

1 This manuscript has not been peer-reviewed and is presently in  
2 submission at Earth Science Review

3 **Plastic as a Sediment – A Universal and Objective practical**  
4 **solution to growing ambiguity in plastic litter classification**  
5 **schemes**

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22

## 23 **Abstract**

24 There is a universal and growing challenge of ambiguity in plastic classification schemes,  
25 which affects the predictability of plastic accumulation in all environments world-wide. Plastic  
26 pollution is an ever-growing global issue, and understanding plastic items and their  
27 sedimentological relationship is a solution to this increasing concern. Definitions of micro-  
28 meso- and macro- plastic is inconsistent between studies, as are categories of plastic, and  
29 the properties recorded. This is understandable because every project has a different  
30 objective, but the consequence is that different studies are not laterally relatable. It is widely  
31 agreed that as a community, we need a system that has room for specialism of study but  
32 has an objective basis that can allow for inter-project and inter-disciplinary collaborations. By  
33 considering plastic as a sediment, we can outline an objective and quantifiable classification  
34 scheme that builds on the principles of sedimentology for use in plastic studies, such that we  
35 can better understand why plastics accumulate where they do. This is importantly not just  
36 another classification scheme, but a philosophically grounded solution to a long-standing  
37 challenge that is set to be of increasing significance. Additionally, whilst these advances may  
38 be of immediate usefulness to the scientist interested in plastic transport and accumulation,  
39 the environmental scientist or biologist will find that these philosophies and classification  
40 scheme will aid to quantitatively support and compliment aligning data. Through this, our  
41 new plastic and sediment environment can be further understood both spatially and  
42 temporally, and connected to other studies. We outline the key philosophies of  
43 sedimentology and use these to: i) unify and define plastic size classification from nano-  
44 scale to mega- and introduce giga- scale; ii) we outline a shape classification that can tackle  
45 simple through to complex shapes; iii) we discuss and demonstrate the importance of total  
46 density over polymer density; and iv) we discuss the importance of material properties. In  
47 using this classification scheme, we can relate any plastic item to any other item, and to itself  
48 over time. This manuscript contains a summary and worksheet that can be used in the field  
49 or in a laboratory to utilise this scheme and present objectively comparable results. We are  
50 confident that the philosophies presented here will be of use to the plastic research  
51 community, such that we can integrate plastic studies with longstanding and deeply  
52 understood sedimentological knowledge, thereby enhancing our understanding of plastic  
53 routing and accumulation in the environment.

## 54 **Introduction**

55 Plastics, or synthetic polymers, are extremely versatile materials that are commonly  
56 synthesized from fossil hydrocarbons (Thompson *et al.*, 2009), and designed to meet various  
57 product requirements for many purposes worldwide (Nkwachukwu *et al.*, 2013). The last  
58 decades have seen the rising popularity of plastic lead to an exponential increase in global  
59 production of approximately 9,200 million tons of plastics between 1950 to 2017, an  
60 estimated 5,300 million tons of which has been discarded and may enter the environment if  
61 mismanaged (Geyer *et al.*, 2017; Borrelle *et al.*, 2020; Geyer, 2020; UNEP, 2021)(Geyer *et al.*  
62 *et al.*, 2017; Geyer, 2020; UNEP, 2021). Unfortunately, on a global perspective,  
63 mismanagement of plastic is common and plastic litter has been found in almost every  
64 terrestrial and marine environment on Earth (e.g. Andrady, 2011; Zylstra, 2013; Eriksen *et al.*  
65 *et al.*, 2014; Pham *et al.*, 2014; Wagner *et al.*, 2014; Woodall *et al.*, 2014; Peeken *et al.*, 2018;  
66 Allen *et al.*, 2019; Bergmann *et al.*, 2019; Meijer *et al.*, 2021). This is concerning because  
67 there is growing evidence for ecological harm from plastics, (e.g. Wright *et al.*, 2013; Cole *et al.*  
68 *et al.*, 2015; Gall & Thompson, 2015; Kühn *et al.*, 2015; Lusher *et al.*, 2015; Bakir *et al.*, 2016;  
69 Wang *et al.*, 2016; Galloway *et al.*, 2017) and many plastics are designed to be long-lasting,  
70 so items in the environment may persist for up to thousands of years (Gregory & Andrady,  
71 2003; Chamas *et al.*, 2020; Turner *et al.*, 2021). Consequently, plastics and its residuals  
72 have become a ubiquitous component of natural environments and will likely turn into an  
73 integral element of the depositional record of the Anthropocene, hence posing substantial  
74 ecotoxicological, structural, and environmental risks to be faced by future generations  
75 (Waters *et al.*, 2016; Zalasiewicz *et al.*, 2016; Rillig *et al.*, 2021).

## 76 **Inconsistent approaches and definitions**

77 To better assess global ecotoxicological risks, a number of studies have focused on  
78 identifying main pollution sources and estimated global plastic waste budgets as well as  
79 potential sinks for plastics in natural environments (Pruter, 1987; Browne *et al.*, 2011;  
80 Eriksen *et al.*, 2014; Woodall *et al.*, 2014; Jambeck *et al.*, 2015; van Sebille *et al.*, 2015;  
81 Geyer *et al.*, 2017; Koelmans *et al.*, 2017; Lebreton *et al.*, 2017, 2019; Lau *et al.*, 2020).  
82 However, the terminology, classification, and techniques used to describe plastic litter in  
83 studies of plastic pollution lacks consistency (Hidalgo-Ruz *et al.*, 2012; Filella, 2015; Van  
84 Cauwenberghe *et al.*, 2015; Hartmann *et al.*, 2019). This is a widely recognized challenge  
85 and there have been many calls for harmonization from macro litter classification studies  
86 (Vriend *et al.*, 2020) through to soil studies (Weber *et al.*, 2022). In particular, size classes  
87 have been extensively critiqued and Hartmann *et al.* (2019) shows that there are more than  
88 15 different size schemes used across various studies. Such discrepancies have come  
89 about because different studies have had varying requirements and may have been

90 discipline- or case-specific, from marine life toxicology (e.g. Arthur *et al.*, 2009; Bermúdez  
91 and Swarenski 2021) to aerial microfibre dispersal (e.g. Dris *et al.*, 2016; Allen *et al.*, 2019),  
92 so the focus, definitions, and techniques have varied accordingly. These inconsistencies  
93 lead to challenges with evaluating correlations and relatability of one study to another,  
94 thereby limiting many studies to discipline-restricted, regional, or case specific  
95 methodologies or classifications (e.g., OSPAR, 2010; Van Emmerik *et al.*, 2020). Even within  
96 internally consistent studies, the findings differ depending on if the item classification is  
97 executed via item category, item material, or item function (Vriend *et al.*, 2020). A unified  
98 approach would contribute to a universal perception of global plastic pollution and its  
99 consequences (Hartmann *et al.*, 2019; Kooi & Koelmans, 2019; Hapich *et al.*, 2022), with  
100 advantages on a multi-disciplinary and multi-regional scale (van Calcar & van Emmerik,  
101 2019). These advances are critical for better predicting the environmental behavior of plastic  
102 and the global distribution of plastic litter (e.g. Enders *et al.*, 2019; Filella, 2015).

### 103 **Plastic and sediment**

104 A plastic item is commonly classified by its size and polymer type, but if the item is larger or  
105 indeed more distinguishable, it is typically classified based on its recognized prior function,  
106 such as “nurdle”, “bottle”, or “balloon”. Yet, using an item’s name as its primary descriptor  
107 has limited use when seeking to understand its hydromechanics, as a “bottle” may be any  
108 number of differing sizes and properties (Vriend *et al.*, 2020), particularly when related to  
109 international studies where manufacturing may be different. Additionally, a Polyethylene  
110 terephthalate (PET) bottle with its lid on full of air will float, but with its lid off, it may collect  
111 water or sediment and sink, yet these “status” descriptors are missing in most studies.  
112 Lastly, it is very common for plastic studies to have at least one miscellaneous “bucket”  
113 category such as “unidentifiable”, “film”, or “fragment”, which is ambiguous as these broad  
114 categories can contain significant variability. Also, plastic disintegration is happening by  
115 degradation and fragmentation all the time both through natural processes and mechanical  
116 disintegration through waste management (Ragaert *et al.*, 2017). Therefore, the proportion  
117 of global plastic that is classified as “unidentifiable” is ever increasing and already too  
118 common for further insight in most cases. A central component of the challenge is how to  
119 describe plastic, either as an individual item, or as a component of a natural system.  
120 Sedimentology already bridges the gap of how to understand and connect particles and  
121 landscapes, therefore, in this paper, we recommend accepting and reframing plastic items  
122 as sediment particles as it opens a wealth of possibilities provided by the well-structured and  
123 rigorously tested framework in the discipline of sedimentology. Importantly, this will not be  
124 just another classification scheme, but a philosophically grounded solution to a long-standing

125 challenge that is widely understood to be of increasing significance. This approach will begin  
126 a paradigm shift in thinking to connect human-made materials and impacts back into natural  
127 systems.

128

129 The core strength of sedimentology lies in its understanding of the physical parameters that  
130 are drivers of the cause-and-effect chain of processes through an environment, which is  
131 underpinned by fundamental physics (e.g., Reading, 1996 and references therein), and more  
132 recently, modelling techniques (Ara Rahman & Chakrabarty, 2020). Sedimentology is formed  
133 on a quantitative and consistent framework that includes well established schemes for the  
134 classification of sediments, such as descriptions of size and shape of individual sediment  
135 grains, and the statistical properties of grain-size distributions (Wentworth, 1922; Passega,  
136 1957; Boggs, 2009). Such complexities may be organized at different scales, from grains to  
137 the context and evolution of a sedimentary system (e.g., aeolian, riverine, or marine  
138 environments). Sedimentology considers both the transport and deposition of sediment, as  
139 well as the deposit itself, meaning that the origin and future of a sediment can be assessed  
140 at any point on its route, enabling long-term processes and trends of a grain or landscape to  
141 be interpreted. Therefore by studying the composition and architecture of the resulting  
142 sedimentary deposits on Earth and beyond, we can understand and predict the cause and  
143 effect processes, and driving mechanisms of past and prospective future sedimentary  
144 landscapes (Collinson *et al.*, 2006 and references therein).

145

146 In soil description for field surveys, plastics are considered as “synthetic solids” and  
147 described by their abundance, colour, size, composition, hardness, and weathering (FAO,  
148 2006), but this is insufficient as plastic is more complex than natural sediment (Weber *et al.*,  
149 2022). Natural sediment is composed of comparatively simpler and more consistent particles  
150 (i.e., mainly natural minerals), whereas the far greater range of complexity seen in plastic  
151 particles presents challenges to the adaptations needed to overcome in applying  
152 sedimentological principles to plastics. The fundamental framework for particle motion is  
153 universally applicable and may be related across engineered and natural materials (Enders  
154 *et al.*, 2019), therefore by centring the classification on properties that are known to impact  
155 particle motion in natural systems, we can build a sedimentologically based understanding of  
156 plastic as sediment. We openly note that there are limitations in the predictability of plastic  
157 behaviour using these principles (Chubarenko *et al.*, 2018; Khatmullina & Chubarenko,  
158 2019; Waldschläger & Schüttrumpf, 2019a), however, it is a good first step to use and adapt  
159 sediment transport models to predict the relative deposition of sediment and plastic particles  
160 because there is extensive existing knowledge in sedimentology that can be connected (e.g.,  
161 Enders *et al.*, 2019). Additionally, microplastics of different sizes and densities are found in

162 different sedimentary environments, indicating that their transport and accumulation relate to  
163 the sedimentary environments (Hidalgo-Ruz *et al.*, 2012).

164

165 In this paper, we use the framework and philosophies of sedimentology to create inter-  
166 disciplinary and international harmony in the community's approach for understanding plastic  
167 particles, through creating a future-proofed, quantitative, and unambiguous classification  
168 methodology. To achieve this, we focus on the size, shape, density, and material properties  
169 of plastic to account for variability and complexity of plastic morphology and behavior. Such  
170 insights will lead to a clearer understanding of the short and long-term consequences of  
171 plastic in the environment, i.e., how it will accumulate, fragment, and transform as it routes  
172 through different environments from its source to its terminal resting place. This classification  
173 will provide a foundational descriptive tool for scientists of all disciplines and provide better  
174 interconnectedness of individual studies by fulfilling the requirements to: i) prioritize  
175 description over interpretation, as even if we know that an item is a bottle, it can be of any  
176 shape and size and in any state of fragmentation; ii) consider the state of the plastic particle  
177 as naturally found in relation to its surrounding environment; and iii) streamline the  
178 significance of observations when determining the particle transport-mechanics that the  
179 particle may display. All such descriptors will remove relativity in predictive models, so that  
180 environmental monitoring studies can be more targeted and, allow researchers to undertake  
181 representative sampling and provide consistency across disciplines and latitudes (Kane &  
182 Fildani, 2021; Waldschläger *et al.*, 2022).

## 183 **Background**

### 184 **Sedimentology, sediments, and sediment transport**

185 In its classic sense, sedimentology is the study of sediment movement and accumulation in  
186 the environment. Knowledge of sedimentary processes has been refined with continued  
187 success in their essential roles in hydrocarbon exploration, as well as in risk assessments of  
188 natural hazards and in estimating global carbon dioxide (CO<sub>2</sub>) budgets (Pettingill, 2004;  
189 Jakob, 2005; Galy *et al.*, 2007; Hage *et al.*, 2020). Most processes considered in  
190 sedimentological transport and deposition are driven by Newton's laws of motion and may  
191 be explained with available fluid dynamics models. These models can predict which grains  
192 will be mobilised at a certain flow velocity by a fluid, such as air or water in a given  
193 environment (e.g. Hjulström, 1936; Shields, 1936; Bagnold, 1979), e.g., through knowing the  
194 velocity of a flow, and the particle's characteristics, these models can inform if sediment in a

195 river would be transported on the riverbed or as suspended load in the water column  
196 (Hjulström, 1936; Shields, 1936).

197 Based on these principles, sedimentologists can largely predict the type of transport for  
198 sediments under specific flow conditions, where specific types of sediment are likely to be  
199 deposited, the shape of the space that the deposit will take up, and how that may change  
200 over time (Allen, 1965; van Rijn, 1993; Reading, 1996). The scale of application of these  
201 principles is such that sedimentologists consider the source to sink system, whereby the  
202 sediment is eroded from the landscape and transported to an ultimate sink, or terminal  
203 resting place, such as the deep ocean. Additionally, the modelled principles also work in  
204 reverse whereby the internal structure of sediment deposits, or the depositional architecture  
205 in a sedimentary environment, provide insights into flow characteristics and sedimentation  
206 processes that formed the deposits (Allen, 1971, 1985; Collinson *et al.*, 2006). Critically,  
207 sedimentology has a strong temporal context, therefore its principles can aid understanding  
208 far into both the past and into the future, e.g., the premise that a grain will break down into  
209 smaller grains, and the rate will depend on many factors including mineral hardness and  
210 environment. Therefore, sedimentological principles and techniques apply to both recent  
211 deposits in terrestrial and aquatic environments, as well as to ancient, often million years old  
212 deposits in the sedimentary rock record (Reading, 1996; Mutti *et al.*, 2009).

### 213 *Sediment classification schemes*

214 Sediment classification schemes have been developed to highlight the important aspects of  
215 a particle in the environment that will influence, and in total determine, its hydrological  
216 behaviour over time and space. The term “natural sediment” encompasses an enormous  
217 variety of minerals and other solid materials forming the texture and fabric (i.e., grain type  
218 and arrangement) of the sediment or sedimentary rock. Here, we focus on schemes that  
219 have been developed to describe and classify siliciclastic sediments that are mainly  
220 composed of minerals and rock fragments and classified by the grain size, grain shape, and  
221 fabric (e.g., Boggs, 2009), as they are the most directly relatable to plastic particles, hence  
222 contain the most adaptable components. Natural siliciclastic sediments are commonly made  
223 of minerals, such as quartz or feldspar, and fragments of eroded rock known as lithic clasts.  
224 The combined composition of individual particles describes the properties and texture of a  
225 sediment and allow for interpretation of the history of particle transport, whilst predicting its  
226 movement into the future.

227 Density

228 The most common natural particles to be considered in sediment transport processes are  
 229 quartz, clay (such as Montmorillonite and Kaolinite), and biologically created particles such  
 230 as calcite. The density of quartz is 2.65 g/cm<sup>3</sup>, Montmorillonite 1.7-2.0 g/cm<sup>3</sup>, and Kaolinite  
 231 2.16-2.68 g/cm<sup>3</sup>. Biologically created particles are commonly organic matter (0.9-1.3 g/cm<sup>3</sup>)  
 232 and calcite (2.71 g/cm<sup>3</sup>) (Duda & Rejl, 1990), which are also abundant in some systems  
 233 where wood, algal debris, corals, and bivalves may be abundant. Quartz is one of the most  
 234 abundant minerals on the Earth's surface, so sedimentology is most well understood with  
 235 one dominant density and minimum variety therein, within which most grain-to-grain  
 236 interactions are mutually impactful on the grains themselves.

237 Grain size

238 A definable, quantified grainsize, i.e., the minimum or maximum diagonal axis diameter or  
 239 the intermediate value of a grain (Krumbein, 1941) is described by the average grainsize of  
 240 the sediment. The Udden-Wentworth scale is a widely used grain-size scale that  
 241 encompasses the entire range of sizes seen in the natural world (Udden, 1914; Wentworth,  
 242 1922) (Fig. 1), and is the dominant approach for describing lithified sediment. In this scale,  
 243 sizes are categorised into the Wentworth size classes that are delimited by integers of Phi  
 244 ( $\Phi$ ), a logarithmic grain-size scale to base 2. Thus, the size boundary of each Wentworth  
 245 size class is twice as large as the preceding class.

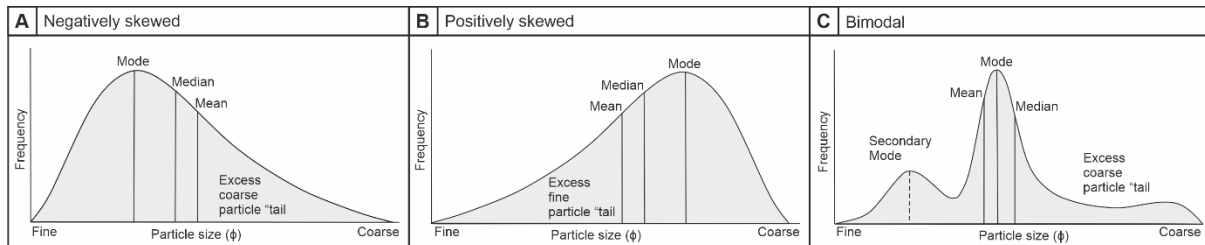
Millimeters (mm)	Micrometers ( $\mu\text{m}$ )	Phi ( $\Phi$ )	Wentworth size classes	Rock type
4096		-12.0	Boulder	Conglomerate/ Breccia
256		-8.0	Cobble	
64		-6.0	Pebble	
4		-2.0	Granule	
2.00		-1.0	Very coarse sand	
1.00		0.0	Coarse sand	Sandstone
1/2	0.50	1.0	Medium sand	
1/4	0.25	2.0	Fine sand	
1/8	0.125	3.0	Very fine sand	
1/16	0.0625	4.0	Coarse silt	
1/32	0.031	5.0	Medium silt	Siltstone
1/64	0.0156	6.0	Fine silt	
1/128	0.0078	7.0	Very fine silt	
1/256	0.0039	8.0	Clay	
	0.00006	14.0		Claystone

246

247 Figure 1 – The Udden-Wentworth scale for the size classification of natural sediments (modified from  
 248 (Wentworth, 1922).



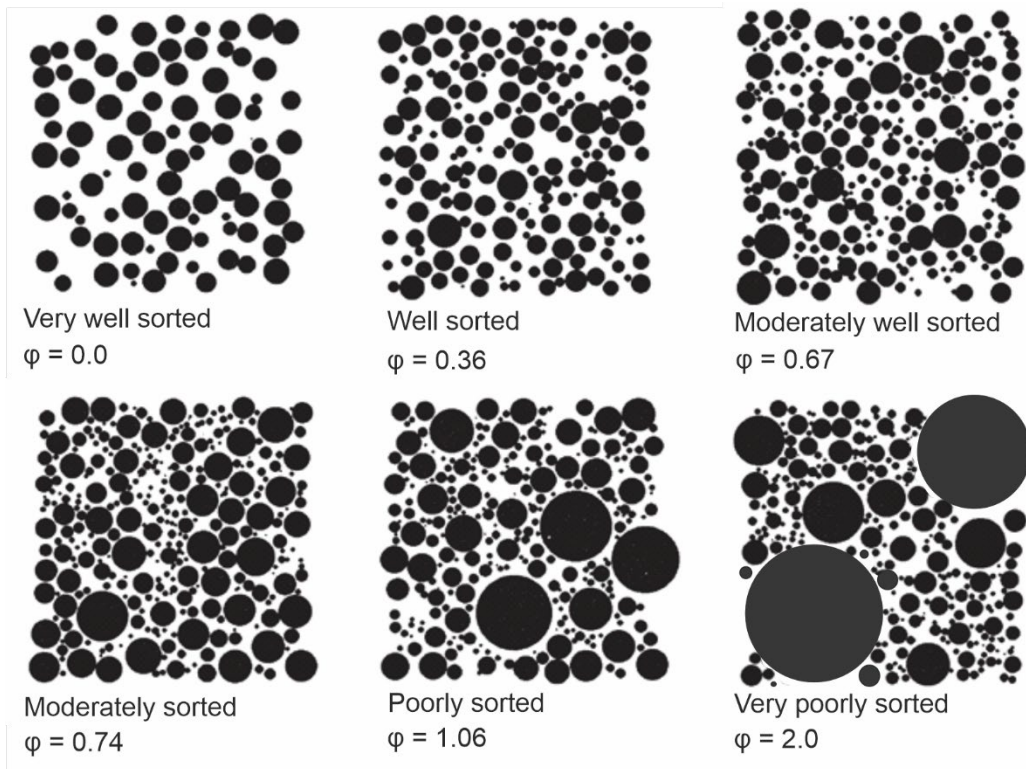
249 Unconsolidated sediments or sedimentary rocks are generally composed of grains or clasts  
 250 of various sizes, such that the grain size of a sediment texture is best represented by a  
 251 distribution of grain sizes rather than by a single value. These distributions are commonly  
 252 visualised in histograms by either plotting the individual volume percent of each grain-size  
 253 class or the cumulative volume percent of the grain sizes (Fig. 2).



254  
 255 Figure 2 – Visualised histogram grainsize distribution curves showing A) Negative skew; B) Positive  
 256 skew; and C) bimodal distributions.

257 Based on the visualised histogram distribution, various grain-size parameters can be  
 258 calculated that are useful to further characterise the texture of the sediment (see review by  
 259 Boggs, 2009):

- 260 1) the **mode** represents the most frequently occurring grain-size class, and the median  
 261 represent the 50<sup>th</sup> percentile of the cumulative distribution curve (e.g., d<sub>50</sub>) and is  
 262 widely used in sedimentology to communicate the overall sediment grain size (Fig.  
 263 2).
- 264 2) the **sorting** of the sediment is defined as the Phi standard deviation of the mean  
 265 grain-size value and describes the magnitude of the spread of grains sizes within the  
 266 grain-size distribution. The sorting parameter is categorised into 6 classes ranging  
 267 from very well sorted to very poorly sorted (Inman, 1952; Folk, 1968; Jerram, 2001)  
 268 (Fig. 3).
- 269 3) the **skewness** describes the degree of symmetry of the distribution curve on the  
 270 histogram, whereby a positively skewed grain-size distribution is dominantly fine  
 271 grained and a negatively skewed distribution is dominantly coarse grained (Inman,  
 272 1952; Folk & Ward, 1957; Folk, 1968) – (Fig. 2).

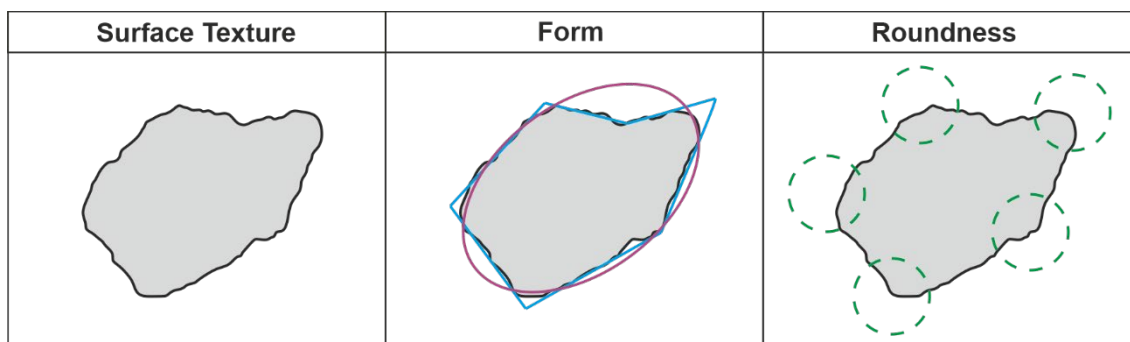


273

274 Figure 3 – Visualisation of sediment textures with different grain-size sorting parameters. Modified from (Jerram,  
275 2001)

276 [Grain shape](#)

277 The shape is defined by three key properties: surface texture, form, and roundness (Barrett,  
278 1980 and references therein) – (Fig. 4).



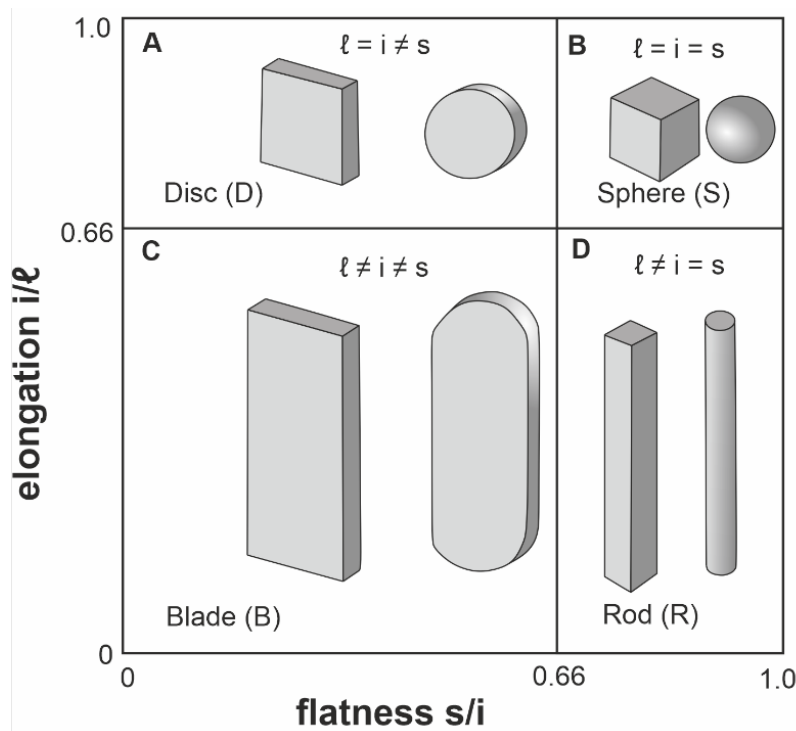
279

280 Figure 4 – Grain shape definition based on form, roundness, and surface texture. Modified from (Barrett, 1980)

281 1) The **surface texture** describes the microrelief on the surface of the grain such as  
282 scratches and cavities (Krinsley & Doornkamp, 1973; Mahaney, 2002), which are in  
283 the micrometre scale so commonly examined by microscopy techniques. More than  
284 40 specific types of surface textures have been described such as V-shaped etch  
285 pits, grooves or scratches, conchoidal fractures and abrasion features (Mahaney,

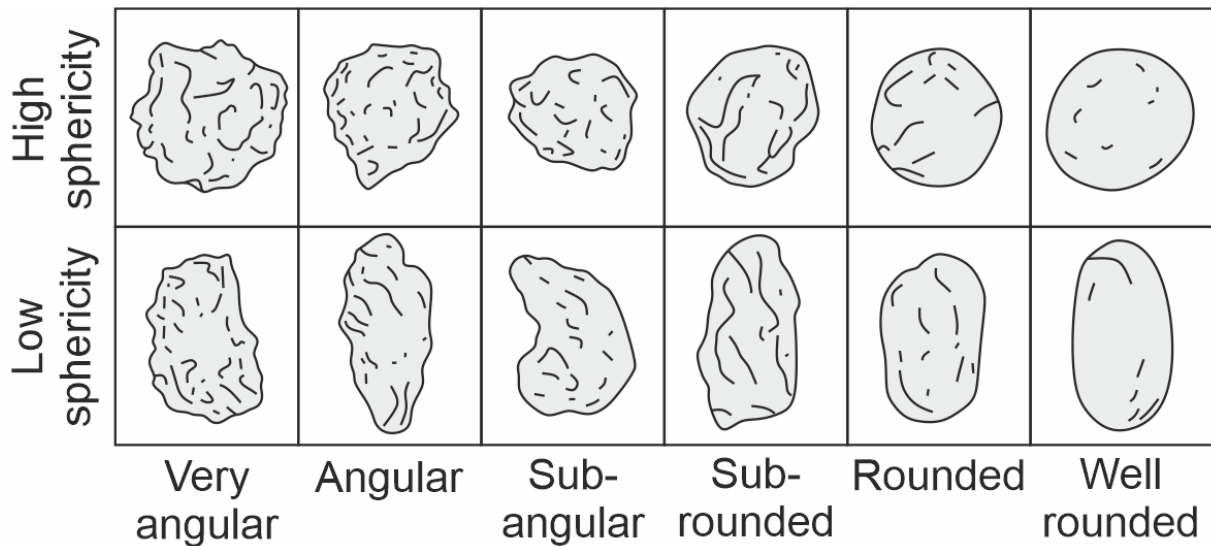
286 2002; Boggs, 2009). Most of these features are created by grain-to-grain interaction  
 287 or collisions during transport or by abrasion through wind and water (Jackson &  
 288 West-Thomas, 1994; Mahaney, 2002 and references therein).

289 2) The **form** of a grain is most widely described using the simple and illustrative scheme  
 290 proposed by Zingg (1935). It uses the elongation (ratio of the intermediate (i) to long  
 291 grain axis (l)) and the flatness (ratio of the short (S) to intermediate grain axis) as  
 292 plotted in Fig. 5, hence each shape is classified as either a disc, sphere, blade, or  
 293 rod.



294 Figure 5 – A grain shape classification after (Zingg, 1935). Four different grain forms are identified based of the  
 295 relation of the grain axes.  
 296

297 3) Grain **roundness** describes the sharpness of the edges and corners of a grain and is  
 298 independent of the grain form or surface texture. One of the most widely used  
 299 roundness scale schemes today was introduced by Powers in 1953, and is a further  
 300 development of previous roundness classification schemes (e.g., Wadell, 1935;  
 301 Russell & Taylor, 1937; Pettijohn, 1949). In Powers' scheme, six different grain  
 302 roundness classes are defined ranging from very angular to well rounded (Fig. 6).



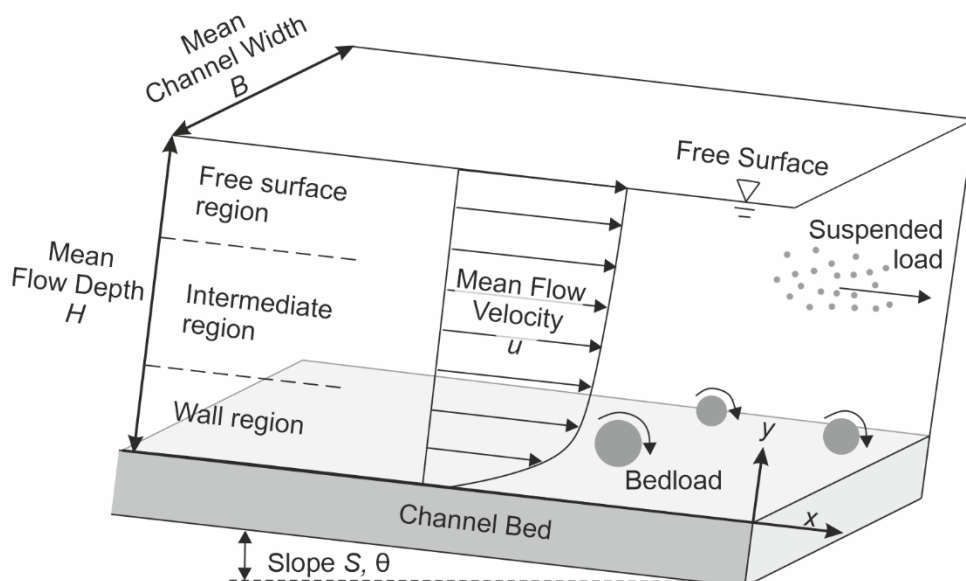
303

304 Figure 6 - Roundness classification scheme after Powers (1953). Roundness is independent of grain form and  
 305 divided into six classes ranging from very angular to well rounded.

306 *Basic principles of sediment transport*

307 Flowing air, ice, and water exert forces over sediment particles and may be strong enough to  
 308 transport them. Although the specific aspects of transport may be different in each  
 309 environment, their motions are based on the same physical principles. In this section, we  
 310 describe them in the context of fluvial environments.

311 Sediment transport may occur via two main modes: bedload (moving along the bed or  
 312 substrate by saltating, sliding, or rolling), or suspended load (moving away from the bed and  
 313 not interacting with it e.g., in the water column of a river). The properties of the particles and  
 314 the strength of the forces acting upon them, dictate the transport mode.



315

316 Figure 7 – A figure to show basic processes that act in a flowing system such as a river (modified  
317 from Earl *et al.*, (2014)).

318 Consider a river reach, with mean flow depth  $H$ , mean channel width  $B$ , mean bed slope  $S$ ,  
319 and mean flow velocity  $U$ . These variables are used to calculate the reach-averaged near-  
320 bed shear stress  $\tau_b$ , i.e., the tangential force per unit bed area acting on the particles:

$$321 \quad \tau_b = \rho_f g H S \quad (\text{Eq. 1})$$

322 Where  $\rho_f$  = fluid density and  $g$  = gravitational acceleration. The bed shear stress may be  
323 used to calculate the shear velocity  $u_*$ :

$$324 \quad u_* = \sqrt{\tau_b / \rho_f} \quad (\text{Eq. 2})$$

325 These variables describe the flow intensity and its ability to transport sediment particles  
326 (Shields, 1936; van Rijn, 1993). Shields (1936) conducted a set of experiments to quantify  
327 the conditions for incipient motion for natural sediment particles, and famously established a  
328 dimensionless parameter known as the Shields number, which is given as:

$$329 \quad \tau_c^* = \frac{\tau_{bc}}{\rho_f g R D} \quad (\text{Eq. 3})$$

330 where  $R$  = submerged specific gravity of the sediment particle (Eq. 4),  $D$  = characteristic  
331 particle size (typically expressed as a grain diameter, and the subscript 'c' denotes critical  
332 and refers to the shear stress value above which a particle would start moving (incipient  
333 motion). It has long been recognized that particle shape also affects its mobility and recent  
334 work has provided new insights into its implications (e.g., Cassel *et al.*, 2021; Deal *et al.*,  
335 2023). To account for grain shape effects in bed load sediment mobility, Deal *et al.*, (2023)  
336 introduced a shape-corrected Shields number  $(C^*/\mu^*)\tau_c^*$  where  $C^*$  is an effective drag  
337 coefficient, and  $\mu^*$  is an average bulk friction coefficient. This modified Shields number also  
338 applies when treating plastic as a sediment. The submerged specific gravity of a particle (Eq.  
339 4) is a key variable controlling its mobility and buoyancy.

$$340 \quad R = \frac{\rho_s - \rho_f}{\rho_f} \quad (\text{Eq. 4})$$

341 Where  $\rho_s$  = sediment density. Particles with  $R < 0$  float on the surface of the fluid, whereas  
342 particles with  $R > 0$  sink. The rate at which they sink depends on their settling velocity and  
343 the ambient fluid conditions. The size, density, and shape of a particle all affect how fast it  
344 settles in quiescent clear water, i.e., its settling velocity  $v_s$  (e.g., Ferguson & Church, 2004).  
345 In flowing water, the ratio between the settling velocity and the shear velocity dictates if a  
346 particle is transported by the flow near the bed or in suspension; this may be quantified using  
347 the Rouse number (Rouse, 1937) expressed as:

348 
$$P = \frac{v_s}{\kappa u_*} \quad (Eq. 5)$$

349 Where  $\kappa$  = von Karman constant ( $\kappa = 0.41$ ). If  $P > 2.5$  the particles travel as bedload and for  
350 smaller values, the particles travel in suspension.

### 351 **Plastic litter and transport of plastic**

352 Research on plastic pollution stemming from several disciplines, does not offer a universal  
353 and connected approach to describe plastic litter (Hartmann *et al.*, 2019). However, there  
354 are fundamental underlying themes within the varying approaches that relate to the  
355 physicality of the particle, which are density, size, and shape. Since these are also used in  
356 sedimentology, they will aid our deeper understanding of plastic as a sediment particle, and  
357 form the focus of the following section. We recognize that there is significant additional  
358 research on plastic properties, but our goal is to find a set of simple connected themes that  
359 can form a common framework for further detail to be added where relevant to the study at  
360 hand. In addition, we provide recommendations on the language and word choice where  
361 required, for example, terms such as “ensemble”, “garbage patch”, or “community” have  
362 been used to describe where multiple plastic items accumulate (Khatmullina & Chubarenko,  
363 2019), but here we use “accumulation” as it is objective, more broadly applicable and is  
364 widely used in sedimentology.

#### 365 *Plastic litter classification schemes*

366 Much of the challenge in determining a consistent language and classification of plastic  
367 materials stems from the diversity, and complexity of their morphology and properties  
368 (GESAMP, 2015; SAPEA, 2019). Per definition, plastics are materials containing as an  
369 essential ingredient a high polymer, which is a macromolecule, composed of many repeating  
370 subunits (i.e., monomers). The most commonly used synthetic polymers – also known as the  
371 “Big Six” of plastics –, are polyester (PET), high- and low-density polyethylene (HDPE,  
372 LDPE), polyvinylchloride (PVC), polypropylene (PP), and polystyrene (PS) (PlasticsEurope,  
373 2020). Apart from these commodity polymers, however, the categorisation of materials to the  
374 term plastics is in dispute and also varies across different scientific disciplines (Hartmann *et al.*  
375 *et al.*, 2019). Rubber for example, is not considered as plastic according to some chemistry  
376 polymer definitions (International Organization for Standardization, 2013); nonetheless,  
377 environmental scientists argue to categorise tyre particles as plastics, because modern tyres  
378 are mainly made of synthetic rubbers that are comparable to plastics (Kole *et al.*, 2017; Halle  
379 *et al.*, 2020; Knight *et al.*, 2020). Such discrepancies in the definition of materials as plastics  
380 have also been raised by Hartmann *et al.* (2019), who consequently proposed three defining  
381 criteria, namely: (I) chemical composition, (II) solid state, and (III) solubility (see their Table

382 1). For this paper the plastic material definition by Hartmann et al. (2020) is adopted, and  
383 plastic is defined according to those three criteria.

384

385 Once an item has been identified as plastic and allocated to a specific group of polymers, it  
386 is typically further assessed by its size (e.g. micro or microplastic), shape (e.g. fragment or  
387 fibre), and if possible, origin (e.g. primary or secondary) (e.g., Wagner *et al.*, 2014; Hartmann  
388 *et al.*, 2019). However, in contrast to the above-mentioned standardised classifications  
389 schemes that have been developed to describe natural sediments, the scientific disciplines  
390 describing and categorizing plastic litter mainly lack such standardised definitions (e.g.  
391 Provencher *et al.*, 2020). Consequently, size and shape classes as well as nomenclature  
392 used in publications on plastic pollution are either not defined at all, or contrasting schemes  
393 are used throughout different studies, making a comparison of results challenging (Filella,  
394 2015; Burns & Boxall, 2018; Hartmann *et al.*, 2019; Provencher *et al.*, 2020).

#### 395 [Polymer density](#)

396 The most common plastic particles range in density from 0.92 g/cm<sup>3</sup> to 1.5 g/cm<sup>3</sup> (Table 1 –  
397 modified from (Harris, 2020), and there are more plastics that exhibit an even greater range  
398 of density besides these. The dominant types of plastic particle (Table 1) are used in a vast  
399 and increasing number of products, from plastic bags to clothing and carpets. As such, these  
400 are the most available plastic types, such that much plastic modelling and experimentation  
401 has so far developed around the properties of these particles (Chubarenko *et al.*, 2018;  
402 Waldschläger & Schüttrumpf, 2019b; Russell *et al.*, 2023).

Density g/cm <sup>3</sup>	Chemical name	Common example
0.92	Polypropylene (PP)	Bottle caps, rope
0.95	Polyethylene (PE)	Plastic bags
1.01-1.09	Polystyrene (FPS)	Floats, containers
1.15	Polyamide (Nylon)	Fishing nets, clothing
1.24	Cellulose acetate	Cigarette filters
1.3	Polyvinyl chloride (PVC)	Plastic film
1.35	Polyester	Clothing
1.39	Polyethylene terephthalate (PET)	Plastic bottles, carpet, clothing
1.5	Rayon	Clothing

403 Table 1 – Density, chemical names, and examples of common plastic types (modified from Harris  
404 (2020).

405

#### 406 [Plastic litter particle size](#)

407 The first size classification scheme was introduced by Gregory & Andrady, (2003) who  
408 introduced the terms macro-, meso-, and microlitter to describe and classify anthropic  
409 marine debris. The size boundaries of these classes were based on mesh sizes of



410 commonly used sieves and encompassed plastic items in the size range of 63µm (0.63 mm)  
411 to 15cm (150 mm); although the proposed size scale did not include items between (0.500  
412 mm) to 5 mm (Gregory & Andrady, 2003). Later studies adapted the terminology to macro-,  
413 meso-, and microplastics and extended it at the lower and upper ends by nano- and  
414 megaplastics respectively. This terminology represents the generally established  
415 nomenclature for plastic size classes (e.g., Thompson *et al.*, 2004; Browne *et al.*, 2007;  
416 Moore, 2008; Arthur *et al.*, 2009; GESAMP, 2015; Hartmann *et al.*, 2019). However, despite  
417 the general consensus on the nomenclature, there still exists no standardised or generally  
418 accepted definition on the size boundaries of the different size classes (Filella, 2015; Burns  
419 & Boxall, 2018; Chubarenko *et al.*, 2018; Hartmann *et al.*, 2019) (Figure 9). The reasons for  
420 the use and establishment of multiple size schemes in plastic research are not clear, but  
421 likely a combination of size boundaries tailored to specific research topics – such as ability of  
422 specific organisms to ingest it (Bermúdez & Swarzenski, 2021), or detection limitations due  
423 to mesh sizes (Arthur *et al.*, 2009; Chubarenko *et al.*, 2018), random adaptation of size  
424 boundaries proposed or used in previous studies, or application of more advanced  
425 technology to detect plastics (Materić *et al.*, 2022). Consequently, more than 15 different  
426 size classification schemes have been proposed and established over the past two decades,  
427 and size definitions remain ambiguous and conflicting (e.g., Hartmann *et al.*, 2019;  
428 Provencher *et al.*, 2020). Smaller plastic scales have been more heavily disputed as there is  
429 a larger body of work in micro- and nano plastics due to the immediate ecotoxicological  
430 concerns. For instance, the upper size boundary of nanoplastics varies between 100 nm to  
431 335 µm, the upper boundary of microplastics between 0.5 to 5 mm (with 5mm being the  
432 most frequent used definition), the upper boundary of mesoplastics (if this class is selected  
433 and defined in the scheme) between 10 to 25 mm, and the upper boundary of macroplastics  
434 (if boundary defined) between 15 to 100 cm (Fig. 9). Where studies define mega plastic, the  
435 lower boundary may be as small as 50 cm (The Ocean Cleanup, 2022), and if an upper  
436 boundary is defined, it may be 200 cm (Bermúdez & Swarzenski, 2021). Consequently, the  
437 comparison of size distribution of environmental plastic litter across different studies might  
438 be challenging – if not impossible, if no specific size boundaries are provided.

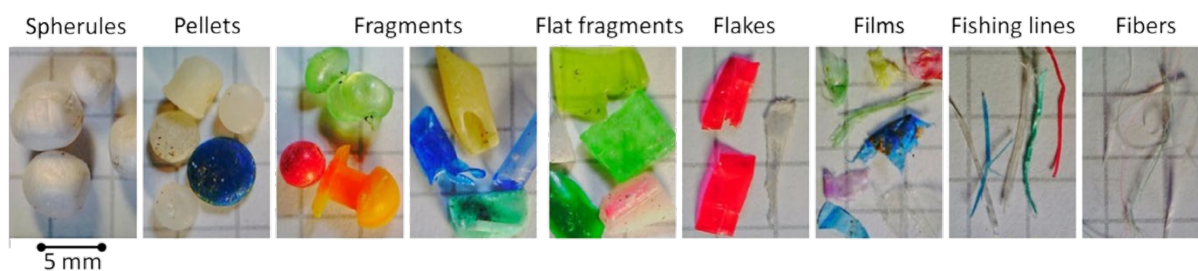
#### 439 [Plastic litter particle shape classification](#)

440 Plastic products have been designed for multiple applications and exhibit a large variety of  
441 complex shapes (Nkwachukwu *et al.*, 2013); and accordingly the shape variety of plastic  
442 litter items reveal a similar complexity. Plastic items in the environment will break down into  
443 smaller pieces due to abrasion and fragmentation, or change their shape and surface  
444 structure due to biological, photic or chemical degradation (Corcoran *et al.*, 2009; Andrady,  
445 2015; Chubarenko *et al.*, 2018), so there is an infinite spectrum of shape possibilities.  
446 Presumably because of this complexity, a universal shape description scheme to grasp the



447 full spectrum of shape varieties of plastic litter has not been developed yet, and – similar to  
448 the size classification – no standardized and commonly accepted shape classification  
449 scheme exists. Shapes of plastic items may be generalised into their dominant dimensions,  
450 i.e., quasi – one-, two-, and three-dimensional shapes, which describe fibres, flakes, and  
451 spheres in context (Chubarenko *et al.*, 2016). Shapes are substantial because each  
452 dominant dimension shape type settles differently in different flow regimes (Francalanci *et*  
453 *al.*, 2021).

454  
455 Many of the plastic items encountered in the environment – in particular macroplastics – may  
456 be identified as distinct goods, and therefore their shape is typically described as such (i.e.  
457 plastic bottle), rather than on the basis of their geometrical shape (e.g. OSPAR Commission  
458 2010; van Emmerick *et al.*, 2020; Hapich *et al.*, 2022). If plastic items cannot be identified, or  
459 if they are too small, they are typically described using a nomenclature that has been  
460 established over the past decades, consisting of fragments, granules, pellets or nurdles,  
461 spheres or spherules, beads, foams, filaments, fibres, films, and flakes (Hidalgo-Ruz *et al.*,  
462 2012; European Commission, 2013; Zhang *et al.*, 2017; Chubarenko *et al.*, 2018; Hartmann  
463 *et al.*, 2019; Rochman *et al.*, 2019) (Fig. 8). In addition, some of the shapes may have more  
464 specific descriptors. For example, fragments may be round, subround, angular, subangular,  
465 twisted, or curled; and pellets may be cylindrical, disks, flat, ovoid, or spheroids (Hidalgo-Ruz  
466 *et al.*, 2012; Rochman *et al.*, 2019). Further, the general appearance of plastic items may be  
467 specified by adjectives such as: irregular, elongated, degraded, rough, and with broken  
468 edges (Hidalgo-Ruz *et al.*, 2012). However, as noted by (Hartmann *et al.*, 2019), some of  
469 these shape descriptors are used interchangeably (e.g. pellets, nurdles, speres, beads), or  
470 their definition is ambiguous and subjective.



472 Figure 8 – Examples of different microplastic shapes. After (Chubarenko *et al.*, 2018).

### 473 *Motion and transport of plastic items*

474 Plastic behaviour has been extensively theorised and reviewed (Chubarenko & Stepanova,  
475 2017; Chubarenko *et al.*, 2018; Enders *et al.*, 2019; Hoellein *et al.*, 2019; Khatmullina &  
476 Chubarenko, 2019; Lechthaler *et al.*, 2020; Waldschläger *et al.*, 2022), and the transport  
477 dynamics of plastics have been studied for many years (Ballent *et al.*, 2012, 2013;

478 Chubarenko *et al.*, 2016; Horton & Dixon, 2018). Bedload transport relations have even been  
479 developed and tested with plastic materials in addition to sediment (e.g., Fernandez Luque,  
480 R., & van Beek, 1976) but have not been evaluated when both materials are mixed. Despite  
481 plastics being increasingly recognised to behave differently to natural sediments  
482 (Khatmullina & Chubarenko, 2019), the underpinning physics are the same (Enders *et al.*,  
483 2019), and valuable predictive capacities exist (Hidalgo-Ruz *et al.*, 2012; Kane & Fildani,  
484 2021; Waldschläger *et al.*, 2022). Therefore understanding the relationship of plastic to  
485 sediment is a critical interim step on the way to fully understanding the independent  
486 dynamics of plastic, such that many studies seek to find advantageous correlations that can  
487 open connectiveness in understanding.

488 Probably the most fundamental parameter to determine the transport behaviour of plastic is  
489 the settling velocity which can be determined experimentally (Kowalski *et al.*, 2016;  
490 Khatmullina & Isachenko, 2017; Waldschläger & Schüttrumpf, 2019b; Waldschläger *et al.*,  
491 2020; Van Melkebeke *et al.*, 2020; De Leo *et al.*, 2021; Zhang & Choi, 2021; Francalanci *et*  
492 *al.*, 2021; Khatmullina & Chubarenko, 2021; Choi *et al.*, 2022; Kuizenga *et al.*, 2022; Mendrik  
493 *et al.*, 2023). Additionally, many laboratory experiments in flume tanks have been  
494 undertaken under different flow conditions (Waldschläger & Schüttrumpf, 2019a; Alsina *et*  
495 *al.*, 2020; Pohl *et al.*, 2020; Bell *et al.*, 2021; Russell *et al.*, 2023). Such experiments find that  
496 whilst classic settling equations are able to accurately predict the settling velocity of simple  
497 microplastic shapes like spheres and cylinders, they do not provide an accurate prediction  
498 for more complex shapes such as fibres or films (Khatmullina & Isachenko, 2017;  
499 Waldschläger & Schüttrumpf, 2019b; Mendrik *et al.*, 2023). Additionally, research has shown  
500 that the settling velocity of microplastics can be significantly influenced by factors such as  
501 secondary motions (Khatmullina & Chubarenko, 2019; Zhang & Choi, 2021) and biofouling  
502 (Van Melkebeke *et al.*, 2020; Waldschläger *et al.*, 2020; Mendrik *et al.*, 2023). Laboratory  
503 experiments in flume tanks show that when plastic is on its own, i.e., plastic particles are  
504 only interacting with other plastic particles of similar properties, it behaves just like sediment  
505 and can contribute to understanding sediment bedload equations (Fernandez Luque, R., &  
506 van Beek, 1976). However, when plastic and sediment are mixed, transport relations  
507 become different as plastic and sediment have different densities and their interactions are  
508 affected by the relative buoyancy of both particle types, leading to differences in particle  
509 mobility. Such differences range from plastic exhibiting a wider range of movement than  
510 natural sediment (e.g., Alsina *et al.*, 2020), to the scale of accumulations varying on a  
511 bedform scale (e.g., Russell *et al.*, 2023).

512 Studies are increasingly finding that the importance of different plastic characteristics on its  
513 behaviour, differs depending on the study, which can be distilled to the plastic particle  
514 density, size, shape, and particle properties. For example: i) shape seems to affect the  
515 settling of a particle more than small variations in size (Khatmullina & Isachenko, 2017;  
516 Mendrik *et al.*, 2023); ii) if a plastic particle floats, its size and density does not meaningfully  
517 influence the rate at which wave action will aid it drifting to shore (Alsina *et al.*, 2020); iii)  
518 fibres may be entrained and deposited at markedly different thresholds than natural  
519 sediment (Waldschläger & Schüttrumpf, 2019a), and likely to be deposited by being pushed  
520 into the deposit by settling sediment grains (Pohl *et al.*, 2020), which is novel to  
521 sedimentology; and iv) elongate shapes have a different impact than spheres on erosion  
522 from bedforms (Russell *et al.*, 2023).

523

524 To create a connected understanding of these findings, which can be input into models, the  
525 plastic needs to be categorized consistently because if items are characterised by material  
526 or how it was functional, it changes the results (e.g., Vriend *et al.*, 2020). Notably, there is a  
527 lack of understanding of complex shapes, such as fibres and films, which limits the input of  
528 such studies into modelling. As such, the parameters that are used in modelling (van Sebille  
529 *et al.*, 2015, 2020; Díez-Minguito *et al.*, 2020), are too simple, thereby the findings have a  
530 confined application. The philosophies and practicalities of sedimentology provide the basis  
531 to make these improvements.

532

## 533 **Plastic as a Sediment**

534 In accepting plastic as a sediment, we can meaningfully integrate the philosophies of  
535 sedimentology into how we observe and understand plastic, i.e., how to objectively  
536 understand a plastic particle in the environment, and guidance and limitations on  
537 interpretation. Existing schemes for assessing the physical parameters of plastic, are not  
538 appropriate to simply merge and adapt because many have discipline- or region-specific  
539 parameters or purposes (Van Emmerik *et al.*, 2020; Bermúdez & Swarzenski, 2021). Here,  
540 we build a novel underpinning framework using the principles and philosophies of  
541 sedimentology, so that we have a united understanding and don't reinvent already existing  
542 principles. For example, quasi – one-, two-, and three-dimensional shapes (Chubarenko *et*  
543 *al.*, 2016), may be directly related to the sedimentological principle of rod, disc, and sphere  
544 (Fig. 5), which are quantifiably described and have long been in existence (Zingg, 1935).  
545 Research has been done in these areas for a long time (Komar & Reimers, 1978) and more  
546 recently adapted to plastic (Francalanci *et al.*, 2021). Multiple terminologies for the same  
547 principle are not required if we include plastic particles as novel sediment particles.

548

549 Before offering a methodological solution to treat plastic as a sediment, we highlight three  
550 challenges associated with building a connective understanding of the objective principles of  
551 plastic particles and their form that may be linearly and consistently reported using the  
552 principles of sedimentology:

- 553 - Density is far more variable in common plastics than common natural sediments,  
554 which means that the behaviour of plastic does not generally scale with particle size  
555 as we know from sedimentology.
- 556 - Grain size is described on a continuous scale for sediment, but for plastics there are  
557 many different schemes, which are discontinuous. We need to incorporate common  
558 names from nano- to mega- into a continuous size scale that has divisions that  
559 combine physical and functional attributes of plastic particle sizes.
- 560 - Sediment grain shape is simple and scale independent, whilst plastic has far more  
561 variable morphology, therefore we need an approach that is both flexible and simple.

562 Present classifications within sedimentology will be insufficient to overcome these  
563 challenges, but the core underpinning philosophies from sedimentology can be applied to  
564 develop a solution that can align with, and grow with, the developing complexities of plastic  
565 sediment. The philosophies of sedimentology, explained below, will be extended to present  
566 our four-part universal plastic description methodology.

## 567 **Objective observation before interpretation**

568 A structured observation is consistent, whereas interpretations may vary between scientists  
569 or over time as more techniques are discovered and used. Therefore, it is critical to clearly  
570 differentiate between observation and interpretation to enable full, non-assumptive, objective  
571 recording of data. From the objective framework, interpretations can be made and  
572 discussed, and variables can be isolated and independently inspected to identify trends.  
573 Additionally, such interpretations are flexible to change as the observations are stable, and  
574 the descriptive framework can be added to, so that detail may be captured in studies with a  
575 more specific objective. The challenge amplifies as we move to larger particle sizes such as  
576 macroplastics, as many items are readily recognisable to us from our households, thus we  
577 name them familiarly. However, the physical attributes of that particle are only somewhat  
578 accounted for because of the dominant interpretation-first approach, from which it is  
579 challenging to then apply a particle transport-mechanics understanding.

580

581 At present, in plastic studies, if an object is readily identifiable (e.g., bottle), this interpretive  
582 name is given, whereas if the object is *not* known (e.g., fragment), it is binned as  
583 “unidentifiable” or described, typically by scale and polymer type. Therefore, if an item is  
584 misidentified, there is no route to return to the observations of every item equally and  
585 reconsider an alternative interpretation from base principles. In the River-OSPAR protocol  
586 (OSPAR, 2010; van Emmerik & Schwarz, 2020), there are 111 specific item categories, but  
587 these categories have been largely developed on studies of European rivers as they are the  
588 most frequently studied (Owens & Kamil, 2020). Additionally, in its approach, the scheme  
589 seeks to label items such as “bottle”, though is not able to account for different scales  
590 (beyond small or large) or the composition or state of degradation of the bottles. Different  
591 scientists may categorise a container as a bottle, and it will behave differently in the  
592 landscape if crushed or inflated. As such, the term “bottle”, and other such terms are a  
593 subjective interpretation based on past function of the plastic particle, not an objective  
594 description of its present geometrical morphology. Another example is how shape  
595 descriptors such as pellets, nurdles, spheres and beads are often interchanged, so the  
596 terminologies are subjective interpretations and not descriptions (Hartmann et al., 2019). It’s  
597 important to not wrongfully interpret the terms pellets, nurdles, or beads as they may refer to  
598 raw pre-production plastics, and therefore represent primary microplastics, which is an  
599 important distinction when seeking to understand plastic in an environmental context. Whilst,  
600 this description might be accurate in many instances, microplastic derived from broken down  
601 larger plastic items, known as secondary microplastics may exhibit similar shapes and could  
602 thus be mistaken for primary microplastics (e.g., Hartmann et al., 2019; Provencher et al.,

603 2020). The term “fragment” infers that it is a secondary microplastic and typically refers to  
604 angular particles of rigid polymers, however, if a fragment of unidentifiable film is found, it is  
605 still a fragment, yet commonly classified as film. Therefore, we see that using subjective and  
606 interpretive terminologies is confusing and hampers the objective collection of plastic data  
607 and the interpretive process.

608

609 An objective classification scheme cannot directly address the breakdown and change in  
610 size and shape of a plastic particle as it represents a snapshot of the particle in both space  
611 and time. It is therefore not appropriate to directly interpret if a plastic is primary or  
612 secondary prior to describing its features and geometries. This is the case for sediments,  
613 which only records the present state of the material, e.g., sand or pebbles. To aid with  
614 harmonizing the approach towards plastic particles, we extend the geometry-focussed  
615 approach of sedimentology such that both plastic and sediment must all be described in  
616 comparable terms first and interpretations may occur later.

617

## 618 **Deeply Rooted Allowance for Temporality**

619 A classification scheme can provide the tools to objectively represent a snapshot of a plastic  
620 particle, and therefore cannot directly infer changes to a particle. However, if a series of  
621 snapshots are collected, a broader understanding can be developed so that particle and  
622 landscape change and the related processes may be indirectly inferred over time. Where we  
623 have understandings of processes of plastic in the environment, the majority is from  
624 laboratory experiments where observation may be consistent and under controlled  
625 conditions, so the temporal context does not need to be inferred. In nature, that temporal  
626 context may be indirectly inferred with a series of objective snapshots, which can allow for  
627 the indirect understanding of changes over space and time.

628

629 In sedimentology, a quartz ( $\text{SiO}_2$ ) grain will become increasingly rounded and smooth the  
630 longer it is in the environment, as it becomes intermittently fractured and subsequently  
631 smoothed out. The number of objective “snapshots” taken throughout this process define the  
632 resolution at which these geometrical transitions may be determined. With plastic, it is key to  
633 remember that it is part of this same temporally complex system as sediment, and plastic  
634 particles become altered, break down, and perhaps deform, over time. Therefore, a plastic  
635 particle will become smaller as it is physically or chemically changed by the environment. In  
636 the context of the snapshot, the present state of the item gives the most reliable data on the

637 processes of plastic in the environment, i.e., if it is found deposited, you may only read the  
638 deposited state of the item, and any reading on its transport is inferred.

639

640 The state of the item and the temporal limits of observation are important to recognise. In  
641 sedimentology, we take great lengths with each observation to maintain the spatial and  
642 temporal context of a sample, i.e., we record material in situ and preserve its state during  
643 analysis where possible. If material must be brought out of situ, then it is preserved in its  
644 found state and samples may be taken for processing. Additionally, the precise location for  
645 each sample is recorded, and if important to the study, the orientation is recorded also. If the  
646 material or environment is sensitive to change, the time and date, perhaps as well as the  
647 status of the tide or water level is marked and recorded. In short, out of situ material should  
648 be collected with enough information to be able to exactly replace it in its full environmental  
649 context.

650

651 In some plastic studies, it is necessary to collect the material and then assess it later, which  
652 means manually and superficially cleaning sediment and organic debris from studied items  
653 to approximate their sampled condition (e.g., de Lange *et al.*, 2023), however, in most  
654 studies, the process of item collection and processing is not shared. If materials are  
655 removed, untangled, reshaped, organised, emptied of water and sediment, or cleaned then  
656 the data that is then collected is disconnected from the environmental processes, i.e., you  
657 may discern what was transported, but you lose the data to work towards understanding how  
658 that transport and deposition occurred.

659

660 Indeed, the context of the plastic particle is important to understand it as a sediment.

661 Consider an empty plastic bottle: as well as knowing the polymer density, it is critical to know  
662 if the lid of the bottle is on or off to better assess how it has been transported. If the lid is on  
663 and the bottle contains air, then it creates a seal, and the object will be persistently buoyant  
664 for some time. If the lid is off, then it may be buoyant for significantly less time as the bottle  
665 cavity could fill with water, sediment, or even other plastics. Each of these scenarios will  
666 result in a different transport mechanism for the bottle, therefore such observations are a  
667 significant element when considering plastic particles in context with the environment. Items  
668 that can contain water such as bottles with no lid or cups have been found to be associated  
669 with the water level. This is thought to be because of their increasing mass due to taking on  
670 water, i.e., fixation, which is an environmentally controlled secondary deposition by the river,  
671 though care with assigning causal relationships ought to be taken (Roebroek *et al.*, 2021).

672

673 Differently, consider a rope that is found as a tightly wound coil. Initial observations conclude  
674 that the rope has been transported in this form, therefore it should be measured and  
675 assessed in this form also. To unwind the rope and measure that would be an irrelevant  
676 statistic in determining its transport process to this position. For as far as it is possible, every  
677 item should be examined as it exists in the environment before it is recovered, i.e., in-situ.  
678 For materials that necessarily need to be taken out of situ for study, the in-situ context is the  
679 grain size of the sediment surrounding it and the location from where the sample was taken  
680 from, as well as the size and shape distribution of the plastic particles. The plastic grains will  
681 need to be separated from the natural sediment for the analysis, which is no issue as the  
682 solution here is in finding reasonable contextualised solution that is based on the scale and  
683 capacity of the study. If the collection and processing is explained and performed  
684 consistently, it will fit the framework that follows.

### 685 **Significance of a Framework for Plastic Particle Attributes**

686 A typical description of sediment would not extend beyond the techniques outlined earlier in  
687 this paper unless a specialist question was raised. If a study on the surface scratches of  
688 sediment grains was required, then the additional observations would be included in the  
689 textural observations of the grains, thereby fitting into the existing framework, and enriching  
690 the story thusly. It is through the framework and unified understanding of the significance of  
691 basic sediment attributes that allows for studies to be related between field sites and  
692 enriched where appropriate. Therefore, despite the complexity and diversity of  
693 sedimentological data, the findings all follow the same philosophy enabling both simplicity  
694 and complexity to co-exist such that the inter-relation of multiple studies can be readily  
695 achieved.

696  
697 In plastic studies, the diversity of characteristics that could be assessed per plastic particle is  
698 vastly greater than those of sediment. We presently have no consistent approach and a  
699 multitude of unknown unknowns, such that it is critical for the consistent classification to be a  
700 flexible framework that can develop for specialist studies. We need a clear and simple  
701 structured framework that can be flexibly added to, and even once the significant attributes  
702 are known it may still be the most appropriate method, as this approach is one that remains  
703 robust and central to sedimentology.

704  
705 The following classification scheme unites common approaches for observing sediment and  
706 plastic with a focus on the characteristics that seem to drive plastic behaviour in the  
707 environment, i.e., size, shape, total density, and mechanical properties. Below is the



708 proposed framework that is simultaneously familiar and novel, with the goal to further our  
709 knowledge of plastic in the environment.

## 710 **A Universal Classification Scheme for Plastic**

711 Here we present a unification of the fundamental physical principles of plastic assessment,  
712 which is based on the sedimentological approach and includes novel approaches where  
713 existing methods are insufficient. This is not just another classification; it is a universally  
714 applicable and flexible methodology allowing cross-discipline studies and connecting the  
715 physical characteristics of plastic to their processes and accumulation tendencies, thereby  
716 enabling deeper understanding from studies, even those of a fixed temporality. Importantly,  
717 the core principles are shared with sedimentology, which will allow for the development of  
718 comparison between sediment and plastic particles, so that we can establish an  
719 understanding of context between sediment and plastic and aid in prediction of behaviour  
720 and distribution of particles in the environment. The methodology itself allows for flexibility  
721 and development of its components, such that it may provide a consistent framework for a  
722 range of studies.

723

724 Key recommendations for the methodology are that it ought to be carried out in-situ, i.e., at  
725 the site where the plastic particles are found. Additionally, none of the sub-categories below  
726 are intended for isolated use; all elements ought to be considered to allow for full description  
727 of plastic items of various scales. This includes cases where a trait may not be considered  
728 significant in an environment, because the data needs to be comparable to other  
729 environments where that trait is significant. To ensure this, a summary sheet of the  
730 methodology and a log sheet for recording observations may both be found in the  
731 supplementary material (Supp. 1 and 2). Until now, many of the definitions for plastic derive  
732 from practical uses, therefore our refinement has continued along this route such that we  
733 have a new combined philosophy to consider plastic as a sediment.

734

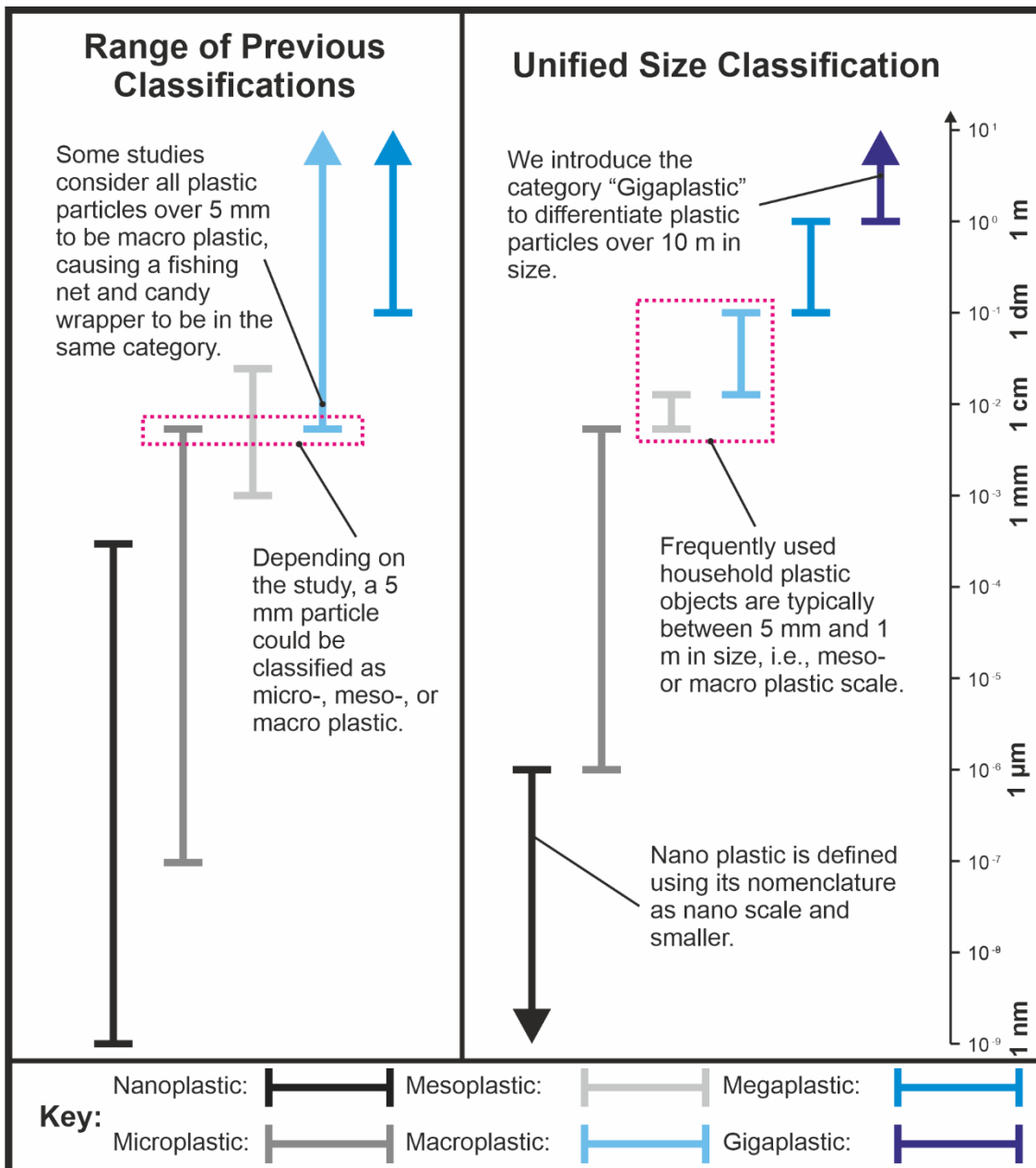
## 735 **Unifying Size Classification for Plastic**

736 Despite the robust size classification scheme for sediment (Fig. 1), it would not be  
737 appropriate to directly relate it to plastic because: i) that would be too discordant with prior  
738 studies to be of practical use; and ii) it would not divide plastic into categories that are  
739 themselves useful for further understanding. Additionally, the properties of artificial materials

740 as compared to natural materials are so variable in size that it makes little practical sense to  
741 enforce the classification.

742 It is recognised that, like all natural materials, plastic size is a continuum (Kooi & Koelmans,  
743 2019), however, plastic is most typically defined into size divisions of nano, micro, meso,  
744 macro, and mega, of which there is no settled definitions (e.g., Hartmann *et al.*, 2019). Whilst  
745 the scale of sizes is of course a continuum, there needs to be a stepped understanding that  
746 is of meaningful use as this is the language used by researchers in the field, as is the case in  
747 sedimentology (Fig. 1). The focus of size definitions and justifications therein is often limited  
748 in studies; therefore, the boundaries are poorly defined, which is why they are not so fixed  
749 between studies. Subject-specific size classifications may be helpful towards a particular  
750 study or aim (e.g., Bermúdez & Swarzenski, 2021), but it is not the purpose of a  
751 classification scheme to elucidate everything, it is an objective classification that aids  
752 understanding the objective character of a particle. Whilst this approach is imperfect, it  
753 clears the objective, which is to provide distinction in uniting a fundamental underpinning  
754 language and understanding for a wider purpose than one discipline.

755 In sedimentology, the size classification for all sizes was developed at the same time such  
756 that all sizes were categorised from the beginning and used consistently. In plastic research,  
757 this has not been the case, which means that different reasoning is given to different size  
758 brackets because of focus of the study in which it was decided. If the boundaries for plastic  
759 sizes were justified as their names are structured, the prefix “micro” in microplastic would be  
760 defined as being between 1 micron – 1000 microns (i.e. 1 millimetre). Yet understandably, to  
761 be compliant to the purpose of the study, the boundary definitions have been defined  
762 functionally, e.g., the upper boundary for the size of microplastic is 5 mm (Andrady, 2015),  
763 which is an upper particle size that is commonly ingested by many marine animals and has  
764 the potential to cause harm to them and the rest of the food chain (Arthur *et al.*, 2009).



765

766 Figure 9 – The combined range of upper and lower boundaries gathered from previous classification  
 767 schemes, demonstrating a wide range. In the present range of classifications, the same particle could  
 768 be justified as micro-, meso-, or macroplastic, therefore, the unified and justified revision on the right  
 769 offers the opportunity to return quantified meaning to these terms. Boundaries from: (Arthur *et al.*,  
 770 2009; Barnes *et al.*, 2009; Stamm, 2011; Desforges *et al.*, 2014; Andrady, 2015; GESAMP, 2015;  
 771 Koelmans *et al.*, 2017; Hartmann *et al.*, 2019).

772 As studies are increasingly seeking to understand plastic routing, such that the passage of  
 773 plastic into the natural world can be mitigated, the importance of this unified classification  
 774 scheme cannot be overstated. This guidance is for the full range of plastic sizes with the  
 775 boundaries defined by distribution and routing rather than function, offering consistency and  
 776 a rationale behind the boundaries, which is a universally applicable framework (Fig. 9). Each

777 size boundary is delineated such that it offers insight into where it may accumulate. Even if a  
778 study does not directly seek to understand plastic accumulation, for studies to be laterally  
779 relatable between disciplines, they need to be routed from a common methodology. In this  
780 instance, they are routed between the physics of sedimentology and where humans  
781 intervene the natural processes, the functionality of the plastic particle in a societal context.

782 **Nanoplastic** ( $\leq 1 \text{ nm} - 1 \text{ }\mu\text{m}$ )

783 There is no minimum size for nanoplastic because they can be smaller than 1nm and  
784 therefore ought not to be excluded by setting a minimum size. The definition for nanoplastic  
785 is determined practically by the nomenclature, therefore from 1nm to 1000nm (1 $\mu\text{m}$ ), which  
786 aligns with Browne *et al.*, (2007), Andrady, (2015), GESAMP, (2015).

787 **Microplastic** (1  $\mu\text{m} - 5 \text{ mm}$ )

788 Microplastics are the most intensely studied of all the size classifications. The upper  
789 boundary for microplastic has been widely accepted as 5 mm since the NOAA (Arthur *et al.*,  
790 2009) meeting, therefore, it is impractical to move. Therefore, the size boundary from  
791 microplastics is from 1  $\mu\text{m}$  to 5 mm.

792 **Mesoplastic** (5 mm – 5 cm)

793 The size increment from 5 mm to 5 cm represents a distinctive size category that represents  
794 a functionally distinctive category of pocket-sized, thereby widely portable, plastic items.  
795 Many items commonly found in an urban environment such as cigarette butts, sweet  
796 wrappers, hair elastics, and much more, are casually readily transported where people  
797 travel, therefore we anticipate higher incidence of items this size on streets, in drains, and in  
798 street-side refuse bins. It is of particular importance also because items this size would  
799 easily fit through the gaps on most drain covers, therefore mesoplastics and smaller  
800 represent the most likely size bracket to route to waterbodies via drains. Again, whilst not all  
801 studies need to operate in this spatial and temporal framework, by utilising this classification,  
802 a deeper understanding of the study findings will be possible.

803 **Macroplastic** (5 cm – 1 m)

804 Macroplastic is commonly the uppermost size consideration attributed to plastic items, but it  
805 seems grossly insufficient to consider everything from a pop bottle to a caravan exterior in  
806 the same category because they will behave remarkably differently in the environment and  
807 accumulate under different physical principles. We most frequently interact with plastic items  
808 smaller than 1 m in size, which is reflected by the typical depth of a household refuse bin.

809 Notably, the presence of and size of a household waste bin reflects the experience of  
810 residents of countries with a higher GDP, however the plastic items are typically generated  
811 with such consumers in mind, so the size category and functionality of plastic items this size  
812 is similar between locations. The upper limit for macroplastics has been placed at 1 m, which  
813 aligns with GESAMP (2015), and Andrady (2015), because this is the maximum item size  
814 that can comfortably fit into a household waste bin, and much day-to-day waste does not  
815 exceed this size. Therefore, in considering human-driven environmental accumulations of  
816 macroplastic, it may be of high frequency in landfill sites household waste, dominantly sized  
817 macroplastic and smaller, is disposed of.

### 818 **Megaplastic (1 m – 10 m)**

819 Megaplastic recognises the boundary at which plastic waste is more likely to be taken to a  
820 specialist refuse site, rather than disposed of through household waste collection. As such,  
821 they may be referred to a landfill site, recycling facility, or to specialists for dismantling  
822 composite components. The upper limitation here is 10 m, as this is the boundary at which  
823 plastic items are larger than what would be commonly used in the household sector, and are  
824 more likely found in the commercial sector. Due to the differences in management of this  
825 scale of waste, the way that plastic of this scale accumulates will differ from the other size  
826 categories.

### 827 **Gigaplastic ( $\geq 10$ m)**

828 The term gigaplastic is newly introduced in this study to describe plastic items that are 10 m  
829 or over in size. They are differentiated from megaplastic because the larger size indicates a  
830 large-scale and specialised process, which are managed and manufactured in set facilities,  
831 and possibly decommissioned in a similar space also. As such, there is a gathering of plastic  
832 components that may have been part of an aeroplane or train carriage for example, that may  
833 independently, or as part of a composite that is, in total, be within this size range. Plastic  
834 materials over 10m in size are most used by industries, such as housing, fishing, or  
835 commercial transport, so some polymer types and chemical pollutants may be more  
836 prevalent.

### 837 **Novel shape classification scheme for plastic litter**

838 There is a wide variety of complex classifications and descriptors, which may be appropriate  
839 for specific studies, but for this basic framework we have refined it to a simple overarching  
840 shape describing dimensions, and holes, i.e., the minimum framework requirement for inter-

841 study reliability. It is important to study the shape of a particle because it affects its motion  
842 properties and behaviour (Stückrath *et al.*, 2006).

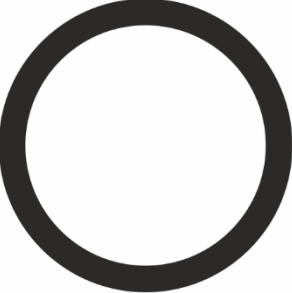
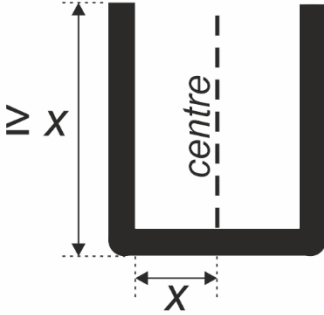







### 843 *Dimensions*

844 Sediment particles are described by their dimensions as a disc, sphere, blade, or rod (Figure  
845 n), which may be directly applied to plastics. It is a scale independent approach because  
846 both a fibre and a drainpipe would be considered a rod, and a disc is anything from a paint  
847 flake to a vinyl record. This framework aligns with approaches in plastic studies that outline  
848 quasi-one, -two, and -three dimensional particles (Chubarenko *et al.*, 2016; Francalanci *et*  
849 *al.*, 2021). For more complex shapes, we can approximate with surprising consistency. If  
850 there is uncertainty due to shape complexity, such as protruding elements, or cavities, then  
851 this decision ought to be executed via considering the total average shape it will take up in  
852 space, e.g., a plastic coat hanger is a disc. Additionally, the length:width:depth ratio (Fig. 5)  
853 can be quantified in the field and the category of rod, disc, sphere, or blade calculated later.

### 854 *Holes*

855 The other component of shape that we assess to classify the character of a plastic particle is  
856 the existence and nature of holes. In mathematics, the study of topological homeomorphism  
857 can be used to objectively define the number of holes in a three-dimensional shape.

858 Topology is the mathematical study of the properties of geometric objects that are preserved  
859 under deformation; a homeomorphism is the mapping and preservation of topological space  
860 under topological deformation, i.e., a continuous function between topological spaces with a  
861 continuous inverse function. In this classification, we take inspiration from this mathematical  
862 concept and use it to describe holes as topological features in plastic particles (Fig. 10).

	Through	Blind	Closed
Notation			
Examples	 <i>e.g. a pipe</i>  <i>e.g. a torus</i>	 <i>e.g. a bucket</i>  <i>e.g. a bag</i>	 <i>e.g. a ball</i>  <i>e.g. a sealed bottle</i>

863

864 Figure 10 – Demonstrates simple hole examples, and examples. Note that in blind hole, x represents  
865 half of the internal width of the container. If the blind hole was not round, x would be half of the  
866 internal width of the container at the narrowest point.

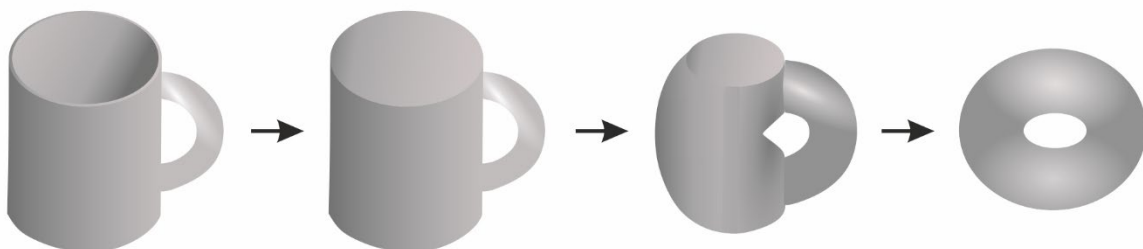
867 Through holes go through an entire object, such as a hole through a pipe or a doughnut and  
868 may be objectively defined using homeomorphism. Blind holes are cavities where the hole is  
869 a depression in the object, such as the hole that defines a bucket. In this framework, we  
870 quantifiably define a blind hole as a hollow whose minimum depth is greater than half of the  
871 width of the hole, as measured at the narrowest point on the inside of the hole. If the blind  
872 hole has an opening that has an average diameter that is less than the average width of the  
873 hole, it is always named a blind hole regardless of the internal depth of the hollow. In this  
874 study, we also consider closed holes, i.e., there is no route for material to readily move into  
875 or out of the hole.

876 As well as aiding with the description of an object, each type of hole will contribute to the  
877 understanding of how an item will be transported, and perhaps how it will interact with the  
878 environment on its journey, i.e., how it may generate microplastics due to abrasion and  
879 fragmentation, and how and where it will accumulate.

- 880 - **Through holes** are important to consider because, depending on their dimensions,  
881 they can create settings of differing depositional and biological environmental  
882 conditions inside.
- 883 - **Blind holes** are important because they can create protected microenvironments for  
884 sedimentation, and internal surfaces are less likely to become abraded.
- 885 - **Closed holes** are important because they are a concealed environment and if they  
886 trap air, water, or sediment, they may affect the net density and thus buoyancy of the  
887 plastic item and are therefore critical to understanding the mechanics of plastic in the  
888 environment.

889 If we consider a sphere of plasticine, anything that it can be moulded into without breaking it  
890 into multiple pieces, breaking the surface to form a through hole, or joining it up in places to  
891 form a through hole, is considered homeomorphic with that sphere. As such, the shapes of a  
892 soccer ball, a bucket, an open crisp packet, or a dinner plate are homeomorphic to a sphere,  
893 as they can be moulded from one shape to another without breaking the surface. Within  
894 these examples, a dinner plate has no holes, a soccer ball has a closed hole, and the bucket  
895 and open crisp packet each have a blind hole, though none have through holes because if  
896 they did, they would not be homeomorphic with the sphere.

897 A through hole, disrupts the topological space of a sphere, thereby defining a new principal  
898 shape as exhibited in Figure 11 by a doughnut. The doughnut on the right of Figure 11 is  
899 homeomorphic with a pipe, a funnel, or a straw, as each has one through hole so can be  
900 moulded from one to another without disrupting the topological functions. However, a mug is  
901 also homeomorphic with a doughnut, as it has one through hole, though also demonstrates a  
902 blind hole. The blind hole, where you would put your coffee, can be removed through  
903 topologically deforming the object and so does not disrupt the continuity of the topological  
904 function. Therefore, if the mug had no handle, it would be homeomorphic with a sphere.  
905 Figure 11 shows how a mug is famously homeomorphic with a doughnut.

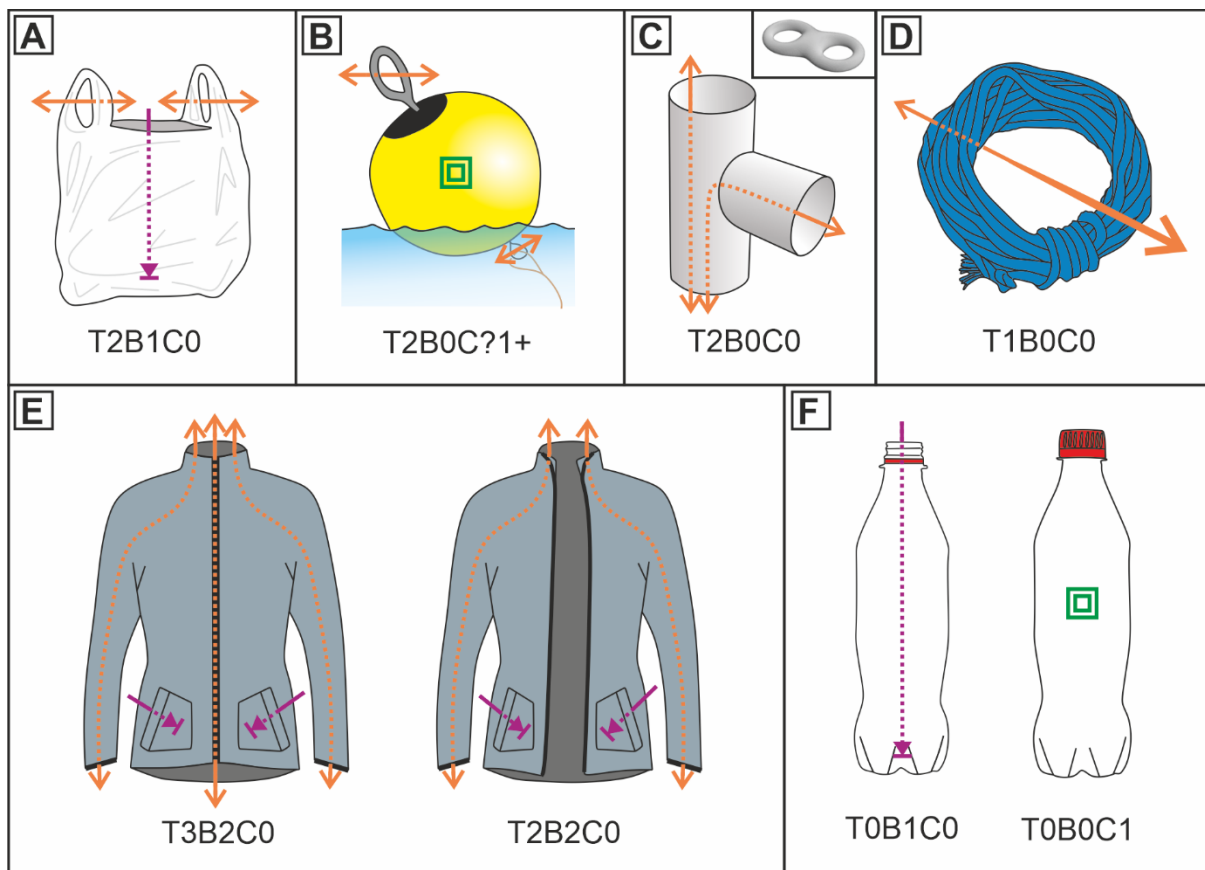


906



907 Figure 11 – A famous topological shape is how the mug can morph into a doughnut, i.e., a torus. The  
 908 blind hole in the mug may be filled in and as the mug becomes its most simple topological form, the  
 909 torus results.

910 It is through describing the number of each type of hole that we can record key  
 911 characteristics of a plastic particle. The methodology of recording holes is scale-independent  
 912 and can apply to any level of complexity through the notation:  $T_nB_nC_n$  (Fig. 12).



913  
 914

915 Figure 12 – Examples of the application of the hole descriptor methodology. Purple arrows represent  
 916 blind holes, orange arrows are through holes, and green symbols are closed holes. A) A plastic bag  
 917 where the handles are through holes and the bag itself is a blind hole; B) an ocean buoy where there  
 918 is opaque plastic and at least one closed hole assumed, and two through holes; C) a pipe junction  
 919 with two through holes, as its homeomorphic alternative is a double torus as shown in the insert; D) a  
 920 coil of rope with one through hole; E) a jacket with two pockets both zipped up and unzipped, where  
 921 the zipped jacket has an additional through hole than the unzipped jacket; F) a bottle with no lid on  
 922 exhibiting a blind hole, and a bottle with a lid on exhibiting a closed hole.

923 There are three additions to the notations that aid clarity of observation:

- 924 1. Where the shape becomes more complex, it is key to decide how much detail to  
 925 record whereby the behaviour of an item will not be better constrained by increasing

926 the accuracy of the description. As a baseline for this this framework we suggest 10  
927 of each type of hole, and this will change with different studies. To notate this, if the  
928 plastic particle demonstrates more than 10 examples of a hole type in an item, notate  
929 as 10+, e.g., polystyrene foam packaging would be notated T0B0C10+. For very  
930 complex objects, such as a 3D printed model where there are numerous through  
931 holes, blind holes, and closed holes, it would be notated as T10+B10+C10+. For the  
932 purposes of understanding its environmental behaviour, from this we can determine  
933 that it is a complex and porous object, which is significant.

934 2. If the item is made of a polymer that is opaque, but at least one closed hole is  
935 suspected, a question mark (i.e., “?”) is used to precede the minimum hole value and  
936 show that it is an interpretation. For example, the ocean buoy in Figure xB is made of  
937 an opaque yellow polymer and therefore it is not possible to constrain if a closed hole  
938 is present, and how many may be present, yet it is suspected, so it is notated  
939 T1B0C?1+.

940 3. Additionally, we must consider textures that are themselves composed of through  
941 holes, such as a net, fabric, or rope, as they have the capacity to hold water and  
942 other material and change its function as a result of its porous properties, as well as  
943 shed microfibrils. Whilst a simple net may be notated as T10+B0C0, challenges arise  
944 when considering a fishing net where the mesh is shaped into a blind hole, as the net  
945 itself is composed of through holes, thereby invalidating the existence of a blind hole.  
946 The efficacy of a scale dependency for hole categorization is limited when applied to  
947 the range of mesh sizes, so instead we use a notation for texture: i) where the  
948 material is composed of more hole than solid is notated as “net”, so a mesh is  
949 notated as TnetB0C0; or ii) where the material is composed of more solid than hole is  
950 porous and notated as “por” for porous, so a towel is notated as TporB0C0.

951 Whilst it is important to record all the holes in an object, some will be more important than  
952 others in defining the shape and function of the object in the environment, e.g., a pipe with a  
953 small hole drilled into it would notate as T2B0C0, but the small hole may not be of  
954 importance to the sedimentary dynamics. As such, a secondary and *interpretive* note is  
955 made with the goal to minimise deep consideration of incidental holes that do not aid to  
956 understand the overall shape of the object. This additional note is an entirely subjective and  
957 interpretive operation but sets an important philosophy to prioritise descriptions.

## 958 **Additional parameters for observation**

959 There are two additional parameters that ought to be observed and they are considered  
960 separately here as they are comparatively more subjective considerations than the size and

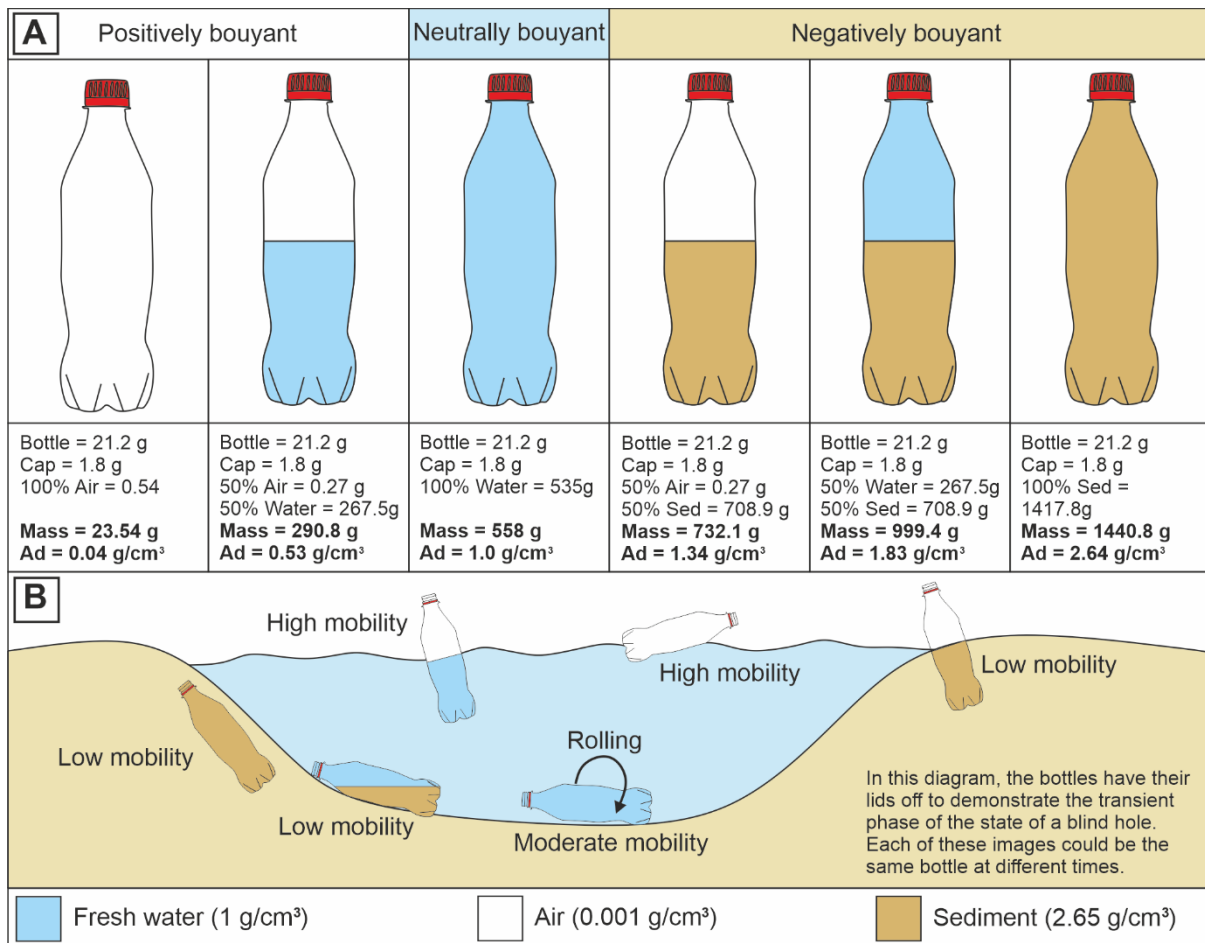
961 shape of the item. The parameters that we consider in this section are the net density and  
962 polymer type, and the chemical, electrical, and mechanical properties of a plastic particle.  
963 Each of these has important impacts on how plastic will behave in the environment,  
964 therefore it is included as a critical component for observation so that plastic particles can be  
965 fully considered in context with sedimentary particles in the environment.

## 966 **Net Density and Polymer Type**

967 In sedimentology, the density of a sediment grain normally directly relates to its mineralogy  
968 or composition, for example, the density of quartz is  $2.65 \text{ g/cm}^3$ , which is the typical density  
969 of quartz-rich sand. The density of plastic ranges from  $< 0.05$  to  $2.3 \text{ g/cm}^3$  (e.g., Chubarenko  
970 *et al.*, 2016), which is significantly different to the average for natural materials. However,  
971 there are natural materials such as amber, which have a similar density to plastic and have  
972 been studied to compare the environmental behaviour (Chubarenko & Stepanova, 2017).  
973 Additionally, pumice is a naturally occurring volcanic rock ( $2.65 \text{ g/cm}^3 - 3.3 \text{ g/cm}^3$ ), which,  
974 illogically from density alone, can float on water. Pumice can float because it is porous, i.e.,  
975 full of air bubbles, so can form rafts on rivers, lakes, and oceans. As time passes, the  
976 porosity in the pumice becomes water-logged, so the pumice will settle to the bottom of the  
977 water body. The properties of pumice in the environment are determined by its net density  
978 rather than the molecular density of the rock itself.

979 The absolute density ( $A_d$ ) (also often referred to as relative density) is an important  
980 parameter because it controls the buoyancy of the plastic particle. In water, positively  
981 buoyant items ( $A_d < \text{water density}$ ) will float on the water surface while negatively buoyant  
982 ( $A_d > \text{water density}$ ) items will settle through the water column, eventually reaching the  
983 sediment bed or seafloor. Neutrally buoyant items ( $A_d - 1 \sim \text{water density}$ ) have an absolute  
984 density equivalent to that of water and will suspend within the water column. When  
985 considering the transportation of the particle, the buoyancy determines the shear stress  
986 required to initiate and sustain motion, as well as the settling velocity (Ferguson & Church,  
987 2004), which in turn impacts its Rouse number (Eq. 5). As such, it is critical to consider  
988 absolute density of a particle such that we can understand its motion in transport and identify  
989 areas of accumulation. Additionally, the  $A_d$  value ought to be considered in relation to the  
990 size of the particle, such that the submerged specific gravity ( $R$ ) may be calculated (Eq. 4),  
991 and multiplied with the diameter ( $D$ ) to find the RD value of the particle (Russell *et al.*, 2023).  
992 The RD value is important because two items may be of the same density, but different  
993 sizes, therefore may behave differently in the environment.

994 The material properties of a particle in the environment may change over time due to effects  
 995 of weathering, chemical leaching (Persson *et al.*, 2022), and growth of biofilms (Galloway *et al.*  
 996 *et al.*, 2017; Burns & Boxall, 2018; Mendrik *et al.*, 2023), which will change the Ad of the  
 997 particle over time. Additionally, plastic may be combined with natural components such as  
 998 water, sediment, and air, which may alter its mobility in the natural environment, also through  
 999 affecting the particle's Ad value. Figure 13A shows how a bottle with a lid on, exhibiting a  
 1000 closed hole, will have different buoyancies in fresh water depending on the composition of  
 1001 the materials in the closed hole. In Figure 13B, we see the closed hole is now a blind hole as  
 1002 the lid is off for each bottle depicted. As a bottle with no lid on moves through the  
 1003 environment, it may temporarily change its Ad value, whereas if the lid is secured, the Ad  
 1004 value is more fixed. If a bottle is full of air and discarded with the lid on, it will likely remain  
 1005 buoyant for a longer time than a bottle with no lid, which may become partially or entirely  
 1006 filled with water or sediment.



1007  
 1008 Figure 13 – A) A demonstration of the importance of using Absolute Density (Ad) over plastic density.  
 1009 The bottles represented in the figure are 500 cm<sup>3</sup>, made of polyethylene terephthalate (1.38 g/cm<sup>3</sup>),  
 1010 and the bottle lids of polypropylene (0.92 g/cm<sup>3</sup>). The bottle can hold up to 535 cm<sup>3</sup> (internal volume –  
 1011 used to calculate bottle content) and displaces 545 cm<sup>3</sup> of water (external volume – used to calculate  
 1012 Ad). B) The impact and importance of different Ad values in the environment.

1013 Many commonly produced plastics (50-60% of all produced) are less dense than water, so  
1014 float on water (Lebreton *et al.*, 2019), and some plastic types are the most resiliently buoyant  
1015 particles in the natural environment and may be hydrophobic. The transience of the floating  
1016 phase of plastic materials prior to their eventual burial is longer than for most natural  
1017 particles, therefore we need to discuss the terminology for how we characterise them.  
1018 Floating plastic particles sit at the air – water barrier, so are mobilised and transported by  
1019 different processes (Roebroek *et al.*, 2021), such as wind, as they interact with different  
1020 components of the system, e.g., floating plastic is more likely to be caught in tree branches,  
1021 or collected on environmental clean-up operations, than material that travels in the water  
1022 column or interacts with the riverbed (Vriend *et al.*, 2020).

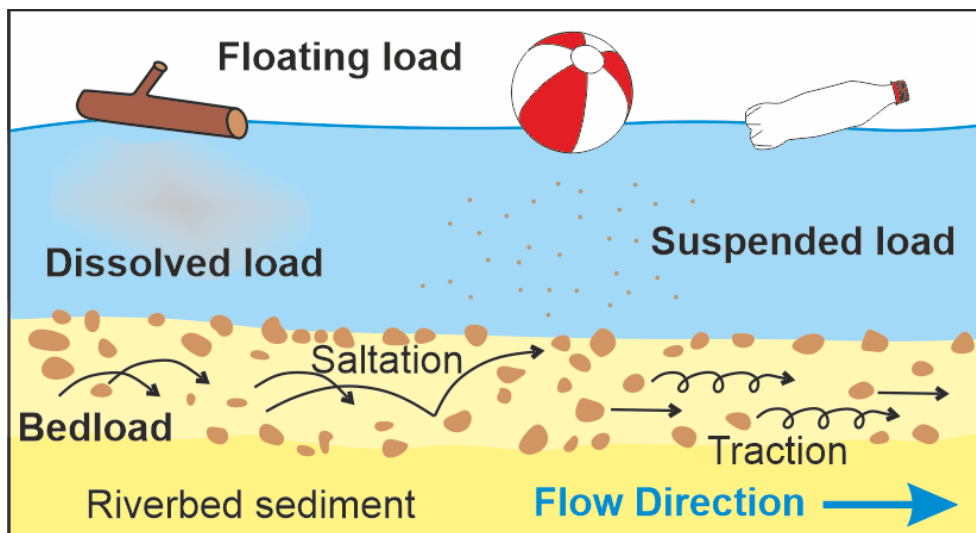
1023 If we seek an existing widely used terminology that is applicable for floating material that  
1024 may be vegetation, engineered, or natural sediment, we find that the closest term is “wash  
1025 load”. Wash load represents the finest, therefore most mobile, fraction of the suspended  
1026 load, so it is in near permanent suspension during its transport. Wash load is considered a  
1027 function of the upstream catchment i.e., not related to the transport capacity of the flow, but  
1028 on the rate at which sediment becomes available, so it is not easy to quantify with standard  
1029 sediment transport models and must be quantified through site-specific measurements (van  
1030 Rijn, 1993). As such, we find that there is no broad and widely-used term for floating  
1031 sediment that can account for all of vegetation, engineered materials such as plastic, and  
1032 natural sediment.

1033 It is clear however that the term ought to begin with “floating” rather than “positively buoyant”  
1034 or a variation of this, as “floating” is common between disciplines and studies. For example,  
1035 for sedimentology studies, “floating pumice raft” (e.g., Manville *et al.*, 2002), for plastic  
1036 studies, “floating plastic” (e.g., van Sebille *et al.*, 2015), and for vegetation studies “floating  
1037 vegetation” (e.g., Schreyers *et al.*, 2021), and additional variations therein such as “floating  
1038 debris” (e.g., Lebreton *et al.*, 2019).

1039 If we turn to plastic studies for a solution, the term “floating plastic” could never logically  
1040 extend to sediment or vegetation, and “floating item” (e.g., Bravo *et al.*, 2011), limits the  
1041 observer to what would be subjectively perceived as an item. A grain of sand would rarely be  
1042 considered an item, so the above existing terminologies persist the separation between  
1043 natural sediment and manmade materials that we are seeking to unite.

1044 The term “floating particles” is an option, but infers the particles themselves rather than  
1045 inferring the motion of transport in its moved “load”. To emphasise this point, one would  
1046 describe “bedload” in motion, and “bedload particle” properties. Therefore, if the first part of

1047 the descriptive framework tool must be “floating”, the second must be “load”, such that we  
1048 may unambiguously discuss all material that floats on a waterbody as an element of the  
1049 sedimentary system; here we coin the term “**floating load**”. We consider this to be a minor,  
1050 yet important adjustment of the existing frameworks that will have important repercussions in  
1051 unifying our understanding of what forces act upon the transport of plastic as a sediment  
1052 (Fig. 14).



1053

1054 Figure 14 – A figure to show the variation of transport of natural sediment in open channel flow (e.g.  
1055 rivers)

### 1056 **Chemical, electrical, and mechanical properties**

1057 Plastics have a greater range of variability in chemical, electrical, and mechanical properties  
1058 than natural sediment, which are constantly changing in the environment (Galloway *et al.*,  
1059 2017) and affect its durability (Thompson, 2006). As well as mechanical fragmentation,  
1060 plastic particles can photo- or thermo-oxidise, undergo hydrolysis, and biodegrade (Gewert  
1061 *et al.*, 2015; Dimassi *et al.*, 2022). For example, molecular changes to the polymer type and  
1062 leaching additives, may lead to increased brittleness, thereby exacerbating its ability to  
1063 fragment into microplastics (Song *et al.*, 2017), such as a flexible polymer may become more  
1064 brittle through exposure to humidity or UV light (Lopez *et al.*, 2006). Rates of change and  
1065 fragmentation of plastic depends on the polymer and its morphology and degradation grade,  
1066 but this remains poorly investigated outside laboratory conditions (Gewert *et al.*, 2015).  
1067 Therefore, whilst the polymer type is helpful to know, it does not reliably solve the objective  
1068 description of the properties of a plastic particle in its temporarily present condition.  
1069 Therefore, whilst plastics are a new category of sediment, the mechanisms by which  
1070 sedimentology works are clearly insufficient to manage description and understanding of  
1071 plastic behaviours, as we have outlined through this manuscript. However, by describing key

1072 properties, we can add to our descriptions and knowledge of the distribution of, and relative  
 1073 importance of, plastics with certain properties across the environment.

1074 To record plastic properties, and therein the transformation of durability of plastic polymers,  
 1075 we here propose to assess individual characteristics of plastic particles. Such insights will  
 1076 enable better modelling of particles and help us to understand how plastic behaves as a  
 1077 sediment particle. This section is a preliminary review of the range of behaviours that we  
 1078 need more specific studies on, and explanations of how those properties may impact the  
 1079 potential behaviour and disintegration of plastic in the environment.

Property	Description	Importance
Colour	Predominant colour of the plastic item or particle	Variation in temperature due to differences in light absorption may vary degradation and fragmentation rates. Certain colour might attract specific organisms that mistake plastic items for food potentially influencing the transportation and deposition history of the plastic particle (Ryan, 2016).
Opacity	No light can penetrate the item through the polymer itself. Holes and porosity are not included here.	Although UV protection may be on some transparent items (Sackey <i>et al.</i> , 2015), opacity versus translucency can signal UV transparency and therefore potential influence of UV light on its degradation, so may impact the items structural longevity. Additionally, it affects the ecology that may develop inside or underneath it. Colour also ought to be recorded (Martí <i>et al.</i> , 2020)
Transparency	Some to almost all light can penetrate the item, such that it does not significantly obscure the view behind the item. Translucency is included in this category.	
Brittleness	The material will break or shatter without significant deformation when under stress	Brittle plastics are stiffer and have lower impact strength, except for reinforced plastics (Rosato & Rosato, 2003). A brittle plastic in the environment may more readily disintegrate to microplastics than one that it more flexible and can deform
Plasticity	The material can undergo irreversible or permanent deformations without breaking	

	or shattering	plasticly (Tang <i>et al.</i> , 2019).
Softness	It can be readily marked by another object	Hardness can be quantified using methods such as the Brinell hardness testing or Mohs hardness scale, and is a characteristic of durability that is related to brittle and plastic properties (Gerberich <i>et al.</i> , 2015). A harder plastic may be more abrasion resistant than a soft one, and therefore be more resistant to fragmenting into microplastics
Hardness	The material is more able to withstand surface indentation and scratching	
Flexibility	A material that can be bent or stretched repeatedly without breaking in response to an applied force	Materials with these properties can become more brittle and less flexible or elastic under high humidity and UV light exposure (Lopez <i>et al.</i> , 2006; Dimassi <i>et al.</i> , 2022), so may readily degrade to microplastics, but it is a more temporally complex response, therefore important to record.
Elasticity	Where a material can return to its original size and shape after being deformed by an applied force	
Static electricity	Electric charges within or on the surface of a material may affect its tendency to attach to other materials.	Attachment to other particles, such as plastic, minerals, and water, affect its ability to float or sink. Where plastics are charged, they may flocculate with themselves or clay minerals (Besseling <i>et al.</i> , 2017; Andersen <i>et al.</i> , 2021). Where a plastic is hydrophobic, it strongly affects its ability to biodegrade (Dimassi <i>et al.</i> , 2022) and may enhance surface tension to form air pockets that aid buoyancy.
Hydrophobicity	Where the properties of the molecule seemingly repel water, it is described as hydrophobic. In some studies, this is referred to as the plastic particles “Wettability” (Waldman & Rillig, 2020).	

1080 Table 2 – A summary of key properties to assess of plastic particles in the landscape that may  
1081 provide information on its ability and present tendency to produce microplastics.

## 1082 **Implications for sediment and plastic transport modelling**



1083 This new methodology has implications for sediment and transport modelling in that through  
1084 using the framework consistently, we will be able to understand plastic behavior more  
1085 accurately in the environment and identify knowledge gaps. Sediment grain size and plastic  
1086 concentration can be correlative (Enders *et al.*, 2019), so forms an important starting point  
1087 for how we shape our understanding of plastic routing in the environment. For example,  
1088 consider a sediment particle with density  $\rho_s$  and volume  $V_s$  and a PVC particle with  
1089 density  $\rho_{PVC}$  and volume  $V_{PVC}$ . The weights of these particles are  $W_s = \rho_s g V_s$  and  $W_{PVC} =$   
1090  $\rho_{PVC} g V_{PVC}$ . Assuming that the sediment and PVC particles have the same volume ( $V_s =$   
1091  $V_{PVC}$ ), the sediment particle has a density of 2.65 g/cm<sup>3</sup> (quartz) and the PVC particle a  
1092 density of 1.2 g/cm<sup>3</sup>, the ratio of their weights becomes  $W_{PVC}/W_s = 1.2/2.65 = 0.45$ . The  
1093 PVC particle is 0.45 times the weight of the sediment particle or inversely  $1/0.45 = 2.2$  times  
1094 more mobile. When the particles are under water, the relevant weights for the calculation are  
1095 the submerged weights, i.e.  $W = (\rho_s - \rho)gV = \rho g R V$ . In this case,  $R_s = 1.65$  and  $R_{PVC} = 0.2$ .  
1096 The ratio of the submerged weights becomes  $R_{PVC}/R_s = 0.2/1.65 = 0.12$ . Under water, the  
1097 PVC particle is 0.12 times the weight of the sediment particle or inversely  $1/0.12 = 8.25$   
1098 times more mobile.

1099  
1100 However, we must remain mindful of the limitations that arise due to the diversity of plastic  
1101 particle characteristics in size, shape, net density, and material properties. We can use these  
1102 existing equations as a starting point and see what the resulting numbers would be but  
1103 cannot assume that it is right without testing as in many cases, we may require entirely new  
1104 understandings. For example, using the Corey shape factor coefficient, 1D, 2D, and 3D  
1105 shapes have been found to settle differently across different flow regimes (Francalanci *et al.*,  
1106 2021), and bedload sediment transport is affected by particle shape (e.g., Deal *et al.*, 2023),  
1107 providing important insight into a particle characteristic that sedimentology had not  
1108 sufficiently considered. Material such as paint flakes seem to align with existing models  
1109 (Enders *et al.*, 2019), but other materials, even in simple shapes, may not (Chubarenko &  
1110 Stepanova, 2017; Khatmullina & Chubarenko, 2019; Waldschlager & Schuttrumpf, 2019b;  
1111 Mendrik *et al.*, 2023), and films and fibres introduce additional uncertainty due to their  
1112 properties, particularly particles that change their shape when settling (Zhang & Choi, 2021;  
1113 Choi *et al.*, 2022). A bottle with no lid, or an item of clothing, both have a high level of  
1114 unpredictability, so determining its precise environmental routing may never be possible, but  
1115 probabilities can be determined. Present knowledge and practices in sedimentology offer a  
1116 starting understanding that can offer insight into knowledge gaps. Incorporating such  
1117 probabilistic dependencies into models will be an important next step (Khatmullina &  
1118 Chubarenko, 2019). Other approaches to manage such uncertainties are entropy theory

1119 (Khorram & Ergil, 2018), ensemble, or accumulation, forecasting (Shamshirband *et al.*,  
1120 2019), and machine learning algorithms (Goldstein & Coco, 2014). Additionally, progress is  
1121 needed in understanding heterogeneous mixtures in the environment, from differences in  
1122 size to differences in particle properties, and how this will in turn impact hiding effects and  
1123 broader-scale dynamics of sedimentary environments (Pohl *et al.*, 2020; Russell *et al.*,  
1124 2023).

1125

1126 Whilst the physics are consistent in a fluid, how particles behave with characteristics such as  
1127 elasticity, are not understood, and presently not consistently documented in the field.

1128 However, we now have a new methodology for approaching the description and recording of  
1129 plastic particles, which can be applied in both the field and laboratories. Therefore, the inputs  
1130 for models and machine learning techniques can be improved as we seek to understand the  
1131 passage and accumulation of our new sediment in the environment.

## 1132 **Practical Application of the Methodology**

1133 To ensure that the methodology outlined is easy to use consistently, a summary sheet and  
1134 log sheet for recording the data have been provided as supplementary material (Supp. 1 and  
1135 2). The bar along the top of the sheet aids to record the precise location, therefore the  
1136 environment, and in-situ information of the study site. The first column allows for numeration  
1137 of the plastic particles which is useful for later reference. The second narrow column may be  
1138 used to indicate which items may be related or composite, which is explained and  
1139 demonstrated in Supplementary Material 2. The long axes in each direction is the recorded,  
1140 which in turn define the dominant shape as sphere, disc, rod, or blade (S, D, R, or B  
1141 respectively). The total number of through holes, blind holes, and closed holes are recorded  
1142 under All T, B, and C, and the holes that define the shape as most important are then  
1143 recorded under Dominant T, B, and C. If the material is known, it may be recorded under  
1144 "Material", followed by recording of Mass, Volume, and Absolute Density. Equations for  
1145 determining each are in Supplementary Material 2. Finally, colour, texture, and properties  
1146 are recorded, and the defining properties ought to be recorded first with the secondary  
1147 properties following. In other information, if the item can be named then it is listed here along  
1148 with any other key characteristics that are not otherwise recorded.

Locality _____				Grid Reference _____						Date _____			Sheet ____ of ____					
No.	Axes			Shape (S/D/R/B)	All			Dominant			Material	Mass M	Volume V	Abs. Density Ad	Colour	Texture	Properties	Other information
	Long ℓ	Interm. i	Short s		T	B	C	T	B	C								

1149

1150 Figure 15 – The recommended methodology for recording the data of plastic particle attributes.

## 1151 Conclusions

1152 Our understanding of plastic behaviour in the environment is presently limited by the variety  
 1153 of classification schemes, and what each scheme records therein. Sedimentology teaches  
 1154 us the power of having one universally recognised classification; findings and discoveries  
 1155 can be built into one unified understanding that advances our knowledge of the physical  
 1156 attributes and behaviours of sediment in the environment. Plastic studies have a variety of  
 1157 aims and objectives, such that the schemes used are often sufficient for the realm of the  
 1158 study. However, studies are increasingly seeking to draw broader conclusions for their  
 1159 findings that require integration with an environmental understanding, which sedimentology  
 1160 provides. Even where studies seek to take a snapshot of plastic composition in an area,  
 1161 these tools will help towards integrating that study into a broader understanding of  
 1162 environmental plastic. The scheme proposed in this manuscript treats plastic as a sediment  
 1163 and may be used in any environment, and even extended to describe a range of materials  
 1164 and composites beyond plastic. As such, we unify our definitions of plastic with  
 1165 sedimentology providing the connecting philosophies: i) to objectively observe the plastic  
 1166 particle before interpretation; ii) to allow for temporal context; and iii) to understanding the  
 1167 significance of the recorded attributes. We propose methodologies for quantifying the size,  
 1168 and shape of a plastic particle, and discuss net density and material properties.

- 1169 • For size, we reflect on the meaning behind each division and find that size can be  
 1170 meaningfully connected to function and site of accumulation.
- 1171 • For shape, we quantify the dimensions to classify an overall shape and then discover  
 1172 the number of and nature of the holes in the item.
- 1173 • For density, we discuss the importance in assessing the absolute density of the item  
 1174 rather than the density of the polymer, as it is more helpful for understanding the  
 1175 broader context and mobility of the plastic particle.
- 1176 • For material properties, each element we seek to note has implications for its  
 1177 behaviour in the environment that we are just beginning to understand.

1178 The methodology is accessible, and the logging sheet and summary sheet are in the  
1179 supplementary materials (Supps 1, 2). The methodology outlined in this paper fills this  
1180 critically required need to redefine the way that we view plastic in the environment, so this  
1181 novel framework will allow for this and for our understanding as plastic as a sediment to  
1182 develop. Additionally, it is important to note that application of this methodology is not just  
1183 restricted to plastics, but the approach may be applied to any natural or anthropogenically  
1184 produced particle, as plastic is not the only anthropogenic component of concern in the  
1185 landscape (Kiesling *et al.*, 2019).

## 1186 **Limitations and Future Work**

1187 The central limitation to this methodology is arguably in its complexity and we urge readers  
1188 to study the summary sheet (Supp. 2) for clarity. As we are still in the phase of the third  
1189 philosophy of sedimentology, to understanding the significance of the recorded attributes, we  
1190 are not yet able to reasonably simplify the methodology. To simplify before we understand  
1191 the parameters and their implications therein, is to limit observation. Future work ought to  
1192 seek to populate our understanding of variability in plastic in the environment and therefore  
1193 establish how much precision we need in these studies. Additionally, where complexity of a  
1194 plastic particle is high, its shape and form may not be sufficiently considered, however  
1195 extensive thought was provided to this dilemma and further complexity to the method was  
1196 not workable. We encourage this manuscript to be viewed as an interim point for the  
1197 understanding of plastic as a sediment and there are many outstanding questions, all of  
1198 which will aid in understanding the complexities of plastic in the environment.

1199

## 1200 **Competing Interests**

1201 The authors have no competing interests to declare.

## 1202 **Supplementary Material**

Locality \_\_\_\_\_ Grid Reference \_\_\_\_\_ Date \_\_\_\_\_ Sheet \_\_\_\_ of \_\_\_\_

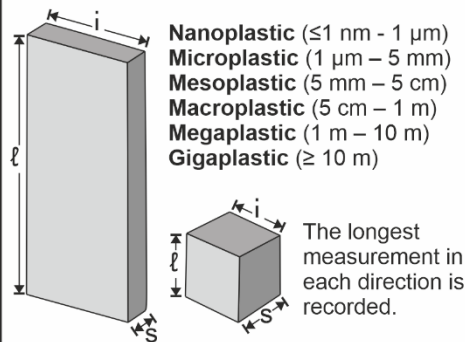
No.	Axes			Shape (S/D/R/B)	All			Dominant			Material	Mass M	Volume V	Abs. Density Ad	Colour	Texture	Properties	Other information
	Long ℓ	Interm. i	Short s		T	B	C	T	B	C								

# Summary sheet for classifying plastic as a sediment

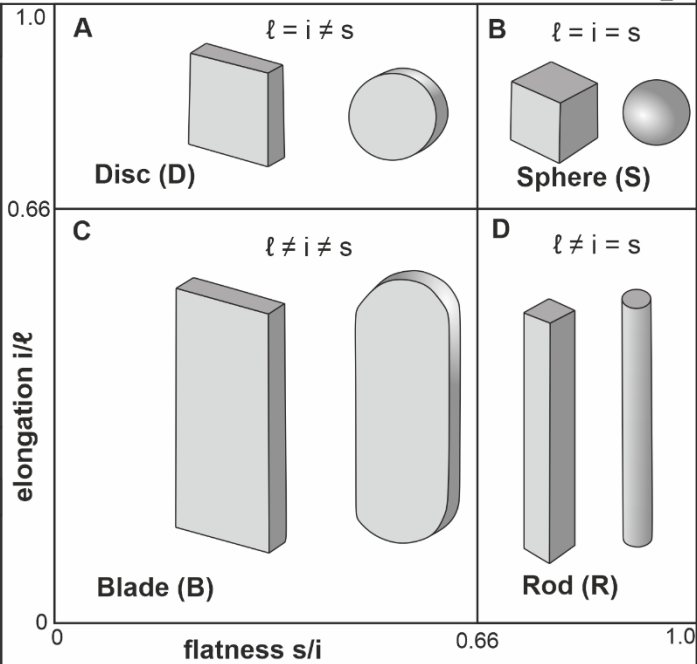
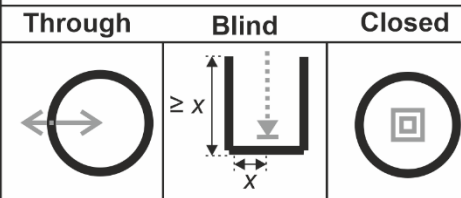


Multiple joined particles are a "compound" item. Describe each item separately and indicate that they are related through using the narrow column on the log sheet. In a set of 3 (or more) items, the first and second boxes will be filled in the lower and upper halves respectively and the middle box(es) entirely filled.

## Dimensions



## Types of Hole



## Hole Notations

- Number of holes : Through; Blind; Closed = TnBnCn
  - If a number exceeds 10 as 10+, e.g., T10+B0C0
  - If the item is opaque but at least one closed hole is suspected notate with "?" e.g., T0B0C?1+
  - If the material is more hole than solid, notate as "net" e.g., TnetB0C0
  - If the material is not solid, but more solid than hole, notate as "por" for porous e.g., TporB0C0
- Dominant holes are the ones that define the structure of the item. It is interpretive so may be a factor of function.

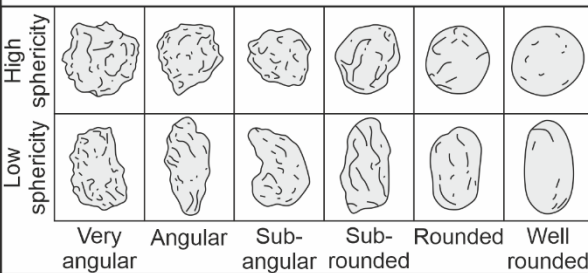
## Colour

The dominant colour of the item should be recorded and if there is no colour, record as "colourless".

## Material Properties

<b>O - Opacity</b> No light can penetrate the particle itself.	<b>T - Transparency</b> Some to almost all light can penetrate the particle.
<b>B - Brittleness</b> Breaks under stress without significant deformation.	<b>P - Plasticity</b> Can be permanently deformed without breaking.
<b>S - Softness</b> Can be readily marked by another object.	<b>H - Hardness</b> More able to withstand surface indentations.
<b>F - Flexibility</b> May be repeatedly stretched without breaking.	<b>E - Elasticity</b> Returns to original size and shape post deformation.
<b>St - Static Electricity</b> May have a tendency to attach to other materials.	<b>Hy - Hydrophobicity</b> Where the item properties seemingly repel water.

## Surface texture



## Convert absolute density, mass, and volume

$$\text{Absolute Density} = \frac{\text{mass}}{\text{volume}}$$

$$\text{Mass} = \text{absolute density} \times \text{volume}$$

$$\text{Volume} = \frac{\text{mass}}{\text{absolute density}}$$

## Other Information

- If the shape is further definable (e.g., curled / twisted) or object is recognised, include details or item name here.
- Include information on the status of the item in relation to physical environment, i.e., content of sand, water, or air.
- Any biological activity can be noted here, e.g., algal films or other growths / interactions with fauna.
- If alterations to the item exist, interpretations on the natural or mechanical derivations can be noted.

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