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A paradigm shift towards decentralized cloud-integrated spatial data infrastructures: Lessons learned and solutions provided for public authorities

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Abstract

Digital transformation is key to turn public authorities into organisations that make decisions based on data-driven insights. Big geodata can enable public authorities to tackle complex sustainability issues. However, the efficient management of large amounts of geodata through implementing viable data infrastructures represents a major challenge for public authorities. In this article, we propose a decentralized, cloud-integrated spatial data infrastructure (SDI) to meet the needs of public authorities mandated to provide data services based on earth observation (EO) imagery. We describe the SDI setup and integration of the EO cloud platform CODE-DE, drawing on the specific SDI implementation at a federal, agricultural authority in Germany. Two practical applications are illustrated, underpinning the added value of a cloud-integrated SDI. We elaborate on lessons learned from SDI implementation by summarizing four key findings that may facilitate effective SDI establishment and use, namely i) the need for an organizational strategy, ii) identifying stakeholders, including their participatory roles, iii) long-term financial and human resource planning, and iv) the implementation of a data governance framework. The SDI proposed, serves as blueprint for public authorities aiding them on their path to become providers of data services, leveraging the potential of big geodata, including EO imagery.

KEYWORDS

Big Data; Cloud computing; CODE-DE, Copernicus; Digitization; Geodata

1. Introduction

The complex challenges of the 21st century urgently require a digital transformation of the public sector (Dunleavy et al. 2005; Ghobakhloo 2020; Mergel et al. 2019). Digi-

4 tization in public organisations operating in the agricultural sector, for instance, is key
5 to monitor progress towards Sustainable Development Goals (SDGs, [UN 2022](#)) related
6 to food production. Aligning efforts around safeguarding biodiversity and food pro-
7 duction, including climate adaptation, mitigation, and food security requires evidence-
8 based decision-making ([BMEL 2019](#); [Delgado et al. 2019](#)). Related information is re-
9 trieved from large amounts of heterogeneous data being collected, underpinning the
10 need for governmental organizations to invest heavily in capabilities on big data ana-
11 lytics. Geospatial data, including observations and measurements on any spatial scale,
12 play an important role in characterizing historical and current growing conditions on
13 agricultural land (e.g., characteristics of soil, weather, topography, land cover, biophys-
14 ical conditions). Major challenges on handling big geospatial data include the velocity
15 of data generation and large data volume, both requiring critical changes in data man-
16 agement and analytics ([Sudmanns et al. 2020](#); [Hengl et al. 2022](#)). The speed with which
17 data is collected and created often exceeds the ability to integrate it into productive
18 models ([Reichstein et al. 2019](#)).

19 In remote sensing, several advances have opened up the possibility of conducting
20 large-scale, multi-sensor earth observation (EO) missions with unprecedented spectral,
21 spatial and temporal resolution ([Justice et al. 1998](#); [Drusch et al. 2012](#); [Wulder et al.](#)
22 [2016](#); [Claverie et al. 2018](#); [Shang and Zhu 2019](#); [Defourny et al. 2019](#); [Hengl et al.](#)
23 [2022](#)). Similar to the American Landsat program ([Wulder et al. 2019](#)), the Coper-
24 nicus program is a long-term EO initiative of the European Commission ([Schia-
25 von et al. 2021](#)), operating an increasing number of satellites, called 'Sentinels'. Copernicus
26 aims to supply geo-information products and services based on the use of images from
27 space that will help European institutions and public authorities to fulfill their man-
28 dates of safeguarding the population, managing risks, and protecting the environment.
29 Thus, Copernicus Sentinel missions add unique features to our global EO capacity
30 ([d'Andrimont et al. 2021](#)). However, with increasing availability of satellite sensors
31 data archives are growing exponentially making access to appropriate Spatial Data
32 Infrastructures (SDI) essential for the EO community ([Soille et al. 2018](#); [Wagemann
33 et al. 2021a](#)). The Copernicus program alone generates about 150 TB of data every
34 day ([Apicella et al. 2022](#)).

35 In parallel, the complexity of analysis methods has been growing rapidly in the
36 last decades, driven by the development of various machine learning (ML) algorithms,
37 which can be summarized under the term artificial intelligence (AI) ([Maxwell et al.](#)
38 [2018](#); [Hengl et al. 2022](#)). A number of self-learning algorithms have been developed in
39 order to address prevailing challenges in the fields of land use/land cover classification
40 ([Li et al. 2014](#); [Yao et al. 2017](#); [Preidl et al. 2020](#); [d'Andrimont et al. 2021](#)), change
41 detection ([Liu et al. 2019](#); [You et al. 2020](#)), derivation of various soil and vegetation
42 parameters ([Ali et al. 2015](#)), and agricultural statistics ([Bahrami et al. 2022](#)) such as
43 crop yield estimation ([van Klompenburg et al. 2020](#); [Zhang et al. 2022](#)).

44 With the onset of the big data era, a paradigm shift has been set into motion, mov-
45 ing data analysis away from centralized in-house solutions towards distributed Spatial
46 Data Infrastructures (SDI) and cloud services ([Sudmanns et al. 2020](#); [Wagemann et al.](#)
47 [2021a](#)). Consequently, geodata are no longer downloaded to the local processing com-
48 puter infrastructure or even single workstations. Instead, algorithms and processing
49 power are brought to the data ([Azzari and Lobell 2017](#)), stored in clouds. Big data
50 requires appropriate SDIs for multi-modal and high-dimensional data storage, manage-
51 ment and processing that are suitable for effective and high performance tasks such as
52 searching, aggregating, visualizing, and cross-referencing large datasets ([Lokers et al.](#)
53 [2016](#); [Kamilaris et al. 2017](#); [Wolfert et al. 2017](#)). This can be achieved by an appropriate

54 SDI which is defined as technological, semantic, organizational, and legal framework
55 that enables the discovery, sharing, and use of geographic information (Schade et al.
56 2020). SDI has been subject to continuous development, driven primarily by techno-
57 logical progress, such as (web-)GIS, data portals, API catalogs, cloud computing and
58 storage, open data and ML (Dangermond and Goodchild 2020).

59 The number of providers offering web-based data storage and catalogs as well as
60 cloud processing power has been increased steeply (see Tab. 1; Schramm et al. 2021).
61 Among those providers are globally operating corporations such as Google (Google
62 Earth Engine (Gorelick et al. 2017)), and Microsoft (Microsoft’s Planetary Computer
63 (Luers 2021)). In addition, thematic cloud providers have recently emerged addressing
64 specific user groups. In the field of EO data analytics, these providers include interna-
65 tional, publicly funded services such as the European Commission’s cloud-based Data
66 and Information Access Services (DIAS; e.g., CreoDIAS, Mundi; Marconcini et al. 2020;
67 European Commission 2022). Numerous initiatives at national and sub-national levels
68 add to the landscape of EO cloud providers, which makes it increasingly difficult to
69 maintain an overview (Hengl et al. 2022). Griffiths (2022) states that, according to the
70 Open Geospatial Consortium (OGC), there are currently at least 78 geo-related cloud
71 platforms in Europe alone. Besides the agony of choice, this wide range of providers
72 poses the risk of fragmenting the heterogeneous landscape of EO cloud services leading
73 to the inefficient use of data and budgets.

74 Nevertheless, cloud infrastructures are preferable to non-public in-house solutions, in
75 order to avoid spending budgets unnecessarily in the remote sensing community with
76 multiple redundancies (Griffiths 2022). Instead of using the same datasets from different
77 sources, where great efforts must be made for data homogenization it is most efficient
78 to use a centralised (cloud) infrastructure with standardised data products. Griffiths
79 (2022) notes that the constant "reinvention of the wheel" must be stopped and that
80 this burden of data preprocessing could be reduced by simplifying and democratizing
81 processes, increasing collaboration and sharing of data and technologies, and reducing
82 fragmentation and redundancy. Another key development for achieving interoperability
83 of data and infrastructures are standards that are being developed and still need to be
84 developed so that isolated systems can communicate with each other (Schramm et al.
85 2021), using standardized interfaces such as OGC services (Baumann et al. 2019). This
86 would be enable synergistic workflow development for shared data products preventing
87 duplicated efforts leading to more efficient use of budgets and resources.

88 In order to support digital transformation processes in Europe, the European Com-
89 mission has adopted an European Union (EU) wide digital agenda. The framework’s
90 goal is to guide measures that ensure interoperability and standards for information
91 technology platforms and data repositories to interact seamlessly (EC 2010). Conse-
92 quently, governments of EU countries such as Germany have introduced digital agendas
93 at national level to promote digital innovation and economic growth (BMI 2017). In
94 the context of geodata and earth observation, the German government has further de-
95 veloped umbrella strategies setting out objectives on using geoinformation (GDI-DE
96 2015) and Copernicus data (BMDV 2017). Both strategies elaborate on guidelines for
97 implementing SDIs in public administration and research facilities. These guidelines
98 provide a general outline of how the needs of economic and public sectors could be
99 aligned through access to open (geo)data and services and modern technologies, such
100 as cloud computing and storage to prevent duplicated data production and redundant
101 use of computing resources across individual authorities and organizations.

102 However, German public authorities are subject to numerous restrictions and reg-
103 ulations regarding IT security aspects. These regulations lead to severe constraints

Table 1.: Selection of publicly and privately funded cloud providers. Column „geo“ marks providers which are focusing on geodata assessment.

clouds	company/ organisation	funding	scale	geo	website
Google cloud	Google	private	international		cloud.google.com
Google Earth Engine	Google	private	international	x	earthengine.-google.com
AWS	Amazon	private	international		aws.amazon.com
Azure	Microsoft	private	international		azure.microsoft.com
Planetary Computer	Microsoft	private	international	x	planetary-computer.-microsoft.com
mundialis	mundialis	private	international	x	mundialis.de
Sepal	FAO	public	international	x	sepal.io
openEO	ESA	public	international	x	openeo.cloud
CODE-DE	DLR	public	national	x	code-de.org
EO-Lab	DLR	public	national	x	eo-lab.org
creodias ^a	Creotech Instruments S.A.	public	international	x	creodias.eu
MUNDI ^a	ATOS	public	international	x	mundiweb-services.com
Sobloo ^a	Airbus, Orange	public	international	x	sobloo.eu
ONDA ^a	Serco Italia S.p.A.	public	international	x	onda-dias.eu
WekEo ^a	EUMETSAT, ECMWF	public	international	x	wekeo.eu
Copernicus Data Space Ecosystem	ESA	public	international	x	dataspace.-copernicus.eu/

^a Data and Information Access Services (DIAS) funded by the European Commission copernicus.eu/en/access-data/dias

104 when working on web-based digital infrastructures and cloud providers. Special criteria
105 must be met for German public authorities in order to use cloud platforms ([IT-Rat
106 - CIO Bund 2015](#)): Platforms have to be certified by the Federal Office for Information
107 Security (BSI) and meet cloud platform security standards (BSI for basic protection,
108 ISO 27001 and BSI-C5 standard Cloud Computing Compliance Criteria Catalogue;
109 [BSI 2021](#)). Further conditions apply to the geographic location of data stored, espe-
110 cially for data being managed by public authorities, meaning that related data must
111 be stored inside jurisdictional boundaries of a given country. Thus, the operational use
112 of commercial platforms in a federal authority is heavily restricted and in some cases
113 even prohibited. Therefore, alternative solutions need to be found that, on the one
114 hand, take into account legal restrictions and, on the other hand, meet the demands of
115 today’s technical and analytical requirements, enabling: (1) the support of data-driven
116 decision making in governmental organizations based on big geodata integrating re-
117 mote sensing and thematic administrative data, (2) to pursue new opportunities for
118 monitoring and reporting in an administrative context, (3) infrastructure solutions for
119 developing, verifying and delivering tailored thematic services for specific user groups.

120 This article aims at proposing a viable SDI implementation that meets the needs of
121 public authorities working with geospatial data while complying to IT standards and
122 regulations. In doing so, we use our experience at the Julius Kuehn Institute (JKI,
123 a federal agricultural research institution in Germany) as a real-world example. The
124 article is divided into three parts. The first section introduces the JKI SDI (Sec. 2),
125 illustrating how the cloud platform *Copernicus Data and Exploitation Platform – Ger-
126 many* (CODE-DE), which is tailored to German authorities and local infrastructure
127 components were included for seamless interactions. Second, agricultural application
128 use cases are presented underlining the added value of proposed SDI for potential end
129 users being federal statistics offices, and sugar beet associations and producers (Sec.
130 3). The third section addresses challenges that emerged while integrating CODE-DE
131 into an existing public authority’s SDI, provides lessons learned, and highlights future
132 plans and vision (Sec. 4). The part on lessons learned aims to help lifting barriers that
133 organisations likely face while implementing their SDI. Lessons learned, thus, focus on
134 (1) an organisational digitization strategy to be developed prior SDI implementation,
135 including the set-up of stakeholder roles and requirements, (2) the need for SDI in-
136 tegration into organisational long term planning and financing, and (3) establishing
137 a holistic data governance system. Concluding remarks are intended to help exploit
138 the full potential of modern digital infrastructures and cloud solutions in the public
139 sector in the future. Although focusing on the public sector in Germany, we believe
140 that organisations such as public authorities in other geographic jurisdictions may ben-
141 efit equally from our SDI implementation while transitioning towards digital geodata
142 service providers.

143 2. JKI’s Spatial Data Infrastructure (JKI SDI)

144 To address the given big data challenges and the need to scale computational power
145 in modern EO, a SDI has been developed and implemented at JKI. This SDI inte-
146 grates both, modern local management systems for spatial data and the cloud platform
147 CODE-DE, that combines large EO volume storage and computing power capacity
148 ([Figure 1](#)).

149 The JKI SDI is one of the digital infrastructures included in the FAIRagro con-
150 sortium. FAIRagro aims to establish an interoperable and scalable research data in-

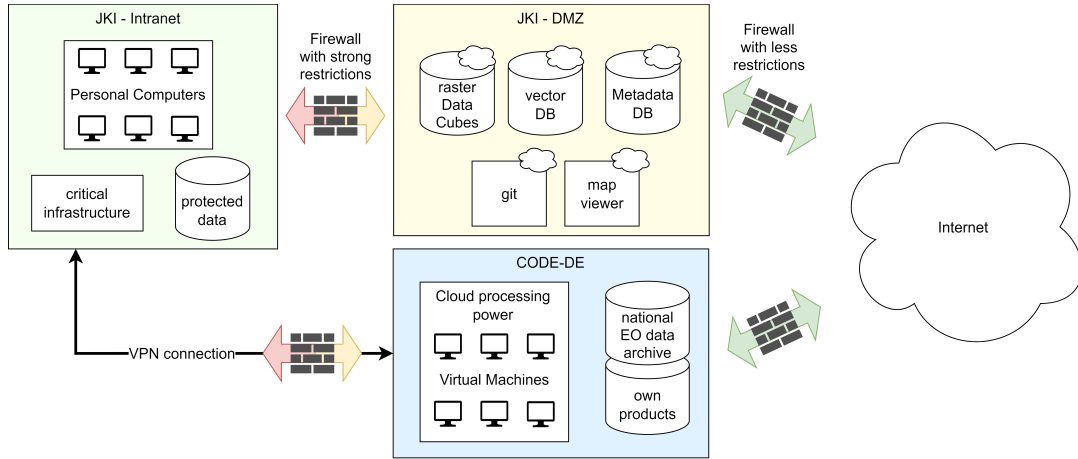


Figure 1.: JKI's Spatial Data Infrastructure

151 frastructure by connecting different repositories in agrosystem research as part of the
 152 nationwide NFDI initiative (National Research Data Infrastructure, [Ewert et al. 2021](#);
 153 [Kraft et al. 2021](#); [Senft et al. 2022](#)).

154 The JKI SDI consists of three principle components, which are interoperably con-
 155 nected via standardized interfaces that meet different security requirements: (1) CODE-
 156 DE is a cloud platform for German authorities that provides direct access to satellite
 157 image data and processing capabilities (Sec. 2.1). (2) The so-called demilitarized zone
 158 (DMZ) contains (Geo-)databases for storing vector, raster and metadata as well as
 159 software repositories (e.g. Git for code management) and the jKiMap-Viewer (Sec.
 160 2.2). (3) The JKI intranet contains the critical JKI infrastructure and protected data.

161 2.1. Cloud provider CODE-DE

162 CODE-DE is designed to meet the user needs of German public authorities and is part
 163 of the National Geoinformation Strategy (NGIS, [GDI-DE 2015](#); [BMI 2021](#)). The plat-
 164 form is an initiative of the German Federal Ministry for Digital and Transport (BMDV,
 165 funder), the German Aerospace Center (DLR, project management) and CloudFerro
 166 (technical realisation). The project started in March 2016 ([Storch et al. 2019](#)) and is in
 167 the second phase since April 01, 2020 ([Gonzalez and Hoffmann 2020](#)). Main objectives
 168 of CODE-DE are improving accessibility and use of Copernicus data, and enabling the
 169 implementation of downstream services. By *bringing the user to the data*, processing
 170 EO data in the cloud provides great benefits for a large number of CODE-DE users, in
 171 terms of available computing power as well as EO data access and storage. Particularly
 172 public authorities often lack in-house computing power and storage capacities for big
 173 data. The CODE-DE platform complies to IT security standards of ISO 27001 (Basic
 174 IT protection) and C5 (Cloud Computing Compliance Criteria Catalogue), certified
 175 by the BSI ([BSI 2022, 2020](#)). Compliance with IT security standards is a prerequisite
 176 for public authorities in order to use EO cloud platforms as part of their own SDI.

177 CODE-DE is a web-based EO cloud platform rendering multi-mission satellite data
 178 highly accessible and usable in a user-friendly fashion. This is achieved by several
 179 platform components such as a web-based data viewer, on demand data preprocessing
 180 chains, and a scalable, high-performance cloud computation backend ([Figure 2](#)). Apart
 181 from raw satellite imagery, EO-based analysis-ready data (ARD) products are avail-

182 able, such as monthly composites of Sentinel-1 and 2 imagery, as well as Germany-wide
 183 harmonized Sentinel-2 and Landsat data preprocessed using the Framework for Opera-
 184 tional Radiometric Correction for Environmental monitoring (FORCE) (Frantz 2019).
 185 A full overview of the available data portfolio can be found here CODE-DE (2023b).

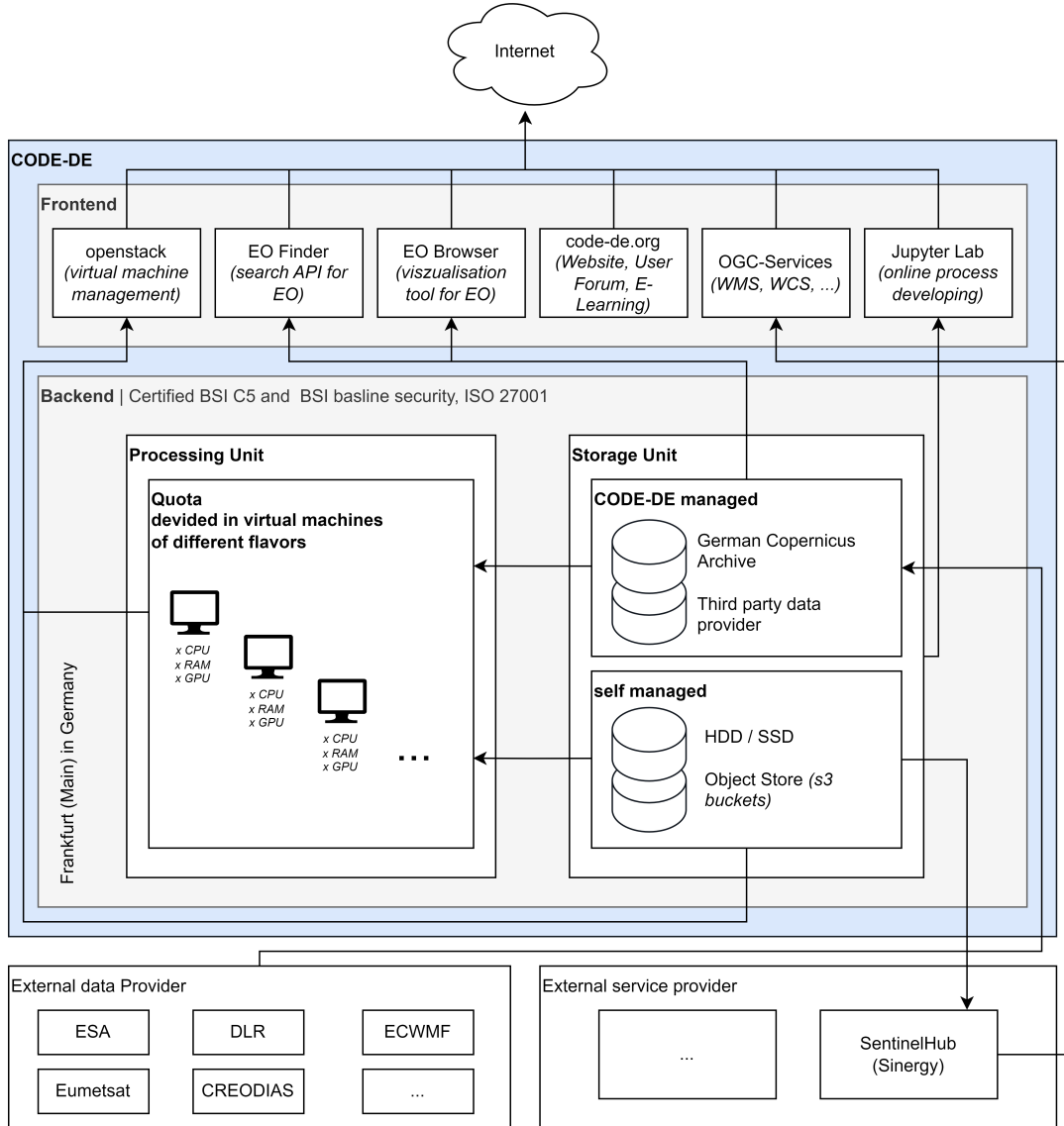


Figure 2.: Schematic structure of the CODE-DE cloud.

186 2.1.1. Technical Background of CODE-DE

187 All Copernicus data for Germany are stored in a data center located in Frankfurt
 188 am Main (Germany). Optionally, Copernicus data and Copernicus Services of global
 189 coverage are accessible through the interlinked CreODIAS data catalog.

190 The CODE-DE infrastructure (Figure 2) aims at covering the needs of users with
 191 varying degrees of remote sensing expertise, ranging from users with little or none
 192 experiences to EO experts and programmers. CODE-DE is a hybrid service, built on
 193 the open source cloud computing architecture *OpenStack*. This architecture enables the

194 provision of web access to EO data for viewing and downloading, while also offering
195 sandbox capabilities for developing processing and analytical tools based on virtual
196 work environments (virtual machines, VMs). All available services are accessible from
197 the CODE-DE landing page code-de.org.

198 EO Browser and EO Finder have been set up as simple user-friendly tools. The EO
199 Browser is a visualization tool, where Copernicus data can be searched and displayed.
200 Simple EO data products such as spectral vegetation indices can be calculated and
201 visualized on-the-fly. The EO Finder is used for searching and downloading satellite
202 imagery. Predefined EO processing chains (e.g. radar backscatter data from Sentinel-1
203 and biophysical plant parameters from Sentinel-2) can be executed through the EO
204 Finder and on-demand processed data products can be downloaded. In addition, rather
205 than working with physical satellite imagery, CODE-DE users have access to the Sen-
206 tinelHub data cubes operated by Sinergise¹, providing OGC web services ([Baumann
207 2021](#)) for Sentinel data ([CODE-DE 2023c](#)) enabling data visualisation and further anal-
208 yses on third party platforms. All three offered services have been specifically designed
209 for enabling novice level users (i.e. users without programming skills) to work with EO
210 data.

211 In addition, CODE-DE also provides dedicated developing environments for experts
212 and developers who often require extensive processing capabilities for conducting big
213 EO data analytics. A web browser-based Jupyter Lab environment (using Python, R
214 or Julia language) is available enabling rapid prototyping and code-based, automated
215 data processing. German public authorities, as main target group, can apply for a
216 data processing and storage quota (free basic or paid extended quota) to use the full
217 processing capability ([Table 2](#)) of CODE-DE utilizing VMs with varying configura-
218 tion flavours. CODE-DE users may configure their working environments individually,
219 within a given quota. Users have full flexibility to run and maintain their VMs, e.g. by
220 changing volume and object storage or by running multiple instances simultaneously
221 managed through a dashboard (*OpenStack*). Preinstalled images for Linux (Ubuntu and
222 CentOS) or Microsoft Windows operating systems are available on each VM. Users also
223 have access to graphical processing units (GPU) e.g. for applications in deep learning
224 or computer vision. The VMs come in different sizes and flavours, e.g. a most recent
225 OSGeoLife image contains most applications required for geoprocessing. An overview
226 of the available operating systems and flavours (sizes of the virtual machines) can be
227 found here [CODE-DE \(2023a\)](#). Through CODE-DE, each VM has mounted the en-
228 tire national Copernicus archive (using the simple storage service (S3) protocol, an
229 object storage that provides a web service interface; [AWS 2022](#)) to access Sentinel
230 and other EO data (see section 2.1). All VMs are interconnected enabling internal
231 data share and the fusion of computational power. In addition to the above-mentioned
232 data cube functionalities, user-created value-added products can be integrated into
233 the CODE-DE data cubes to access these data with OGC services ([Baumann 2021](#);
234 [Bauer-Marschallinger et al. 2019](#)).

235 The JKI is member of the CODE-DE user advisory board. This advisory board is
236 composed of several federal and state authorities in Germany mandated to use and pro-
237 vide geodata, especially EO data and related services. User experiences are exchanged
238 during biannual board meetings that contribute to the development of CODE-DE by
239 taking stock and adjust, if necessary, according to members' needs.

¹<https://www.sinergise.com/en/solutions/sentinel-hub>

Table 2.: Contingent models of CODE-DE resources for a virtual processing environment. (CPU = number of virtual main processor cores, RAM = main memory, Vol = Volume Storage, IP = number of public floating IPs), T = booking period in months, GPU = AI capability

contingent	<i>unit</i>	CPU	RAM <i>GB</i>	Vol <i>TB</i>	Obj <i>TB</i>	IP	T	GPU
Basic		4	16	0,5	0,5	1	3	
Standard		8	32	2	1	1	6	
Premium		16	128	4	10	1	6	
Premium Plus		64	512	64	40	1	6	
GPU A6000 Standard		24	118	4	1	1	3	1
GPU A100 Standard		24	118	4	1	1	3	1
GPU A6000 Premium		40	246	8	10	1	3	1
GPU A100 Premium		4	246	8	10	1	3	1

2.1.2. Integration of CODE-DE in JKI's SDI

On CODE-DE platform, the JKI administrates several quotas provided with numerous CPU cores, computer memory, data storage, and GPU capacity. Available resources are allocated over numerous virtual machines and different operators.

The CODE-DE cloud infrastructure is coupled with JKI's in-house geodata infrastructure. The infrastructure itself, is partly integrated in the institute's intranet and partly located in the DMZ (Figure 1). The latter is administrated by the authority's IT service, providing a buffer zone between JKI's protected intranet and the internet. SDI components located inside the DMZ are connected to and used from the intranet, but cannot access other internal sub-networks and components, and vice versa.

Due to strict security standards, a German authority is not allowed to connect to servers on the Internet from the intranet via certain standard protocols and associated ports, e.g. Secure Shell (ssh) with port 22, but this may be necessary in order to be able to work on a cloud-based platform such as CODE-DE. Therefore, CODE-DE is integrated in the JKI SDI using an open source VPN software (openVPN), that can therefore be seen as quasi-part of the JKI's DMZ². By using this technical solution, we can avoid assigning external, i.e. publicly visible, IPs to the virtual machines on the platform. Thus, the numerous machines are only visible in our network and can be used and interconnected with the rest of the JKI SDI without any restrictions (Figure 1).

To access all parts of the JKI SDI, users are connected to virtual machines on CODE-DE, either directly via remote desktop or via tunneled development environments (Visual Studio Code, Jupyter, RStudio Server, ...), which enables the use of modern ML oriented programming languages such as Python and R. The process pipelines are developed and executed directly on the virtual machines. Both the individual CODE-DE components as well as the various JKI-internal software solutions and data stores can be executed together and in parallel.

2.2. JKI's demilitarized zone

A key component of the JKI-DMZ is the JKI Data Cube using rasdaman (business) as management system (rasdaman 2023). The high-performance raster data management

²A more detailed description can be found in the CODE-DE forum (code-de.org/de/forum/).

269 system contains geodata time series, which are managed locally and made analysis-
270 ready via OGC-compliant web services (Web Mapping Services and Web Coverage
271 Services). This includes, for example, meteorological time series provided by the Ger-
272 man weather service (DWD,,,"Deutscher Wetterdienst") and phenological time series
273 (Kaspar et al. 2019; Möller et al. 2020).

274 In addition to the JKI Data Cube, vector data, e.g. data from ATKIS (German
275 Authority Topographic-Cartographic Information System; Jaeger 2003) or IACS (In-
276 tegrated Administration and Control System; European Commission 2020), are stored
277 and processed in PostgreSQL and Oracle databases and are available inside the DMZ.

278 We have also set up an INSPIRE-compliant (Ogryzek et al. 2020) metadata system,
279 in which all geodata are documented in such a way, that JKI staff can find the data
280 internally and integrate them into their processing chains. The metadatabase can be
281 used externally to share data products and results with research partners, authorities
282 and other third parties. The metadatabase uses the open source software GeoNode
283 (GeoNode 2023). GeoNode derives metadata from the JKI Data Cube and vector data
284 bases using an open source GeoNode extension. Data sharing can be done via OGC
285 services and download links.

286 We use the WebGIS framework jKi-mapviewer (JKI 2023) to share geodata and sci-
287 entific models internally and with third parties in a user friendly fashion. The frame-
288 work is an in-house software development following a modular and service-oriented de-
289 sign approach. All applications and tools meet specific user requirements, which assist
290 geodata to be analysed, visualised, searched, selected and downloaded in an intuitive
291 way.

292 3. SDI application: Agricultural use cases for federal authorities

293 3.1. Analysis-ready Sentinel-2 data

294 **3.1.0.1. Motivation.** A total of 30 PB geodata are currently available on CODE-
295 DE, of which 1.5 PB of national data (primarily Sentinel-1 to 5) are stored in a Ger-
296 man data center in Frankfurt am Main (CODE-DE 2022). For Sentinel-2 (Drusch et al.
297 2012), there are different preprocessing levels. The most complete archive covers level
298 1C and level 2A data, provided by ESA in the SAFE folder structure (ESA 2021). How-
299 ever, EO users cannot analyse this data without conducting prior processing steps. This
300 circumstance has multiple reasons such as different spatial resolution of individually
301 stored spectral bands, the overlapping between single image tiles, clouds still being
302 present in the imagery covering earth's surface underneath, and various geodetic pro-
303 jections (corresponding to different UTM zones). To avoid further preprocessing for
304 EO users, multi-temporal Sentinel-2 images were transformed into analysis-ready data
305 (ARD), called *S2_GermanyGrid*, covering entire Germany from 2015 to date, aiming
306 to lower barriers to the use of Sentinel-2 imagery.

307 **3.1.0.2. Approach.** Preprocessing of Sentinel-2 level 2A imagery was performed
308 using the CODE-DE platform applying a grid over Germany consisting of 4212 10 ×
309 10 km non-overlapping square tiles (see grid in Figure 3b - 3d). For each tile, raster
310 datasets containing 10 cloud masked spectral bands (Blue, Green, Red, RedEdge1,
311 RedEdge2, RedEdge3, NIR³ 10 m, NIR 20 m, SWIR⁴ 1, SWIR 2) have been created

³Near Infrared

⁴Shortwave Infrared

312 (for more information about spectral bands of Sentinel-2 see [Drusch et al. 2012](#)). The
313 scene classification layer from ESA’s atmospheric correction approach was used as a
314 masking basis for pixels affected by clouds, snow, and others ([Main-Knorn et al. 2017](#)).
315 All bands are spatially resampled to 10m ground sampling distance (GSD) and re-
316 projected to one single coordinate reference system⁵. Satellite observations covered by
317 100 % cloud coverage were discarded. The *S2_GermanyGrid* is located on S3 buckets
318 enabling fast data access and cross-SDI availability.

319 **3.2. Large-scale extraction of production-related dates in sugarbeet** 320 **cultivation**

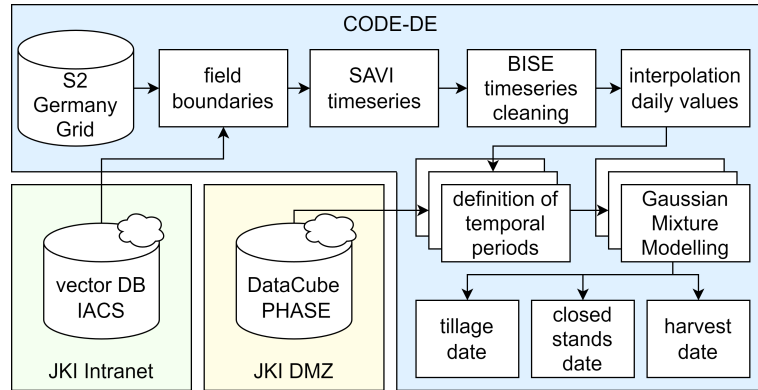
321 **3.2.0.1. Motivation.** Sugar producers acquire sugar beets from very large areas
322 and countless individual farmers. These farmers are actively supported, by industrial
323 partners for optimizing crop yields both qualitatively and quantitatively. This is done
324 on the basis of various field-specific data, such as nutrient supply, sample-based crop
325 quality ratings during growing seasons, and harvested volumes. Using modern tech-
326 nologies, it is possible to optimize cultivation, logistics and production towards more
327 sustainable sugar beet production. It is therefore important for sugar producers to
328 monitor the development of sugar beet stands supporting timely decision-making on
329 crop disease and damage management, optimal nutrient supply taking into account
330 site-specific characteristics, and logistics management supplying the processing facto-
331 ries aligned to demand dynamics. Remote sensing could play a significant role in this
332 process, by providing high-resolution information about vegetation stands in terms of
333 temporal, spatial, and spectral resolutions. Particularly, Sentinel-2 imagery is suitable
334 for this purpose, due to ESA’s open data policy and a long-term operational perspective
335 for the Copernicus program.

336 **3.2.0.2. Approach.** In the BeetScan project, production-related phenological
337 events in sugar beet cultivation were determined using remote sensing data ([Fig-
338 ure 3](#); [Beyer et al. 2022](#)). To extract the dates of tillage, closed vegetation stands
339 and harvest at agricultural parcel level, Sentinel-2 based features have been generated
340 and correlated with interpolated phenological phase entry dates using the CODE-DE
341 platform. Based on reported reference data from different farmers, an optimization
342 procedure has been developed to evaluate tuning parameters for interpolation and se-
343 lection of Sentinel-2 feature time series as well as optimal time windows for detection
344 of production-related events ([Figure 3a](#)).

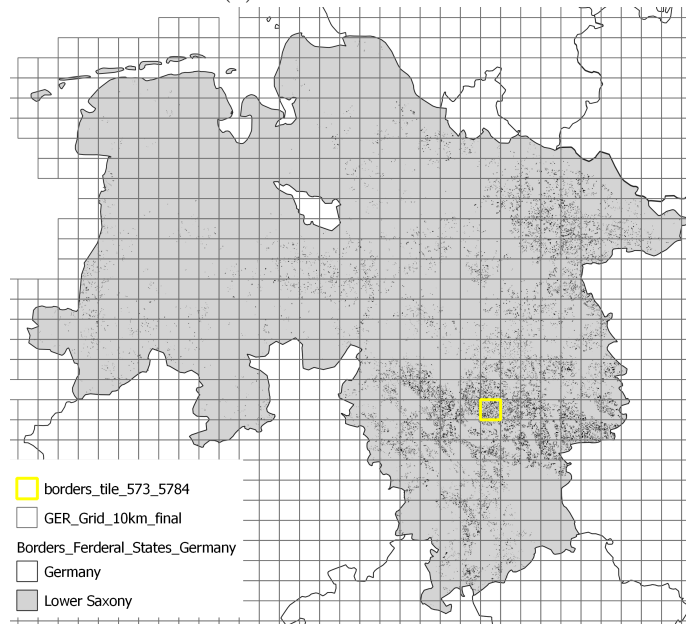
345 For each parcel, Sentinel-2 reflectance information was obtained covering entire grow-
346 ing seasons using the *S2-Germany Grid* ARD. This reflectance information was then
347 used to compute time series of *SAVI*⁶, a vegetation index indicating plant vitality and
348 growth status ([Huete 1988](#)). Invalid *SAVI* values, often caused by remaining cloud
349 fragments not detected during cloud masking, were removed from time series using
350 the BISE index ([VIOVY et al. 1992](#)). An interpolation of daily values was performed
351 to impute time series data gaps. Corresponding phenological phase entry dates were
352 subsequently retrieved from PHASE data ([Gerstmann et al. 2016](#); [Möller et al. 2020](#))
353 interrogating the JKI Data Cube through WCS queries using parcels’ centroid coordi-
354 nates. Extracted PHASE entry dates were used further to define narrow time windows
355 in which the final dates should be found. For this purpose, the time windows deter-

⁵<https://epsg.io/32632> (last access: 2022-11-10)

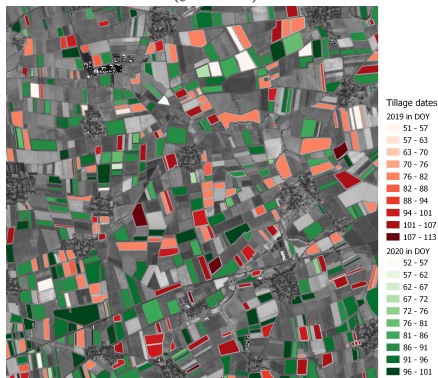
⁶soil-adjusted vegetation index



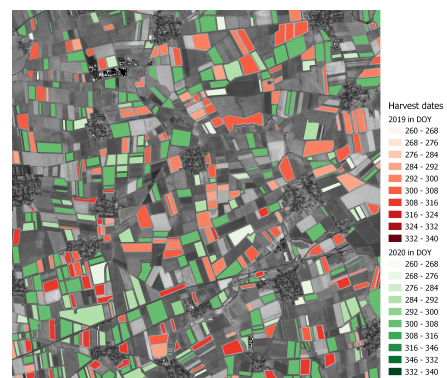
(a) BeetScan workflow



(b) Germany Grid tiles for Lower Saxony with tile ID 573_5784 (yellow)

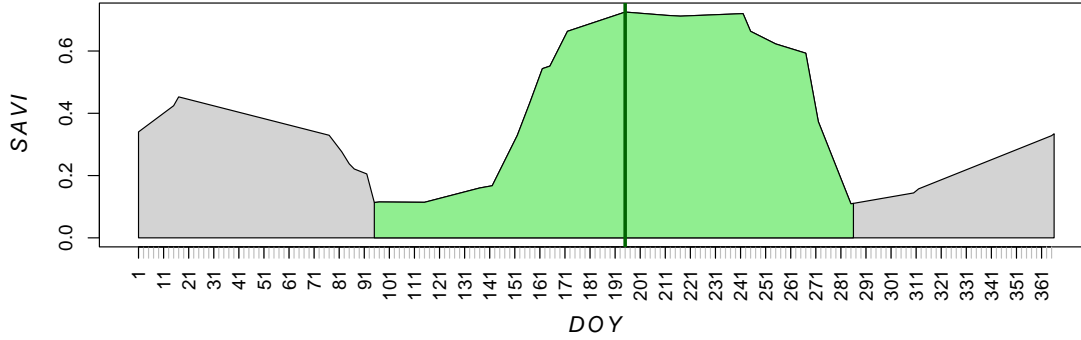


(c) Predicted tillage events within the area of tile ID 573-5784 for the years 2019 and 2020.

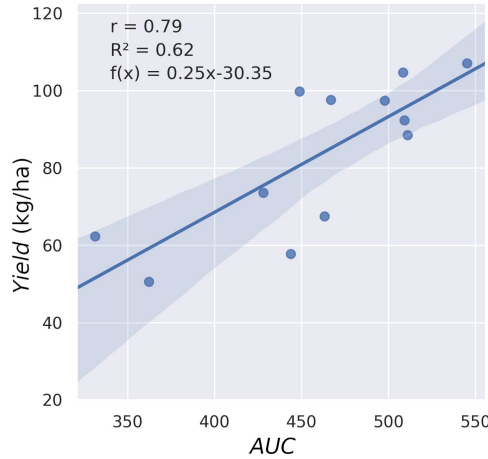


(d) Predicted harvest events within the area of tile ID 573-5784 for the years 2019 and 2020.

Figure 3.: Workflow and results of detection of phenological events on the example of sugar beet in Lower Saxony for the years 2019 and 2020.



(a) Field-specific *SAVI* time series, phenological events and corresponding *Area Under Curve* (AUC)



(b) Yield estimation based on relations AUC

Figure 4.: Parcel-specific statistical yield estimation on the example of sugar beet in Lower Saxony for the years 2019 and 2020.

356 mined from the optimization were used in the first step, which can be further optimized
 357 iteratively in the course of the calculation. A clustering procedure was applied to daily
 358 *SAVI* values for the defined time windows using a Gaussian Mixture Modelling algo-
 359 rithm (Fraley and Raftery 2002, 2007; Scrucca et al. 2016).

360 As a result, each parcel is characterized by *SAVI* time series and corresponding
 361 phenological events. In Figure 3, the tillage (3c) and harvest (3d) dates are mapped
 362 for 2019 and 2020 using a tile from the *S2_GermanyGrid* (tile ID 573_5784). The
 363 area under the curve bounded by sowing and harvest dates was calculated to estimate
 364 sugar beet yields (Perich et al. 2023). Estimated yields were compared against measured
 365 yields from 2019 and 2020 Figure 4. This parcel level procedure has been scaled-up and
 366 parallelized on the CODE-DE platform in order to process 10,103 sugar beet fields in
 367 2019 and 16,804 fields in 2020 (Figure 3b).

368 3.3. Scalable crop yield estimation supporting official agricultural 369 statistics

370 3.3.0.1. *Motivation.* The German Federal Statistical Office (DESTATIS) is a gov-
 371 ernmental organisation mandated to provide national agricultural statistics such as
 372 regular reports of area-based statistics for major crops being cultivated in Germany,

373 including their productivity at various spatial scales, ranging from district to national
374 level.

375 These reports are released sub-annually and annually, fed with data collected
376 through on-farm measurements and survey-based campaigns coordinated by 16 State
377 Offices for Statistics, being the counterparts of DESTATIS at federal state level.

378 Recurrent obligations to collect data from a significant amount of farms result from
379 running these campaigns every year, translating into substantial resource and budget
380 needs. In addition, existing data collection approaches may become a burden for farmers
381 participating in these activities during periods that are already marked as busy in their
382 schedules, e.g. during harvest seasons. Recently, it has also been more difficult to attract
383 new farmers for voluntary reporting activities and to retain on-boarded farmers as part
384 of an active reporting network (DESTATIS 2022).

385 Before mentioned points corroborate the need to explore the potential of scalable
386 approaches that are capable to support yield reporting at national scale by integrating
387 EO data into crop yield modelling. Thus, JKI and DESTATIS joined forces to launch
388 a series of projects named 'SatAgrarStat' and 'SatAgrarStat_PLUS', involving several
389 state offices for statistics and about 100 voluntary farmers providing parcel level farm
390 management and crop productivity information (DESTATIS 2019). These projects
391 aimed at shedding light on the potential to support official crop yield reporting by
392 developing a data-driven yield estimation approach. Such an approach may reduce
393 resource needs and costs of data collection campaigns, and alleviate the burden of
394 farmers by drawing from the following benefits:

- 395 • Advanced and transparent monitoring capabilities of EO satellites such as ESA's
396 Sentinel-2 mission. Multi-spectral imagery obtained from Sentinel-2 covers large
397 swaths of area. Each scene has a spatial dimension of 100 km x 100 km. Spectral
398 bands relevant for vegetation monitoring feature spatial resolutions of 10 – 20 m.
399 Due to rather short revisiting intervals of 4 - 5 days, high density time series of
400 vegetation signals can be derived from Sentinel-2 images.
- 401 • An integrated EO platform, providing high computational performance and the
402 capacity to process and store large amounts of satellite data in order to scale
403 yield estimation models covering larger administrative regions up to the national
404 level.
- 405 • Integrated service delivery, to disseminate value-added data products such as
406 estimated crop yields, building on web service standards.

407 Currently, our model-based approach is capable of estimating crop yields for agri-
408 cultural parcels that are cultivated under four major crops i.e. winter wheat, winter
409 barley, winter rapeseed, and summer barley. Crop yields are estimated by deploying ML
410 models fueled with multi-temporal and static model features. Related data represent a
411 number of key factors that either indicate plant growth and health through biophysical
412 plant parameters such as leaf area index (*LAI*) and above ground dry biomass (*DM*)
413 or limit crop yields through meteorological and soil conditions (He et al. 2020; Dhillon
414 et al. 2020; Whetton et al. 2021).

415 **3.3.0.2. Approach.** The following steps describe the general work flow (Figure 5).

416 Multi-spectral ARD is queried from the *S2_GermanyGrid* enabling the inference
417 of biophysical parameters (*LAI* and *DM*) across Germany by using a crop-specific
418 spectral library, following the methodology of Gerighausen et al. (2016). Related im-
419 agery is retrieved from the grid by querying areas of interest using parcel geometries
420 obtained from various project related data collection campaigns and IACS available

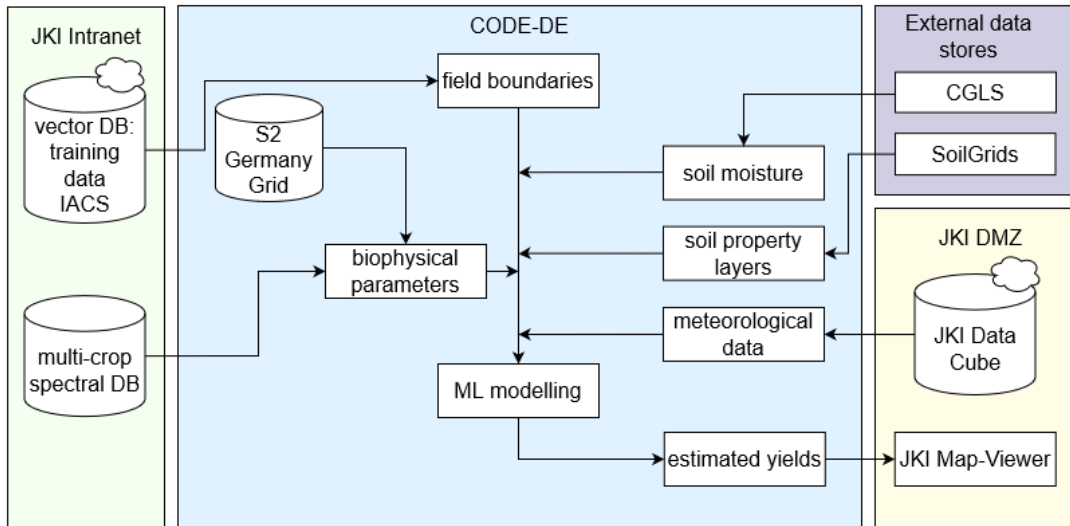


Figure 5.: Yield estimation at scale: Fueling crop-specific yield models with EO, meteorological, and soils data, using the cloud computing platform CODE-DE

421 through data portals of various federal states.

422 Gridded meteorological time series data daily temperature, precipitation, and global
 423 radiation are obtained by interrogating JKI's Data Cube using standardized web service
 424 requests. This data is provided daily by the DWD ([DWD Climate Data Center \(CDC\)](#)
 425 [2018](#)) at a spatial resolution of 1 km. Additional gridded, soil-related data such as a
 426 multi-temporal soil moisture product with a spatial resolution of 1 km and various
 427 geospatial layers on physio-chemical soil properties at a spatial resolution of 250 m are
 428 derived from external data portals such as the Copernicus Global Land Service (CGLS)
 429 and SoilGrids 2.0 operated by the International Soil Reference and Information Centre
 430 (ISRIC) ([Bauer-Marschallinger et al. 2019](#); [Hengl et al. 2017](#); [Poggio et al. 2021](#)).

431 Biophysical parameters, meteorological and soil related datasets are processed and
 432 aggregated for obtained parcel geometries. Multi-temporal model features cover a pe-
 433 riod from March to July, a period where large parts of vegetation growth and grain
 434 or pod production takes place. Crop specific regression models are created in an au-
 435 tomated fashion using Python scripts, making use of parallel computing capabilities
 436 on CODE-DE. By utilizing an ensemble of state of the art ML techniques, a series of
 437 models are calibrated, tuned and validated using high quality yield data for available
 438 parcel geometries. Subsequently, trained ML models are used to estimate crop yields
 439 for several tens of thousands of IACS parcels covering large proportions of overall cul-
 440 tivation areas for each of the four crops in Germany. In principle, estimated yields can
 441 be visualized in any mapping environment employing web services (e.g. WMS or WCS)
 442 available on CODE-DE, either at parcel level (limitations apply due to confidentiality
 443 constraints) or further aggregated using vector data on administrative boundaries such
 444 as districts or federal states ([Figure 6](#)).

445 Post-processing includes the conversion of gridded model outputs into cloud opti-
 446 mized GeoTIFFs (COGs). This rather new raster data format is tailored towards web
 447 service applications. COGs⁷ have the advantage of (1) internal tiling, allowing for
 448 a more flexible and faster visualization based on actual user demand, (2) providing

⁷cogeo.org/in-depth.html

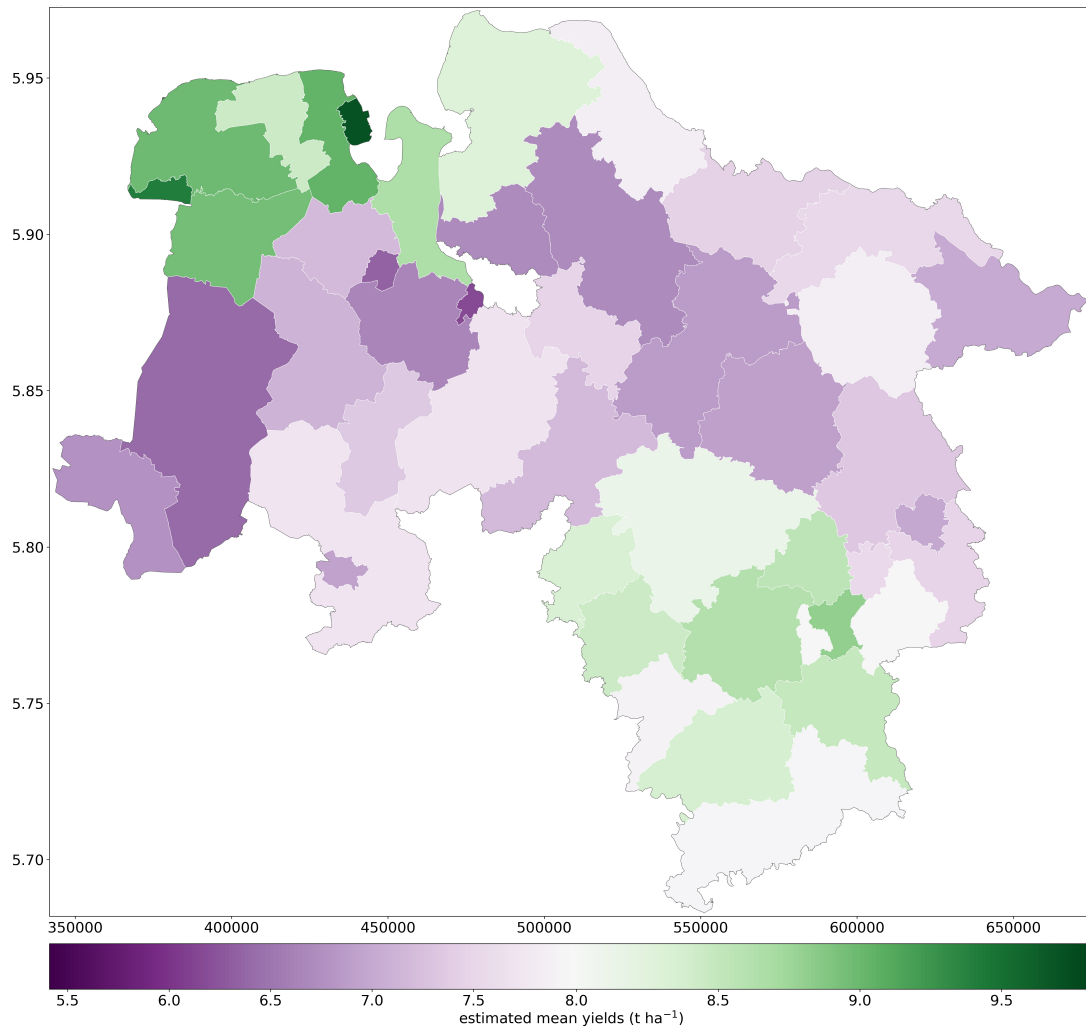


Figure 6.: Example results showing estimated winter wheat yields for 2020, aggregated at district level and mapped for the German federal state Lower Saxony.

449 overviews based on downsampled views on the actual data accounting for different
450 zoom levels, and (3) applying compression, rendering data transfer highly efficient.
451 A web client interface based on the [jKi-mapviewer](#) (JKI 2023) has been prototyped
452 as part of the SatAgrarStat projects providing project partners access to value-added
453 products such as annual crop yield maps.

454 4. Discussion — Challenges of integrating an EO cloud platform into the 455 SDI of a public authority

456 4.1. *SDI Usage in public authorities*

457 The integration of external cloud platforms into in-house SDIs allows incorporat-
458 ing large data storage, processing, and computation capacities. Following the new
459 'algorithms-to-data' paradigm, complex analyses of big (geo)data become feasible for
460 public authorities that are often rather resource constrained. Using cloud resources
461 prevents duplicating required infrastructure components and data storage. A cloud in-
462 tegrated SDI reduces, thus, the risk to inflate budgetary needs of public authorities to
463 acquire technical and human resources individually for setting up and maintaining an
464 in-house infrastructure for big data storage and computation. During integration, care
465 must be taken to ensure that the interfaces between the cloud components and the
466 authorities' hard- and software tools are standardized so that cloud providers can also
467 be substituted if necessary. When choosing a suitable cloud provider, public authorities
468 should take into account a number of aspects such as IT security and legal compliance,
469 data sovereignty, location of data storage, availability of customer support, transpar-
470 ent cost structure, orchestration as well as customization (Rouse 2022). At JKI, we
471 chose CODE-DE as a EO cloud provider for several reasons, e.g. certificated platform
472 and location of the data center. Besides that, we required access to Copernicus data
473 for Germany and the necessary computing power both located in the same cloud en-
474 vironment. This avoids duplicating the big data Copernicus archive, which no longer
475 occupies the JKI's in-house data infrastructure as the processing capacity in the data
476 center is used directly in the same location where the data are located.

477 CODE-DE has been integrated into our existing JKI SDI, enabling:

- 478 • access to satellite data from Copernicus multi-mission image repositories, up-
479 dated in real time throughout Germany,
- 480 • the development of processing and analysis algorithms using CODE-DE's sand-
481 box capabilities,
- 482 • the customization and scaling of processing chains and modelling algorithms
483 using CODE-DE's cloud computing resources,
- 484 • the distribution of value-added products using standardized web service func-
485 tions.

486 Entire workflows, including data preprocessing, modelling and post-processing, are
487 consistently implemented using Python or R programming languages. The develop-
488 ment of new or adapting existing analytical approaches benefit from the use of open
489 source developing environments that provide web-based, open source notebook inter-
490 faces (Jupyter, R markdown). These web-based coding interfaces are highly suitable
491 for rapid prototyping of new model versions and corresponding documentation.

492 External data, e.g. meteorological datasets needed for crop yield modelling or pheno-
493 logical data to extract production-related dates in sugar beet cultivation, are ingested
494 into CODE-DE working environments by using the web services provided in the JKI

495 Data Cube (see section 3). Value-added data products on biophysical parameters, i.e.
496 gridded *LAI* and *DM* datasets, and estimated crop yields are post-processed and dis-
497 seminated by making use of web services available on CODE-DE. These technologies
498 enable potential service delivery models such as *data-as-service* through web services
499 for related governmental organisations. Presented use cases illustrate example appli-
500 cations potentially addressing a wider range of agricultural themes and target groups.
501 The latter include federal and state statistical offices and farmers, producers of agri-
502 cultural commodities and food.

503 4.2. *Lessons learned – JKIs SDI*

504 This article addresses the transformation of a centralized local SDI into a decentralized
505 i.e. distributed SDI within a public authority, characterized by the following features:

- 506 • cloud integration to link centralized, highly accessible big data storage archives
507 and dedicated data processing capacity,
- 508 • modern local SDI components, allowing to store and to use sensitive data,
- 509 • modular (open source) SDI design, enabling replacement of obsolete components
510 with new components based on emerging technologies in the future,
- 511 • consistent use of FAIR metadata (Wilkinson et al. 2016) throughout the data
512 lifecycle, including data provenance and quality information,
- 513 • use of standards for API (e.g., OGC) and data formats to enable interoperability
514 along the entire value chain (from data provenance to evaluation of the actual
515 data products).

516 The presented SDI can be seen as the result of a bottom-up approach in which require-
517 ments and interests of different departments and responsibilities have been balanced
518 in an iterative process. The complexity of the problems to be solved required the es-
519 tablishment of agile communication structures (Tyagi et al. 2022).

520 During the transformation process away from a data-centric localized computing
521 infrastructure towards a digital authority with decentralised, interconnected SDI (Sud-
522 manns et al. 2020), several issues have emerged that are specific to public authorities:

523 **Digitization strategy** An organisation that aims to build its capacity to efficiently
524 process and analyse big (geo)data needs a soundly planned and maintained SDI.
525 SDI design and implementation should be guided by an organisational strategy.
526 Such strategy provides the framework that aligns the related organisational ef-
527 forts towards a common goal by involving internal and external stakeholders from
528 the beginning.

529 **IT security requirements** Federal authorities have specific security requirements
530 that must be assessed, implemented and managed by their IT departments. Here,
531 key friction points arise between the needs of SDI users and in-house IT security
532 administration.

533 **Long-term planning** In order to provide products and services generated by using
534 a cloud-integrated SDI reliably, all SDI components must be financed in such a
535 way that personnel, licensing and maintenance are guaranteed long term.

536 **Data governance** Defining data ownership and rules for storing, using, and sharing
537 data are key requirements for organisations moving forward on their path towards
538 digital authorities. The use of agricultural data collected by or from farmers is
539 a complex topic due to legal constraints such as individual privacy rights, con-
540 fidentiality and data protection laws. Fragmented and conflicting data policies
541 at federal and national levels further impede the use and exchange of agricul-

542 tural data (e.g. IACS data). Hence, a holistic data governance model clearly
543 setting the roles, responsibilities, and guidelines along the entire value chain of
544 data collection, production, use, and dissemination should be conceptualised and
545 implemented as part of the SDI development.

546 4.2.1. *Digitization strategy, stakeholders and security representatives*

547 Individual authorities should develop their digitization strategies aligned to overarching
548 frameworks (e.g. digital agendas, geoinformation strategies) formulating specific
549 goals and describing concrete implementation steps to achieve those goals. Strategy
550 development must involve relevant stakeholder groups from the beginning. On this
551 basis, processes can then be initiated at respective management levels. Following combined
552 top-down and bottom-up processes, particular needs and requirements for modern
553 infrastructures and their components are defined by developers and users. However,
554 framework conditions must be formulated by strategic decision makers within
555 the authorities, taking thematic goals into account. This creates a common strategic
556 understanding among all stakeholders, on the basis of which the way can be paved for
557 concrete implementation of the required technology.

558 In Germany, national strategies, like NGIS or National Copernicus Strategy ([BMDV](#)
559 [2017](#)), were initiated by the federal government. However, the stimulation and implementation
560 of these guidelines often does not seem to arrive at all levels of government
561 or in all individual authorities while the implementation if applied often happens very
562 slowly. Thus, the authorities' organisational strategy must take into account all stakeholders
563 so that everyone involved has some sort of road map on how to act in the
564 context of SDI development, maintenance and usage.

565 According to [Soille et al. \(2018\)](#); [Echterhoff et al. \(2021\)](#); [Schramm et al. \(2021\)](#)
566 three stakeholder groups are identified having different roles in and requirements for
567 a cloud-integrated SDI: (1) the data and cloud or platform providers (e.g. CODE-
568 DE), (2) the intermediate users or data producers (e.g. JKI), and (3) the end users
569 (e.g., organisations that utilize data products as part of their mandates). From the
570 authorities' perspective, the IT security representatives and IT service are another
571 stakeholder group that has significant weight in the choice of providers and future use
572 of SDI, which was not yet considered in current literature. [Table 3](#) summarizes the
573 perspectives of stakeholder groups on their roles and requirements and related issues
574 in establishing SDIs in public authorities.

Table 3.: Stakeholder group perspectives regarding their roles and requirements as well as related issues in establishing SDIs in public authorities.

STAKEHOLDER GROUP	ROLE	REQUIREMENTS	ISSUES	SOLUTIONS
INTERMEDIATE USERS	<ul style="list-style-type: none"> - Data producers (such as the JKI) who use the SDI to develop processing chains and offer value-added products. - They form the bridge between cloud (data) providers and end users who further use the products. 	<ul style="list-style-type: none"> - They need free access to input data for the execution of process chains and the processing capacities for the application of diverse methods of modern data analysis, including a barrier-free possibility to test new technologies and software and to transfer them into operational use. 	<ul style="list-style-type: none"> - Free access to modern and innovative systems of big data analytics, process improvement through ML algorithms and decentralized use of cloud providers is limited or prohibited due to security restrictions. - Although strategies have been created to initialize the digitization process of the public sector at European level (European Commission 2021), and at national level in Germany (GDI-DE 2015; BMI 2021) providing umbrella frameworks for spatial data infrastructures, concrete implementation plans and guidance at organisation level are often lacking. 	<ul style="list-style-type: none"> - Agile cross-thematic working groups develop multi-hierarchical public authority strategies empowering all stakeholders involved to drive forward digitization and decentralization. - Personnel will be trained in new technologies. - Qualified personnel are educated, trained or hired.
END USERS	<ul style="list-style-type: none"> - Authorities such as government, public facilities, private sector and citizens who further utilize value-added products to serve their fields of activity. 	<ul style="list-style-type: none"> - Data products, provided by intermediate users, should be accessible in a user friendly way, must be retrievable and usable in the long term and (if necessary) with consistent regularity, must have been subject to a robust validation process ensuring maximum trustworthiness. - The vulnerability to cyber attacks must be minimized as much as possible in order to protect data from being compromised and misused. 	<ul style="list-style-type: none"> - (Geo)Data are often not collected, processed, and stored in a standardized format. - The way in which data are accessed is regulated differently. - As a consequence, especially SDIs of state authorities are characterized by a high degree of storing data and processing. 	<ul style="list-style-type: none"> - Uniform standards for data ingestion, interoperability, dissemination, storage, and accessibility will be established (Kraft et al. 2021). - Publicly funded geospatial (meta)data must be provided through web services.
IT SERVICE AND SECURITY REPRESENTATIVES	<ul style="list-style-type: none"> - Ensuring that internal network resources are secure reducing the risk of compromising the organisational IT infrastructure and data. 	<ul style="list-style-type: none"> - In addition to the installation of new and proven hardware and software technology, IT services in public authorities are obliged to assess security risks of potential hardware and software components to be acquired, taking into account current national and European legislation (European Parliament 2019; BMJ 2021). 	<ul style="list-style-type: none"> - The lack of certification and legal policy is one of main reasons why cloud-based infrastructures are still not being used (Wagemann et al. 2021b). - Despite the existing high level of CODE-DE IT security certification, the German IT Security Act requires that the security officers of each authority must review and permit the cloud infrastructure as well as software which interacts with the Internet. - Strict data security requirements and the protection of in-house critical infrastructure usually take priority over the needs and requirements of the intermediate users, which often results in a decision to reject novel systems. 	<ul style="list-style-type: none"> - Decision support and regulations for the use of cloud platforms are established. - Working groups of (public) cloud providers and agencies with specific security requirements have to be established. - Sustainable financial and personnel resources must be ensured to develop, maintain and expand SDIs.
CLOUD PROVIDER	<ul style="list-style-type: none"> - Web-based providers of geospatial data, computational capacity, or both. 	<ul style="list-style-type: none"> - Cloud providers (CPs) invest in IT infrastructure available in secure data centers. - In return, users such as public authorities must accept a service level agreement (SLA) and liability for the use of related resources. Generally, a CP is an IT company that provides customers with on-demand computing resources through the internet. CPs are often categorized by the type of resource they provide: (1) Software as a service (SaaS) – provides customers software apps that are accessed through a web browser or application program interface (API). (2) Infrastructure as a service (IaaS) – provides customers with access to APIs and other resources that facilitate the creation of a virtual data center capable of supporting workloads across multi-cloud environments. (3) Platform as a service (PaaS) – extends the capabilities of IaaS and SaaS by giving customers access to software tools and compilers that support building and hosting cloud applications. 		

575 4.2.2. Long-term planning

576 The current project status of CODE-DE guarantees platform operation until Septem-
577 ber 2024. In contrast to commercial actors, especially in the technological sector, public
578 sector organisations rely heavily on long term planning, ensuring certainty, to deliver
579 on their principal mandates. This has advantages such as planning security, but also
580 contradicts the current funding model of CODE-DE. Based on our experience in the
581 CODE-DE User Advisory Board and Copernicus events (e.g. [BMDV 2022](#)), the un-
582 certain long-term perspective of CODE-DE is one of multiple reasons why the public
583 sector is reluctant to commit to a permanent use (among others like security issues,
584 high technical barriers to entry or unwillingness to change running systems, [Wagemann
585 et al. 2021a](#)). In order to lift this adoption barrier, CODE-DE needs a guaranteed long
586 term operational status through viable funding mechanism and tender model.

587 Replacing CODE-DE hypothetically with commercial cloud providers, such as Mi-
588 crosoft, Google or AWS would bear both advantages and disadvantages. Such compa-
589 nies, for instance, have high innovation potential and ensure a high level of scalability
590 through massive utilization of computational and data storage resources. However,
591 commercial providers act in competitive market environments, leading to fluctuating
592 pricing policies and bearing the risk to exit markets in which case the delivery of cloud
593 services could simply discontinue. In addition, companies' data policies are usually not
594 in compliance with the high regulatory requirements (i.e. certain IT certifications) of
595 German public institutions.

596 A purely publicly-funded governmental cloud initiative would have the advantages of
597 being independent with a long-term, cost-effective, and fail-safe perspective. However,
598 this approach runs the risk of lacking the necessary flexibility due to regulatory inertia
599 and low scalability.

600 A compromise would be a public-private partnership. In such a partnership, the ad-
601 vantages of public funding and the innovation potential of commercial entities result
602 in the long term operation of a scalable and elastic cloud computation platform. This
603 is in part already the case with CODE-DE. The CODE-DE project is publicly funded
604 by the German Federal Ministry for Transport Digital and Transport (BMDV). The
605 German Aerospace Center (DLR) coordinates the project. DLR contracted the com-
606 pany CloudFerro to manage computing capacity and cloud resources. Commitment to
607 finance CODE-DE permanently is required, which would help turning the EO cloud
608 platform into a trusted long-term partner for public authorities.

609 4.2.3. Data governance

610 Data Governance is a system of decision rights and accountabilities for information-
611 related processes, executed according to agreed-upon models which describe who can
612 take what actions using certain information, by defining time, circumstance, and
613 methodological contexts ([The Data Governance Institute 2015](#); [Alhassan et al. 2016](#)).
614 It refers to setting the roles, responsibilities around data related processes, agreed by
615 involved stakeholders. Design and implementation of a data governance framework
616 that incorporates data handling on cloud platforms is pivotal to manage data effec-
617 tively as part of a cloud integrated SDI ([Al-Ruithe et al. 2019](#)). Principles such as
618 FAIR ([Wilkinson et al. 2016](#)) and TRUST ([Lin et al. 2020](#)) should guide data gover-
619 nance for organisations to provide trustworthy data that is accessible, interoperable,
620 and actionable by focusing on users' needs and requirements ([Lin et al. 2020](#)).

621 At the JKI, five interest groups were set up to establish a geodata governance system:
622 the institute's security officer, the data protection officers, the data center administra-

623 tors, the research data management team, and the spatial data users. Together, these
624 stakeholders developed a roadmap for the management of geodata at the institute. The
625 interest groups are organized in two main communication groups, which are connected
626 by common members:

- 627 • A SDI and geodata project group, consisting of research and IT management, is
628 clarifying overarching structural, financing, strategic, network and security issues.
629 Regular meetings at management level serve as a form of communication, with
630 agile working expert groups addressing specific issues.
- 631 • A geodata network group aims at exchanging technical and thematic aspects
632 on issues related to the management, access and analysis of geodata at JKI.
633 In contrast to the SDI project group, the access is not restricted and open to
634 interested technical and scientific staff. In addition, the group provides a forum
635 to help identify and solve issues related to SDI.

636 4.3. *Future plans and vision*

637 New technologies and software solutions are constantly emerging, which need to be
638 tested and evaluated to determine if they could be a useful addition to a public au-
639 thority’s SDI. However, this flexibility is often difficult to align with the modus operandi
640 of public authorities, especially in terms of regulatory constraints and organisational
641 inertia limiting adoption rates that match technology advances.

642 Moving forward, we like to highlight a few organisational and technological as-
643 pects that aid in turning the SDI ecosystem of a public authority into a future proof
644 architecture:

645 First, well trained personnel must be available to test emerging new technologies
646 and expand the SDI as needed, guaranteeing effective management and maintenance
647 of the SDI ecosystem holistically. To achieve this, certain team roles and responsibilities
648 are standard in commercial companies and must also find their way into government
649 agencies. Central roles for the maintenance and development of the cloud component
650 of the SDI are (Bigelow 2021):

- 651 • the cloud architect: knowledge and expertise of cloud applications, resources,
652 services and operations,
- 653 • the cloud engineer: responsible for cloud implementation, monitoring and main-
654 tenance,
- 655 • the software developer: expert programmers, testers and communicators,
- 656 • the cloud security specialist: responsible for security in the cloud
- 657 • the cloud compliance specialist: understands and monitors cloud compliance cer-
658 tifications and confer with legal staff and
- 659 • the analyst: gathers metrics and works to ensure workload capacity and perfor-
660 mance remain within acceptable parameters.

661 Second, a digital authority requires capacity building around more agile modes of
662 working and management to keep pace with rapid technology developments. Roles
663 such as scrum masters and product owners are usually aligned horizontally across
664 existing organisational structures enabling teams to collaborate more agile and product
665 oriented through direct interactions and improved communication. A tailored education
666 curriculum could provide required know-how by offering related seminars to relevant
667 staff (DESTATIS 2019).

668 Third, there is great potential to scale CODE-DE further. The DLR has launched
669 another national data platform, namely EO-Lab (BMWK 2023, funded by the Federal

670 Ministry for Economic Affairs and Climate Action), designed to be a twin of CODE-
671 DE focusing on the scientific community and EO data licensed for scientific use while
672 giving access to the CODE-DE data and services. Technical interoperability between
673 these two platforms will help create synergies through more direct collaboration be-
674 tween scientific communities and public authorities in a way that scientific research
675 (e.g., developing and testing pilot projects) feeds into concrete use cases set by public
676 authorities based on their needs.

677 Fourth, other national and supranational cloud platforms have been created or are
678 in the process of being created in other EU countries, from which our own SDI could
679 benefit in the future (Schramm et al. 2021; Griffiths 2022). Examples include the Euro
680 Data Cube (EDC 2023), OpenGeoHub Foundation (Open Geo Hub 2023), Cube4All
681 (Baumann 2023), the openEO platform (ESA 2023), and the openEO API (openEO
682 2023). In particular, the openEO API is an initiative that could contribute greatly in
683 the future to SDI developers and operators, and ultimately to decision makers and
684 policy makers at EU level. openEO is developing an API to connect R, Python, and
685 JavaScript clients in a simple and uniform way to large EO cloud backends, which al-
686 ready include the European DIAS platforms, such as CreoDIAS. With the help of this
687 API, workflows developed for Germany could be scaled to continental level to perform
688 EU-wide monitoring tasks. Processing chains developed on other platforms could be ap-
689 plied at German federal level vice versa (Bigelow 2021). Platform interoperability, API
690 and data standards may enable the emergence of a federated (EU wide) SDI ecosys-
691 tem, interconnecting data cubes of multiple existing EO cloud platforms (Sudmanns
692 et al. 2022). These federations would greatly improve the accessibility of numerous data
693 products that exist on each of those individual platforms. That would ultimately lead
694 to compliance with data governance principles (FAIR, TRUST, CARE), translating
695 into benefits for science and society at large.

696 5. Conclusions

697 In this article, we propose a SDI solution for public authorities that are on their
698 journey to become users and providers of EO and geospatial data and data products,
699 by describing the technical components of a cloud-integrated SDI and by highlighting
700 two use cases. Despite high IT security regulations, we found a solution to integrate
701 a EO cloud environment into the IT ecosystem of our authority enabling us to fully
702 utilize the platform to perform big geodata analytics. Moreover, we elaborate on our
703 experiences regarding the challenges we have faced during SDI implementation and
704 provide lessons learned. The organisational transformation towards a digital and future-
705 oriented authority is a complex process often entailing a number of barriers. We believe
706 the following points are key to overcome those barriers:

- 707 • On our journey to implement a cloud-integrated SDI, needs and requirements
708 have been predominantly formulated bottom-up by SDI developers and users.
709 Although individual umbrella strategies at German governmental level do ex-
710 ist (i.e. NGIS, national Copernicus strategy), development and implementation
711 of a cloud-integrated **SDI at organisational level requires a strategy**, spe-
712 cialised human resources and capabilities on how to leverage modern technologies
713 for public authorities. Particular procurement procedures, existing IT security
714 standards and regulations in public authorities may render the mere adoption of
715 commercially developed products and services (i.e. software or cloud platforms)

- 716 unfeasible.
- 717 • **All stakeholders**, yet especially government IT services responsible for security,
718 must be included in the process of SDI development and implementation, right
719 from the beginning. Due to the long-term nature and complexity of structural
720 changes within public agencies, the monetary costs emanating from introducing
721 and using new technologies should be made transparent early enough and re-
722 quire **budgetary planning at organisational level**. This applies above all to
723 the human resources needed and the long-term allocation of financial funding
724 required to develop, implement and manage cloud-integrated SDIs.
- 725 • A final point that will have a decisive influence on the **use of geodata** in the
726 future is the question of their provenance, access, rights of use, lifespan and
727 licensing. This implies that geodata products developed through public financing
728 should be subject to opendata policies, comply with data protection standards,
729 and has to be findable and usable long term.

730 The digitization of public authorities is a complex and long term process. However,
731 for public authorities to fulfil their mandates, it is absolutely key to tackle challenges
732 of the 21st century by profiting on opportunities that result from cloud computing, big
733 geodata analytics, and AI. Thus, the SDI solution proposed could serve as blueprint
734 for public authorities on how to utilize a hybrid ecosystem consisting of various mod-
735 ern geodata management systems located on premise and an EO cloud computation
736 platform under given regulatory constraints.

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