EarthArXiv Cover Page

Rice residue burning trajectories in Eastern India: Current realities, scenarios of change, and implications for air quality

Urban, ER*, Hamilton, D, Rossiter, DG, Mahowald, NM, Hess, P, Malik, R, Singh, AK, Samaddar, A, & McDonald, A.

This is a non-peer reviewed preprint submitted to EarthArXiv. It has been submitted to *Environmental Research Letters* for peer review. If accepted, the final version of the manuscript will be available via the DOI link provided by the journal. Please contact the corresponding author with any questions or comments.

Author affiliation:

Emily Urban (**corresponding author*), Soil & Crop Sciences, Cornell University, USA (eru8@cornell.edu)

Douglas Hamilton, Earth & Atmospheric Sciences, Cornell University, USA (*previously*), Marine, Earth & Atmospheric Sciences, NC State University, USA (dshamil3@ncsu.edu)

DG Rossiter, Soil & Crop Sciences, Cornell University, USA (d.g.rossiter@cornell.edu)

Natalie Mahowald, Earth & Atmospheric Sciences, Cornell University, USA (mahowald@cornell.edu)

Peter Hess, Biological and Environmental Engineering, Cornell University, USA (pgh25@cornell.edu)

Ram Malik, International Maize and Wheat Improvement Center (CIMMYT), India (RK.Malik@cgiar.org)

Ajoy Singh, Bihar Agricultural University (BAU) (previously), India (ajoyasingh@gmail.com)

Arindam Samaddar, International Rice Research Institute (IRRI), India (a.samaddar@irri.org)

Andy McDonald, Soil & Crop Sciences, Cornell University, USA (ajm9@cornell.edu)

Abstract:

In 2019, the Government of India launched the National Clean Air Program (NCAP) to address the pervasive problem of poor air quality and the burdens it creates for public health, particularly in the 122 non-attainment cities that exceed national air quality standards. In much of Northern India, achieving and sustaining air quality improvements requires coordinated efforts to prevent agricultural burning of crop residues. Historically, rice residue burning has been most prevalent in Northwestern IGP (Indo-Gangetic Plain) but the practice is rapidly expanding into the populous Eastern IGP states, including Bihar, with uncertain consequences for regional air quality. This research has three objectives: (1) characterize historical rice residue burning trends since 2002 over space and time in Bihar State, (2) project future burning trajectories to 2050 under 'business as usual' and alternative scenarios of change, and (3) simulate air quality outcomes under each scenario to estimate public health burdens. Historical trends were modelled and extended to mid-century burning projections, which were coupled with the Community Earth System Model (CESM v2.1.0) to characterize air quality impacts under each scenario. These analyses suggest that contemporary Bihar State burning levels contribute a small daily average proportion (8.1%) of the fine particle pollution load (i.e. $PM_{2.5}$, particles < = 2.5 μ m) during the burning months, but up to as much as 62% on the worst of winter days in Bihar's capital region. With a projected 142% 'business as usual' increase in burning prevalence anticipated for 2050, Bihar's capital region may experience the equivalent of 30 $PM_{2.5}$ additional exceedance days, according to the WHO standard, due to rice residue burning alone in the October to December period. If historical burning trends intensify and Bihar resembles the Northwest States of Punjab and Haryana by 2050, 46 days would exceed the WHO standard for PM_{2.5} in Bihar's capital region.

1. Introduction

Rice residue burning begins each October in the Northwestern Indo-Gangetic Plains (IGP), significantly contributing to poor regional air quality conditions during the fall and winter months (Liu et al., 2018; Montes, Sapkota, & Singh, 2022; Mor, Singh, Bishnoi, Bhukal, & Ravindra, 2022). In the late fall period when regional air quality is at its nadir, rice residue burning contributes to as much as 42% of the fine particulate matter (PM_{2.5}) – the most damaging air pollutant to public health (Bikkina et al., 2019). Estimates suggests that PM_{2.5} alone causes as many as 16,200 premature deaths annually in New Delhi (Guttikunda & Goel, 2013), while also contributing to a host of additional acute and chronic public health concerns ranging from respiratory infections to lung cancer (Nair, Bherwani, Mirza, Anjum, & Kumar, 2021). Residue burning also increases greenhouse gas emissions while degrading soil health and the production potential of agricultural systems (Jain, Bhatia, & Pathak, 2014; Pathak, Singh, Bhatia, & Jain, 2006).

While the practice of rice residue burning is pervasive in the dominant rice-wheat systems of the Northwest IGP (Shyamsundar et al., 2019), it is much less common in these same cropping systems in the Eastern IGP region of India (i.e., the states of West Bengal, Bihar, and adjacent areas of Uttar Pradesh). In the Northwest, factors such as combine harvesting (Kumar, Kumar, & Joshi, 2015; Liu et al., 2019), a shortening planting window for wheat, and crop intensification help perpetuate this practice (Balwinder-Singh, McDonald, Srivastava, & Gerard, 2019). Despite dedicated efforts to reduce burning through a combination of financial incentives and legal sanctions, there is little evidence from the Northwest that the practice is receding. Just as worrisome, drivers that have shifted the valorization of

rice residues from a resource to a waste product in the Northwest may now be emerging in the Eastern IGP (Hindustan Times, 2021).

Given its relatively high rates of rural poverty and capacity for agricultural-led growth, the Eastern IGP is a development and food security priority region for the Government of India through initiatives like BGREI (Bringing the Green Revolution to Eastern India) that focus on enhancing the productivity of agricultural systems through technological change. The densely settled state of Bihar is of particular interest with the 2019 census documenting a population exceeding 124 million. With the state's capital and largest city, Patna, already among the most air-polluted metropolitan regions in India (Nair et al., 2021), a rapid expansion of residue burning may lead to significant consequences for public health. At present, Patna PM_{2.5} concentrations exceed the daily NAAQS (National Ambient Air Quality Standards) threshold around 77% of the winter days (Arif, Kumar, Kumar, Eric, & Gourav, 2018) and contributes an estimated 1% to all-cause mortality rates (Nair et al., 2021).

The Bihar State government is aware of the risks associated with increasing agricultural burning, but the current extent of the practice and plausible scenarios of change have not yet fully informed state policies (Raj, 2018). The emergence of burning as a 'locked-in' problem without simple solutions in the Northwest IGP highlights the importance of preventative action aimed at avoiding burning in the Eastern IGP rather than attempting to reverse the practice only after it is broadly adopted (Downing et al., 2022). Technological lock-in is present in many economic sectors, including agricultural (Magrini, Béfort, & Nieddu, 2018), and is perpetuated by a series of dependency factors that make the technology difficult to disentangle from other dimensions of the system (Geels, 2011). For example, as access to mechanization technologies such as combine harvesting expand, burning is often practiced post-harvest as a quick and inexpensive method for clearing loose residues that remain in the field. New combine users often become 'locked-in' to burning because transitions to the combine have been made and there are few economically viable alternatives for residue management. Consequently, burning becomes an enabler for broader technological change that is difficult to displace.

By conceptualizing the practices of burning as a space-time process of technological change, it should be possible to make inferences about future spread based on historical patterns. Similar projection models have been developed for disease epidemiology and the biogeography of invasive species. The spread of burning practices can be conceptualized as having characteristics of epidemic models, where infection (i.e. 'adoption') emerges due to proximity, as well as 'influencing factor' models of technological change, where transitions are triggered by an individual's varying goals and needs independent of proximity (Geroski, 2000). Therefore, this research has three objectives: (1) characterize historical rice residue burning trends since 2002 over space and time in Bihar, (2) project future burning trajectories to 2050 under 'business as usual' and alternative scenarios of change, and (3) simulate air quality outcomes under each scenario to estimate public health burdens. By employing an integrative assessment framework that centers on public health, this study endeavors to provide an evidence base to support early action for avoiding pervasive agricultural burning in the Eastern IGP before the practice 'locks in'.

2. Data and Methods

2.1. Study area

This study is situated in Bihar State, India. Located within the Eastern Indo-Gangetic Plain (EIGP), Bihar is one of the most densely-settled regions of the country. It is characterized by mixed crop-livestock systems, and rice-wheat crop rotations predominate (Erenstein & Thorpe, 2010). The largely rural

population has some of the highest rates of malnutrition and rural poverty (TCI, 2022), coupled with some of the highest crop yield gaps in India (Jain et al., 2017; Pathak et al., 2003; TCI, 2022). Both private and public investments are working to close yield gaps through improved agronomic management, such as timely wheat planting (McDonald et al., 2022) and expanding the adoption of hybrid rice (Spielman, Ward, & Kolady, 2017).

2.2. Workflow overview

Figure 1 provides an overview of the data and methods used in this study. In Step 1, two satellitederived active fire products were used to characterize the historical patterns of rice residue burning in Bihar across space and time. This understanding guided the conceptualization and development of the 2050 model projecting future rice residue burning to complete Step 1. In Step 2, emissions data were scaled according to the results from Step 1 to inform transport modeling of atmospheric pollution.

DATA	Methods			
MODIS Active Fire Product 2002-2020 1 km resolution VIIRS Active Fire Product 2013-2020	STEP 1: Quantify space-time patterns of historical burning and develop projection model Model the spatial point process phenomenon			
375 m resolution SAGE-IGP Emissions dataset Daily; 2003-2018 0.25° x 0.25° resolution	STEP 2: Estimate 2050 air quality impacts with atmospheric transport modeling Community Earth System Model (CESM2.1.0) and NOAA <u>Hysplit</u> Model			

Figure 1. Schematic of the data and methods used in this study.

2.3 Data sources

2.3.1. MODIS and VIIRS Active Fire Products

Fire observations were assessed with the Moderate Resolution Imaging Spectroradiometer (MODIS) on board the Terra and Aqua satellites, as well as the Visible Infrared Imaging Radiometer Suite (VIIRS) sensor aboard the NASA/NOAA Suomi-National Polar-orbiting Partnership (S-NPP) satellite. Detection of active fires through thermal anomalies products is highly dependent on fire radiative power (FRP – radiant energy released per unit time) which, in turn, is sensitive to both the temperature and size of the fire event (Giglio, Schroeder, & Justice, 2016). These factors tend to be proportional to the mass of burning biomass, all other factors *ceteris paribus* (Wooster et al., 2005). MODIS active fire data is available at 1 km spatial resolution starting from February 2000 (Terra) and June 2002 (Aqua) to present at approximately six hours intervals with each satellite averaging two passes daily (Giglio, Descloitres, Justice, & Kaufman, 2003). Launched October 2011, VIIRS has a shorter time-series but higher spatial resolution than MODIS. VIIRS has a 12-hour temporal resolution, with time-series available from January 2012 to present. VIIRS has both 375 m and 750 m fire detection products. The 375 m resolution product has a higher probability of capturing low-intensity agricultural fires due to its finer spatial resolution, i.e.,

less averaging of fires with surrounding non-fire areas within the pixel (Schroeder, Oliva, Giglio, & Csiszar, 2014), and was used for this study. Active fire data was considered only for the months where rice residue burning takes place in Bihar, namely October, November, and December. See Text S1 for additional data processing and smoothing details.

2.3.2. SAGE-IGP emissions dataset

The SAGE-IGP (**S**urvey Constraints on FRP-based **A**gricultural Fire **E**missions in the Indo-**G**angetic **P**lain) emission dataset (Liu, Mickley, Singh, Jain, & Defries, 2020) was used to estimate fire emissions from the IGP from 2003 to 2018 (daily, 0.25° x 0.25° resolution). The dataset provides daily biomass burnt which is converted to daily emission (kg m⁻² s⁻¹) estimates for black carbon, organic carbon, secondary organic aerosol precursor gases, and sulphur dioxide by using the emission factors ((g species emitted) (kg biomass consumed)⁻¹), as explained in Andreae (2019). The secondary organic aerosol scheme within the CESM estimates organic aerosol formation from precursor gases (Tilmes et al., 2019). Particulate organic matter emissions are estimated as 1.4 × organic carbon emissions. The SAGE-IGP inventory is based on MODIS FRP and uses a combination of finer spatial resolution VIIRS fire radiative power (FRP), household interviews of current burning practices, crop statistics, cloud/haze gap-fill, and ground and satellite-based measurements of aerosols to provide the most complete estimation of agricultural fire activity across the IGP.

2.3.3. Atmospheric concentrations of particulates

Daily averaged atmospheric concentrations of PM_{2.5} collected by the India System of Air Quality and Weather Forecasting and Research (SAFAR) at three ground observation stations in and around Patna: central Patna (IGSC Planetarium; 2015-2017), about 100 km south of Patna (Gaya; 2016-2017), and about 70 km north of Patna (Muzaffarpur, 2016-2017) were used for this study (Table S1).

2.4 Modeling

2.4.1. STEP 1: Methods to quantify space-time patterns of historical burning and projection modeling

2.4.1.1. Quantifying space-time patterns of historical burning

Spatio-temporal methods were used to quantify historical burning in Bihar using the R Project for Statistical Computing (R Core Team, 2022). To quantify the increase in burning over time, total annual fire counts and FRP were examined using the most temporally-resolved active fire product, MODIS, from 2002 to 2020. To examine the expansion of fires across space, the most spatially-resolved active fire product, VIIRS, was used with data from 2012 to 2020 to conduct kernel density estimation (KDE), where each point (i.e., center of a grid cell) consisted of a time and location of a remotely sensed fire event. KDE increased our understanding of where burning hotspots occurred historically, in the expectation that our future emission projection model would represent these hotspots appropriately and spread beyond the hotspots reasonably.

2.4.1.2. Fire projection model development

A grid-based model was developed with four components (rules) to reflect four distinct processes (Text S2). First, the temporal spread of burning was modelled as a social diffusion process by estimating new areas that may adopt the practice in a given year, based on spatial neighborhood characteristics (i.e., proximal burning) in prior years. Second, existing burning was assumed to continue in subsequent years,

given the 'lock-in' nature of this practice. Third, a probability approach was used to characterize the emergence of burning in areas that are not proximate to burning in previous years, as farmers throughout the state may decide to adopt this practice even if not proximate to farmers who already burn their residues. This captures adoption of the practice in as-yet unaffected areas and is by its nature random from the point of view of the modeler's knowledge. Lastly, reversals each year out of the potential burning areas, as assessed by the previous steps, are estimated with a separate probability term to reflect year-to-year stochasticity in the use of the practice. These four factors enabled the empirical model to represent spatial dependency, the interannual variability of burning, and a random dimension of burning adoption. Projections were created by repeating these steps each year until 2050. That is, the final projected 2021 raster was then used to develop the 2022 raster and so forth until 2050 (Figure 2).



Figure 2. Visual description of the fire projection model development. The future emission model carries forward burning patterns from the prior year and generates a set of gridded projections based on diffusion processes (i.e. those governed by spatial proximity), random occurrences, and expected patterns of interannual variability.

2.4.2. STEP 2: Methods to estimate 2050 air quality impacts with atmospheric transport modeling

2.4.2.1. Scenarios of change

In addition to a present day scenario, six realistic future scenarios were defined to gauge a range of plausible burning outcomes by 2050, including: (1) maintenance of the 'status quo' with fire spatial

extent retained at contemporary levels (2013-2017) as the counterfactual scenario, (2) rice yield intensification (i.e. more straw biomass production) paired with contemporary fire extent [+75.6% of 2013-2017 fire intensity], (3) area expansion of burning at 'business as usual' rates of increase as estimated from the projection model [+142% of 2013-2017 fire areal extent], (4) rice yield intensification with area expansion of burning at 'business as usual' rates, (5) Northwest IGP analogue assuming that Bihar burning transitions accelerate to resemble contemporary areal extent in Punjab State [+933.7% of 2013-2017 fire areal extent], and (6) rice yield intensification with the Northwest IGP analogue (see Text S3).

2.4.2.2. Estimating 2050 air quality impacts with atmospheric transport modeling

This study used the Community Earth System Model version 2.1 (CESM2.1.0) (Danabasoglu et al., 2020) (see Text S4). Paired simulations were run for each scenario: one with fires from Bihar and one without fires from Bihar. This allows the impact of Bihar fires on pollution levels to be isolated from the difference in each paired simulation scenario. Present day simulations were conducted from 2012 to 2017 inclusive, to account for the interannual variability of the burning phenomenon. Future simulations were simulated using emission projections from 2047 to 2052 inclusive but using meteorology for 2012 to 2017. In all simulations the first year was discarded as spin up and the last five years used for analysis. Future anthropogenic emissions followed the SSP585 scenario projection (Gidden et al., 2019). Due to a large uncertainty in how fire emissions will evolve over coming decades and to isolate the impact of future land use management on mid-century Bihar fire emissions, fire emissions outside of Bihar are held at present day levels for all scenarios (i.e., outside Bihar 2012 to 2017 daily fires were used as input to the simulations for 2047 to 2052). As dust and sea salt are prognostic natural emissions that vary as a function of wind speed, their emissions are matched in each scenario. Thus, the difference in atmospheric composition at mid-century compared to present day is a combination of changes to anthropogenic emissions and the projection of land use management for Bihar in each scenario.

2.4.2.3. Model Correction Factor

We evaluated the model's capability of reproducing daily PM_{2.5} data from ground observations within the same model grid cell as Patna (Table S1). Initial visual evaluation of the time-series revealed that the model significantly underestimated PM_{2.5} during the Bihar crop burning months. With the y-intercept at zero, the coefficients (2.17 and 11.66) of a multiple linear regression model were used as multiplicative correction factors to the emissions without fires and fire emissions only, respectively, in the three months of interest (October to December). We assumed that this bias would propagate through time and thus applied this correction factor to all future Bihar scenario model outputs (Figure S1).

3. Results

3.1 STEP 1: Quantifying space-time patterns of historical burning and projection modeling

The number of post-monsoon crop fires is on the rise in Bihar. From visual evaluation of VIIRS fires in 2012, 2015, 2018 (Figure 3), burning is expanding eastward, as well as becoming more common in the southwest and northwest regions. Also revealed is the rise of 'spontaneous' (i.e., non-diffusion, not spatially-correlated) fire events throughout the eastern part of the state. The naïve nature of this spatial analysis does not provide insights into the underlying drivers of burning diffusion processes nor the emergence of burning in new regions but does provide the basis for empirical projection modeling by



assuming future patterns will mirror historical dynamics of change.

Figure 3. VIIRS active fire observations in 2012, 2015, and 2018 reveal spatially dependent expansion eastwardly across the state, increased density in western areas, and new 'random' burning activities in the east .

Kernel density estimation of fire observations in 2020 showed that the highest contemporary fire density was in the southwest corner of the state (Figure S2). This region of the state is largely characterized by the most intensive rice-wheat cropping systems, the largest farm sizes, and a growing adoption of agricultural mechanization and combine harvesting (Singh et al., 2019). Figures 4 and 5 show the results of the projecting the future emissions across time and space to 2050 under a continuation of 'business as usual' burning pattern. Under this scenario, fires are projected to increase 142% by 2050 (Figure 4). While Bihar had an overall increase in the number of fires from 2002 to 2020, it is important to note the considerable interannual variability. Further research is needed to explore the drivers behind these landscape-scale drops in fires, such as in 2011, 2016, and 2019, as these could be related to environmental, social, or political factors, such as the inability for combines to enter wet fields some years, higher demand for rice straw as a livestock fodder, or increased enforcement of no-burn regulation. This interannual variability with an overarching increasing trend is reflected in the forecasting model from 2021 to 2050.



Figure 4. A single realization of the annual active fire count predictions of the 2050 emission projection model.

Figure 5 displays spatial predictions of burning in 2030 and 2050 at maximum potential burning extent (i.e., no landscape-level drops in those realizations of the model). Spatially, the forecasting model shows a 'burning frontier' expanding from the southwest area of the state eastward. As the model predictions advance through time, the no-burn areas within the primary southwest hotspot transition to a largely homogeneous burning landscape by 2050. The model shows new, isolated burning hotspots emerging across the state that then expand with time. We cannot be certain where these new areas will be, as this model assumes homogeneity of drivers across the state. The produced maps mirror historical fire dynamics and should be viewed as an approximation of what conditions may evolve if observed change dynamics persist.



Figure 5. Projected potential burning extent in 2030 and 2050 with 'business as usual' change patterns. Red cells represent an area where rice residue burning is present. The total number of burn cells is increasing over time (see Figure 4) but spatially, the short-term reversals make the 2030 map lighter in color than 2020 and 2050, because the southwest hotspot has a higher fire area density to reverse. By mid-century, the model shows a more homogeneous landscape of burning in the southwest corner.

3.2. STEP 2: Estimating 2050 air quality impacts with atmospheric transport modeling

Step 2 completes Objectives 2 and 3 by describing alternative scenarios of change to 2050 along with associated public health burdens as summarized by daily cumulative PM_{2.5} levels. Table 1 defines the daily average PM_{2.5} exposure from Bihar fires only and all anthropogenic sources, the fraction of total PM_{2.5} exposure derived from Bihar rice residue burning, the October to December cumulative PM_{2.5} exposure, and total exceedance days in Patna due to rice burning only under two different standards.

Scenarios	Daily average PM _{2.5} exposure from Bihar fires only (µg/m ³)	Daily average PM _{2.5} exposure from all anthropogen ic sources (µg/m ³)	Fraction of PM _{2.5} derived from Bihar fires (%)	Seasonal cumulative PM _{2.5} exposure from all anthropogenic sources (µg/m ³)	Exceedance days in Patna (out of 92 days in Oct-Dec) due to Bihar rice residue burning	
					WHO AQG (15 μg/m³)	Indian NAAQS (60 μg/m³)
1- Future: No change	15.4	144.0	10.7	13,249.4	22.2	7.2
2- Future: No change + crop yield intensification	26.8	155.4	17.2	14,292.6	27.2	13.8
3- Future: BAU	36.8	165.4	22.2	15,214.9	30.2	17.0
4- Future: BAU + crop yield intensification	63.5	192.1	33.1	17,669.6	37.6	23.0
5- Future: NW Analogue	155.6	284.2	54.8	26,145.8	44.4	30.4
6- Future: NW Analogue + crop yield intensification	182.3	310.9	58.6	28,599.4	46.2	32.8

Table 1. Mid-century PM_{2.5} exposure and exceedance days predictions for Patna.

3.2.1. Present day public health burden in Patna

In aggregate, currently rice residue fires contribute a small portion of Patna's total $PM_{2.5}$ emissions compared to other anthropogenic pollution sources, with the present day modeled daily average of 8.1% (October-December; 2013-2017), with 16.2 µg/m³ out of 200.3 µg/m³ derived from Bihar rice residue fires. However, westwardly winds from the most pervasive burning area in the state make the population of the Patna metropolitan area more vulnerable to acute events when emissions from burning are concentrated and air quality is at its nadir. As such, our results indicate that Bihar-derived rice residue burning can contribute to as much as 62% of the $PM_{2.5}$ exposure on the most extreme day. Inspection of the worst pollution days using the 5-day, 2-meter NOAA Hysplit Model (Draxler & Rolph, 2003) suggests that there is an alarming concern for air quality in Patna on high burning days coupled with westerly winds, as not only are residents experiencing Bihar-derived pollution but also northwest IGP-derived pollution from pervasive agricultural burning (see example, Figure S3). However, our study did not attempt to quantify the impact of northwest IGP burning on Patna's pollutant concentrations.

3.2.2. 2050 projected air quality burden in Patna

Atmospheric transport model results indicated about a 28% drop of PM_{2.5} emissions mid-century from present day levels in the capital region of Bihar due to cuts in anthropogenic emissions assumed under the SSP585. In addition to examining the results of each scenario in terms of PM_{2.5} derived from Bihar rice burning and total anthropogenic PM_{2.5}, we compared the results against two air quality standards for 24-hour PM_{2.5}, the Indian NAAQS and the more ambitious WHO AQG, similar to the work of Chowdhury et al. (2019). The Indian NAAQS threshold for 24-hour PM_{2.5} is 60 μ g/m³ (Central Pollution Control Board, 2020) and the WHO AQG is 15 μ g/m³ (World Health Organization, 2021).

The first 2050 scenario, with burning remaining at present day levels, resulted in an average daily PM_{2.5} exposure of 144.0 µg/m³, but given that burning levels did not change and yet background anthropogenic PM_{2.5} exposure decreased, the fraction of pollution derived from Bihar burning increased from the present day (8.1%) to mid-century (10.7%). However, the number of exceedance days due to burning alone was nearly the same for the first scenario as in the present day, with roughly 7 exceedance days above the NAAQS standard and roughly 22 exceedance days above the WHO standard (five season average). Figure 6 shows the number of days that rice residue burning alone would cause Patna to be over PM_{2.5} air quality thresholds. Using both standards, all future scenarios would expect to exceed the thresholds when considering rice residue burning as the only emission source, with the 'worst case' scenario resulting in 46 days exceedance (annual seasonal average out of 92 days) according to the WHO AQG standard.

In all future scenarios, when all anthropogenic sources of PM_{2.5} were included, each scenario was above the WHO standard for all 92 days in October to December and 84-85 days above the NAAQS standard. It is important to note that all scenarios accounted for future anthropogenic emissions projections, but crop residue fires across the IGP – not including Bihar – were held static due to the available SAGE-IGP fire data. Given the historical rising trend of burning, the 'business as usual' scenario had an expected 142% PM_{2.5} increase due to burning compared to the future no change scenario. The NW analogue and the NW analogue plus intensification had a 910% and 1084% expected increase in PM_{2.5} due to fires only over the future no change scenario.

PM_{2.5} exceedance days due to rice residue burning



Figure 6: Expected number of seasonal average PM_{2.5} exceedance days in Patna due to Bihar rice burning only in 2050 using the different future emission scenarios.

3. Discussion

While the presence of rice residue burning in Bihar is still far less pervasive than in the Northwest IGP, an examination of nearly two decades of active fire data indicated an alarming increase of burning across space and time in the state. From visual examination of fire count time-series, the past two decades have been characterized by substantial interannual, seasonal and daily variability. Additional research is needed to explore this interannual fluctuation and to characterize the effect of policy changes or technological interventions across several production years.

As with other agricultural burning research findings (Montes et al., 2022), we found strong spatial dependence of fire events between consecutive years. We found that once burning begins in an area, the practice will likely continue to expand in that area. This implies that policies must be put in place to *prevent* farmers from adopting burning practices in the first place since reversing these practices once started has proven extremely difficult in other regions such as the Northwest IGP (Shyamsundar et al., 2019).

The scenario analysis provided a snapshot of plausible mid-century rice burning and associated air quality outcomes given various development trajectories. When considering all current anthropogenic sources, the average fraction of PM_{2.5} as a result of burning is small. However, this study provided clear evidence that residue burning is contributing to these values, and when atmospheric conditions are it is nadir, the contributions of Bihar-based burning to acute air quality events is concerning. Patna is already listed as one of India's non-attainment cities and experiences annual average PM_{2.5} concentration levels as high as or higher than Delhi some years (e.g. 2017) (Nair et al., 2021). There is an urgent need to not only halt the progression of burning but address present day burning, with particular

prioritization of the west and southwest areas of Bihar, to achieve current day air quality goals outlined by the National Clean Air Program of the Indian Government.

Future air quality scenarios present a grim picture if burning intensity continues to increase. By 2050, our model assumes a decrease in overall anthropogenic emissions (following SSP585). Unfortunately, continuation of burning will counter some of the projected progress made in other sectors, such as manufacturing and transportation. If the rising 'business as usual' trend of the past two decades continues, we forecast higher PM_{2.5} concentration levels resulting in more exceedance days in terms of both WHO and NAAQS standards. In the 'worse case' scenario, particularly when coupled with crop intensification, our analysis suggested that a month and a half (i.e., 46 days) of PM_{2.5} exceedance days above the WHO AQG would result with burning emissions alone. Exceeding WHO AQG and Indian NAAQS thresholds would result in an expansive rise of PM_{2.5} related health concerns including cardiovascular disease, respiratory disease and lung cancer (Chen & Hoek, 2020).

4. Conclusions

In the context of systems agronomy for global development, there have been limited examples of *ex ante* research which seeks to understand and address a problem before it fully materializes. This work represents the first comprehensive effort to characterize current rice residue burning trends in the Eastern IGP and to anticipate different development trajectories. Through a naïve point pattern forecasting approach coupled with the scenario outputs derived from the Community Earth System Model version 2.1 (CESM2.1.0), we characterize the spatial nature of the phenomenon, the current trends across historical time starting in 2002, and the air quality implications mid-century if the progression of burning is not stopped. The air quality impact of burning at present levels can be easily overlooked, yet the growing trend and the peak damage potential should be at the forefront of policy conversations at the agriculture and public health nexus. Without creative and urgent interventions to stop burning, the mid-century reality could result in an extensive winter air quality crisis, particularly for the residents of Patna.

Declaration of Competing Interest

The authors report no declarations of interest.

Acknowledgements

We acknowledge funding from Cornell Atkinson Center for Sustainability. Simulations were conducted at the National Center for Atmospheric Research (Computational and Information Systems Laboratory, 2019). We acknowledge the help of the Indian air quality data available from India System of Air Quality and Weather Forecasting and Research (SAFAR).

Data availability

SAGE-IGP data are available from Harvard Dataverse at https://doi.org/10.7910/DVN/JUMXOL.

Daily averaged atmospheric concentrations of PM_{2.5} data are available at https://app.cpcbccr.com/ccr/#/caaqm-dashboard-all/caaqm-landing/data.

REFERENCES

- Andreae, M. O. (2019). Emission of trace gases and aerosols from biomass burning An updated assessment. *Atmospheric Chemistry and Physics*, *19*(13), 8523–8546. https://doi.org/10.5194/acp-19-8523-2019
- Arif, M., Kumar, R., Kumar, R., Eric, Z., & Gourav, P. (2018). Ambient black carbon, PM2.5 and PM10 at Patna: Influence of anthropogenic emissions and brick kilns. *Science of the Total Environment*, 624, 1387–1400. https://doi.org/10.1016/j.scitotenv.2017.12.227
- Balwinder-Singh, McDonald, A. J., Srivastava, A. K., & Gerard, B. (2019). Tradeoffs between groundwater conservation and air pollution from agricultural fires in northwest India. *Nature Sustainability*, 2(7), 580-583. https://doi.org/10.1038/s41893-019-0304-4
- Bikkina, S., Andersson, A., Kirillova, E.N., Holmstrand, H., Tiwari, S., Srivastava, A.K., Bisht, D.S. & Gustafsson, Ö. (2019). Air quality in megacity Delhi affected by countryside biomass burning. *Nature Sustainability*, 2(3), 200-205.
- Chen, J., & Hoek, G. (2020). Long-term exposure to PM and all-cause and cause-specific mortality: A systematic review and meta-analysis. *Environment International*, 143(February), 105974. https://doi.org/10.1016/j.envint.2020.105974
- Chowdhury, S., Dey, S., Guttikunda, S., Pillarisetti, A., Smith, K. R., & Girolamo, L. Di. (2019). Indian annual ambient air quality standard is achievable by completely mitigating emissions from household sources. *Proceedings of the National Academy of Sciences of the United States of America, 166*(22), 10711–10716. https://doi.org/10.1073/pnas.1900888116
- Computational and Information Systems Laboratory (2019). Cheyenne: HPE/SGI ICE XA System (NCAR Community Computing). Boulder, CO: National Center for Atmospheric Research. doi:10.5065/D6RX99HX.
- Danabasoglu, G., Lamarque, J. F., Bacmeister, J., Bailey, D. A., DuVivier, A. K., Edwards, J., Emmons, L. K., Fasullo, J., Garcia, R., Gettelman, A., Hannay, C., Holland, M. M., Large, W. G., Lauritzen, P. H., Lawrence, D. M., Lenaerts, J. T. M., Lindsay, K., Lipscomb, W. H., Mills, M. J., ... Strand, W. G. (2020). The Community Earth System Model Version 2 (CESM2). *Journal of Advances in Modeling Earth Systems*, *12*(2), 1–35. https://doi.org/10.1029/2019MS001916
- Downing, A. S., Kumar, M., Andersson, A., Causevic, A., Gustafsson, Ö., Joshi, N. U., ... Crona, B. (2022). Unlocking the unsustainable rice-wheat system of Indian Punjab: Assessing alternatives to cropresidue burning from a systems perspective. *Ecological Economics*, *195*(January). https://doi.org/10.1016/j.ecolecon.2022.107364
- Draxler, R. R., & Rolph, G. D. (2003). HYSPLIT (HYbrid Single-Particle Lagrangian Integrated Trajectory) Model, NOAA Air Resources Laboratory, Silver Spring, MD.

- Erenstein, O., & Thorpe, W. (2010). Crop-livestock interactions along agro-ecological gradients: A mesolevel analysis in the Indo-Gangetic Plains, India. *Environment, Development and Sustainability*, 12(5), 669–689. https://doi.org/10.1007/s10668-009-9218-z
- Geels, F. W. (2011). The multi-level perspective on sustainability transitions: Responses to seven criticisms. *Environmental Innovation and Societal Transitions*, 1(1), 24–40. https://doi.org/10.1016/j.eist.2011.02.002
- Geroski, P. A. (2000). Models of technology diffusion. *Research Policy*, 29(4–5), 603–625. https://doi.org/10.1016/S0048-7333(99)00092-X
- Gidden, M. J., Riahi, K., Smith, S. J., Fujimori, S., Luderer, G., Kriegler, E., van Vuuren, D. P., van den Berg, M., Feng, L., Klein, D., Calvin, K., Doelman, J. C., Frank, S., Fricko, O., Harmsen, M., Hasegawa, T., Havlik, P., Hilaire, J., Hoesly, R., Horing, J., Popp, A., Stehfest, E., and Takahashi, K. (2019). Global emissions pathways under different socioeconomic scenarios for use in CMIP6: A dataset of harmonized emissions trajectories through the end of the century. *Geoscientific model development*, *12*(4), 1443-1475. https://doi.org/10.5194/gmd-12-1443-2019
- Giglio, L., Descloitres, J., Justice, C. O., & Kaufman, Y. J. (2003). An enhanced contextual fire detection algorithm for MODIS. *Remote Sensing of Environment*, *87*(2–3), 273–282. https://doi.org/10.1016/S0034-4257(03)00184-6
- Giglio, L., Schroeder, W., & Justice, C. O. (2016). The collection 6 MODIS active fire detection algorithm and fire products. *Remote Sensing of Environment*, *178*, 31–41. https://doi.org/10.1016/j.rse.2016.02.054
- Guttikunda, S. K., & Goel, R. (2013). Health impacts of particulate pollution in a megacity—Delhi, India. *Environmental Development*, *6*, 8-20.
- Hindustan Times (2021, Nov 12). *Stubble burning: Bihar plans to 'name and shame' violators*. https://www.hindustantimes.com/cities/others/stubble-burning-bihar-plans-to-name-and-shame-violators-101636737471453.html
- Jain, M., Singh, B., Srivastava, A. A. K., Malik, R. K., McDonald, A. J., & Lobell, D. B. (2017). Using satellite data to identify the causes of and potential solutions for yield gaps in India's Wheat Belt. *Environmental Research Letters, 12*(9). https://doi.org/10.1088/1748-9326/aa8228
- Jain, N., Bhatia, A., & Pathak, H. (2014). Emission of air pollutants from crop residue burning in India. *Aerosol and Air Quality Research*, 14(1), 422–430. https://doi.org/10.4209/aaqr.2013.01.0031
- Kumar, P., Kumar, S., & Joshi, L. (2015). Socioeconomic and Environmental Burning Agricultural Residue Implications of A Case Study of Punjab, India. Springer. https://doi.org/10.1007/978-81-322-2014-5_3
- Liu, T., Marlier, M. E., DeFries, R. S., Westervelt, D. M., Xia, K. R., Fiore, A. M., ... Milly, G. (2018). Seasonal impact of regional outdoor biomass burning on air pollution in three Indian cities:

Delhi, Bengaluru, and Pune. *Atmospheric Environment*, 172, 83–92. https://doi.org/10.1016/j.atmosenv.2017.10.024

- Liu, T., Marlier, M. E., Karambelas, A., Jain, M., Singh, S., Singh, M. K., ... DeFries, R. S. (2019).
 Corrigendum: Missing emissions from post-monsoon agricultural fires in northwestern India: regional limitations of MODIS burned area and active fire products (2019 Environ. Res. Commun. 1 011007). *Environmental Research Communications*, 1(5), 059501. https://doi.org/10.1088/2515-7620/ab2658
- Liu, T., Mickley, L. J., Singh, S., Jain, M., Defries, R.S., and Marlier, M.E. (2020). Crop residue burning practices across north India inferred from household survey data: bridging gaps in satellite observations. *Atmospheric Environment: X*, *8*, 100091.
- Magrini, M. B., Béfort, N., & Nieddu, M. (2018). Technological lock-in and pathways for crop diversification in the bio-economy. *Agroecosystem Diversity: Reconciling Contemporary Agriculture and Environmental Quality*, 375–388. https://doi.org/10.1016/B978-0-12-811050-8.00024-8
- McDonald, A.J., Keil, A., Srivastava, A., Craufurd, P., Kishore, A., Kumar, V., Paudel, G., Singh, S., Singh, A.K., Sohane, R.K., & Malik, R.K. (2022). Time management governs climate resilience and productivity in the coupled rice–wheat cropping systems of eastern India. *Nature Food*, 3(7), pp.542-551. https://doi.org/10.1038/s43016-022-00549-0
- Montes, C., Sapkota, T., & Singh, B. (2022). Seasonal patterns in rice and wheat residue burning and surface PM2.5 concentration in northern India. *Atmospheric Environment: X, 13,* 100154. https://doi.org/10.1016/j.aeaoa.2022.100154
- Mor, S., Singh, T., Bishnoi, N. R., Bhukal, S., & Ravindra, K. (2022). Understanding seasonal variation in ambient air quality and its relationship with crop residue burning activities in an agrarian state of India. *Environmental Science and Pollution Research, 29*(3), 4145–4158. https://doi.org/10.1007/s11356-021-15631-6
- Nair, M., Bherwani, H., Mirza, S., Anjum, S., & Kumar, R. (2021). Valuing burden of premature mortality attributable to air pollution in major million-plus non-attainment cities of India. *Scientific Reports*, *11*(1), 1–15. https://doi.org/10.1038/s41598-021-02232-z

Central Pollution Control Board (2020, Sept 23). National Ambient Air Quality Status & Trends 2019.

- Pathak, H, Ladha, J. K., Aggarwal, P. K., Peng, S., Das, S., Singh, Y. ... & Gupta, R.K. (2003). Trends of climatic potential and on-farm yields of rice and wheat in the Indo-Gangetic Plains. *Field crops research, 80*(3), 223-234.
- Pathak, H., Singh, R., Bhatia, A., & Jain, N. (2006). Recycling of rice straw to improve wheat yield and soil fertility and reduce atmospheric pollution. *Paddy and Water Environment, 4*(2), 111–117. https://doi.org/10.1007/s10333-006-0038-6

- Raj, D (2018, Sept 12). Stubble becomes burning issue in Patna. *The Telegraph India*. https://www.telegraphindia.com/bihar/stubble-becomes-burning-issue-in-patna/cid/1678215
- R Core Team (2022). R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. https://www.R-project.org/.
- Schroeder, W., Oliva, P., Giglio, L., & Csiszar, I. A. (2014). The New VIIRS 375m active fire detection data product: Algorithm description and initial assessment. *Remote Sensing of Environment, 143*, 85– 96. https://doi.org/10.1016/j.rse.2013.12.008
- Shyamsundar, P., Springer, N. P., Tallis, H., Polasky, S., Jat, M. L., Sidhu, H. S., ... Somanathan, R. (2019). Fields on fire: Alternatives to crop residue burning in India. *Science*, *365*(6453), 536–538. https://doi.org/10.1126/science.aaw4085
- Singh, A. K., Craufurd, P., McDonald, A., Singh, A. K., Kumar, A., Singh, R., ... Malik, R. K. (2019). New Frontiers in Agricultural Extension - Volume 1. https://repository.cimmyt.org/handle/10883/20738
- Spielman, D. J., Ward, P. S., Kolady, D. E., & Ar-Rashid, H. (2017). Public incentives, private investment, and outlooks for hybrid rice in Bangladesh and India. *Applied Economic Perspectives and Policy*, 39(1), 154-176.
- Tilmes, S., Hodzic, A., Emmons, L. K., Mills, M. J., Gettelman, A., Kinnison, D. E., Park, M., Lamarque, J. F., Vitt, F., Shrivastava, M., Campuzano-Jost, P., Jimenez, J. L., & Liu, X. (2019). Climate Forcing and Trends of Organic Aerosols in the Community Earth System Model (CESM2). *Journal of Advances in Modeling Earth Systems*, 11(12), 4323–4351. https://doi.org/10.1029/2019MS001827
- TCI (Tata-Cornell Institute) (2022). Food, Agriculture, and Nutrition in Bihar: Getting to Zero Hunger. Ithaca, NY: TCI.
- World Health Organization. (2021). WHO global air quality guidelines: particulate matter (PM2. 5 and PM10), ozone, nitrogen dioxide, sulfur dioxide and carbon monoxide. World Health Organization.
- Wooster, M. J., Roberts, G., Perry, G. L. W., & Kaufman, Y. J. (2005). Retrieval of biomass combustion rates and totals from fire radiative power observations: FRP derivation and calibration relationships between biomass consumption and fire radiative energy release. *Journal of Geophysical Research: Atmospheres, 110*(D24).