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1	The Invisibility of Health Effects Associated with Water Pollution within Disease
2	Burden Estimates: Analysis from a Colombian Andean Watershed
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# The Invisibility of Health Effects Associated with Water Pollution and Environmental Disease Burden Estimates: Analysis from a Colombian Andean Watershed

33

#### 34 ABSTRACT

Modernization goes along with a significant increase in pollution-related health risks linked to 35 the ever growing economic and technological development. In addition to water-related 36 37 communicable diseases, there are emerging concerns regarding the burden of disease attributable to the complexity of chemical pollution loads released into the environment. 38 39 Studies demonstrating an association between chemical exposure and the occurrence of disease are abundant, particularly in occupational settings, although fewer assessments are available 40 for the open environment. Agrochemicals, pharmaceutical compounds, disinfection byproducts, 41 42 heavy metals, and many other emerging chemicals in very small concentrations, plus the 43 mixture (cocktail effect) of several pollutants have shown ecotoxicological and genotoxic effects among various species in the trophic web of both terrestrial and aquatic ecosystems, at 44 45 the apex of which are humans. Despite this evidence, water quality standards focus mostly on communicable diseases risks, and the widely promoted burden of disease approach mainly 46 integrates the effects of gastrointestinal and respiratory infections. Based on previous research 47 and information collected in a tropical Andean watershed at southwestern Colombia, we 48 49 characterized drivers and hazards of disease and estimated water-related environmental burden 50 of communicable diseases and an approximation to the likely burden of noncommunicable diseases. Estimates of disease burden are analyzed to find out disparities driven by ethnic, 51 gender and socioeconomic status. Results show that profound inequalities persist affecting the 52 53 most vulnerable populations for preventable communicable diseases. In addition, lack of 54 information and more research continues to make the impacts of noncommunicable diseases, related to chemical pollution from individual substances and their cocktail effect alike, largely 55

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invisible. The DALY addition effect and its econometric approach ought to be enriched with
historical and critical perspectives to make visible the profound social and health inequalities
immersed in the socioecological systems of the global South.

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#### 60 1. INTRODUCTION

Economic and technological development goes along with a significant increase in risks posed 61 62 by contamination and its possible health effects. There is growing concern about the burden of disease arising from the complexity of pollution loads related to chemicals released into the 63 64 environment. However, current water quality standards mostly focus on the risk of communicable diseases (CDs), and the burden of disease approach, widely promoted by 65 multilateral agencies, mainly integrates the effect of gastrointestinal and respiratory infections. 66 67 Several studies. particularly in occupational settings, show relationships between chemicals 68 and disease, but less evidence is available for the open environment. It has been reported, for example, that endocrine disruptors, heavy metals, many other emerging chemicals in very small 69 70 concentrations (micropollutants), and the mixture of several of these have ecotoxicological and 71 genotoxic effects among organisms in the food web of aquatic ecosystems, ultimately reaching human populations. 72

Micropollutants are compounds of diverse origin and chemical nature, with consequences on ecosystems, which affect living beings by chronic ecotoxicity and endocrine disruption. i.e., alteration of hormonal and homeostatic systems (1). Although these contaminants have gained the attention of the scientific community, they continue to go unnoticed in the regulations of most countries. Agrochemicals, heavy metals, pharmaceutical compounds, disinfection byproducts-DBPs, personal care products, plasticizers, polyaromatic hydrocarbons, hormones and illicit drugs are part of this range of pollutants (2),(3).

As heavy metals are non-biodegradable and persistent in ecosystems. they remain bioavailable and bio-accumulative within and across various organisms and species. Most of these compounds are of priority for environmental and health authorities because they lead to carcinogenic effects in organs and tissues such as: bladder, lung, kidney, prostate, skin, and blood (leukemia) among others; there are also proved neurological disorders as well as cognitive and motor dysfunctions (4).

86 On the other hand, Trihalomethanes (THMs) and Haloacetic Acids (HAAs) are the most studied and quantified disinfection byproducts (DBPs) worldwide, whose evidence has led to 87 88 their carcinogenic potential for human exposure. Although they do not represent the greatest 89 health risk among the more than 700 DBPs found in drinking water, the THMs are the most regulated (i.e., European Union and Canada 100 µg L<sup>-1</sup>; EPA 80 µg L<sup>-1</sup> and Colombia 200 µg 90 91 L<sup>-1</sup>) because preventing their formation may reduce the occurrence of other DBPs that have 92 shown more toxicity and harm to human health -i.e., Haloacetonitriles (5), (6),(7),(8),(9),(10). 93 Current scientific evidence shows that some of the above mentioned micropollutants have 94 harmful effects on both human and animal health (especially for those organisms related to 95 aquatic ecosystems). These compounds affect key life processes such as homeostasis, reproduction, development, and behavior of organisms -mesoscale population dynamics (3). 96 Table 1 displays some documented effects of different micropollutants on human health. 97

98 99

#### Table 1. Micropollutants and their links to chronic diseases

Micropollutants Category	Name	Hazard Category	Health Effects	References
Agrochemicals	Paraquat	Type II Moderatel y hazardous <sup>1</sup>	Melanoma. severe lung lesions. DNA compound insertion. also includes immune system damages. and multiorgan dysfunction syndrome.	Chen. et al., 2021; Shen et al., 2017; Hichor. et al., 2017
	Chlorpyrifos	Type II Moderatel y hazardous <sup>1</sup>	Cancer of breast. lung. brain. straight. leukemia. Chromosomal aberrations and micronucleus formation.	(Badii. et al., 2015)

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Heavy Metals	Arsenic	1 (IARC) <sup>2</sup> A (EPA) <sup>3</sup>	Associated with diabetes and increased risk of cancers of bladder. lungs. liver. and other organs. Contact with arsenic can also contribute to cardiovascular and respiratory disease. reduced IQ in children. and skin problems. such as lesions. discoloration. and the development of corns.	(Sankhla et al., 2016; WHO. 2019)
	Chromium	1 (IARC) <sup>2</sup> A (EPA) <sup>3</sup>	Skin disorders and allergies, kidney and liver failure, gastrointestinal effects, oxidative stress.	(WHO. 2020)
	Lead	2A (IARC) <sup>4</sup>	Damage to the central and peripheral nervous system, learning disabilities, slow growth rate, hearing problems, anemia, reproductive problems, cardiovascular disease.	(Collin et al., 2022; WHO. 2022)
	Mercury (Methyl- Mercury)	2B (IARC) <sup>5</sup>	Causes damage to the central nervous system, particularly in fetuses.	(Hong et al., 2012; Diez. 2009)
Pharmaceutical compounds	Ethinylestradiol*	EC List	Exists a correlation between exposure to EE2 in the environment with affectations in some aquatic biota un their endocrine system.	Laurenson et al., 2014
	Ibuprofen*	EC List	Disruption of the synthesis of enzymes involved in reproductive processes in species of vertebrates and aquatic invertebrates. Exposures < than 100 ng $L^{-1}$ in freshwater sources have effects such as the reduction in the number of fish offspring and delay in the hatching of fish eggs.	(Flippin et al., 2007; Han et al., 2010).
DBPs <sup>4</sup>	Total Trihalomethanes (TTHMs)	2B (IARC) <sup>5</sup>	Bladder and colon cancer. low weight and size to birth. miscarriages. damage to the heart. lungs. kidney. liver and central nervous system. genotoxicity and cytotoxicity effect. micronuclei formation.	(Egwari et al., 2020)
	Halo acetic acids HAA (i.e., DCAA and TCAA).	B (EPA) <sup>6</sup> for DCAA C (EPA) <sup>7</sup> for TCAA	Undesirable reproductive effects, negative effects on growth, mutagenic, carcinogenic.	(Egwari et al., 2020; Sinha et al., 2021)

<sup>1</sup>WHO classification

<sup>2</sup> Carcinogenic

<sup>3</sup> Carcinogenic for humans

<sup>4</sup>2A: Probably carcinogen in humans

<sup>5</sup> Possibly carcinogenic for humans

<sup>6</sup> Possibly carcinogenic for humans

06 <sup>7</sup> Probably carcinogen in humans

• There is evidence of effects on aquatic ecosystems but there is still no evidence of effects on human health due to environmental exposure.

108 Disability-adjusted life years (DALYs) are widely used as a tool for prioritization of health 109 interventions by estimating the distribution of the Global Burden of Disease. DALYs combine mortality and morbidity data, with various valuation options such as disability weighting, age 110 weighting and discounting, and has been proposed by the World Health Organization (WHO) 111 112 as a model for ranking priorities. In this paper we argue that estimates of water-related 113 environmental disease burden largely render invisible the entire system of multiple connections 114 between water and health. This is partly because it lacks relevant information to systematically 115 integrate health effects associated with infectious diseases and noncommunicable diseases 116 (NCDs) due to chemical water pollution. Therefore, firstly, this paper aims at presenting the 117 estimation of the burden of CDs related to the lack of water treatment or its incomplete 118 disinfection in the Upper Cauca River Basin (UCRB) in southwestern Colombia. As a 119 supplement to the above, we also present an analysis of these estimates in conjunction with the 120 potential effects from chemical pollutants prevalent in the region. The final purpose is to 121 contribute to the understanding of watersheds as socioecological units whose analysis requires 122 indicators that comprehensively portrait the multiple and complex connections between water 123 and health and the inequities so forth generated.

#### 124 **2. METHODS**

125 2.1. Study area

The UCRB has got a length of 520 Km and an area of 2,180,940 ha. distributed in 5 regions: Valle del Cauca (49%), Cauca (34%), Risaralda (5.6%), Quindío (8.8%), and Caldas (2.3%) (see Figure 1a and 1b). The UCRB comprises 25 hydrographic sub-zones and 83 municipalities; the river has an average annual flow of 404 m<sup>3</sup> s<sup>-1</sup>. The current population of the UCRB is 5.9 million people, 65% of which is concentrated in Valle del Cauca, followed by Cauca with 15.5%. The share of urban population in the basin is 75%. In terms of ethnicity, 80% are mixed and whites, whilst 10% are indigenous, and the remaining 10% are Afrodescendants. This manuscript is a preprint and has not been peer reviewed. The copyright holder has made the manuscript available under a Creative Commons Attribution 4.0 International (CC BY) license and consented to have it forwarded to EarthArXiv for public posting.

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#### Figure 1. a) Municipal GDP; and b) BOD<sub>5</sub> loads in the UCRB.

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The UCRB has 41 types of life zones related to ecosystems (11), where agroecosystems predominate (1,727,771 ha); these are mostly composed of livestock (373.860 ha), and permanent crops such as sugarcane with 230,668 ha; followed by forests and shrublands with 217,993 ha. Finally, the third most important ecosystem in the UCRB is the paramo with 153,675 ha.

This distribution of ecosystem types is already portraying a high environmental pressure, as 82% (79% of agroecosystems and 3% of urban areas) of the UCRB area has been heavily transformed by anthropogenic activities into agro-industrial monocrop ecosystems, livestock, and urban areas. These activities generate continuously both point and diffuse pollution loads that increase the degradation processes of the socioecological systems at the UCRB.

145 In addition, the total coverage of municipal wastewater treatment at secondary stage is less than 25%. Therefore, the river receives an average daily Biological Oxygen Demand (BOD<sub>5</sub>) 146 loading of 215 Ton d<sup>-1</sup> (12), and this increases the threats related to various infectious diseases, 147 microbiological in origin (i.e., Campylobacter, Salmonella, Giardia, Cryptosporidium, 148 149 Entamoeba and Norovirus) which have been found and reported in the Cauca River (13). There seems to be a direct relationship between the increase of the regional GDP and the discharge 150 151 of polluting loads into the river, where the largest city in the UCRB, Cali with 2.8 million 152 people out of 4.7 million in the whole catchment, contributes with 97 Ton d<sup>-1</sup> alone.

The increase in GDP is related to the growing development of mostly industrial activities in the basin, thus, the main industries in urban settings are: i) metal-mechanics. ii) stain and coating. iii) plastics and synthetics and iv) photographic, cosmetics, tannery, pharmaceutical and food. These industries discharge chemicals with deleterious effects on the socioecological 157 systems health; among them are Cr, Ba, Ar, Al, Pb, Sb, Hg, Cd, Zn, Cu, Phenols, Benzene,
158 Toluene, Ethylbenzene, 1.2-Dichloroethane, Sulphur, Cvanide, and several others.

On the other hand, mining activities in rural areas such as dredging material, sand and clay extraction represent 61.3% of the total mining activity in the area; meanwhile, gold mining accounts for 17.5%; coal. 10.9%; and others, 10.3%. The latter economic activities generate health threats mainly related to the following chemicals: Hg, Pb, As, Mn, Ni and Zn, Cyanides, Sulphides, Sulphates and Carbonates (13).

Finally, another source of diffuse pollution is industrial monocrop agriculture(12). The UCRB
has approximately 594,000 hectares between perennial and transitory crops, where sugar cane,
coffee and banana crops stand out. These three crops account for over 78% of the total
cultivated area in the basin, followed by corn, cassava, citrus trees, avocado, banana, potato,
sisal, rice, cocoa, and beans(14).

The combined sugarcane monocrop industry together with the rest of the agricultural activity use around 2,500 Tons per year of agrochemicals into agricultural soils (Data taken from regional Agricultural Secretariats not accounting for fertilizer application). This intensive use of agrochemicals combined with the hydrological cycle in the tropical zone and the low protection of forest or riparian strips along the Cauca River increase the discharge probability of high loads of diffuse pollution into the water resources network (14).

All the above factors in the basin affect the quality of surface and groundwater used for human consumption as demonstrated by the 2019 Water Quality Risk Index (WQRI) report (which considers basic physicochemical and microbiological parameters) from 555 organizations supplying water for human consumption in the UCRB.

179	Large city water utilities with high GDP (including regional water utilities for cities and small
180	towns) show WQRI values with low to null risk, whilst community-based organizations in
181	charge of rural village water supply, which are in areas with low GDP, show a higher number
182	of unacceptable to high and medium risks in terms of WQRI values (Figures 2a and 2b). These
183	figures show unequal access to drinking water quality in different subregions of the basin;
184	consequently, many people are exposed to different water-related health risks.
185	Figure 2. a. Percentage of WQRI associated with water utilities (large and small cities
186	and towns with high GDP)
187	
188	Figure 2.b. Percentage of WQRI for community-based rural water supply
189	organizations (located in villages with low GDP)
190	
191	
192	2.2 Micropollutants in the UCRB
193	Studies conducted at UCRB have shown the presence of the following four groups of these
194	contaminants.
195	2.2.1. Agrochemicals: Agrochemicals of the organochlorine (OC) family have been found in
196	the Cauca riverbed, with the following ones standing out: $\alpha$ -HCH, $\beta$ -HCH Lindane, Heptachlor,
197	Endrin, 4.4'-DDE, 4.4'-DDDD, DDT, Methoxychlor (14). These compounds were banned by
198	the Stockholm Convention, of which Colombia is a member since 2008; however, these
199	agrochemicals are present in the aquatic environment of the UCRB (15).On the other hand,
200	OP-organophosphates such as Chlorpyrifos and Dimethoate. are pesticides widely used in
201	agricultural crops at the UCRB, and were detected to a lesser extent (16).

Therefore, several ecological populations, species, and individuals of aquatic and terrestrial ecosystems at the UCRB are chronically exposed to these contaminants, which represents a multi-scale ecological threat going from specific habitats to life zones and whole ecosystems (17). In this sense, as pointed out by several authors (18), there is a continuous process of bioaccumulation and bioaugmentation of these contaminants in the trophic chain with all the implications this may have for the socioecological systems that use water in the UCRB.

Alkylphenols are used in chemical formulations with pesticides and are frequently found in agricultural runoff (19). These compounds exhibit high estrogenic activity with different effects on living organisms that are passed on later generations (3), (15). Among these, BPA and 4-iso-nonylphenol stand out, and represent a high threat due to their implications on population dynamics of various aquatic organisms in the river ecosystem. The latter are two of the most relevant compounds in the Cauca River.

2.2.2. Heavy metals: Some authors found Hg concentrations ranging from  $2.5 \times 10^{-3}$  to 214 1 00 215  $\mu$ L<sup>-1</sup> due to artisanal gold mining, quantified in different tributaries of the Cauca River. In 2016 216 sediment sampling carried out by RICCLISA project at seven points, along a 440 Km stretch 217 of the Cauca River plus some tributaries, evidenced high concentrations of Cr (between 1.46 -181 mg kg<sup>-1</sup>); Pb at all sampling points with a record mean concentration of 25.03 mg kg<sup>-1</sup>; and 218 Hg with a mean value reaching 369.85 mg kg<sup>-1</sup>. Thus, the UCRB data show the presence of 219 220 heavy metals in at least two abiotic compartments, the water column, and the riverbed sediments (4). 221

222 2.2.3. Pharmaceutical compounds: several pharmaceutical compounds were found in the urban 223 water cycle of Cali city, with sampling points along the river, treated water for human 224 consumption and primary treated sewage discharge. The main compounds and range of 225 concentrations were Gemfibrozil ( $6.5-2.6 \times 10^{-2} \mu L^{-1}$ ), Ibuprofen ( $3.5-2.3 \times 10^{-2} \mu L^{-1}$ ), 17 $\beta$ 

Estradiol ( $6.5x10^{-3}-2x10^{-4}\mu L^{-1}$ ), and 4-Iso-Nonylphenol ( $1.7-5.6x10^{-2}\mu L^{-1}$ ). These compounds represent a high hazard to the aquatic biota of the Cauca River, and the most critical point is the discharge from the city's wastewater treatment plant (20).

A toxicity assessment for each compound was carried out in drinking water network samples and showed no potential threat to human health, this according to the reference doses reported in the literature. However, for a real mixture (cocktail) of all types of micropollutants, the presence of potential estrogenic activity at concentrations between 5.9 - 8.5 ngL<sup>-1</sup> of Estradiol Equivalent values was reported. Although these values mean a low hazard, this is in fact a more adequate estimation of the potential cumulative risk due to the cocktail effect of such pollutants (16).

236 2.2.4. Disinfection byproducts (DBPs): To our knowledge, only two studies related to this type 237 of micropollutants were conducted in the UCRB characterized the presence of precursors and 238 THMs in the Puerto Mallarino drinking water treatment plant-DWTP in the city of Cali. They found a mean concentration of 69±18 µgL<sup>-1</sup> for THMs that was related to many organic 239 240 precursors of anthropogenic origin (i.e., raw sewage discharges and runoff flows). Meanwhile, in the distribution network the mean THMs concentration ranged between 66 and 101 µgL<sup>-1</sup>. 241 Thus, Chloroform, one of the four key THMs, was found in concentrations above the EPA 242 regulatory requirements (30 ug L<sup>-1</sup>) in all sampling points such as the effluent of the DWTP 243 244 and at nine sampling points of the distribution network (21). The second study was also 245 conducted in Puerto Mallarino DWTP analyzing the presence of some DBPs such as THMs in 246 the biofilm of distribution network pipes, and bulk water samples from the same pipes (22). The high costs of sampling and characterization of DBPs. as well as the laboratory analytical 247 248 requirements make this type of investigations guite unusual in the country, and much more so when it comes to correlating the presence and exposure to DBPs with real health risks. 249

250 2.3. Estimation of the environmental disease burden

251 To estimate the environmental disease burden associated with water in the upper Cauca River 252 in the period 2015-2020, reported cases of incidence and mortality were included for three epidemiologically relevant CDs and two NCDs related to water: Acute Diarrheal Disease 253 254 (ADD), Acute Respiratory Infection (ARI), and typhoid fever; and leukemia and spontaneous 255 abortion, respectively. These conditions were chosen based on available and reliable data provided by national and state Health Service Institutions. Additionally, in the case of the two 256 257 NCDs there is a plausible causation attributed to water pollutants as reported in the literature. 258 Based on the incidences of morbidity and mortality, the synthetic indicator of disability-259 adjusted life years and mortality-adjusted life years (DALYs) were calculated for each of the events to be studied. The analysis of inequalities was carried out considering the variables of 260 261 sex, age, urban or rural area, and health regime. The estimation was developed in two phases:

262

1. Calculation of the burden of disease: the burden of disease was calculated considering the 263 standardized methodology by the World Health Organization (WHO) and the Institute for 264 Health Measurement and Evaluation (23). Thus, it was necessary to estimate the Healthy Life 265 Years Lost "DALYs". The DALYs combine the disease impact of the number of years lost due 266 267 to premature mortality (YLL) and those years of healthy life lost due to disability (YLD). Years of Life Lost due to Disability (YLD), were obtained by applying methods and data sources 268 established by WHO for the global burden of disease estimates 2000-2019 (24). Estimated 269 270 disability duration weighting factors were used for ADD, ARI, typhoid fever, leukemia, and 271 spontaneous abortion. The calculation of YLD was developed according to the parameters used 272 by the Global Burden of Disease Study 2019 (25) using the following equation (25) using the 273 following equation (26).

274

275 
$$YLD[r.K.\beta] = D X \left[\frac{KCe^{r\alpha}}{(r+\beta)^2} \left\{ e^{-(r+\beta)(1+\alpha)} \left[ -(r+\beta)(1+\alpha) - 1 \right] - e^{-(r+\beta)\alpha - 1} \left[ -(r+\beta)\alpha - 1 \right] \right\} + \frac{1-K}{r} (1 - e^{-r1})$$
276
277

1	2
I	J

- Where:
- r the discount rate taken as 3%
- 280 K is the modulation of social weighting by age (value between 0 and 1). We set k=1
- 281  $\beta$  is the parameter of the weighting function according to age (0. 04)
- 282 C the constant value = 0.1658
- 283  $\alpha$  is the age of disease onset
- L is the duration of illness expressed in years (27)
- 285
- As for YLL the equation used was the following (26):
- $287 \qquad YLL = N * L$
- 288 Where:
- 289 N is number of deaths from specific causes
- 290 L is the standard life expectancy at the age of death
- 291 292

293 Life expectancy was taken from global estimations of fertility, mortality, and healthy life expectancy (24) with a 3% discounting rate and unequal weighting factor by age (28); these 294 295 were considered for the calculation of years of life lost due to premature death and the 296 estimation of the DALYs. The standard expected YLL method was run with data on disease 297 duration and onset age as provided by the Colombian disease burden study 2005 (26)(27). Then, the YLD estimation was run with a discount rate of 3% and differential weighting by age, 298 299 which are the values of the parameters included in Equation 2. Finally, the total DALYs were 300 estimated by adding the years lived with disability DALYs and the years of life lost due to 301 disability DALYs.

302 <u>2. Burden attributable to water pollution (microbiological and chemical)</u>: The calculation of
 303 the disease burden attributable to unsafe water sources and household sanitation was performed
 304 using the population attributable fraction -PAF- from prevalence data. relative risk and the

theoretical minimum risk of exposure based on the Global Burden of Disease study (29), (23)
and other supplementary reviews (25), (30), (31).

The attributable burden rate for each of the infectious diseases was calculated using the categories of exposure to poor household sanitation, with data from the National Quality of Life Survey, taken from the National Statistics Department-DANE (32). Additionally, for the two NCDs, water sources for food preparation were used as subcategories. The latter allowed for the primary estimation of exposure factors and the proportion of population.

In relation to micropollutants and its likely relation to NCDs, it is important to bear in mind that they have physical and chemical characteristics (i.e., structure and molecular weight. partition coefficients. sorption properties and solubility in water) that hamper their removal in water treatment plants with conventional processes (i.e., coagulation, flocculation, sedimentation, filtration and disinfection) (33),(34),(35). Moreover, the final process of chlorination increases the probability of harmful DBPs generation such as chloroform and THMs in the presence of organic matter (2).

319 The typical technologies for drinking water treatment mostly used in the UCRB are of the 320 conventional type as mentioned earlier. However, differential exposure to micropollutants is also related to raw water quality depending on its source (i.e., rainwater, boreholes, water wells, 321 and temporary storage reservoirs). In this sense, there are inequalities in access to safe water 322 323 depending first on the water source and second on the presence or absence of water treatment 324 plants (36). Therefore, for the analysis of the burden of NCDs, the categories suggested were 325 grouped into the following: treated water and raw water that includes rainwater, boreholes, 326 water wells, and temporary storage reservoirs (32).

327 **3. RESULTS** 

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328	3.1 Estimation of water-related disease burden: The burden of CDs (ADD, ARI and typhoid
329	fever) in the UCRB was estimated at 5,458.6 DALYs for the 2015-2020 period. The age group
330	0-4 years contributed to the highest number of YLL with a rate (581.2 DALYs per 100,000) 5
331	times higher than to the other age groups (See supplementary information). The total
332	distribution of the burden between both sexes was similar, with 52% of the burden being
333	contributed by men (2,834.0 DALYs). Figure 3 displays incidence rates of DALYs by age and
334	sex and disease.
335 336 337 338 339	Figure 3. DALYs of infectious diseases per 100.000 individuals by age group and gender. UCRB. 2015-2020
340	The burden of CDs in urban areas accounts for 70% of all DALYs (3,733.1 DALYs), but the
341	rate per 100,000 individuals is 55% higher than in rural areas. As for the health care regime,
342	65% (3,317.5 DALYs) corresponds to the subsidized and lower-income population, which has
343	more than twice the DALYs per 100,000 individuals of the non-subsidized population (See
344	table 2). In addition to the latter, the DALYs is even higher among the group under 15 years of
345	age (data not shown) in rural areas, which is twice or higher than that in urban settings.
346 347	Table 2. DALYs for infectious diseases according to area of residence and health
348	regime. UCRB, 2015-2020

		DALYs	DALYs per- 100,000	Ratio
Area	Urban	3,733.1	96.5	1
	Rural	1,607.1	149.7	1.55
Health regime	Non-subsidized	1,800.0	69.1	1
	Subsidized			
		3,317.5	161.5	2.34

351	On the other hand, the burden of NCDs (spontaneous abortion and leukemia) in the UCRB was
352	estimated at 44,011.4 DALYs for the 2015-2020 period. The burden of NCDs increases with
353	age, especially among men, who are more affected by leukemia (See supplementary
354	information). As expected, spontaneous abortion affects women especially between 15 and 29
355	years of age. Figure 4 shows DALY incidence rates by age, sex and NCD.
356 357 358 359 360	Figure 4. DALYs of chronic diseases per 100,000 individuals by age group and gender. UCRB. 2015-2020
361	The burden of disease due to NCDs for the urban area was estimated at 35,532.7 DALYs, or
362	82% of the total DALYs. For every 100,000 inhabitants, the rural area presented 22% less
363	burden of disease compared to the urban area (rate ratio: 0.78). Regarding the health regime,
364	it was found that 56% (23,621.9 DALYs) corresponded to the non-subsidized regime, with no
365	differences with the subsidized regime in the number of DALYs per inhabitant (see table 3).
366 367	Table 3. DALYs for chronic diseases according to area of residence and health regimeUCRB, 2015-2020

368

		DALYs	DALYs per- 100,000	Ratio
Area	Urban	35,532.7	918.8	1
	Rural	7,686.0	721.6	0.78
Health regime	Non-subsidized	23,621.9	907.3	1
	Subsidized	18,487.7	897.8	0.99

369

*3.2 Attributable disease burden:* Estimates of disease burden for typhoid fever and ADD
attributable to the risk factors analyzed are presented in table 4. Specifically, compared to the
baseline exposure (i.e., occurrence of toilet connected to sewer), the attributable burden was
estimated for exposure to: toilet connected to septic tank, toilet without connection (i.e.,
absorption well), latrine, toilet flushing to water source, or no sanitary service (open defecation).

- 375 The burden attributable to all these exposures is a total of 917.2 years of healthy life lost. On
- the other hand, the burden of acute respiratory infection attributable to not washing hands with
- soap and water was 58.8 years of healthy life lost (see table 4).

### Table 4. Water-related attributable burden for infectious diseases according to risk factors. UCRB. period 2015-2020

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	Attributable DALYs Total	Frequency households exposed	Attributable DALYs Index per-100.000 households
Typhoid fever and ADD:			
Toilet connected to septic tank	506.8	230.145	220.2
Toilet without connection	43.1	14.247	302.6
Latrine	84.3	22.761	370.7
Toilet with direct discharge to water sources	108.8	26.498	470.7
No sanitary service	174.0	36.623	415.2
Acute respiratory infection:	' 		
Failure to wash hands with soap and water	58.8	179.643	32.7

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- 382
- On the other hand, the attributable burden of NCDs was estimated based on the risk of exposure to contaminants in food washing. Specifically, water supply from raw freshwater, water well (water drawn less than 3 m depth), borehole (water drawn more than 70mts depth) and rainwater in the UCRB for the period 2015-2020 accounts for 3,030.8 years of healthy life lost for all age groups (see table 5).
- 388 389

### Table 5. Burden attributable to risk factors for NCDsUCRB, 2015-2020

	Attributable DALYs Total	Frequency households exposed	Attributable DALYs Index per- 100.000 households
Spontaneous abortion and leukemia:			
Water supply system with raw fresh water	1,681.5	151,142	1,112.5
Water well (extracted less than 3mts depth)	1,072.6	91,828	1,168.1
Borehole (water extracted more than 70mts depth)	90.7	9,908	916.0
Rainwater	185.7	21,516	863.4

391 In addition, since many micropollutants are not safely removed by conventional water 392 treatment plants with chlorination included, we recalculated the attributable disease burden for 393 NCDs including full conventional water treatment to account for the likely risk this may still 394 pose. Therefore, a total of 24.412.6 years of healthy life lost was estimated for all age groups 395 (See table 6). It may be argued that the latter risk estimation might be somehow prevented by bringing the exposed population to the ideal situation, that is, to have treated water for human 396 397 consumption complying with national water quality standards. However, national water quality standards in the global South do not yet consider the presence of many specific water pollutants 398 399 related to the industrializing transition of their economies over the past 30 years and their concomitant chronic health risks. 400

401 402

Table 6. Adapted Burden attributable to risk factors for NCDs.UCRB, 2015-2020

	Attributable DALYs Total	Frequency households exposed	Attributable DALYs Index per- 100.000 households		
Spontaneous abortion and leukemia:					
water supply with conventional water treatment	19,907.4	2,047,914	972.08		
Water supply system with raw fresh water	1,681.5	151,142	1,112.5		
Water well (extracted less than 3mts depth)	1,072.6	91,828	1,168.1		
Borehole (water extracted more than 70mts depth)	90.7	9,908	916.0		
Rainwater	185.7	21,516	863.4		

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#### 404 **4. DISCUSSION**

Burden of disease estimates are useful in determining the number of years of healthy life lost due to disability and death. These estimates have been used as prioritization tools based on the assumption that the conditions that compromise the most years of life are the most relevant. Our analysis, within CDs and using stratification and per capita estimates, allowed us to identify how impoverished populations and those living in rural areas are more likely to lose years of life to CDs than those in urban areas and with more economic resources. Moreover, as for NCDs, per capita DALYs stratified by area of residence suggest a higher risk among urban

population, and no difference by socioeconomic status. Both findings are consistent with global
trends and epidemiological transition theories in the sense that poor sanitation primarily affects
poor populations through CDs, while chemical contamination affects urban areas with NCDs
to a greater extent (37).

Despite the usefulness of the above findings, if (as it is usually done to estimate the total 416 environmental burden associated with water), we add up the CD and NCDs in a single estimate, 417 418 the sum of years of life lost does not make visible the inequalities that are reflected in the unfair persistence of preventable infectious diseases. This is due to the econometric nature of DALYs 419 420 indicator that sums years of life lost without regard to the type of cause and preventability. Our estimates show 8 times more DALYs for 2 NCDs than for 3 CDs. Most DALYs from CDs 421 422 could be avoided by providing access to safe water and sanitation measures, which are widely 423 proven and cost-effective technological alternatives available for more than a century. 424 Therefore, the lack of availability and/or access to sanitation technologies for vulnerable populations reflects deep historical and social conditions of injustice that disproportionately 425 426 affect children under five, women, ethnic minorities, rural populations, and low-income 427 communities; thus, these social disparities cannot be measured based solely on the total quantification of years of life lost. 428

429 Consequently, analyses of DALYs should differentiate the impacts of preventable CDs with 430 recognized etiology and available sanitation measures in contrast to NCDs of multi-causal 431 etiology resulting from complex networks of social and ecological processes. The most rational 432 recommendation would be to perform analyses within specific categories and not to aggregate 433 a lump sum for prioritization.

In addition, we propose that the connections between water and health could be expanded and complemented by an integration of other dimensions that reflect the complexity of the socioecological relations that occur in watersheds at different scales (comprising whole 437 ecosystems, life zones and specific habitats), seen as both territories and socioecological 438 systems. In particular, the conceptual framework of water security could help in this purpose. According to UN-Water, water security is "The capacity of a population to safeguard 439 440 sustainable access to adequate quantities of acceptable quality water for sustaining livelihoods, 441 human well-being, and socio-economic development, for ensuring protection against waterborne pollution and water-related disasters, and for preserving ecosystems in a climate of 442 peace and political stability." (38). Therefore, a systemic approach from water security impels 443 a better understanding of three additional impacts on health: from ecosystem degradation due 444 445 to lack of freshwater supply of an adequate quality, from economic activities related to food insecurity, and from the effects of water-related hazards (i.e., pollution, landslides, floods, and 446 droughts) exacerbated by climate change. Furthermore, the DALYs do not consider other 447 dimensions of human life that try to counter-balance mainstream notions of development 448 449 indicators (mostly based on wealth), such as, well-being, "Good Living" (i.e., "Buen Vivir"). 450 Sumak Kawsay or happiness, as well as other aspects of life related to the sovereignty of 451 communities in their relationship with water and nature in general (39)(40).

452 On the other hand, the fact that disease burden estimates focus on infectious diseases does not allow a comprehensive view of the global and structural dimensions of persistent inequalities. 453 Consequently, by overlooking the nature and intensity of pollution in the global South, the 454 455 impacts on NCDs occurring because of slowly industrializing or primary economies, are kept 456 invisible. In so doing more critical analyses will help to challenge how the worldwide imposed 457 globalization order that has embodied low-income countries (i.e., through mining and all sorts of extractivist activities that use and generate pollutants, but also increased ecosystemic 458 459 degradation) in the international trade scenario, at the same time generate environmental and 460 health liabilities, for mostly but not exclusively, disadvantaged human populations in the global South. 461

Thus, much more effort is required to have more robust estimates of the health impacts attributable to exposure to micropollutants and other chemical agents. These chemicals reach watersheds, and some persist or are transformed, enter food chains, and finally reach humans through different pathways. There are many gaps in knowledge about the environmental doses they reach and their medium- and long-term effects, particularly in relation to chronic conditions secondary to hormonal alterations, cytotoxicity, or genotoxicity.

468

#### 469 **5. CONCLUSIONS**

470 DALYs indicator remains one of the main prioritization tools, despite the multiple limitations implied by its uncritical use, particularly because of its totalizing and econometric approach. 471 472 In addition, the limited capacity to measure many micropollutants in the Global South, due to 473 technological and financial limitations, leads to a limited knowledge of their spatial-temporal 474 dynamics and possible effects on socioecological systems, which in turn reifies the geopolitics of reprimarization of global South economies within the framework of globalization. 475 476 Consequently, a shift towards a systemic view and cross analyses from critical geography and 477 the history of social inequalities is required to compound a better and more complex interpretation of health indicators such as DALYs. 478

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### Figure1a



Figure1b



## Figure2a



Figure2b



Figure3



Figure4