- 1 Title: Rice residue burning trajectories in Eastern India: Current realities, scenarios of change, and
- 2 implications for air quality
- 3 Journal: Environmental Research Letters
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### 22 Abstract:

- 23 In 2019, the Government of India launched the National Clean Air Program (NCAP) to address the
- 24 pervasive problem of poor air quality and the adverse effect on public health. Coordinated efforts to
- 25 prevent agricultural burning of crop residues in Northwestern IGP (Indo-Gangetic Plain) have been
- implemented, but the practice is rapidly expanding into the populous Eastern IGP states, including Bihar,
- 27 with uncertain consequences for regional air quality. This research has three objectives: (1) characterize
- historical rice residue burning trends since 2002 over space and time in Bihar State, (2) project future
- 29 burning trajectories to 2050 under 'business as usual' and alternative scenarios of change, and (3)
- 30 simulate air quality outcomes under each scenario to describe implications for public health. Six future
- 31 burning scenarios were defined as maintenance of the 'status quo' fire extent, area expansion of
- 32 burning at 'business as usual' rates, and a Northwest IGP analogue, of which both current rice yields and
- 33 plausible yield intensification were considered for each case. The Community Earth System Model
- 34 (CESM v2.1.0) was used to characterize the mid-century air quality impacts under each scenario. These
- 35 analyses suggest that contemporary Bihar State burning levels contribute a small daily average
- 36 proportion (8.1%) of the fine particle pollution load (i.e.,  $PM_{2.5}$ , particles < = 2.5  $\mu$ m) during the burning
- 37 months, but up to as much as 62% on the worst of winter days in Bihar's capital region. With a projected
- 38 142% 'business as usual' increase in burned area extent anticipated for 2050, Bihar's capital region may
- 39 experience the equivalent of 30 PM<sub>2.5</sub> additional exceedance days, according to the WHO standard (24-
- 40 hour; exceedance level:  $15 \,\mu g/m^3$ ), due to rice residue burning alone in the October to December

- 41 period. If historical burning trends intensify and Bihar resembles the Northwest States of Punjab and
- 42 Haryana by 2050, 46 days would exceed the WHO standard for PM<sub>2.5</sub> in Bihar's capital region.

### 43 1. Introduction

- 44 Rice residue burning occurs from October to December in the Northwestern Indo-Gangetic Plain (IGP),
- 45 significantly contributing to poor regional air quality conditions during the fall and winter months (Liu et
- 46 al., 2018; Montes, Sapkota, & Singh, 2022; Mor, Singh, Bishnoi, Bhukal, & Ravindra, 2022). In the late fall
- 47 period when regional air quality is at its nadir, rice residue burning contributes to as much as 42% of the
- 48 fine particulate matter (PM<sub>2.5</sub>) the most damaging air pollutant to public health (Bikkina et al., 2019).
- 49 Other estimates for Delhi, suggest that fires contribute a range, depending on the method, of 7.0%–78%
- 50 of the maximum observed PM<sub>2.5</sub> enhancements (i.e., pollution levels above an anthropogenic baseline)
- 51 during the post-monsoon burning season (Cusworth et al., 2018).
- 52 Estimates suggests that PM<sub>2.5</sub> alone causes as many as 16,200 premature deaths annually in New Delhi
- 53 (Guttikunda & Goel, 2013), while also contributing to a host of additional acute and chronic public
- 54 health concerns ranging from respiratory infections to lung cancer (Nair, Bherwani, Mirza, Anjum, &
- 55 Kumar, 2021). Residue burning also increases greenhouse gas emissions while degrading soil health and
- the production potential of agricultural systems (Jain, Bhatia, & Pathak, 2014; Pathak, Singh, Bhatia, &
- 57 Jain, 2006).
- 58 While the practice of rice residue burning is pervasive in the dominant rice-wheat systems of the
- 59 Northwest IGP (Shyamsundar et al., 2019), it is much less common in these same cropping systems in
- 60 the Eastern IGP region of India (i.e., the states of West Bengal, Bihar, and adjacent areas of Uttar
- 61 Pradesh). In the Northwest, factors such as combine harvesting (Kumar, Kumar, & Joshi, 2015; Liu et al.,
- 62 2019), a shortening planting window for wheat, and crop intensification help perpetuate this practice
- 63 (Balwinder-Singh, McDonald, Srivastava, & Gerard, 2019). Despite dedicated efforts to reduce burning
- 64 through a combination of financial incentives and legal sanctions, there is little evidence from the
- 65 Northwest that the practice is receding. Just as worrisome, drivers that have shifted the valorization of
- rice residues from a resource to a waste product in the Northwest may now be emerging in the Eastern
- 67 IGP (Hindustan Times, 2021).
- 68 Given its relatively high rates of rural poverty and capacity for agricultural-led growth, the Eastern IGP is
- a development and food security priority region for the Government of India through initiatives like
- 70 BGREI (Bringing the Green Revolution to Eastern India) that focus on enhancing the productivity of
- agricultural systems through technological change. Program initiatives in Bihar have included efforts
- related to direct seeded rice, zero tillage wheat, distribution of improved seed varieties, and assistance
- for farm machinery and implements (Pathak, Panda, & Nayak, 2019). The densely settled state of Bihar
- is of particular interest with the 2019 census documenting a population exceeding 124 million. With the
- state's capital and largest city, Patna, already among the most air-polluted metropolitan regions in India
- 76 (Nair et al., 2021), a rapid expansion of residue burning may lead to significant consequences for public
- 77 health. At present, Patna PM<sub>2.5</sub> concentrations exceed the daily NAAQS (National Ambient Air Quality
- 78 Standards) threshold around 77% of the winter days (Arif, Kumar, Kumar, Eric, & Gourav, 2018) and
- contributes an estimated 1% to all-cause mortality rates (Nair et al., 2021).
- The Bihar State government is aware of the risks associated with increasing agricultural burning, but the current extent of the practice and plausible scenarios of change have not yet fully informed state

- 82 policies (Raj, 2018). The emergence of burning as a 'locked-in' problem without simple solutions in the
- 83 Northwest IGP highlights the importance of preventative action aimed at avoiding burning in the Eastern
- IGP rather than attempting to reverse the practice only after it is broadly adopted (Downing et al.,
- 85 2022). Technological lock-in is present in many economic sectors, including agricultural (Magrini, Béfort,
- 86 & Nieddu, 2018), and is perpetuated by a series of dependency factors that make the technology
- 87 difficult to disentangle from other dimensions of the system (Geels, 2011). For example, as access to
- 88 mechanization technologies such as combine harvesting expand, burning is often practiced post-harvest
- as a quick and inexpensive method for clearing loose residues that remain in the field. New combine
- 90 users often become 'locked-in' to burning because transitions to the combine have been made and
- 91 there are few economically viable alternatives for residue management. Consequently, burning
- 92 becomes an enabler for broader technological change that is difficult to displace.
- 93 By conceptualizing the practices of burning as a space-time process of technological change, it should be
- 94 possible to make inferences about future spread based on historical patterns. Similar projection models
- 95 have been developed for disease epidemiology and the biogeography of invasive species. The spread of
- 96 burning practices can be conceptualized as having characteristics of epidemic models, where infection
- 97 (i.e. 'adoption') emerges due to proximity, as well as 'influencing factor' models of technological change,
  98 where transitions are triggered by an individual's varying goals and needs independent of proximity
- where transitions are triggered by an individual's varying goals and needs independent of proximity
   (Geroski, 2000). Therefore, this research has three objectives: (1) characterize historical rice residue
- burning trends since 2002 over space and time in Bihar, (2) project future burning trajectories to 2050
- 101 under 'business as usual' and alternative scenarios of change, and (3) simulate air quality outcomes
- 102 under each scenario to describe implications for public health. By employing an integrative assessment
- framework that centers on public health, this study endeavors to provide an evidence base to support
- 104 early action for avoiding pervasive agricultural burning in the Eastern IGP before the practice 'locks in'.

# 105 2. Data and Methods

# 106 2.1. Study area

- 107 This study is situated in Bihar State, India. Located within the Eastern Indo-Gangetic Plain (EIGP), Bihar is
- 108 one of the most densely-settled regions of the country. It is characterized by mixed crop-livestock
- systems, and rice-wheat crop rotations predominate (Erenstein & Thorpe, 2010). The largely rural
- population has some of the highest rates of malnutrition and rural poverty (TCI, 2022), coupled with
- some of the highest crop yield gaps in India (Jain et al., 2017; Pathak et al., 2003; TCI, 2022). Both private
- and public investments are working to close yield gaps through improved agronomic management, such
- as timely wheat planting (McDonald et al., 2022) and expanding the adoption of hybrid rice (Spielman,
- 114 Ward, & Kolady, 2017).

### 115 **2.2. Workflow overview**

- 116 Figure 1 provides an overview of the data and methods used in this study. In Step 1, two satellite-
- derived active fire products were used to characterize the historical patterns of rice residue burning in
- 118 Bihar across space and time. This understanding guided the conceptualization and development of the
- 119 2050 model projecting future rice residue burning to complete Step 1. In Step 2, emissions data were
- scaled according to the results from Step 1 to inform transport modeling of atmospheric pollution.
- 121 Additional details on the workflow are provided in Section 2.4.

DATA	Methods		
MODIS Active Fire Product 2002-2020 1 km resolution VIIRS Active Fire Product 2012-2020 375 m resolution	STEP 1: Quantify space-time patterns of historical burning and develop projection model Model the spatial point process phenomenon		
<b>SAGE-IGP Emissions dataset</b> Daily; 2003-2018 0.25° x 0.25° resolution	STEP 2: Estimate 2050 air quality impacts with atmospheric transport modeling Community Earth System Model (CESM2.1.0)		

- 122
- 123

Figure 1. Schematic of the data and methods used in this study.

#### 124 2.3 Data sources

#### 125 2.3.1. MODIS and VIIRS Active Fire Products

126 Fire observations were assessed with the Moderate Resolution Imaging Spectroradiometer (MODIS) on 127 board the Terra and Aqua satellites, as well as the Visible Infrared Imaging Radiometer Suite (VIIRS) 128 sensor aboard the NASA/NOAA Suomi-National Polar-orbiting Partnership (S-NPP) satellite. Detection of 129 active fires through thermal anomalies products is highly dependent on fire radiative power (FRP -130 radiant energy released per unit time) which, in turn, is sensitive to both the temperature and size of the 131 fire event (Giglio, Schroeder, & Justice, 2016). These factors tend to be proportional to the mass of 132 burning biomass, all other factors ceteris paribus (Wooster et al., 2005). MODIS active fire data is 133 available at 1 km spatial resolution starting from February 2000 (Terra) and June 2002 (Aqua) to present 134 with each satellite having two overpasses daily, with Terra having a morning daytime overpass, and Aqua having an early afternoon daytime overpass (Giglio, Descloitres, Justice, & Kaufman, 2003). MODIS 135 136 data (MCD14ML) from 2002-2020 was used in this study. Launched October 2011, VIIRS has a shorter 137 time-series but higher spatial resolution than MODIS. VIIRS has an approximate 12-hour temporal 138 resolution, with time-series available from January 2012 to present. VIIRS has both 375 m and 750 m fire 139 detection products. The 375 m resolution product has a higher probability of capturing low-intensity 140 agricultural fires due to its finer spatial resolution, i.e., less averaging of fires with surrounding non-fire 141 areas within the pixel (Schroeder, Oliva, Giglio, & Csiszar, 2014). VIIRS data (VNP14IMGTML) from 2012-142 2020 was used in this study. Active fire data was considered only for the months where rice residue 143 burning takes place in Bihar, namely October, November, and December. See Text S1 for additional data 144 processing and smoothing details.

### 145 2.3.2. SAGE-IGP emissions dataset

146 The SAGE-IGP (Survey Constraints on FRP-based Agricultural Fire Emissions in the Indo-Gangetic Plain)

- 147 emission dataset (Liu, Mickley, Singh, Jain, Defries, & Marlier, 2020) was used to estimate fire emissions
- 148 from the IGP from 2003 to 2018 (daily, 0.25° x 0.25° resolution). The dataset provides daily biomass
- burnt which is converted to daily emission (kg m<sup>-2</sup> s<sup>-1</sup>) estimates for black carbon (BC), organic carbon

- 150 (OC), secondary organic aerosol precursor gases, and sulphur dioxide by using the emission factors ((g
- 151 species emitted) (kg biomass consumed)<sup>-1</sup>), as explained in Andreae (2019). The SAGE-IGP inventory is
- 152 based on MODIS FRP and uses a combination of finer spatial resolution VIIRS fire radiative power (FRP),
- 153 household interviews of current burning practices, crop statistics, cloud/haze gap-fill, and ground and
- 154 satellite-based measurements of aerosols to provide the most complete estimation of agricultural fire
- activity across the IGP. The OC + BC emissions from SAGE-IGP was found to average 3.4 times (min: 0.6;
- max: 6.6) higher than other global fire emissions inventories, including GFASv1.2, GFEDv4s, FEERv1.0-
- 157 G1.2, FINNv1.5, and QFEDv2.5r1 (Liu et al., 2020).

# 158 **2.3.3. Atmospheric concentrations of particulates**

- 159 Daily averaged atmospheric concentrations of PM<sub>2.5</sub> collected by the India System of Air Quality and
- 160 Weather Forecasting and Research (SAFAR) at three ground observation stations in and around Patna:
- 161 central Patna (IGSC Planetarium; 2015-2017), about 100 km south of Patna (Gaya; 2016-2017), and
- about 70 km north of Patna (Muzaffarpur, 2016-2017) were used for this study (Table S1).

# 163 2.4 Modeling

# 164 **2.4.1. STEP 1: Methods to quantify space-time patterns of historical burning and projection modeling**

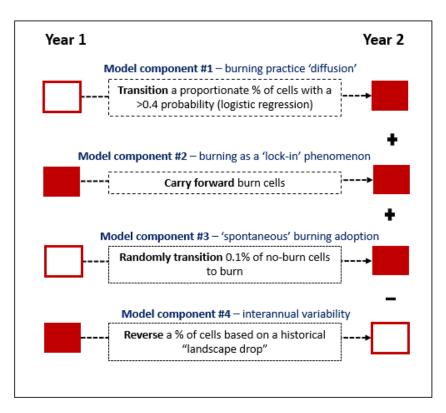
# 165 **2.4.1.1.** Quantifying space-time patterns of historical burning

- 166 Spatio-temporal methods were used to quantify historical burning in Bihar using the R Project for
- 167 Statistical Computing (R Core Team, 2022). To quantify the increase in burning over time, total annual
- 168 fire counts and FRP were examined using the most temporally-resolved active fire product, MODIS, from
- 169 2002 to 2020. To examine the expansion of fires across space, the most spatially-resolved active fire
- 170 product, VIIRS, was used with data from 2012 to 2020 to conduct kernel density estimation (KDE), where
- each point (i.e., center of a grid cell) consisted of a time and location of a remotely sensed fire event.
- 172 KDE increased our understanding of where burning hotspots occurred historically, in the expectation
- 173 that our future emission projection model would represent these hotspots appropriately and spread
- 174 beyond the hotspots reasonably.

# 175 **2.4.1.2. Fire projection model development**

- 176 A grid-based model was developed with four components (rules) to reflect four distinct processes (Text
- 177 S2). First, the temporal spread of burning was modelled as a social diffusion process by estimating new
- areas that may adopt the practice in a given year, based on spatial neighborhood characteristics (i.e.,
- 179 proximal burning) in prior years. Second, existing burning was assumed to continue in subsequent years,
- 180 given the 'lock-in' nature of this practice. Third, a probability approach was used to characterize the
- 181 emergence of burning in areas that are not proximate to burning in previous years, as farmers
- 182 throughout the state may decide to adopt this practice even if not proximate to farmers who already
- burn their residues. This captures adoption of the practice in as-yet unaffected areas and is by its nature
- random from the point of view of the modeler's knowledge. Lastly, reversals each year out of the
- potential burning areas, as assessed by the previous steps, are estimated with a separate probability
- 186 term to reflect year-to-year stochasticity in the use of the practice. These four factors enabled the
- 187 empirical model to represent spatial dependency, the interannual variability of burning, and a random
- dimension of burning adoption. Projections were created by repeating these steps each year until 2050.

That is, the final projected 2021 raster was then used to develop the 2022 raster and so forth until 2050(Figure 2).



191

Figure 2. Visual description of the fire projection model development. The future emission model carries
 forward burning patterns from the prior year and generates a set of gridded projections based on
 diffusion processes (i.e., those governed by spatial proximity), random occurrences, and expected
 patterns of interannual variability.

196

197 2.4.2. STEP 2: Methods to estimate 2050 air quality impacts with atmospheric transport modeling

# 198 2.4.2.1. Scenarios of change

199 In addition to a present day scenario, six realistic future scenarios were defined to gauge a range of 200 plausible burning outcomes by 2050, including: (1) maintenance of the 'status quo' with fire spatial 201 extent retained at present-day levels (2013-2017) as the counterfactual scenario, (2) rice yield 202 intensification (i.e. more straw biomass production) paired with contemporary fire extent [+75.6% of 203 2013-2017 fire intensity], (3) area expansion of burning at 'business as usual' rates of increase as 204 estimated from the projection model [+142% of 2013-2017 fire areal extent], (4) rice yield intensification 205 with area expansion of burning at 'business as usual' rates, (5) Northwest IGP analogue assuming that 206 Bihar burning transitions accelerate to resemble contemporary areal extent in Punjab State [+933.7% of 207 2013-2017 fire areal extent], and (6) rice yield intensification with the Northwest IGP analogue.

208 Rice yield intensification is expected to result in increased emissions when a field is burned due to the 209 assumed linear relationship between FRP and crop residue biomass (Wooster et al., 2005). As such, the 210 intensification value [+75.6% of 2013-2017 fire intensity] was derived from the percent difference 211 between the 2020 median MODIS FRP and top 25% of the median 2020 MODIS FRP. We acknowledge 212 that FRP is a combined term and varying factors exist, such as the moisture of residue when burned. Yet, 213 this estimation uses the assumption that the yields of most productive rice farmers in our area of 214 interest serve as a suitable yield intensification target by mid-century for this change scenario. The 215 'business as usual' scenario [+142% of 2013-2017 fire areal extent] was derived from the 2050 216 projection exercise as the percent change from 2020. The Northwest IGP analogue [+933.7% of 2013-217 2017 fire areal extent] was derived from the household survey findings of Liu et al. (2020). They found 218 that in the Punjab, 82% of farmers burned their rice residue in 2016 and 53% did so in 2017. Using the 219 average of these values (67.5%), we then used the present cropland mask of Bihar to calculate the

- equivalent of 67.5% of Bihar rice cropland being burned. All scenarios included future anthropogenic
- 221 emissions projections as described by SSP585.

222

### 223 2.4.2.2. Estimating 2050 air quality impacts with atmospheric transport modeling

This study used the Community Earth System Model version 2.1 (CESM2.1.0) (Danabasoglu et al., 2020)
 (see Text S3). Paired simulations were run for each scenario: one with fires from Bihar and one without

fires from Bihar. This allows the impact of Bihar fires on pollution levels to be isolated from the

difference in each paired simulation scenario. Present day simulations were conducted from 2012 to

228 2017 inclusive, to account for the interannual variability of the burning phenomenon. Future simulations

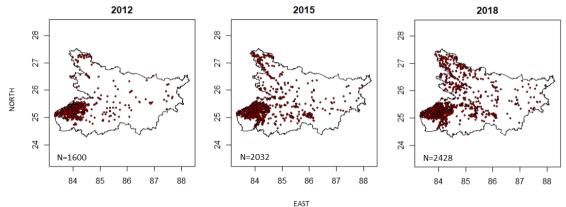
- were simulated using emission projections from 2047 to 2052 inclusive but using meteorology for 2012
- to 2017 to isolate impacts of emissions. In all simulations the first year was discarded as spin up and the
- 231 last five years used for analysis. Future anthropogenic emissions followed the Shared Socioeconomic
- Pathway (SSP) 585 scenario projections (Gidden et al., 2019). These projections are a set of pathways
- 233 designed for projecting future emissions in the IPCC (Intergovernmental Panel on Climate Change)
- framework (O'Neill et al., 2016). To generate these emissions trajectories, Integrated Assessment
- 235 Models are employed and based on spatial socioeconomic narratives and the chosen level of climate
- 236 mitigation. In this study, we used the high climate warming scenario SSP585 to estimate future
- anthropogenic emissions. Under the SSP585 pathway, black carbon emissions are reduced globally by
- 238 2050, and relative to other projections, SSP585 represents the median (i.e., not high or low) change
- 239 (Gidden et al., 2019).
- 240 Due to a large uncertainty in how fire emissions will evolve over coming decades and to isolate the
- 241 impact of future land use management on mid-century Bihar fire emissions, fire emissions outside of
- Bihar are held at present day levels for all scenarios (i.e., outside Bihar 2012 to 2017 daily fires were
- used as input to the simulations for 2047 to 2052). As dust and sea salt are prognostic natural emissions
- that vary as a function of wind speed, their emissions are matched in each scenario. Thus, the difference
- in atmospheric composition at mid-century compared to present day is a combination of changes to
- anthropogenic emissions and the projection of land use management for Bihar in each scenario.
- 247 2.4.2.3. Model Correction Factor

- 248 We evaluated the model's capability of reproducing daily PM<sub>2.5</sub> data from ground observations within
- the same model grid cell as Patna (Table S1). Initial visual evaluation of the time-series revealed that the
- 250 model significantly underestimated PM<sub>2.5</sub> during the Bihar crop burning months. With the y-intercept at
- zero, the coefficients (2.17 and 11.66) of a multiple linear regression model were used as multiplicative
- correction factors to the emissions without fires (i.e., including all other (non-agricultural burning)
- anthropogenic emissions sources) and fire emissions only (i.e., excluding all other anthropogenic
- emissions sources), respectively, in the three months of interest (October to December). We assumed
- that this bias would propagate through time and thus applied this correction factor to all future Bihar
- 256 scenario model outputs (Figure S1).

### 257 **3. Results**

# 258 **3.1 STEP 1: Quantifying space-time patterns of historical burning and projection modeling**

- 259 The number of post-monsoon crop fires is on the rise in Bihar. From visual evaluation of VIIRS fires in
- 260 2012, 2015, 2018 (Figure 3), burning is expanding eastward, as well as becoming more common in the
- southwest and northwest regions. Also revealed is the rise of 'spontaneous' (i.e., non-diffusion, not
- spatially-correlated) fire events throughout the eastern part of the state. The naïve nature of this spatial
- analysis does not provide insights into the underlying drivers of burning diffusion processes nor the
- 264 emergence of burning in new regions but does provide the basis for empirical projection modeling by
- assuming future patterns will mirror historical dynamics of change.



#### 266

Figure 3. VIIRS active fire observations in 2012, 2015, and 2018 reveal spatially dependent expansion
 eastwardly across the state, increased density in western areas, and new 'random' burning activities in
 the east .

270 Kernel density estimation of fire observations in 2020 showed that the highest contemporary fire 271 density was in the southwest corner of the state (Figure S2). This region of the state is largely 272 characterized by the most intensive rice-wheat cropping systems, the largest farm sizes, and a growing 273 adoption of agricultural mechanization and combine harvesting (Singh et al., 2019). Figures 4 and 5 274 show the results of the projecting the future emissions across time and space to 2050 under a 275 continuation of 'business as usual' burning pattern. Under this scenario, fires are projected to increase 276 142% by 2050 (Figure 4). While Bihar had an overall increase in the number of fires from 2002 to 2020, it 277 is important to note the considerable interannual variability. Further research is needed to explore the

- drivers behind these landscape-scale drops in fires, such as in 2011, 2016, and 2019, as these could be
- 279 related to environmental, social, or political factors, such as the inability for combines to enter wet fields
- some years, higher demand for rice straw as a livestock fodder, or increased enforcement of no-burn
- 281 regulation. This interannual variability with an overarching increasing trend is reflected in the
- forecasting model from 2021 to 2050.

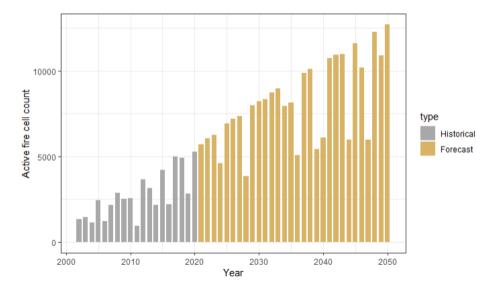
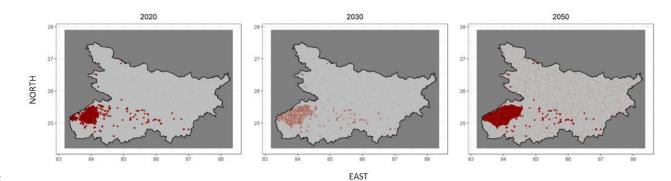




Figure 4. A single realization of the annual active fire count predictions of the 2050 emission projection
 model.

286 Figure 5 displays spatial predictions of burning in 2030 and 2050 at maximum potential burning extent 287 (i.e., no landscape-level drops in those realizations of the model). Spatially, the forecasting model shows 288 a 'burning frontier' expanding from the southwest area of the state eastward. As the model predictions 289 advance through time, the no-burn areas within the primary southwest hotspot transition to a largely 290 homogeneous burning landscape by 2050. The model shows new, isolated burning hotspots emerging 291 across the state that then expand with time. We cannot be certain where these new areas will be, as 292 this model assumes homogeneity of drivers across the state. The produced maps mirror historical fire 293 dynamics and should be viewed as an approximation of what conditions may evolve if observed change 294 dynamics persist.



295

- 296 Figure 5. Projected potential burning extent in 2030 and 2050 with 'business as usual' change patterns.
- 297 Red cells represent an area where rice residue burning is present. The total number of burn cells is
- 298 increasing over time (see Figure 4) but spatially, the short-term reversals make the 2030 map lighter in
- color than 2020 and 2050, because the southwest hotspot has a higher fire area density to reverse. By
- 300 *mid-century, the model shows a more homogeneous landscape of burning in the southwest corner.*

### 301 **3.2.** STEP 2: Estimating 2050 air quality impacts with atmospheric transport modeling

- 302 Step 2 completes Objectives 2 and 3 by describing alternative scenarios of change to 2050 along with 303 associated public health burdens as summarized by daily cumulative PM<sub>2.5</sub> levels. Table 1 defines the
- associated public health burdens as summarized by daily cumulative PM<sub>2.5</sub> levels. Table 1 defines the
   daily average PM<sub>2.5</sub> exposure from Bihar fires only and all anthropogenic sources, the fraction of total
- $PM_{2.5}$  exposure derived from Bihar rice residue burning, the October to December cumulative  $PM_{2.5}$
- 306 exposure, and total exceedance days in Patna due to rice burning only under two different standards.

Future (2050) Scenarios	Daily average PM <sub>2.5</sub> exposure from Bihar fires only (µg/m <sup>3</sup> )	Daily average PM <sub>2.5</sub> exposure from all anthropogen ic sources (µg/m <sup>3</sup> )	Fraction of PM <sub>2.5</sub> derived from Bihar fires (%)	Exceedance days in Patna (out of 92 days in Oct-Dec) due to Bihar rice residue burning	
				WHO AQG (15 μg/m³)	Indian NAAQS (60 μg/m³)
1- No change	15.4	144.0	10.7	22.2	7.2
2- No change + crop yield intensification	26.8	155.4	17.2	27.2	13.8
3- BAU	36.8	165.4	22.2	30.2	17.0
4- BAU + crop yield intensification	63.5	192.1	33.1	37.6	23.0
5- NW Analogue	155.6	284.2	54.8	44.4	30.4
6- NW Analogue + crop yield intensification	182.3	310.9	58.6	46.2	32.8

307 Table 1. Mid-century PM<sub>2.5</sub> exposure and exceedance days predictions for Patna.

308

### 309 **3.2.1. Present day air quality burden in Patna**

310 In aggregate, currently rice residue fires contribute a small portion of Patna's total PM<sub>2.5</sub> emissions

311 compared to other anthropogenic pollution sources, with the present day modeled daily average of

8.1% (October-December; 2013-2017), with 16.2 μg/m<sup>3</sup> out of 200.3 μg/m<sup>3</sup> derived from Bihar rice

residue fires. However, westwardly winds from the most pervasive burning area in the state make the

- population of the Patna metropolitan area more vulnerable to acute events when emissions from
- burning are concentrated and air quality is at its nadir. As such, our results indicate that Bihar-derived
- rice residue burning can contribute to as much as 62% of the PM<sub>2.5</sub> exposure on the most extreme day.

#### 317 3.2.2. 2050 projected air quality burden in Patna

- Atmospheric transport model results indicated about a 28% drop in PM<sub>2.5</sub> emissions mid-century from
- 319 present day levels in the capital region of Bihar due to cuts in anthropogenic emissions assumed under
- the SSP585. In addition to examining the results of each scenario in terms of PM<sub>2.5</sub> derived from Bihar
- 321 rice burning and total anthropogenic PM<sub>2.5</sub>, we compared the results against two air quality standards
- 322 for 24-hour PM<sub>2.5</sub>, the Indian NAAQS and the more ambitious WHO AQG, similar to the work of
- 223 Chowdhury et al. (2019). The Indian NAAQS threshold for 24-hour PM<sub>2.5</sub> is 60 μg/m<sup>3</sup> (Central Pollution
- 324 Control Board, 2020) and the WHO AQG is 15  $\mu$ g/m<sup>3</sup> (World Health Organization, 2021).
- 325 The first 2050 scenario, with burning remaining at present day levels, resulted in an average daily PM<sub>2.5</sub>
- exposure of 144.0 μg/m<sup>3</sup>, but given that burning levels did not change and yet background
- 327 anthropogenic PM<sub>2.5</sub> exposure decreased, the fraction of pollution derived from Bihar burning increased
- from the present day (8.1%) to mid-century (10.7%). However, the number of exceedance days due to
- burning alone was nearly the same for the first scenario as in the present day, with roughly 7
- exceedance days above the NAAQS standard and roughly 22 exceedance days above the WHO standard
- 331 (five season average). Figure 6 shows the number of days that rice residue burning alone would cause
- Patna to be over PM<sub>2.5</sub> air quality thresholds. Using both standards, all future scenarios would expect to
- exceed the thresholds when considering rice residue burning as the only emission source, with the
- 334 'worst case' scenario resulting in 46 days exceedance (annual seasonal average out of 92 days) according
- to the WHO AQG standard.
- 336 In all future scenarios, when all anthropogenic sources of PM<sub>2.5</sub> were included, each scenario was above
- the WHO standard for all 92 days in October to December and 84-85 days above the NAAQS standard. It
- is important to note that all scenarios accounted for future anthropogenic emissions projections, but
- crop residue fires across the IGP not including Bihar were held static due to the available SAGE-IGP
- 340 fire data. Given the historical rising trend of burning, the 'business as usual' scenario had an expected
- 341 142% PM<sub>2.5</sub> increase due to burning compared to the future no change scenario. The NW analogue and
- the NW analogue plus intensification had a 910% and 1084% expected increase in PM<sub>2.5</sub> due to fires only
- 343 over the future no change scenario.

PM<sub>2.5</sub> exceedance days due to rice residue burning 40 Days (October-December) 30 Threshold WHO AQG (15 µg/m<sup>3</sup>) 20 Indian NAAQS (60 µg/m<sup>3</sup>) 10 0 No change + Int NW Analogue + Int BAU + Int NW Analogue No change BAU

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Figure 6: Expected number of seasonal average PM<sub>2.5</sub> exceedance days in Patna due to Bihar rice
 burning only in 2050 using the different future emission scenarios.

2050 Scenarios

347

### 348 3. Discussion

349 While the presence of rice residue burning in Bihar is still far less pervasive than in the Northwest IGP,

an examination of nearly two decades of active fire data indicated an alarming increase of burning

across space and time in the state. From visual examination of fire count time-series, the past two

decades have been characterized by substantial interannual, seasonal and daily variability. Additional

353 research is needed to explore this interannual fluctuation and to characterize the effect of policy

354 changes or technological interventions across several production years.

As with other agricultural burning research findings (Montes et al., 2022), we found strong spatial

dependence of fire events between consecutive years. We found that once burning begins in an area,

357 the practice will likely continue to expand in that area. This implies that policies must be put in place to

358 *prevent* farmers from adopting burning practices in the first place since reversing these practices once

359 started has proven extremely difficult in other regions such as the Northwest IGP (Shyamsundar et al.,

- 360 2019).
- 361 The scenario analysis provided a snapshot of plausible mid-century rice burning and associated air

362 quality outcomes given various development trajectories. When considering all current anthropogenic

363 sources, the average fraction of PM<sub>2.5</sub> as a result of burning is small. However, this study provided clear

364 evidence that residue burning is contributing to these values, and when atmospheric conditions are it is

nadir, the contributions of Bihar-based burning to acute air quality events is concerning. Patna is

- 366 already listed as one of India's non-attainment cities and experiences annual average PM<sub>2.5</sub>
- 367 concentration levels as high as or higher than Delhi some years (e.g. 2017) (Nair et al., 2021). There is an
- 368 urgent need to not only halt the progression of burning but address present day burning, with particular

prioritization of the west and southwest areas of Bihar, to achieve current day air quality goals outlined
by the National Clean Air Program of the Indian Government.

Future air quality scenarios present a grim picture if burning intensity continues to increase. By 2050,

- our model assumes a decrease in overall anthropogenic emissions (following SSP585). Unfortunately,
- 373 continuation of burning will counter some of the projected progress made in other sectors, such as
- 374 manufacturing and transportation. If the rising 'business as usual' trend of the past two decades
- 375 continues, we forecast higher  $PM_{2.5}$  concentration levels resulting in more exceedance days in terms of
- both WHO and NAAQS standards. In the 'worse case' scenario, particularly when coupled with crop
   intensification, our analysis suggested that a month and a half (i.e., 46 days) of PM<sub>2.5</sub> exceedance days
- above the WHO AQG would result due to burning emissions alone. Exceeding WHO AQG and Indian
- 379 NAAQS thresholds would result in an expansive rise of PM<sub>2.5</sub> related health concerns including
- 380 cardiovascular disease, respiratory disease and lung cancer (Chen & Hoek, 2020).

381 This study has several limitations. Firstly, remote sensing products (i.e., MODIS and VIIRS) have 382 limitations in detecting short-lived agricultural fires, as they are unable to capture fires that occur outside the satellite overpass times. Given that burning in Bihar happens later than in Punjab and 383 384 Haryana, the resulting pollution, combined with increased cloud cover and fog during the winter 385 months, may hinder satellite detection of Bihar fires. Given that SAGE-IGP heavily relies on satellite detection, this could have led to underestimations in modeled PM<sub>2.5</sub>, which were later corrected using 386 387 measured PM<sub>2.5</sub> values. Secondly, in addition to air quality impacts of burning in Bihar, strong westerly winds could exacerbate acute air pollution events in Patna due to PM<sub>2.5</sub> originating from the Northwest 388 389 IGP region. However, our study did not quantify the specific impact of Northwest IGP burning on air 390 quality in Patna. Thirdly, our approach to future projections is empirical and reflects past trends at an 391 aggregated state level. District-level trends can vary significantly and are beyond the scope of this paper. 392 Future research should aim to understand the farm-level and landscape drivers of burning that are 393 context-specific to Eastern India ecologies. By incorporating this information, the ability to robustly 394 predict changes in pollutant concentrations at the district level would enhance our understanding of the 395 air quality implications not only in Patna but also in other locations within the state. Finally, future 396 studies could explore how increasing agricultural emissions from Bihar may impact other pollutants, 397 such as tropospheric ozone concentrations, which are also influenced by fire aerosol and gas emissions.

#### 398 4. Conclusions

399 In the context of systems agronomy for global development, there have been limited examples of ex 400 ante research which seeks to understand and address a problem before it fully materializes. This work 401 represents the first comprehensive effort to characterize current rice residue burning trends in the 402 Eastern IGP and to anticipate different development trajectories. Through a naïve point pattern 403 forecasting approach coupled with the scenario outputs derived from the Community Earth System 404 Model version 2.1 (CESM2.1.0), we characterize the spatial nature of the phenomenon, the current 405 trends across historical time starting in 2002, and the air quality implications mid-century if the 406 progression of burning is not stopped. The air quality impact of burning at present levels can be easily 407 overlooked, yet the growing trend and the peak damage potential should be at the forefront of policy 408 conversations at the agriculture and public health nexus. Without creative and urgent interventions to

- stop burning, the mid-century reality could result in an extensive winter air quality crisis, particularly forthe residents of Patna.
- 411 **Declaration of Competing Interest**
- 412 The authors report no declarations of interest.

#### 413 Acknowledgements

- 414 We acknowledge funding from [*double-blind review*]. Simulations were conducted at the National
- 415 Center for Atmospheric Research (Computational and Information Systems Laboratory, 2019). We
- acknowledge the help of the Indian air quality data available from India System of Air Quality and
- 417 Weather Forecasting and Research (SAFAR).

#### 418 Data availability

- 419 SAGE-IGP data are available from Harvard Dataverse at https://doi.org/10.7910/DVN/JUMXOL.
- 420 Daily averaged atmospheric concentrations of PM<sub>2.5</sub> data are available at
- 421 https://app.cpcbccr.com/ccr/#/caaqm-dashboard-all/caaqm-landing/data.

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