# Chasing the water table: The impact of groundwater depletion on rural drinking water supply in peninsular India

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## 15 Abstract (200 words):

16 Groundwater overexploitation has been cited as one of the biggest threats to rural drinking 17 water in India, but there is very little quantitative evidence. In this paper, we aim to 18 understand (1) the extent of actual groundwater depletion and its impact on rural water 19 supply systems, (2) the primary driver of groundwater depletion and (3) the additional 20 financial burden in finding new sources for water supply, relying on temporal data from two 21 Gram Panchayats (local administrative unit) in the Upper Arkavathy watershed near 22 Bengaluru, in south India. Study results confirm that groundwater depletion, in this hard rock aquifer region, is a severe problem, driven largely by agricultural water abstraction. Rural 23 24 water supply systems have had to catch up continuously with the falling water table. 25 abandoning non-functional wells and drilling new borewells to replace them. This has 26 resulted in a major financial burden to the Gram Panchayats. Hitherto, state and central 27 government grants have paid for rural well installation in India, but the increased pumping 28 costs associated with declining groundwater levels impose a major burden on the Gram 29 Panchayat, many of which are severely in "electricity debt".

- 31 Keywords (Up to 6): Groundwater Depletion, Jal Jeevan Mission, Rural Water Supply,
- 32 Source Sustainability, Water Resource Management

# 33 **1. Introduction**

Sustainable access to safe drinking water is a problem in rural areas. Even where functional
infrastructure producing adequate levels of drinking water quality have been put in place,
maintaining the performance of these facilities over time remains extremely difficult in the
face of financing, local capacity, and governance challenges. Roughly one in four hand
pumps in sub-Saharan Africa are non-functional at any given point in time, while estimates
vary more widely for Asia-Pacific countries [1].

40

41 The global discourse on "sustainability of water, sanitation, and hygiene (WASH)" is largely 42 focused on technical and financial aspects. In India, though, inadequate water resource 43 management (WRM) can arguably be pointed to as the biggest threat to sustained rural 44 drinking water access [2]. The vast majority (over 85%) of rural drinking water in India is 45 borewell-based and groundwater in over a third of the country is already classified by 46 India's Central Ground Water Board as "semi-critical" (with extraction at 70-90% of recharge), 47 "critical" (with extraction of 90-100% of recharge), or "over-exploited" (with extraction 48 exceeding annual recharge) [3].

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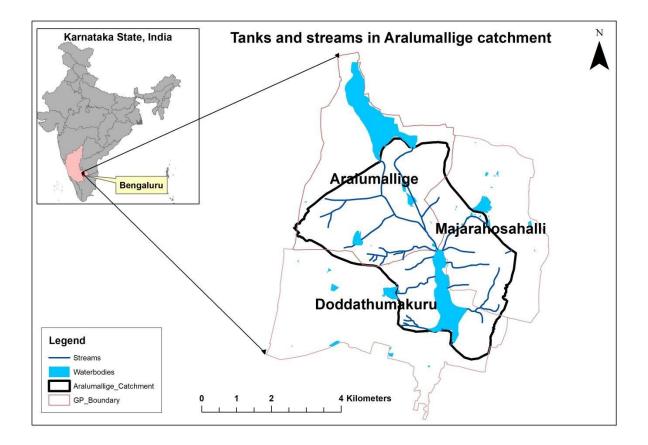
50 Both in India and globally, rural water supply schemes account for a negligibly small 51 fraction of freshwater appropriations, as compared to, for instance, roughly 70% for 52 agriculture and 20% for industrial and commercial uses [4] Although rural drinking water 53 service delivery may not yet significantly affect water, the reverse is not true. In fact, 54 groundwater over-exploitation is already affecting the rural drinking water security in India 55 and is recognized by the Indian government as a significant threat for policy and planning purposes. Nevertheless, there is a paucity of well-documented examples of the interaction 56 57 between water resources and rural water supply and quantification of the threat in

58 volumetric and economic terms is largely missing from the discourse. This research gap is 59 particularly noteworthy in the context of India's ambitious Jal Jeevan Mission (JJM), which 60 seeks to deliver a piped connection of safe drinking water to every Indian household by 61 2024. Although the Jal Jeevan Mission does not include expenditures for operation & 62 maintenance costs of rural water supply, it does address water source sustainability by funding the replacement of failed borewells [5]. In addition, the consolidation of 63 64 responsibilities for drinking water service provision and water resources within a single 65 government institution (via the establishment of the Ministry of Jal Shakti in 2019) and the 66 emphasis on source sustainability in Jal Jeevan Mission guidelines signals seriousness in the 67 government's intent to drive convergence. 68 69 In this study, our research objective was to understand how groundwater depletion impacts 70 rural water supply, in quantitative terms. We collected and analyzed primary data from two 71 Gram Panchayats in the Aralumallige subwatershed, in Bengaluru Rural District in Karnataka 72 state in South India to answer three questions: first, what is the extent of groundwater 73 depletion and how does it impact drinking water borewells? Second: what is the primary driver 74 of groundwater depletion? Third, how much additional investment is required each year to 75 replace wells that cease to function and what is the additional pumping cost imposed by 76 deeper borewells? 77 2. Methods 78

79

80 Study Area

82 The Aralumallige subwatershed (Figure 1) of the Upper Arkavathy watershed is a 20 km<sup>2</sup> 83 catchment in the outskirts of Bengaluru. It falls within the Bengaluru Rural district within 84 Karnataka state in peninsular India. Portions of three different Gram Panchayats of 85 Bengaluru Rural district fall within the catchment: Aralumallige, Doddathumakuru, and 86 Majarahosahalli (Figure 1). 87 The catchment is semi-arid, with an average annual rainfall of about 800 mm spread over a 88 89 few months, as compared to an average annual potential evapotranspiration across the 90 catchment of ~1700 millimeters [6]. The area is thus moisture-limited for much of the year, 91 which means that without irrigation, farmers can only grow a single rainfed crop per year. 92 Because groundwater is the sole source of irrigation, it can only be practiced by farmers 93 with borewells. As groundwater levels fell, there was competitive deepening of borewells; 94 [<u>6</u>].



96



#### Figure 1 Map of the Aralumallige subwatershed in southern Karnataka.

98 The Aralumallige subwatershed is underlain by hard rock aguifers composed of granites, 99 granitic gneisses and migmatites. Hard rock aquifers are formed over billions of years as the 100 un-weathered bedrock develops joints and fractures due to tension release. Over time, 101 chemical weathering occurs along the joints forming a thin zone of partly weathered rock 102 material that form aquifer systems when saturated. Hydrogeological studies in peninsular 103 India [7, 8] show hard rock aquifers characterized by a dense horizontal fracturing in the first 104 few meters (the "weathered rock zone"), with the density of fractures decreasing with depth. 105 The groundwater formations of the Aralumallige subwatershed are heavily exploited. There 106 was complete dewatering of the weathered rock zone by the 1990s, and borewells have 107 deepened every few years [9]. Because the quantum of water yielded by dewatering 108 fractures is very small, borewell yields at deeper groundwater levels are very low.

109

110 Multiple agencies have responsibilities for drinking water supply in this study area. While 111 technical support is provided by the state Rural Development and Panchayati Raj (RDPR) 112 department, responsibilities for scheme construction and operations are devolved to 113 institutions set up under India's three-tier Panchayat Raj Institutions (PRI) system for local 114 governance. Only the highest tier (Zilla Panchayat) and lowest tier (Gram Panchayat) are 115 involved in rural drinking water supply. The Zilla Panchayat (comprising around 150 to 200 116 Gram Panchayats in Karnataka) operating at the district level allocates funds for capital 117 expenditure and awards tenders for the installation of borewells and conveyance 118 infrastructure. The Zilla Panchayat then hands the system over to the Gram Panchayat, 119 which usually comprises of three to ten revenue villages. The Gram Panchayat manages 120 and maintains the water supply system. Each *Gram Panchayat* receives some funding from 121 the central government's 15th Finance Commission Fund as well as from the state 122 government through the "Shasana Badda Anudhana" scheme for public services (Gram 123 Panchayat Panchayat Development Officer Personal Communication, 2022). Additionally, 124 each Gram Panchayat sets and collects property tax and applicable tariffs as utility revenue 125 from households.

126

127 Rural drinking water schemes in the Bengaluru Rural district have evolved considerably
128 since their inception in the 1970s. Early schemes were primarily handpumps, at a time when
129 groundwater levels were shallow and functional open hand dug wells were common.
130 Populations without access to local open wells had to walk a few kilometers each way to
131 the nearest hand pump. While drinking water schemes were hand pump-based, private
132 installation of borewells with pumps for irrigation had already begun in the 1970s and
133 expanded quickly with the free electricity policy for irrigation that began in the early 1980s

134 [6]. By the early 1990s, the Aralumallige subwatershed's shallow aquifer had been

135 completely exhausted [6] and the *Gram Panchayats* began to drill borewells to provide

136 municipal supply via public standpipes. Initially, one or two public standpipes were installed

137 in every street (*Gram Panchayat* Assistant Engineer, Personal Communication, 2022) with the

138 goal of supplying at least 40 liters per capita per day (LPCD).

139

140 After 2005, the *Gram Panchayats* began to invest in reticulated piped networks and

141 household connections. The networks are currently being expanded to all households

142 under the Jal Jeevan Mission (JJM) with increased service delivery benchmarks of 55 LPCD.

143

#### 144 Data Collection

To establish the impacts of groundwater depletion on drinking water supplies, we carried
out a census of borewells that included data on functionality. We decided to collect data on
wells because we did not feel confident in available secondary data, for several reasons.

148 First, the Aralumallige subwatershed is underlain by hard-rock aquifers; although

149 monitoring well records are available for the area going back to the 1990s, the monitoring

150 well densities are sparse and do not offer accurate estimates of groundwater properties at a

151 regional scale, due to the high spatial heterogeneity typical of fractured hard rock aquifers.

152 Second, trend analyses from existing borewell records are skewed by survivor bias: dry

153 wells are dropped from the Central Ground Water Board (CGWB) well dataset as water

154 levels decline [10]. The surviving wells presenting an inaccurate biased picture of stability.

155 Third, because investment in agricultural borewells is private, no reliable public record

156 exists of all abstraction wells.

To address the extent of groundwater depletion and to determine how it impacted rural 158 159 drinking water supply, we mapped functional and abandoned wells over time. We were 160 only able to obtain complete data for Doddathumakuru and Aralumallige Gram Panchayats. 161 Our dataset contained geotagged data on year of construction, well depth, and year of 162 failure (if applicable) for both the Gram Panchayat and private borewells. These data 163 allowed us to recreate the snapshots of functional and abandoned wells in the years 1981, 164 1991, 2001, 2011 and 2017, which coincided with census years for which other data were 165 available. For example, if a well was constructed in 1984 and abandoned in 1998, it would 166 not appear in the 1981 map, it would appear as a functional well in 1991, and as an 167 abandoned well in 2001, 2011 and 2017. Additionally, we conducted a census of private 168 borewells in 2017 to supplement our dataset. 169 170 We conducted two distinct well census efforts: The first census was of private irrigation 171 borewells that took place between September to November 2016 [9], and the second was 172 of Gram Panchayat drinking water borewells between March and July 2022. 173 174 Irrigation well census. An irrigation well census involves walking through the landscape, plot 175 by plot, and mapping all wells, both functioning and abandoned. At each farmer's plot in the 176 village, our field team introduced itself and interviewed the well owner to record the year of 177 construction, use (agricultural, domestic, commercial), status (functional vs. non-functional), 178 depths of yielding fractures and year of failure (if applicable). We also collected data on plot 179 size and crop selection during each of the three seasons, from which we could develop a 180 complete water use account of the village. Our relatively rapid timeframe was accelerated 181 by our familiarity with many farmers in the study area from previous research.

182

183 During the 2016 data collection period, we inventoried and geo-tagged a total of 294

184 irrigation wells in Aralumallige subwatershed. Of these, 62% were found to be abandoned.

185 Using the dates of construction and year of failure, we were able to estimate the number of

186 wells functioning in any given year as well as the borewell failure rate.

187

188 Drinking water well census. Between March and July 2022, we identified functioning 189 drinking water borewells using a list from the *Gram Panchayat* office. For older, abandoned 190 borewells, we first conducted focus group discussions with village "watermen<sup>1</sup>" and then 191 accompanied each waterman through the village to locate both current and old abandoned 192 wells. We later shared the digital geographic information system (GIS) map layers with the 193 *Gram Panchayat*.

194

195 Irrigation water abstraction estimation. To understand the primary drivers of groundwater 196 depletion in the subwatershed, we estimated irrigation water abstraction using the method 197 laid out by Brouwer and Heibloem [11], necessitating as inputs the irrigated area, cropping 198 patterns, and irrigation technologies employed over time. This in turn required the 199 generation of our own land use/land cover estimates, for which we used classification tools 200 in Google Earth Engine. Landsat images were used for the years 1992-93, 2000-01, 2010-11, 201 2020-21 covering the changes in land use/land cover over four decades, with sufficient 202 temporal resolution to determine intra-annual frequency of irrigation (as indicated by 203 multiple vegetation peaks over the course of the year). This was necessary because global 204 land use / land cover products available for this region could not differentiate irrigated 205 agriculture given the small plot sizes and high heterogeneity, especially the intra-annual

<sup>&</sup>lt;sup>1</sup> Watermen are assigned with the responsibility of monitoring and maintaining local water supply schemes installed by the government.

206	variations in the cropping patterns [12]. We choose Landsat images since they offer the		
207	longest record of data [13, 14]. We used 'USGS Landsat 5 TM Surface Reflectance Tier 1' for		
208	1993 & 2011, 'USGS Landsat ETM+ 7 Surface Reflectance Tier 1' for 2001 and 'USGS Landsat		
209	8 OLI Surface Reflectance Tier 1' for 2021. For the year 2011 we relied on data from Landsat		
210	5 TM rather than Landsat 7 ETM+ because of line stripping error in the latter sensor. We		
211	verified the estimates with field visits and interviews with farmers and provided details on		
212	the method employed for computing land use and cover change in Supplemental		
213	information (Supplements 2, Section 1).		
214			
215	Based on discussions with farmers in the subwatershed between 2017 and 2022, we		
216	reconstructed a history of the irrigation methods employed. We identified flood irrigation as		
217	the only method of irrigation until 1991, and drip irrigation slowly growing up to 30% by 2001,		
218	50% in 2011, and 90% by 2021. We assumed the efficiencies of flood and drip irrigation to be		
219	60% and 90%, respectively ( <i>i.e.</i> , 60% of flood irrigation water and 90% of the drip irrigation		
220	water is evapo-transpired) [15]. We assume that post 1990's the main source of irrigation in		
221	this region is groundwater [ $\underline{6}$ , Personal Communication with farmers in Aralumallige		
222	subwatershed, 2022]		
223			
224	Following Brouwer and Heibloem [ <u>11</u> ]:		
225			
226	IN = ET Crop – $P_e$ (Equation 1)		
227			
228	Where IN = Irrigation water need		
229	<b>ET Crop</b> = crop water need (i.e., evapotranspiration of a specific crop type)		

230	$\mathbf{P}_{\mathbf{e}}$ = effective rainfall (i.e., the portion of rainfall that can be effectively used by
231	plants, equivalent to rainfall minus runoff minus evaporation minus deep
232	percolation)
233	
234	We calculated ET Crop by multiplying the phenological crop coefficient (K <sub>c</sub> ) value by the

- average seasonal potential evapotranspiration (PET) for the region [16].
- 236
- 237 We calculated Pe using the formula
- 238 P<sub>e</sub> = 0.8 P-25 if P > 75 mm/month
- **239**  $P_e = 0.6 P-10 \text{ if } P < 75 \text{ mm/month}.$
- 240

241 Drinking water abstraction estimation. We estimated groundwater withdrawals by the Gram 242 Panchayat for domestic purposes using the per capita (liters per capita per day or LPCD) 243 norm specified in the National Rural Drinking Water Programme (NRDWP) Guidelines for 244 2013 and Jal Jeevan Mission Operational Guidelines for 2019 (40 LPCD for the decades 245 during 1991 and 2001, and 55 LPCD after 2019) [17, 18]. In the absence of actual water supply data, we assume that the Gram Panchayats delivered the minimum volume for domestic 246 247 purposes, multiplying the LPCD norms by population size enumerated in the Census of 248 India for the years 1991, 2001, and 2011.

249

We also note that a significant portion of the domestic water in the study area is used for
livestock. We assume two domestic cows per household for the decades beginning in 1991,
2001, and 2011 and one per household for the decade beginning in 2021, with an upper limit
of the daily water requirement per cow of 80 liters [19]. Using these data, decadal domestic

- water consumption was estimated for the years 1991, 2001, 2011 and 2021 (population data
- for 2021 was extrapolated using the previous decade's census data and growth rate).
- 256
- 257
- 258 Table 1 Estimation of 2021 population.

Sample	Population	Population	Decadal	Decadal	Estimated
Village	in 2001	in 2011	Growth Rate	Growth Rate	Population for
Name				in %	2021
	By Census	By Census	(Population in	Decadal	(Population in
	2001	2011	2011 -	Growth Rate *	2011) + (Population
			Population in	100	in 2011 * Decadal
			2001) /		Growth Rate)
			Population in		
			2001		
Alahalli	939	1172	0.25	24.81	1463

259

<u>Groundwater recharge estimation.</u> We assumed that 5% of precipitation infiltrates the
 subsurface and recharges the underlying aquifer. Recharge rates typically consist of
 recharge from rainfall and recharge from other sources (such as surface water reservoirs
 and irrigation return flows). Recharge only begins to occur after rain events of a certain
 magnitude, but research suggests that recharge rates are not significantly higher for more
 intense events, so using annual average rainfall is appropriate.

- 267 Empirical measurements of rainfall done using the chloride mass balance (CMB) and water
- table fluctuation (WTF) methods suggest rainfall infiltration factors of 1-7% in hard rock
- regions, with 5% being the typical assumption used in models [20]. The Central Ground
- 270 Water Board's (CGWB's) Groundwater Estimation Committee estimates recharge in
- 271 Doddaballapur Taluk to be 8% with rainfall alone accounting for 5% [21].
- 272
- 273 Given that there are no other major sources of recharge in the Aralumallige catchment, a
- rainfall infiltration factor of 5% was applied to the average annual rainfall [22, 23, 24].
- 275

276	Table 2 Sources of data used to estimating water demands.
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Variable Data		Source of Data
	IRRIGATION WATER D	EMAND [ <u>11</u> ]
Land use/ Land Cover	Satellite Imagery	'USGS Landsat Level 2, Collection 2, Tier 1 datasets from Google Earth Engine (https://earthengine.google.com/)
	Verification	Field surveys using NOTECAM (https://notecam.derekr.com/index- EN.html)
Irrigation Water Demand	Cropping patterns of farmers using farm census of all irrigated farmers and some rainfed farmers in 2017.	Field surveys
	FAO Irrigation Water Use Methodology	FAO Crop Coefficient Table ( <u>Allen et al.1998, Chapter 6)</u>
	Potential Evapotranspiration	FAO Average Seasonal Potential Evaporation Rates <u>Rao et al.2012</u>

	Rainfall	IMD Gridded Daily Rainfall Data https://imdpune.gov.in/cmpg/Gridd ata/Rainfall_25_Bin.html			
	Drip Irrigation	Farm census and field surveys			
GW Recharge	Recharge Coefficient	Scanlon et al. 2005			
	DRINKING WATER DEMAND				
Population	Population Census	Government of India 1991, 2001, 2011 census <u>https://censusindia.gov.in/census.we</u> <u>bsite/</u> extrapolated to 2021 using decadal growth rate.			
Liters per capita per day (LPCD )	Quantum supplied was first assumed to be 40 LPCD, revised to 55 LPCD after 2011. A pipeline leakage loss of 20% as assumed.	Ministry of Drinking Water and Sanitation 2013; Ministry of Jal Shakti 2019			

<sup>277</sup> 

278 Costs of withdrawals. To quantify the cost of pumping over time and to understand how 279 much of this is because of over-exploitation of groundwater, we obtained current drilling and electricity costs from the Gram Panchayat offices. We interviewed farmers and Gram 280 281 Panchayat staff to reconstruct historical trends, asking them to recall the cost of wells they 282 drilled in each decade since 1981. Because these were based on interviews and multi-283 decadal recall, the data are the best estimates. They were also expressed in nominal terms 284 and had to be both adjusted for inflation (considering 2022 as base year) as well as for 285 changes in depth of borewells over time. 286 287 Costs of replacement of failed borewells. To quantify the cost of reinvestment to replace 288 failed borewells, we estimated the total number of functioning wells, the number of failed 289 borewells, the number of additional wells that would be required to serve the growing 290 population and changing per-capita water supply norms. To estimate these costs, we

291 needed to consider several factors: inflation, the increasing depth of borewells, and
292 improvements in technology, which resulted in a decline in the inflation adjusted per-foot
293 cost of drilling.

294

295 To estimate the cost of borewells in any given year, we interviewed three well drillers to

obtain the average cost of a wells drilled by them in each decade. We asked them to

include the cost of motors, pipes and other accessories. This allowed us to obtain the

298 nominal cost of a borewell and the nominal cost per foot. We then inflation adjust the

299 borewell costs in each decade to 2022 USD.

300 We were able to estimate two types of costs: First, we estimated the cost of the investment

301 stranded in each decade, by estimating the original cost of each borewell drilled. Second,

302 we estimated the additional cost of replacing failed borewells by adding up the costs of

303 each borewell drilled to replace a failed borewell. These analyses allowed us to estimate

304 the cumulative costs borne by the drinking water sector due to groundwater depletion.

305

### **3**06 **3. Results**

#### 307 Map of Functional and Abandoned Borewells Over Time

308 We created maps of functional and abandoned borewells over time to understand the

309 impact of groundwater depletion on rural drinking water supply in the Aralumallige

310 subwatershed. Dataset included geotagged information on borewell construction year,

311 depth, and failure year (if applicable) for both Gram Panchayat and private borewells. Using

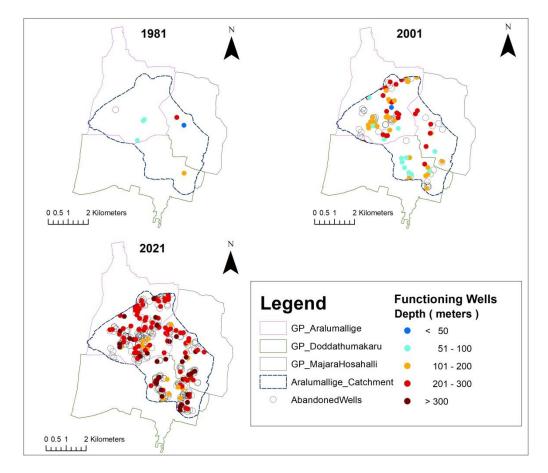
this data, we were able to recreate snapshots of functional and abandoned wells in the

313 years 1981, 2001, 2021. These maps helped us to assess the extent of groundwater depletion

and its impact on drinking water supply in the region. The spatial snapshots of borewells

315 over time show a deepening of wells throughout the landscape due to dropping water

- 316 tables (Figure 2). There are almost five times as many private irrigation borewells (129
- 317 functioning in 2017) than *Gram Panchayat* drinking water borewells (26 functioning in 2017)
- 318 (Figure S.2.1).



319

320

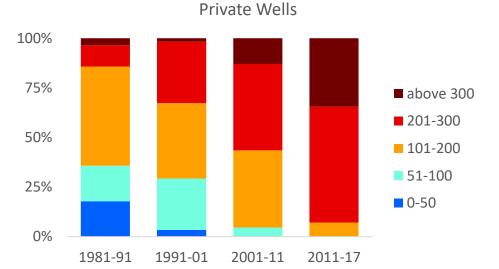
Figure 2 Snapshots of functional and abandoned wells at different points in time

321

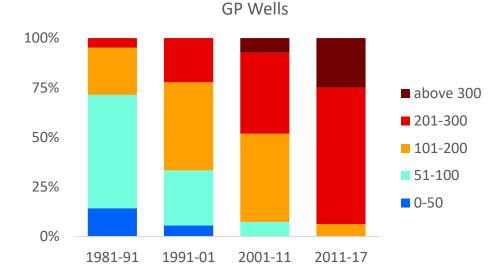
#### 322 Histogram of Well Depths by Decade of Construction

323 The distribution of well depths changed substantially over time with respect to both private

- 324 and Gram Panchayat borewells (Figure 2). Moreover, in the early years covered in the
- analysis, we found that private wells were deeper (Figure 3). After 2000, however, Gram
- 326 Panchayats also began drilling deeper borewells, to keep up with the declining water
- 327 tables, although private borewells on average remain slightly deeper,
- 328



329



330



Figure 3 Frequency distribution of drilling depth of private and Gram Panchayat borewells. The figure shows the 332 fractions of wells by depth (in meter) drilled in each decade.

333 One can generally rule out abandonment of wells due to water quality. Interviews with the

334 Gram Panchayat officials revealed that of the 79 abandoned borewells, only two were

- 335 abandoned due to elevated fluoride concentrations. Although nitrate levels are often higher
- than the prescribed norm of 50 milligrams/liter [25] this has not led to well abandonment 336
- 337 (Table S.1.1).

- 338 The analysis shows that almost 55% of all wells drilled in the Aralumallige subwatershed
- have failed, with 70% of drinking water wells failing within a decade of construction due to
- 340 falling water table depths (Table S.1.2 and S.1.3, Figure S.2.2 and S.2.3).
- 341

#### 342 Table 3 Land Use Land Cover change over time (in hectares).

Class\Year	1993	2001	2011	2021	Period
Irrigated Plantations	208	36	53	230	Annual
Double Cropped Paddy	12	0	0	0	June-Jan
Irrigated Mixed Crops	291	549	661	1000	June-Jan
Irrigated Total	511	585	714	1230	
Settlement	6	6	13	34	Annual
Unirrigated Plantations	67	54	135	529	Annual
Rainfed Paddy	23	0	0	0	June-Sept
Rainfed Other	1080	995	799	53	June-Oct
Perennial Waterbody	29	44	0	0	Annual
Seasonal Waterbody	57	32	22	22	June-Jan
Fallow/ Open Land	284	327	305	119	Annual
Prosopis sp.	0	15	69	69	Annual

343

- 345 Irrigated plots cover 40% of land in the subwatershed in 2021.
- 346

#### 347 Comparison of Irrigation and Domestic Abstractions vs. Recharge from Rainfall

- 348 We applied the Food and Agriculture Organization (FAO) methodology [11] to estimate
- irrigation withdrawals and compared them to both withdrawals for drinking water by the
- 350 *Gram Panchayat* and to recharge via rainfall.

<sup>344 &</sup>lt;u>Table 3</u> shows expansion of irrigation from 2010-2020 despite high rates of well failure.

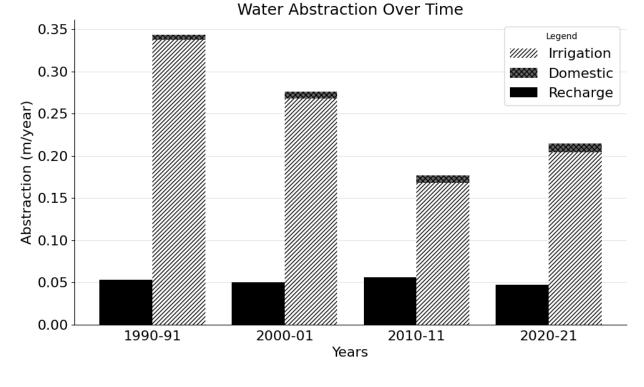


Figure 4: Comparisons of estimated groundwater abstraction amounts for domestic and irrigation versus estimated
 groundwater recharge.

354 Figure 4 shows that irrigation withdrawals are 10-20 times higher than domestic withdrawals 355 at different time periods, and that total withdrawals far exceed estimated groundwater 356 recharge from rainfall. Even though only 25% of cropland is irrigated, groundwater in the 357 region is already overexploited. Though the land under irrigation is expanding, the water 358 extracted for irrigation is going down over the years due to the use of efficient micro-359 irrigation systems.

360

351

#### 361 Comparison of Increased Demand vs. Investment

362 To determine the additional investment incurred to develop new water production for

363 domestic water supply to replace wells that have been taken out of operation, we

- 364 compared the investment that would have been needed to meet increased water demand
- 365 to the actual investment since 2011.

366	Increased demand is estimated by the increase in population times increase in LPCD. As
367	2011 was the first full year of implementation of the National Rural Drinking Water
368	Programme guidelines, and the whole population should have been receiving 40 LPCD,
369	2011 was used as a baseline. After 2019, this was increased to 55 LPCD based on the Jal
370	Jeevan Mission guidelines. Based on this we could estimate the percentage increase in the
371	number of borewells (assuming no significant change in yield).
372	
373	To estimate the cost of drilling deeper borewells for drinking water in response to
374	groundwater depletion, we assumed a counterfactual scenario wherein no borewells failed
375	so that any additional wells added after the 2000 only be needed to meet increased
376	domestic demand due to population growth and revision of the LPCD norms. There were 23
377	functioning drinking water wells in 2011 ( <u>Table 4</u> ). Between 2011 and 2021, population
378	increase and the increase in the per capita volumetric norm from 40 to 55 LPCD should
379	have resulted in an increase in the number of functioning wells to 34. In other words, only 11
380	new borewells for drinking water would have been drilled between 2011 and 2021 in the
381	Aralumallige and Doddathumakuru Gram Panchayats in the absence of groundwater
382	depletion.

384	Table 4: Number of functioning borewells for every decade from 1981 to 2021
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Year	Population	LPCD	Minimum Supply Needed (ML/Year)	Number of actual functioning borewells	Borewells∕ 1000 people
1981	6037	40	88	6	1.0
1991	7521	40	110	21	2.8
2001	9043	40	132	14	1.5
2011	9575	40	140	23	2.4
2021	10382	55	208	34	3.3

#### 385

- 386 In reality, however, borewell failure did occur. Functioning wells failed and new wells we
- 387 drilled to compensate for them. We could estimate the increased cost in two ways:
- 388 1) the stranded investment i.e., the cost of the original borewells
- 389 2) the duplicate investment i.e., the cost of new borewell to replace the failed ones.
- 390
- 391 Note that the inflation adjusted cost of the replacement borewells will not be the same as
- the cost of original borewells because they are likely to be deeper. On the other hand,
- 393 drilling costs per foot have consistently declined, so the difference is likely to be small.
- 394
- 395 Table 5. Number of wells required, and actual wells drilled at each decade starting 1981 till 2021

Decade	Total wells needed at end of decade*	Wells at start of decade	New wells needed to meet rise in demand	Wells failed during the decade.	Total new wells needed	New wells actually drilled	Wells at end of decade
1981- 1990	20	6	14	6	20	21	21
1991- 2000	24	21	3	25	28	18	14
2001- 2010	25	14	11	18	29	27	23
2011- 2021	37	23	14	30	44	41	34

396 397 \*this total number of wells needed is based on assuming 5.5 MLD/ borewell. This assumes average yields have remained constant.

398

399 The data suggest in the 1990s when drinking water borewell drilling lagged demand. But

400 from 2001 to 2021, new wells drilled kept pace with increased demand and replacement of

401 failed borewells. In fact, 41 new borewells were drilled and 30 wells failed, leaving 34

402 functioning borewells at the end of 2021 (Table 5).

404	The <u>total cost of drilling the 41 new borewells for both Gram Panchayats</u> between 2011 and
405	2021 was \$US 269,657. This includes both wells drilled to meet new demand and replace
406	failed borewells. Of this amount, the cost of replacing failed borewells, works out to \$US
407	197,206. The original cost 30 borewells that failed in this decade for both Gram Panchayats
408	was \$US 183,399 (based on Indian Rupee to US Dollar conversion factor of 76.9 in 2022).
409	
410	But not only did the capital costs increase, but groundwater pumping costs also increased
411	with falling water tables. According to staff at the two Gram Panchayats, electricity costs
412	tripled during the last decade (Personal Communication with Yogesh, Assistant Executive
413	Engineer, Department of Rural Development and Panchayat Raj, Doddaballapur, 2022).
414	Average Gram Panchayat drinking water borewell depths increased from 183 meters during
415	the period 2001 - 2011 to 321 meters during 2011 – 2021 period (Figure S.2.6). The increase in
416	electricity bills are partly due to the increase in depth of the wells and partly due to interest
417	accumulated on arrears.
418	
419	Indeed, just a single line item, the cost of electricity for pumping drinking water wells in
420	Aralumallige and Doddathumakuru Gram Panchayats exceed all sources of revenue they
421	received - taxes, state and central government allocations. The nominal flat rate tariff from
422	drinking water customers (50 to 100 Indian Rupees per month per household) constitutes
423	less than 20% of the total Gram Panchayat revenue collected. But these allocations are
424	meant to cover all costs including cleaning and maintaining gutters, paying staff salaries
425	etc., not just water and sanitation. ( <u>Table 6</u> ). As a result, both <i>Gram Panchayats</i> are in major
426	arrears to the state electricity board, which is accumulating interest. These debts go back
427	almost a decade.

#### 428

429

430 Table 6 O&M Expenditure vs. Sources of funding for water supply Operation & Maintenance costs in 2022<sup>1</sup>

Gram Panchayat	Pumping Cost USD/yr²	15th Finance Commissio n (Central Govt.) diverted to electricity board USD/year	Shaasana Baddha Anudhaana (Statuary Grants) Fund (State Govt.) for electricity USD/year	Tax Revenues USD/Year	Current arrears to electricity board (USD) accumulate d over the last two decades
Aralumallige	\$92,328	\$32,510	\$11,704	~\$32,000	\$249,675
Doddathumakuru	\$49,415	\$39,012	\$13,004	~\$25,000	\$184,655

431 <sup>1</sup>All costs are based on electricity bills in 2022. 1 USD ~ 76.9 INR in 2022

432 <sup>2</sup> Data sources are interviews with Assistant Engineers and electricity bills.

433

434 The total cost of electricity for pumping, as measured by the annual electricity bills (including interest on arrears), which includes new electricity charges plus interest on 435 arrears, is four times higher than the capital cost of drilling to replace failed borewells each 436 437 year (~8200 USD for drilling and electricity connection of 300 meters deep borewell as per 438 2020). In recent years, the two Gram Panchayats have been running collection drives to raise 439 tax revenues to clear these debts, because access to other government development 440 programs are tied to their payment of these debts to state agencies. But given that the 441 entirety of the Gram Panchayat revenues do not cover even a fraction of the annual 442 electricity bills, this seems a Sisyphean endeavor. At the moment, the borewell capital costs 443 are covered by Jal Jeevan Mission funds, but in the future, it is possible that capital costs of 444 borewells could become an additional burden if current trends persist.

#### 446

# 4. Discussion and Conclusion

447

448 The case study of Aralumallige and Doddathumakuru *Gram Panchayats* near Bengaluru in

449 peninsular India, illustrates the danger of attempting to address rural water supply

450 independently of sound water resources planning.

451

First, groundwater depletion is a severe problem that is largely driven by the free supply of
electricity to farmers for irrigation borewells, which promotes the over-abstraction of
groundwater. Irrigation water use has declined despite increases in irrigated area because
of the increase in drip irrigated area, However, abstraction still far exceeds groundwater

456 recharge from rainfall. It is also noteworthy that in the fractured hard rock aquifer regions of

457 India, there is no obvious spatial correlation among functioning wells, meaning that simple

458 rules of thumb on well spacing may not suffice [9].

459

Second, groundwater depletion imposes a major financial burden on local communities.
Both new borewell drilling for drinking water supplies and increasing pumping costs are
significant, with the latter constituting a bigger burden. *Gram Panchayats* must continue to
raise local taxes to keep up with rising electricity bills associated with water service
provision, and even those are proving to be insufficient.

465

Third, the levers for limiting or reversing groundwater depletion are few and far between.
Charging farmers for electricity has hitherto not been politically viable, and the current
focus at all levels of government is on recharging of groundwater, rather than reducing
groundwater abstraction. This is evident from government programs like *Sujala* (Karnataka
Watershed Development Project), a World Bank-funded project implemented in 11 districts

471 in Karnataka to improve the productive potential of selected watersheds and to strengthen

472 community and institutional arrangements [26], and Jal Shakti Abhiyan (Catch the Rain), a

473 campaign by the Government of India in water-stressed districts to promote water

474 conservation and water resource management [27].

475

476 Finally, there are signs of emerging water quality issues. We observe nitrate contamination

477 of groundwater across all borewells in the study area, but local officials pay little attention

478 to nitrate levels. None of the *Gram Panchayats* reported abandoning borewells due to

479 elevated nitrate levels.

480

481 To our knowledge, there has been no comprehensive sector-wise analysis of the causes 482 and implications of recurrent water service delivery "slip-backs" in India. This study suggests 483 problematic water resource management has substantial impacts on rural drinking water 484 supply. Using extensive primary and secondary data in the Aralumallige subwatershed, we 485 found that groundwater depletion is attributable to over-exploitation by irrigation, imposing 486 a substantial financial burden on local authorities, and in turn, households themselves. 487 These costs compete directly with other development priorities like schools and primary 488 health care facilities. Drinking water schemes in these areas are not sustainable and 489 continued access to piped drinking water is at serious risk. 490

Even if groundwater levels do rise in wet years, there are so many abandoned wells in the
catchment on which farmers immediately install pumps and resume pumping. The
Aralumallige catchment is in a state of dynamic equilibrium: the high density of abandoned
borewells in the catchment means that recovering water table depths will not result from

495 physical measures alone. Incentives to farmers would have to be fundamentally altered,

496 and this is a politically fraught proposition.

497

498 There are serious risks to drinking water security in these regions going forward, and there is 499 reason to believe that these risks are neither particular or unique, but are shared by a large 500 number of rural areas across Karnataka and the rest of peninsular India. Drinking water 501 service providers need to recognize these threats to rural drinking water security and 502 advocate for a fundamentally different approach to planning. Given the burden on the Gram 503 Panchayat budgets, perhaps a case may be made for payments to farmers to transition to 504 diversified income portfolios that can both increase farmer incomes and reduce abstraction. 505 506 507 Acknowledgements 508 The authors would like to thank their colleagues with the REAL-Water Project at ATREE and 509 at the Aquaya Institute. In particular, we thank Jeff Albert and Ranjiv Khush of Aquaya and 510 Zachary Burt at USAID for taking the time to carefully review the manuscript. Their 511 suggestions and feedback while developing this paper were invaluable. 512 The authors are grateful to Mr. Yogesh and Shrinivas (Assistant Executive Engineer, 513 Department of Rural Development and Panchayat Raj, Doddaballapur); Presidents, 514 Panchayat Development Officers (PDO), Panchayat Secretaries, Ex-Presidents, Watermen 515 and Ex-Watermen of Aralumallige, Doddathumakuru, and Majarahosahalli Gram Panchayats

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- 525

#### 526 Data Availability Statement

- 527 Readers should contact the corresponding author for the details.
- 528

#### 529 Conflict of Interest Statement

- 530 The Authors declare there is no conflict.
- 531
- 532

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