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2 Information trust and groundwater management: Evaluating the role of formal versus

3 informal information sources in adoption of groundwater conservation practices among

4 California farmers

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14 **Abstract:** The future of farming in many water-stressed regions will depend in large part upon  
15 sustainable management of groundwater. Understanding the drivers associated with uptake of  
16 groundwater conservation practices in agriculture is thus critical for policy, programmatic, and  
17 technical support development. While a rich body of research has explored farmers' conservation  
18 practice adoption, understanding of groundwater conservation practices is more limited,  
19 especially regarding the role of farmer networks and information sources. This study explores  
20 how information sources influence the actual and intended adoption of groundwater management  
21 practices in California, which instituted a statewide policy in 2014 to regulate and implement  
22 groundwater conservation practices. Using survey data from farmers (n=553) in three largely  
23 agricultural counties of California, we examine the extent to which farmers' preferred and actual  
24 sources for groundwater policy information are associated with adoption of groundwater  
25 conservation practices while controlling for farm and farmer attributes. We find that farmer trust  
26 in groundwater policy information from informal sources such as other farmers, social media,  
27 and popular media is negatively associated with both current adoption and intended future  
28 adoption of groundwater conservation practices. These findings suggest that policymakers and  
29 extension agents seeking to spread conservation information could tap into peer-to-peer networks  
30 and partner with a diverse range of organizations to ensure that they send trusted information to  
31 farmers. This analysis can help build a richer understanding of how farmers' groundwater  
32 management behavior is highly dependent upon social and policy contexts.

## 33 1. Introduction

34

35 As climate change has exacerbated the frequency and intensity of droughts globally,  
36 water-scarce regions have struggled to manage groundwater resources [1]. Few places embody  
37 the challenges of agriculture and groundwater management more than California, which has  
38 faced continual worsening episodes of drought for several decades, culminating in the summer of  
39 2021 as the most severe recorded drought conditions in California's history [2]. But California is  
40 far from alone, as many regions are facing worsening drought conditions and are struggling to  
41 address water resource depletion [3]. Thus, addressing and managing the impacts of severe  
42 drought is an urgent global problem. Similar to other large agricultural regions that rely heavily  
43 on groundwater, without widespread adoption of conservation strategies, California could see a  
44 sharp reduction in suitable agricultural land during the next century [4]. California instituted a  
45 locally-driven regulatory policy in 2014 to develop and implement groundwater policies relevant  
46 to specific groundwater basins and regions. California's Sustainable Groundwater Management  
47 Act (SGMA) is a landmark piece of legislation that tasks local Groundwater Sustainability  
48 Agencies (GSAs) with crafting plans for water conservation [5].

49 However, SGMA is also incredibly complex, involving hundreds of GSAs, an extensive  
50 engagement process with potential users, and a complex network of information dissemination  
51 stemming from many different sources into the information ecosystem. SGMA provides a ripe  
52 opportunity to examine farmer networks due to the complexity and difficulty of policy  
53 communication under the legislation [6]. Information sources, as well as trust in information  
54 sources among farmers, are documented to influence adoption of conservation practices and  
55 policy support for conservation programs [7–12]. However, insufficient attention has been paid

56 to the differences between formal and informal information sources in their potential influence  
57 on conservation practice diffusion [13,14]. Such oversight may be especially important in an  
58 active policy arena, where a variety of policy engagement opportunities exist amidst a suite of  
59 information sources. Here we use survey data from a sample of California farmers to examine  
60 the extent to which formal versus informal information networks are associated with adoption of  
61 groundwater conservation practices.

62

63 *1.1 Characteristics of Conservation Adopters.* Researchers have extensively studied the

64 factors that influence whether farmers adopt new conservation practices on their farms [15].

65 Variables often found to be positively associated with conservation practice adoption include

66 pro-environmental attitudes such as a farmer's belief in climate change [16–19], information

67 access and intake [8,10–12,20], larger farm size [12,21,22], economic concerns and income level

68 [10,23], a greater amount of formal education [24,25], and participation in conservation incentive

69 programs [26]. One challenge in this literature is that the traits of adopters and non-adopters

70 could differ by region and local climate [23,27]. Indeed, several systematic reviews of farmer

71 adoption of conservation practices observe conflicting results across studies [13–15], indicating

72 room for further research. Prokopy et al. [14] note that more research is needed on social and

73 systems-level factors that could influence conservation behavior, such as information networks.

74 For policy efforts seeking to promote agricultural conservation, it is also important to

75 understand the factors that influence farmers' intentions to adopt conservation practices in the

76 future. As Fishbein & Ajzen [28] note in their theory of planned behavior, intention is closely

77 linked to behavior but is not a perfect predictor, since perceived and actual barriers restrict

78 behavior. Prior studies have found that social dimensions such as norms can influence farmers'

79 intentions to adopt conservation practices [29] and that a range of farm and farmer characteristics  
80 such as farm size and education are positively associated with intention to adopt conservation  
81 practices [30]. The factors associated with intended adoption of conservation practices are not  
82 always identical to those associated with actual adoption. Niles et al. [31] find that among a  
83 sample of New Zealand farmers, certain climate change attitudes appear to be associated with  
84 intended but not actual conservation adoption. Thus, further research into both actual and  
85 intended adoption would prove fruitful.

86  
87 ***1.2 Information Sources and Conservation Adoption.*** A farmer's decision to engage in a  
88 conservation practice does not exist in a vacuum, but rather is a social process [32]. Under the  
89 diffusion of innovations theory, information networks can influence whether an individual actor  
90 such as a farmer adopts an innovative practice, as well as how such practices spread through a  
91 population [33]. Moreover, Elinor Ostrom's social-ecological systems (SES) model emphasizes  
92 that individual resource users such as farmers are embedded in social, policy, and biophysical  
93 systems that impact their decisions in complex and intertwined ways [34]. It is thus crucial to  
94 understand whether and how the flow of information from policymakers to farmers, as well as  
95 among farmers, is associated with conservation practice adoption. Owen [35] notes that  
96 collaboration and information-sharing seem to be key contributors to the success of adaptation  
97 strategies, and other researchers have similarly noted that information dissemination from  
98 policymakers and scientists is likely to play a substantial role in future efforts to adapt  
99 agriculture to climate change [4,27,36]. Prior research has repeatedly found a positive  
100 association between increased information access and adoption of conservation practices  
101 [8,10,12], as well as between farmer network involvement and conservation adoption [20,37].

102           Beyond the relationship of information and conservation behavior, the political science  
103 literature has further emphasized the importance of information *sources* in citizens' civic  
104 behavior. Researchers have observed that among the general public, the venues and sources from  
105 which people receive information — not just whether and how much information they receive —  
106 seem to be associated with attitudinal and behavioral shifts [38,39]. In particular, social media  
107 and informal information sources have been found to be important contributors to citizens' civic  
108 beliefs. Swigger [40] finds that frequent use of social media is associated with a higher degree of  
109 support for civil liberties. Relatedly, Anspach and Carlson [41] observe that reliance on  
110 information from social media can result in people having misinformed beliefs about key  
111 political issues.

112           These findings from political science suggest that information sources could similarly  
113 affect the behavior of farmers. However, Prokopy et al. [14] indicate a need for further research  
114 to better understand how farmer networks might impact conservation behavior. While some  
115 kinds of informational and organizational affiliations may be positively associated with  
116 conservation behavior, others might be negatively associated with such behavior or have no  
117 effect. The kinds of information farmers receive is diverse, and the effects of this information  
118 might likewise be varied. Indeed, there have been some attempts to analyze the complexities of  
119 farmer information networks in prior literature. McBride and Daberkow [11] draw on a survey of  
120 US farmers (n = 3,193) and note that the relationship between interpersonal information and  
121 adoption of conservation practices is stronger than the relationship between mass media  
122 information and adoption. More recently, Arbuckle et al. [7] assess climate attitudes among a  
123 sample of Iowa farmers (n = 1,276), finding that farmers who trust environmental interest groups  
124 are more likely to indicate that they favor climate adaptation in agriculture, while farmers who

125 trust agricultural interest groups are less likely to indicate that they favor climate adaptation. The  
126 authors thus suggest that farmers who trust industry actors might differ in their climate-related  
127 beliefs and actions from farmers who trust environmental interest groups or other groups.  
128 Further, Garbach and Morgan [9] examine the role of social versus technical learning in  
129 influencing farmers' adoption of novel pollinator management practices. Using a quantitative  
130 network analysis of a survey of Michigan growers ( $n = 367$ ), the researchers find that network  
131 connections with government agencies, technical service providers, and neighbors have different  
132 relationships with practice adoption. Still, prior literature has underemphasized how formal  
133 sources of information, such as government entities and extension agents, might differ in their  
134 effects on conservation adoption from informal sources, such as interpersonal communication  
135 among farmers, social media, and popular media.

136

137 ***1.3 Exploring Farmer Networks in the California Context.*** In California, as in many  
138 other regions, groundwater overuse — much of which is caused by agricultural irrigation — has  
139 contributed to depleting aquifer levels and reduced water quality [42]. Farmers often turn to  
140 aquifers when other water sources such as reservoirs and streams are unavailable, particularly  
141 during droughts [43]. California's Sustainable Groundwater Management Act (SGMA), enacted  
142 in 2014, aims to promote groundwater conservation in part through the creation of local  
143 Groundwater Sustainability Agencies (GSAs). GSAs are tasked with instituting local plans for  
144 managing groundwater, which are intended to bring about sustainable groundwater levels prior  
145 to the 2040s [42]. The legislation is still in the process of being implemented [44].

146 Implementation of SGMA provides an opportunity to study the complexities of farmer  
147 networks due to the multitude and diversity of actors involved. The implementation process

148 relies heavily on cooperation across multiple levels of government. The act involves 264  
149 individual groundwater agencies communicating with farmers in the development of local plans,  
150 as well as involvement from a host of different actors including extension agents, the California  
151 Department of Water Resources, the State Water Resources Control Board, city governments,  
152 county governments, irrigation districts, and water districts [6,44–46]. Additionally, the  
153 legislation requires GSAs to communicate with a range of “interested parties,” such as farmers  
154 and others who use groundwater, nongovernmental entities, environmental justice organizations,  
155 and disadvantaged groups [6]. Relationships among farmers are also an important consideration  
156 for groundwater management plans. For instance, tension can arise between farmers who drill  
157 their own water from wells and those who rely on water from their local irrigation or water  
158 district [43]. Relatedly, farmers who retrieve groundwater independently rather than through a  
159 local irrigation or water district may be less integrated into farmer social and institutional  
160 networks [47]. Accordingly, analyzing networks both among farmers and between farmers and  
161 institutions is important for researchers seeking to understand SGMA implementation. One  
162 important part of analyzing these networks is assessing how information about groundwater is  
163 communicated by local, regional, and state public institutions [45].

164         Researchers have explored the factors contributing to local management plan adoption  
165 [48], farmer sentiments towards SGMA implementation [49], the role of social networks in  
166 SGMA implementation [45], and the relationship between science and policy in SGMA  
167 implementation [50]. Méndez-Barrientos et al. [47] examine how opposition to government  
168 intervention appears to motivate farmers to get more involved in the SGMA implementation  
169 process. However, the role of information dissemination in groundwater management is an  
170 underexplored topic. Given the centrality of local governance within SGMA, the legislation



171 presents a unique opportunity to examine the relationship between farmer information networks  
172 and conservation behavior.

173 To that end, this study assesses the factors contributing to adoption of groundwater  
174 conservation practices among farmers in California. Specifically, we ask the following: (1)  
175 Which sources do farmers use and trust for information related to SGMA? (2) To what extent is  
176 trust in and use of information from formal or institutional sources associated with current and  
177 intended future adoption of groundwater conservation practices? (3) To what extent is trust in  
178 and use of information from informal sources, such as other farmers, popular media, and social  
179 media, associated with current and intended future adoption of groundwater conservation  
180 practices? We hypothesize that farmers who trust and receive information from formal SGMA  
181 information sources will be more likely to engage in groundwater conservation behavior, while  
182 farmers who trust and receive information from informal SGMA information sources will be less  
183 likely to engage in groundwater conservation behavior.

184

## 185 **2. Materials and Methods**

186

187 **2.1 Data Collection Methods.** In 2017, a mail survey on groundwater management was  
188 piloted for 137 farmers in Yolo County, California based on the results of 20 farmer focus  
189 groups [49]. The survey was then reworked for three additional counties: San Luis Obispo  
190 County, Madera County, and Fresno County. These three counties represent a range of crops  
191 grown, irrigation needs, and GSA formation processes. Farmer mailing lists were obtained  
192 through county-level pesticide reporting lists and the USDA Organic INTEGRITY database. The  
193 research team conducted meetings with County Farm Bureaus and water agencies to understand

194 local groundwater needs and organizational interests while developing the survey. In partnership  
195 with the County Farm Bureaus, mail surveys were co-branded with the County Farm Bureau  
196 logo and accompanied by a letter from each County Farm Bureau president. In accordance with  
197 the survey methods outlined by Dillman et al. [51], farmers were sent an initial postcard  
198 advertising the survey, after which they were send the mail survey. Farmers who did not fill out  
199 the initial survey were sent a reminder postcard and a second mail survey.

200 The goal of the 2019 survey was to learn about groundwater management in California,  
201 with a particular emphasis on the implementation of SGMA and farmers' perceptions of the  
202 implementation process. The survey also contained a range of additional questions on topics  
203 including groundwater management practices used by farmers, climate beliefs and attitudes, and  
204 farm and farmer demographics. Additionally, the survey included some open-ended questions. In  
205 total, there were 553 respondents between the three counties. The majority of the responses were  
206 from Fresno County. (n = 359, 65%), with smaller samples in San Luis Obispo County (n = 101,  
207 18%) and Madera County (n = 93, 17%). Data analysis for this paper was conducted in StataSE  
208 Version 17 [52].

209  
210 **2.2 Variables and Transformations.** The outcome variable for our statistical models is  
211 farmer use of groundwater conservation practices. The survey asked farmers to indicate from a  
212 list which groundwater practices they currently use and which they are likely to use in the future.  
213 For each practice, farmers are grouped into one of three nominal categories related to their  
214 current adoption: uses the practice; does not use the practice; or not applicable. For intended  
215 future adoption, farmers are grouped into one of seven categories: a six-point Likert scale  
216 ranging from very unlikely to very likely; and not applicable. Our analysis does not cover all

217 practices listed on the survey. Instead, we only include the following practices that we consider  
218 to constitute conservation practices: drip irrigation; water monitoring technology; fallow fields;  
219 soil moisture sensors; change to a less water intensive crop; and leaf sampling to measure plant-  
220 water status. We do not include the following practices: drill more wells; restore existing wells;  
221 make existing wells deeper; pump more groundwater than previous years; purchase additional  
222 water; purchase crop insurance; and reduce livestock stocking rates. While these practices could  
223 be helpful from an individual farmer's perspective, they are not included within the category of  
224 groundwater conservation practices as they are not specifically strategies to reduce groundwater  
225 use and meet local GSA goals. Although "reduce livestock stocking rate" could reduce  
226 groundwater use, we exclude this variable as it might further complicate interpretability given  
227 that it only applies to livestock farms.

228 We include a range of farm and farmer predictor variables in our models. The first set of  
229 variables relate to farmers' network embeddedness and information interactions. The first  
230 independent variable corresponds to whether the farmer indicated that they participated in  
231 SGMA implementation events. Four of the independent variables in the models pertain to the  
232 information that farmers trust and receive related to SGMA. The survey included a list of sources  
233 for SGMA information, ranging from formal or institutional sources such as University of  
234 California Cooperative Extension and local irrigation or water districts, to informal sources such  
235 as other farmers, social media, and popular media. The survey asked each farmer to select both  
236 which sources they trust for SGMA information and which sources of information they actually  
237 receive. These information sources present different conceptions of how novel practices could  
238 spread through a population. As Arbuckle et al. [7] suggest, farmers who place trust in different  
239 kinds of sources for climate-related information could have different conservation attitudes or

240 behaviors, and this might also be true of SGMA information sources [44]. We also include an  
241 independent variable indicating the number of sources from which the farmer received SMGA  
242 information, to control for the possibility that observed relationships could be due to the amount  
243 of information farmers receive rather than which sources they receive.

244 Our models also contain a range of farm and farmer demographic controls, including:  
245 total acres managed; whether a farm grows crops and/or livestock; farmer participation in  
246 voluntary agricultural programs; education; and having some land in “white areas” that are not  
247 part of irrigation districts. We include this final variable to control for the fact that farmers not  
248 integrated into irrigation districts and who may thus rely on groundwater could have different  
249 irrigation needs, information networks, and perceptions of the SGMA implementation process.  
250 We do not include farm income as a control variable to preserve sample size in our models, since  
251 there were 128 missing values for income and income was correlated with education in the  
252 sample.

253

254 **Table 1**

255

256 *Descriptions of Variables, Scales, and Transformations for the Multiple Linear Regressions*

257

Variable name	Measurement scale	Question and/or content	Transformation (if applicable)
Model 1 outcome variable: degree of current adoption of groundwater practices	Continuous	Please indicate, in response to water scarcity, if you currently use the following practices and your likelihood to use the following practices in the future	Performed multiple correspondence analysis on three-level variable (adopted; not adopted; not applicable) and used predicted coordinate as outcome in model 1

		Practices included in analysis: drip irrigation; water monitoring technology; fallow fields; soil moisture sensors; change to a less water intensive crop; leaf sampling to measure plant-water status	
Model 2 outcome variable: likelihood of intended future adoption of groundwater practices	Continuous	Please indicate, in response to water scarcity, if you currently use the following practices and your likelihood to use the following practices in the future  Practices included in analysis are identical to those in model 1	Grouped somewhat to very likely together and somewhat to very unlikely together to create three-level variable (intends to adopt; does not intend to adopt; not applicable); performed multiple correspondence analysis on three-level variable and used predicted coordinate as outcome in model 2
Participation in SGMA events	Binary	If you have personally participated in SGMA related events, which of the following have you done and when?  Events listed: attended a SGMA meeting; served on a board related to SGMA; testified on a SGMA issue; voted on GSA agency formation. Fill-in responses for SGMA event participation are not included.	Transformed to binary variable indicating whether farmer participated in any of the listed events
Trust in SGMA information	Continuous	Would you trust information on SGMA from this source?  List of sources: Commodity organization/grower cooperative; County Agricultural Commissioner; Department of Water Resources; GSA-Eligible Entities meetings/working groups; Local Irrigation or Water District; Other farmers; Popular Media (e.g., newspapers, radio, television); Social Media (e.g., Facebook, Twitter); State/Regional Water Resources Control Board; University of California Cooperative Extension. Only information sources	Performed principal component analysis on the set of binary variables indicating whether farmers trust each source for SGMA information; used predicted coordinates for two dimensions with eigenvalues > 1 as independent variables in the multiple linear regressions

		listed on all three county versions of the survey are included in our analysis.	
Use of SGMA information	Continuous	Have you received information on SGMA from this source?  List of sources is identical to those for trust in SGMA information	Performed principal component analysis on the set of binary variables indicating whether farmers use each source for SGMA information; used predicted coordinates for two dimensions with eigenvalues > 1 as independent variables in the multiple linear regressions
Total acres managed	Continuous	How many total acres do you manage - all land owned, leased or managed?	
Crop	Binary	In a typical year, how much of the following crops, animals or land do you manage/own? [Followed by extensive list of crops and livestock, along with an “other” option]	Transformed to binary variable indicating whether farmer said they have any crops on their operation
Livestock farm	Binary	In a typical year, how much of the following crops, animals or land do you manage/own? [Followed by extensive list of crops and livestock, along with an “other” option]	Transformed to binary variable indicating whether farmer said they have any livestock on their operation
Participation in voluntary programs	Binary	Does your farm participate in any of the following voluntary programs?  List of programs: Agricultural Conservation Easement Program; State Agricultural Water Enhancement and Efficiency Program; State Landowner Incentive Program; State Water Enhancement Program; Conservation Reserve Program; Conservation Stewardship Program; Environmental Quality Incentives Program; Organic/biodynamic certification	Transformed to binary variable indicating whether farmer uses any of the listed programs
Education	Ordinal	What is the highest level of formal education you completed?	

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		Education levels: some high school; high school diploma; trade school, apprenticeship or on job training; college education, no degree; college education, associate's degree; college education, bachelor's degree; graduate education, master's degree; graduate education, doctorate degree	
Presence of some land in an uncovered "white area"	Binary	Farmers were shown a map of Groundwater Sustainability Agency districts in their county and asked which districts, if any, their parcels are located in	Transformed to binary variable indicating whether any of their parcels fall within "white areas" not covered by irrigation districts

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258

259 We perform factor analysis techniques on several variables to group farmers into  
260 categories based on their conservation practice use and SGMA information preferences. First,  
261 regarding the outcome variables of conservation practice use, we transform intended future  
262 adoption into a three-category nominal variable by grouping somewhat to very likely together  
263 and grouping somewhat to very unlikely together. The goal of this is to simplify interpretability  
264 of the models. We preserve the "not applicable" responses rather than dropping them to  
265 maximize sample size, meaning that both current and intended future adoption are three-category  
266 nominal variables. Then, on both current and intended future adoption, we run a multiple  
267 correspondence analysis (MCA), a technique used to determine underlying structure in datasets  
268 of categorical non-binary variables with identical categories [53].

269 The MCAs suggest that in both datasets, the data can be grouped in two-dimensional  
270 space with one of the two dimensions corresponding to farmers' likelihood to adopt the  
271 conservation practices [Fig 1-2]. We use the results of the MCA to predict the coordinates for  
272 each individual farmer, and we in turn use these predicted coordinates as the outcome variables  
273 for our regression models. For both current and intended future adoption, we use the second

274 dimension as a proxy for a farmers' willingness to adopt groundwater conservation practices,  
275 since this dimension appeared to sort farmers by their willingness to adopt. Moreover, we negate  
276 this dimension for ease of interpretability, such that more positive values correspond to a greater  
277 current or intended future likelihood to adopt the practices.

278

279 **Fig 1**

280 *Multiple Correspondence Analysis of Adoption of Groundwater Conservation Practices*

281 Note. 1 = Not applicable; 2 = Has not adopted practice; 3 = Has adopted practice.

282

283 **Fig 2**

284 *Multiple Correspondence Analysis of Intended Future Adoption of Groundwater Conservation Practices*

285 Note. 1 = Not applicable; 2 = Somewhat to very unlikely to adopt practice in the future; 3 = Somewhat to  
286 very likely to adopt practice in the future.

287

288 We also perform a transformation on the variables related to farmers' trust in and use of  
289 SGMA information to group farmers by their information intake preferences. A principal  
290 component analysis (PCA) is a statistical technique used to reduce dimensionality in a set of  
291 binary variables, and the dimension coordinates for each data row can be used as predictor  
292 variables in a multiple linear regression [54]. In our case, the goal of the two PCAs we conduct is  
293 to better understand whether the information sources represent distinct information pathways for  
294 farmers. We carry out a PCA on the binary variables indicating whether the farmer trusts each  
295 source for SGMA information, as well as a second PCA on the binary variables indicating  
296 whether the farmer receives each source for SGMA information [Table 2]. Farmer responses for  
297 trust in information sources and actual receipt of information are both used since they may have



298 different relationships with farmer behavior. Trust levels could be indicative of the networks to  
299 which farmers feel most connected, while the information sources they actually receive could  
300 indicate how receiving certain kinds of information shapes behavior.

301 The PCA on the trust in SGMA information sources reveals two components with  
302 eigenvalues  $> 1$ . The first component is composed largely of trust in formal or institutional  
303 information sources, such as public entities and University of California Cooperative Extension,  
304 with other farmers and popular media being the weakest aspects of this component. The second  
305 component is composed largely of trust in more informal or interpersonal sources, particularly  
306 social media and popular media, although the component is also composed to a slightly lesser  
307 extent of trust in certain institutional information sources such as the State/Regional Water  
308 Resources Control Board. The PCA for information received reveals two similar components:  
309 the first is composed more of farmers who trust SGMA information from institutional or formal  
310 sources, whereas the second is composed more of farmers who trust SGMA information from  
311 informal sources such as social media, popular media, and other farmers. This suggests that there  
312 is some basis for thinking that there are different groups of farmers who are more trusting of  
313 formal versus informal or interpersonal SGMA information. We then predict the coordinates for  
314 each individual farmer and use their coordinates for the first and second components in each  
315 PCA as independent variables in the model.

316

317 **Table 2**

318 *Principal Component Analysis of Trust in and Receipt of SGMA Information Sources*

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PCA for trust in SGMA information	PCA for SGMA information sources
sources	received

SGMA information source	Component 1	Component 2	Component 1	Component 2
	eigenvectors	eigenvectors	eigenvectors	eigenvectors
Commodity organization/grower cooperative	0.305	-0.292	0.317	-0.195
County Agricultural Commissioner	0.311	-0.364	0.320	-0.139
Department of Water Resources	0.362	0.060	0.371	-0.026
GSA-Eligible Entities meetings/working groups	0.326	-0.155	0.348	-0.330
Local Irrigation or Water District	0.302	-0.289	0.295	-0.291
Other farmers	0.229	0.087	0.325	0.117
Popular Media (e.g., newspapers, radio, television)	0.289	0.556	0.256	0.535
Social Media (e.g., Facebook, Twitter)	0.307	0.559	0.224	0.665
State/Regional Water Resources Control Board	0.375	0.079	0.371	0.042
University of California Cooperative Extension	0.334	-0.189	0.304	-0.076

319

320 *Note.* Components are preserved if their eigenvalue is greater than 1.0.

321

322 **2.3 Statistical Models.** We run two ordinary least squares (OLS) multiple linear  
323 regression models, as the outcome variable is a continuous variable corresponding to each  
324 farmer’s predicted coordinates from the MCA results. Model 1 corresponds to degree of current  
325 adoption of groundwater conservation practices, and model 2 corresponds to likelihood of future  
326 adoption of groundwater conservation practices. Both regression models include controls for  
327 fixed effects by county to account for geographic variability or variability across the three survey  
328 versions.

329 The OLS regression models are as follows:

330

$$331 Y_1 = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \beta_3 X_3 + \beta_4 X_4 + \beta_5 X_5 + \lambda + \alpha$$

332

333

$$Y_2 = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \beta_3 X_3 + \beta_4 X_4 + \beta_5 X_5 + \lambda + \alpha$$

334

335 Where  $Y_1$  = Likelihood of current adoption of groundwater conservation practices, or dimension

336 2 of the MCA;  $Y_2$  = Likelihood of intended future adoption of groundwater conservation

337 practices, or dimension 2 of the MCA;  $\beta_0$  = constant or baseline;  $X_1$  = Participation in at least

338 one SGMA event;  $X_2$  = Trust in formal SGMA information, or component 1 of the SGMA

339 information trust PCA;  $X_3$  = Trust in informal SGMA information, or component 2 of the SGMA

340 information trust PCA;  $X_4$  = Receiving formal SGMA information, or component 1 of the

341 SGMA information receipt PCA;  $X_5$  = Receiving informal SGMA information, or component 2

342 of the SGMA information receipt PCA;  $\lambda$  = Farm and farmer characteristic controls; and  $\alpha$  =

343 County fixed effects. An alpha level of 0.05 is used for statistical tests. All variables are

344 standardized for these statistical tests so that variable coefficients can be compared.

345

### 346 **3. Results**

347

348 There is a wide range in the degree of farmer adoption of conservation practices [Fig 3].

349 The top practice in terms of current adoption is drip irrigation (57.59%), and the lowest is

350 shifting to less water intensive crops (5.33%). There is a similarly large range in the percentage

351 of farmers intending to adopt each conservation practice in the future. 45.74% of farmers

352 participated in at least one event related to the implementation of SGMA. The majority of farmer

353 respondents grow at least some crops (90.74%), with a much smaller percentage having at least

354 some livestock (15.06%). Only 28.28% participate in at least one voluntary agricultural program.

355 The median number of sources received for SGMA information is 1. 4.31% of farmer  
 356 respondents have some land in a “white area” not covered by an irrigation district.

357

358 **Fig 3**

359 *Percentage of Respondents Who Use or Intend to Use Each Groundwater Management Practice*

360 Note. Values indicate the percentage of respondents who stated that they currently use or intend  
 361 to use each practice among valid responses to each question. For intended future use, answers for  
 362 somewhat likely, likely, and very likely are grouped together.

363

364 **Table 3**

365 *Summary Statistics for Dependent, Independent, and Control Variables*

Variable	Number of valid responses	Percentage of respondents (unless otherwise indicated)
Participated in at least one in SGMA event	551	45.74%
Total acres managed	520	Mean = 747 Std. dev. = 2,419
Crop farm	551	90.74%
Livestock farm	551	15.06%
Participation in voluntary programs	488	28.28%
Education	538	
No college education		12.64%
College education, no degree		13.94%
College education, associate’s degree		8.36%
College education, bachelor’s degree		44.98%

Graduate degree		20.08%
Number of sources received for SGMA information	487	Median = 1
Presence of some land in an uncovered “white area”	511	4.31%
County	551	
San Luis Obispo County		64.79%
Madera County		18.33%
Fresno County		16.88%

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366

367

368           The two OLS regressions suggest that several independent variables are significant  
369 (p<0.05) predictors of current and intended future adoption of groundwater conservation  
370 practices [Table 4]. In assessing whether farmers had currently adopted groundwater  
371 conservation practices, trust in informal sources of information, such as social media, popular  
372 media, and other farmers, is negatively associated with adoption of groundwater conservation  
373 practices (p=0.019). Likewise, for the future adoption model, trust in informal SGMA  
374 information sources is also negatively associated with likelihood of intending to adopt  
375 groundwater conservation practices in the future (p=0.039). Participating in SGMA events,  
376 receiving information from formal or informal sources, and the number of sources received for  
377 SGMA information are not significantly associated with current or future adoption of  
378 groundwater conservation practices.

379           A number of farm and farmer characteristics are positively associated with adoption  
380 across both models. In the current adoption model, total acres managed (p=0.039), participation  
381 in voluntary conservation programs (p=0.002), and higher formal education level (p=0.002) are  
382 positively associated with adoption of groundwater conservation practices. On the other hand,

383 farms with livestock ( $p=0.001$ ) are less likely to adopt these practices on average. For the  
 384 intended future adoption model, total acres managed ( $p=0.036$ ), participation in voluntary  
 385 agricultural programs ( $p=0.002$ ), and having crops on the farm ( $p=0.014$ ) are all positively  
 386 associated with intention to adopt groundwater conservation practices in the future.

387

388 **Table 4**

389 *Multiple Linear Regressions of Current and Likely Future Adoption of Conservation Practices*

Predictor variable	Model 1 outcome variable = Degree of current adoption of groundwater conservation practices		Model 2 outcome variable = Likelihood of intended future adoption of groundwater conservation practices	
	Coeff.	Standard error	Coeff.	Standard error
	Constant	-0.0004038	0.0143172	0.0054823
Participation in SGMA events	0.018019	0.0153048	0.0223187	0.0150965
Trust in formal SGMA information (component 1)	0.0063448	0.0142232	0.0128318	0.0141658
Trust in informal SGMA information (component 2)	-0.0331658*	0.0140629	-0.029169*	0.0141047
Receipt of formal SGMA information (component 1)	-0.0719765	0.1454701	0.0162852	0.1451436
Receipt of informal SGMA information (component 2)	-0.0127375	0.0137472	0.0010571	0.0136678
Total acres managed	0.0298743*	0.0144224	0.0306743*	0.014578
Crop farm	0.0306672	0.0192317	0.0461826*	0.0186702
Livestock farm	-0.0490019**	0.0143937	0.0107511	0.0143984
Participation in voluntary programs	0.043883**	0.0141742	0.0441584**	0.0141916
Education	0.0494057**	0.0157749	0.0198002	0.0156153
Number of sources received for SGMA information	0.1160643	0.1467198	0.0064802	0.1463477
Presence of some land in an uncovered “white area”	0.0097681	0.0147752	0.0092545	0.0149157
San Luis Obispo County (compared to baseline of Fresno)	0.020312	0.0150269	-0.0273826	0.0150088

Madera County (compared to baseline of Fresno)	0.0282226	0.0151345	-0.0006158	0.0148885
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390

391 *Note.* \* indicates  $p < 0.05$  and \*\* indicates  $p < 0.01$  for a two-tailed significance test. Number of valid  
392 observations is 398 for model 1 and 368 for model 2.

393

#### 394 **4. Discussion and Conclusion**

395

396 Our analysis attempts to discern the differences between current adopters, likely future  
397 adopters, and non-adopters of groundwater conservation practices among California farmers. We  
398 find that trust in informal sources for SGMA-related information, such as social media, popular  
399 media, and other farmers, is significantly negatively associated with current and intended future  
400 adoption of groundwater conservation practices. As Prokopy et al. [14] indicate, different  
401 information sources may have disparate effects on farmer behavior. Indeed, we find that trust in  
402 and receipt of formal sources for SGMA information is not significantly associated with either  
403 current or intended future adoption of groundwater conservation practices. Our results suggest  
404 that not all kinds of information and organizational participation are associated with agricultural  
405 conservation behavior. Farmer networks are not all the same, so researchers should not assume  
406 that network involvement will necessarily be associated with an increase in a farmer's likelihood  
407 to engage in conservation behavior.

408 Several observed relationships in our models are consistent with findings from prior  
409 research. For example, we find that participation in voluntary agricultural programs is  
410 significantly positively associated with current and intended future adoption of conservation  
411 practices, consistent with Lambert et al. [26]. Additionally, we find that a higher level of formal  
412 education is significantly positively associated with current adoption of conservation practices,

413 consistent with Barbercheck et al. [24] and McCann et al. [25]. However, education is not  
414 significantly associated with intended future adoption of conservation practices. This suggests  
415 that, as Niles et al. [31] note, the characteristics of current adopters of conservation practices  
416 versus intended future adopters of conservation practices might be different.

417         This study also shows some inconsistencies with prior research. We do not find a  
418 significant relationship between farmer participation in SGMA events and current or intended  
419 future adoption of groundwater conservation practices. By contrast, prior research indicates that  
420 organizational participation and network involvement may be associated with farmer adoption of  
421 conservation practices [20,37]. However, given that the implementation of SGMA was still  
422 ongoing in 2019 when the survey was distributed, and events including meetings and votes on  
423 GSA formation were not completed, these findings may reflect an early aspect of the policy  
424 process. Moreover, we find that the number of information sources a farmer receives related to  
425 SGMA is not significantly associated with adoption of conservation practices, inconsistent with  
426 some prior studies that find a positive relationship between information access and conservation  
427 behavior [8,10].

428         Our findings suggest that a farmer's quantity and sources of information are less  
429 important in predicting groundwater conservation behavior than which information the farmer  
430 trusts. This relates to a broader cultural phenomenon of tribalism that extends beyond farmers.  
431 Researchers have observed that trust is a key factor in information networks [55]. Individuals  
432 tend to have higher levels of trust in their own social groups when those groups are small,  
433 homogenous, or closed-off [56], which may be the case among farmers. Farmers may trust their  
434 own social circles over regulators or government entities, since other farmers can better  
435 understand their lived experiences and values. Moreover, social media has altered the way that



436 people consume and trust information. On the one hand, social media has facilitated peer-to-peer  
437 information-sharing networks. However, these platforms can also foster echo chambers in which  
438 people filter out unwanted information. This can lead to homogenous thought, misinformation,  
439 and “fake news” [57,58]. Relatedly, which information people trust on social media is largely  
440 about *who* is sharing the information, rather than just the content of the information [59]. In that  
441 sense, farmers may trust information on social media since this mode of communication shows  
442 them people they agree with and information that aligns with their worldview.

443         Therefore, policymakers seeking to promote sustainable management of groundwater  
444 should consider not only whether their information is reaching farmers, but also whether farmers  
445 trust that information. Farmers who trust peer-to-peer networks over formal sources of  
446 information may have less faith in institutions and may be doubtful of policy efforts to encourage  
447 groundwater conservation. Public institutions such as state agencies, extension agents, and local  
448 irrigation and water districts should seek to send trusted information to farmers, perhaps by  
449 tapping into peer-to-peer networks and by engaging with a diverse range of organizations to  
450 convey information that will be trusted across different farmer groups [44,45]. Formal and  
451 informal information sources present disparate ways of spreading knowledge about groundwater  
452 practices. While information from public sources is highly curated and controlled, information  
453 from social media, popular media, and other farmers is unregulated and self-selecting. Formal  
454 sources provide the sort of technical guidelines that are helpful for implementing new  
455 management strategies on a farm, while informal information provides a way of sharing what  
456 farmers have found in their own experience works well in managing water resources [9].  
457 Policymakers may thus need to adjust their modes and methods of communication to better reach  
458 farmers who trust informal communication networks.

459           There are several limitations in this analysis. For one, the total number of valid responses  
460 in each of the OLS regression models was limited by nonresponses to survey questions. Further,  
461 survey data is retrieved from three counties in California, so the findings may not apply to  
462 farmers in other geographic areas in California or beyond. Likewise, the politically contentious  
463 nature of groundwater issues in California [43], as well as the uniqueness of SGMA as a strategy  
464 for conserving groundwater [6], could limit the ability to generalize these results to other US  
465 states. Additionally, assessing likely future adoption on a survey is an imperfect measure of  
466 farmers' intentions to adopt conservation practices in the future, since farmer answers could be  
467 influenced by desirability bias. Nevertheless, this study can help researchers, policymakers, and  
468 extension agents better understand how farmer information networks relate to adoption of  
469 groundwater conservation practices in California.

470           Future research should continue to explore other kinds of information and organizational  
471 participation to further understand the complexities in the relationship between farmer networks  
472 and adoption of conservation practices. We explore only information related to SGMA, meaning  
473 that researchers could continue to examine the extent to which other kinds of information are  
474 associated with conservation practice adoption. Relatedly, grouping all of the conservation  
475 practices into one MCA dimension does not provide the potential to explore which exact  
476 practices are underlying these relationships. Future studies could thus examine which specific  
477 groundwater conservation practices are behind the relationships observed in these models.  
478 Studies could also analyze the communication strategies of different sources for SGMA  
479 information to explore how their information dissemination methods vary and how this might  
480 relate to farmer groundwater conservation practices. Finally, future studies could examine farmer

481 information flows using a social network model to provide a richer understanding of how  
482 farmers may receive information from multiple sources simultaneously.

483         This study uses survey data from California farmers to examine the extent to which  
484 farmers' preferred and actual information sources for groundwater policy are associated with  
485 current and intended future adoption of groundwater conservation practices. We find that farmer  
486 trust in information from informal sources such as social media, popular media, and other  
487 farmers is significantly negatively associated with current and intended future adoption of  
488 conservation practices, but that other information network variables included in the regression  
489 models do not have significant associations. Our analysis highlights that policymakers or  
490 extension agents aiming to effectively or efficiently disseminate information about conservation  
491 practices should consider not only whether their information reaches farmers, but also whether  
492 farmers trust that information. Policymakers may find that turning to informal and peer-to-peer  
493 avenues of communication could help them tap into the networks that some farmers trust for  
494 staying informed on groundwater policy.

495

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510

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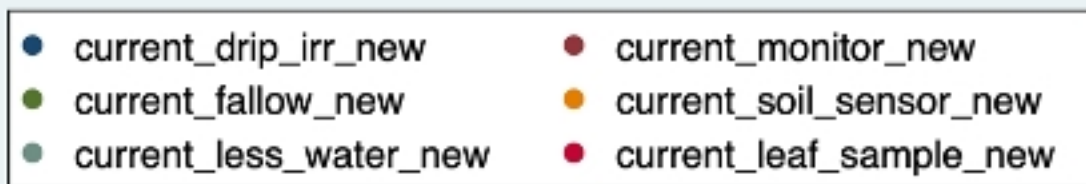
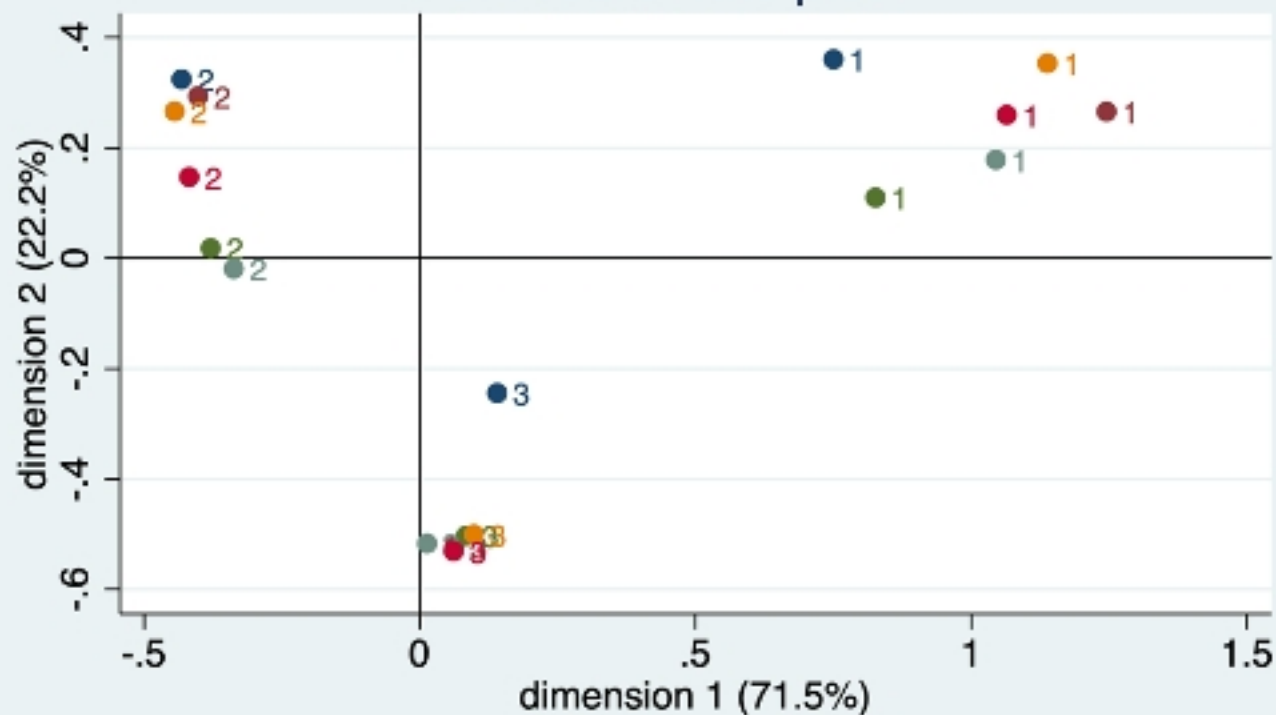
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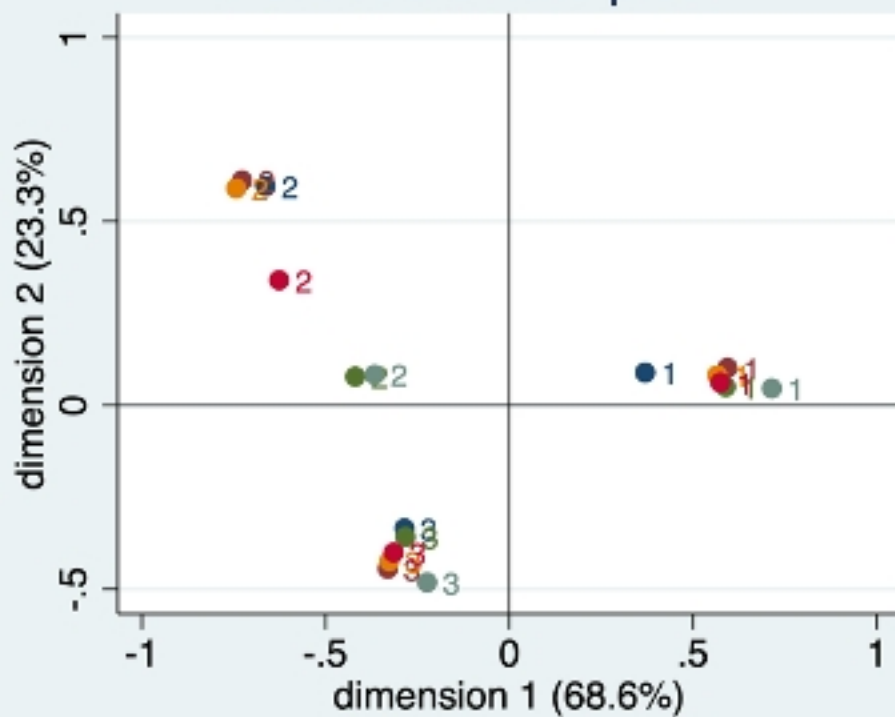
# MCA coordinate plot



coordinates in principal normalization

Fig1

## MCA coordinate plot



coordinates in principal normalization

Fig2

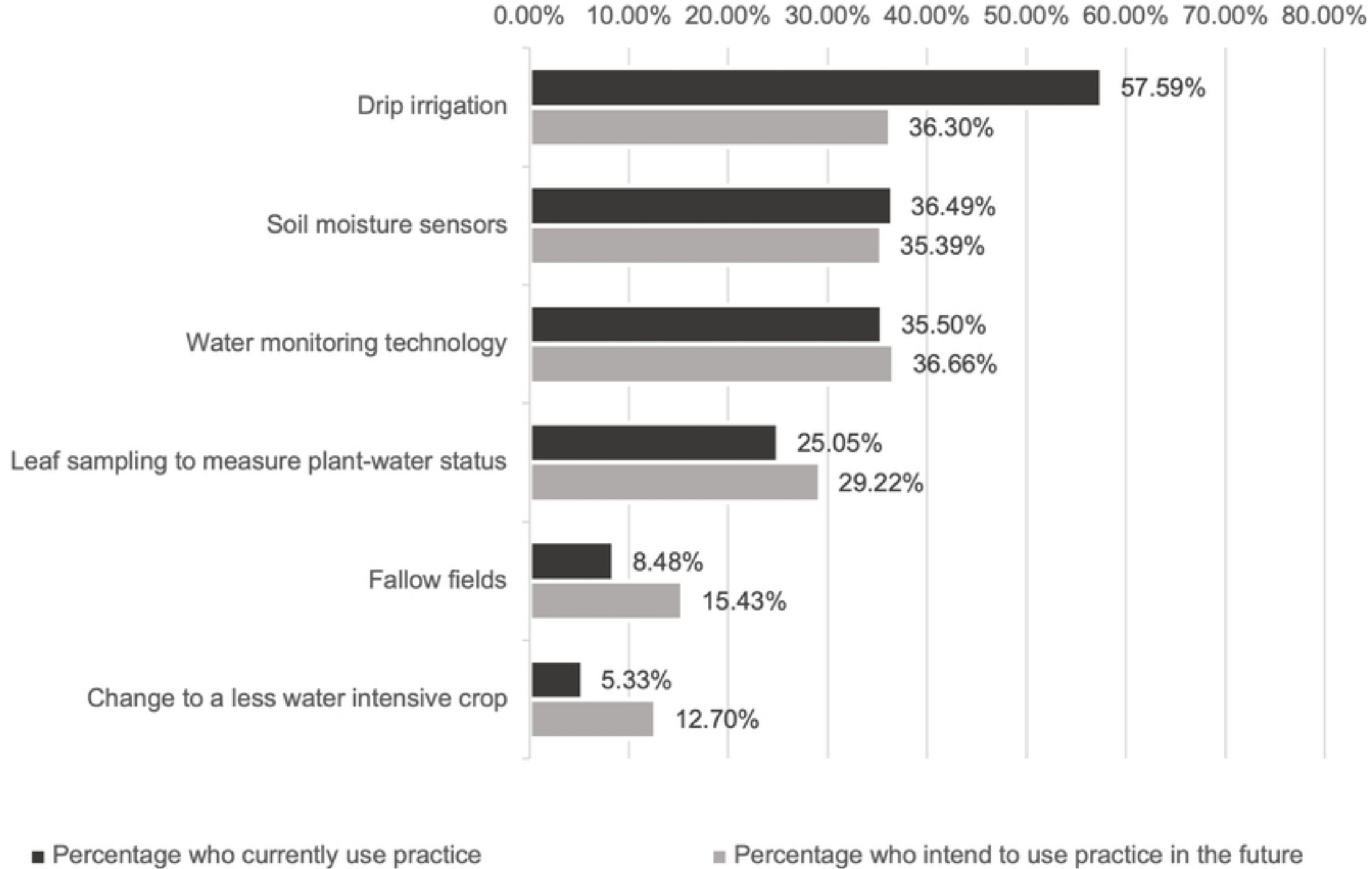


Fig3