\text{DIC}}$ is not sensitive to water residence time, when
456 it is set by continued CO₂ exchange with water throughout the infiltration pathway by deep-rooted trees
457 (Breecker et al., 2012). No significant relationship is observed between the $\delta^{13}\text{C}_{\text{DIC}}$ and the fraction of
458 fresher versus the more degraded compounds (C3:(C1+C5) ratio) nor the concentration of the C2 and C4
459 compounds, at any site.

460 Within a given dripsite, the concentration of Sr has been proposed as an indicator of the intensity
461 of bedrock dissolution, because all drip water Sr is bedrock sourced, and the concentration of Sr is not
462 appreciably affected by precipitation of CaCO₃ prior to sampling of drip water (Kost et al., 2022). Across
463 all of the sampled sites, there is a general weak positive correlation between the Sr concentration and the
464 concentration of C1, driven primarily by the higher concentrations in the *FOR* site compared to other sites
465 (Fig. 9b). This correlation may arise because greater soil and epikarst respiration and CO₂ are required to

466 attain greater degrees of bedrock dissolution, and these higher rates of respiration result in greater
467 production of humic-like components. The forested vegetation of the *FOR* site would especially favor
468 high respiration rates. A similar correlation is observed for Ca (Supplementary Figure 4). No significant
469 correlation is observed between the bedrock dissolution and the concentration of C3, or the ratios of other
470 components.

471 Highly insoluble transition metals and REE-like elements such as Cu or Y are inferred to be
472 transported in drip waters as complexes with organic ligands such as humic and fulvic acids (Hartland et
473 al., 2014; Hartland and Zitoun, 2018), and in stalagmites, seasonal layers of enhanced fluorescence often
474 coincide with elevated concentrations of these elements (Sliwinski and Stoll, 2021). However, a
475 comparison of C1 and C5 concentrations with the concentration of drip water Cu (Fig. 9c) (or Y;
476 Supplementary Figure 4) reveals no significant correlations within a given site or across all sites. This
477 lack of relationship suggests that the Cu and Y concentration in drip water may be controlled by more
478 unique components of the dissolved organic carbon pool than resolved by the C1 or C5 fluorescence, that
479 fluorescent DOM present is not necessarily attached to colloids, or that other controls of selective
480 scavenging of either Y or organic ligands decouple any initial relationship. The precise relationship
481 between Y (and transition metal transport) and dissolved organic components in drip waters may require
482 further analysis in typical karst settings, as the relationships to date have been explored most rigorously in
483 a hyperalkaline cave setting (Hartland et al., 2014).

484

485 **Relating Drip water fluorescence and speleothem fluorescence**

486 Annual scale fluorescent lamination has been reported in mid-latitude stalagmites (McGarry and
487 Baker, 2000; Kwiecien et al., 2022; Luetscher et al., 2021; Sliwinski and Stoll, 2021) from this and other
488 caves, and our (CLSM) images (Fig. 10 a-c) reveal some sectors in the actively growing stalagmite from
489 beneath the *SKY*, and *SNO* drip locations with fine scale lamination which may be annual to subannual
490 according to available age models from ^{14}C (Sliwinski et al., 2022). These rapidly growing stalagmites
491 feature high porosity and many crystal defects, which contribute to lateral discontinuity in fluorescent

492 lamination, as described in previous studies (Sliwinski and Stoll, 2021; Sliwinski et al., 2022). In our
493 study with CLSM, the selected excitation wavelength is 488 nm. Based on our analysis of dissolved
494 fluorescence, this range is suitable to stimulate fluorescence in the humic-like and fulvic-like region of C1
495 or C5, but not as significantly for C2, C3, or C4. Nonetheless, comparing solid state and dissolved
496 fluorescence is not straightforward. The polished bedrock sample, dominated by C3-like component in
497 dissolved form, also indicates pronounced fluorescence, concentrated in certain sectors, by this same 488
498 nm excitation wavelength in the solid state CLSM analysis of the stalagmites (Fig. 10d), suggesting that
499 this bedrock component, if present without further modification, would also be detected in our stalagmite
500 CLSM analyses.

501 While the prevailing hypothesis for fluorescent laminae in speleothems invokes an autumnal
502 pulse in fluorescent components (along with colloiddally transported metals) with the onset of autumnal
503 rains and infiltration after a dry summer, our sampling has not detected any seasonal pulses of humic-like
504 or fulvic-like fluorescence in autumn or any other season in the drip water at any monitored sites (Fig. 7),
505 and we also do not detect a relationship between C1 or C5 with precipitation at *SKY* (Fig. 8). In previous
506 studies, fluorescent lamination has been attributed to humic- and fulvic-like components (e.g., McGarry
507 and Baker, 2000; Pearson et al., 2020), although we are aware of no full EEM characterization of the
508 speleothem fluorescence from annually laminated stalagmite samples. Because of the low concentrations
509 of organic matter, we have not been able to obtain EEM spectra of our actively growing stalagmites, so
510 the conventional inference of humic- and fulvic-like source components of the fluorescent laminae cannot
511 be tested in these active stalagmites. Given the absence of any seasonal variation in humic-like and fulvic-
512 like drip water concentrations at our cave setting, several alternative processes may contribute to the
513 formation of annual to subannual fluorescent laminae. First, if the fluorescent lamina were dominated by
514 the C1 and C5 components, which have constant concentrations in drip water, it is possible that a
515 concentrated fluorescent layer reflects not changes in the delivery of fluorescent components, but rather
516 variable dilation of the fluorescence in the stalagmite as a function of the CaCO₃ deposition rate. An
517 analogous mechanism has been described for the generation of a seasonal Y peak in stalagmites, whereby

518 periods of slower stalagmite growth distribute an otherwise constant Y (or fluorescence) flux over a
519 shorter distance in the stalagmite, generating higher average concentrations in the slower growth portion
520 of the year (Sliwinski et al., 2022). Based on calculations from the monitored drip water Ca
521 concentrations and the cycle in cave air pCO₂, stalagmite growth at SKY is simulated to occur rapidly
522 during the winter season, and slowly during the summer season, with brief periods of negligible growth
523 simulated during the spring and fall (Kost et al., 2022). In such a stalagmite growth regime, the slow
524 growth during summer could lead to enhanced fluorescent intensity in the summer and weaker fluorescent
525 intensity in the winter layer. In the case that fluorescent laminae were dominated by C1 and C5 like
526 components, an alternative mechanism for the development of a fluorescent laminae could be an
527 increased absorption or trapping efficiency of the fluorescent components in the stalagmite during one
528 season, due to change in factor such as drip water pH or residence time on the stalagmite. Here, further
529 experiments testing the affinity of different organic ligands to Ca and trace elements would be beneficial
530 for interpretation.

531 In some of our monitored drip waters (*GLO*, *SKY*, to lesser extent *FOR*), the C3 component
532 exhibited greater temporal variation than C1 or C5. If this component were the main source of
533 fluorescence in the laminae, the variations in C3 concentration in drip water might contribute to modest
534 seasonal variation in speleothem fluorescence. However, the distinct drip water sites exhibited different
535 seasons of maximum C3 fluorescence, so if this were the dominant process behind laminations, it would
536 imply that the bright fluorescent laminae may not represent the same season in all stalagmites from a
537 given cave. C2 and C4 components exhibit consistent increase during dry intervals, so if these
538 components dominated the variability in the stalagmite laminae, it could contribute to systematic
539 oscillations on annual to subannual scale. However, a further caution is that the sites in our program
540 which exhibit large variations in C2, C3, and C4 components are also in sectors of the cave beneath at
541 least intermittent livestock activity. We cannot assess if such variations would characterize a natural
542 system, or if they are enhanced by the variation in livestock presence. A final possible explanation could
543 be that the fluorescent layers are driven by a season of elevated in-situ microbial production on the

544 stalagmite surface. Lipid distributions document a unique microbial community on the surface of
545 stalagmites distinct from that of soil microbial communities transmitted in drip waters (Blyth et al., 2014,
546 2016) but it is not yet known how the microbial density of the stalagmite surface community might vary
547 temporally and affect fluorescence.

548 We favor the first explanation as an important factor for the generation of annual to subannual
549 fluorescent laminae, based on its relevance for also explaining cyclicity in colloiddally transported trace
550 elements. This process could also provide an explanation for the lack of annual to subannual scale
551 fluorescent lamination in some active stalagmites from the cave (such as PG-2, close to the drip site *PLA*).
552 At some drip locations, including *PLA*, stalagmite growth is modeled to occur rapidly but only during one
553 brief part of the year (Kost et al, 2022). In such a case, there may not be variation in the deposition rate of
554 the formed calcite, and therefore fluorescence incorporation could be homogeneous. Further
555 characterization of the sources of fluorescent components in stalagmites featuring annual lamination will
556 be important to test the alternative hypotheses for layer formation.

557

558 **CONCLUSIONS AND IMPLICATIONS**

559 Characterization of cave drip waters by full EEM matrices is a non-destructive, readily applied
560 technique for which PARAFAC analysis facilitates increasingly refined interpretations and contributes to
561 improved understanding of the natural dissolved organic matter dynamics in cave systems. We have
562 conducted a one-year monitoring of fluorescence in drip water at a monthly resolution in a cave system
563 with varying vegetation and land use at the surface above the cave. Our results indicate diverse
564 fluorescence contributions characterized by a five component PARAFAC model, which likely originate
565 from the overlying vegetation, sectors of livestock grazing above the cave, microbial processes and the
566 fossil bedrock. The contribution of fossil organic material in bedrock to drip water fluorescence is
567 suggested by EEMs of dissolved bedrock samples exhibiting a peak of Ex/Em 250/330 and 280/335, very
568 similar to one of the drip water components identified by PARAFAC models. To our knowledge, this is

569 the first study indicating a contribution of fossil fluorescent compounds to fluorescence in drip waters and
570 potentially the precipitating speleothems.

571 Across this cave system, the nature of fluorescence varies significantly among drip sites
572 characterized by different land cover and water reservoir times. Drip sites with dense tree cover and low
573 reservoir mixing times are characterized by the highest concentrations of humic-like and fulvic-like
574 fluorescence. Similar total fluorescence, but much lower humic-and fulvic-like components,
575 characterized sites with longer reservoir mixing times and mixed tree and fern cover. The site with
576 pasture above the drip site exhibited almost no humic-like fluorescence and the lowest total fluorescence.
577 For sites of comparable landcover and residence time, higher drip water flux (drip rate) correlates with a
578 higher total fluorescence concentration in drip water. Especially under long flow routes and long
579 reservoir times, drip sites fed by a low water flow, may lose a greater fraction of dissolved organic matter
580 to adsorption on karst host rock, reducing the final concentration arriving at the drip point in the cave.
581 The role of microbiological processes in the epikarst and within the caves, merits further exploration
582 because of the potential effect on interpretation of fluorescence properties of stalagmites.

583 In our data set, concentration of humic-like and fulvic-like fluorescence is constant and not
584 significantly enhanced seasonally in any drip sites. Higher concentrations of these components
585 accompany rainier periods only at a single drip site characterized by the shortest residence times. In
586 contrast, tyrosine-like and protein-like components of C2 and C4, hypothesized to originate from microbial
587 activity in the soil or epikarst, exhibit greater temporal variations in some drip sites, and increase in
588 concentration during drier periods across all drip sites. It is unclear if this trend reflects greater microbial
589 production during dry periods, or reduced dilution of a stable microbial population within the karst or
590 cave during dry periods.

591 These findings have several important implications for the interpretation of fluorescence and fine
592 scale annual to subannual fluorescent lamination in stalagmites. First, the surface vegetation signal of
593 humic like and fulvic like fluorescence may dominate the drip water fluorescence signal reaching
594 stalagmites, only in settings which feature low water reservoir times and limited microbial reworking. Our

595 data are consistent with a greater reduction in the concentration of fluorescent compounds in very slow
596 flow drip sites compared to fast flow, likely due to the adsorption of fluorescent compounds to host karst
597 rock. Interpretation of the fluorescent components in stalagmites therefore needs to consider the
598 reprocessing reactions that operate between the surface environment and the cave. Additionally, a
599 bedrock source of fossil fluorescent material, independent of the surface landscape or climate conditions
600 at the time of stalagmite growth, may be significant in some cave systems.

601 Our finding of annual to sub-annual cyclic fluorescent layers in an actively growing stalagmite
602 beneath a drip characterized by invariant humic and fulvic-like components, challenges one conventional
603 interpretation for the origin of fluorescent laminae by a high autumnal influx of organic matter. Our
604 favored interpretation is that fluorescent laminae could be generated during seasons of slower stalagmite
605 growth in which an otherwise constant concentration of fluorescent components is concentrated over a
606 shorter distance in the stalagmite, generating higher average stalagmite fluorescence in the slower growth
607 portion of the year. In this case, the season of maximum fluorescence may not be identical among
608 multiple caves or even among multiple stalagmites in the same cave system, because season of stalagmite
609 growth depend on the timing and intensity of cave ventilation which can vary both among different caves
610 and within a given cave system. Thus, the fluorescent laminae should not be assumed to be a consistent
611 marker of a particular season nor used to reference the attribution of a particular season to observed
612 cycles in $\delta^{13}\text{C}$ or trace elements.

613 Alternatively, fluorescent laminae could be dominated by variations in the non-humic and non-
614 fulvic components of fluorescence. Certain of these components were systematically elevated during dry
615 intervals. If these components are most responsible for fluorescent lamination, then fluorescent laminae
616 may represent dry periods, exactly the opposite interpretation as the conventional hypothesis. In our sites,
617 variations in these components were not synchronous among multiple drip locations, indicating that this
618 process could also lead to seasonally diachronous fluorescent layers in different drip settings.
619 Nonetheless, additional monitoring studies in cave settings with less livestock influence would be
620 important to distinguish if large variations in drip water tyrosine-like, and protein-like components also

621 characterize systems in a more natural state. More generally, to more confidently reconcile the
622 interpretation of fluorescent laminae in stalagmites, it will be important to identify which fluorescent
623 components are responsible for the annual to subannual scale lamination in stalagmites by EEM or other
624 analytical approaches. Some fluorescent components may be selectively incorporated in stalagmite calcite
625 and therefore play a larger role than predicted by their relative contribution to the drip water
626 fluorescence. Furthermore, it will be important to assess if the constancy of humic-like and fulvic-like
627 drip water concentrations seen in this cave is representative of other cave systems in which annual to
628 subannual fluorescent laminae are documented.

629 **COMPETING INTERESTS**

630 The authors declare that they have no known competing interests or personal relationships that
631 could have appeared to influence the work reported in this paper.

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634

635 **DATA AND CODE AVAILABILITY**

636 Upon acceptance of this article, all data used in the study and codes for figure compilation
637 will be uploaded to https://github.com/lrndrs/Organics_Analysis, last access: 30 December 2022) and
638 given in the supplement provided with the article. The compiled data set of drip water organics and
639 chemistry will also be uploaded to PANGEA (<https://www.pangaea.de/>, last access: 30 December 2022)
640 and the PARAFAC model will be published in the OpenFluor database (<https://openfluor.lablicate.com>,
641 [last access: 30 December 2022](#)).

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843 **LIST OF TABLES**

844

Tab. 1

Component (-)	Ex. Max (nm)	Em. Max (nm)	Description (from literature and openfluoer)	Fmax correlation				
				C1	C2	C3	C4	C5
C1	240,320	408	Humic-like ¹ , higher molecular weight vegetation	1	0.04	0.5	-0.12	0.62
C2	265	291	tyrosine-like ¹ , simple tannin ^{2,8} found in cold waters ⁷	0.04	1	0.5	0.5	0.08
C3	240, 280	333	planktonic Humic-like ¹ , microbially processed ³ , oil ⁴ indigenous OM from bedrock	0.5	0.5	1	-0.02	0.28
C4	255	277	amino acid-like ¹ , close spectral match to phenylalanine ⁹	-0.12	0.5	-0.02	1	-0.1
C5	256, 370	455	Soil fulvic acid ⁵ , terrestrial higher plants ⁶	0.62	0.08	0.28	-0.1	1

845

846 *Table 1:*

847 PARAFAC model component properties. Ex_{max} and Em_{max} give wavelength of peak excitation-
848 emission pairs (double peaks for humic-like components). Pearson correlation between individual
849 components across all samples is given in the column Fmax correlation. EEM spectra are described from
850 comparison to literature and the OpenFluor database. References from literature are ¹Coble et al., 2014 ;
851 ²Aitken et al., 2014, ³Xia et al., 2022; ⁴Zhou et al., 2013 ; ⁵Yamashita and Jaffé, 2008 and ⁶Cory and
852 McKnight, 2005. Derived from OpenFluor are ⁷Chen et al., 2018; ⁸Dandrilli and McConnell, 2021 and
853 ⁹Wünsch et al., 2015.

854

Tab. 2

Location	Cave Temp. (°C)	pCO ₂ (ppm)	Cover thickness (m)	Drip rate (ml/min)	δ ¹⁸ O	Overall fluorescence (R.U.)	FI	Spectral slope	C3:(C1+C5) ratio
(Abbr.)	monthly mean (1SD)	Max (Min)		Mean (1SD)	variance	Mean (1SD)	Mean (1SD)	Mean (1SD)	Mean (1SD)
Forest (FOR)	14.21 (0.56)	1496 (461)	14.47	0.35 (0.18)	0.477	47.4 (13.35)	1.66 (0.02)	1.14 (0.3)	0.25 (0.17)
Snowball (SNO)	14.21 (0.56)	1496 (461)	14.35	-	-	37.86 (12.15)	1.60 (0.03)	0.62 (0.21)	0.18 (0.14)
Gloria (GLO)	14.44 (0.27)	1582 (569)	8.72	0.22 (0.08)	0.09	22.56 (24.64)	1.65 (0.12)	0.99	0.76 (0.7)
Gravel (GRA)	13.9 (0.22)	2416 (509)	13.29	0.72 (0.38)	0.08	18.64 (19.32)	1.52 (0.16)	1.15	0.37 (0.25)
Skyscraper (SKY)	13.89 (0.17)	5390 (510)	27.62	89.22 (24.25)	0.05	26.76 (20.35)	1.72 (0.16)	0.83	0.47 (0.50)
Playground (PLA)	13.72 (0.16)	4199 (525)	29.45	1.22 (0.916)	0.04	20.34 (5.73)	1.73 (0.14)	-0.48	0.96 (0.57)
Mushroom (MUS)	13.6	-	23.21	-	-	10.87 (0.23)	1.55 (0.2)	0.91	0.22 (0.46)

855

856

857 *Table 2:*

858 Sampling locations discussed in this study, their overall cave properties and mean optical values.

859 Variability is given in the form of one standard deviation (1 SD). Overall fluorescence over the entire

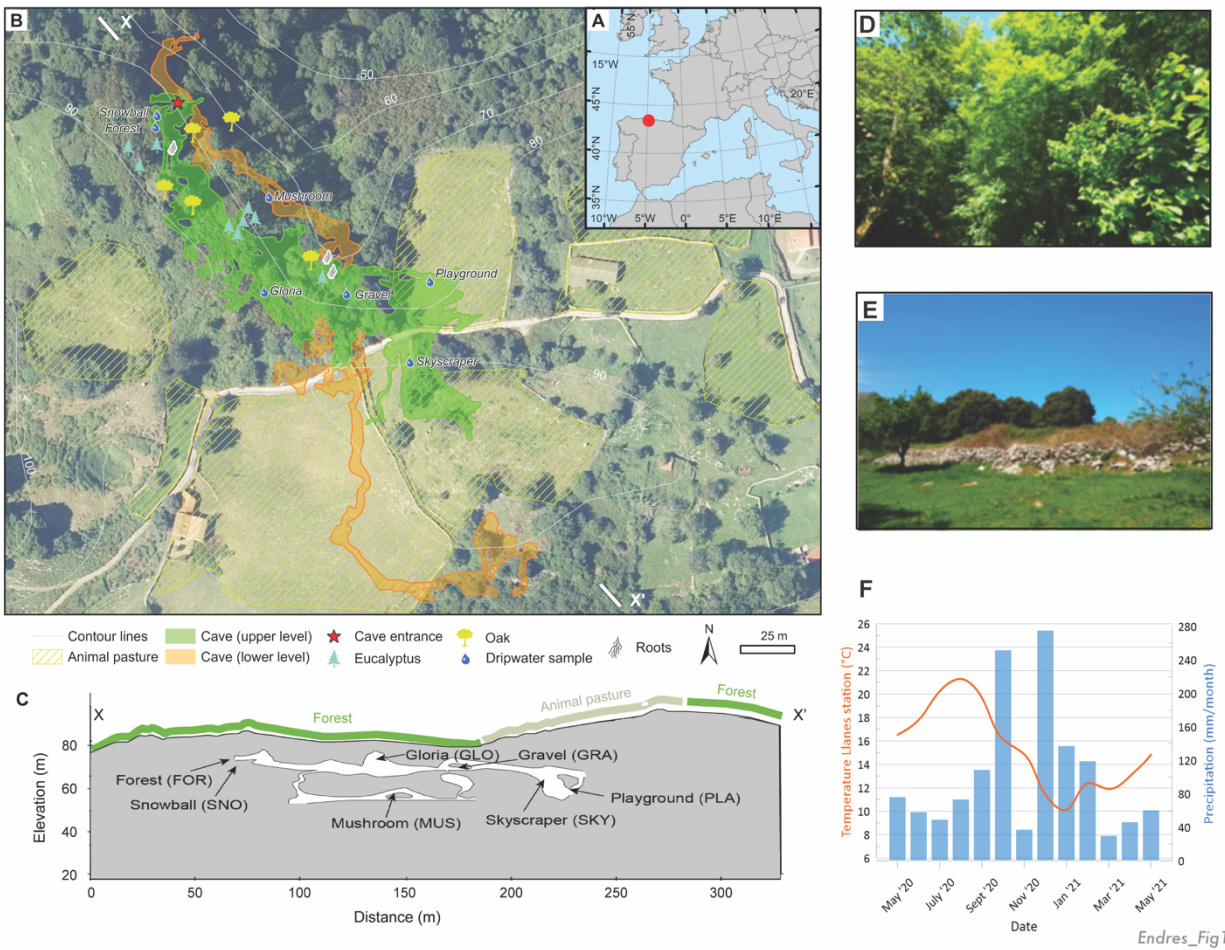
860 EEM is given in raman units (R.U.). FI is the fluorescence index (McKnight et al. 2001). Extended

861 description of the overlying vegetation and cave setting can be found in the Supplementary Table 1.

862

863

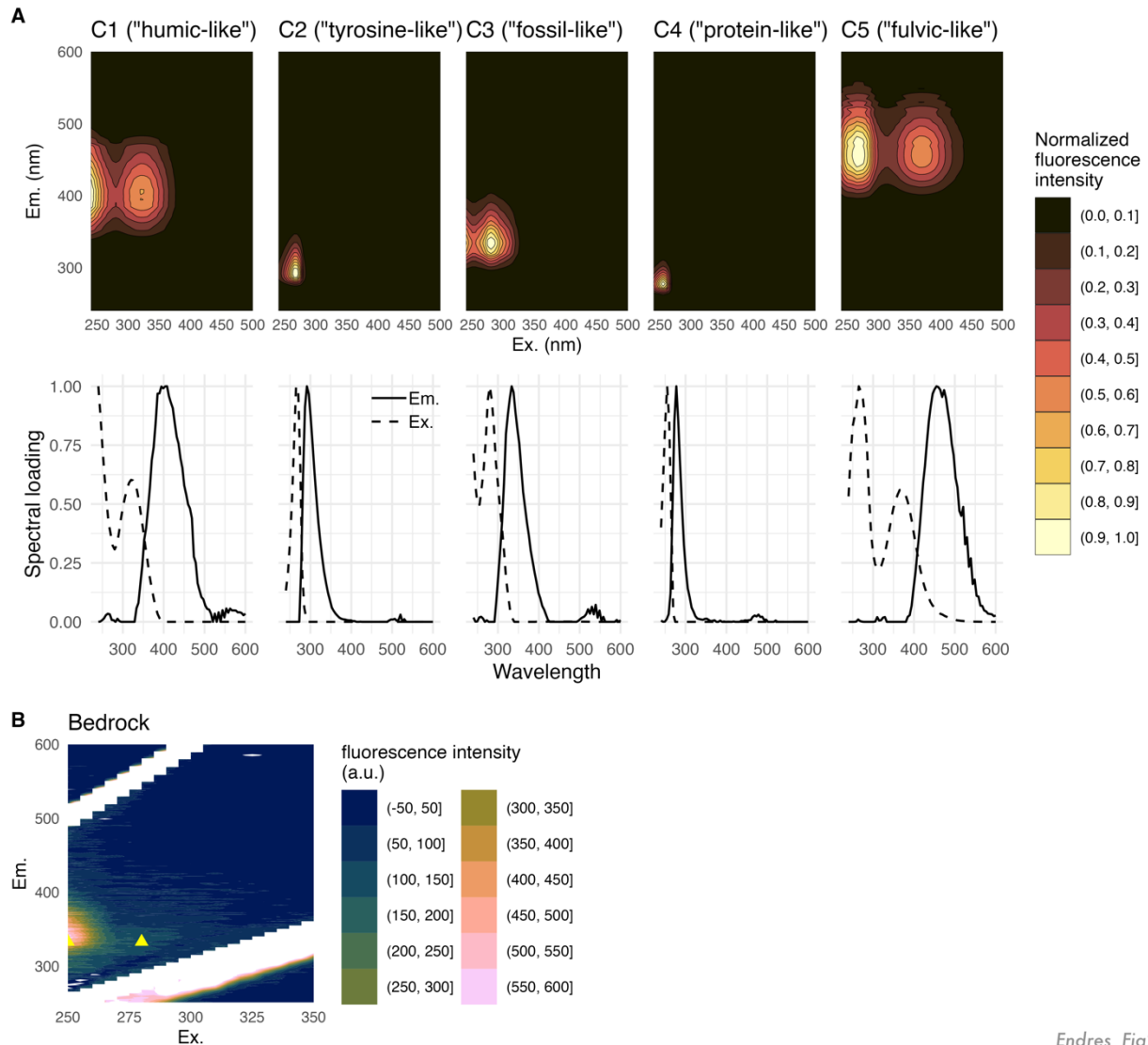
864 LIST OF FIGURES
865



866
867 *Figure 1.*

868 Location, sampling map of locations in cave, and landcover and climatic setting. (A) Reference
869 map with red circle denoting the cave location. (B) Plan map of the cave upper gallery (green outline) and
870 lower gallery (orange outline) indicating the location of drip water sampling (labeled drop symbols)
871 overlaid on aerial image from 2017 (Plan Nacional Ortofotografía Aérea - PNOA of Spanish IGN;
872 available at <https://centrodedescargas.cnig.es>, last access: 30 December 2022), and showing topographic
873 contours (white lines) with elevation above sea level (m). Pasture use and large trees are noted, as well as
874 the position of root systems penetrating the cave. (C) Cross section (X to X') of cave with projected
875 sampling locations. (D) Vegetation above sampling location FOR (May 2021), (E) Vegetation above

876 Sampling location PLA (May 2021). (F) Monthly mean air temperature and precipitation from Llanes
877 weather station over sampling period (State Meteorological Agency of Spain, 2021).
878

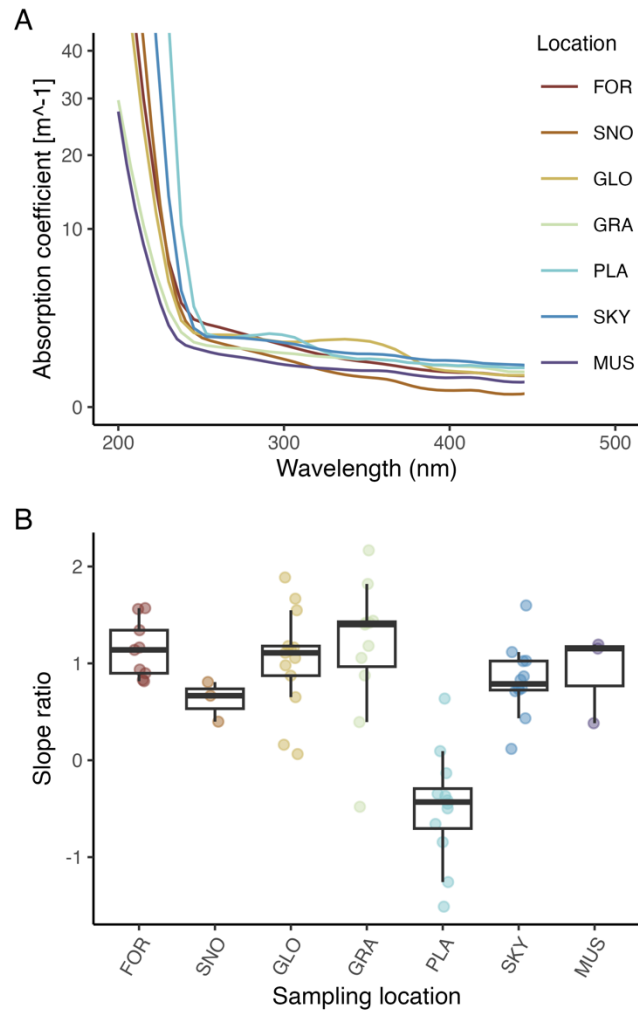


879

Endres_Fig2

880 *Figure 2.*

881 Dripwater components and bedrock endmember EEM. A) Drip water 5-component PARAFAC
 882 model and its spectral loadings. Fluorescence intensity is normalized to unity. Spectral loadings maxima
 883 in emission and excitation wavelengths are indicated with solid and dashed lines, respectively. B) EEM
 884 of dissolved organic-rich bedrock. Fluorescence intensity is given in arbitrary unit (a.u.). Yellow triangles
 885 indicate peak positions of drip water PARAFAC component C3 for comparison.



Endres_Fig3

886

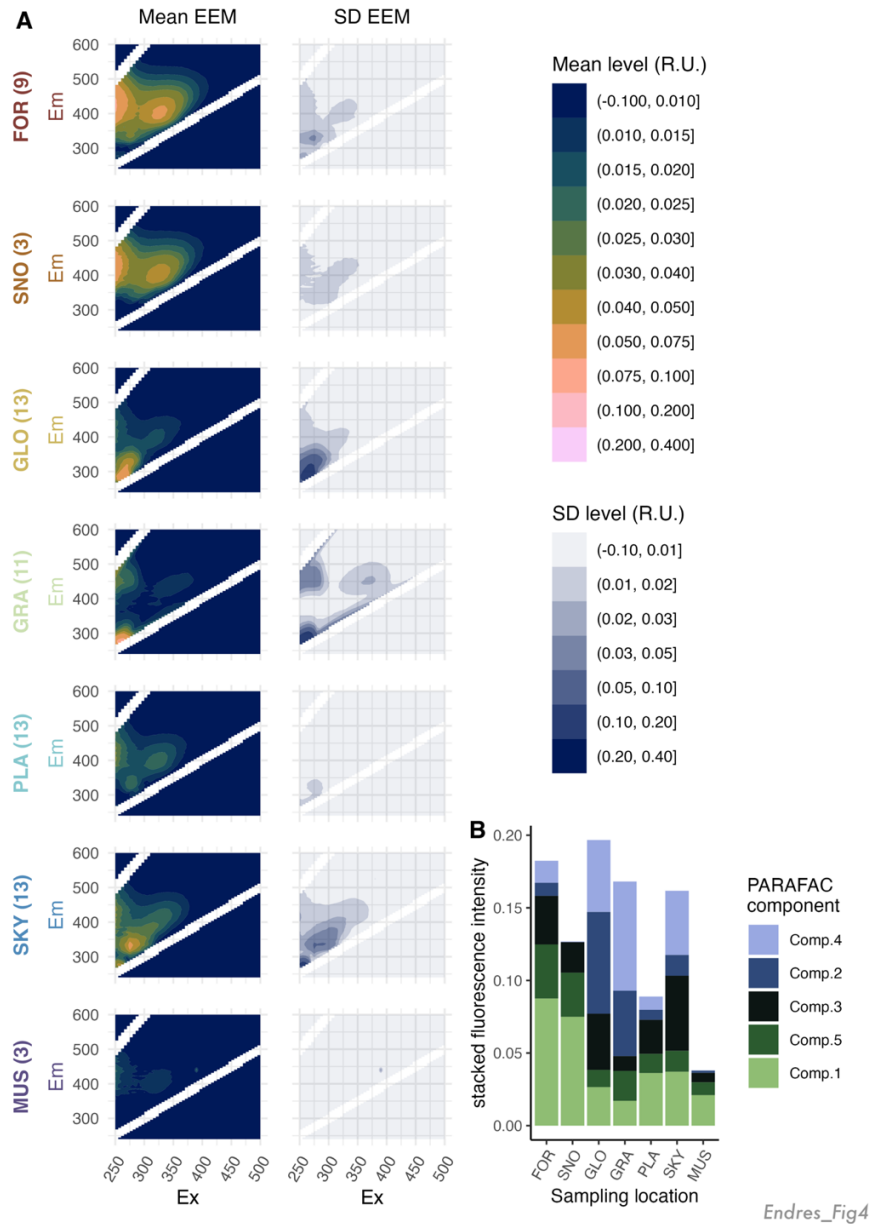
887 *Figure 3.*

888 Absorption properties of drip water samples grouped by location. A) Loess smoothed median

889 absorption spectra for all locations. B) Spectral slope ratio values for individual absorbance

890 measurements.

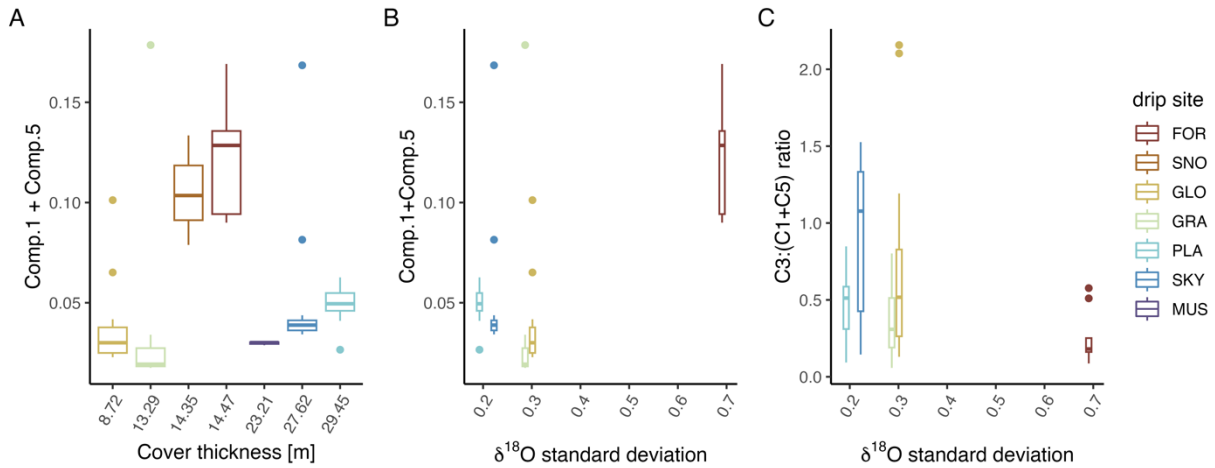
891



892

893 *Figure 4.*

894 A) Mean and standard deviation (SD) of Excitation-Emission Matrices (EEM) for each sampling
 895 location compiled over the monitoring period. Fluorescent intensities are given in Raman units (R.U.). B)
 896 mean intensity of all PARAFAC components for each sampling location, stacked to illustrate the total
 897 PARAFAC characterized fluorescence intensity at each location. Comp. 1 and Comp. 5 are described as
 898 “humic-like“ and “fulvic-like“, respectively. Comp. 3 is described as “fossil like”, Comp. 2 as “tyrosine-
 899 like”, and Comp. 4 as “protein like”.

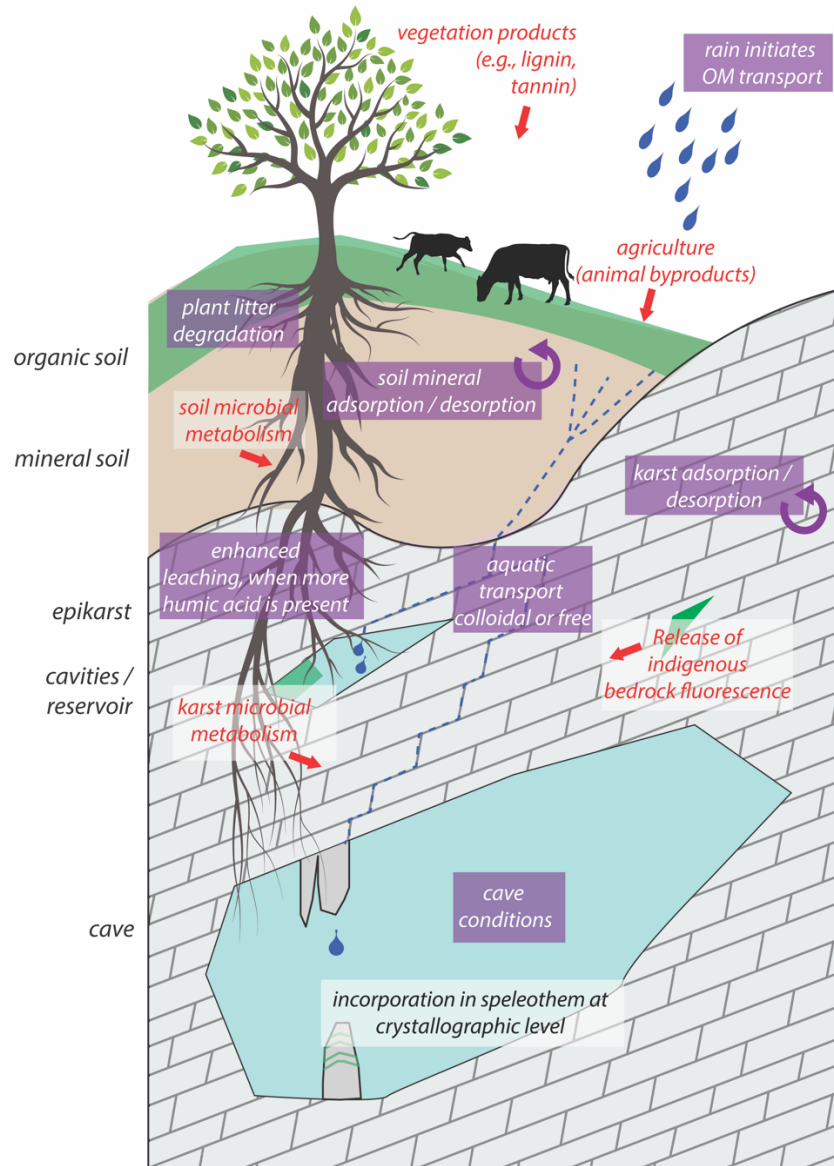


900

901 *Figure 5.*

902 Overview of the relationship between fluorescence and cave settings. A) comparison of the
 903 summed C1 and C5 (humic-like and fulvic-like) components plotted vs ascending thickness of bedrock
 904 cover over the drip sampling site. B) comparison of summed C1 and C5 components plotted vs the drip
 905 water $\delta^{18}\text{O}$ variance, which increases for shorter drip water residence times. C) comparison of the ratio of
 906 C3/(C1+C5) ratio of reworked or fossil components to humic-like and fulvic-like components, plotted vs
 907 the drip water $\delta^{18}\text{O}$ variance, which increases for shorter drip water residence times.

Endres_Fig5



Endres_Fig6

908

909 *Figure 6.*

910 Schematic of processes contributing to observed drip water fluorescence, from vegetation through

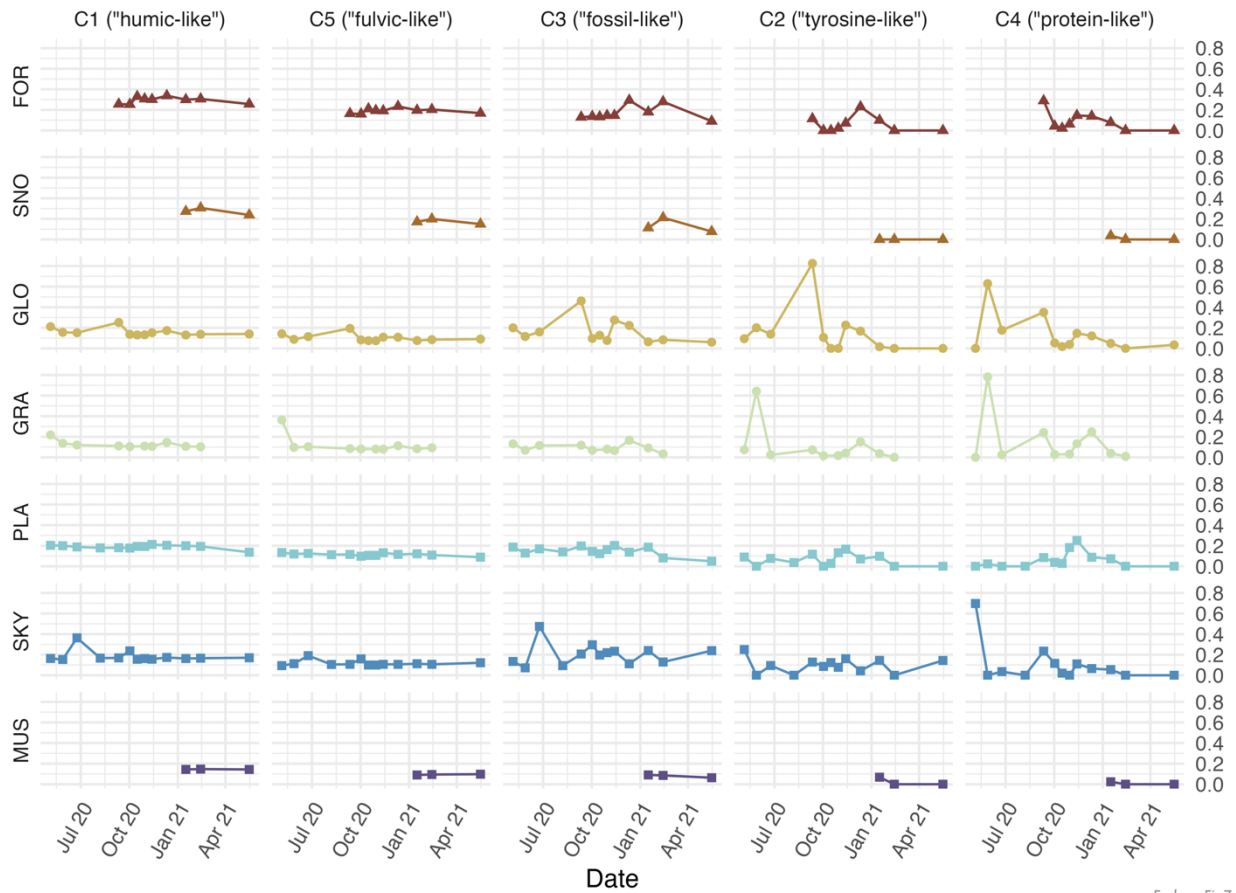
911 soil, epikarst, and into cave. Red labels denote potential end member sources of different fluorescent

912 organic component classes. Lavender boxes highlights proposed interactions between climate,

913 vegetation/plant litter and bedrock including dissolution or leaching of bedrock by respired CO₂, and

914 adsorption of fluorescent matter to bedrock, as well as cave conditions potentially affecting incorporation

915 of fluorescent organic matter in speleothems.

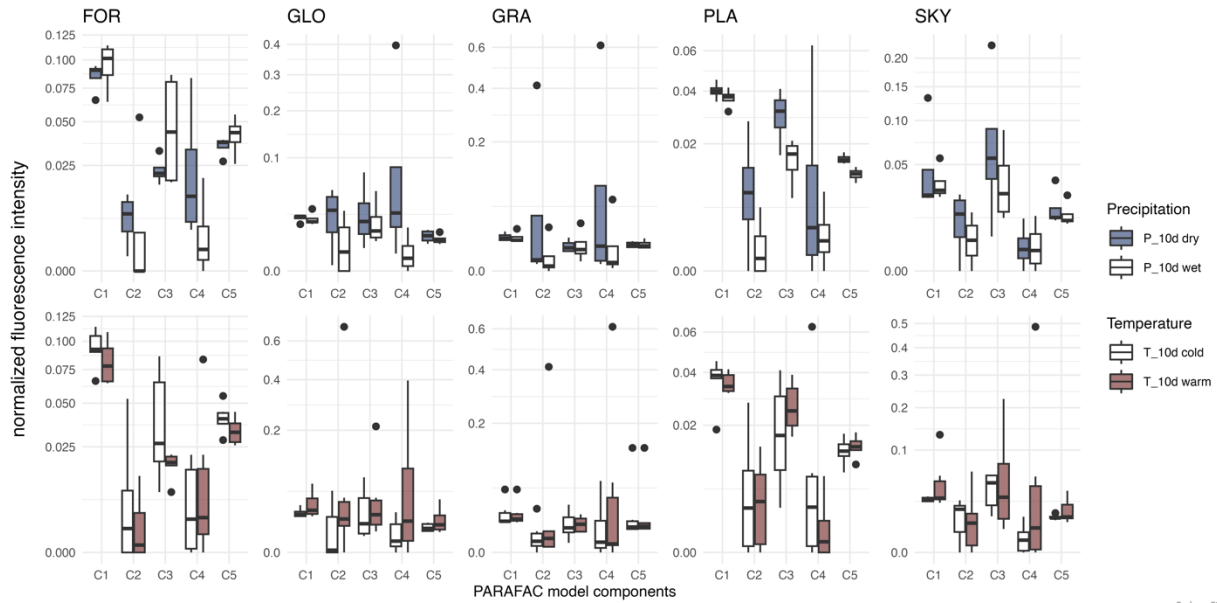


916

917 *Figure 7.*

918 Temporal evolution of the 5 components of the PARAFAC model throughout the monitored

919 period at each site. Each component y axis is given in normalized PARAFAC fluorescence intensity.

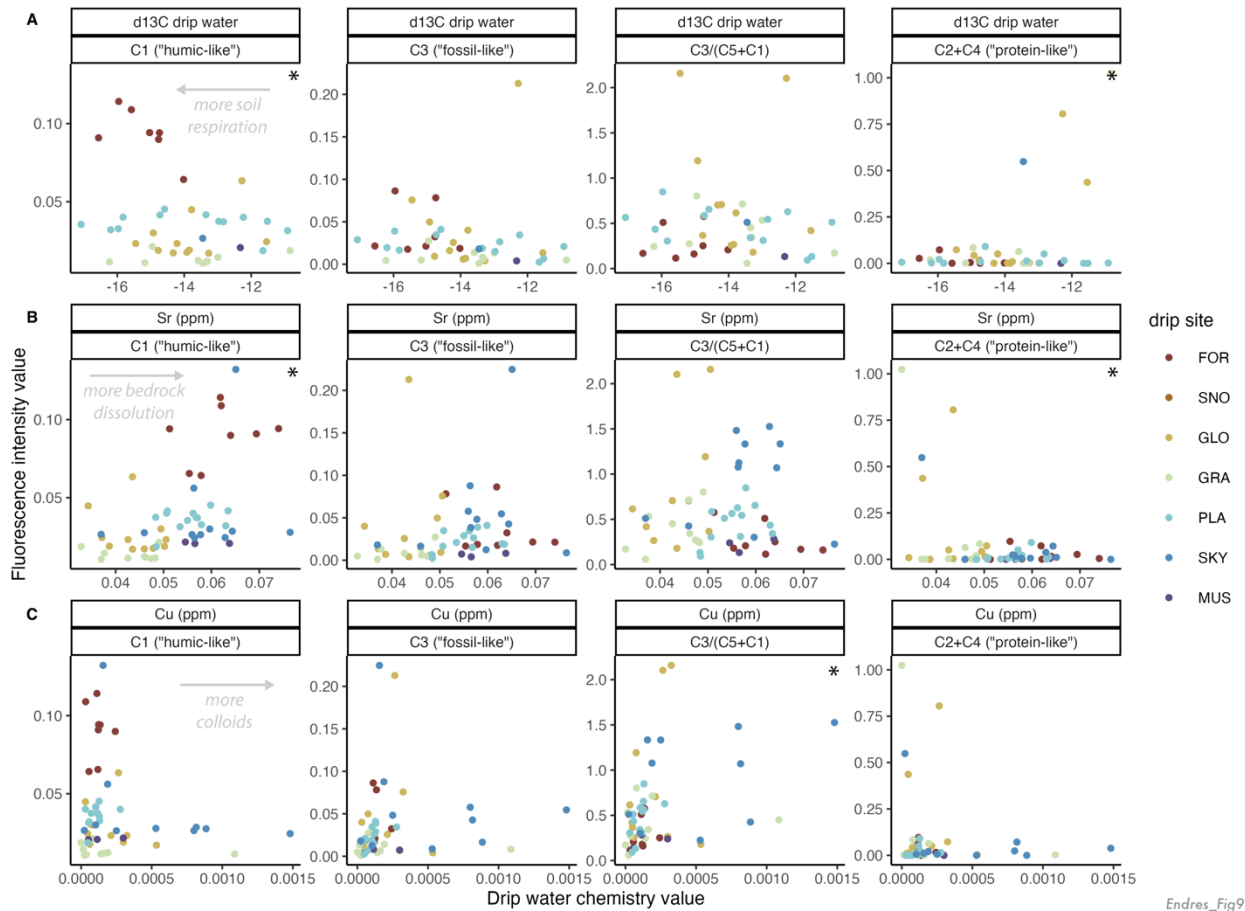


Endres_Fig8

920

921 *Figure 8.*

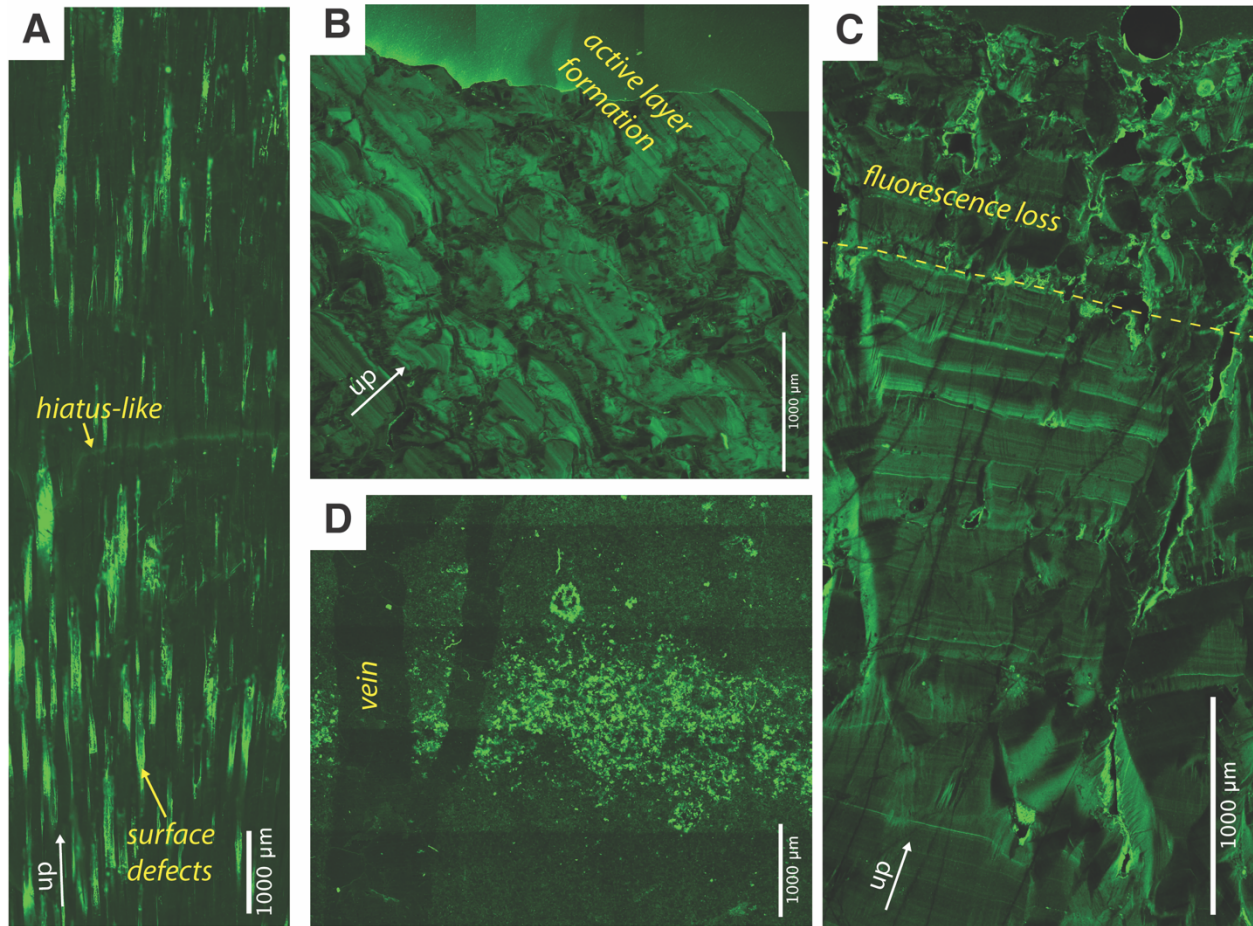
922 Relationship of climate conditions 10 days prior to drip water sampling date versus fluorescence
 923 of each component for the five locations *FOR*, *GLO*, *GRA*, *PLA* and *SKY*. Upper row contrasts the
 924 fluorescence during four dates of dry weather in the 10 days prior to sampling, with fluorescence during
 925 four dates of wet weather in the 10 days prior to drip water sampling. The sample size of each group
 926 ($n=4$) was limited by total length of time series FOR. Lower row shows an analogous comparisons of
 927 groups during 4 dates of warm average surface air temperatures in the 10 days prior to drip water
 928 sampling vs 4 dates of cold average surface air temperatures in the 10 days prior to drip water sampling.
 929 The boxplot shows the median, first and third quartiles; the attached whisker extends from the hinges to
 930 the extreme value no further than 1.5x inter-quartile range and data outside of whisker is plotted as single
 931 points.



932

933 *Figure 9.*

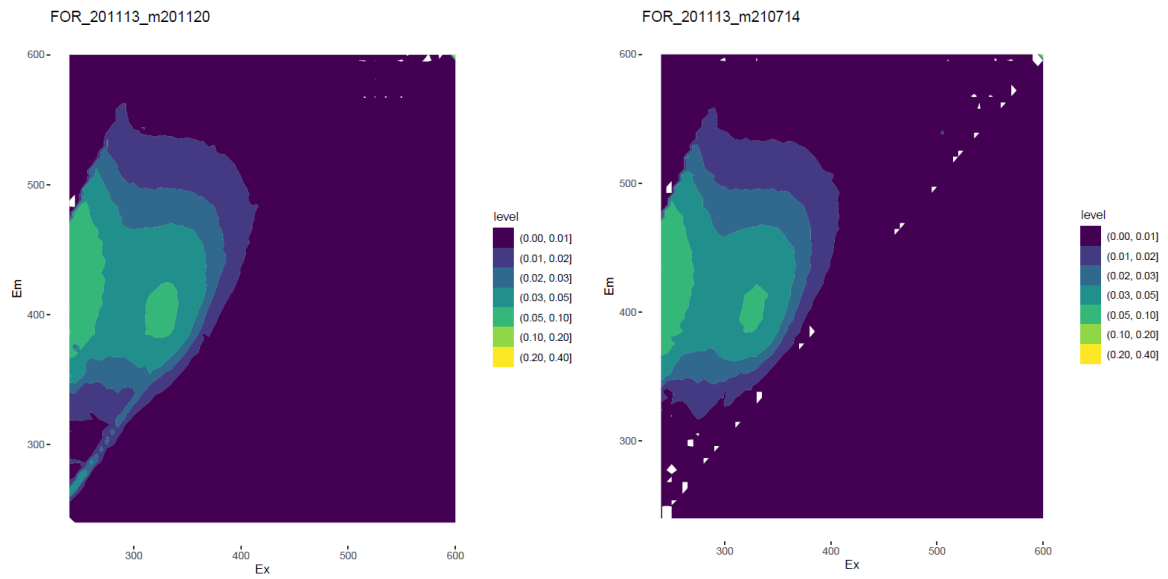
934 Relationship between fluorescence parameters and indicators of the dissolution and karst
 935 conditions from drip water geochemistry. A) comparison of fluorescence components with the $\delta^{13}\text{C}$ of
 936 DIC in drip water, indicator of soil and epikarst CO_2 and respiration rates. B) comparison of fluorescence
 937 components with the drip water Sr concentration, an indicator of the extent of dissolution of karst
 938 bedrock. C) comparison of fluorescence components with the total drip water Cu concentration.
 939 Dripwater Cu is dominantly in the form of colloidal organic complexes.



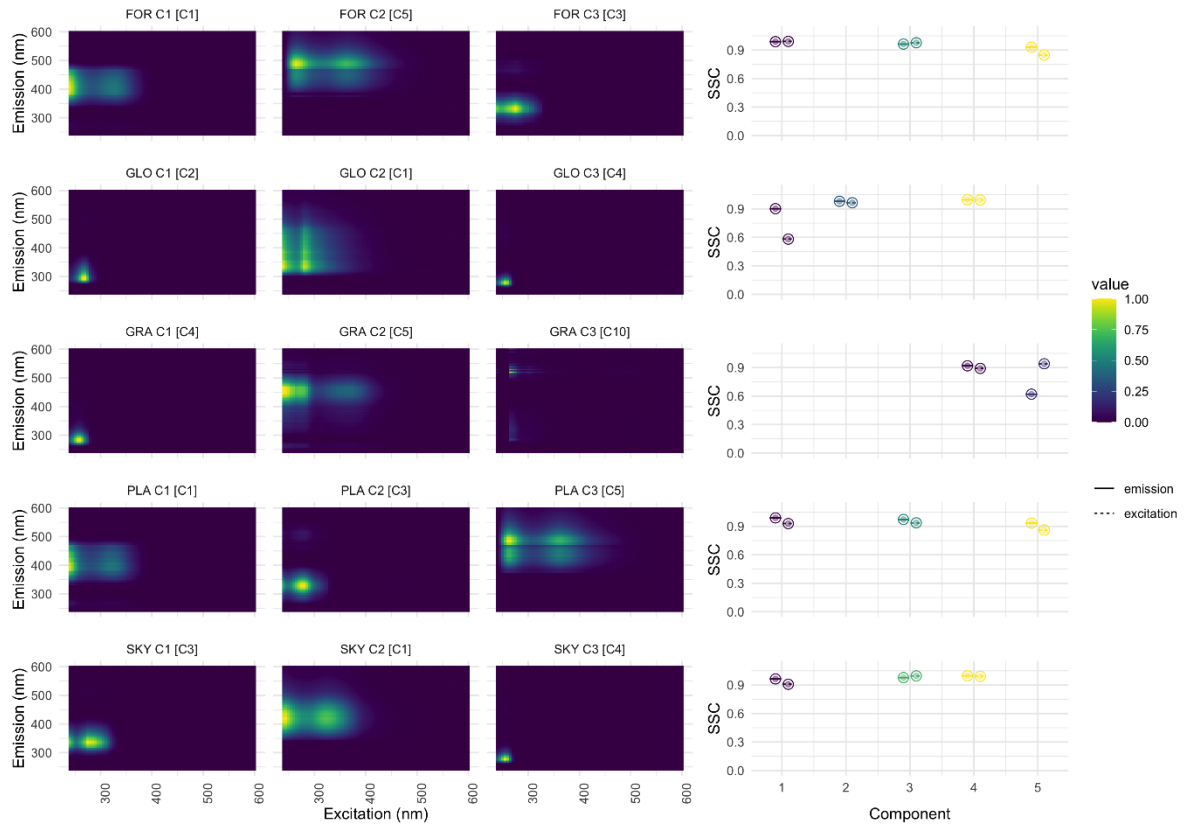
940

941 *Figure 10.*

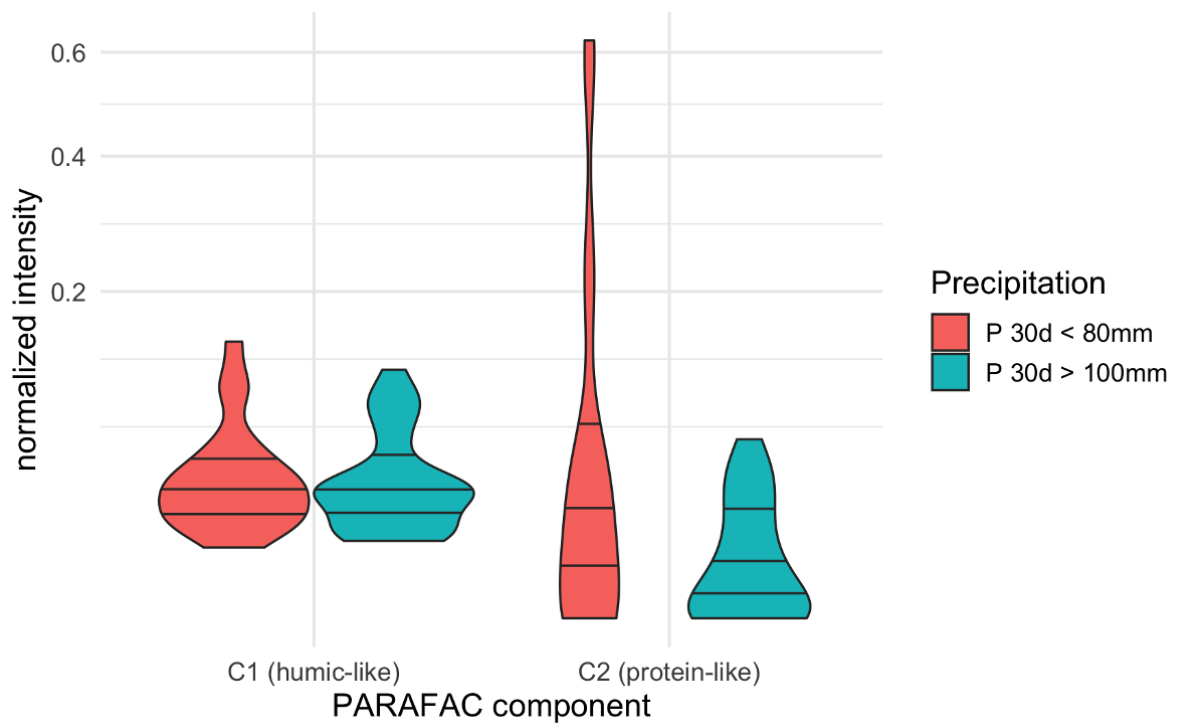
942 Solid-phase fluorescence features of actively growing speleothems and bedrock from La Vallina
943 Cave. A), stalagmite PG-2, sampled 5 m from drip water point PLA. B) stalagmite SKY, sampled
944 beneath the drip water sample point SKY. C) stalagmite SNO, sampled beneath the drip water sample
945 point SNO. D) polished bedrock. CLSM images were created with a Olympus Fluoview 3000 generated
946 by Excitation at 488 nm and detection at 490-590 nm; images were and post-processed with Fiji
947 (Schindelin et. al, 2012) and Adobe illustrator (Adobe Inc, 2019). Bomb spike age models for active
948 stalagmites are presented in Sliwinski et al. (2022)



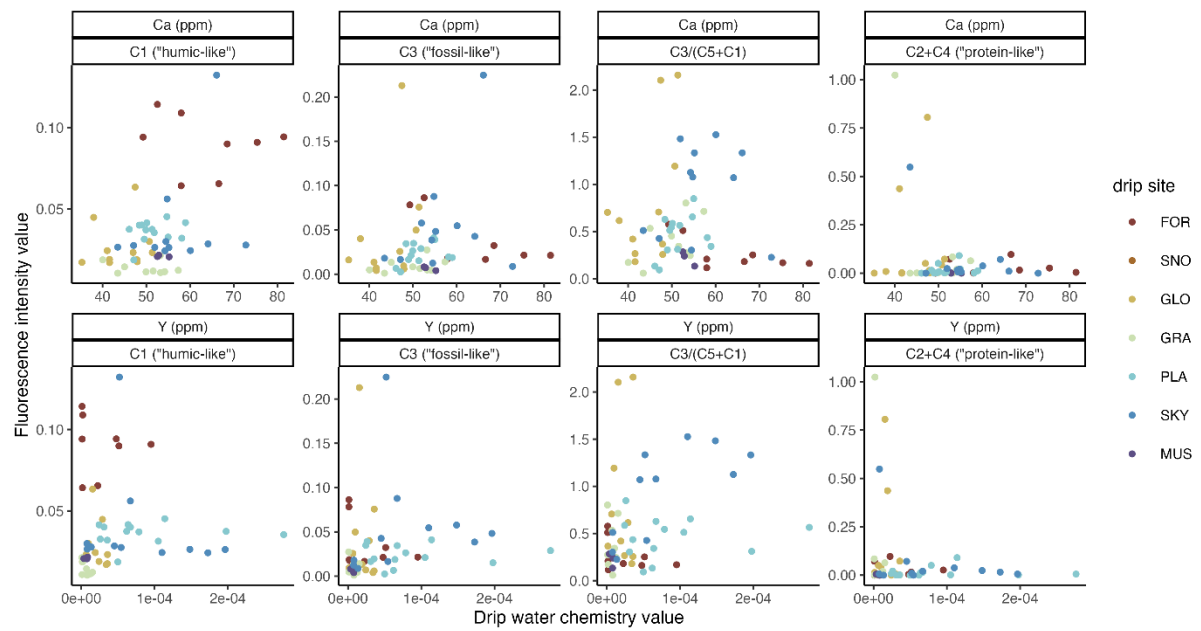
Supplementary Figure 1. Comparison of dripwater sample FOR collected 13 November 2020. Left image is EEM acquisition made on 20 November 2020, and the right image is acquisition on 14 July 2021.



Supplementary Figure 2. Results from location-wise 3 component PARAFAC model reveal the presence of all five peaks as dominant features in single locations, but also across locations. Therefore, the 5-component model is able to represent the variability of the full dataset to a large degree. In detail: featuring high SSC values from 0.6-0.99, variability in location FOR is most similar to components C2,C5,C3; in GLO to C2,C1,C4; in GRA to C4,C5,(+Outlier); in PLA to C1,C3,C5 and in SKY to C3,C1,C4.



Supplementary Figure 3. Simplified to a humic-like and a protein-like component, the difference in intensity distribution in protein-like fluorescence between wet and dry conditions over the entire dataset is significant.



Supplementary Figure 4. Relationship between fluorescence parameters and indicators of the dissolution and karst conditions from dripwater geochemistry. Upper row, comparison of fluorescence components with dripwater Ca concentration, an indicator of the extent of dissolution of karst bedrock. Lower row, comparison of fluorescence components with the total dripwater Y concentration. Dripwater Y is dominantly in the form of colloidal organic complexes.

Supplementary Table 1. Description of vegetation and conditions above each drip location.

Location (Abbr.)	Vegetation and conditions above/at sampling location
Forest (FOR)	Oak, chestnut and large eucalyptus trees; also Fern, brambles and gorse. Roots of eucalyptus tree reach cave ceiling.
Snowball (SNO)	Oak, chestnut and large eucalyptus trees; also Fern, brambles and gorse. Roots of eucalyptus tree reach cave ceiling.
Gloria (GLO)	Vegetation is dominated by Bushes and Fern, two isolated trees (eucalyptus and oak) Doline is close by. Eucalyptus roots reach cave ceiling.
Gravel (GRA)	Vegetation is dominated by Bushes and Fern, two isolated trees (eucalyptus and oak) Doline is close by. Eucalyptus roots reach cave ceiling. cave ceiling filled with helictites and eccentric soda straws
Skyscraper (SKY)	Pasture, regularly used by cattle. Several sink holes are nearby.
Playground (PLA)	Pasture, regularly used by cattle. Several sink holes are nearby.
Mushroom (MUS)	Below hill slope, intermediate cover of some isolated trees and ferns.

Supplementary Equation 1

Beer's law:

$$\alpha(\lambda) = 2.303 A(\lambda)/l$$

where α = *absorption* (m^{-1}), A=Absorbance, l=cuvette pathlength (m) and λ = *wavelength* (nm).