

# 1 Role of the Etna basement on surface faulting of the December 26, 2018, 2 Mw 4.9 Fleri earthquake

3 Bella D.<sup>1</sup>, Livio F.<sup>2</sup>, Ferrario M. F.<sup>2</sup>, Groppelli G.<sup>3</sup>, Tringali G.<sup>1</sup>, Boso D.<sup>4</sup>, Blumetti A.M.<sup>5</sup>, Di Manna P.<sup>5</sup>,  
4 Vittori E.<sup>5</sup>, Guerrieri L.<sup>5</sup>, Porfido S.<sup>6,7</sup>, Pettinato R.<sup>1</sup>, Paradiso G.<sup>1</sup>, Michetti A.M.<sup>2</sup>.

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6 (1) Studio di Geologia Domenico Bella, Via N. Martoglio 13, 95024 Acireale (CT), Italy.

7 (2) Università degli Studi dell'Insubria, Dipartimento di Scienza ed Alta Tecnologia, Via Valleggio 11, 22100 Como (CO) Italy.

8 (3) C.N.R. - Istituto di Geologia Ambientale e Geoingegneria- sezione di Milano, Milano, Italy

9 (4) Geoexpert di Maria Rita Arcidiacono, Via M. Panebianco, 10, 95024 Acireale (CT), Italy.

10 (4) ISPRA – Geological Survey of Italy, Roma, Italy

11 (5) CNR-Istituto Scienze dell'Alimentazione Avellino

12 (6) INGV- Osservatorio Vesuviano Napoli.

## 13 Abstract

14 *On December 26, 2018, an earthquake shook the eastern flank of the Etna Volcano (Sicily, southern Italy), with*  
15 *epicenter located near the Fleri village (focal depth less than 1 km, Mw 4.9) and was accompanied by widespread*  
16 *surface faulting. We surveyed and mapped the ground ruptures caused by the earthquake and collected*  
17 *structural data on the ground breaks orientation, displacement and on the fault fabric at surface. We here*  
18 *provide a detailed map of the surface faulting caused by the 2018 Fleri earthquake, and an accurate dataset of*  
19 *orientations and dimensioning data of the ruptured fault zones with associated slip vectors. We compare fault*  
20 *zone characteristics with near surface and deeper driving factors including the morphology of the topographic*  
21 *surface and of the buried top of basement, finding that the latter influenced the surface expression of faulting*  
22 *during the 2018 event. The topographic slope strongly influenced the fault strand pattern and slip orientation,*  
23 *above a critical slope angle of ca. 10°, in a sector close to the NE tip of the fault, the highest on the Mt Etna flank.*  
24 *The top surface of the basement is the local detachment floor for the sliding block and influences the amount of*  
25 *fault displacement at surface and the fault strands pattern. In particular, the presence of a lateral rise of the*  
26 *detachment surface to the south causes the sea-ward extrusion of the sliding block and the re-arrangement at*  
27 *surface of the fault strand pattern and deformations style, switching from shear faulting to a tensile failure. The*  
28 *surface rupture length (ca. 10 km) is consistent with previous empirical regressions calculated for volcano-*  
29 *tectonic events.*

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# 1 – Introduction

On December 26, 2018, at 3:19 a.m., an earthquake shook the eastern flank of the Etna Volcano (Sicily, southern Italy), with epicenter located near the Fleri village (focal depth less than 1 km, Mw 4.9; <http://cnt.rm.ingv.it/en/event/21285011>), accompanied by widespread surface faulting. This was the mainshock of an earthquake sequence (i.e., tens of  $M_L > 2.5$  events) which started on December 23, 2018, with a concurrent phase of volcanic unrest that resulted in a series of explosive eruptions and a large dyke intrusion (Bonforte et al., 2019; De Novellis et al., 2019; Laiolo et al., 2019), which lasted till December 27 (Figure 1). The Fleri earthquake is the strongest instrumental shallow earthquake on Mt. Etna and provides unprecedented datasets for understanding active faulting in the European largest onshore volcano.

Since the morning after the mainshock, we surveyed and mapped the ground ruptures caused by the earthquake along the Fiandaca Fault and nearby capable segments, and collected structural data on the ground breaks orientation, displacement and on the fault fabric at surface. For the first time, the scientific community had the opportunity to document a volcano-tectonic surface faulting event in the Etnean area with modern field techniques coupled with remotely-sensed data (InSAR-detected ground displacement).

Earthquake surface faulting at Mt. Etna volcano has been well documented since 1800's through macroseismic investigations and studied in depth in the past decades (e.g., Ruscetti and Distefano, 1971; Azzaro et al., 1998; Azzaro, 1999). Paleoseismological studies constrained Holocene slip-rates along the Moscarello and Pernicana Faults (Azzaro et al., 2000; Ferrelì et al., 2002). Recent seismic events, as the October 25, 1984, Fleri earthquake (Mw 4.4; Benina et al., 1984; Patanè and Imposa, 1995), and the October 29, 2002 Santa Venerina event (Mw 4.4; Monaco et al., 2005; Blumetti et al., 2007), have been investigated in detail also with increasing instrumental seismology and geodetic accuracy, but still lack of systematic field mapping and structural measurements associated with remote sensing data.

Fault zone fabric at surface in volcano-tectonic environments is peculiar, since strongly dependent on the local geological, geomorphological and rheological setting. In particular, capable faults at Mt. Etna (Azzaro et al., 1998) are characterized by i) very shallow earthquake foci ( $< 1$  km), ii) the concurrent role of gravity in driving shallow crustal deformation (e.g., Borgia et al., 1992, Carveni and Bella, 1994; Carveni et alii, 2005, Bella et alii 1996, Carveni et alii, 1997; Groppelli and Tibaldi, 1999; Lundgren et al., 2004; Neri et al., 2007; Bonforte et al., 2011; Chiocci et al., 2011; Groppelli and Norini, 2011; Azzaro et al., 2011), iii) the co-existence of locked and creeping segments during the inter-seismic phase, iv) significant post-seismic slip released after coseismic events, and v) the complexity of the near surface geological setting (i.e.-volcano dynamics and morphology, thickness of the volcanic deposits and morphology and rheology of the underlying sedimentary basement, e.g., Branca et al. 2011; Branca and Ferrara, 2013).

In this paper, we provide a detailed map of the surface faulting caused by the 2018 Fleri earthquake, and an accurate dataset of orientations and dimensioning data of the ruptured fault zones with associated slip vectors. Our survey dataset is complementary to another recently published mapping of the ground breaks (Civico et al. 2019) that was surveyed independently still with consistent results. Data are examined in order to constrain some of the several concurrent variables that control the fault zone fabric. We analyze, through a GIS approach, the role of geologic, geometric, and morphological factors (i.e., primary vs distributed partitioning of faulting, near-surface lithology, trends along strike and relationship with terrain slope and aspect) in determining the surface expression of faulting and discuss this analysis in the framework of the fault displacement hazard assessment.

## 73 2 - Geological and seismotectonic setting

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### 75 2.1 Geological setting

76 The Fleri earthquake occurred on the eastern flank of Mount Etna volcano, located in the hanging wall of the  
77 Apennine–Maghrebian accretionary prism, close to the Hyblean–Malta Escarpment (e.g., Doglioni et al., 2001;  
78 Barreca et al., 2019). Mt Etna is around 3300 m high and is characterized by frequent explosive and effusive  
79 basaltic activity, mainly lava flows from the summit craters and the surrounding monogenetic craters and scoria  
80 cones. Recently Branca and Ferrara (2013) published the palaeo-topography of the Etna basement, showing a  
81 volcanic pile reaching 2500 m below the summit crater area and characterized by a depressed area, to the east,  
82 filled by clay and siltstone of the Pleistocene Argille grigio-azzurre Formation (Lentini et al., 2006).

83 The volcanic activity started around 500 ka (De Beni et al. 2011) with submarine eruption in the Aci Castello  
84 area, whereas the present morphology has been built more recently since the Ellittico volcano (56 ka, De Beni et  
85 al. 2011).

86 From the geodynamic point of view, there are different models, some of them outline the role of the Timpe fault  
87 system, the inland prosecution of the Malta Escarpment, a Mesozoic structure reactivated during Late  
88 Pleistocene (Lanzafame and Bousquet 1997; Nicolich et al. 2000; Doglioni et al. 2001; Argnani and Bonazzi 2005;  
89 Argnani et al., 2013) as the main lithospheric structure driving the magma to the surface. At the opposite, other  
90 models consider the Timpe fault system as the results of gravitational instability affecting the margin offshore  
91 Mt. Etna (Chiocci et al. 2011; Gross et al., 2016; Urlaub et al. 2018). Recently Firetto Carlino et al. (2019) propose  
92 a main structure E-W striking affecting the southern margin of Mt. Etna and the Timpe fault system as the  
93 deformation above deeper splays related to the regional-scale tectonics.

94 The eastern and southern flanks of the Etna volcano are continuous sliding towards east and south (e.g. Borgia  
95 et al., 1992; Tibaldi and Groppelli, 2002; Solaro et al., 2010; Bonforte et al., 2011; Groppelli and Norini, 2011;  
96 Norini and Acocella, 2011; Ruch et al. 2012; Azzaro et al., 2013). For this reason, the SE flank is characterized by  
97 frequent, low magnitude and very shallow seismic events (Figure 1). Commonly, hypocentral depths are  
98 shallower than 1–2 km, hence within the volcanic blanket, which rests on relatively shallow bedrock (Pleistocene  
99 foredeep clays belonging to the Argille grigio-azzurre Formation; Branca et al., 2011; Branca & Ferrara, 2013).  
100 Therefore, notwithstanding its small magnitude, the seismic activity locally induces remarkable shaking and  
101 ground surface ruptures. At the same time, frequent fault creep phenomena take place along well-defined  
102 structures, sometimes, but not always, associated with seismic events and/or volcanic eruptions (Azzaro et al.,  
103 1998).

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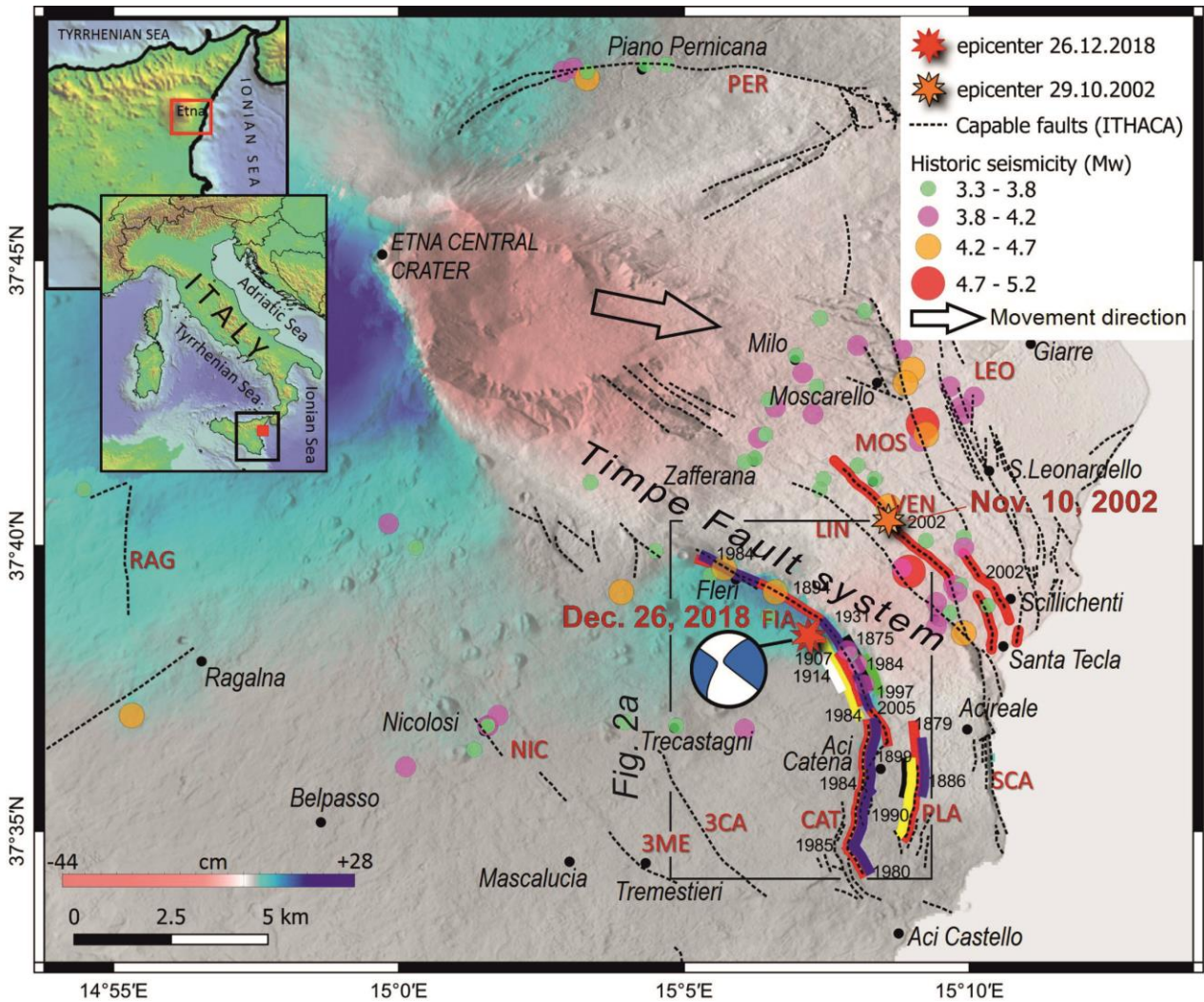
### 105 2.2 Recent deformation along the Timpe fault system

106 The maximum coseismic deformation occurs along the faults belonging to the Timpe fault system. The  
107 Moscarello fault (MOS in Figure 1) has a length of 12 km with a scarp up to 125 m high. This is the minimum  
108 displacement of  $126.4 \pm 4.8$  ka old volcanic deposits (Moscarello formation, Timpe phase —De Beni et al., 2011),  
109 suggesting a long-term slip rate higher than 1 mm/yr. Paleoseismological analysis carried out across the fault  
110 revealed a Holocene vertical slip rate of 1.4–2.7 mm/yr (Azzaro et al., 2000; Ferreli et al., 2000; 2002). During the  
111 past two centuries, this fault has generated four seismic events (in 1855, 1865, 1911 and 1971) with intensities  
112 of VII–VIII to X MSK (Medvedev–Sponheuer–Karnik). All these events were accompanied by surface ruptures up  
113 to 6 km long and measured dip-slip displacements between 25 and 90 cm. The San Leonardello fault (LEO in  
114 Figure 1), also belonging to the Timpe fault system, has a length of about 9 km and shows fault scarps up to 25–  
115 30 m high in alluvial and volcanoclastic deposits much younger than 10 ka BP (Calvari and Groppelli, 1996;

116 Monaco et al., 2010; De Beni et al., 2011). This fault is considered the source of the 1881, 1920, 1950, 1989  
117 earthquakes with intensities VII–VIII MSK. The 1920 and 1950 events were characterized by vertical  
118 displacement between 30 and 60 cm and minor strike-slip dextral components of a few centimeters (Lo Giudice  
119 and Rasà, 1992; Monaco et al., 1997; Azzaro, 1999, 2004). The Linera fault (LIN, in Figure 1) is partially hidden by  
120 the historical (i.e. 1329 A.D.) lava flows and extends from the village Santa Tecla almost up to the town of  
121 Zafferana, farther than revealed by the field evidence given by the cliff named “Timpa di Santa Tecla”. This is  
122 demonstrated by repeated historical surface faulting occurred during the 1865, 1879, 1914, 1952, 1973 and  
123 1981 events. In particular, the 1914 Linera earthquake caused the most relevant faulting in the Etna area, with  
124 rupture length of 6,5 km and 25-40 cm of throw (Ghersì et al., 1914; Platania, 1915; Sabatini, 1915). The Santa  
125 Venerina fault (VEN, in Figure 1) is also mostly hidden, having geomorphic expression only in its southern part,  
126 (south of San Giovanni Bosco village), but causing extensive surface rupture in 1879 and 2002, for a length of 6  
127 and 5 km respectively. The Acireale – Santa Maria la Scala fault (SCA, in Figure 1) belongs to the southern part of  
128 the Timpe system. It has a length of about 7 km and shows rectilinear fault scarps up to 120 m high in about 130  
129 ka old volcanic deposits (Timpe phase: De Beni et al., 2011). This NNW trending fault is characterized by oblique  
130 kinematics with right-lateral component (Monaco et al., 1997).

131 The NW-SE trending Fiandaca fault (FIA) belongs to the Timpe system, stretching from Fleri to the Aci Catena  
132 area with a curvilinear trace, a dominant right-lateral, strike-slip kinematics, and an overall length of ca. 8 km. In  
133 the southern part, it connects to Aci Catena (CAT) and Aci-Platani (PLA) faults through several fault splays and  
134 multiple parallel scarps. Typically, the FIA trace is marked by minute but clear evidence of long-term  
135 displacement, due to local vertical component of slip. Before the 2018 earthquake, the FIA activity has been  
136 demonstrated by several, well-documented historical and instrumental surface faulting earthquakes, in  
137 particular in 1875, 1894, 1907, 1914, 1984, 1997 (Figure 1).

138 At the southern termination of the FIA fault, a distinct N-trending, E-dipping morphological flexure marks the  
139 transition to the PLA fault. Known since 1879 (Baratta, 1901), the PLA is ca. 6 km long, and in its southern part,  
140 approaching the coastline, its strike changes to NNE-SSW. Its kinematics is normal, east side down, with slip by  
141 events of aseismic creep or local earthquakes (Silvestri, 1879a,b; Patanè Musumeci, 1886).



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143 FIGURE 1: REGIONAL CAPABLE FAULT MAP OF M. ETNA; COLOR BANDS INDICATE THE HISTORICAL SURFACE RUPTURES ALONG THE  
 144 FIANDACA AND ACI CATENA FAULTS; THE EPICENTERS OF THE NOV. 10, 2002 (S. VENERINA) AND OF THE DEC. 26, 2018 (FLERI)  
 145 EARTHQUAKES ARE REPORTED TOGETHER WITH HISTORICAL SEISMICITY (CPTI CATALOGUE, ROVIDA ET AL. 2016). MAJOR FAULTS  
 146 ARE LABELLED AS FOLLOWS: FIA, FIANDACA; PER, PERNICANA; CAT, ACI CATENA; PLA, ACI PLATANI; RAG, RAGALNA; LEO, SAN  
 147 LEONARDELLO; MOS; MOSCARELLO; LIN, LINERA; VEN, SANTA VENERINA; SCA, SANTA MARIA LA SCALA - ACI REALE; 3ME,  
 148 TREMESTIERI; 3CA, TRECAGNI; NIC, NICOLOSI.

### 149 3 – Methods and datasets

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#### 151 3.1 – Field survey

152 Field surveys started within hours of the December 26, 2018 earthquake. We took advantage of information  
 153 already available before the event, timely generated after it, including: i) a digital elevation model at 10 m  
 154 resolution (Tarquini et al., 2012); ii) the database of the active and capable faults (ITaly Hazard from CAPable  
 155 faults - ITHACA, see Data and Resources); iii) interferograms generated from Sentinel 1 SAR imagery (images  
 156 taken on December 22 and 28, processed with the SNAP software from ESA) and (iv) information collected  
 157 through interviews with local inhabitants. A detailed and complete interferometric analysis, which was outside  
 158 the aims of the present study, is found in De Novellis et al. (2019).

159 We systematically surveyed the epicentral region looking for any kind of coseismic effects: our collected data  
 160 refer to newly-formed ground breaks affecting roads and sediments; small mass movements mainly occurring



161 along road shoulders or stone walls were recorded as well. Our efforts focused on the FIA and PLA faults, where  
162 most of the damage was located.

163 Rupture traces were mapped on topographic maps at 1:5,000 scale or using iPad mini equipped with iGis  
164 software and Google Earth images. For each observation point, we collected an extensive photographic  
165 documentation and took the following measurements, whenever possible, on ground breaks: i) strike and length  
166 of the ground break; ii) heave (horizontal offset); iii) throw (vertical offset); iv) net displacement; v) slip vector  
167 orientation from piercing points (i.e., trend and plunge); v) fault plane orientation and slip on fault, if available.  
168 When information was available and reliable, we measured the ground ruptures strike, length, slip vector and  
169 net slip amount. Alternatively, when only partial constraints were available, we reported at least the maximum  
170 heave or throw. All orientation measurements are expressed in Clar's notation and the complete dataset is  
171 available in the electronic supplement of this article (NOT PROVIDED HERE).

172 The coordinates of observation points were collected by means of a handheld GPS with an accuracy of ca. 2  
173 meters. Displacements and lengths were measured with a geological compass and a ruler or measuring tape; the  
174 obtained accuracy can be estimated in ca. 1 cm. Net displacements and slip vectors were measured only if clear  
175 piercing points were available on the two sides of the rupture.

176 The investigation of the epicentral region during an ongoing seismic crisis presents some challenges. Beside  
177 operative problems (e.g., road closures, access to private properties), the surveys should be done as soon as  
178 possible after the earthquake, because minute coseismic evidence can be easily erased by degradation and/or  
179 road repairs. Post-seismic slip, creep processes and/or the possible occurrence of further seismic events can  
180 alter the amount of displacement, which implies that an acquired measure may represent a cumulative effect.  
181 All the field surveys were realized within one month since the earthquake, involving 14 people, for a field survey  
182 that lasted ca. 30 days after the earthquake. Most relevant to the issues highlighted above, part of our team is  
183 living in the epicentral area; this allowed us to solve relevant logistic issues (property access, interview with local  
184 witness) and provided timely and extremely accurate update on the afterslip evolution during the weeks  
185 following the mainshock.

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### 187 3.2 – Database analysis

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189 All the data have been organized in a GIS database (WGS84 - UTM Zone 33N, available in the electronic  
190 supplement to this article – NOT PROVIDED HERE). We shared a common survey methodology for mapping and  
191 recording data; anyway, once collected, all data were further processed and verified, to avoid duplication and  
192 inexact formats and to obtain a properly organized database. This database is made up of 273 observation  
193 points, mainly located along the FIA fault.

194 We divided the observed ruptures along the FIA and PLA faults into 3 segments (namely the A, B and C segments  
195 in Figure 2), according to previous information (e.g., ITHACA fault strands) and a change in the fault strike. For  
196 each segment, we projected the data location on a baseline, according to the average strike of the observed  
197 ruptures (Figure 2), and derived along-strike profiles for selected variables (e.g., slip azimuth, strike,  
198 displacement); fault width was measured perpendicularly to the baseline every 50 m.

199 We derived slope and aspect rasters from a DTM (10 m of grid spacing – Tarquini et al., 2012) and from a DEM of  
200 the top of basement (100 m of grid spacing derived from the elaboration of 50 m equidistance contour lines  
201 published in Branca and Ferrara, 2013). These layers were compared with fault slip data and faulting  
202 characteristics by sampling the average value of each layer on a 100 x 100 m moving window centered on each  
203 observation point.

## 204 4- Results: ground breaks distribution and fault fabric 205 analysis 206

207 Figure 2 shows the ground breaks mapped after the 2018 earthquake and the position of segments A, B and C;  
208 the length of the mapped ruptures is about 10 km. Measured displacements are generally of a few cm, locally  
209 reaching up to 30 cm (Figure 3). A synthetic rendering of the data distribution is given in Figure 4, where we  
210 report the values of strike and slip orientations, color-coded according to the three segments. A brief description  
211 is provided below.

### 212 **A segment:**

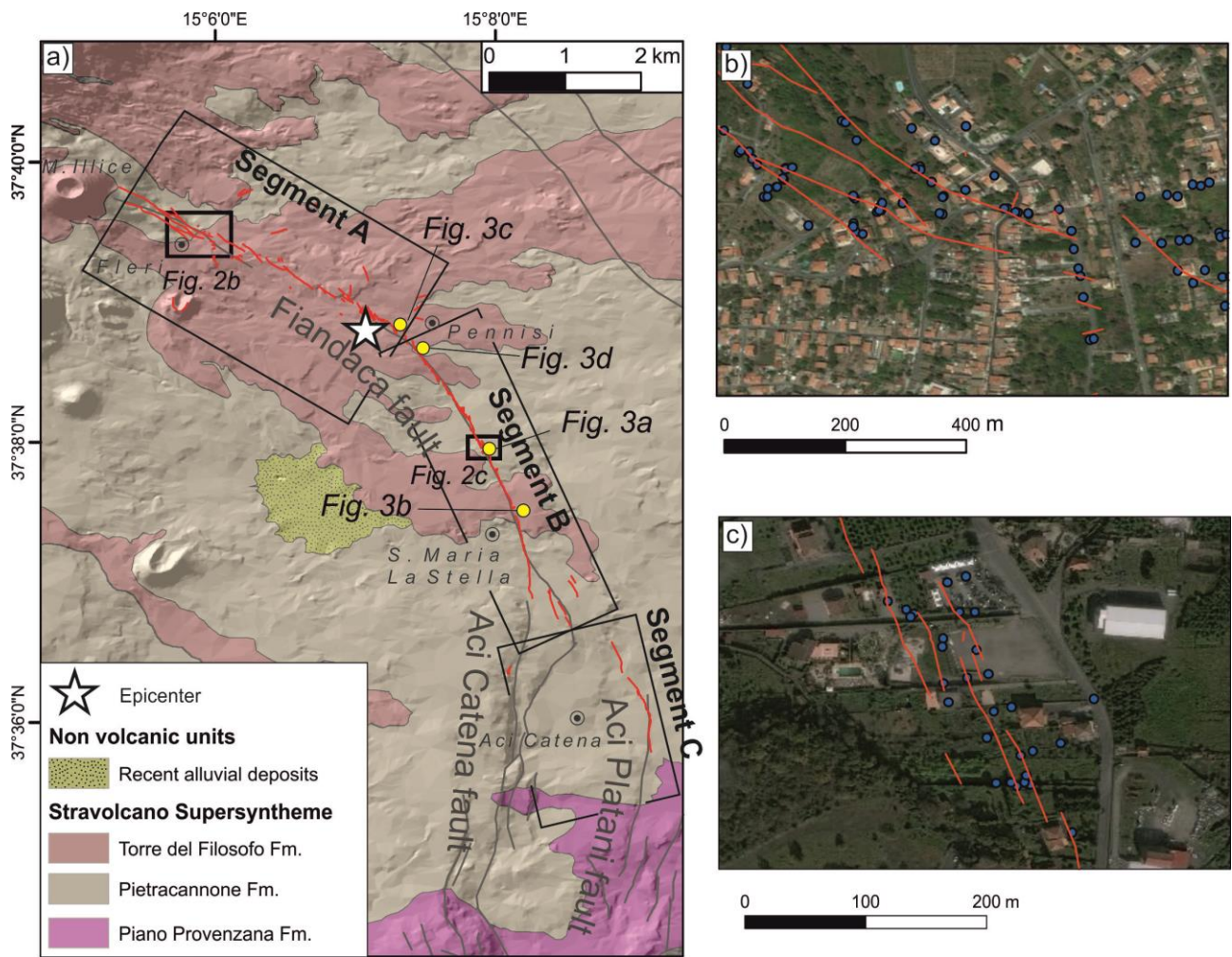
213 It runs from the SW sector of M. Illice through the hamlet of Fleri, up to Pennisi. The average strike is N297,  
214 length about 3500 m. We identified 86 ground breaks, which could be generally followed for tens of meters  
215 (average length: 102 m, maximum length of individual ground breaks: 1010 m). Fractures are arranged as  
216 anastomized in the NW sector, passing to a left-stepping en-echelon pattern near the Fiandaca segment; the  
217 rupture zone width is 230 m on average, but reaches up to 1.5 km. The distribution of ground breaks strikes is  
218 quite wide, centered on values close to N330-340 and with a secondary mode striking ca. NE-SW (Figure 4b and  
219 d). The angle between each ground break strike and its slip vector dip direction (i.e., the fault obliquity, Figure  
220 4c) ranges between 42° and 82°, indicating the coexistence of normal to transtensive dextral faults, with an  
221 irregular spatial distribution of the data.

### 222 **B segment:**

223 It runs from Pennisi through Santa Maria la Stella with an average strike of N332 and a length of 4100 m. We  
224 mapped 54 ground breaks, which are on average shorter than those in the Fleri segment (average length: 84 m)  
225 and distributed in a much narrower zone (average fault width: 28 m). This segment is fully arranged in a left-  
226 stepping pattern with shorter average length of each ground rupture. The SE tip of this segment shows a  
227 horsetail splaying at its southern tip, consistent with the dextral component of movement. Ground breaks  
228 regularly strike N340 with a secondary mode trending N20, representing Riedel faults associated to the main  
229 fault zone. Fault obliquity ranges between ca. 60° and 80°, with an average value of ca. 70°, indicating a  
230 transtensive accommodation of strain along localized shear zones.

### 231 **C segment:**

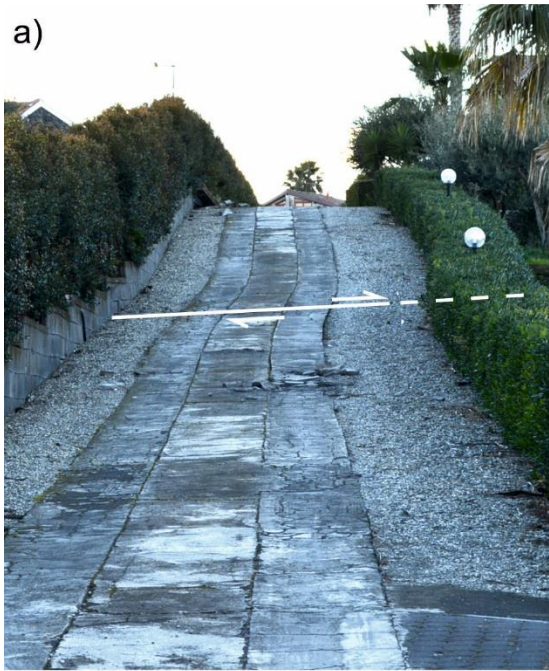
232 It runs from S. Maria la Stella to the southernmost tip of the rupture, with an average strike of N160 and a length  
233 of 2800 m. The fault width is highly variable, with values decreasing from north to south. Ground ruptures are  
234 typically organized in a single linear trace, with a rather narrow fault width. Ground breaks strike N350-360 with  
235 a secondary mode trending N30. Kinematics are normal, east side down, or purely dilational fractures, as  
236 illustrated by the numerous depressions in the paving of the houses. In the southern part, at the limit with  
237 agricultural land, traces of fracturing were found along the boundary walls.



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239 **FIGURE 2: A) GEOLOGICAL BASEMAP (AFTER BRANCA ET AL., 2011; MODIFIED) AND GROUND BREAKS MAPPED AFTER THE 2018**  
 240 **EARTHQUAKE; B) ZOOM ON THE FLERI AREA; C) ZOOM ON THE PENNISI – SANTA MARIA LA STELLA AREA.**

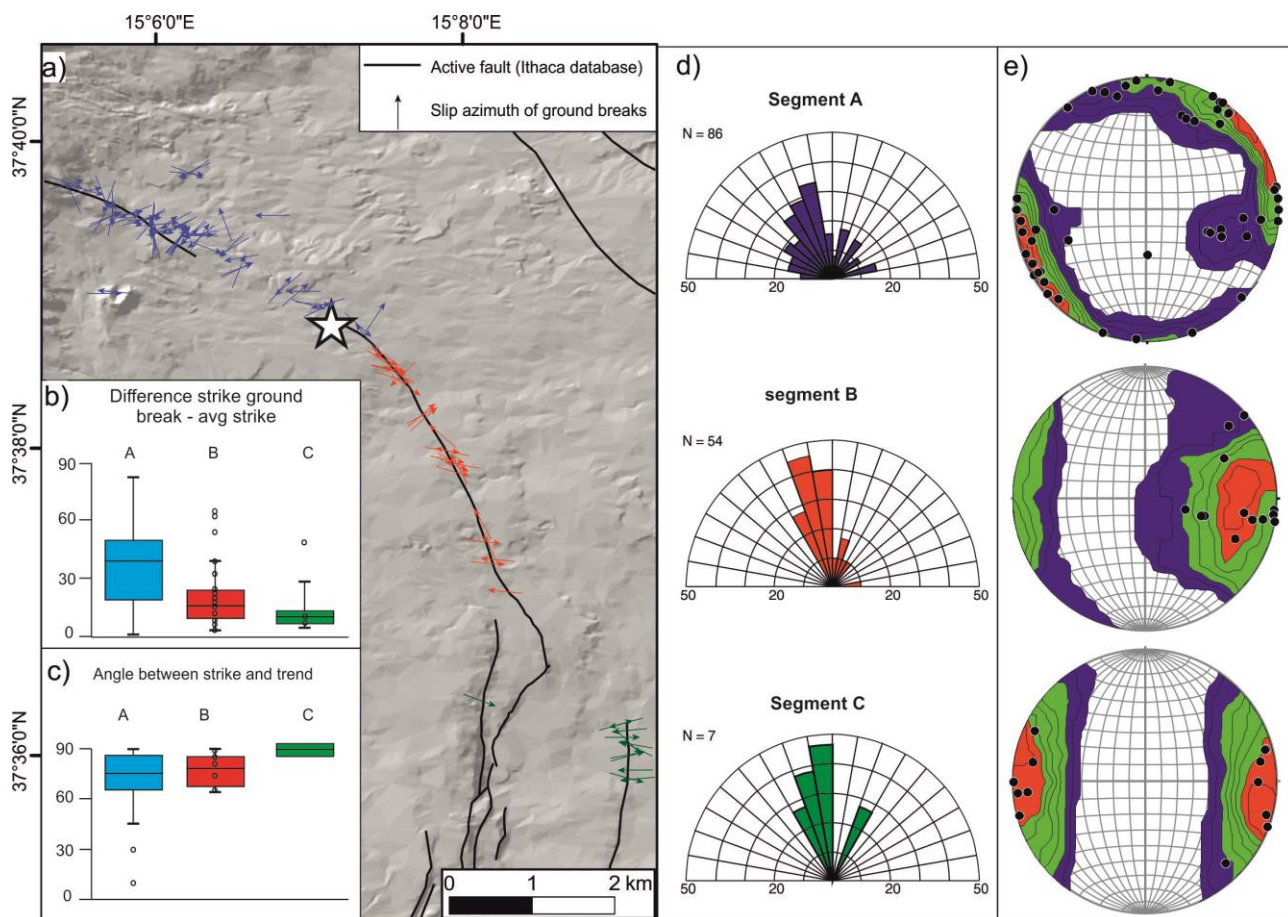




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242 **FIGURE 3: FIELD PHOTOS OF GROUND CRACKS IN ASPHALT AND PAVED ROADS (A, B) AND SOILS (C, D). LOCATION IS SHOWN IN**  
243 **FIGURE 2.**





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**FIGURE 4: A) MAP OF THE SLIP AZIMUTH OF GROUND BREAKS. B) BOXPLOT SHOWING THE DIFFERENCE BETWEEN THE STRIKE OF MAPPED GROUND BREAKS AND THE AVERAGE STRIKE OF EACH SEGMENT. C) BOXPLOT SHOWING THE ANGLE BETWEEN THE STRIKE OF GROUND BREAKS AND THE TREND OF SLIP VECTORS. D) STRIKE OF MAPPED GROUND BREAKS. E) SLIP VECTORS MAPPED ALONG THE THREE SEGMENTS.**

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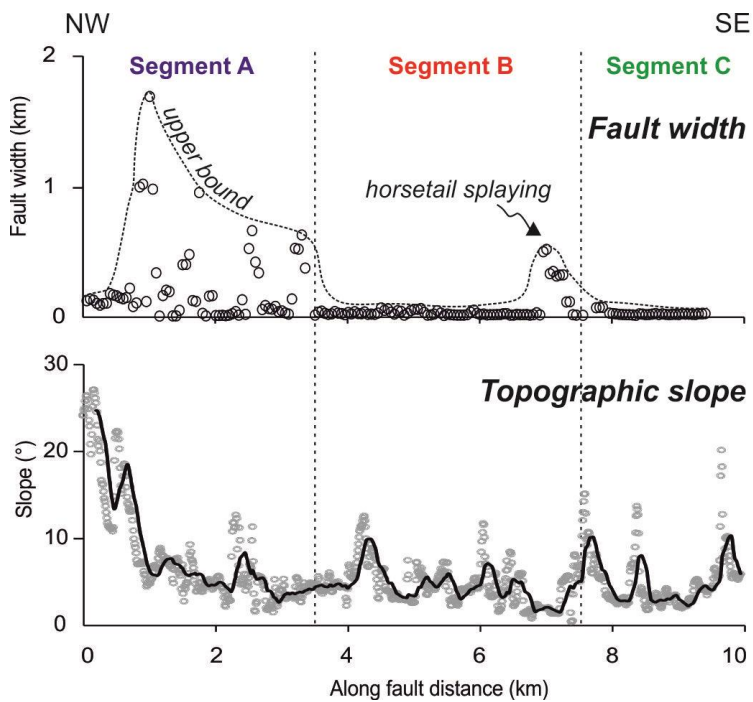
## 5. Discussion: factors affecting surface faulting style

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In order to explore possible factors affecting the style of surface faulting, we compared fault zone characteristics with near surface and deeper driving factors including the morphology of the topographic surface and of the buried top of basement, finding that the latter strongly influenced the surface expression of faulting during the 2018 event. Instead there is no correlation with surface geology (fig. 2), mainly because of the recent age and the similar rheology of the lava flows affected by ground ruptures.

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Firstly, we compared the fault zone width with the topographic slope (Figure 5), observing that the largest fault zone is located in segment A, closest to the flank of M. Etna, where an irregular topography and relatively high slope angles are present. Segment B, conversely, with a topographic slope angle smaller than 10°, shows a narrower fault zone, with a limited number of fault strands across strike. Along the southernmost sector of Segment B, between 6 and 8 km along-distance (Figure 5a), fault width significantly increases without a corresponding change in the topographic slope. These points lie where the left-stepping en-echelon pattern of the segment B passes to a horsetail splaying and kinematics deviates from transtensional to purely normal.



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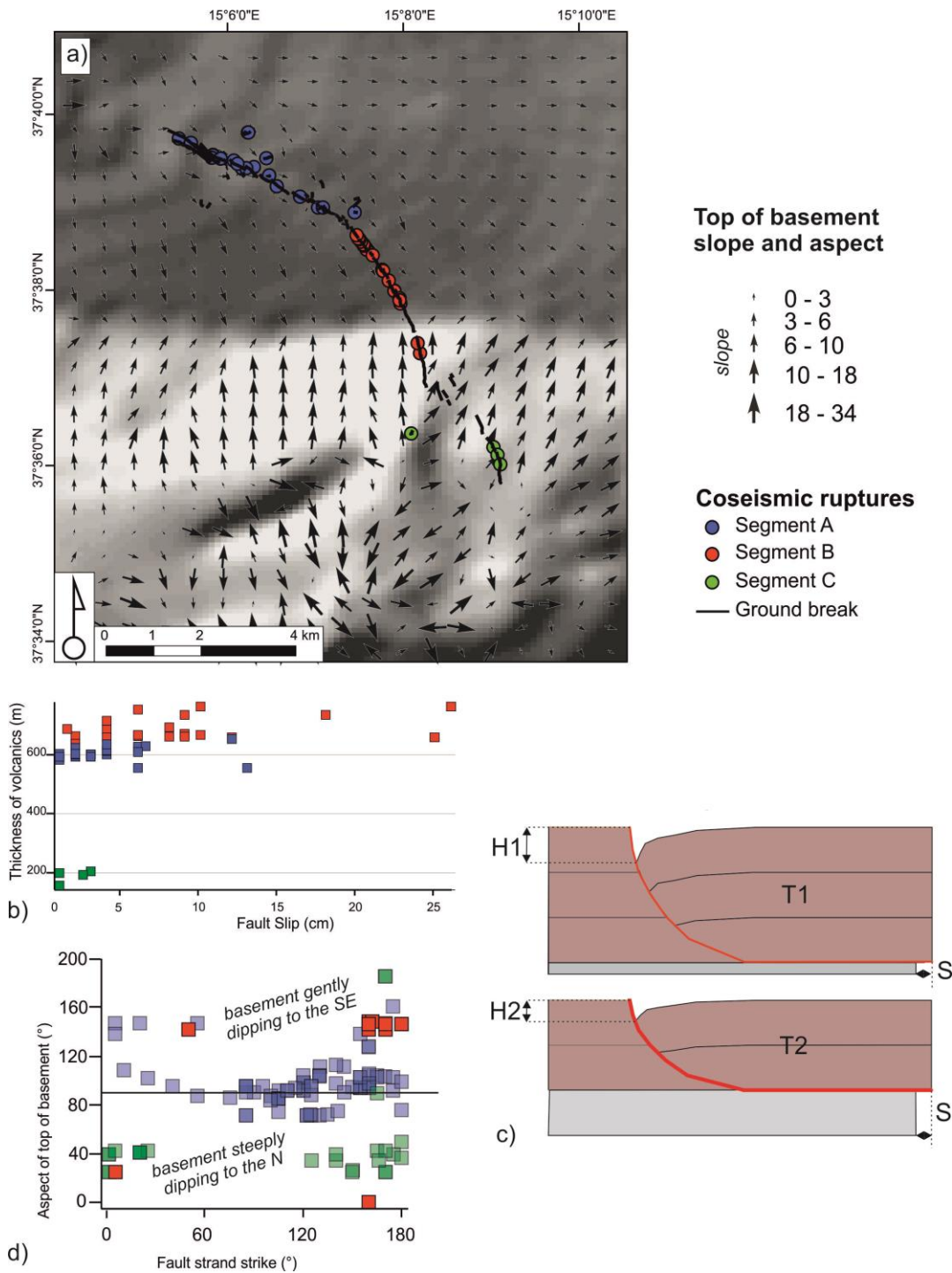
264 **FIGURE 5: ALONG-STRIKE VARIATION OF FAULT WIDTH (A) AND CORRESPONDING TOPOGRAPHIC SLOPE DATA (B) WITH A MOVING**  
 265 **AVERAGE LINE (20 POINTS); SEE THE METHODS SECTION FOR A DESCRIPTION OF DATA EXTRACTION. DOTTED LINES REPRESENT THE**  
 266 **APPROXIMATE UPPER BOUND OF THE DATASET; THE EXTENSION OF THE THREE SEGMENTS IS ALSO SHOWN.**

267 We compared the faulting characteristics with the geometry of the top of basement (i.e., the top of Pleistocene  
 268 clays and siltstones underlying the volcanic complex, as mapped after Branca and Ferrara, 2013): an underlying  
 269 weak horizon on which shallow deformation is rooted and considered as a detachment surface for the sliding  
 270 block of the Mt Etna eastern flank. The area lies on a basement that gently dips to the SE in the northern sector  
 271 and, due to deformation induced by contractional tectonics, it is deformed in an elongated depression centered  
 272 at ca. N37°38' bordered, to the south, by a steep E-W trending scarp (Figure 6a). We calculated the thickness of  
 273 the volcanic pile as the difference between a DTM and the top of basement surface.

274 We found that displacement of ground ruptures is correlated with the thickness of the volcanic units, with a  
 275 maximum slip along the segment B, where the depression depocenter is located (Figure 6b). Minimum slip is  
 276 associated with segment C and intermediate values are recorded along the segment A. Such a correlation is  
 277 expected if we consider a simple kinematic model of listric faults accommodated by hanging wall collapse in a  
 278 rollover anticline (Figure 6c). The models were calculated in the 2D MOVE structural software. Near surface  
 279 displacement would be proportional to the thickness of the section cut by the fault ramp.

280 The geometry of the top of basement is also the primary factor affecting the orientation of fault slip and strike.  
 281 The NE block of the fault moved parallel to the average top of basement aspect (to the SE) and, locally, the strike  
 282 of the fault strands is parallel too. The southernmost sector of the segment B, where the fault zone width  
 283 increases and fault strands gradually rotate from NW-SE to N-S, lies where the top of basement steeply dips to  
 284 the north, almost opposite to the average slip dip direction. The two sectors of the segment B are clearly  
 285 clustered also if we consider the strike of fault strands plotted against the aspect of the underlying basement  
 286 (Figure 6d). The strike of fault strands lying on a N-dipping basement ranges between N and NNW whereas NE to  
 287 SE trending ground ruptures, dominating in the other sectors, are absent. We suppose that the strong influence  
 288 of the geometry of the top of the basement on the ground ruptures orientation can be partly ascribed to the fact  
 289 that this horizon is a particularly weak layer, as suggested also by numerical modeling (Apuani et al. 2013),  
 290 implying a local stress re-orientation (e.g., Faulkner et al. 2007).

291 The rise of the top of basement in the southern sector causes the sliding block to be extruded eastward, with the  
 292 development at surface of normal faults and pure dilational fractures in the segment C.



293  
 294 **FIGURE 6: A) GROUND BREAKS AND MEASUREMENTS POINTS (COLOR CODED ACCORDING TO SEGMENTS) PROJECTED ONTO THE**  
 295 **SHADED RELIEF MODEL OF THE TOP OF BASEMENT; ARROWS INDICATE ASPECT AND SLOPE OF THE SURFACE; B) FAULT SLIP VERSUS**  
 296 **THICKNESS OF THE VOLCANIC COMPLEX OVERLYING THE BASEMENT; C) SKETCH MODEL OF TWO NORMAL LISTRIC FAULTS OF SLIP (S)**  
 297 **DETACHED AT TWO DIFFERENT DEPTHS (T1 AND T2); THE ACCOMMODATION OF DISPLACEMENT AT SURFACE, ACCORDING TO A**  
 298 **SIMPLE SHEAR KINEMATIC MODEL RESULTS IN A FAULT THROW (H1 AND H2) PROPORTIONAL TO THE DETACHMENT DEPTH; THE TWO**  
 299 **MODELS WERE CALCULATED IN THE 2D MOVE STRUCTURAL SOFTWARE (COURTESY OF PETROLEUM EXPERTS) AND REDRAWN; D)**  
 300 **FAULT STRAND STRIKE VERSUS THE ASPECT DIRECTION OF THE TOP OF BASEMENT.**



## 301 6 – Conclusions

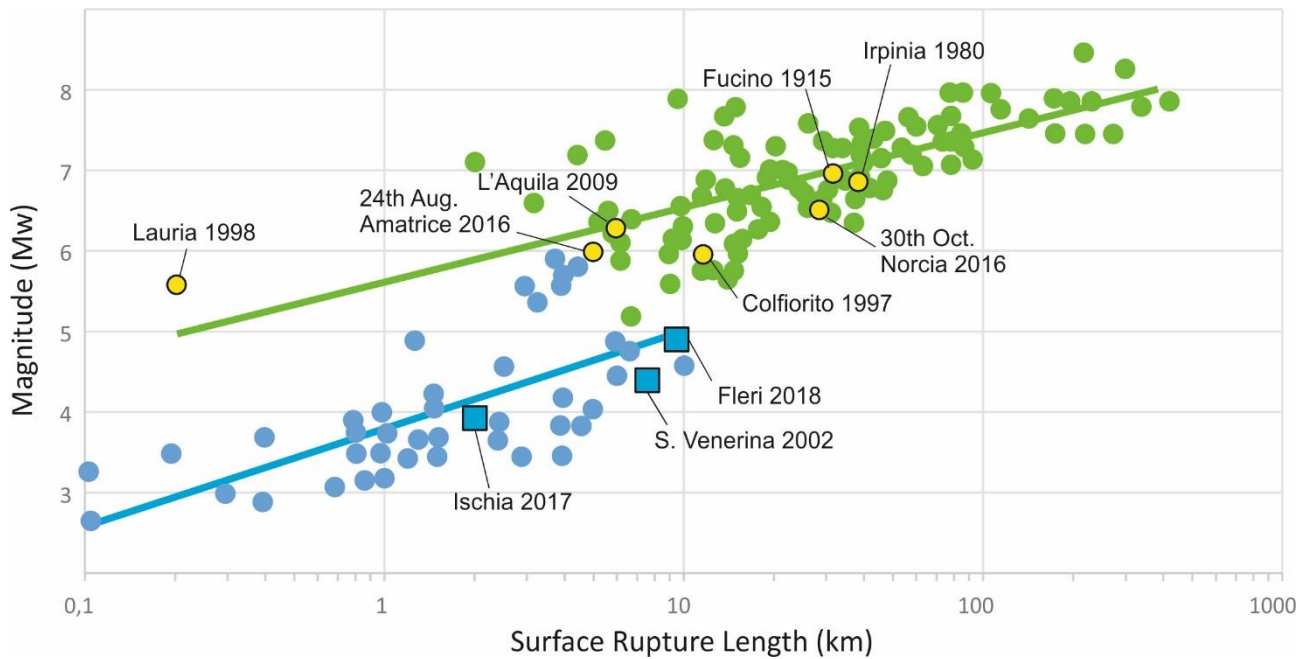
302

303 We here document a detailed mapping of the ground ruptures associated with the December 26, 2018, Fleri  
304 earthquake and provide a dataset of structural data on fault slip and on the orientation of fault strands.

305 Our observations on the extent and sense of movement at surface are consistent with the model of a block  
306 sliding to the ESE and detached at shallow levels above a weak layer of mudstones and siltstones underlying the  
307 volcanic complex (e.g., Carveni and Bella, 1994; Carveni et al. 1997, 2005). Seismological data consistently  
308 indicate a less than 1 km hypocentral depth. It is noteworthy that the inversion of InSAR-detected ground  
309 deformation results in a shallow source with an estimated fault slip of 60-70 cm located mainly at a depth of ca.  
310 700 m (Bonforte et al., 2019; in a range of 400 – 1000 m according to De Novellis et al., 2019), i.e., corresponding  
311 to the thickness of the volcanic pile at the epicentral location.

312 By means of a GIS approach, we spatially compare structural data with other variables and conclude that the  
313 topographic slope strongly influenced the fault strand pattern and slip orientation above a critical slope angle of  
314 ca. 10°. The sector mostly affected by this variable is close to the NE tip of the fault, i.e., the segment A, the  
315 highest on the Mt Etna flank. We also find that the top surface of the basement is the local detachment floor for  
316 the sliding block and influences the amount of fault displacement at surface and the fault strands pattern. In  
317 particular, the presence of a lateral rise of the detachment surface to the south causes the sea-ward extrusion of  
318 the sliding block and the re-arrangement at surface of the fault strand pattern and deformations style, switching  
319 from shear faulting to a tensile failure. This transition in the failure mode can be mainly ascribed to the change in  
320 overburden load as the thickness of the volcanic cover decreases. This is well predicted by physical models  
321 (Kettermann and Urai, 2015) and observed in other volcano-tectonic settings (Kettermann et al., 2019).

322 The mapped fault strands extend for a length of ca. 10 km. The surface rupture length is consistent with previous  
323 empirical regressions calculated for volcano-tectonic events (Ruscetti and Distefano, 1971; Azzaro, 1999;  
324 Mohammadioun and Serva, 2001; Azzaro et al. 2017; Figure 7) and with recent observations on other volcano-  
325 tectonic shallow events in Italy: i.e., the October 29, 2002, S. Venerina earthquake, Mw 4.4; and the August 21,  
326 2017, Ischia earthquake, Mw 3.9 (Nappi et al., 2018; Figure 7). Arguably, the long-documented history of  
327 coseismic and aseismic surface faulting at Mt. Etna follows an entirely different scaling law if compared with  
328 most shallow crustal earthquakes elsewhere in the world. The Fleri earthquake provides therefore new  
329 perspectives on the physical and rheological processes controlling this law.



330

331 **FIGURE 7: MAGNITUDE PLOTTED VERSUS SURFACE RUPTURE LENGTH: SHALLOW SEISMIC EVENTS FROM THE ETNA VOLCANO (BLUE**  
 332 **DOTS, AFTER AZZARO, 1999) COMPARED WITH THE DATA (GREEN DOTS) FROM WELLS AND COPPERSMITH (1994) AND SELECTED**  
 333 **ITALIAN EARTHQUAKES (YELLOW DOTS). IN THIS GRAPH WE INCLUDED ALSO THE AUGUST 21, 2017, ISCHIA EARTHQUAKE - MW 3.9**  
 334 **(BLUE SQUARE; NAPPI ET AL., 2018) AND THE OCTOBER 29, 2002, S. VENERINA EARTHQUAKE (MW 4.4; BLUMETTI ET AL., 2007).**

## 335 Data and resources

336 Seismological data were retrieved from the INGV website at <http://cnt.rm.ingv.it/event/21285011> (last  
 337 accessed November 8, 2019). The Ithaca database of capable fault is publicly available at  
 338 <http://sgi2.isprambiente.it/ithacaweb/viewer/> last accessed, November 8, 2019).

339 The electronic supplement to this article (NOT PROVIDED HERE) includes shapefiles of the ground breaks and  
 340 point observations.

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 343 modeling in Figure 6d. GG field work has been supported by Italgas project funds.

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