

# Decompression and fracturing caused by magmatically induced thermal stresses

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## Key Points:

- We present a numerical quantification of the effect of thermal stresses in visco-elasto-plastic rock with tensile and dilatant shear failure
- The pressure drop in thermally contracting upper crustal magma bodies can exceed 100 MPa, potentially triggering devolatilization
- Thermal cracking can create an extensive fracture network around an upper crustal magma body

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## Abstract

Studies of host rock deformation around magmatic intrusions usually focus on the development of stresses directly related to the intrusion process. This is done either by considering an inflating region that represents the intruding body, or by considering multiphase deformation. Thermal processes, especially volume changes caused by thermal expansion are typically ignored. We show that thermal stresses around upper crustal magma bodies are likely to be significant and sufficient to create an extensive fracture network around the magma body by brittle yielding. At the same time, cooling induces decompression within the intrusion, which can promote the appearance of a volatile phase. Volatile phases and the development of a fracture network around the inclusion may thus be the processes that control magmatic-hydrothermal alteration around intrusions. This suggests that thermal stresses likely play an important role in the development of magmatic systems.

To quantify the magnitude of thermal stresses around cooling intrusions, we present a fully compressible 2D visco-elasto-plastic thermo-mechanical numerical model. We utilize a finite difference staggered grid discretization and a GPU based pseudo-transient solver. First, we present purely thermo-elastic solutions, then we include the effects of viscous relaxation and plastic yielding. The dominant deformation mechanism in our models is determined in a self-consistent manner, by taking into account stress, pressure and temperature conditions. Using experimentally determined flow laws, the resulting thermal stresses can be comparable to or even exceed the confining pressure. This suggests that thermal stresses alone could result in the development of a fracture network around magmatic bodies.

## Plain Language Summary

Quantifying the stresses that magma bodies exert on the surrounding rocks is an important part of understanding mechanical processes that control the evolution of magmatic systems and volcanic eruptions. Previous analytical or numerical models typically describe the mechanical response to changes in magma volume due to intrusion or extraction of magma. However, volume changes related to thermal expansion/contraction around a cooling magma body are often neglected. Here, we develop a new software which runs on modern graphics processing unit (GPU) machines, to quantify the effect of this process. The results show that stresses due to thermal expansion/contraction are significant, and often large enough to fracture the rocks nearby the magma body. Such fracture networks may form permeable pathways for the magma or for fluids such as water and CO<sub>2</sub>, thus influencing the evolution of magmatic and hydrothermal systems. Finally we show that cooling and shrinking of magma bodies causes significant decompression which can influence the chemical evolution of the magma during crystallization and devolatilization.

## 1 Introduction

Quantifying the stress state and deformation around magma or mush bodies is a necessary step towards constructing a conceptual model that can describe the evolution of magmatic plumbing systems. The stress state of the host rock is of particular interest because stress is a key variable for most physical transport mechanisms of magma (e.g. Segall, 2010a). Such mechanisms include buoyant rising in a viscous matrix (e.g. Weinberg & Schmeling, 1992; Petford, 1996; Lister & Kerr, 1991; Rubin, 1993), hydraulic fracturing in an elasto-plastic matrix (i.e. diking) or self localizing porous flow due to decompression and compaction waves (e.g. Sleep, 1974; Connolly & Podladchikov, 2007; Katz, 2008; Keller et al., 2013). Moreover, surface deformation and seismicity are one of the few real-time indicators of changes in the magmatic plumbing system, both of which

are strongly related to the stress state (e.g. Pritchard & Simons, 2004; Segall, 2010b; Walter & Motagh, 2014; Reuber et al., 2018; Segall, 2019; Spang et al., 2021).

Studies of host rock deformation around magma chambers usually focus on stresses directly related to magma transport (such as dyke or sill emplacement). The customary approach is to prescribe the magma body as an over- or underpressured volume, representing an inflating or deflating region within the crust. There are analytical solutions that describe the displacement or stress field for different intrusion geometries in a purely elastic host rock (Kiyoo, 1958; McTigue, 1987; Yang et al., 1988; Fialko et al., 2001). However, if large volume changes are considered, equivalent of more than several MPa pressure difference, a few km below the surface, brittle failure becomes increasingly likely due to the small confining pressure. In this case, a purely elastic rheology is no longer applicable and the quantification of the stress state and tensile or dilational shear failure is of particular importance. This is because fractures or dikes propagating from the inclusion might reach the surface, resulting in an eruption or in the appearance of fumaroles. To investigate stresses and deformation in a visco-elasto-plastic host rock, several studies applied thermo-mechanical numerical modeling. Some utilize a visco-elastic rheology to quantify stresses and determine the onset of failure (e.g. Gregg et al., 2012; Zhan & Gregg, 2019; Head et al., 2022; Novoa et al., 2019) and others utilize an elasto-plastic or visco-elasto-plastic rheology (e.g. Gerbault et al., 2012, 2018; Souche et al., 2019; Novoa et al., 2022). However, thermal processes, especially volume changes due to thermal expansion are rarely considered. Studies which do consider volume change due to thermal expansion are limited to a purely elastic rheology, neglecting viscous or plastic deformation of the host rock (e.g. Kohsmann & Mitchell, 1986; Furuya, 2005; Wang & Aoki, 2019).

To first order, thermal stresses can be estimated by taking the mechanical equation of state (e.g. Turcotte & Schubert, 2014)

$$\frac{d\rho}{\rho} = -\alpha dT + \beta dP, \quad (1)$$

where the scalar values of pressure and density change are related to the trace of the stress and strain rate tensors  $P = -\sigma_{kk}/3$  and  $d\rho/\rho = -\dot{\epsilon}_{kk}dt$  (repeated indices imply summation). Assuming an isochoric process (i.e. constant volume) and expressing  $dP$

$$0 \approx -\alpha dT + \beta dP \quad \rightarrow \quad dP \approx \frac{\alpha}{\beta} dT. \quad (2)$$

This shows that thermal pressurization is linearly proportional to the temperature change with the ratio of the thermal expansion coefficient and the compressibility being the factor of proportionality. The ratio of the thermal expansion coefficient and the compressibility in intact rocks is typically on the order of  $1 \text{ MPa K}^{-1}$ . Considering that the temperature difference between rapidly injected magma bodies and their host rocks can easily reach several hundred degrees we can estimate that thermal pressure change can reach several hundred MPa. Moreover, in case of partially molten rocks, the volume change of melting/crystallization should be considered as well, implying even larger pressure changes. Based on these simple estimates, thermal pressurization can potentially exceed a near-lithostatic background pressure, potentially reaching the brittle yield stress in the host rock or significantly impacting the pressure-temperature ( $P-T$ ) conditions in the magma body. Therefore, it appears feasible that thermal expansion related stresses can generate significant pressure and stress anomalies, that might even lead to thermal cracking around rapidly emplaced, cooling upper crustal magma bodies.

Our aim in this paper is to quantify stresses and deformation generated by thermal expansion/contraction around cooling magma or mush chambers in a visco-elasto-viscoplastic host rock. To do so, we have developed a new numerical code that can be used to quantify volume changes due to elastic compressibility, thermal expansion and plastic dilation in a thermodynamically consistent manner. Besides that, the plasticity

111 model we use considers both shear and tensile failure. Since we focus on isolating and  
 112 quantifying the effects of thermal stresses around magma or mush chambers, we exclude  
 113 other processes from our models. Hence we consider single phase flow (i.e. no phase sep-  
 114 aration), constant material parameters that are typical of intact granites, no background  
 115 tectonic stresses. Also, we assume a pre-existing magma body (i.e. we do not model the  
 116 emplacement mechanism), where the magma body has an initially elevated temperature  
 117 (and thus lower viscosity and density), but otherwise is identical to the host rock. We  
 118 carry out 2D plane strain thermo-mechanical simulations applied to a magma chamber  
 119 with a horizontally prolate ellipsoidal geometry. We are using rheological models of in-  
 120 creasing complexity to show the difference between a purely elastic, a visco-elastic or a  
 121 visco-elasto-plastic rheology. Furthermore, we compare the influence of thermal stresses  
 122 and visco-elasto-plastic relaxation (without thermal expansion) on the stress evolution  
 123 around cooling magma chambers. Finally, we discuss the potential roles that thermal  
 124 stresses and thermal cracking might have on the evolution of magmatic plumbing sys-  
 125 tems and on the evolution of magmatic-hydrothermal systems around plutonic bodies.  
 126 Our results highlight the importance of considering thermal stresses to quantify defor-  
 127 mation and fracturing around magma chambers, when time scales over a thousand years  
 128 are considered.

## 129 2 Mathematical formulation and numerical model

### 130 2.1 Governing system of equations

131 We assume slow (i.e. negligible inertial forces), compressible, single velocity (i.e.  
 132 multiple phases may be present, but phase separation is excluded), visco-elasto-viscoplastic  
 133 deformation. The governing system of equations in 3D is

$$134 \frac{1}{\rho} \frac{d\rho}{dt} = - \frac{\partial v_k}{\partial x_k} \quad (3)$$

$$135 0 = \frac{\partial \sigma_{ij}}{\partial x_j} + \rho g_i \quad (4)$$

$$136 \rho C_P \frac{dT}{dt} = \alpha T \frac{dP}{dt} + \frac{\partial}{\partial x_j} \left( \lambda \frac{\partial T}{\partial x_j} \right) + \sigma_{ij} (\dot{\epsilon}_{ij} - \dot{\epsilon}_{ij}^{el}) + Q_r \quad (5)$$

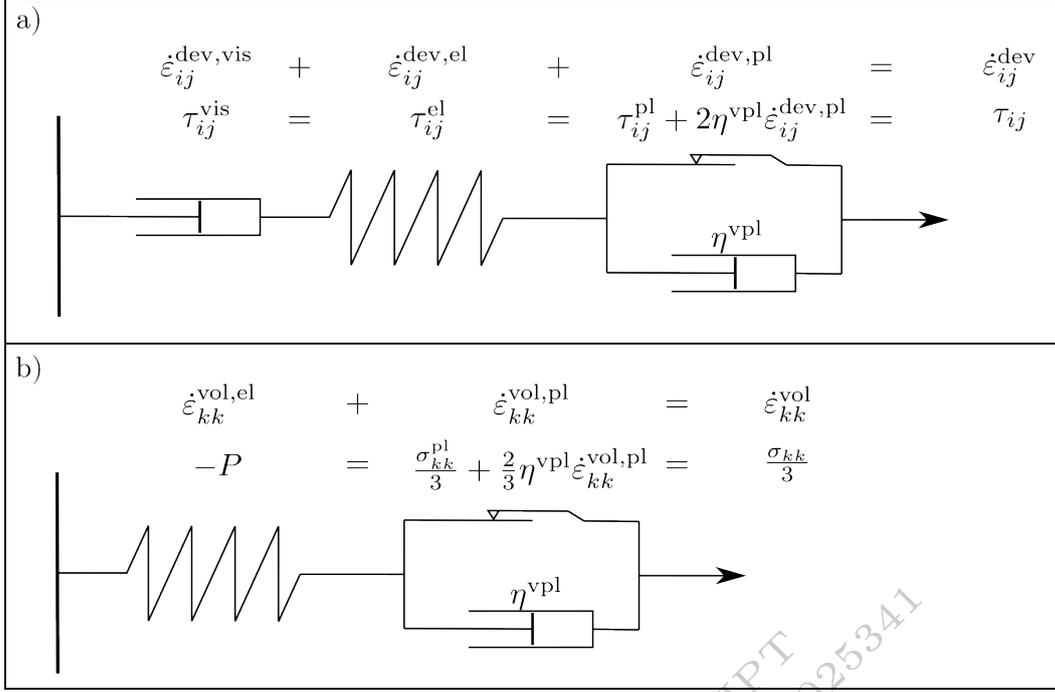
$$137 \frac{\partial v_k}{\partial x_k} = \alpha \frac{dT}{dt} - \beta \frac{dP}{dt} + \dot{\epsilon}_{kk}^{vol,pl} \quad (6)$$

$$138 \dot{\epsilon}_{ij}^{dev} = \frac{\tau_{ij}}{2\eta(\dot{\epsilon}_{II}^{dev,vis}, T)} + \frac{1}{2\mu} \frac{d\tau_{ij}}{dt} + \dot{\epsilon}_{ij}^{dev,pl}, \quad (7)$$

140 where equations (3-5) have been derived from the conservation of mass, momentum, and  
 141 energy respectively. Equations (6-7) are constitutive relationships between volumetric  
 142 and symmetric-deviatoric components of stress and strain rate tensors (Schubert et al.,  
 143 2001). Indices  $_{ijk}$  correspond to coordinate axes 1, 2 and 3 and repeated indices imply  
 144 summation (Einstein notation). The strain rate tensor  $\left( \dot{\epsilon}_{ij} = \frac{\partial v_i}{\partial x_j} \right)$  can be decomposed  
 145 into a volumetric part  $\left( \dot{\epsilon}_{ij}^{vol} = \frac{\delta_{ij}}{3} \frac{\partial v_k}{\partial x_k} \right)$  and a symmetric-deviatoric part  $\left( \dot{\epsilon}_{ij}^{dev} = 0.5 \left( \frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \right) - \frac{\delta_{ij}}{3} \frac{\partial v_k}{\partial x_k} \right)$ .  
 146 Our rheological model features a viscous, an elastic and a viscoplastic element in a Maxwell-  
 147 type coupling for shear deformation and a thermo-elastic, and a viscoplastic element in  
 148 a Maxwell-type coupling for volumetric deformation (Fig. 1; see Table 1 for parameters).  
 149 This formulation accounts for processes such as compressibility, thermal expansion, plas-  
 150 tic dilation, force balance, adiabatic heating, heat conduction, heat production due to  
 151 dissipative deformation and radioactive heating, in a thermodynamically self-consistent  
 152 way (for detailed derivation see the Appendix). It is worth noting that the interplay be-  
 153 tween the aforementioned processes results in a non-linear behaviour which is further en-  
 154 hanced by the non-linear visco-elasto-viscoplastic rheology of the host rocks.

**Table 1.** List of physical fields, rheological parameters, numerical parameters and mathematical notations used in the manuscript.

Quantity	symbol	units (SI)
spatial coordinates	$x_i$	m
time	$t$	s
density	$\rho$	$\text{kg m}^{-3}$
velocity	$v_i$	$\text{m s}^{-1}$
symmetric total stress tensor	$\sigma_{ij}$	Pa
pressure ( $-\sigma_{kk}/3$ )	$P$	Pa
symmetric deviatoric stress tensor ( $\sigma_{ij} + \delta_{ij}P$ )	$\tau_{ij}$	Pa
total, deviatoric, volumetric strain rate tensor	$\dot{\epsilon}_{ij}, \dot{\epsilon}_{ij}^{\text{dev}}, \dot{\epsilon}_{ij}^{\text{vol}}$	$\text{s}^{-1}$
viscous, elastic, plastic strain rate tensor	$\dot{\epsilon}_{ij}^{\text{vis}}, \dot{\epsilon}_{ij}^{\text{el}}, \dot{\epsilon}_{ij}^{\text{pl}}$	$\text{s}^{-1}$
gravitational acceleration	$g_i$	$\text{m s}^{-2}$
isobaric specific heat capacity	$C_P$	$\text{J K}^{-1}\text{kg}^{-1}$
temperature	$T$	K
volumetric thermal expansion coefficient	$\alpha$	$\text{K}^{-1}$
isothermal compressibility	$\beta$	$\text{Pa}^{-1}$
thermal conductivity	$\lambda$	$\text{W m}^{-1}\text{K}^{-1}$
rate of volumetric radiogenic heat production	$Q_r$	$\text{W m}^{-3}$
viscosity	$\eta$	Pa s
stress exponent	$n$	-
pre-exponential factor	$A$	$\text{Pa}^{-n}\text{s}^{-1}$
activation energy	$E$	$\text{J mol}^{-1}$
universal gas constant	$R$	$\text{J mol}^{-1}\text{K}^{-1}$
shear modulus	$\mu$	Pa
cohesion and tensile strength	$C, \sigma_T$	Pa
friction and dilation angle	$\varphi, \psi$	deg
plastic yield function and flow potential	$F, Q$	Pa
plastic multiplier (positive)	$\lambda^{\text{pl}}$	$\text{s}^{-1}$
viscoplastic relaxation time	$t_{\text{rel}}$	s
Duvaut-Lions factor	$\chi$	-
pressure and stress at corners 1 and 2 of the yield	$P_{C_1}, \tau_{C_1}, P_{C_2}, \tau_{C_2}$	Pa
trial pressure and trial stress	$P^{\text{tr}}, \tau_{\text{II}}^{\text{tr}}$	Pa
effective visco-elastic viscosity (Eq. 14)	$\eta^{\text{ve}}$	Pa s
effective visco-elastic strain rate (Eq. 14)	$\dot{\epsilon}_{ij}^{\text{dev,ve}}$	$\text{s}^{-1}$
time step	$dt$	s
number of grid points in $i$	$n_i$	-
pseudo time	$\omega$	s
damping parameter	$\xi$	-
relaxation factor	$\nu$	-
Kronecker delta	$\delta_{ij}$	-
square root of second invariant of $M_{ij}$ ( $\sqrt{0.5M_{ij}M_{ji}}$ )	$M_{\text{II}}$	$[M_{ij}]$



**Figure 1.** Schematic representation of our rheological model. We consider visco-elasto-viscoplasticity for shear deformation (a), and elasto-viscoplasticity for volumetric deformation (b).

## 2.2 Numerical implementation

Here, we present a 2D implementation of equations 3-7, assuming plane strain conditions (i.e. component 3 of velocity is zero, and component 3 of all gradients are zero). The system of non-linear equations (Eq. 1-5) is discretized on a regular Cartesian staggered grid using finite differences. The problem is solved by a pseudo-transient iteration or relaxation scheme (Versteeg & Malalasekera, 2007; Räss et al., 2022). Our implementation is a natural extension of the methods presented by Duretz et al. (2019) and Kiss et al. (2019) to resolve thermo-mechanical coupling for incompressible, purely viscous materials. However, we consider a non-linear visco-elasto-viscoplastic rheology, which is why we introduce new internal variables (i.e. stresses are split into trial stresses and viscoplastic stress corrections). We chose  $P^{\text{tr}}$ ,  $v_i$  and  $T$  as the primary variables, and as a result equations (6, 4 and 5) are recasted in the following form:

$$\frac{\partial P^{\text{tr}}}{\partial \omega} = -\frac{\partial v_k}{\partial x_k} + \alpha \frac{dT}{dt} + \beta \frac{P^{\text{tr}} - P^{\text{old}}}{dt} \quad (8)$$

$$\frac{\partial v_i}{\partial \omega} = -\frac{\partial \sigma_{ij}}{\partial x_j} - \rho g_i + \left(1 - \frac{\xi}{n_i}\right) \left(\frac{\partial v_i}{\partial \omega}\right)^{\text{it}-1} \quad (9)$$

$$\frac{\partial T}{\partial \omega} = -\rho C_P \frac{dT}{dt} + \alpha T \frac{dP}{dt} + \frac{\partial}{\partial x_j} \left( \lambda \frac{\partial T}{\partial x_j} \right) + \sigma_{ij} (\dot{\varepsilon}_{ij} - \dot{\varepsilon}_{ij}^{\text{el}}) + Q_r, \quad (10)$$

where  $\frac{\partial}{\partial \omega}$  are derivatives with respect to pseudo time  $\omega$ , and are integrated in an explicit, forward Euler manner. The  $\frac{\partial}{\partial \omega}$  terms can also be regarded as residuals of the conservation equations, decreasing during the iteration cycle. Superscript  $\text{it}-1$  denotes values from the previous iteration and  $\text{old}$  denotes a fully converged value from the previous time

175 step accounting for semi-Lagrangian advection. Therefore the total time derivatives de-  
 176 note  $\frac{dM}{dt}(x_{ij}) = \frac{M^{it-1}(x_{ij}) - M^{old}(x_{ij} - v_{ij} dt)}{dt}$ . According to the small strain formulation,  
 177 we neglect the rotational terms in the time derivative of the stress tensor. The last term  
 178 on the right hand side of equation (9) is introduced to dampen oscillations of the mo-  
 179 mentum residuals and hence accelerate convergence. In addition, viscosity, stress and  
 180 density are updated in an iterative manner as:

$$181 \quad \rho = \rho^{old} \exp\left(-\frac{\partial v_k}{\partial x_k} dt\right) \quad (11)$$

$$182 \quad \eta = \exp\left((1 - \nu) \ln(\eta^{it-1}) + \nu \ln\left(A^{-\frac{1}{n}} (\dot{\epsilon}_{II}^{dev,vis})^{\frac{1}{n}-1} \exp\left(\frac{E}{nRT}\right)\right)\right) \quad (12)$$

$$183 \quad \dot{\epsilon}_{ij}^{dev} = \frac{1}{2} \left(\frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i}\right) - \frac{\delta_{ij}}{3} \frac{\partial v_k}{\partial x_k} \quad (13)$$

$$184 \quad \tau_{ij}^{tr} = 2\eta^{ve} \dot{\epsilon}_{ij}^{dev,ve} = 2 \left(\frac{1}{\eta} + \frac{1}{\mu dt}\right)^{-1} \left(\dot{\epsilon}_{ij}^{dev} + \frac{\tau_{ij}^{old}}{2\mu dt}\right) \quad (14)$$

$$185 \quad \tau_{ij} = \tau_{ij}^{tr} \left(1 - \frac{2\eta^{ve}}{\tau_{II}^{tr}} \dot{\epsilon}_{II}^{dev,pl}(P^{tr}, \tau_{II}^{tr})\right) \quad (15)$$

$$186 \quad P = P^{tr} + \frac{dt}{\beta} \dot{\epsilon}_{kk}^{vol,pl}(P^{tr}, \tau_{II}^{tr}) \quad (16)$$

$$187 \quad \dot{\epsilon}_{II}^{dev,vis} = \frac{\tau_{II}}{2\eta}. \quad (17)$$

189 To improve convergence and robustness, we employ a logarithmic relaxation scheme on  
 190 the effective viscosity. In our staggered grid discretization the non-diagonal components  
 191 of  $\tau_{ij}$ ,  $\tau_{ij}^{tr}$  and  $\dot{\epsilon}_{ij}^{dev,ve}$  are located in the vertices. Therefore the effective viscosity  $\eta^{ve}$  is  
 192 calculated not only at cell centres, but also at the vertices, using interpolated values of  
 193  $\dot{\epsilon}_{II}^{dev,vis}$  and  $T$ . To add the plastic correction to the non-diagonal components of  $\tau_{ij}$ , in-  
 194 terpolated values of  $\tau_{II}^{tr}$ ,  $\dot{\epsilon}_{II}^{tr}$  and  $\eta^{ve}$  calculated at the vertices are used.

195 For each physical time step equations (8-17) are iterated until the residuals (left  
 196 hand side) of equations (8-10) reach a given tolerance value (respectively set to  $10^{-17} \text{ s}^{-1}$ ,  
 197  $10^3 \text{ Pa/dy}$  and  $10^{-3} \text{ K/dt}$  in infinity norm). In addition to checking for convergence of  
 198 the momentum equation (9), we check the residuals of the additive strain rate decom-  
 199 position (eq. 7) as well. This ensures that a solution of the local nonlinear problem has  
 200 been found. At this point, a fully implicit solution, equivalent to backward Euler time  
 201 discretization, is achieved and all non-linearities are converged.

### 202 2.3 Viscoplastic return mapping

203 The importance of viscoplastic regularization in geodynamic applications has been  
 204 extensively discussed by de Borst and Duretz (2020) and Duretz et al. (2020). In essence,  
 205 a viscoplastic formulation alleviates the problems associated with rate-independent plas-  
 206 ticity (i.e. mesh dependence) and improves convergence. The implementation presented  
 207 by Duretz et al. (2020) is based on the formulation of Perzyna (1966), where viscoplas-  
 208 tic regularization is achieved by a priori fixing a viscosity value (Fig. 1.,  $\eta_{vpl}$ ). This kind  
 209 of regularization is straightforward to implement for a linear yield function. However,  
 210 we consider a piece-wise linear yield function ( $F$ ) and flow potential ( $Q$ ) to account for  
 211 volumetric plastic strains. We have found that the equivalent formulation of Duvaut and  
 212 Lions (1972) is more straightforward, when a characteristic relaxation time (instead of  
 213 viscosity) is fixed a priori (Simo et al., 1988). Besides its simplicity, this implementation  
 214 has the benefit of producing a uniform overstress (as a function of the distance from the  
 215 yield along the return map) for all segments of a non-linear yield function.

216 Our plastic yield function is a piece-wise linear combination of a Drucker-Prager  
 217 ( $F_{DP}$ ), a tensile (mode-1,  $F_{M1}$ ) and a pressure limiter yield ( $F_{PL}$ ), considering only the

218 dependence on the first- (i.e. mean stress  $\sigma_m = -P$ ) and second stress invariants ( $\tau_{II}$ ).  
 219 The composite yield function (Fig. 2.3) is formulated as

$$220 \quad F = \max \left\{ \begin{array}{l} F_{DP} = \tau_{II} - P \sin \varphi - C \cos \varphi \\ F_{MI} = \tau_{II} - P - \sigma_T \\ F_{PL} = -P - (\sigma_T - \delta\sigma_T) \end{array} \right\} = 0. \quad (18)$$

221 According to the rate-independent non-associated plastic flow rule,

$$222 \quad \dot{\varepsilon}_{ij}^{pl*} = \dot{\lambda}^{pl} \frac{\partial Q(P, \tau_{II})}{\partial \sigma_{ij}} = \dot{\lambda}^{pl} \left( \frac{\partial Q}{\partial P} \frac{\partial P}{\partial \sigma_{ij}} + \frac{\partial Q}{\partial \tau_{II}} \frac{\partial \tau_{II}}{\partial \sigma_{ij}} \right) = \dot{\lambda}^{pl} \left( -\frac{\partial Q}{\partial P} \frac{\delta_{ij}}{3} + \frac{\partial Q}{\partial \tau_{II}} \frac{\tau_{ij}}{2\tau_{II}} \right) \quad (19)$$

223 where the two terms on the right hand side represent the volumetric and the deviatoric  
 224 components of the plastic strain rate tensor. Viscoplastic regularization is achieved by  
 225 scaling rate-independent plastic strain rates with the ratio of time increment and a re-  
 226 laxation time (denoted by  $\chi$ )

$$227 \quad \dot{\varepsilon}_{ij}^{pl} = \frac{dt}{dt + t_{rel}} \dot{\varepsilon}_{ij}^{pl*} = \chi \dot{\varepsilon}_{ij}^{pl*}. \quad (20)$$

228 Our composite yield function exhibits two corners, one of them ( $P_{C_1}, \tau_{C_1}$ ) is at the  
 229 intersection of the pressure limiter and tensile yield segments and the other one ( $P_{C_2}, \tau_{C_2}$ )  
 230 is at the the intersection of the tensile and the Drucker-Prager yield segments. We use  
 231 a typical non-associated flow potential ( $Q$ ) with dilation for the Drucker-Prager yield and  
 232 associated flow potentials for the tensile and the pressure limiter yield stress. However,  
 233 considering only the potential functions corresponding to the linear segments (Fig. 2.3,  
 234 regions I, III and V) is insufficient, and potential functions must be created for the corner  
 235 regions too (Fig. 2.3, regions II and IV). For linear yield functions and the applied  
 236 plastic potential functions, the plastic multiplier ( $\dot{\lambda}^{pl}$ ) and hence the plastic strain rates  
 237 ( $\dot{\varepsilon}_{II}^{dev,pl}, \dot{\varepsilon}_{kk}^{vol,pl}$ ) can be expressed analytically, in a closed form, as described in the follow-  
 238 ing pseudo-algorithm.

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if  $F(P^{\text{tr}}, \tau_{\text{II}}^{\text{tr}}) > 0$   
 if  $\tau_{\text{II}}^{\text{tr}} \leq \tau_{\text{C}_1}$   

$$Q_{\text{I}} = -P^{\text{tr}} - (\sigma_{\text{T}} - \delta\sigma_{\text{T}})$$

$$\dot{\epsilon}_{\text{II}}^{\text{dev,pl}} = 0$$

$$\dot{\epsilon}_{\text{kk}}^{\text{vol,pl}} = \chi (-P^{\text{tr}} - (\sigma_{\text{T}} - \delta\sigma_{\text{T}}))$$
 elseif  $\tau_{\text{C}_1} < \tau_{\text{II}}^{\text{tr}} \leq \frac{\eta^{\text{ve}\beta}}{dt}(-P^{\text{tr}} + P_{\text{C}_1}) + \tau_{\text{C}_1}$   

$$Q_{\text{II}} = \sqrt{\left(\frac{\tau_{\text{II}}^{\text{tr}} - \tau_{\text{C}_1}}{\eta^{\text{ve}}}\right)^2 + \left(\frac{-P^{\text{tr}} + P_{\text{C}_1}}{\beta^{-1}dt}\right)^2}$$

$$\dot{\epsilon}_{\text{II}}^{\text{dev,pl}} = \chi \frac{\tau_{\text{II}}^{\text{tr}} - \tau_{\text{C}_1}}{\eta^{\text{ve}}}$$

$$\dot{\epsilon}_{\text{kk}}^{\text{vol,pl}} = \chi \frac{-P^{\text{tr}} + P_{\text{C}_1}}{\beta^{-1}dt}$$
 elseif  $\frac{\eta^{\text{ve}\beta}}{dt}(-P^{\text{tr}} + P_{\text{C}_1}) + \tau_{\text{C}_1} < \tau_{\text{II}}^{\text{tr}} \leq \frac{\eta^{\text{ve}\beta}}{dt}(-P^{\text{tr}} + P_{\text{C}_2}) + \tau_{\text{C}_2}$   

$$Q_{\text{III}} = \tau_{\text{II}}^{\text{tr}} - P^{\text{tr}}$$

$$\dot{\epsilon}_{\text{II}}^{\text{dev,pl}} = \chi \frac{\tau_{\text{II}}^{\text{tr}} - P^{\text{tr}} - \sigma_{\text{T}}}{2(\eta^{\text{ve}} + \beta^{-1}dt)}$$

$$\dot{\epsilon}_{\text{kk}}^{\text{vol,pl}} = \chi \frac{\tau_{\text{II}}^{\text{tr}} - P^{\text{tr}} - \sigma_{\text{T}}}{\eta^{\text{ve}} + \beta^{-1}dt}$$
 elseif  $\frac{\eta^{\text{ve}\beta}}{dt}(-P^{\text{tr}} + P_{\text{C}_2}) + \tau_{\text{C}_2} < \tau_{\text{II}}^{\text{tr}} \leq \frac{\eta^{\text{ve}\beta}}{dt \sin \psi}(-P^{\text{tr}} + P_{\text{C}_2}) + \tau_{\text{C}_2}$   

$$Q_{\text{IV}} = \sqrt{\left(\frac{\tau_{\text{II}}^{\text{tr}} - \tau_{\text{C}_2}}{\eta^{\text{ve}}}\right)^2 + \left(\frac{-P^{\text{tr}} + P_{\text{C}_2}}{\beta^{-1}dt}\right)^2}$$

$$\dot{\epsilon}_{\text{II}}^{\text{dev,pl}} = \chi \frac{\tau_{\text{II}}^{\text{tr}} - \tau_{\text{C}_2}}{\eta^{\text{ve}}}$$

$$\dot{\epsilon}_{\text{kk}}^{\text{vol,pl}} = \chi \frac{-P^{\text{tr}} + P_{\text{C}_2}}{\beta^{-1}dt}$$
 else  

$$Q_{\text{V}} = \tau_{\text{II}}^{\text{tr}} - P^{\text{tr}} \sin \psi$$

$$\dot{\epsilon}_{\text{II}}^{\text{dev,pl}} = \chi \frac{\tau_{\text{II}}^{\text{tr}} - P^{\text{tr}} \sin \psi - C \cos \varphi}{2(\eta^{\text{ve}} + \beta^{-1}dt \sin \psi \sin \varphi)}$$

$$\dot{\epsilon}_{\text{kk}}^{\text{vol,pl}} = \chi \frac{\tau_{\text{II}}^{\text{tr}} - P^{\text{tr}} \sin \psi - C \cos \varphi}{\eta^{\text{ve}} + \beta^{-1}dt \sin \psi \sin \varphi} \sin \psi$$
 end  
 else  

$$\dot{\epsilon}_{\text{II}}^{\text{dev,pl}} = 0$$

$$\dot{\epsilon}_{\text{kk}}^{\text{vol,pl}} = 0$$
 end

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The Drucker-Prager and tensile yield functions and the corresponding plastic potentials are often used for geodynamic applications in an identical form (e.g. Rozhko et al., 2007; Duretz et al., 2021). The corner domains and the corresponding plastic potential are defined according to Drucker's postulate (Drucker, 1952). As we use a strain rate driven formulation, we avoid any potential issues arising from the non-unique total stress to plastic strain rate relationship in the corner domain (Ottosen & Ristinmaa, 1996).

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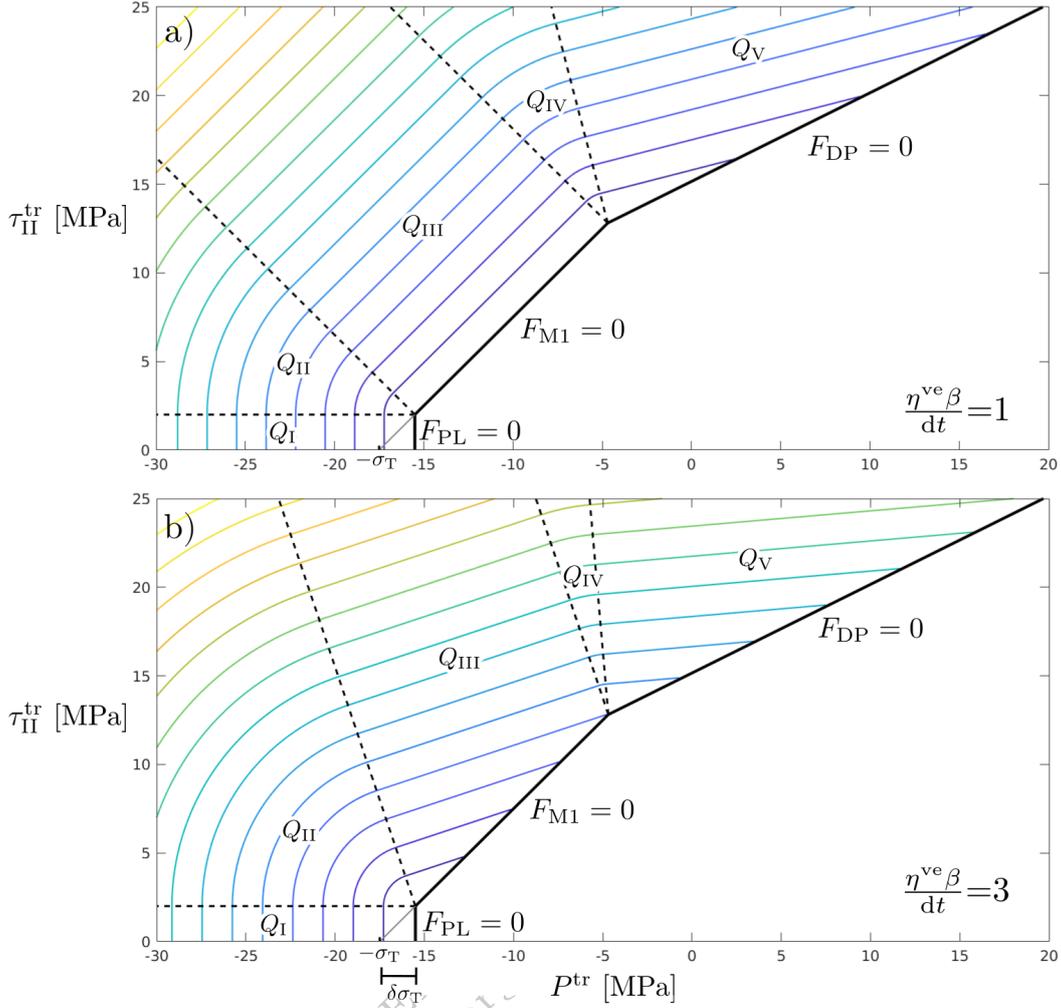
### 3 Reference configuration

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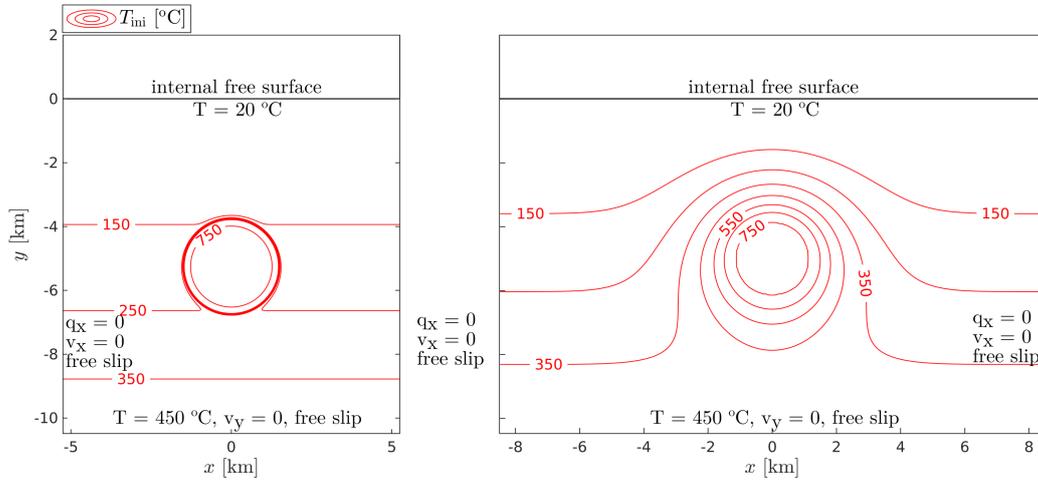
All simulations presented here are two dimensional, plane strain, applied to a prolate ellipsoidal magma body with its long axis perpendicular to the 2D cross section. Regarding the initial temperature field, we explore two end-member cases. In the first case



**Figure 2.** An example of a piece-wise linear combination of a Drucker-Prager ( $F_{DP}$ ), a tensile (mode-1,  $F_{M1}$ ) and pressure limiter yield ( $F_{PL}$ ), considering dependence only on the first- (i.e. mean stress  $\sigma_m^{tr} = -P^{tr}$ ) and second trial stress invariants ( $\tau_{II}^{tr}$ ). The region where trial stresses violate the yield is indicated by the contoured area. This area is divided into five domains, where different plastic flow potentials are defined, corresponding to the three linear segments and the two corner regions. Return mapping in the  $P^{tr} - \tau_{II}^{tr}$  plane happens orthogonally to the coloured contours. However, the angle of return mapping and the domain boundaries shift as a function of the ratio of  $\eta^{ve}$  and  $dt/\beta$  as shown for a ratio of 1 in panel (a) and for a ratio of 3 in panel (b). In this figure  $Q_I$  is enlarged for better visibility, as it would be barely visible otherwise.

250 the magma chamber is represented as a sharp temperature anomaly and in the second  
 251 case the magma/mush chamber is represented as a smooth temperature anomaly (Fig.  
 252 3, panel a and b, respectively). The first end-member with the sharp temperature anomaly  
 253 could represent a rapidly formed magma body that did not have sufficient time to cool.  
 254 On the other hand, the second end-member is representative of a long lived magmatic  
 255 system. Our reference models are based on a 10.5 km (sharp anomaly) and 17 km wide  
 256 (smooth anomaly) and 10.5 km deep model domain, with a flat initial topography and  
 257 2 km of sticky air (low density, low viscosity layer) on top. We use a free surface bound-

258 any condition on top and fixed free slip conditions on the other boundaries. We apply  
 259 a constant 20 °C in the sticky air layer and a constant 450 °C at the bottom boundary.  
 260 The side boundaries are insulating (i.e. zero heat flux). The initial, background temper-  
 261 ature field is the equilibrium geotherm, resulting from the boundary conditions, a con-  
 262 stant thermal conductivity ( $3 \text{ W m}^{-1}\text{K}^{-1}$ ) and a constant radiogenic heat production  
 263 rate ( $10^{-6} \text{ W/m}^3$ ). The magmatic intrusion is implemented as a circular high temper-  
 264 ature (750 °C) domain, with a radius of 1.5 km and center at 5 km depth. A correspond-  
 265 ing (in 3D) prolate ellipsoid with the semi minor axes of 1.5 km and aspect ratio of 1:4  
 266 has a volume of ca.  $57 \text{ km}^3$ . Such magma volumes are in agreement with the estimated  
 267 volumes of individual intrusions in the Torres del Paine intrusion complex (Leuthold et  
 268 al., 2012). The initial stress field and the corresponding density field are calculated us-  
 269 ing a (temporally) isothermal, purely viscous Stokes solution. Buoyancy stresses in this  
 270 configuration are negligible ( $\sim 0.2 \text{ MPa}$ ), hence the resulting stress field is nearly litho-  
 271 static. The input parameters and material parameters are defined as listed in Table 2,  
 272 unless specifically stated otherwise.



**Figure 3.** The initial and boundary conditions for our reference configuration with a sharp (panel a) and a smooth thermal boundary (panel b), where  $q_x$  is the horizontal conductive heat flux. For both cases, the initial stress field is near lithostatic. Since the overall size of the smooth thermal anomaly is larger, we increased the model width for configuration (b) to minimize boundary effects.

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## 4 Results

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### 4.1 The purely thermoelastic case

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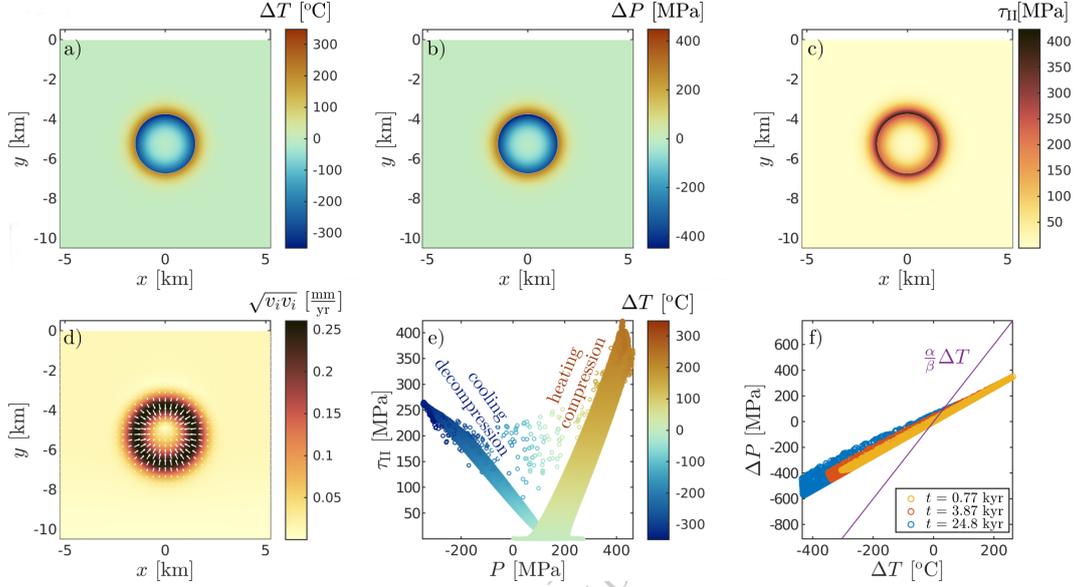
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As a reference, we present results from a model that considers a purely elastic rheology. We consider our reference configuration (Fig. 3 a) with constant parameters of  $\alpha = 3 \times 10^{-5} \text{ K}^{-1}$ ,  $\beta = 10^{-11} \text{ Pa}^{-1}$  and  $\mu = 6 \times 10^{10} \text{ Pa}$  (giving a Poisson's ratio of 0.25), which are typical values for intact granite. The general behaviour of the system is illustrated in Figure (4). One can observe that the temperature change is largest at the contact of the magma body and its host, and it gradually decays with increasing distance from the thermal anomaly. As a result of thermal expansion/contraction, pressure changes are observed that are linearly proportional to the temperature change. However, due to the non-zero volumetric deformation, the magnitude of thermal pressurization is

**Table 2.** List of reference parameters. Model (a) and (b) refers to Fig. 3 a and b respectively. All material parameters are representative for intact granites, and the flow laws parameters are from (Carter & Tsenn, 1987).

Input parameter	symbol	quantity	units (SI)
model (a) dimensions	$L_x, L_y$	$(10.5, 12.5) \times 10^3$	m
model (b) dimensions	$L_x, L_y$	$(17.0, 12.5) \times 10^3$	m
maximum coordinate on the vertical axis	$y_{\max}$	$2 \times 10^3$	m
top and bottom temperature	$T_{\text{top}}, T_{\text{bot}}$	20, 450	°C
intrusion temperature	$T_{\text{int}}$	750	°C
gravitational acceleration	$ g $	9.81	$\text{m s}^{-2}$
isobaric specific heat capacity	$C_P$	1050	$\text{J K}^{-1} \text{kg}^{-1}$
volumetric thermal expansion coefficient	$\alpha$	$3 \times 10^{-5}$	$\text{K}^{-1}$
isothermal compressibility	$\beta$	$10^{-11}$	$\text{Pa}^{-1}$
reference density ( $P=0$ Pa, $T=0$ °C)	$\rho_{\text{ref}}$	2650	$\text{kg m}^{-3}$
thermal conductivity	$\lambda$	3	$\text{W m}^{-1} \text{K}^{-1}$
volumetric radiogenic heat production	$Q_r$	$10^{-6}$	$\text{W m}^{-3}$
stress exponent	$n$	3.5	-
pre-exponential factor	$A$	$1.67 \times 10^{-24}$	$\text{Pa}^{-3.5} \text{s}^{-1}$
activation energy	$E$	$1.87 \times 10^5$	$\text{J mol}^{-1}$
universal gas constant	$R$	8.3145	$\text{J mol}^{-1} \text{K}^{-1}$
shear modulus	$\mu$	$6 \times 10^{10}$	Pa
cohesion (random field)	$C$	$(15 \pm 3) \times 10^6$	Pa
ratio of cohesion and tensile strength	$C/\sigma_T$	2	-
friction angle	$\varphi$	30	deg
dilation angle	$\psi$	15	deg
Duvaut-Lions factor	$\chi$	0.5	-

284 about half of what is expected based on the isochoric assumption. Unlike thermal pres-  
 285 surization that can be positive or negative, the second stress invariant is proportional  
 286 to the absolute value of temperature change. For a purely thermoelastic case, the factor  
 287 of proportionality is largely time independent due to the lack of stress relaxation mech-  
 288 anisms. Finally, total displacements in our models due to thermal expansion and con-  
 289 traction do not exceed a few meters at any point in time over the course of the entire  
 290 simulation time of over 300 kyr. As a result detecting such processes using real time mon-  
 291 itoring of surface deformation above a magma chamber is challenging.



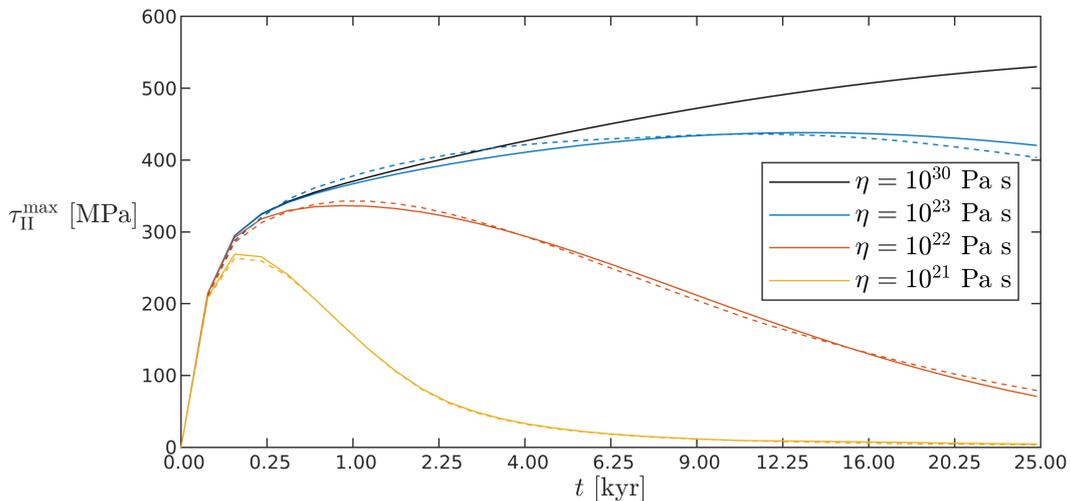
**Figure 4.** Results of a purely thermoelastic simulation after 3.87 kyr, using  $\alpha = 3 \times 10^{-5} \text{ K}^{-1}$ ,  $\beta = 10^{-11} \text{ Pa}^{-1}$  and  $\mu = 6 \times 10^{10} \text{ Pa}$  (Poisson’s ratio of 0.25), which are typical values for an intact granite. Panels (a-d) show the spatial distribution of total temperature change with respect to the initial state, total pressure change, second invariant of the deviatoric stress tensor and velocity magnitudes with directions, respectively. Panel (e) shows a scatter plot of the second invariant of the deviatoric stress tensor in each grid point as a function of pressure, coloured according to the total temperature change. The data points are aligned along two linear clusters, showing that cooling model domains suffer decompression and heating model domains suffer compression locally. Panel (f) shows that total pressure change linearly depends on the total temperature change and there is little deviation from this trend as the system evolves in time. The purple line indicates the estimated total pressure change based on the isochoric limit of the equation of state. The smaller slope of the data is caused by volume changes that are not negligible when a realistic shear modulus (or Poisson’s ratio) is considered.

## 292 4.2 Viscous relaxation of thermal stresses

293 To illustrate the effects of viscous relaxation, we initially considered a constant vis-  
 294 cosity and we carried out simulations with the same material properties as in the purely  
 295 thermoelastic case. In this case, the constant viscosity is included in the rheological model.  
 296 The results indicate that viscous relaxation has little effect initially. However, a further  
 297 increase of thermal stresses leads to the gradual decrease of the magnitude of deviatoric  
 298 stresses (Fig. 5). Consequently, thermal stresses are not sustainable indefinitely, unlike  
 299 in the purely thermoelastic case. The timescale of viscous relaxation is shorter for smaller

300 values of viscosity in agreement to the Maxwell viscoelastic timescale. For example, con-  
 301 sidering a typical lithospheric viscosity of  $10^{23}$  Pa s, one can expect relatively insignif-  
 302 icant viscous relaxation during the first 25 kyr. However, even if one considers a viscosi-  
 303 tivity of  $10^{21}$  Pa s, which is unrealistically small for most upper crustal rheologies, ther-  
 304 mal stresses can reach several hundred MPa, which can be sustained for about a thou-  
 305 sand years.

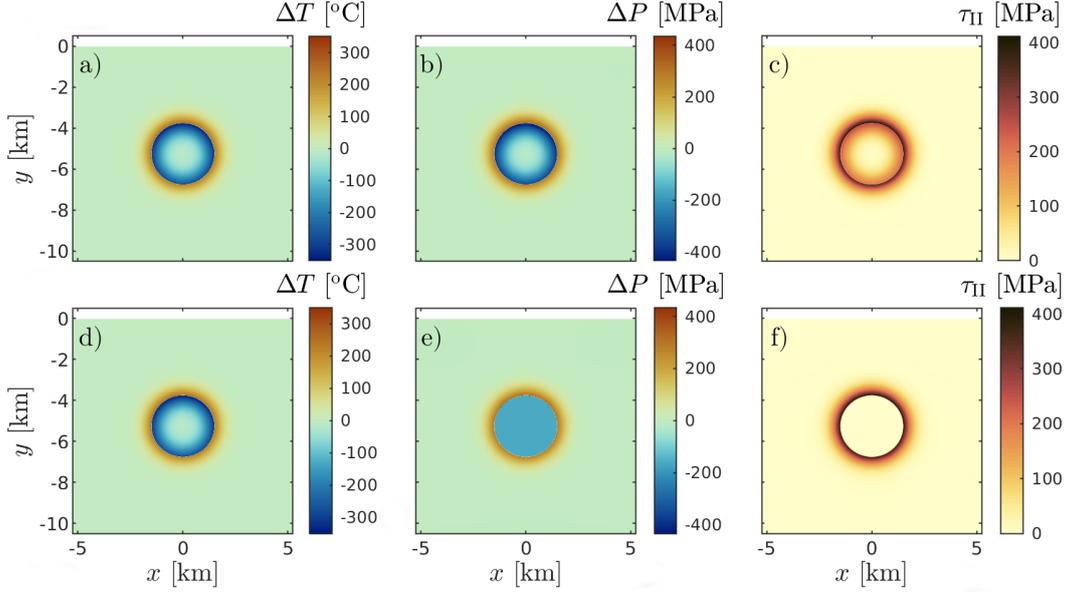
306 In the previous simulations, we considered cases with constant viscosity in the en-  
 307 tire model domain. However, the viscosity of magmas is significantly lower than that of  
 308 the host rock. To account for the possible effects of low magma viscosity, we carried out  
 309 simulations where the viscosity of the host rock was kept constant but the viscosity in-  
 310 side the initial magma body was set to  $10^{20}$  Pa s (the viscosity of magmas is much lower,  
 311 but due to numerical reasons we must limit the maximum viscosity contrast in our model).  
 312 The models show that the decreased viscosity results in a rapid relaxation of deviatoric  
 313 stresses within the magma body (Fig. 6). Hence the total pressure drop inside the magma  
 314 body undergoes rapid spatial homogenization instead of following the pattern of total  
 315 temperature change. Nevertheless, the decreased viscosity in the initial magma body has  
 316 negligible effects on the stress relaxation in the host rock.



**Figure 5.** Evolution of the maximum of the second invariant of the deviatoric stress as a function of time for different viscosities (the time axis is quadratic). The solid lines indicate results of models with a homogeneous viscosity. The dashed lines indicate results where the magma body is represented by a weak inclusion ( $\eta = 10^{20}$  Pa s) and the viscosity of the host rock is indicated by the color. The results show that the relaxation of thermal stresses is primarily controlled by the viscosity of the host rock, where the relaxation time scale is decreasing with decreasing viscosity. The black line indicates results that are essentially purely thermoelastic with virtually no stress relaxation.

### 317 4.3 Thermal stresses with a realistic visco-elasto-viscoplastic upper crustal 318 rheology

319 As discussed in the previous section, considering typical crustal or even astheno-  
 320 spheric viscosities, thermal stresses can reach several hundred MPa and can be sustained  
 321 for thousands or tens of thousands of years. Such stress levels in a relatively shallow, up-  
 322 per crustal setting likely exceed the brittle yield stress. Therefore, we carried out sim-



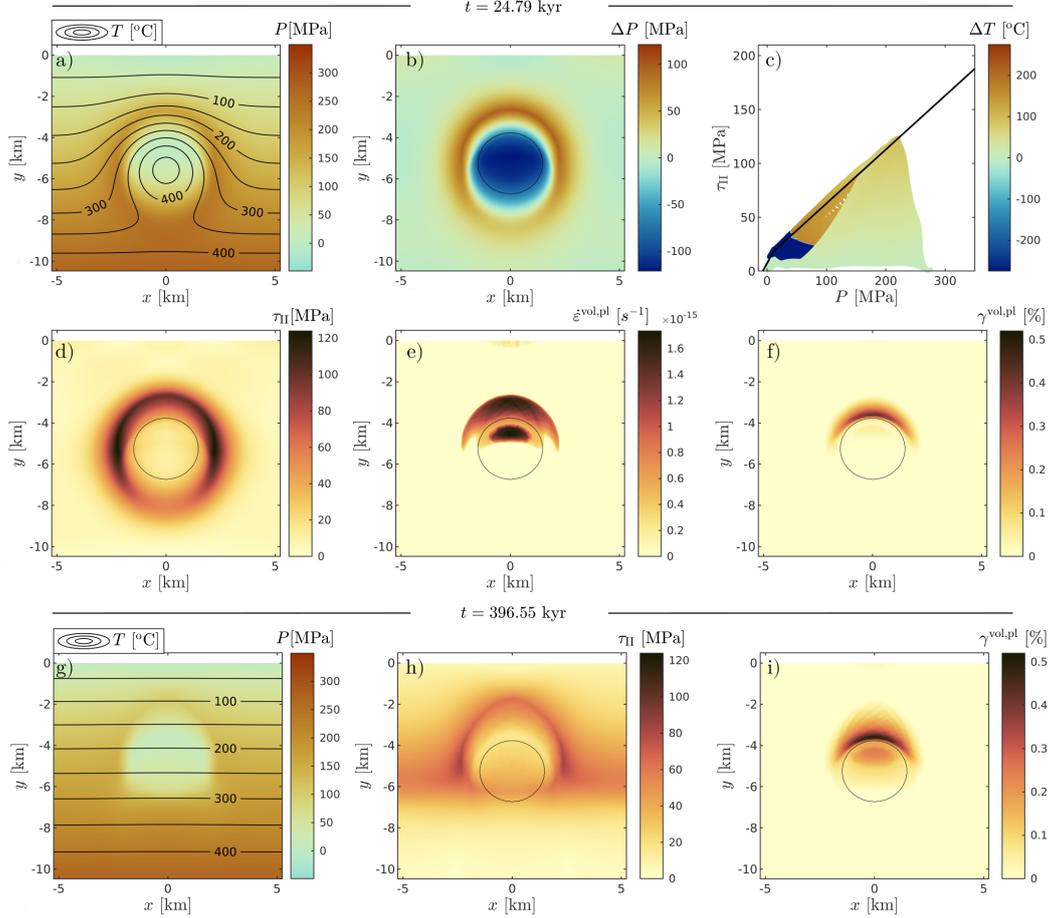
**Figure 6.** Snapshots of total temperature change (a), pressure change (b) and the resulting thermal stresses (c) considering a uniform viscosity of  $10^{23}$  Pa s. Panels (d), (e) and (f) show total temperature and pressure change and the resulting thermal stresses considering a viscosity of  $10^{23}$  Pa s in the host rock and  $10^{20}$  Pa s in the initially hotter magma body. The low viscosity in the magma body results in quick relaxation of stresses and in homogenization of pressure change inside the magma body. However, as stresses in the host rock are relaxed much slower, the magma body is subjected to significant depressurization.

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ulations featuring a visco-elasto-viscoplastic rheology. In these simulations, we used a combination of a Drucker-Prager and a tensile yield, as explained in section (2.3). Unlike in the previous subsection where we used constant viscosity, we here used a temperature dependent, power-law flow law of Westerly granite (Carter & Tsenn, 1987). The results show that thermal stresses are indeed sufficient to trigger plastic failure around the upper half of the magma body, with the extent of plastic deformation being more prominent at shallower depths. Moreover, after the magma body has cooled sufficiently, plastic failure occurs within the magma body as well. This is explained by the fact that viscosity has an Arrhenius type temperature dependence and the plastic yield is pressure dependent. Therefore, in high temperature regions viscous relaxation dominates whereas plastic relaxation dominates in low temperature regions. Pressure has a secondary effect as low confining pressure promotes plastic deformation. In the regions with viscous relaxation, deviatoric stresses vanish at a characteristic time scale (Fig. 5, Fig. 7 panel h). In the regions where plastic deformation dominates, stresses exceeding the plastic yield are relaxed back to the yield shortly after the loading ceases. As a result, stress and pressure variations that do not exceed the plastic yield can be preserved long after the equilibration of the temperature field (Fig. 7, panel g and h). Ultimately, the magnitude of pressure change and deviatoric stresses are limited by the plastic yield stress. In this particular case, deviatoric stresses of 200 MPa can be reached initially in the host rock, due to the initial increase of pressure and hence yield strength. Following this, the host rock cools after its initial heating phase and thermal pressurization is reversed, decreasing the confining pressure and the yield strength. As a result, the maximum stress levels gradually decrease to around 80-100 MPa. Notably, after sufficient cooling and crys-

346 tallization, as a result of thermal contraction and the related decompression of the magma  
 347 body, shear and tensile failure can occur inside the intrusion (Fig. 8).

348 Despite the relatively dynamic nature of such systems in terms of pressure and stress  
 349 evolution, the finite strain and total displacements are rather small, hardly observable  
 350 on the macro scale. Nevertheless elastic bending of the crust near the surface can result  
 351 in tensile failure albeit under small values of finite strain.



**Figure 7.** Simulation with a cooling visco-elasto-viscoplastic intrusion, after 25 kyr: (a) Temperature and pressure fields, (b) pressure change compared to the initial  $P$  field, (c) stress-pressure plot for each grid point in the model (coloured dots) which is overlain by the plastic yield function (solid black line), (d) stress field, (e) instantaneous volumetric plastic strain rates and (f) accumulated plastic volumetric strain, analogous to porosity. Panels (g-i) respectively show the pressure and temperature fields, the stress field and the accumulated plastic volumetric strain 397 kyr after emplacement. The fine black circles indicate the intrusion, represented by an initial temperature perturbation.

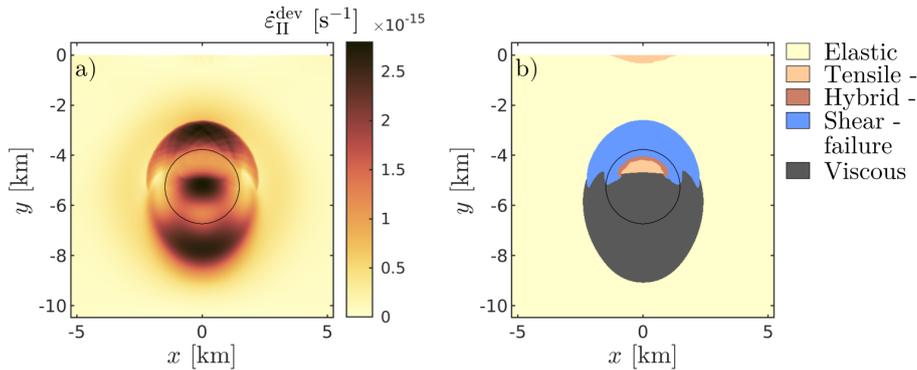
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#### 4.4 Sensitivity to the size and ellipticity of the magma body

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In order to assess the sensitivity to the size and ellipticity of the magma body, we carried out nine simulations with different initial geometries of the magma bodies. We

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**Figure 8.** The total (i.e. visco-elasto-plastic) deviatoric strain rate field (panel a) and the dominant deformation mechanisms (panel b) 25 kyr after emplacement of the model shown in Fig. 7.

355 defined the geometry using the following equation:

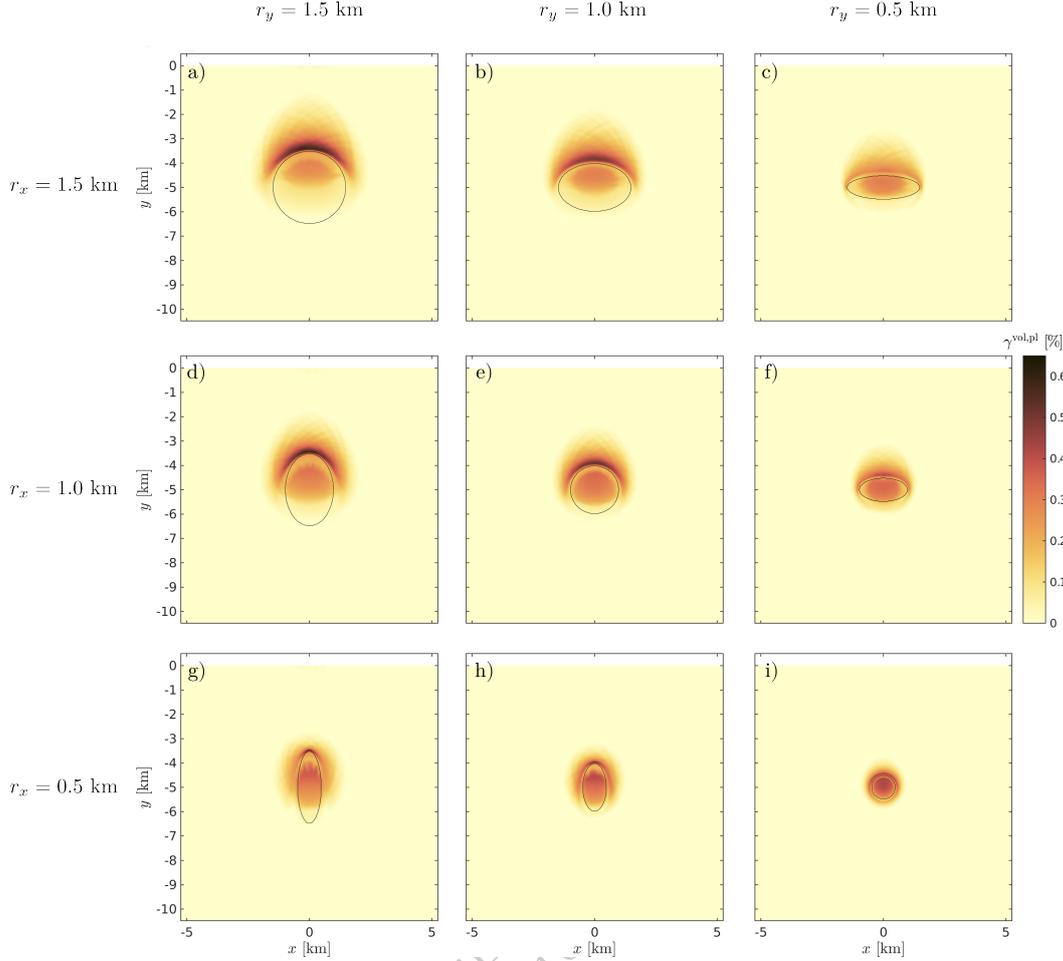
$$356 \quad \left(\frac{x - x_c}{r_x}\right)^2 + \left(\frac{y - y_c}{r_y}\right)^2 = 1, \quad (21)$$

357 where the center of the ellipse is at  $x_c = 0$ ,  $y_c = -5$  km, and the semi axes are var-  
 358 ied independently as 0.5, 1.0 and 1.5 km. The first order effects of thermal stresses are  
 359 displayed for various intrusion geometries in Figure (9). The results show that the size  
 360 of the fractured volume around the intrusion is proportional to the size of the intrusion,  
 361 and the shape of the fractured zone is similar to the shape of the magma body.

#### 362 4.5 Multiple pulses in a magma/mush chamber

363 Magmatic systems are generally thought to evolve incrementally, as a result of sev-  
 364 eral smaller pulses of magma that are emplaced as dikes or sills in a mushy reservoir (e.g.  
 365 Christopher et al., 2015; Cashman et al., 2017; Putirka, 2017). In order to test whether  
 366 our general findings holds in this case as well, we carried out a simulation featuring sev-  
 367 eral magmatic pulses. The magmatic pulses are introduced as instantaneous heat pulses  
 368 while mass transfer and the resulting stressing of the host rock are ignored. The tem-  
 369 perature field is set to a uniform 750 °C inside the new intrusion while all other fields  
 370 are kept unchanged. Although such treatment of intrusion events is not physically con-  
 371 sistent, our model results still provide a valuable insight on how thermal stresses are af-  
 372 fected if a complex temperature and stress/deformation history is considered.

373 Unlike the previous simulations where we used a sharp thermal perturbation as an  
 374 initial condition, we here use a relatively smooth thermal perturbation (Fig. 10 panel  
 375 a), representing a hot mushy zone around a liquid-dominated magma chamber. Then,  
 376 new elliptic intrusions are added after 2, 5000, and 10000 years, respectively (e.g. de Saint  
 377 Blanquat et al., 2011; Zhan et al., 2012). We slightly vary the location of the recurrent  
 378 heat pulses as it has been suggested by geodetic observations (e.g. Delgado, 2021). All  
 379 other input parameters are identical to those of the reference model. The most impor-  
 380 tant result is that significant thermal stresses develop around the new intrusions only  
 381 where the temperature difference compared to the surrounding volume is sufficiently large  
 382 (Fig. 10 panel b-c). Although the individual pulses may cause localised small scale ther-  
 383 mal stresses and deformation, the overall evolution is mostly controlled by the cooling  
 384 and contraction of the entire thermal anomaly as a whole (Fig. 10 panel c). Because of  
 385 that, the final state of volumetric plastic strain is similar to that of the reference model

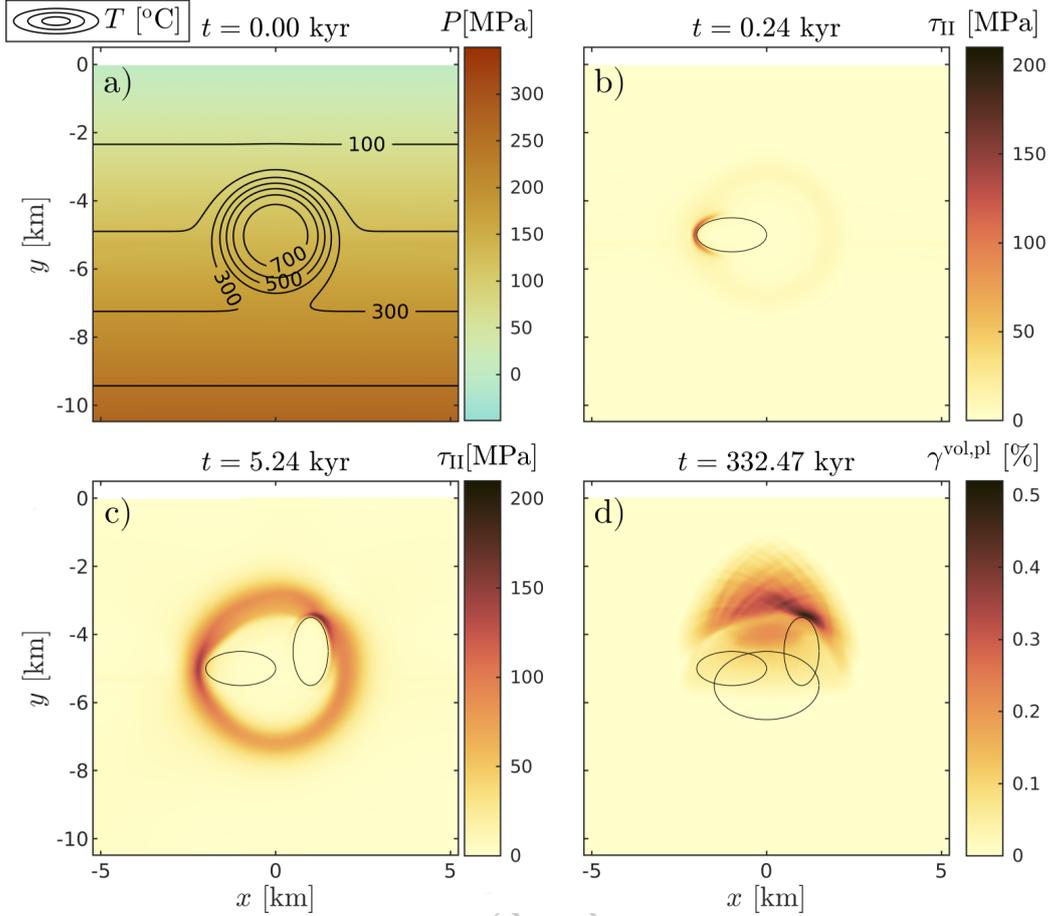


**Figure 9.** The cumulative volumetric plastic strain for nine different initial intrusion geometries, 250 kyr after emplacement. Other than the inclusion geometry, all parameters are identical to that from Fig. 7.

386 apart from some minor perturbations (Fig. 10 panel d). This implies that for natural  
 387 magmatic systems, it is the accumulated thermal anomaly of many pulses that is of key  
 388 importance for the development of thermal stresses.

#### 389 **4.6 Sensitivity to stress history and comparing thermal stresses to stresses** 390 **induced by melt intrusion**

391 In this section, we estimate the sensitivity of our results to the stress history. We  
 392 use our reference configuration with the diffuse temperature anomaly (Fig. 3 b) and with  
 393 a compressibility of  $2.1 \times 10^{-11} \text{Pa}^{-1}$ . As a reference, we first model the evolution of ther-  
 394 mal stresses in this model by letting it cool. A notable difference compared to the pre-  
 395 vious simulations is that due to the initially smooth temperature field the temperature  
 396 evolution and hence the build up of thermal stresses is slower. Furthermore, the evolu-  
 397 tion of the system is dominated by cooling and hence contraction in this case. As a re-  
 398 sult the maximum magnitude of stresses is lower, on the order of 70 MPa. Despite the  
 399 lower level of stresses, thermal cracking still takes place, although with smaller intensity  
 400 in the vicinity of the magma body.

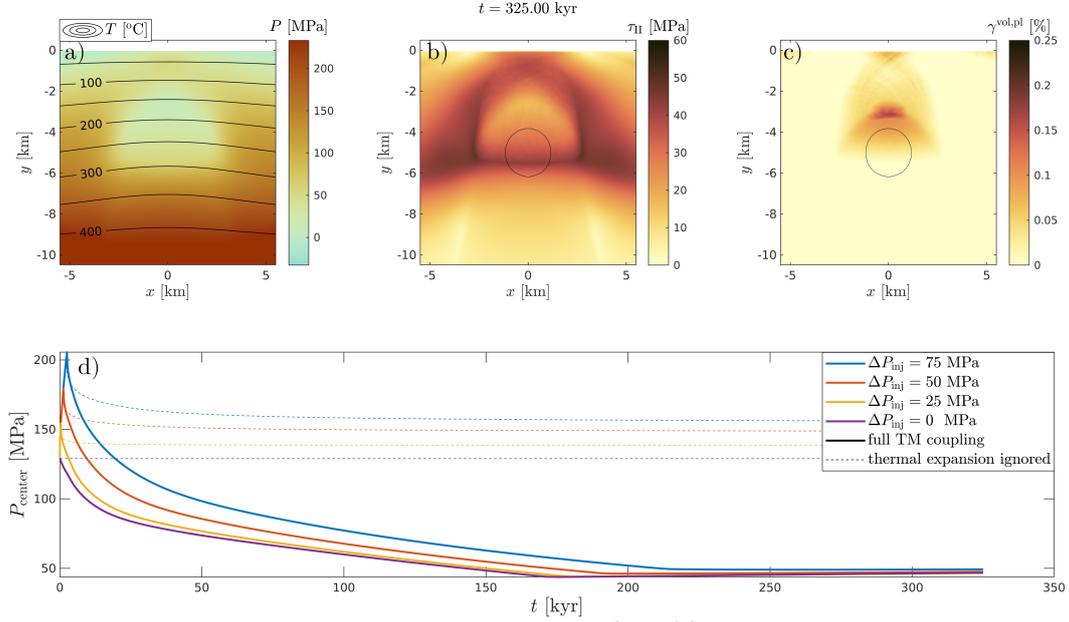


**Figure 10.** The effect of multiple heat pulses on thermally induced stresses. Panel a) shows the initial pressure and temperature fields. Panel b) and c) shows the stresses shortly after the emplacement of the first and second intrusion, respectively. Panel d) shows the final state of plastic volumetric strain. The fine black curves in panels b-d indicate the three heat pulses.

401 To quantify the sensitivity to stress history we compare these reference results with  
 402 results of simulations including an initial pressurization of the magma chamber (Fig. 11).  
 403 In the beginning we pressurize the magma chamber by modeling the gradual injection  
 404 of additional magma, introducing a source term in the mass balance equation. We do  
 405 not model the transport mechanism, instead we restrict ourselves in quantifying the stress  
 406 evolution due to the injection in a mechanically confined volume. We stop the injection  
 407 after a maximum pressure change (compared to the initial pressure) of 25, 50 and 75 MPa  
 408 is reached. In our configuration, non of these injection events result in fractures that con-  
 409 nect the magma body with the surface. As the injection stops the pressure in the mid-  
 410 dle of the magma body starts to drop until a quasi-steady state is reached at about 70  
 411 MPa below the starting value (Fig. 11 d). The initial pressurization has little influence  
 412 on the value of this quasi-steady state pressure, apart from an increase in the time needed  
 413 to reach it.

414 In order to see the effect of thermoelastic stresses, we have repeated the same sim-  
 415 ulations, using identical parameters, but we disabled the effects of thermal expansion/contraction  
 416 in the mechanical problem formulation. In these simulations, we see the effects of visco-  
 417 elasto-plastic relaxation after the initial pressurization stops. However, the pressure in

418 the center quickly reaches a steady-state value that is larger than the initial value (Fig.  
 419 11 d). Comparing these results with the fully coupled results shows that on short timescales  
 420 ( $< 1$  kyr) visco-elasto-plastic deformation dominates the stress evolution, but thermal  
 expansion and contraction become increasingly important at longer timescales.



**Figure 11.** Pressure and temperature fields (panel a), deviatoric stresses (panel b) and plastic volumetric strain (panel c) using our reference model setup with a diffused initial temperature anomaly (Fig. 3 b). Although the model domain is wider, in panels (a-c) we zoom in to the same view as in previous figures. In panel d the pressure evolution in the center of the magma body  $[0, -5$  km] is compared for different magnitudes of initial pressurization. The results of no initial pressurization ( $\Delta P_{\text{inj}} = 0$ ) are the same as those displayed in panels (a-c). Furthermore, results of fully coupled thermo-mechanical simulations (solid lines) including thermal expansion and contraction are compared with results of simulations without thermal expansion and contraction (dashed lines).

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## 5 Discussion

### 5.1 Limitations due to simplifying assumptions

We have presented results from numerical simulations that show the effects of thermo-elastic strains on the stress evolution of cooling magmatic bodies. In our treatment, we have neglected processes that are potentially important to quantify thermal stresses and the development of fracture networks or dikes around cooling magma bodies. On one hand, our simplifications constitute a step forward towards a more complete formulation. On the other hand, these simplifying assumptions are useful, because they allow us to isolate the effects of thermal expansion/contraction from other processes.

Most notably, we have focused on a single-phase formulation and did not include a percolating fluid or magma phase, which could reduce the effective confining pressure and hence promote localised plastic failure, by channelized porous flow (e.g. Katz, 2008; Keller et al., 2013; Schmeling et al., 2019). Another assumption we made was to neglect the volume change and latent heat of melting and crystallization, and used uniform thermodynamic parameters. By making the first assumption (i.e. single-phase flow), we decreased the likelihood of failure and the magnitude of plastic strain. In addition by considering uniform thermo-elastic properties, we underestimate the total volume change. Consequently, the results presented in this paper should be treated as a lower bound on the extent of fracturing around cooling magma bodies.

### 5.2 The role of thermal stresses during the evolution of magmatic plumbing systems

Based on our model results, we can assess that thermal stresses likely cause a pressure change on the order of 100 MPa potentially reaching 200 MPa. Such stress levels are comparable to the value of background pressure in the upper crust, at about 5 km depth. These pressure anomalies are accompanied by deviatoric stress anomalies of a similar magnitude. The magnitude of these stress anomalies is limited by the yield strength, while their duration is controlled by viscous relaxation. For magmatic bodies that are occurring at slightly deeper levels, the yield strength is higher due to the higher confining pressure, and therefore larger pressure and stress anomalies may develop. However, due to the downward increasing temperature, the temperature difference between a magma body and its host rock is decreasing with depth. The reducing temperature difference results in a decreasing thermal pressurization after a certain depth level is exceeded. The magnitude and the distribution of thermal stresses is controlled by characteristic scales of temperature change due to heat conduction. Accordingly, the affected volume increases over time. Based on our simulations, thermal stresses start to dominate overall after about 5-10 kyr, while stress changes on shorter time scales are likely related to magma transport. On the time scale of activity of magmatic plumbing systems, thermal stresses may play a significant role.

Thermal stresses perturb the background stress field. As dykes and sills are directed by the principal stress trajectories, thermal stresses may play a significant role in the orientation and location of new intrusions (e.g. Maccaferri et al., 2011).

Besides, the direct influence thermally induced stress perturbations can result in thermal cracking and in the formation of a fracture network around the magmatic body. Some of these fractures may develop into dykes as new pulses of magma arrive from a deeper source, presenting a potential to control the evolution of the plumbing system.

469 Despite the relatively large values of stress perturbations, the resulting strains and  
 470 displacements are rather minor compared to what can be observed by field mapping or  
 471 by monitoring active surface deformation. To demonstrate this point we traced a chain  
 472 of passive markers that were originally located horizontally at 3200 m depth. The max-  
 473 imum displacement is -7 m directly above the center of the magmatic body. The displace-  
 474 ment magnitudes gradually diminish as the distance to the center of the magmatic body  
 475 increases. By tracing a similar marker chain at the surface, we see less than 5 m displace-  
 476 ment that takes place over more than 300 kyr, resulting in negligible deformation over  
 477 observational timescales.

### 478 479 **5.3 The manifestation of thermal cracking in the field**

480 Our model results suggest that thermal expansion and contraction have a signif-  
 481 icant effect on the stress state of a magma body and of its host rock. Stresses induced  
 482 by thermal expansion and contraction are sufficient to trigger brittle failure around cool-  
 483 ing magma bodies in a shallow, upper crustal setting. However, our models are based  
 484 on continuum mechanics and a continuum theory of plasticity therefore we cannot re-  
 485 solve individual fractures and their characteristics cannot be directly obtained. Never-  
 486 theless, the plastic strain which results from our model can be interpreted as proxy for  
 487 fracture density and also as a proxy for porosity if volumetric plastic deformation is con-  
 488 sidered. Accordingly, plastic volumetric strain is rather small (less than 0.6%) and it is  
 489 not strongly localized. Using simple estimates, that would approximately translate into  
 490 a single 20 cm wide dike or into 1000 2 mm wide joints in every 41 m of host rock (which  
 491 is the grid spacing used). Consequently, plastic deformation predicted by our models may  
 492 manifest on the field as a few prominent dykes or veins, or as a set of numerous fine joints,  
 493 similar to exfoliation joints in granites or columnar joints in basalts, or some combina-  
 494 tions of the two.

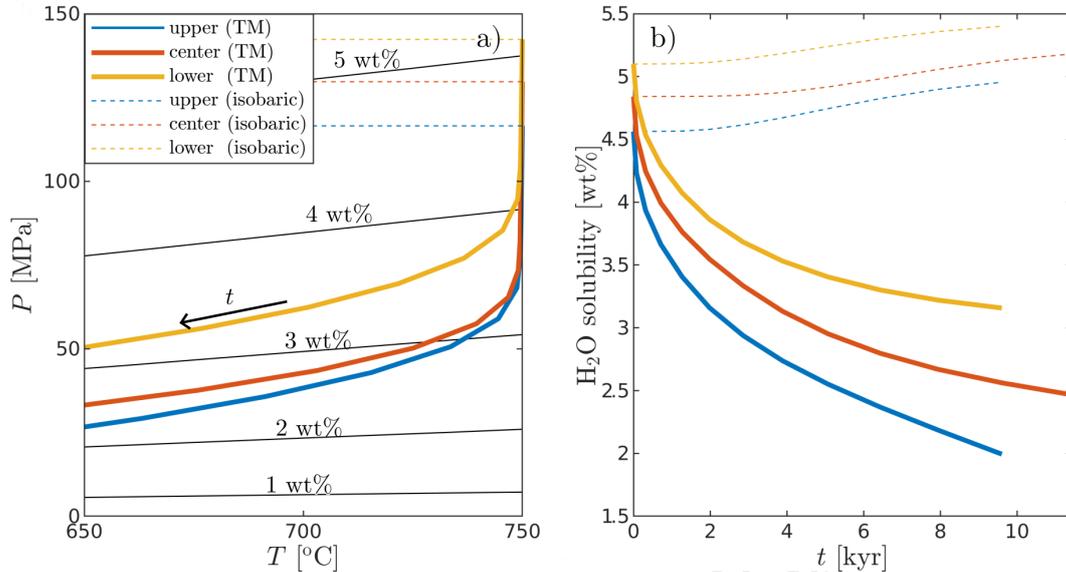
### 495 496 **5.4 Thermal cracking during the development of magmatic-hydrothermal 497 systems**

498 Based on the model results, we hypothesize that thermal stresses might play a con-  
 499 siderable role during the development of magmatic-hydrothermal systems.

500 First, thermal cracking results in the development of fractures and joints around  
 501 a cooling magma body. The volume affected by thermal cracking can extend several km  
 502 away from the original magma-host contact, mostly above the magma body. This frac-  
 503 tured volume can act as a permeable fluid pathway, which might enable or enhance the  
 504 development of hydrothermal circulation around the magma body and chemical exchange  
 505 between the fluids and the magma (e.g. Ruz-Ginouves et al., 2021). Moreover, as the  
 506 magma body cools and crystallizes, fractures or joints may form inside the original magma  
 507 volume, which can enable fluid circulation inside the crystallizing, but still relatively warm,  
 508 plutonic body. The presence of such conditions might be necessary (but not sufficient)  
 509 for segregation processes to take place and to leach metals from the fresh igneous rock,  
 510 and thus presents a potential source of mineralization.

511 Second, due to thermal contraction in a relatively well confined and closed system,  
 512 cooling magma bodies undergo decompression even when the magma body remains es-  
 513 sentially stationary (Fig. 12). This is of potential importance as the solubility of volatiles  
 514 in melts is primarily a function of pressure. To illustrate this, we used the water solu-  
 515 bility models from Volatilecalc, presented by (Newman & Lowenstern, 2002) for rhyolitic  
 516 melts (Fig. 12). Therefore, if such a plutonic body has sufficient amounts of volatiles and  
 517 it undergoes decompression due to cooling, volatiles might be expelled from the melt and

518 appear as a free phase. Thus, thermal contraction induced decompression might intro-  
 519 duce an additional fluid source for the magmatic-hydrothermal system.



**Figure 12.** Panel a) shows the  $P - T$  evolution of three points in our reference model (in the center, 500 m above and 500 m below). The solid lines show the  $P - T$  evolution based on a fully compressible thermo-mechanical model (TM) and the dashed lines show  $P - T$  evolution in purely thermal or incompressible models (isobaric). The black contours show water solubility in rhyolitic melts, based on Volatilecalc (Newman & Lowenstern, 2002). Panel b) shows the time evolution of water solubility in a closed system due to thermal contraction induced decompression (legend is the same as in panel a)).

## 520 6 Conclusions

521 We presented a numerical method that is suitable to quantify stress evolution related to thermal expansion/contraction in an upper-crustal setting with visco-elasto-viscoplastic  
 522 rheologies including both shear and tensile failure.  
 523

524 Our results demonstrate that thermal stresses around upper crustal magma bodies are significant as stress anomalies can reach or even exceed the background lithostatic  
 525 pressure. Pressure anomalies are proportional to the temperature change, but viscous  
 526 or plastic relaxation might limit their magnitude or duration. The host rock nearby the  
 527 magma body experiences significant pressurization upon heating. At the same time, cooling  
 528 and thermal contraction causes significant decompression in the magma body.  
 529

530 Moreover, thermal stresses are likely sufficient to create an extensive fracture network around an upper crustal intrusion by brittle failure. The exact depth where brittle  
 531 failure may occur is dependent on the rheology of the rock and on the depth of the  
 532 magma body.  
 533

534 Over the scale of several kyr to 100 kyr, thermal stresses might contribute to the development of the magmatic plumbing system as pressure perturbations and the developing  
 535 fracture network might influence the location of new intrusions. Furthermore,  
 536 we speculate that the appearance of a volatile phase and the development of a fracture  
 537 network around the magmatic bodies has the potential to one of the main processes that  
 538

539 control magmatic-hydrothermal alteration around magmatic bodies. Hence, thermal stresses  
 540 may play an important role during ore mineralization or post-volcanic activity as well.

## 541 7 Open Research

542 We have developed a julia code to solve the governing equations. The full source  
 543 code to reproduce the reference simulation (Fig. 7) is available under  
 544 <https://zenodo.org/record/6958273> (DOI: 10.5281/zenodo.6958273). The other sim-  
 545 ulations can be reproduced by modifying the reference case with the parameters described  
 546 in the manuscript.

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 553 reviewers.

## 554 Appendix A Thermodynamic admissibility of the governing equations

555 In this appendix we show the thermodynamic admissibility and consistency of the  
 556 governing equations, based on classical irreversible thermodynamics (e.g. De Groot &  
 557 Mazur, 2013; Müller & Müller, 2009), which has previously also been used in a geody-  
 558 namic context to demonstrate the thermodynamic admissibility of two phase flow for-  
 559 mulations (Yarushina & Podladchikov, 2015). For the description of recoverable and dis-  
 560 sipative bulk and shear deformation we follow Landau and Lifshitz (2013). We assume  
 561 single velocity deformation in isotropic, visco-elasto-plastic, compressible materials with  
 562 constant chemical composition.

### 563 A1 Local thermodynamic equilibrium

564 We use the local thermodynamic equilibrium (LTE) assumption to relate equilib-  
 565 rium thermodynamic relationships to continuum mechanics. In essence, the LTE states  
 566 that equilibrium thermodynamic relationships are applicable locally and instantaneously,  
 567 even if the system is not in global equilibrium (e.g., there are pressure or temperature  
 568 gradients). This can be utilized to formulate a relationship between the increment of spe-  
 569 cific total energy ( $dE$ ) and the sum of the increments of heat ( $TdS$ ), kinetic energy, po-  
 570 tential energy, elastic strain energy and nuclear energy (radiogenic heating):

$$571 \quad dE = TdS + d(0.5v_i v_i) - g_i v_i dt + \frac{\sigma_{ij} \dot{\epsilon}_{ij}^{\text{el}}}{\rho} dt - \frac{Q_F}{\rho} dt. \quad (\text{A1})$$

572 Here, elastic strain rate denotes all recoverable deformation, including thermal expan-  
 573 sion or contraction. We consider an isotropic, Maxwell visco-elasto-viscoplastic rheol-  
 574 ogy, which implies an additive strain rate decomposition and uniform stress on each rhe-  
 575 ological element. In the limit of purely hydrostatic conditions and entirely recoverable  
 576 volumetric deformation, the elastic strain energy equals  $-Pd\rho^{-1}$  (e.g. Müller & Müller,  
 577 2009; Landau & Lifshitz, 2013).

578

## A2 Local balance equations

579

580

The local balance equations of mass, linear momentum, energy and entropy in a Lagrangian form are given as follows:

581

$$\rho \frac{d\rho^{-1}}{dt} - \frac{\partial v_j}{\partial x_j} = 0 \quad (\text{A2})$$

582

$$\rho \frac{dv_i}{dt} + \frac{\partial q_{ij}^p}{\partial x_j} = 0 \quad (\text{A3})$$

583

$$\rho \frac{dE}{dt} + \frac{\partial q_j^E}{\partial x_j} = 0 \quad (\text{A4})$$

584

585

$$\rho \frac{dS}{dt} + \frac{\partial q_j^S}{\partial x_j} = Q_S \geq 0, \quad (\text{A5})$$

586

587

588

where  $\rho^{-1}$ ,  $E$  and  $S$  are respectively specific volume, specific total energy and specific entropy.  $q_{ij}^p$ ,  $q_j^E$  and  $q_j^S$ , are respectively the non-advective specific momentum, specific energy and specific entropy fluxes, defined as

589

$$q_{ij}^p = -\sigma_{ij} - \delta_{ij} \int_0^{x_j} \rho g_i dx_j \quad (\text{A6})$$

590

$$q_j^E = Tq_j^S - v_i \sigma_{ij} \quad (\text{A7})$$

591

592

$$q_j^S = -\frac{\lambda}{T} \frac{\partial T}{\partial x_j}. \quad (\text{A8})$$

593

594

Thermodynamic admissibility is ensured if the source of specific entropy,  $Q_S$ , is non-negative, which we will demonstrate in the following sections.

595

## A3 Solving for $Q_S$

596

597

To relate this local thermodynamic equilibrium to the balance equations, we express the LTE (eq. A1) using increments with respect to time and multiply it by  $\rho$ :

598

$$\rho \frac{dE}{dt} = T\rho \frac{dS}{dt} + v_i \rho \frac{dv_i}{dt} - \rho g_i v_i + \sigma_{ij} \dot{\epsilon}_{ij}^{\text{el}} - Q_r. \quad (\text{A9})$$

599

600

601

Note that we have applied the chain rule to simplify the kinetic energy term (second term on the right hand side). Then we substitute equations (A6-A8) into equation (A9) to replace the time derivatives

602

$$-\frac{\partial q_j^E}{\partial x_j} = -T \frac{\partial q_j^S}{\partial x_j} + TQ_S - v_i \frac{\partial q_{ij}^p}{\partial x_j} - \rho g_i v_i + \sigma_{ij} \dot{\epsilon}_{ij}^{\text{el}} - Q_r, \quad (\text{A10})$$

603

and solve for  $TQ_S$

604

$$TQ_S = -\frac{\partial q_j^E}{\partial x_j} + T \frac{\partial q_j^S}{\partial x_j} + v_i \frac{\partial q_{ij}^p}{\partial x_j} + \rho g_i v_i - \sigma_{ij} \dot{\epsilon}_{ij}^{\text{el}} + Q_r. \quad (\text{A11})$$

605

606

After substituting the momentum flux (eq. A6) into the third term on the right hand side and using the sum rule, the potential energy cancels out

607

$$TQ_S = -\frac{\partial q_j^E}{\partial x_j} + T \frac{\partial q_j^S}{\partial x_j} - v_i \frac{\partial \sigma_{ij}}{\partial x_j} - \sigma_{ij} \dot{\epsilon}_{ij}^{\text{el}} + Q_r. \quad (\text{A12})$$

608 Now substituting the energy flux (eq. A7) into the first term on the right hand side, using  
609 the difference and the product rules, the following terms remain

$$610 \quad TQ_S = -q_j^S \frac{\partial T}{\partial x_j} + \sigma_{ij} \frac{\partial v_i}{\partial x_j} - \sigma_{ij} \dot{\epsilon}_{ij}^{\text{el}} + Q_r. \quad (\text{A13})$$

611 Finally, substituting the entropy flux (eq. A8) in the right hand side and simplifying yields

$$612 \quad TQ_S = \frac{\lambda}{T} \frac{\partial T}{\partial x_j} \frac{\partial T}{\partial x_j} + \sigma_{ij} (\dot{\epsilon}_{ij} - \dot{\epsilon}_{ij}^{\text{el}}) + Q_r. \quad (\text{A14})$$

#### 613 **A4 Demonstrating the non-negativity of $Q_S$**

614 Non-negativity of entropy production (eq. A14) is guaranteed if all terms on the  
615 right hand side are non-negative ( $T$  [K] is non-negative). It is easy to see that dissipa-  
616 tion due to heat conduction and radioactive heating, the first and the third term on the  
617 right hand side respectively, are guaranteed to be non-negative for any non-negative  $\lambda$   
618 and  $Q_r$ . Showing the non-negativity of the second term on the right hand side (dissipa-  
619 tive work), however, requires to explore the rheological models.

620 The strain rate (or velocity gradient) tensor can be expressed as a sum of its vol-  
621 umetric, symmetric-deviatoric and antisymmetric parts

$$622 \quad \underbrace{\frac{\partial v_i}{\partial x_j}}_{\dot{\epsilon}_{ij}} = \underbrace{\frac{\delta_{ij}}{3} \frac{\partial v_k}{\partial x_k}}_{\dot{\epsilon}_{ij}^{\text{vol}}} + \underbrace{\frac{1}{2} \left( \frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \right) - \frac{\delta_{ij}}{3} \frac{\partial v_k}{\partial x_k}}_{\dot{\epsilon}_{ij}^{\text{dev}}} + \underbrace{\frac{1}{2} \left( \frac{\partial v_i}{\partial x_j} - \frac{\partial v_j}{\partial x_i} \right)}_{\dot{\epsilon}_{ij}^{\text{asym}}}, \quad (\text{A15})$$

623 while the stress tensor is symmetric (e.g. Landau & Lifshitz, 2013) and can be decom-  
624 posed into a volumetric (hydrostatic) and a deviatoric part

$$625 \quad \sigma_{ij} = -\delta_{ij}P + \tau_{ij}, \quad (\text{A16})$$

626 where  $P = -\frac{\sigma_{kk}}{3}$  is thermodynamic pressure.

627 Our rheological model for shear deformation is based on the Maxwell (serial) cou-  
628 pling of a viscous an elastic and a viscoplastic element. The rheological model for vol-  
629 umetric deformation consist of an elastic element, that represents the pressure-volume-  
630 temperature equation of state in an incremental form, in a Maxwell coupling with a vis-  
631 coplastic element. The relationships between stresses and strain rates, broken down for  
632 deviatoric-symmetric and volumetric parts, are:

$$633 \quad \dot{\epsilon}_{ij}^{\text{dev}} = \underbrace{\frac{\tau_{ij}}{2\eta}}_{\dot{\epsilon}_{ij}^{\text{dev,vis}}} + \underbrace{\frac{1}{2\mu} \frac{d\tau_{ij}}{dt}}_{\dot{\epsilon}_{ij}^{\text{dev,el}}} + \underbrace{\frac{\chi\lambda\tau_{ij}}{2\tau_{\Pi}} \frac{\partial Q}{\partial \tau_{\Pi}}}_{\dot{\epsilon}_{ij}^{\text{dev,pl}}} \quad (\text{A17})$$

$$634 \quad \dot{\epsilon}_{ij}^{\text{vol}} = \underbrace{\frac{\delta_{ij}}{3} \alpha \frac{dT}{dt} - \frac{\delta_{ij}}{3} \beta \frac{dP}{dt}}_{\dot{\epsilon}_{ij}^{\text{vol,el}}} - \underbrace{\frac{\delta_{ij}}{3} \chi\lambda \frac{\partial Q}{\partial P}}_{\dot{\epsilon}_{ij}^{\text{vol,pl}}}. \quad (\text{A18})$$

635 Considering that for any two second order tensors,

$$637 \quad M_{ij}^{\text{vol}} N_{ij}^{\text{dev}} = M_{ij}^{\text{sym}} N_{ij}^{\text{asym}} = 0, \quad (\text{A19})$$

638 therefore

$$639 \quad \sigma_{ij} (\dot{\epsilon}_{ij} - \dot{\epsilon}_{ij}^{\text{el}}) = \tau_{ij} \dot{\epsilon}_{ij}^{\text{dev,vis}} + \tau_{ij} \dot{\epsilon}_{ij}^{\text{dev,pl}} - P \dot{\epsilon}_{kk}^{\text{vol,pl}}. \quad (\text{A20})$$

640 After substituting the stress-strain rate relationships from equations (A17 and A18) equa-  
 641 tion (A20) becomes

$$642 \quad \sigma_{ij}(\dot{\epsilon}_{ij} - \dot{\epsilon}_{ij}^{\text{el}}) = \frac{\tau_{\text{II}}^2}{\eta} + \chi \dot{\lambda} \left( \tau_{\text{II}} \frac{\partial Q}{\partial \tau_{\text{II}}} + P \frac{\partial Q}{\partial P} \right). \quad (\text{A21})$$

643 For any positive  $\eta$ , viscous dissipation is non-negative, and plastic dissipation is zero if  
 644 the yield is not reached (since  $\dot{\lambda} = 0$ ). Moreover, if a rate-independent plasticity model  
 645 is admissible ( $\chi = 1$ ), the same plasticity model with Duvaut-Lions regularization must  
 646 be admissible too (since  $0 < \chi \leq 1$ ). To show the general admissibility, we demon-  
 647 strate the admissibility of each of the five plasticity models in the rate-independent limit,  
 648 that were introduced in section 2.3.

649 Plastic dissipation for the pressure limiter yield (domain I) after substituting deriva-  
 650 tives of  $Q_{\text{I}}$  and expressing  $P$  from  $F_{\text{PL}} = 0$  is

$$651 \quad \sigma_{ij} \dot{\epsilon}_{ij}^{\text{pl}*} = \dot{\lambda} (\sigma_{\text{T}} - \delta \sigma_{\text{T}}), \quad (\text{A22})$$

652 which is non-negative as long as  $(\sigma_{\text{T}} - \delta \sigma_{\text{T}} \geq 0)$  since  $\dot{\lambda}$  is never negative.

653 Plastic dissipation for the tensile yield (domain III) after substituting derivatives  
 654 of  $Q_{\text{III}}$  and expressing  $P$  from  $F_{\text{M1}} = 0$  is

$$655 \quad \sigma_{ij} \dot{\epsilon}_{ij}^{\text{pl}*} = \dot{\lambda} \sigma_{\text{T}}, \quad (\text{A23})$$

656 which is non-negative as long as  $(\sigma_{\text{T}} \geq 0)$ .

657 Plastic dissipation for the Drucker-Prager yield (domain V) after substituting deriva-  
 658 tives of  $Q_{\text{V}}$  is

$$659 \quad \sigma_{ij} \dot{\epsilon}_{ij}^{\text{pl}*} = \dot{\lambda} (\tau_{\text{II}} - P \sin \psi). \quad (\text{A24})$$

660 It can be shown that  $\tau_{\text{II}} - P \sin \psi \geq 0$  by taking  $F_{\text{DP}} = \tau_{\text{II}} - P \sin \varphi - C \cos \varphi =$   
 661  $0$ . Since  $\tau_{\text{II}}$  and  $C \cos \varphi$  are both positive,  $\tau_{\text{II}} - P \sin \psi \geq 0$  as long as  $0 \leq \psi \leq \varphi$ ,  
 662 because the sinus function strictly monotonically increases from  $0^\circ$  to  $90^\circ$ .

663 The plasticity model for the corner regions (II and IV) can be generalized, as the  
 664 only difference is the pressure-stress coordinates of the corners  $P = P_{\text{C}}$  and  $\tau_{\text{II}} = \tau_{\text{C}}$ .  
 665 Plastic dissipation after substituting derivatives of  $Q_{\text{II}}$  and substituting  $P = P_{\text{C}}$  and  
 666  $\tau_{\text{II}} = \tau_{\text{C}}$  is

$$667 \quad \sigma_{ij} \dot{\epsilon}_{ij}^{\text{pl}*} = \dot{\lambda} \frac{\tau_{\text{C}} \frac{\tau_{\text{II}}^{\text{tr}} - \tau_{\text{C}}}{\eta^{\text{ve}}} - P_{\text{C}} \frac{-P^{\text{tr}} - P_{\text{C}}}{\beta^{-1} \text{dt}}}{\sqrt{\left( \frac{\tau_{\text{II}}^{\text{tr}} - \tau_{\text{C}_2}}{\eta^{\text{ve}}} \right)^2 + \left( \frac{-P^{\text{tr}} + P_{\text{C}_2}}{\beta^{-1} \text{dt}} \right)^2}}, \quad (\text{A25})$$

668 which is guaranteed to be non-negative if the numerator is non-negative. The numer-  
 669 ator can be reformulated as

$$670 \quad \tau_{\text{C}} \tau_{\text{II}}^{\text{tr}} - \tau_{\text{C}}^2 - P_{\text{C}} \frac{\eta^{\text{ve}}}{\beta^{-1} \text{dt}} (-P^{\text{tr}} - P_{\text{C}}). \quad (\text{A26})$$

671 Any trial stresses in the corner regions can be expressed in the following form,

$$672 \quad \tau_{\text{II}}^{\text{tr}} = \Upsilon \frac{\eta^{\text{ve}}}{\beta^{-1} \text{dt}} (-P^{\text{tr}} - P_{\text{C}}) + \tau_{\text{C}}, \quad (\text{A27})$$

673 where  $0 \leq \Upsilon \leq 1$  for the first corner and  $1 \leq \Upsilon \leq \frac{1}{\sin \psi}$  for the second. Substituting  
 674 equation (A27) into the numerator (eq. A26) and simplifying results in

$$675 \quad \Upsilon \tau_{\text{C}} - P_{\text{C}}, \quad (\text{A28})$$

676 which is guaranteed to be non-negative for  $P_C \leq 0$  (first corner, domain II) and for any  
677  $\tau_C \geq P_C$  if  $\Upsilon \geq 1$  (second corner, domain IV).

## 678 **A5 The system of governing equations in their final form**

679 The governing equations used in the manuscript (eq. 3-7) are all based on the bal-  
680 ance laws (eq. A2-A5), fluxes (eq. A6-A8) and constitutive relations (eq. A17-A18).

681 Equation (3) can be obtained from the conservation of mass (eq. A2) using the chain  
682 rule.

683 Equation (4) can be obtained by substituting the momentum flux (eq. A6) into the  
684 momentum balance equation (A3) and using the sum rule.

685 Next, we substitute the entropy flux (eq. A8) and the entropy source (eq. A14) in  
686 the entropy balance equation (A5) and multiplying it by  $T$

$$687 \quad \rho T \frac{dS}{dt} = T \frac{\partial}{\partial x_j} \left( \frac{\lambda}{T} \frac{\partial T}{\partial x_j} \right) + \frac{\lambda}{T} \frac{\partial T}{\partial x_j} \frac{\partial T}{\partial x_j} + \sigma_{ij} (\dot{\epsilon}_{ij} - \dot{\epsilon}_{ij}^{\text{el}}) + Q_r. \quad (\text{A29})$$

688 The divergence of the flux and the conductive dissipation (first and second terms on the  
689 right hand side) can be merged, using the product rule,

$$690 \quad \rho T \frac{dS}{dt} = \frac{\partial}{\partial x_j} \left( \lambda \frac{\partial T}{\partial x_j} \right) + \sigma_{ij} (\dot{\epsilon}_{ij} - \dot{\epsilon}_{ij}^{\text{el}}) + Q_r. \quad (\text{A30})$$

691 The same equation can be obtained by substituting the LTE (eq. A9) and the fluxes (eq.  
692 A7) into the conservation of energy (eq. A4).

693 So far, we have 3 equations and 6 unknowns ( $\rho, v_i, S, P, \tau_{ij}, T$ ). In order to close  
694 the system of equations, we first formulate entropy increments as a function  $P$  and  $T$

$$695 \quad dS = \left( \frac{\partial S}{\partial T} \right)_P dT + \left( \frac{\partial S}{\partial P} \right)_T dP. \quad (\text{A31})$$

697 The definitions of  $C_P$ ,  $\alpha$  and a Maxwell relationship can be used to express the coeffi-  
698 cients of  $dT$  and  $dP$  gives

$$699 \quad \frac{dS}{dt} = \frac{C_P}{T} \frac{dT}{dt} - \frac{\alpha}{\rho} \frac{dP}{dt}, \quad (\text{A32})$$

700 which substituted back in equation (A30) results in

$$701 \quad \rho C_P \frac{dT}{dt} = \alpha T \frac{dP}{dt} + \frac{\partial}{\partial x_j} \left( \lambda \frac{\partial T}{\partial x_j} \right) + \sigma_{ij} (\dot{\epsilon}_{ij} - \dot{\epsilon}_{ij}^{\text{el}}) + Q_r, \quad (\text{A33})$$

702 which is identical to equation (5). By expressing entropy as function of pressure and tem-  
703 perature we thus reduced the number of unknowns to 5 and by including the constitu-  
704 tive relationships for bulk and shear rheology (eq. 6-7) we obtain a closed system of equa-  
705 tions, that is both thermodynamically admissible and self-consistent.

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