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1 **LHYMO: a new WFD-compliant multimetric index to assess**
2 **lake hydromorphology and its application to French lakes**

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15 **Abstract**

16 1. Hydromorphology provides a physical framework for aquatic biocenoses. Its condition directly affects the quality
17 of habitats available for fauna and flora and its assessment is therefore required by the EU Water Framework
18 Directive (WFD) to assess the ecological status of lake water bodies.

19 2. In this study, we developed an index of Lake HYdroMORphology (LHYMO) to provide a quantitative assessment
20 of the hydromorphological status of natural and non-natural lakes that can be used for the implementation of the
21 WFD and is consistent with CEN standards.

22 3. This new index addresses the shortage of methods describing adequately hydromorphological alterations on
23 lakes on both hydrology and morphology. LHYMO includes nine metrics related to morphological WFD quality
24 elements (QE) and six metrics related to hydrological WFD QE, all of which considered to support biological
25 elements. The reference conditions were defined for each metric using an original approach: the degree of
26 alteration is measured in relation to the natural characteristics of each lake, relative to a state that 'would be
27 expected in the absence of disturbances'.

28 4. Besides its use for regulatory purposes, this index is also an interesting tool to monitor the efficacy of
29 hydromorphological restoration projects or to help target effective conservation measures on lakes.

30 5. Application of this index to 72 French lakes provides the first quantitative and homogeneous assessment of the
31 hydromorphological quality of lakes over a whole territory and gives the first overview of the hydromorphological
32 status of lakes in France, with classification into five classes ranging from 'high' to 'bad'.

33 6. LHYMO is already operational for large French lakes as it relies mostly on reference datasets available at a
34 national scale, and may also be used in a wider scope through gathering or completing data from other sources.

35

36 **Keywords**

37 assessment, conservation, hydromorphology, index, lakes, multimetric, reference conditions, WFD

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40 **1. Introduction**

41 Lakes provide many ecological services and give habitats to numerous plants and animal species. They also support
42 a wide range of services for human needs (*e.g.* water dumping, hydropower, irrigation, recreational activities) that
43 involve modifications of their inherited morphology and hydrological dynamics (Ostendorp et al., 2004).
44 Hydromorphological alterations impact the functioning of lakes in many ways by modifying structural complexity
45 and heterogeneity of littoral habitats, altering the natural water level fluctuations thus affecting the physical
46 structure of food-gathering areas and macrophyte cover in the littoral zone, changing water circulation and
47 thermal stratification patterns, and impacting internal nutrient cycling (Poikane, Zohary, et al., 2020).
48 Consequently, alteration of lake hydromorphology strongly affects the quality of aquatic biocenoses living
49 environment, eventually impacting the composition and structure of biological communities (Logez et al., 2016;
50 Radomski & Goeman, 2001; Twardochleb & Olden, 2016).

51 Since 2000, the European Water Framework Directive (DIRECTIVE 2000/60/EC, 2000) establishes a regulatory
52 framework to assess the ecological status of continental water bodies in Europe. Along with biological and physico-
53 chemical elements, hydromorphology is one of the key compartments to assess this ecological status.
54 Hydromorphology of lakes is considered through quality elements related to both morphological conditions
55 (structure of the lake shore, quantity, structure and substrate of the lake bed and lake depth variation) and to the
56 hydrological regime (quantity and dynamics of water flow, residence time and connection to the groundwater
57 body). A lake can achieve high ecological status only if the aforementioned quality elements coincide with
58 reference conditions or deviate from them very slightly. The WFD requires each Member State to assess status of
59 its water bodies and set up management plans to achieve at least good chemical and ecological status (or good
60 potential) of these water bodies by 2027.

61 In Europe, hydromorphological pressures on surface waters are increasing since the last decades (Poikane, Zohary,
62 et al., 2020) and represent one of the most common type of pressure on aquatic ecosystems along with
63 eutrophication, which may itself at least partly result from hydromorphological alterations. According to the report
64 of the European Environment Agency (2018) on the state of Europe's water, hydromorphological pressures affect
65 around 40% of surface water bodies. In addition, the WFD inventory conducted in 2019 for France shows that
66 nearly 50% of lakes at risk of not achieving good ecological status due to hydromorphological issues (Office Français
67 de la Biodiversité, 2019). In this context, there is an urgent need to integrate hydromorphology into the monitoring
68 and assessment of all European water bodies (Belletti et al., 2015).

69 Since the seminal work of Håkanson (2005) on lake morphometry, and despite the adoption of the WFD in Europe,
70 methods for characterizing lake hydromorphology and its alterations remain few in number (Ciampittiello et al.,
71 2017). In addition, a recent overview of these methods used in Europe show that few of them describe the
72 hydromorphology of lakes in a comprehensive way and that they are often not easily accessible because published
73 in grey literature (Argillier, Carriere, Wynne, et al., 2022) .

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74 Among the most well-known methods, we can mention the Lake Habitat Survey (LHS) (Rowan et al., 2006) and the
75 Lake Shorezone Functionality Index (SFI) (Siligardi et al., 2010), based on field observation data, the
76 HydroMorphology of Lakes (HML) protocol (Ostendorp & Ostendorp, 2015) based on geomatics derived data, as
77 well as methods listed in the detailed study of Bragg et al. (2003). Some indices were furthermore developed to
78 assess the hydromorphological quality of lake ecosystems. We can listed for example the Lake Habitat Quality
79 index (LHQ) and Lake Habitat Modification Score (LHMS) resulting from the application of the LHS, the
80 Morphological Impact Assessment System (Lake-MIMAS) (Rowan et al., 2012), the Lakeshore Modification Index
81 (LMI) (Peterlin & Urbanič, 2013) or the Morphological Stressor Index (Miler et al., 2013). These indices are based
82 on a combination of several metrics, *i.e.* measurable characteristics whose values varies according to a disturbance
83 gradient (Karr & Chu, 1999), nonetheless mostly refer to morphological features. Hydrological alterations and their
84 quantification have received more attention on Northern European lakes where these alterations are
85 predominant, but the methods, mainly described in technical reports or regulatory documents (e.g. HVMFS (2019),
86 in Swedish) still remain relatively confidential. In addition to these different methods, two European standards
87 have been published (Boon et al., 2019; CEN, 2011, 2017) to ensure a consistent assessment of the ecological
88 status of water bodies across Europe.

89 However, it was shown that most of the methods do not currently meet WFD requirements and two major
90 limitations to the development of classification systems based on hydromorphological characteristics of the lakes
91 were identified. Firstly, measuring the degree of alteration of an ecosystem, whatever its nature, requires
92 measuring a deviation from a reference status (Boon et al., 2019). It appears that, in aforementioned methods,
93 the definition and use of reference conditions are usually not clearly stated. Actually, defining reference conditions
94 remains one of the main difficulties reported by European experts and a challenge for hydromorphological
95 assessment methods in Europe (Argillier, Carriere, Wynne, et al., 2022), especially for non-natural lakes designed
96 to meet human needs and for which it is essential to take this mandatory constraint into account. Secondly, the
97 hydromorphological state is considered in the regulatory framework of the WFD as supporting biological elements.
98 This notion of supporting biology needs to be explained. However, only a few studies describe precisely the
99 responses of biological communities to certain hydromorphological parameters and some are poorly studied (e.g.
100 the impacts of changes in water residence time). Measurements of biological responses to the various parameters
101 must then be aggregated into a single one, a step that is a significant difficulty given the multiple interactions
102 existing between both the different hydromorphological elements and the biological communities (McParland &
103 Barrett, 2009) with either cumulative or antagonist effects, as well as potential confounding factors.

104 To our knowledge, many countries still do not use a standardized method to assess the hydromorphological
105 conditions of their lakes (Argillier, Carriere, Poikane, et al., 2022). Therefore, in spite of progress already made,
106 there is always an urgent need to develop new methods that provide a quantitative assessment of
107 hydromorphological conditions of lakes with a measure of the degree of alteration (Lyche-Solheim et al., 2013;
108 Poikane, Zohary, et al., 2020; Reyjol et al., 2014). Although this is a regulatory requirement, quantifying these

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109 hydromorphological alterations could contribute to a better understanding of their impacts on biological
110 communities and would also benefit the development of more accurate bioindicators, most of them being
111 currently mainly sensitive to lake nutrient enrichment (Poikane, Herrero, et al., 2020). Looking at lakes
112 hydromorphology may also be relevant for other specific purposes, for example to study the risk of alterations of
113 ecosystem functioning, to monitor mitigation operations or simply to assess the quality of habitats in the
114 perspective of biodiversity conservation.

115 In this paper we introduce the new multimetric LHYMO (Lake HYdroMOrphology) index, that has been developed
116 to address the shortage of methods that adequately assess both hydrological and morphological alterations on
117 lakes and meet regulatory requirements. We designed several metrics to represent and measure the extent of
118 different types of hydromorphological degradation, at various functioning scales, and likely to have a significant
119 impact on biological communities. Although designed for a potentially wider use, a particular effort was made to
120 adapt and make operational this index for the assessment of the hydromorphological status of French lakes. The
121 results of its application over the French metropolitan territory is presented in the last section.

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2. LHYMO: the methodological framework

Five main steps were followed to develop this index (Figure 1), from the identification of morphological and hydrological alterations to the computation of the final score. Three nesting levels were considered in this approach: the alteration level (steps 1 to 3), the WFD hydromorphological QE level (step 4) and finally the lake level (step 5). Steps 1 to 3 are related to the identification of alterations, definition of reference conditions and quantitative measurement of these alterations through the calculation of metrics. These three steps were conducted for each alteration identified. Aggregation of the metrics in a single LHYMO score for each lake was done according to directions given in steps 4 and 5. Each of the five steps are described in the following sections 3 to 6.

Our approach and the decision process occurring all along the development of the index were driven by 3 factors: the WFD requirements and normative constraints (Boon et al., 2019), the existence of very heterogeneous hydromorphological and environmental characteristics and the availability and accessibility of the data required for metrics calculation.

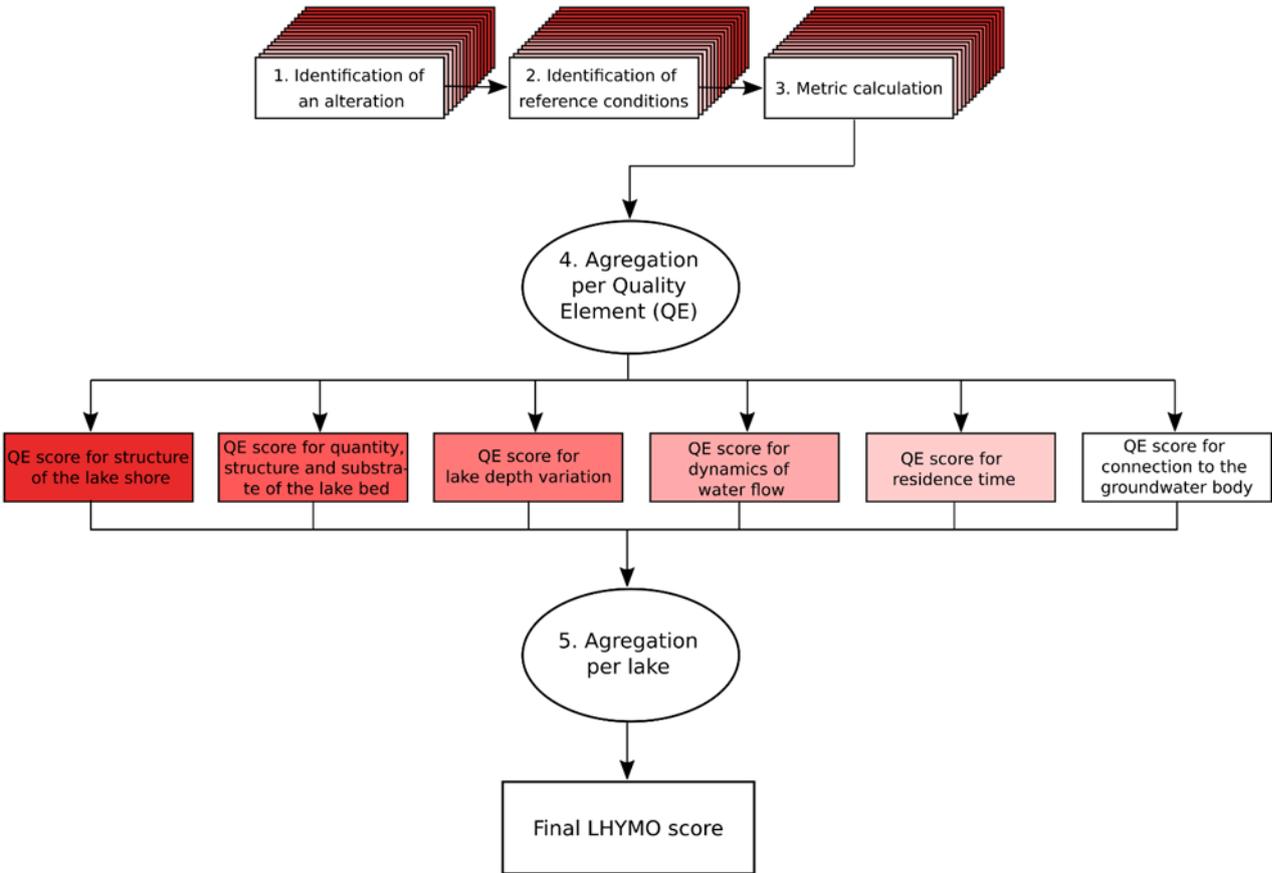


Figure 1. Flowchart of LHYMO calculation method.

139 3. Identification of hydromorphological alterations and candidate 140 metrics

141 In a first step, we listed significant hydromorphological alterations likely to affect lake water bodies, ecosystem
142 functioning and more specifically the biological communities (Table 1). This inventory was carried out on the basis
143 of a literature review, particularly scientific studies on the impact of anthropogenic pressures on lake
144 hydromorphology (Bragg et al., 2003; Denys et al., 2014; Nicolas et al., 2015; Ostendorp, 2004; Ostendorp et al.,
145 2004; Peterlin & Urbanič, 2013; Rowan et al., 2006), supplemented by existing expert knowledge.

146 **Table 1.** Alterations identified and their correspondence within the six WFD quality elements for the assessment of
147 hydromorphological quality of lake water bodies.

Hydromorphological alterations	Structure of the lake shore	Quantity, structure and substrate of the lake bed	Lake depth variation	Quantity and dynamics of water flow	Residence time	Connection to the groundwater
Material dumping		X	X			
Material extraction		X	X			
Bank erosion	X					
Bank compaction	X					
Change in riparian vegetation	X					
Change in aquatic vegetation	X					
Bank artificialization and fragmentation	X	X				X
Lake bed artificialization		X				X
Fine particles supply		X	X			X
Change in water quantity				X	X	
Modification of tributaries				X		
Flow obstacles				X	X	
Water level fluctuations				X		

148
149 Following this inventory, a first set of metrics was defined to account for the impact of each of the
150 hydromorphological alterations identified on the six hydromorphological QE to be considered in order to meet the
151 requirements of the WFD (Table 2). As some alterations may have an impact on several QE, as they influence
152 differently several aspects of the ecosystem (Bragg et al., 2003), several metrics were considered in order to assess
153 the impact of these alterations on the various components of lake hydromorphology, when relevant, while
154 avoiding redundancy. For example, fine materials supplies were considered independently according to their
155 impact on the substrate of the lake bed (siltation), on the lake depth (infilling) or on the connection to groundwater
156 (clogging).

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158 **Table 2.** Candidate metrics designed to assess identified alterations for the different QE and their final status in the index.

Components	QE	Metrics	Final status
Morphological conditions	Structure of the lake shore	Proportion of artificialized banks	Included
		Proportion of compacted banks	Included
		Proportion of eroded banks	Included
		Condition of the riparian vegetation	Included
		Aquatic vegetation composition	Included
	Quantity, structure and substrate of the lake bed	Proportion of sand dumping	Included
		Proportion of gravel dumping	Included
		Proportion of material extraction	Included
		Proportion of silted lakeshore	Included
	Lake depth variation	Volumes of material supply	Not included
Volumes of extracted materials		Not included	
Filling rate increase		Not included	
Hydrological regime	Quantity and dynamics of water flow	Proportion of modified tributaries	Included
		Flow obstacles in the watershed	Included
		Change in daily to monthly water level fluctuations	Not included
	Residence time	Change in seasonal water level fluctuations	Included
		Volumes of water abstraction	Included
		Volumes of water supplemented	Not included
	Connection to the groundwater	Volumes of upstream water impoundment	Included
		Proportion of concreted banks	Included
Proportion of concreted basin surfaces		Not included	
		Proportion of surface clogged by fine particles	Not included

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4. Definition of reference conditions

161
162 Normative definitions of the WFD describe hydromorphological reference conditions as 'totally or almost totally
163 undisturbed conditions', with 'no or only very little anthropogenic alteration' and with a 'minor ecological impact'
164 (European Communities, 2003b). In the WFD context, reference conditions are usually considered relative to a
165 pool of water bodies defined as 'references'. In France, only very few lakes (less than 6% of lakes included in WFD
166 monitoring) were identified as 'references' following regulatory criteria (Circulaire DE/MAGE/BEMA 04/N 18 n°
167 2004-08 DCE, 2004) and it turns out that they do not encompass the typological diversity of French lakes that cover
168 a wide range of morphological, geological, climatic, hydrological and catchment characteristics (Holley et al., 2006).
169 According to the WFD, reference conditions are also expected to be established on a type-specific basis for each
170 surface water body. With regard to French lakes, Nicolas et al. (2015) have shown the difficulty of establishing a
171 typology based on hydromorphological criteria, that is both representative of the great diversity of lakes and at
172 the same time clusters enough lakes in each type to allow comparisons or conduct relevant analysis.

173 To overcome these difficulties, reference conditions for LHYMO were defined for each hydromorphological
174 alteration and each lake, as a theoretical expected status, based on criteria highlighted by expert knowledge and
175 supported by the literature. Using this method, each metric reflects a difference between the status observed for
176 a lake and the status of that same lake in an ideal unaltered situation. In some cases, different reference conditions
177 may also be set for specific categories of lakes. For some metrics, intrinsic characteristics of the lake environment
178 are also considered as a mitigating or worsening criterion to assess the degree of alteration. Non-natural lakes are
179 also an exception given their origin and purpose, which are strongly linked to human use. For non-natural Heavily
180 Modified Water Bodies (HMWB) and Artificial Water Bodies (AWB) (European Communities, 2003a), the reference
181 conditions shall correspond to the maximum ecological potential taking into account the Mandatory Technical
182 Constraints (MTC) related to the use (European Communities, 2005). Thus, some metrics had to be adapted for
183 lakes in these categories. For example, in the case of a reservoir, the presence of the dam is essential to maintain
184 the use and existence of the lake, so its direct physical impacts on the morphology of the lake cannot be considered
185 as an alteration. Reference conditions for each metric are described in section 5; values given in brackets are
186 adapted for French lakes.

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188 **5. Selection and calculation of metrics**

189 **5.1 General principle**

190 From the list of candidate metrics (Table 2), a selection was made based on data availability criteria. The use of
191 already available data and geolocated databases is one of the recommendations of the EN16039 standard in a
192 context of the development of a regulatory tool (CEN, 2011). Thus, as developed in the first instance for French
193 lakes, input data used to develop this index are primarily and mostly derived from national reference datasets
194 covering the entire French territory. Some rely on data resulting from the application of standardized protocols,
195 referenced in the WFD regulatory monitoring program and designed to describe riparian habitats and their
196 alterations (AFNOR, 2016b, 2016a). Some data relating to hydrology, which are difficult to measure or for which
197 there is no homogeneous national dataset, had to be obtained by modelling or collected from local management
198 organizations or agencies.

199 Correlations between values within each QE were checked using Pearson correlation coefficient calculation. Each
200 test gives a correlation coefficient value lower than the absolute value of 0.25, indicating low correlation and
201 avoiding redundancy within a QE (see **Appendix 1**).

202

203 **5.2 Metrics relating to morphological quality elements**

204 **5.2.1 Structure of the lake shore**

205 Lakeshore areas are particularly productive and of primary importance for many ecological processes (Wetzel,
206 2001). The physical structure of lakeshore habitats, determined by the composition and distribution of substrate
207 and vegetation, is one of the key elements influencing biological assemblages (fish, invertebrates, macrophytes)
208 in the lake (Rennie & Jackson, 2005; Schmieder, 2004; Winfield, 2004). Given their localization at the interface
209 between terrestrial and pelagic environments, lakeshore areas are subject to several types of human pressures
210 (Ostendorp et al., 2004), more exposed to the risks of alteration and therefore particularly sensitive (Strayer &
211 Findlay, 2010). Five metrics targeting different structural elements of the shoreline and riparian zone of lakes were
212 calculated to assess the alteration of lakeshore structure and condition.

213 **Metric 1.1 - Bank artificialization**

214 The presence of artificial structures to reinforce the bank against erosion or the risk of collapse, and/or to support
215 economic or recreational human activities, alters the shape and structure of the littoral zone. These structures may
216 limit the availability and attractiveness of habitats and the functions they provide for aquatic biocenoses (Brauns
217 et al., 2007). They also contribute to the fragmentation of the bank, thus hindering the circulation of fish and
218 macroinvertebrates between habitats of potential interest. For natural lakes, the reference condition corresponds
219 to the absence of artificial structures of any kind. However, in the case of artificial or heavily modified lake created
220 by damming, hydraulic structures (dykes or dams) shall be considered a 'Mandatory Technical Constraint'

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221 necessary for the maintenance of the lake ecosystem and thus cannot be considered an alteration. Thus, two
 222 different calculation formula are used depending on the natural (Equation 2a) or heavily modified/artificial
 223 (Equation 2b) origin of the lake. Both formulations take into account both the percentage of the shoreline affected
 224 by artificial structures and the spatial distribution of those structures that influences the fragmentation level of
 225 the bank and habitats. Bank fragmentation calculation is adapted from the degree of landscape division as defined
 226 by (Jaeger, 2000) which reflects the probability that two random locations in a landscape are located in two
 227 dissociated areas (Equation 1).

$$228 \quad D = 1 - \sum_{i=1}^n \left(\frac{A_i}{A_t} \right)^2 \quad (1)$$

229 With A_i the surface area of each patch and A_t the total surface area of the landscape. This index, initially designed
 230 for surface features, can easily be transposed to linear features.

$$231 \quad M1.1 = \frac{L_{artif} * 100}{P} * \left(1 - \sum_{i=1}^n \left(\frac{L_{unartif_i}}{P} \right)^2 \right) \quad (2a)$$

$$232 \quad M1.1 = \left(\frac{L_{artif} * 100}{P} - \frac{L_{dam} * 100}{P} \right) * \left(1 - \sum_{i=1}^n \left(\frac{L_{unartif_i}}{P} \right)^2 \right) \quad (2b)$$

233 With L_{artif} the cumulative length of artificialized banks, L_{dam} the length of the dam, $L_{unartif_i}$ the length of each
 234 unartificialized section of the bank i and P the total length of the shoreline, expressed in the same unit.
 235 The value of the metric ranges from 0 to 100 and increases as the degree of alteration worsens.

236 **Metric 1.2 - Bank compaction**

237 Compaction of the banks may result from repeated trampling caused by animals or humans (O'Toole et al., 2009),
 238 livestock grazing (Trimble & Mendel, 1995) and/or heavy mechanical equipment. Compaction tends to densify the
 239 substrate, limits the root development of aquatic or riparian vegetation and therefore floristic growth on the
 240 banks.

241 The reference condition for all lakes corresponds to the absence of bank compaction related to anthropogenic
 242 activities. The metric is calculated as the percentage of artificially compacted shoreline (Equation 3).

$$243 \quad M1.2 = \frac{L_{compacted} * 100}{P} \quad (3)$$

244 With $L_{compacted}$ the length of the shoreline compacted due to anthropogenic activities and P the total length of the
 245 shoreline, expressed in the same unit. The value of the metric ranges from 0 to 100 and increases as the degree of
 246 alteration worsens.

247 **Metric 1.3 - Bank erosion**

248 Severe unnatural erosion of the banks may be related to various anthropogenic activities, such as water regulation
 249 or power boating (Bilkovic et al., 2019). Extensive water movement and waves weaken the structure of the banks,
 250 making them unstable, prone to collapse and likely to be subject to a massive input of sediment into the lake and

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251 siltation on areas of high ecological importance. Bank erosion also alters the shape of the shoreline and the littoral
 252 zones and exposes the root system of aquatic and riparian vegetation possibly leading to uprooting.
 253 The reference condition for all lakes corresponds to the absence of strong bank erosion, except for those resulting
 254 from natural phenomena (wind action, etc.) not being considered. The metric is calculated as the percentage of
 255 severely eroded shoreline (Equation 4).

$$256 \quad M1.3 = \frac{L_{eroded} * 100}{P} \quad (4)$$

257 With L_{eroded} the length of eroded banks and P the total length of the shoreline, expressed in the same unit. The
 258 value of the metric ranges from 0 to 100 and increases as the degree of alteration worsens.

259 **Metric 1.4 – Absence of riparian vegetation**

260 Riparian forests are widely recognized to support bank stability through mechanical and hydrological beneficial
 261 effects of their root system (Simon & Collison, 2002) and greatly contribute to the filtration of sediments and
 262 pollutants coming from adjacent land areas, with positive impacts on water quality (Lowrance, 1998). Alteration
 263 of the riparian forest also reduce shading and therefore increase the temperature on the bank areas, eventually
 264 causing physiological stress for many organisms (Naiman & Decamps, 1997).

265 In temperate climates, the reference condition corresponds in most of the case to the presence of a continuous
 266 forested strip on the bank of the lake, except for high-altitude lakes and lakes fully or partially surrounded by
 267 wetland. Several abiotic factors related to altitude inhibit tree growth in mountain areas; hence, lakes located over
 268 the altitudinal limit of tree growth are naturally lacking riparian woodland. Wetlands as marshes, bogs or fens are
 269 often dominated by herbaceous plants or mosses (Keddy, 2010) and do not consistently develop wooded areas.
 270 Thus, when connected to a lake, wetlands should be considered as a continuation of the riparian vegetation. It
 271 should be noted that dikes and dams built on lakeshores also prevent the establishment of riparian vegetation;
 272 however, as the impact of these infrastructures on the structure of the banks is already assessed with metric 1.1,
 273 the relevant sections shall not be considered here. The metric calculation (Equation 5) takes into account both the
 274 percentage of the shoreline without riparian cover and the longitudinal continuity of the riparian forest, that is
 275 one of the main characteristics of intact aquatic environments corridors, given its ecological significance (González
 276 del Tánago & García de Jalón, 2006). As for metric 1.1, continuity is assessed through fragmentation and calculated
 277 according to Jaeger's (2000) degree of landscape division.

$$278 \quad M1.4 = \left(\frac{(L_{wo_ripi} - L_{dam}) * 100}{P} \right) * \left(1 - \sum_{i=1}^n \left(\frac{L_{ripi_i}}{P - L_{dam}} \right)^2 \right) \quad (5)$$

279 With L_{wo_ripi} the cumulative length of bank sections without a riparian forest in a 20 m width corridor, L_{dam} the
 280 cumulative length of dikes and/or dams, L_{ripi_i} the length of each continuous riparian section on the bank and P the
 281 total length of the shoreline, expressed in the same unit. The value of the metric ranges from 0 to 100 and increases
 282 as the degree of alteration worsens.

283 **Metric 1.5 - Change in aquatic vegetation**

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284 Aquatic vegetation has a major role in many physical and chemical processes, such as water purification by
285 regulating nutrient cycles (nitrogen and phosphorus) or sediments trapping. It is an essential key component of
286 lakeshore habitats, as its distribution, richness and complexity strongly influence fauna assemblages (Weaver et
287 al., 1997). Anthropogenic management of aquatic vegetation, through mowing or herbicide application, by locally
288 destroying habitats and reducing the diversity and continuity of vegetated areas, can thus cause a significant
289 imbalance for the lake and the fauna it supports.

290 Under reference condition, aquatic vegetation is expected throughout the littoral zone, if slope and substrate
291 conditions are suitable. The presence of artificial infrastructures can also represent a limiting factor for the
292 development of vegetation, however in order to avoid redundancy with metric 1.1, only the non-artificialized
293 portions of the shoreline of the lakes are evaluated. The metric calculation (Equation 6) takes into account both
294 the aquatic vegetation cover of the littoral zone and vegetation diversity according to Simpson's index (Simpson,
295 1949), as well as the proportion of colonizable sections of the lakeshore.

$$296 \quad M_{1.5} = \left(\frac{P_{colonizable}}{P} \right) * \left(1 - \frac{L_{aqua_veg}}{P} \right) * \left(\sum_{i=1}^n \left(\frac{L_{aqua_veg_i}}{L_{cumu_aqua_veg}} \right)^2 + 1 \right) \quad (6)$$

297 With L_{aqua_veg} the length of the lakeshore with aquatic vegetation cover, P the total length of the shoreline, $L_{aqua_veg_i}$,
298 the length of the lakeshore covered by each floristic group i , $L_{cumu_aqua_veg}$ the cumulative length of the lakeshore
299 covered by each of the floristic groups and $P_{colonizable}$, the length of the lakeshore with gentle slopes (<10%) and low
300 granularity substrate (<250 mm), expressed in the same unit. The value of the metric ranges from 0 to 1 and
301 increases as the degree of alteration worsens.

302 **5.2.2 Quantity, structure and substrate of the lake bed**

303 Lake bottom substrate is a physical support for many ecological functions occurring during the life cycle of aquatic
304 organisms (feeding, spawning, resting, hiding...) and also partly determines the presence of macrophytes. Altering
305 the distribution, quantity and/or granulometry of this substrate, especially in the littoral zone, can lead to the loss
306 of essential habitats for biological communities, with significant effects on the composition of fish (Jennings et al.,
307 1999; Logez et al., 2016) and macroinvertebrate (McGoff & Sandin, 2012) assemblages as well as on the
308 productivity of the lake. Quantity, structure and substrate of the lake bed is assessed using four metrics taking into
309 account different practices, phenomena and substrate categories.

310 **Metric 2.1 - Sand dumping**

311 Sand dumping along the shoreline of lakes is a common practice to create or maintain beaches (Bird & Lewis, 2015).
312 However, adding a large amount of exogenous sand, especially if not the original substrate of the lake bottom,
313 strongly alters the size and characteristics of the substrate in the target area, with an impact on habitats available
314 for the fauna and flora (Dean, 2002; de Schipper et al., 2021).

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315 The reference condition for all lakes corresponds to the absence of sand dumping areas. Nevertheless, the metric
316 calculation (Equation 7) takes into account both the proportion of sand dumping areas and the proportion of sand
317 on the whole lakeshore zone as a weighting element.

$$318 \quad M2.1 = \frac{L_{sand_dump}}{P} * \left(1 - \frac{L_{sand} - L_{sand_dump}}{P} \right) \quad (7)$$

319 With L_{sand_dump} the length of the shoreline showing anthropogenic sand deposits, L_{sand} the length of shoreline with
320 sand substrate and P the total length of the shoreline, expressed in the same unit. The value of the metric ranges
321 from 0 to 1 and increases as the degree of alteration worsens.

322 **Metric 2.2 - Gravel dumping**

323 Gravel dumping can result from lakeshore development, lake use as in the case of gravel pits and sometimes even
324 from malicious acts such as illegal dumping of building rubble. As with sand, dumping gravel alters the nature and
325 size of the substrate, depending on the original substrate.

326 The reference condition for all lakes corresponds to the absence of a gravel dumping zone. The metric calculation
327 (Equation 8) is similar to the previous one (metric 2.1).

$$328 \quad M2.2 = \frac{L_{gravel_dump}}{P} * \left(1 - \frac{L_{gravel} - L_{gravel_dump}}{P} \right) \quad (8)$$

329 Whith L_{gravel_dump} the length of the shoreline showing anthropogenic gravel deposits, L_{gravel} the length of the
330 shoreline with gravel substrate and P the total length of the shoreline, expressed in the same unit. The value of
331 the metric ranges from 0 to 1 and increases as the degree of alteration worsens.

332 **Metric 2.3 - Material extraction**

333 Extraction of materials (sand, sediment, gravel or rocks) on the lakeshore zone alters the shape of the lake, both
334 horizontally (shoreline development index) and vertically (slope index) and may indirectly modify wave action on
335 the bank, eventually increasing erosion and disturbing sediment balance (de Leeuw et al., 2010; Peduzzi, 2014).
336 Depending how deep materials are extracted and sediment deposition, the nature of the substrate may also
337 change. This activity is therefore likely to alter the diversity and distribution of habitats available for fauna and
338 flora, and even lead to the destruction of key habitats.

339 The reference condition for all lakes corresponds to the absence of material extraction area of any kind on the
340 lakeshore. The metric is calculated as (Equation 9) the percentage of the lakeshore affected by material extraction.

$$341 \quad M2.3 = \frac{L_{extracted} * 100}{P} \quad (9)$$

342 Whith $L_{extracted}$ the length of the lakeshore affected by material extraction and P the total length of the shoreline,
343 expressed in the same unit. The value of the metric ranges from 0 to 100 and increases as the degree of alteration
344 worsens.

345 **Metric 2.4 - Siltation**

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346 As receptacles for liquid and solid flows from their watersheds, water bodies are largely influenced by land use
347 and land cover changes, with potential impact on all biological communities (Bierschenk et al., 2019; Cheruvilil &
348 Soranno, 2008; Johnson et al., 2018; Sperlea et al., 2021). Phenomena such as deforestation, agricultural
349 intensification and/or urban development in the catchment area are likely to increase sediment influx and thus
350 induce silting of lakes (Bragg et al., 2003). Siltation decreases habitat attractiveness to aquatic fauna because of
351 substrate clogging. Resuspension of fines accumulated on the lakeshore can also decrease water transparency and
352 reduces light available for the development of flora.

353 Under reference conditions, only lakeshore areas located very close to tributary inflows or lying on a
354 predominantly clay and/or silt soil should be covered with silt. The metric (Equation 10) is calculated as the
355 proportion of the lakeshore covered with silt apart from the areas described in the reference conditions.

$$356 \quad M2.4 = \frac{L_{silt} * 100}{P} \quad (10)$$

357 Whith L_{silt} the length of the lakeshore covered with silt, outside the area of influence of tributary inflows (>200m)
358 and distant (>1000 m) from a clay, clay-silt or silt soil and P the total length of the shoreline, expressed in the same
359 unit. The value of the metric ranges from 0 to 100 and increases as the degree of alteration worsens.

360 **5.3 Metrics relating to hydrological quality elements**

361 **5.3.1 Quantity and dynamics of water flow**

362 Alteration of flow dynamics, and in particular of water level fluctuation regimes, is complex to apprehend because
363 we need to take into account its natural variability linked to climatic conditions and to lake-aquifer hydraulic
364 interactions. This dynamic is also the result of processes operating at different temporal (daily, monthly and
365 annual, or even multi-annual) and spatial scales (from the watershed to the circulation of water within the lake
366 basin) (Grill et al., 2015; Hofmann et al., 2008). Consequently, quantity and dynamics of water flow is assessed
367 through three metrics integrating different scales of functioning.

368 **Metric 3.1 - Change in tributaries**

369 Alteration of stream morphology and longitudinal profile by channelisation has become very common since the
370 intensification of agriculture in the 1970s. These operations can cause serious hydrosedimentary dysfunctions that
371 may persist for decades (Landemaine et al., 2015) and lead to significant change in the dynamics of liquid and solid
372 flows entering the lakes.

373 The reference condition for all lakes corresponds to the absence of modified tributaries. The metric is calculated
374 as the proportion of modified tributaries weighted by their stream order according to the Strahler method
375 (Strahler, 1957) (Equation 11).

$$376 \quad M3.1 = \frac{\sum_{i=1}^n (Stn m_i)}{\sum_{j=1}^n (Stn_j)} \quad (11)$$

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377 With $Stnm$ the Strahler order of each modified tributary i and Stn the Strahler order of each tributary j flowing into
378 the lake. The value of the metric ranges from 0 to 1 and increases as the degree of alteration worsens.

379 **Metric 3.2 - Flow obstacles**

380 Artificial obstacles, such as dams or weirs, are one of the most significant stressor of aquatic ecosystems that
381 impedes hydrological, sedimentary and biological continuity of rivers and lake drainage networks (Søndergaard &
382 Jeppesen, 2007). The impacts of these barriers, particularly large and high-head dams, can occur on a very large
383 spatial scale, sometimes several hundred kilometers downstream, and have cumulative effects that are difficult to
384 assess (Rosenberg et al., 1997).

385 The reference condition for all lakes corresponds to the absence of anthropogenic flow barriers. The metric
386 calculation (Equation 12) takes into account both the number of barriers in the lake drainage network and the
387 proportion of the lake drainage network located upstream of at least one barrier. Considering that weirs and dams
388 do not necessarily have equally severe impacts (Garcia de Leaniz, 2008; Poff & Hart, 2002), due in particular to the
389 difference in height of the structures, a weighting relative to the type of obstacle is applied, according to a ratio of
390 1/10. Note that for reservoirs, the dam downstream should not be included.

$$391 \quad M_{3.2} = \left(1 - \frac{1}{n_d + 0.1 * n_{sw} + 1} \right) * \frac{L_{idn}}{L_{dn}} \quad (12)$$

392 With n_d the number of dams, n_w the number of weirs, L_{idn} the cumulative length of the lake drainage network
393 upstream of at least one barrier and L_{dn} the total cumulative length of the lake drainage network. The value of the
394 metric ranges from 0 to 1 and increases as the degree of alteration worsens.

395 **Metric 3.3 - Seasonal shift of water level fluctuations**

396 Water level fluctuations (WLF) are natural patterns in many lakes which are necessary for the survival of many
397 species, however untimely floods and droughts have deleterious effects for biota (Wantzen et al., 2008). Human
398 lake-use for recreational activities, irrigation or hydropower production commonly induce artificial management
399 of water levels that may alter the natural seasonal hydrological regime of lakes. WLF can thus be shifted in time
400 with an impact on thermal stratification, lake productivity and habitat availability for organisms at critical periods
401 of their life cycle (reproduction, winter dormancy, etc.).

402 Under reference conditions, seasonal WLF of all lakes depend mostly on the hydrological regime of their
403 catchment: in temperate climate of the Northern Hemisphere, a rainfall regime should lead to higher water levels
404 in winter than in summer whereas a nival regime should lead to water levels higher in spring/summer than in
405 winter. The metric calculation (Equation 13) assesses the seasonal shift between the water level fluctuations
406 regime of lakes and the hydrological regime of their catchment by comparing, over each year, the difference
407 between the highest and lowest mean monthly water levels of the lake to the difference between the mean
408 monthly water levels of the lake on the months with the highest and lowest inflows respectively.

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409 Considering that a seasonal shift in lakes with low WLF has a lesser impact on biological communities, lakes with
 410 annual WLF range not exceeding 3m should be excluded. This threshold was highlighted by a study by (Sutela et
 411 al., 2013) that analyzed the impact of annual tidal range on littoral macrophyte and macroinvertebrate
 412 communities and fish assemblages in 30 regulated and unregulated Finnish lakes.

$$413 \quad M3.3 = \left(\frac{\sum_{i=1}^n \frac{H_{q_max_i} - H_{q_min_i}}{H_{max_i} - H_{min_i}}}{n} \right) \quad (13)$$

414 With $H_{q_max_i}$ the mean water level on the month for each year i for which the inflow is the highest, $H_{q_min_i}$ the
 415 mean water level on the month for each year i for which the inflow is the lowest, H_{max_i} the highest monthly mean
 416 water level for each year i , H_{min_i} the lowest monthly mean water level for each year i and n the number of years.
 417 Note that we consider hydrological years, i.e. from September to August in temperate climate. The value of the
 418 metric ranges from -1 to 1 and decreases as the degree of alteration worsens.

419 **5.3.2 Residence time**

420 Residence time of water in a lake is one of the main characteristics that controls the concentrations and
 421 accumulative capacity of all the substances entering the lake basin (Ambrosetti et al., 2003). Change in residence
 422 time, especially when increasing, can have significant consequences on water quality and the aging of lake
 423 ecosystems (Jørgensen, 2003; Zhao et al., 2022). Metrics used to assess residence time are expressed as a ratio of
 424 observed residence time to theoretical unaltered residence time and take into account phenomena occurring both
 425 in the lake basin and in its watershed.

426 **Metric 4.1 - Water abstraction**

427 Abstraction of large water volumes from lakes, as for irrigation, drinking water supply or hydropower generation,
 428 causes a shortening of the residence time by reducing the volume of water in the lake basin. Although increasing
 429 water renewal frequency can show some benefits (such as a better oxygenation of the water), changes induce to
 430 the hydrological balance limit thermal stratification, with major consequences on biological communities due to
 431 deep waters warming, even potentially leading to cyanobacterial blooms (Zohary & Ostrovsky, 2011).

432 The reference condition for all lakes corresponds to the absence of water abstraction for human needs. We also
 433 consider that water in lakes is renewed according to their theoretical residence time.

434 The metric (Equation 14) is calculated as the ratio of water volume available in the lake basin with and without
 435 water abstraction, taking into account the theoretical residence time of the lake:

$$436 \quad M4.1 = \frac{V_{lake} + \left(n * \frac{V_{lake}}{\tau_{res}} \right) - \sum_{i=1}^n V_{extracted_i}}{V_{lake} + \left(n * \frac{V_{lake}}{\tau_{res}} \right)} \quad (14)$$

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437 With V_{lake} the theoretical volume of the lake, τ_{res} the theoretical residence time of the lake, $V_{extracted_i}$ the volume
438 of water abstracted from the lake on year i and n the number of years. The value of the metric ranges from 0 to 1
439 and decreases as the degree of alteration worsens.

440 **Metric 4.2 - Upstream water impoundment**

441 Water impoundment due to artificial reservoirs alters the hydrologic regime of watersheds (Magilligan & Nislow,
442 2005). The residence time of water in lakes catchment areas may then artificially increase.

443 The reference condition for all lakes corresponds to the absence of artificial water impoundment in their
444 catchment area. The metric calculation (Equation 15) is derived from the equations developed by Vörösmarty et
445 al. (2003), to predict basin-scale sediment trapping efficiency for large reservoirs and corresponds to the ratio of
446 theoretical residence time to regulated residence time from upstream reservoirs.

$$447 \quad M4.2 = \frac{\tau_{lake}}{\tau_{reg}} = \frac{V_{lake}}{V_{lake} + (\sum_{i=1}^n V_i)} \quad (15)$$

448 With τ_{lake} the theoretical residence time of the lake, τ_{reg} the regulated residence time of the lake catchment, V_{lake}
449 the theoretical volume of the lake and V_i , the volume of each upstream reservoir i . The value of the metric ranges
450 from 0 to 1 and decreases as the degree of alteration worsens.

451 **5.3.3 Connection to the groundwater**

452 Lake water budget is driven by several elements including surface runoff, precipitation, evapotranspiration and
453 groundwater exchanges in varying proportions (see Shaw et al., 2004). Groundwater is the major water source to
454 seepage lakes and groundwater drainage lakes, therefore altering groundwater flows can destabilize their water
455 budget, with major consequences for the hydrological and ecological functioning of the lake and the amount of
456 water available in the lake basin. The connection to groundwater also may play an essential role in the chemistry
457 of lakes and water quality (Fränzle & Kluge, 1997). Assessing alterations of lake-groundwater exchanges of water
458 first requires identifying lake-groundwater connections. Consequently, connection to the groundwater is assessed
459 only for lakes located on an unconfined aquifer where water exchanges are likely to occur.

460 **Metric 5.1 - Bank concreting**

461 Bank reinforcement with concrete, usually undertaken to support human activities and/or infrastructures around
462 the lakeshore, reduces the permeability of the banks and restrict lateral connections between the lake basin and
463 the most superficial groundwater.

464 The reference condition corresponds to the absence of concrete structures on the banks. The metric is calculated
465 (Equation 16) as the proportion of the shoreline covered with concrete.

$$466 \quad M5.1 = \frac{L_{concrete} * 100}{P} \quad (16)$$

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467 With $L_{concrete}$ the cumulative length of the banks covered with impervious concrete structures and P the total length
468 of the shoreline, expressed in the same unit. The value of the metric ranges from 0 to 100 and increases as the
469 degree of alteration worsens.

470

471 **5.4 Standardization of metrics**

472 The values of the 15 selected metrics are standardized by calculating Ecological Quality Ratios (EQR) according to
473 the method proposed by Hering et al. (2006), in order to bring them to a common and comparable scale. EQR are
474 calculated according to Equation (17a) for metrics whose value decreases with increasing degradation or according
475 to Equation (17b) for metrics whose value increases with increasing degradation. Values greater than 1 are reduced
476 to 1 and values less than 0 are reduced to 0. This ratio yields a numerical value between 0 and 1, with a value of 0
477 corresponding to the most degraded status and a value of 1 to the least degraded status.

$$478 \quad EQR1 = \frac{Metric - Lower Anchor}{Upper Anchor - Lower Anchor} \quad (17a)$$

$$479 \quad EQR2 = 1 - \frac{Metric - Lower Anchor}{Upper Anchor - Lower Anchor} \quad (17b)$$

480 In the absence of preconception on the natural variability of the values of the different metrics and of well-
481 established level of impact with regard to biocenoses, the values of the lower and upper bounds used for the
482 calculation of EQRs correspond to the mathematical extremum of each metrics. That means, the lowest and
483 highest theoretical possible values according to the equation for the lower and upper anchor points respectively.

484

485 **6. Aggregation of metrics and final score computation**

486 For each lake, a single final LHYMO score is obtained by combining the values of the 15 metrics using the 'one-out,
487 all-out' (OOAO) principle. That principle stipulates that when several metric values are calculated in a multimetric
488 index, the lowest of the values, representing the greatest impact, is used to reflect the global status of the water
489 body (European Communities, 2005). This rather severe but very conservative approach (Borja & Rodríguez, 2010;
490 Zacharias et al., 2020) is in line with the precautionary principle, providing protection for each lake to the most
491 dominant pressures (Hering et al., 2010). It was approved and validated by experts, following a national
492 consultation, as the most representative of the hydromorphological status of French lakes. As an intermediate
493 step, the OOAo principle has also been applied at the level of QE by combining independently the metrics of each
494 QE. This step allows to highlight the QE with the lowest scores, which are the most degraded. The final LHYMO
495 score ranges from 0 for very degraded lakes to 1 for lakes with almost totally undisturbed conditions.

496 A final step required by the WFD is to assign one status class to each water body according to the calculated index
497 values. Although class boundaries are supposed to be established on the basis of observed significant changes in

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498 biological communities (DIRECTIVE 2000/60/EC, 2000), characterizing and especially quantifying impacts on
499 biology is difficult and requires a lengthy process of pressure/impact analyses. In the first instance, five standard
500 status classes, ranging from 'high' (no, or only very minor, anthropogenic alterations compared to reference
501 conditions) to 'bad' (severe anthropogenic alterations compared to reference conditions), were therefore defined,
502 with boundaries set with basic ranges of equal magnitude: bad (0-0.2), poor (>0.2-0.4), moderate (>0.4-0.6), good
503 (>0.6-0.8) and high (>0.8).

504

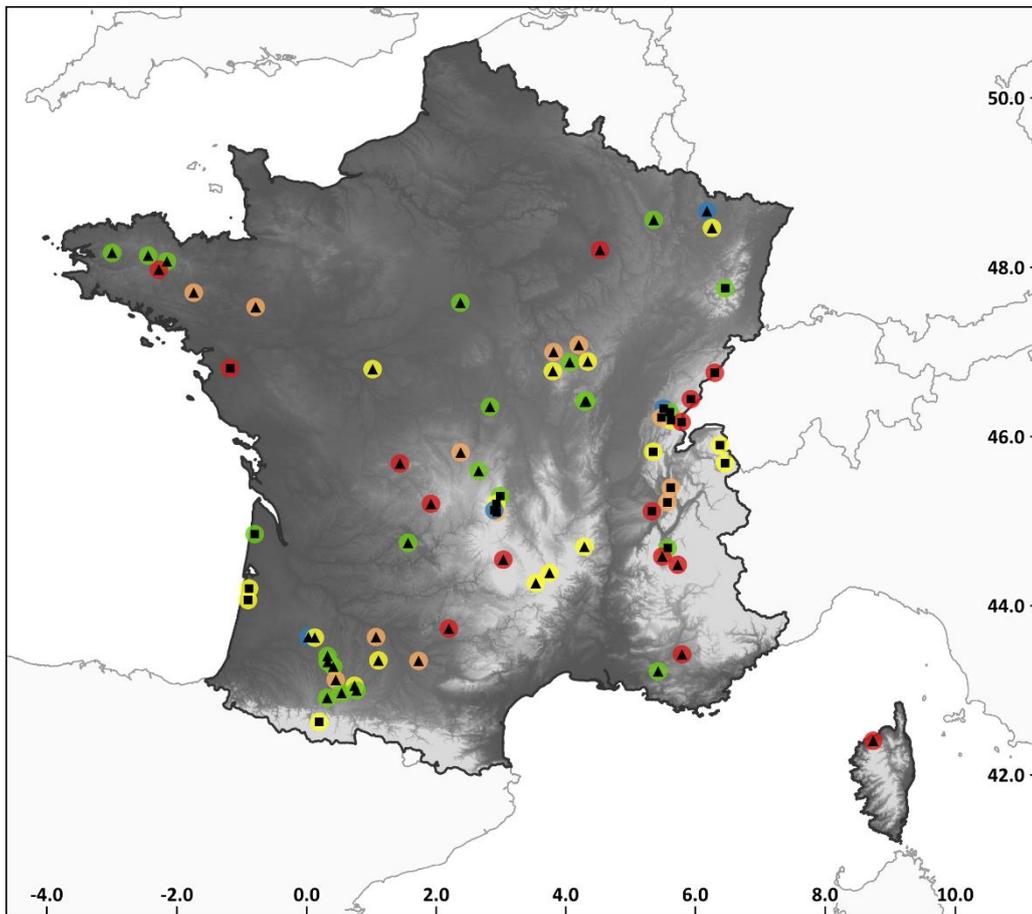
505 **7. Application of the LHYMO index to French lakes**

506 The final LHYMO score and corresponding status classification was calculated for 72 French lakes for which the
507 data required for the calculation of the 15 metrics were available (Figure 2). The data used to calculate the metrics
508 comes mainly from WFD field monitoring and national GIS datasets, supplemented when necessary by modelling
509 or local datasets. The data sources are given in Supplementary material 2.

510 Among these 72 lakes included in this study, 24 are natural lakes and 48 are non-natural (46 reservoirs and two
511 artificial man-made lakes). They represent about 15% of all French lakes covered by the WFD monitoring program.
512 These lakes have various characteristics and are located all over the French metropolitan territory in very different
513 environmental contexts, with altitudes ranging from 1 to 2061m, surfaces from 0.1 to 58km², and mean depths
514 from 0.9 to 82m (see Supplementary material 1).

515 LHYMO scores calculated on the 72 lakes dataset ranges from 0 to 0.98 (Table 3) with a relatively homogeneous
516 distribution in the three lowest status (21%, 17% and 25% classified respectively in bad, poor and moderate
517 hydromorphological status), a significantly higher proportion in good hydromorphological status (32%) while a
518 lesser proportion in high hydromorphological status (5%). An almost similar pattern is observed for both natural
519 and non-natural lakes, except for an inverse proportion of natural lakes in good and moderate hydromorphological
520 status. This result suggests that Mandatory Technical Constraints are well accounted for, as no systematic
521 downgrading bias occurs for non-natural lake.

522



523

524 **Figure 2.** LHYMO hydromorphological status (blue: high, green: good, yellow: moderate, orange: poor, red: bad) of French
525 lake water bodies (squares: natural lakes; triangles: non-natural lakes)

526 Nine out of the 15 metrics and four out of the five QE shows a wide range of values (Table 3). Overall, the
527 hydrological metrics show a greater range of variation than the morphological metrics. The smallest ranges are
528 observed for Bank erosion, Bank compaction, Sand and Gravel dumping, Materials extraction and the metric
529 related to connection to the groundwater, assuming that those types of alteration are of less concern over the
530 French metropolitan territory. The connection to the groundwater could only be assessed through one metric,
531 which may explain a poorer representation of this QE and its lower weight in the final index results.

532 The medians are mostly very high, with a value >0.8 for all metrics and QE and a value of 1 (i.e. the maximum
533 value) for 11 metrics and four QE. These results can be explained in part by the systematic assignment of the
534 maximum value for some metrics to lakes meeting certain typological criteria (e.g. high-altitude lakes for Absence
535 of riparian vegetation). They also suggest that, depending on the sample studied, there is a greater proportion of
536 unaltered than altered lakes for each type of impairment assessed. The median of the final score is however 0.5,
537 which shows that most of the French lakes still suffer at least one major alteration.

538 Assuming that the sample of this study is representative of the national situation and that restauration measures
539 should be required for lakes in less than good status, more than 60% of the French lakes could be affected.

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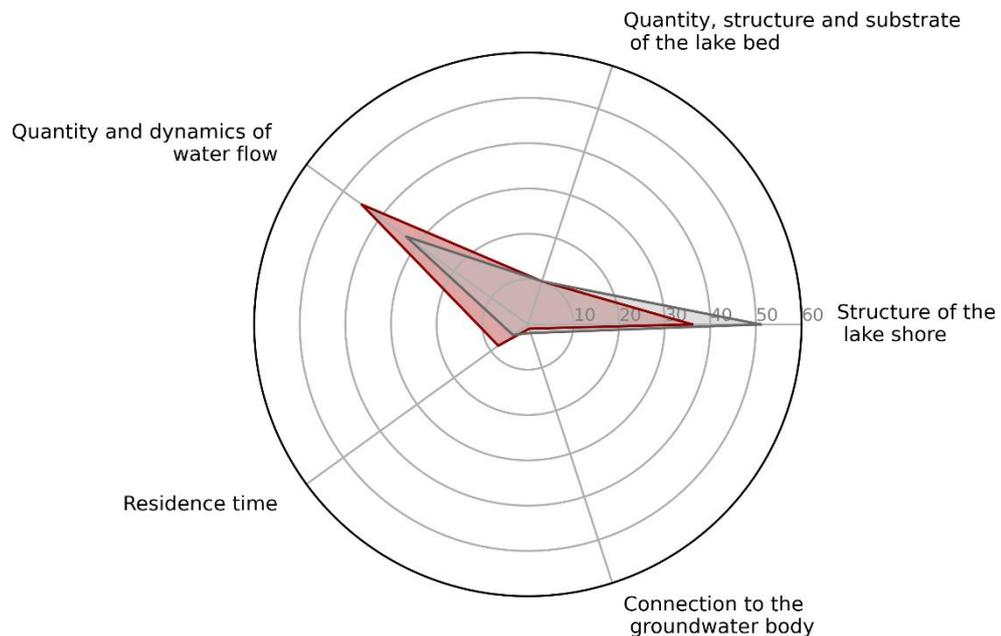
541 **Table 3.** Range of values and proportion of occurrence in first and second position for each metric (normal), QE (bold) and

542 LHYMO scores on the 72 lakes included in the study.

	Minimum value	Maximum value	Median	Number of values below high/good threshold	Number of values below good/moderate threshold
Structure of the lake shore	0.05	1	0.97	49	21
Bank artificialization	0.43	1	0.95	13	5
Bank compaction	0.71	1	1	2	0
Bank erosion	0.77	1	1	2	0
Absence of riparian vegetation	0.05	1	0.85	32	12
Change in aquatic vegetation	0.30	1	0.88	26	6
Quantity, structure and substrate of the lake bed	0.13	1	1	13	7
Sand dumping	0.89	1	1	0	0
Gravel dumping	0.74	1	1	1	0
Material extraction	0.95	1	1	0	0
Siltation	0.13	1	1	12	7
Quantity and dynamics of water flow	0	1	1	43	27
Change in tributaries	0	1	1	7	3
Flow obstacles	0.07	1	0.83	33	23
Seasonal shift of WLF	0.38	1	1	18	10
Residence time	0	1	1	9	7
Water abstraction	0	1	1	2	2
Upstream water impoundment	0.06	1	1	8	5
Connection to the groundwater	0.70	1	1	2	0
LHYMO Score	0	0.98	0.5	68	45

543

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544 **Figure 3.** Frequency with which respectively the five WFD QE downgrade the LHYMO score on French lakes (red: first
545 downgrading QE, light grey: QE with the second lowest value).

546

547 All five QE are responsible for the degradation of at least one lake, but in very different proportions (Figure 2).
548 Quantity and dynamics of water flow is the main source of downgrading for 45% of French lakes, when only 2%
549 due to Connection to the groundwater. Furthermore, all metrics appear to be a source of degradation, except for
550 Sand dumping and Material extraction, which indicates that French lakes are subject to a great variety of
551 hydromorphological issues. Of these, Flow obstacles and Absence of riparian vegetation are the most frequent
552 types of alteration. Indeed, it is widely admitted that the presence of obstacles on river networks is one of the
553 major issue affecting French hydrosystems by disrupting hydrological, sedimentary and ecological continuity (Van
554 Looy et al., 2014). Alteration of riparian vegetation has also been a long-standing concern in France, although its
555 occurrence has mostly been described on rivers (Décamps et al., 1988; Stella & Bendix, 2019). It is also worth
556 noting that, despite the difference in the number of metrics and alterations assessed, LHYMO scores for French
557 lakes are almost equally driven by morphology (46%) and hydrology (54%).

558

559 **8. Discussion**

560 The method described in this paper lead to the development of a new index that allows to provide the very first
561 homogeneous quantitative assessment of hydromorphological conditions and status of lakes all over the French
562 territory. This index was developed to overcome the great environmental heterogeneity of French lakes (Nicolas

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563 et al., 2015), as well as taking into account regulatory requirements (DIRECTIVE 2000/60/EC, 2000), normative
564 constraints (Boon et al., 2019) and environmental data availability, data accessible to perform the computation on
565 a large and representative pool of lakes.

566 **8.1 Metrics**

567 The LHYMO index addresses the morphological and hydrological status of lakes through 15 metrics representative
568 of five of the six WFD hydromorphological QE and covering almost all the categories of the standard EN 16039
569 (CEN, 2011). With this method, we further consider hydromorphological alterations at different spatial and
570 temporal scales of lakes hydromorphological functioning including watersheds and riparian corridors. Each metric
571 is designed to be as simple but comprehensive as possible with regard to the alteration and mitigation factors,
572 considering our knowledge of the impact of each impairment on lake ecosystems. Depending on which
573 degradation is addressed, the equation is therefore more or less complex and requires more or less data, which
574 might be a hindrance for the calculation of some metrics.

575 Due to the lack of data of the French territory, the lake depth variation QE is ultimately not assessed by LHYMO.
576 This QE is usually rather addressed through the prism of hydrology, in particular in relation to water level
577 fluctuations because these parameters are highly related and often interdependent (Hellsten & Dudley, 2006).
578 Nevertheless, in accordance with the WFD, these variations shall be directly related to morphological alterations
579 (Boon et al., 2019; CEN, 2011). Three potential metrics considering the volumes of material dumped into or
580 extracted from the lake basin for anthropogenic purposes and the rate of infilling were considered to assess this
581 QE from a strictly morphological point of view, but the lack of data available and easily accessible made it
582 impossible to integrate those metrics into the final LHYMO index. A very first effort could be to identify, at the
583 national level, natural lakes whose depth has been artificially increased through the construction of artificial
584 structures to raise the water level. Accurate assessment of hydrological regimes and especially connection to the
585 groundwater is also limited by the unavailability of suitable data. Lakes-groundwater interactions are assessed
586 indirectly by using bank impermeabilization as a proxy to characterize the alteration of lateral connections
587 between lake basins and the most superficial groundwater. Ideally, water exchanges from the lake bottom with
588 deeper groundwater should also be considered and these exchanges should be quantified in volumes, but direct
589 measurement of groundwater discharge to lakes is difficult (Wilson & Rocha, 2016).

590 Consequently, the total number of metrics for each QE in LHYMO varies from one to five. However, despite this
591 discrepancy, almost all of them and all five QE assessed actually play a part in the results obtained over French
592 lakes.

593 The variability in the accuracy and precision of multi-source data can also represent a significant source of error
594 (Borja & Rodríguez, 2010). Future improvements of the method should include sensitivity analyses and uncertainty
595 calculation in order to associate a level of confidence with each LHYMO score. Nevertheless, some
596 recommendations can already be made to reduce uncertainty for each metric, such as: (1) integrating data over

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597 several years (>5) for hydrological metrics, in order to smooth out the effects of possible extreme events; (2) using
598 the most recent and/or reliable data and if possible time-consistent when multi-sourced.

599 It is obvious that the availability of data is one of the key issues to improve lake hydromorphology assessment.
600 Therefore, continued efforts to collect confident data with reliable methods, with a particular focus on the less
601 documented elements (such as groundwater flows or water level fluctuations in the case of France), are still
602 necessary. In this context, the gathering, organization and opening of scientific data from all fields and sources is
603 of the highest importance. A thorough application of regulatory monitoring programs and protocols is also crucial
604 to produce high-quality data and allow the monitoring of possible changes over time. Innovative acquisition
605 methods, such as remote sensing for example (Tormos et al., 2021; Wilson & Rocha, 2016), which allow
606 information to be acquired uniformly and at lower cost over the whole territory, should be encouraged and
607 developed in the future as recommended by EN 16039 standard.

608 **8.2 References**

609 Defining reference conditions is one of the biggest challenge for ecological status assessment (Nöges et al., 2009)
610 and is currently considered by European experts a major hurdle for the implementation of hydromorphology
611 assessment methods by the EU Member States (Argillier, Carriere, Wynne, et al., 2022). The method used in
612 LHYMO is an innovative hybrid type/site-specific approach where reference conditions are set independently for
613 each lake and each hydromorphological alteration assessed. Reference conditions then reflect, in regards to each
614 alteration, the expected status of the lake in unaltered conditions, apart from disturbance potentially due to MTC
615 in relation to the main anthropogenic use. In this way, artificial and heavily modified lake water bodies can also be
616 assessed through the same method on the basis of the best achievable status given their initial purpose. Although
617 we had to rely on expertise first to establish the criteria relating to reference conditions, no additional expert input
618 is required to apply the LHYMO method. To our knowledge, this is a major step forward in improving the
619 assessment of the hydromorphological alteration of lakes. Nevertheless, some points still deserve to be
620 investigated. Indeed, the reference conditions for natural lakes refer to a theoretical ideal status without any
621 alteration whatever insignificant although a very slight deviation from this ideal status may sometimes be
622 tolerated, as provided for by the WFD, especially in the absence of significant impact on the biological
623 communities. A better understanding of the effects of hydromorphological pressures on biocenoses is therefore
624 necessary. Finally, for some metrics, reference conditions are related to historical situations (e.g. riparian
625 vegetation) but the question of the choice of the time period as the reference period remains open.

626 Nevertheless, this approach enabled us to face the difficulty of establishing a typology of French lakes to define a
627 reference for each type (type-specific approach; (Roset et al., 2007)), which are naturally very diverse in their
628 environmental and intrinsic characteristics. It also makes it possible to override the problem of defining reference
629 conditions by determining them specific to each water body (site-specific approach; (Roset et al., 2007)).

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630 **8.3 Aggregation and definition of thresholds**

631 As a first approach to highlight lake water bodies the most affected by hydromorphological alterations, all the
632 metrics are aggregated without considering the possible difference in their degree of impact on biodiversity or on
633 the functioning of lakes, due to the lack of evidence to define the possible weighting factors. Knowledge concerning
634 the link between biology and anthropogenic disturbances on lakes is mostly focused on eutrophication issues (e.g.
635 (Harper, 1992; Pieczyńska, 1993; Sutcliffe et al., 1992; Tamminen & Kuosa, 1998). The importance of lakes
636 hydromorphology on biocenoses is now widely accepted in the scientific literature, although the links between the
637 two still requires further investigation to be well understood and quantified, in particular in a context of multi
638 pressures and climate change (Lyche-Solheim et al., 2013; Poikane, Herrero, et al., 2020). In addition, several
639 publications also show that these relationships may be influenced by many other factors (location, community
640 structures, etc.) (e.g. Edwards & Whitters, 2007; Larsen et al., 1994; Paulsen et al., 1997; Rowan et al., 2012;
641 Whittier et al., 2002).

642 Consequently, improving our understanding and quantifying the impacts of the hydromorphological alterations
643 and related metrics on biological communities (macrophytes, macro-invertebrates, phytoplankton and fish in
644 particular) still needs to be done, at least at the French national scale. It should be pointed out that these analyses
645 should also allow improving the ecological relevance of the thresholds that discriminate the five WFD
646 hydromorphological status. More research is needed to identify what deviation from the natural status can exist
647 without affecting biodiversity and ecosystem services (Elosegi et al., 2010) for hydromorphological features, in
648 order to adjust hydromorphological status class boundaries in the assessment.

649 In addition, we can assume that the application of different methods of aggregation of metrics can lead to more
650 or less discriminating and more or less severe evaluations of the water bodies. We chose to focus on the OOA
651 method, applied both on metrics and on quality elements, which favors the discrimination of hydromorphological
652 status (results not shown). By doing so, we preserve the representativeness of the different morphological and
653 hydrological aspects in the final score. In addition, although this method can be criticized as being particularly
654 severe (Borja & Rodríguez, 2010; Prato et al., 2014), it is the most conservative method for environments in a
655 strictly ecological approach. It remains also relevant for an initial assessment in the absence of *a priori* knowledge
656 of the status of the systems studied. Unlike the computation of averages and medians, which tend to smooth out
657 the values, the OOA method has the advantage of always considering the lowest values, indicative of
658 dysfunctions, in the final score. Moreover, the results obtained through this approach were validated by experts
659 as the most coherent and representative of the known hydromorphological status of French lakes.

660 Even if not required by the implementation of the WFD that only imposes to distinguish high hydromorphological
661 status from a less good status, we provide an assessment on a five classes scale, given the importance of
662 hydromorphology on lake ecosystems functioning (Kutyla et al., 2021) and a possible wider non-regulatory use of
663 the index.

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664 **8.4 Operational implementation**

665 The LHYMO method has been successfully used to provide the first quantitative assessment of the
666 hydromorphological status of 72 French lakes. This application allows us to identify which lakes are already not
667 achieving good ecological status and helps to identify which types of alterations cause a degradation of the
668 hydromorphology quality of lake water bodies within the French metropolitan territory. However, considering that
669 482 lakes are historically part of the French WFD monitoring program and that there are more than 550000 lakes
670 over 0.01 hectare on the French territory (Bartout & Touchart, 2013), this study only gives a very partial picture of
671 the hydromorphological status of the French lakes. The method can nevertheless be applied to small lakes outside
672 the WFD scope in order to complete this initial incomplete inventory.

673 Although designed to meet the regulatory requirements for the assessment of the ecological status of lake water
674 bodies in application of the WFD, LHYMO could also be a useful management tool to assist environmental
675 conservation policies in broader contexts, although improvements can still be made to improve the reliability and
676 sensitivity of the method and its representativeness of biological responses. Regarding French context specifically,
677 LHYMO is fully operational for stakeholders as most of required input data are freely accessible at a national scale
678 and easily reusable, although it may also be refined or completed if necessary according to local data resources.
679 The multi-level design of the index allows to switch view at different aggregation levels and also helps highlighting
680 dysfunctional processes and prioritize management or conservation actions for each lake or on an entire territory
681 (which lakes should be restored first). LHYMO may also be used to assess the effectiveness of previous
682 hydromorphological restoration measures on lakes and provide guidance for future management strategies by
683 testing and designing management, restoration or mitigation scenarios using simulated data on some selected
684 metrics related and then analyzing the evolution of the final LHYMO score. Values calculated through the LHYMO
685 index could finally be used as a quantification of physical habitat alterations that is necessary to design reliable
686 predictive models of habitat suitability for various biological communities in order to achieve conservation goals
687 on a global scale (Fernández et al., 2011).

688 Consequently, this index and the method described for its development can be a source of inspiration for countries
689 wishing to develop a tool for assessing hydromorphology of lacustrine environment, as there is little scientific
690 literature in this field.

691

692 **9. Declaration of competing interest**

693 The authors declare that they have no known competing financial interests or personal relationships that could
694 have appeared to influence the work reported in this paper.

695

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704

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1002 **Appendix 1**

1003

1004 **Table 4.** Pearson correlation tests between metrics EQR values for each quality element.

	Bank artificialization	Bank compaction	Bank erosion	Riparian vegetation	Aquatic vegetation
Bank artificialization	1.00	0.15	-0.07	-0.001	-0.01
Bank compaction	0.15	1.00	-0.05	0.12	0.23
Bank erosion	-0.07	-0.05	1.00	0.20	-0.02
Riparian vegetation	-0.001	0.12	0.20	1.00	-0.20
Aquatic vegetation	-0.01	0.23	-0.02	-0.20	1.00

1005

	Sand dumping	Gravel dumping	Material extraction	Siltation
Sand dumping	1.00	0.18	-0.12	0.17
Gravel dumping	0.18	1.00	-0.07	0.05
Material extraction	-0.12	-0.07	1.00	-0.04
Siltation	0.17	0.05	-0.04	1.00

1006

	Change in tributaries	Flow obstacles	Seasonal WLF
Change in tributaries	1.00	0.03	0.18
Flow obstacles	0.03	1.00	0.11
Seasonal WLF	0.18	0.11	1.00

1007

	Water abstraction	Water impoundment
Water abstraction	1.00	0.11
Water impoundment	0.11	1.00

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1010 **Supplementary material 1.** Physical characteristics of the 72 French lakes included in the study.

lake	type	altitude (m)	surface area (km ²)	volume (Mm ³)	mean depth (m)	max depth (m)	basin district
Abbaye	N	879	0.814678	6	7.1	19.5	Rhône
Aiguebelette	N	374.4	5.157127	166	32.2	74	Rhône
Anterne	N	2061	0.109905	1	6.1	13	Rhône
Arrêt Darré	R	269	1.176041	11	9.2	23.2	Adour-Garonne
Astarac	R	247	1.615932	10	6.2	13.7	Adour-Garonne
Aydat	N	837	0.5547745	4	7.5	15	Loire
Baradée	R	163.2	0.554412	2	4.1	9.6	Adour-Garonne
Bimont	R	330	1.186615	14	11.8	55	Rhône
Bischwald	A	251	1.971578	3	1.6		Rhin
Bleu	N	1947	0.5230945	12	22.4	124	Adour-Garonne
Bosmeleac	R	167	0.6030695	4	6	15	Loire
Bourdouze	N	1168	0.2203915	1	3	5	Loire
Bourget	N	231.5	43.71685	3600	82.3	145	Rhône
Candau	R	171.8	0.5779805	2	3	7.2	Adour-Garonne
Carcans-Hourtin	N	13	57.5735	210	3.6	9.4	Adour-Garonne
Causse	R	124	0.7335435	3	3.4	7	Adour-Garonne
Cazaux	N	21	48.29416	499	10.3	22.8	Adour-Garonne
Cercey	R	372	0.5331035	3	5.9	12.3	Seine
Chaillexon	N	750	0.7445385	6	8.6	31.5	Rhône
Chalain	N	488	2.198481	49	22.1	45	Rhône
Chambon	N	875	0.474194	1	3	4	Loire
Chamboux	R	496	0.705249	6	7.8	18	Loire
Chancelade	R	662	0.971003	4	4.3	5	Loire
Charpal	R	1325.3	1.827389	8	4.4	15.3	Adour-Garonne
Clairvaux	N	525	0.416132	5	12	20	Rhône
Codole	R	113	0.5066835	7	13.8	25	Corse
Crescent	R	274	1.20761	13	11.1	23.5	Seine
Der-Chantecoq	R	135	41.61427	324	7.8	16	Seine
Devesset	R	1074	0.477041	2	4.8	16	Rhône
Duc	R	33	2.119755	5	2.4	7	Loire
Esparron	R	359	2.552312	79	30.8	54	Rhône
Forge	R	62	0.6247925	1	0.9	<3	Loire
Gensac-Lavit	R	129.4	0.4301225	2	4.7	9.25	Adour-Garonne
Goule	R	213	0.971752	3	2.6	7	Loire
Grand-lieu	N	1	54.19213	98	1.8	2.7	Loire
Guerlédan	R	120	2.848564	50	17.5	45	Loire
Ilay	N	774	0.7085345	8	10.9	32	Rhône
Kerne Uhel	R	215	0.6137195	2	3.9	10	Loire
Laffrey	N	916	1.143142	28	24.7	39.3	Rhône
Lanau	R	669	1.579291	18	11.4	24.7	Adour-Garonne
Landes	R	378	1.166974	1	1	2	Loire
Laragou	R	173	0.3439735	2	5.4	9.8	Adour-Garonne
Lindre	A	211	5.797206	12	2.1	5	Rhin

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Longemer	N	733	0.7535075	10	13.3	29.5	Rhin
Louroux	R	98	0.6070285	1	1	4	Loire
Lunax	R	268.5	2.226439	25	11.2	27	Adour-Garonne
Madine	R	227	10.46238	35	3.3	14	Rhin
Miélan	R	217	0.6640365	4	5.6	13	Adour-Garonne
Monteynard - Avignonet	R	490	5.062763	270	53.3	135	Rhône
Montriond	N	1060	0.256742	3	12.1	19.7	Rhône
Nantua	N	475	1.323431	40	30.3	42.8	Rhône
Naussac	R	935	10.43173	189	18.1	40	Loire
Paladru	N	500	3.546134	97	27.4	35.9	Rhône
Pannecièrè	R	320	4.521657	69	15.3	40	Seine
Parentis	N	21	31.90897	241	7.6	20.7	Adour-Garonne
Pavin	N	1196	0.4522175	23	50.8	96	Loire
Pont	R	301	0.6769115	6	9	16.8	Seine
Puydarrieux	R	269	1.688321	15	8.6	21	Adour-Garonne
Remoray	N	850.7	0.9718615	10	9.9	27.6	Rhône
Roucarie	R	259	0.6373665	6	9	28	Adour-Garonne
Rousses	N	1059	0.898324	7	7.7	18.2	Rhône
Saint Michel	R	226	4.448976	13	3	8	Loire
Saint Pardoux	R	361	3.242692	23	7	16.7	Loire
Saint-Jean	R	173.4	0.6093405	3	4.1	8.85	Adour-Garonne
Sautet	R	765	3.162575	108	34.1	115	Rhône
Tailluret	R	96.8	0.418697	2	3.6	6	Adour-Garonne
Thoux-Saint-Cricq	R	166	0.599643	4	5.8	13.1	Adour-Garonne
Torcy Neuf	R	316	1.504892	9	6.2	17	Loire
Torcy Vieux	R	316	0.59859	2	4	11	Loire
Treignac / Bariousses	R	513	0.8566775	7	8.7	22.5	Adour-Garonne
Uby	R	108	0.6540405	3	3.8	9	Adour-Garonne
Vallée	R	125	0.535388	1	2	5.1	Loire

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1013 **Supplementary material 2.** Sources of the data used for French lakes.

data source	provider	access link
Alber database	OFB - INRAE	https://geocatalogue.ecla.inrae.fr/geonetwork/srv/fre/catalog.search#/metadata/6e3888b0-6c4e-48a9-bb23-abaf2687a5f2
Charli database	OFB - INRAE	https://geocatalogue.ecla.inrae.fr/geonetwork/srv/fre/catalog.search#/metadata/f03f1673-93af-4c96-a6c0-292352a14430
BD_PLANDO database	OFB - INRAE	http://geo.ecla.inrae.fr
BD LISA database	BRGM	https://www.sandre.eaufrance.fr/atlas/srv/fre/catalog.search#/metadata/769f36e0-eb23-4014-ad63-fb468fcd7488
LITHO_1M	BRGM	http://geoservices.brgm.fr/geologie (WFS service)
LOIEAU model	INRAE	https://loieau.recover.inrae.fr/
WLF dataset	various	none
BD ALTI®	IGN	https://geoservices.ign.fr/bdalti
BD CARTHAGE	IGN - French Water Agencies	https://www.sandre.eaufrance.fr/atlas/srv/fre/catalog.search#/metadata/3409c9c3-9836-43be-bac3-b110c82b3a25
ROE	OFB	https://www.sandre.eaufrance.fr/atlas/srv/fre/catalog.search#/metadata/5a2cdc66-36be-4bc7-be00-e04736bc7ba6
BNPE	BRGM	https://bnpe.eaufrance.fr/acces-donnees
HER2	INRAE	https://www.sandre.eaufrance.fr/atlas/srv/fre/catalog.search#/metadata/40b17d2a-5d4a-48ed-acdd-0728c080598c
BD TOPO® Vegetation	IGN	https://geoservices.ign.fr/bdtopo
SYRAH	INRAE	https://www.data.gouv.fr/fr/datasets/syrah-ce-systeme-relationnel-dauidit-de-lhydromorphologie-des-cours-deau/
SIOUH	BETCGB	siouh.din.developpement-durable.gouv.fr

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