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3 **Mountains of plastic: Mismanaged plastic waste along the Carpathian watercourses**

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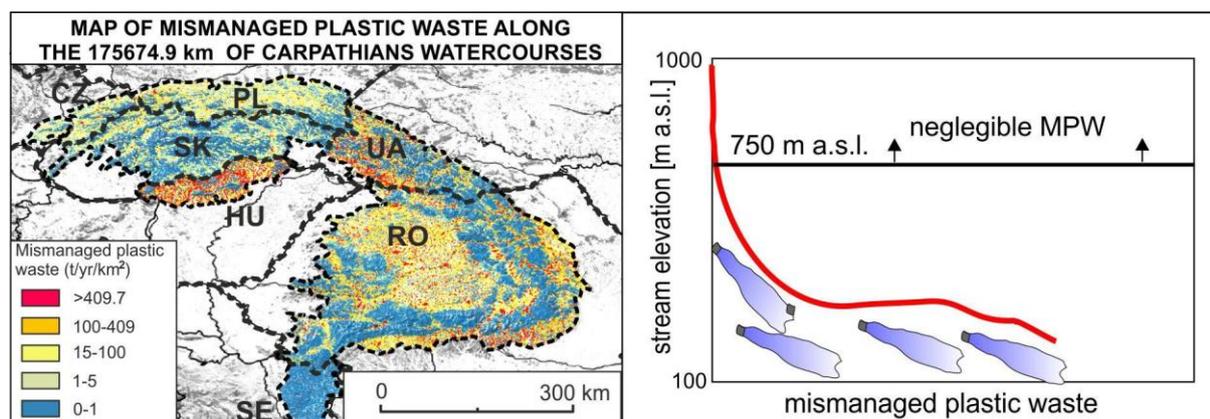
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36 **Graphical abstract**

37

38 **Abstract**

39 Plastic waste poses numerous risks to mountain river ecosystems due to their high
 40 biodiversity and specific physical characteristics. Here, we provide a baseline assessment for
 41 future evaluation of such risks in the Carpathians, one of the most biodiverse mountain ranges
 42 in East-Central Europe. We used high-resolution river network and mismanaged plastic waste
 43 (MPW) databases to map MPW along the 175,675 kilometres of watercourses draining this
 44 region. We explored MPW levels as a function of altitude, stream order, river basin, country,
 45 and type of nature conservation in a given area. The Carpathian watercourses below 750 m
 46 a.s.l. (142,282 km, 81% of the stream lengths) are identified as significantly affected by
 47 MPW. Most MPW hotspots (>90th percentile) occur along rivers in Romania (6,568 km;
 48 56.6% of all hotspot lengths), Hungary (2,679 km; 23.1%), and Ukraine (1,914 km; 16.5%).
 49 The majority of the river sections flowing through the areas with negligible MPW (< 1
 50 t/yr/km²) occur in Romania (31,855 km; 47.8%), Slovakia (14,577 km; 21.9%), and Ukraine
 51 (7,492; 11.2%). The Carpathian watercourses flowing through the areas protected at national
 52 level (4,0 km; 2.3% of all watercourses studied) have significantly higher MPW values
 53 (median = 7.7 t/yr/km²) than those protected at regional (51,8 km; 29.5%) (median MPW =
 54 1.25 t/yr/km²) and international levels (0,07 km; 0.04%) (median MPW = 0 t/yr/km²). Rivers
 55 within the Black Sea basin (88.3% of all studied watercourses) have significantly higher
 56 MPW (median = 5.1 t/yr/km², 90th percentile = 381.1 t/yr/km²) than those within the Baltic
 57 Sea basin (median = 6.5 t/yr/km², 90th percentile = 84.8 t/yr/km²) (11.1% of all studied
 58 watercourses). Our study indicates the locations and extent of riverine MPW hotspots in the
 59 Carpathians, which can support future collaborations between scientists, engineers,
 60 governments, and citizens to better manage plastic pollution in this region.

61

62 **Key words:** gravel-bed rivers; plastic disposal; plastic pollution; dumping; littering

63

64 **Highlights**

- 65 ● Mismanaged plastic waste (MPW) was mapped along 175,675 km of the Carpathian
 66 Mountains' rivers;
- 67 ● Most MPW hotspots (>409.7 t/yr/km²) were in Romanian (6,567 km) and Hungarian
 68 rivers (2,679 km);
- 69 ● Most MPW coldspots (<1 t/yr/km²) were in Romanian (31,855 km) and Slovakian
 70 rivers (14,577 km);
- 71 ● Rivers flowing through the areas protected at the national level should be managed in
 72 a way that avoids the input of detected MPW to their channels.

73

74 **1. Introduction**

75 The disposal and fate of plastic waste in inland mountain rivers remains practically
76 unexplored (Honorato-Zimmer et al., 2021; Liro et al., 2023), and most previous studies have
77 focused on coastal rivers as the main sources of land-based plastic entering the oceans
78 (Lebreton et al., 2017; Meijer et al., 2021). It was, however, suggested that mountain rivers
79 can be particularly affected by plastic pollution because of their high biodiversity and physical
80 characteristics (see Liro et al., 2023). Recent works have suggested that high energy flows
81 occurring in mountain rivers may favour the remobilization and fast downstream transport of
82 plastics inputted to these rivers. The occurrence of numerous obstacles in river flows (e.g.,
83 boulders, riffles, and large woody debris) in mountain rivers may accelerate the fragmentation
84 of plastic debris in these channels, increasing secondary microplastic production and its
85 subsequent transport (see Liro et al., 2023). Moreover, the presence of plastic waste may
86 negatively impact human perceptions of mountain river landscapes and threaten the water
87 (Viviroli et al., 2007, 2020; Schickhoff et al., 2022) provided by these highly biodiverse
88 ecosystems (Wohl, 2010, 2018; Hauer et al., 2016; Maier et al., 2021). This can socially and
89 economically negatively affect tourism, recreation, and human well-being, in general (Alfthan
90 et al., 2016; Beaumont et al., 2019). Moreover, it has been found that mountain regions pose
91 particular difficulties to effective local waste management due to specific settlement types and
92 road network distributions (which tend to be concentrated in the river valleys bottoms),
93 specific topography, and susceptibility to extreme events (e.g., floods and landslides), which
94 create logistical difficulties and lead to high costs for waste management operations (Mihai,
95 2018a, b). These characteristics of mountain rivers make them prone to plastic leakage,
96 fragmentation, mobilisation (Roebroek et al., 2021), producing numerous unexplored risks
97 (Liro et al., 2023).

98 The Carpathian Mountains are an important biodiversity hotspot in Europe (e.g.,
99 Bálint et al., 2011; Kozak et al., 2013; Muntenau et al., 2018) that contains numerous
100 protected areas. However, particularly in some rural regions (e.g., in Romania), they are
101 exposed to substantial amounts of plastic pollution due to the local and regional waste
102 management deficiencies (Mihai et al., 2012; Mihai 2018a). The regional pattern of
103 mismanaged plastic waste occurrence along the rivers in this region has not yet been analysed.
104 Such information, however, can be of crucial importance for targeting locations for future
105 research on plastic pollution in this region.

106 To narrow this gap, here, we aimed to map the amount of MPW along the
107 watercourses in the entire Carpathian region (total length of 175,674.9 km), utilising recently
108 published databases of mismanaged plastic wastes (Lebreton and Andrady, 2019) and river
109 networks (Lin et al., 2021). To make our results useful for future works exploring riverine
110 plastic pollution in the Carpathian region from different spatial and topical perspectives, we
111 determined the amounts of MPW among different river basins, countries, stream orders, and
112 nature protection forms (national, regional, and international) in this region.

113 The main objective of this work is to indicate the locations and extent of hotspots of
114 riverine MPW in the Carpathian region. Obtaining such information can help to target future
115 field work and local remediation efforts, as well as to manage rivers in the Carpathian region
116 to limit or prevent the input of MPW into their channels. It will also be important for the
117 protection of biodiversity and landscape attractiveness in the Carpathian region, in line with
118 the European Union's policy of reducing environmental pressures in areas of particular
119 natural importance.

120

121 **2. Methods**

122 *2.1. Study area*

123 The Carpathian ecoregion (ca. 210,000 km²) (CERI, 2001), situated in seven countries
124 (Fig. 1) and inhabited by approximately 18 million people, is the largest and one of the most
125 biodiverse mountain ecoregion in Central and Eastern Europe (CERI, 2001; Ruffini and
126 Ptáček, 2008; Kozak et al., 2013; Munteanu et al., 2018; Papp et al., 2022). The mean
127 elevation of the region is approximately 850 m a.s.l., and the highest peaks (reaching up to
128 2655 m a.s.l.) occur in the Tatra Mountains in Slovakia and Poland (Ruffini and Ptáček,
129 2008). The Carpathians have a temperate climate with a continental regime (increasing
130 eastwards) (Cheval et al., 2014). The Carpathian rivers are characterised by a rain–snow
131 regime, with floods occurring in the spring (March–April) and summer (June–July) (Ptáček et
132 al., 2009). Most of the Carpathian area belongs to the Black Sea basin, and only the northern
133 and north-western parts belong to the Baltic Sea basin. Most watercourses draining the area
134 belong to the catchments of the Danube (the largest), Vistula, Odra, and Dniester rivers,
135 respectively.

136 The Carpathian ecoregion supports high biodiversity and provides numerous habitats
137 for flora and fauna (CERI, 2001; Mráz and Ronikier, 2016; Munteanu et al., 2018), which are
138 protected on different levels (national, regional, and international) within approximately 18%
139 of the surface area (36,000 km²) (Butsic et al., 2017). This ecoregion is mainly characterised
140 by agricultural and forestry activities (Munteanu et al., 2014), with moderately developed
141 industry. The average population density in the region is 120 people/km², with high spatial
142 variation. In the valleys and mountain forelands, the population density is relatively high (150
143 people/km²), whereas the high mountain areas are substantially less inhabited (Illés and Gál,
144 2007). The Carpathians are a popular tourist destination, hosting approximately 45 million
145 overnight stays per year (Meyer, 2018).

146 Unmanaged or inadequately treated waste has become apparent in some parts of the
147 Carpathian region. Waste management systems are poor or even non-existent in the

148 mountainous areas of the catchments, especially in Ukraine, Serbia, and Romania (IFC, 2015;
149 <https://ec.europa.eu/eurostat>). As mountainous areas are difficult to reach, a high level of
150 waste-collection efficiency is difficult to achieve. Therefore, illegal dumpsites are common in
151 the floodplains, and waste is often transported into the rivers (Mihai et al., 2012, 2018;
152 Katona, 2019). The recycling rates of municipal waste range in Carpathian countries from
153 2.5% in Ukraine to 35% in Slovakia and Poland (IFC, 2015). A macro-level strategy to
154 improve regional cohesion policies for the Carpathian region is discussed at the European
155 Parliament level to be further developed in addition to the current four such EU macro-
156 regions (e.g. Alpine region). This discussion identifies ‘Management of environmental risk
157 and natural threats’ as a priority action part of the Green Carpathian objective (Jourde and van
158 Liero, 2019). The first step should be to increase the efficiency of waste management to
159 reduce local levels of MPW (e.g. capture rate of plastics through separate collection) in the
160 Carpathian region through a multi-stakeholder perspective (Ekosphaera, 2021).

161

162 *2.2. Data and analysis*

163 To estimate MPW along watercourses in the Carpathian ecoregion (CERI, 2001), we
164 combined three datasets: river networks (Lin et al., 2021), digital elevation models (SRTM
165 DEM), and MPW (Lebreton and Andrady, 2019), as seen in Fig. 2. The created map and
166 dataset allowed us to conduct further regional and national analyses with respect to selected
167 environmental variables (i.e., Strahler stream orders, basins, and type of protection form) (Fig.
168 2). Information about the spatial coverage of a given protection form was based on the data
169 published by the European Environmental Agency ([https://www.eea.europa.eu/data-and-](https://www.eea.europa.eu/data-and-maps)
170 [maps](https://www.eea.europa.eu/data-and-maps)).

171

172 *2.2.1. Mismanaged plastic waste (MPW) dataset*

173 We used a global dataset of modelled mismanaged plastic waste (Lebreton and
174 Andrady, 2019) available as a 30 arc second map of annual MPW generation (pixel size: 1 x 1
175 km²). This dataset (Leberton and Andrady, 2019) was developed using information on
176 municipal solid waste generation (the fraction of plastic found within waste per capita) and
177 the gross domestic product (GDP) at national level. The data are publicly available as a
178 supplement to the paper by Lebreton and Andrady (2019). This database was previously
179 successfully utilised for diverse purposes. Recently, Roebroek et al. (2021) and Meijer et al.
180 (2021) used it for the assessment of flood-related remobilisation of MPW and the annual
181 plastic emissions in rivers on a global scale. However, some recent studies (Schuyler et al.,
182 2021) have indicated the possible inaccuracy of the MPW values in such a global dataset as
183 population density may not be an accurate variable in estimating MPW.

184

185 2.2.2. River network dataset

186 To obtain information about the spatial distribution of the Carpathian watercourses, we
187 used the global vector-based hydrography dataset created by Lin et al. (2021). This database
188 represents all watercourses in a uniform way (as a vector layer), regardless of their size, and
189 contains additional attributes (e.g., Strahler stream order and basin and catchment names).
190 This simplified our calculations and decreased the time needed for assigning MPW values for
191 all 175,674.9 kilometres ($n = 127,940$ polylines) of the watercourses analysed in the study
192 (Table S1). However, the simplified nature of the database may have affected the accuracy of
193 the estimates of MPW in relation to the amounts that actually enter the river channel between
194 large and small watercourses. Specifically, larger rivers may have substantially higher channel
195 and floodplain areas per unit of river length than smaller streams. Thus, in larger
196 watercourses, the same MPW value along a given river length may result in a higher input of
197 MPW to the fluvial system than in smaller watercourses (Roebroek et al., 2021).

198

199 *2.2.3. Spatial distribution of MPW along the Carpathians watercourses*

200 To determine the spatial distribution of MPW along the Carpathian watercourses, we
201 intersected the pixel values of the MPW layer (Lebreton and Andrady, 2019) with polylines
202 representing individual watercourses (Lin et al., 2021) in the Carpathian ecoregion (Fig. 2).
203 This method takes into account the MPW values within the first 1 km surrounding the river,
204 which interact with the river the most. A similar workflow was applied by Roebroek et al.
205 (2021). The value of MPW for a given polyline (i.e., a river reach) was subsequently
206 calculated as a median value of all the pixels of the MPW database (Lebreton and Andrady,
207 2019) intersecting that polyline (Fig. 2). The calculations were performed using R software
208 (version 4.0.2. “Taking Off Again”; R Core Team, 2019).

209 The resolution of the MPW database (1 x 1 km² pixel size) (Leberon and Andrady,
210 2019) (see section 2.2.1.) may potentially oversimplify the MPW values along the smallest
211 streams represented by polylines shorter than the pixel size. The median length of all analysed
212 polylines was 1.09 km; however, 78% of the total lengths of all analysed watercourses were
213 represented by longer polylines (Fig. S2). Taking into account that the proportion of short
214 polylines (those shorter than 1.09 km) was evenly distributed along the whole elevation
215 gradient of the study area (Fig. S2), we assumed that the potential for oversimplification of
216 the MPW values along the short polylines had no substantial influence on the general spatial
217 patterns of the MPW presented on our map (Fig. 3).

218

219 *2.2.4. Data analysis*

220 The MPW values among the watercourses of the given Strahler stream order (Strahler,
221 1952), countries, and catchments were compared using a Kruskal–Wallis test. A post hoc
222 Bonferroni test was applied to investigate which pairs within the above comparisons were

223 statistically different. The comparison of the MPW between the basins (Baltic Sea vs. Black
224 Sea) and the protection form types (protected vs. unprotected) was completed using a Mann–
225 Whitney U test (Fig. 2). All comparisons used statistically significant values of $p < 0.05$. The
226 above non-parametric tests were used because a normal distribution did not occur in all the
227 compared samples.

228 We defined MPW hotspot sections of the rivers using MPW values that were higher
229 than the 90th percentile of the values mapped along the watercourses beyond the protected
230 areas, and we defined MPW coldspots as those with MPW values of $< 1 \text{ t/yr/km}^2$.

231

232 **3. Results**

233 The median and the 90th percentile MPW values for the areas of all the flow-through
234 Carpathian watercourses reached 5.2 and 320.2 t/yr/km^2 , respectively (Tables S3). However,
235 there were considerable spatial variations in relation to the elevation gradients (section 3.1),
236 Strahler stream orders (section 3.2), catchments and basins (section 3.3), and protection forms
237 (section 3.4).

238

239 *3.1. Distribution of mismanaged plastic waste along the elevation gradients of watercourses*

240 In general, the Carpathian watercourses above the elevation of 750 m a.s.l. (33312.1
241 km, 19% of the stream lengths) were typified by a negligible amount of MPW (Petitt test,
242 $p < 0.0001$, see Fig. 4). However, this threshold elevation varied among the Carpathian
243 countries and catchments (Fig. 4C and 4D). The highest threshold elevation (determined using
244 a Petitt test (see Fig. 2)) was detected along the watercourses in Poland (1100 m a.s.l.), and
245 these thresholds became progressively lower in Slovakia (930 m a.s.l.), Romania (740 m
246 a.s.l.), Ukraine (700 m a.s.l.), Czech Republic (590 m a.s.l.), and Hungary (330 m a.s.l.).
247 These threshold values were detected to be relatively low in Hungary and Czech Republic

248 because these two countries generally lack watercourses at higher elevations (see Table S2).
249 Among the main investigated catchments, the highest threshold elevation was found along the
250 watercourses in the Vistula catchment (1100 m a.s.l.), whereas the thresholds occurred at
251 progressively lower elevations in the Dniester (760 m a.s.l.), Danube (730 m a.s.l.), and Odra
252 (590 m a.s.l.) catchments (Fig. 4B).

253

254 *3.2 Mismanaged plastic waste in watercourses of different Strahler stream order*

255 For the all Carpathian watercourses, the median amounts of MPW in riparian zones
256 increased from 1.1 t/yr/km² in the first-order watercourses to 2.1 t/yr/km² in the second-order
257 watercourses to 6.1 t/yr/km² in the third-order watercourses to 23.0 t/yr/km² in in the fourth-
258 order watercourses to 43.8 t/yr/km² in the fifth-order watercourses to 55.2 t/yr/km² in the
259 sixth-order watercourses. For the seventh-order streams, the MPW values decreased to 18.3
260 t/yr/km², and they reached their highest values in the eighth- (88.2 t/yr/km²) and ninth-order
261 watercourses (85.4 t/yr/km²) (Table S3). For all analysed watercourses, the median MPW
262 values along watercourses of a given stream order increased as the elevation of such
263 watercourses in a given country decreased, reaching their highest values in the lowest-lying
264 areas of Hungary (Fig. 5).

265 The median MPW values were negligible (<1 t/yr/km²) along the Carpathian
266 watercourses flowing through Serbia and for the first- and second-order streams in Slovakia
267 and Ukraine, and they were highest along the fifth-order watercourses in Hungary (907.1
268 t/yr/km²), Czechia (52.9 t/yr/km²), Romania (118.4 t/yr/km²), and Poland (23.1 t/yr/km²) and
269 the sixth-order watercourses in Slovakia (1152.0 t/yr/km²) (Table S3 and Fig. 5).

270

271 *3.3. Mismanaged plastic waste within the Carpathian catchments*

272 The Carpathian watercourses belonging to the Black Sea basin (88.3% of all the
273 Carpathian watercourse lengths) flow through areas with significantly higher ($p<0.001$) MPW
274 values (median = 5.1, mean = 279.7, and 90th percentile = 381.1 t/yr/km²) than the
275 watercourses flowing into the Baltic Sea (median = 6.5, mean = 36.1, and 90th percentile =
276 84.8 t/yr/km²) (11.1% of the Carpathian watercourses) (Fig. 6A, B). The statistically
277 significant ($p<0.001$) differences in watercourse MPW values were found between the main
278 Carpathian catchments analysed (Fig. 6C). The median MPW value along watercourses
279 within the Dniester catchment (2.6% of the Carpathian watercourses) was the highest (24.1
280 t/yr/km²), and the lowest value was found along watercourses within the Danube catchment
281 (4.8 t/yr/km²) (85.6% of the Carpathian watercourses). The median MPW values for
282 watercourses within the Vistula (6.4 t/yr/km²) and Oder (9.1 t/yr/km²) catchments were
283 similar and were between the values mapped for the Dniester and Danube catchments (Figure
284 6C).

285

286 *3.4. Mismanaged plastic waste in protected areas*

287 For all of the Carpathian watercourses, the median MPW values were significantly
288 higher in unprotected areas (7.2 t/yr/km²; 68.2% of all analysed watercourses) than those of
289 the protected ones (1.7 t/yr/km²; 31.8% of all analysed watercourses) (Fig. 7A). The median
290 MPW values varied significantly among the protection form types (Fig. 7B). The MPW
291 values along the watercourses flowing through areas protected at the national level (72.1
292 t/yr/km²) (2.3% of all analysed watercourses) were higher than those of the areas protected at
293 the regional (1.25 t/yr/km²) (29.5% of all analysed watercourses) and international (0
294 t/yr/km²) (0.04% of all analysed watercourses) levels (Fig. 7B). There were no statistical
295 differences in the MPW values along the watercourses flowing through areas protected at the
296 regional and international levels (Fig. 7B).

297

298 *3.5. Hot spots of MPW along the Carpathian watercourses*

299 To spatially summarise the above results, we mapped the MPW hotspots and coldspots
300 (see section 2.2.4) (Figure 8B). For all of the Carpathian watercourses, we indicated 11616.9
301 km of MPW hotspots and 66599.2 km of MPW coldspots. Most of the hotspots were mapped
302 in Romania (56%, 6567.5 km), Hungary (23.1%, 2679.2 km), and Ukraine (16.5%, 1914.3
303 km), or at a larger spatial scale, within the Danube catchment (94.6 %, 10987.3 km) and the
304 Black Sea basin (98.3%, 11415.7 km). Most of the MPW coldspots were mapped in
305 Romanian (47.8%, 31855.4 km), Slovakian (21.9%, 14577.2 km), and Ukrainian
306 watercourses (11.2%, 7491.6 km). Similarly, for hotspots, their proportions were the greatest
307 in Carpathian watercourses belonging to the Danube catchment (87.6%, 58370.1km) and the
308 Black Sea basin (90.9%, 60528.0 km) (Table 1).

309 We took into account that the different spatial units considered (country, catchment,
310 and basin) covered different proportions of the Carpathian Mountains area, and thus, they
311 covered different lengths of the watercourses belonging to them (see Table S1). To reduce the
312 bias resulting from this, we presented the proportions of MPW hotspots and coldspots in these
313 spatial units as values (%) normalised to the watercourse lengths belonging to them. This
314 showed that the proportions of MPW hotspots were the highest for Hungary (33.4%), Ukraine
315 (12.9%), and Romania (6.9%), and at the larger spatial scale, they were highest for
316 watercourses belonging to the Danube catchment (7.3%) and the Black Sea basin (7.4%). The
317 proportions of MPW coldspots, calculated in the same way, were the highest for Romania
318 (47.8%) and Slovakia (21.9%), and at the larger spatial scale, they were the highest for
319 watercourses belonging to the Danube catchment (87.9%) and the Black Sea basin (90.9%).

320

321 **4. Discussion**

322 4.1. MPW mapping uncertainty

323 The MPW values presented in our map should be interpreted with caution due to the
324 limitations resulting from the methods and datasets used. First, the databases used here as
325 sources of information on MPW (Leberton and Andrady, 2019) consider the amount of MPW
326 as annual input/leakage per year for a given pixel area (1 x 1 km). This means that the higher
327 MPW values recorded on our map along a given watercourse section may not be simply
328 equated to a greater amount of plastic debris entering the river channel or floodplain zone in
329 that section. The possibility of disposed plastic in a given part of the fluvial system entering a
330 river channel depends on numerous characteristics of that particular river catchment and
331 riparian zone (e.g., wind, surface runoff, land cover, and relief) (see, e.g., Mellink et al.,
332 2022). To explore the relationship between the mapped MPW values and plastic pollution in a
333 given section of the Carpathian rivers, future studies can, for example, map the densities of
334 dumping sites on river floodplains (for methods, see, e.g., Matos et al., 2012), quantify the
335 amount of MPW transported by river water (for methods, see, e.g., van Emmerik et al., 2020)
336 or stored in river sediments (for methods, see, e.g., Liro et al., 2020, 2022) in the locations
337 characterised by different MPW values. Despite the above, future studies can also shed light
338 on how much MPW can enter rivers by utilising the recently developed numerical model of
339 plastic pathways within a river catchment (Mellink et al., 2022) or by conducting field
340 experiments (Liro et al., 2023) which are able to gain data on the amount and the rate of
341 plastic input from river valley slopes to river channels. Future findings should be integrated
342 into local and regional waste management strategies across the Carpathian region (Mihai et al.
343 2022b).

344 Second, the utilised MPW database (Leberton and Andrady, 2019) uses the gross
345 domestic product, which may overlook some portion of MPW input resulting from tourism,
346 recreation, and sport activities, which are popular in the Carpathian region. It may be

347 interesting for future works to conduct the direct quantification of disposed plastic as the
348 result of different types of tourism, recreation, and sport activities. The combination of field-
349 based data on plastic disposal (e.g., number of items/m², mass of items/m², and types of
350 plastic items) and numerical models able to predict the plastic waste movement through a
351 catchment (Mellink et al., 2022) can provide some estimates for the amount of MPW
352 produced and input to rivers by different types of tourism or sport activities.

353 Despite the fact that our map was not suitable for a direct quantification of the amount
354 of plastic entering a river in a given section, it still offers a spatially uniform source of
355 information on the potential riverine MPW hotspots in the Carpathian region (Fig. 3, Table 1).
356 Together with the statistical data on regional and country levels (Table S3), this can be used to
357 inform local communities and stakeholders about plastic problems in a given region.

358

359 *4.2. The hotspots of mismanaged plastic waste along the Carpathian watercourses*

360 Our results suggest that fourth-, fifth-, and sixth-order (Fig. 3, Table S3) watercourses
361 should be further investigated as potential storage and remobilization zones of plastics (Liro et
362 al., 2020 and van Emmerik et al., 2022). Such watercourses in mountainous areas typically
363 have wide floodplains and valley bottoms and low valley slopes. These relief parameters
364 make these valleys easier for human use, and therefore, they are typically densely populated
365 and industrialised (Wohl, 2010). The challenges for future works will be to evaluate how such
366 plastic storage zones are operating between and during large floods (van Emmerik et al.,
367 2022) and to identify the effects of human modifications to rivers (dams, river regulation, and
368 floodplain embankment) on the spatial and temporal patterns of plastic storage,
369 remobilisation, and downstream transport (Mihai 2018a; van Emmerik et al., 2022; and Liro
370 et al., 2020, 2022, and 2023). Thus, future efforts to manage plastic pollution in the larger
371 (fourth, fifth, and sixth order) watercourses in the Carpathian region should be focused not

372 only on the river channel and near-channel zones but also on the entire floodplain area (Liro
373 et al., 2023). It seems that the roads, in particular, in these areas should be further verified as
374 local input zones (see, e.g., Matos et al., 2012 and Mihai 2018a). Recent observations have
375 also suggested that attention should be paid to dams and reservoirs (Mihai, 2018a) and wide,
376 multi-thread sections of a river (Liro et al., 2022) as potential locations for clean-up actions.
377 Some clean-up efforts completed on reservoirs in Romania during 2005–2012 (Mihai, 2018a)
378 and those on the Tisza River in Hungary since 2007 (Katona, 2019) have suggested, however,
379 that the temporally and spatially limited scale of such actions has not reduced the problem in
380 the years that followed (Mihai et al., 2022a, b). An additional solution for the future may be
381 the installation of macroplastic trapping infrastructures on dam crests, along reservoir
382 shorelines, or across a river (see, e.g., Mihai, 2018a and Katona, 2019) and especially on
383 dams located immediately downstream of MPW hotspots (Fig. 3).

384

385 *4.3. Mismanaged plastic waste in protected areas of the Carpathian region*

386 Our results highlight the need to further investigate the plastic pollution within the
387 areas protected at the national level, where the amount of MPW mapped along the
388 watercourses in the protected areas was the highest (Fig. 7). It is generally known that the
389 amount of plastic in a protected area depends on the area's vicinity to anthropogenic
390 resources, the lifestyles and consumption levels of local citizens, local waste management
391 systems, tourism infrastructure, and leisure activities (Mihai, 2018b and Napper et al., 2020).
392 There is a need to further verify the relation of the mapped MPW values with the macroplastic
393 input (e.g., dumping sites) and its amounts in the river water and sediments of the protected
394 areas in this region. In mountainous tourist areas (towns, ski resorts, trails, and viewpoints),
395 plastic waste disposal is likely closely related to the movements of tourists, and so particular
396 attention should be paid to the streams flowing through or near such areas. The Carpathian

397 Mountains are visited by an increasing number of visitors (approximately 45 million
398 overnight stays per year; Meyer, 2018). The amount of generated waste is often determined
399 by the activities and practices of tourism companies and local governments and, especially, by
400 the behaviours of the tourists themselves (Manfredi et al., 2010 and Byers, 2014). Our map
401 showing potential MPW hotspots and coldspots along Carpathian watercourses (Fig. 8) can be
402 seen as background material for testing the relationship between the amount of plastic debris
403 in river sediments and water and such activities in the region on a more local scale. Seasonal
404 tourism put additional pressure on waste operators in coping with increasing waste generation
405 rates in addition to domestic waste (residential sources), which could add to the plastic
406 pollution of freshwater bodies in Central and Eastern Europe (Mihai et al., 2022a). Waste
407 reduction is recognized as a key environmental policy for reducing environmental pollution
408 across the Carpathian region (Bösze et al., 2014). In practice, implementation is difficult
409 without effective waste management infrastructure and raising public awareness. Plastic waste
410 has a significant share of dumped waste (15%) in Slovakia in addition to the household and
411 construction fractions (Sedova, 2015), while the Eastern Carpathians of Romania are facing
412 massive plastic pollution along the Bistrita catchment area (Mihai, 2018a). Source-separated
413 plastic collection schemes for residents and tourist accommodation units are basic steps in
414 mitigating MPW in the Carpathian region in Romania and Ukraine (Murava and
415 Korobeinykova, 2016 and Brătucu et al., 2017), including protected areas. For example,
416 Ceahlau National Park (eastern Carpathians, Romania) has checkpoints that monitor tourist
417 flows on trekking routes and mountain huts, and the Park provides separate collection bins for
418 plastic packaging materials (Mihai et al., 2012). Earlier studies have also pointed out that the
419 mountain rivers and creeks flowing from the eastern Carpathians were exposed to the
420 household waste dumping practices on riverbanks, which included plastic waste fractions
421 (Mihai et al., 2012 and Mihai et al., 2018) (see Fig. 9). Increasing the public's awareness

422 about this issue should be the first step in overcoming the problem. Such efforts should be
423 undertaken in the future, for example, by presenting information on plastic's fate and the
424 related risk in mountain river environments (see, e.g., Liro et al., 2023) within the mapped
425 MPW hotspots (Fig. 8). Future works can also quantify the MPW emissions at the different
426 levels of administrative units based on modelling that utilises waste management data (see,
427 e.g., Mihai, 2018a).

428 The depletion of plastic to the environment depends on its global usage (e.g., Mihai et
429 al., 2022b). The first step towards depleting the MPW along the Carpathian watercourses
430 should be improved sourced-plastic collection schemes and, in the long-term perspective, a
431 reduction in plastic packaging. Such changes should be combined with law enforcement and
432 campaigns increasing environmental awareness to Carpathian citizens (Mihai, 2018b and
433 Mihai and Grozavu, 2019). However, such behavioural alterations need to be supported by
434 scientific data, effective educational actions on consumption practices, policies, and product
435 design, which must be clearly passed to the public by trustworthy knowledge and legislation
436 (Grodzińska-Jurczak et al., 2020 and Stanton et al., 2021). To be effective, these actions must
437 be implemented at the local scale. The MPW hotspots along the Carpathian watercourses
438 mapped in this work (Table 1 and Fig. 3) can be seen as a potential base for targeting such
439 actions in the future.

440

441

442 **5. Conclusion**

443 We mapped mismanaged plastic waste (MPW) along 175,674.9 km of the Carpathian
444 watercourses, documenting the following:

- 445 • The Carpathian watercourses below an elevation of 750 m a.s.l. (142282 km, 81% of
446 the stream lengths) are affected by MPW (Fig. 4).

- 447 ● The amount of MPW increases linearly as the watercourse elevations decrease, with
448 the maximum values detected along the fifth- and sixth-order stream (Fig. 5 and
449 Table S3).
- 450 ● The 11616.9 km of rivers flowing through areas have very high levels of MPW. A
451 majority of these hotspots occur in Romania (56%, 6567.5 km), Hungary (23.1%,
452 2679.2 km), and Ukraine (16.5%, 1914.3 km) (Fig. 8).
- 453 ● We also found that rivers flowing through the areas protected at the national level
454 have significantly higher MPW levels than other protected areas (Fig. 7).

455 We hope that the map and statistics we provided here can help to target future field works and
456 local clean-up action as well as manage rivers in the Carpathian region in a way that limits or
457 avoids the input of MPW to their channels, and decrease related risk caused by its
458 downstream transport and fragmentation. Obtained data give also a unique source of
459 information for comparison with other mountain regions, which can implement
460 methodological approaches applied in this study.

461

462 **Data availability statement**

463 All data used in this study are openly available. The data on mismanaged plastic waste can be
464 found as a supplement to the study by Lebreton and Andrady (2019)
465 ([https://figshare.com/articles/Supplementary Data for Future scenarios of global plastic
466 waste generation and disposal_/5900335](https://figshare.com/articles/Supplementary_Data_for_Future_scenarios_of_global_plastic_waste_generation_and_disposal_/5900335)), and a vector-based global river network dataset
467 can also be used as a supplement to the study by Lin et al. (2021)
468 (<https://doi.org/10.1038/s41597-021-00819-9>). Map and statistics created in this study are
469 freely available as Supplementary Material and .kml file
470 (<https://figshare.com/ndownloader/files/40203682>).

471

472 **Declaration of Competing Interest**

473 The authors declare that they have no known competing financial interests or personal
474 relationships that could have appeared to influence the work reported in this paper.

475 **Author Contributions**

476 M.L., conception, data curation, formal analysis, writing—original draft, and creating the first
477 versions of the figures; A.Z., data calculation, data curation, formal analysis, and
478 contributions to the writing of the original draft and the figures preparation and their

479 revisions; J.L.,T.V.M., M.G.J., T.K., and F.C.M., contributions to writing and revising the
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680 **Figures captions**

681 **Figure 1.** Location of the Carpathian Mountains (bounded by the yellow line) in Central Eastern
 682 Europe. Countries with a proportion of their territory in the Carpathian Mountains: CZ–Czech
 683 Republic; HU–Hungary; PL–Poland; RO–Romania; SK–Slovakia; SR–Serbia, and UA–Ukraine. The
 684 background map source was <https://webgate.ec.europa.eu/>

685 **Figure 2.** The workflow applied in this study.

686 **Figure 3.** Spatial distribution of mismanaged plastic waste along the Carpathian watercourses.
 687 Abbreviations of the names of the countries are as stated in Fig. 1.

688 **Figure 4.** Distribution of the amounts of mismanaged plastic waste (MPW) along the elevation
 689 gradients of the Carpathian watercourses from different countries (A), from the main river catchments
 690 (B) and the average threshold elevations of MPW values in the watercourses from different countries
 691 (C), and from the main river catchments (D).

692 **Figure 5.** Distribution of the amounts of mismanaged plastic waste (MPW) within the given stream
 693 orders of the Carpathian watercourses. Statistical significance levels are described as follows: n.s.–not
 694 statistically significant, * $-p < 0.05$, ** $-p < 0.01$, and *** $-p < 0.001$.

695 **Figure 6.** Comparison of the amounts of mismanaged plastic waste between the basins and catchments
 696 of the Carpathian watercourses. The dots mark the median values and the whiskers mark the 90th
 697 percentiles. The comparison of the basins was completed using a Mann–Whitney U test. The
 698 comparison of the catchments was completed using a Kruskal–Wallis test. The statistical descriptions
 699 are the same as those used in Figure 5.

700 **Figure 7.** Comparison of the amounts of mismanaged plastic waste (MPW) between the Carpathian
 701 watercourses flowing into the areas of different protection form types. The dots mark the median
 702 values and the whiskers mark the 90th percentiles. Comparisons between the national, regional, and
 703 international protection form types for the whole Carpathian region was completed using a Kruskal–
 704 Wallis test. Comparisons between the national and regional protection form types for a given country
 705 were completed using a Mann–Whitney test. The statistical descriptions are the same as those used in
 706 Figure 5.

707 **Figure 8.** The sections of Carpathian watercourses defined as hotspots ($MPW > 409.7 \text{ t/yr/km}^2$) (A) and
 708 clean spots ($MPW < 1 \text{ t/yr/km}^2$) (B) of mismanaged plastic waste. The dotted line is representing
 709 boundary of the Carpathian ecoregion.

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 711 **Figure 9.** Plastic pollution line (close up) at Izvoru Muntelui Lake in the eastern Carpathians of
 712 Romania. The mismanaged plastic waste was transported from upstream localities by previous high
 713 flows of the Bistrita River and its tributaries, and during the summer drought of 2022, the water line
 714 retreat supported the trapping of the pollution (photo taken by F.C.M., August 2022)

715
 716 **Table 1.** Proportion of Carpathian watercourses lengths in the areas defined as hotspots
 717 ($MPW > 409.7 \text{ t/yr/km}^2$) and clean spots ($MPW < 1 \text{ t/yr/km}^2$) of mismanaged plastic waste.
 718 *normalised value refers to the percentage of river lengths within hotspots and coldspots
 719 divided by the river lengths in the given spatial unit considered (country, catchment, and basin).

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 721 **Figure S1.** Recycling rate (%) of municipal waste in the countries of the Carpathian ecoregion (based
 722 on data from <https://ec.europa.eu/eurostat>).

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 724 **Figure S2.** The distribution of the lengths of the polylines representing the Carpathian watercourses
 725 across the elevation gradients.

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Table S1. Proportion of Carpathian watercourse lengths according to Strahler stream order.

Table S2. Elevation of Carpathian watercourses according to Strahler stream order.

Table S3. Mismanaged plastic waste (median and 90th percentile) along the watercourses of a given Strahler stream order of Carpathian countries.

Table 1. Proportion of Carpathian watercourses lengths in the areas defined as hotspots (MPW>409.7 t/yr/km²) and clean spots (MPW<1 t/yr/km²) of mismanaged plastic waste.
* normalised value refers to the percentage of river lengths within hotspots and coldspots divided by the river lengths in the given spatial unit considered (country, catchment, and basin).

The length (km) and proportion (%) of watercourses	Hotspots of MPW			Coldspots of MPW		
	km	%	% (normalized)*	km	%	% (normalized)*
Country						
Czechia	64.1	0.6	1.3	1084.9	1.6	21.5
Hungary	2679.2	23.1	33.4	1461.5	2.2	18.2
Poland	157.9	1.4	0.9	4002.5	6.0	23.4
Romania	6567.5	56.5	6.9	31855.4	47.8	41.4
Serbia	0	0	0	6126.1	9.2	99.4
Slovakia	233.9	2.0	0.8	14577.2	21.9	45.2
Ukraine	1914.3	16.5	12.4	7491.6	11.2	48.5
Total	11616.9	100.0		66599.2	100	746
Catchment						
Danube	10987.3	94.6	7.3	58370.1	87.6	38.8
Dniester	428.4	3.7	2.3	2157.9	3.2	46.9
Oder	37.5	0.3	3.0	323.5	0.5	25.8
Vistula	163.6	1.4	3.6	4594.4	6.9	25.2
Other	0	0	0	1153.3	1.7	71.9
Total	11616.9	100.0		66599.2	100.0	750
Basin						
Baltic Sea	201.2	1.7	1.0	4917.9	7.4	25.2
Black Sea	11415.7	98.3	7.4	60528.0	90.9	39.0
Other	0	0	0	1153.3	1.7	100
Total	11616.9	100.0		66599.2	100	753

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