- 1 Spatio-temporal trends of air quality, Kampala City, Uganda, 2020-2022
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- 12 Abstract

Fine particulate matter $(PM_{2.5})$ is among the health damaging air pollutants that pose health 13 risks to humans, with levels >15 μ g/m³ being associated with adverse health effects. PM_{2.5} has 14 been recommended as the best measure of air quality. Cities are more prone to poor air 15 quality compared to non-urban areas. We assessed the spatio-temporal trends in air quality in 16 Kampala City during January 2020-June 2022. We abstracted PM_{2.5} concentrations generated 17 by twenty-four Clarity[©] Node Solar-Powered monitors from January 1, 2020, to June 30, 18 2022, from the Clarity[©] dashboard. We computed 24-hour average PM_{2.5} concentrations at 19 city and division levels by combining data from all monitors. Average PM_{2.5} concentrations per 20 hour were compared by the hour of the day. We generated choropleth maps and line graphs 21 to show trends in 24-hour average PM_{2.5} concentrations in Kampala City over the study 22 period. The seasonal Mann-Kendall statistical test was applied to assess the significance of 23 observed trends based on Kendall's tau correlation coefficient (r) and p-values. Overall, the 24 24-hour average $PM_{2.5}$ concentration from January 1, 2020, to June 30, 2022, was 59 μ g/m³ 25

(range: 18–182 μ g/m³). PM_{2.5} concentrations exceeded 15 μ g/m³ in all city divisions: Kawempe 26 (63 μ g/m³), Central (61 μ g/m³), Rubaga (60 μ g/m³), Nakawa (55 μ g/m³) and Makindye (53 27 μ g/m³). A statistically significant decline in PM2.5 occurred throughout the assessment 28 period from January 2020 to June 2022 (r = -0.27, p < 0.001). PM_{2.5} increased from April to 29 June each year [2020 (55 µg/m³, r=0.56, p=0.006), 2021 (45 µg/m³, r=0.26, p=0.030), and 30 2022 (37 μ g/m³, r=0.37, p=0.030)] and declined from July to September in 2021 (57 μ g/m³, 31 r=-0.43, p=0.008) and January to March in 2022 (60 μ g/m³, r=-0.41, p=0.011). PM_{2.5} 32 concentration peaked from 10am-midday (74-73 μ g/m³) and 8pm-9pm (73-77 μ g/m³). 33 PM_{2.5} concentrations exceeded targeted safe levels on all days in Kampala City during 2020-34 2022. In 2022, Kampala Capital City Authority developed the Kampala City Clean Air Action 35 Plan with interventions to be undertaken by multiple partners aimed at improving air quality, 36

37 including further monitoring.

38 Keywords: Particulate Matter, Fine Particulate Matter (PM_{2.5}), Air Pollutants, Cities, Uganda

39 Background

Air pollution, the contamination of air with substances that are harmful to human 40 health, is one of the outstanding health concerns today [1]. It is a silent killer, accounting for 41 an estimated 6.7 million premature death annually worldwide [2, 3]. Air pollution is 42 associated with ischemic heart disease, stroke, lung cancer, chronic obstructive pulmonary 43 disease, pneumonia, type 2 diabetes, and neonatal disorders, as well as infant mortality, low 44 birthweight, pre-term delivery, mental health conditions, and neurological impairment [4]. 45 Sources of air pollution include domestic solid biomass energy use, exhaust and non-exhaust 46 emissions from vehicles, industrial emissions, and burning of solid waste [5]. 47

Particulate Matter (PM), one of the major health-damaging air pollutants as classified
by the World Health Organization (WHO) and the United States Environmental Protection
Agency (EPA), is formed in the atmosphere as a result of chemical reactions between different

pollutants, and contains tiny liquid or solid droplets that can be inhaled dependent on their 51 size and cause serious health effects [6]. Fine particulate matter (PM_{2.5}) poses adverse risks to 52 humans due to its small size and diameter, which easily permit penetration into the lower 53 respiratory tract [7]. Long term exposure to unsafe levels of PM_{2.5} increases the risk of excess 54 mortality from aggravated asthma, chronic obstructive pulmonary disease, lung cancer, stroke, 55 diabetes mellitus, and incidence of maternal and fetal complications, among others [8]. 56 According to the Global Burden of Disease Report, PM2.5 caused 6.4 million premature deaths 57 in 2019 [3, 4]. Globally, air pollution due to PM_{2.5} is increasing; the population-weighted 58 mean $PM_{2.5}$ increased by 0.04 ± 0.02 micrograms per cubic meter per year worldwide from 59 1998–2018 [9]. Remarkably, seasonal variations and meteorological conditions may either 60 decrease or increase PM_{2.5} concentration levels [10–13]. 61

According to the 2021 WHO Air Quality Guidelines, the 24-hour average targeted safe 62 level of PM_{2.5} is less than or equal to 15 μ g/m³, and the annual targeted safe level is less than 63 or equal to 5 μ g/m³. Kampala City has been ranked as one of the cities with the highest levels 64 of PM_{2.5} globally, exceeding WHO recommended air quality PM_{2.5} levels by 5 to 7 times as of 65 2021 [14]. However, there is limited data on the spatial and temporal distribution of $PM_{2.5}$ 66 across Kampala City. Understanding the spatial and temporal distributions of PM_{2.5} 67 concentrations is critical to act as a foundation for Kampala Capital City Authority and other 68 government agencies to implement strategic, evidence-based decisions to improve air quality 69 in the city. We assessed the spatio-temporal trends of PM_{2.5} in Kampala City from January 70 2020 to June 2022. 71

72 Methods

73 Study setting

This assessment was conducted in Kampala, the capital city of Uganda. It is divided into
5 administrative divisions: Central, Kawempe, Makindye, Rubaga, and Nakawa. The city has a

surface area of 189 km², including 176 km² of land and 13 km² of water [15]. The 2023

population was estimated at 1.76 million; however, the city has a dynamic and transient day

78 population estimated at 5 million people [16]. Kampala Capital City Authority (KCCA) has

79 been mandated to govern and administer Kampala Capital City on behalf of the Central

80 Government of Uganda.

81 Study design, data source, study variables, and data abstraction

In December 2019, KCCA installed twenty-four Clarity[©] Node Solar-Powered 82 monitors for outdoor air quality monitoring in all five divisions of Kampala City (Figure 1). 83 Clarity[©] Node Solar-Powered monitors were permanently set up at least 1.5 meters above 84 ground level in secure areas away from obstruction or emission sources that could interfere 85 with air quality measurements. Calibration of Clarity[©] Node Solar-Powered monitors was 86 based on co-location data with the reference air quality monitoring station at the US Embassy 87 in Kampala City. Clarity[©] Node Solar-Powered monitors use inbuilt cellular connectivity to 88 transmit raw data for $PM_{2.5}$, PM_{10} , nitrogen dioxide (NO₂), temperature, and relative 89 humidity. Calibrated data generated by these monitors are accessed in real-time on the 90 Clarity[©] Dashboard. We conducted a secondary analysis of air quality surveillance data 91 generated by Clarity[©] Node Solar-Powered monitors. We abstracted hourly PM_{2.5} 92 concentrations generated by calibrated Clarity© Node Solar-Powered monitors from the 93 Clarity© Dashboard from January 2020–June 2022. 94



95

96 Figure 1: Location of Clarity[©] Node Solar-Powered monitors in Kampala City, Uganda

97 Data analysis

For spatial distribution, the 24-hour average PM_{2.5} concentration from January 1, 2020,
to June 30, 2022 was computed at the city and division levels. Choropleth maps were
generated to show the distribution of 24-hour average PM_{2.5} concentrations across the
divisions of Kampala City stratified by biannual periods. We also used a line graph to show

- the trend of the 24-hour average $PM_{2.5}$ concentrations in Kampala City from January 1, 2020
- to June 30, 2022. The seasonal Mann-Kendall statistical test was applied to assess the
- significance of the observed trends by quarterly periods based on Kendall's tau correlation
- 105 coefficient (r) and p-values. We used a line graph to show the trend of the hourly average
- 106 $PM_{2.5}$ concentrations by hour of the day (midnight-11pm), to understand the variations of air
- 107 quality throughout the day.

108 Results

109 Spatial distribution of PM_{2.5} in Kampala City, January, 2020–June, 2022

Overall, the 24-hour average $PM_{2.5}$ concentration was 59 µg/m³ in Kampala City from January 2020 to June, 2022. There was a general decline in $PM_{2.5}$ across the 6-month periods during throughout the evaluation period. However, consistently higher $PM_{2.5}$ concentrations were observed in Kawempe and Central compared to other divisions (Figure 2).





Figure 2: Spatial distribution of 24-hour average PM_{2.5} concentration in Kampala City,
 January 2020-June 2022

116 Trends of PM_{2.5} in Kampala City, January, 2020–June, 2022

There were daily cyclical variations of $PM_{2.5}$ concentration alternating between 18 µg/m³ and 182 µg/m³ (Figure 3). Based on the correlation coefficient and p-value (r = -0.27, p<0.001), there was a slight decrease in 24-hour average $PM_{2.5}$ concentration from January 2020-June 2022.



129

Quarterly periods	Average PM _{2.5} concentration	PM _{2.5} concentration range	Seasonal Mann- Kendall statistic (S')	Kendall's tau correlation coefficient	p- value
Jan – Mar 2020	65	32-119	-68	-0.27	0.051
Apr – Jun 2020	55	32-98	142	0.56	0.006
Jul – Sep 2020	76	49-182	-48	-0.19	0.054
Oct – Dec 2020	68	43-116	80	0.32	0.032
Jan – Mar 2021	74	44-152	-48	-0.19	0.138
Apr – Jun 2021	45	22-83	66	0.26	0.030
Jul – Sep 2021	57	25-85	-108	-0.43	0.008
Oct – Dec 2021	49	27-73	-2	-0.01	0.967
Jan – Mar 2022	60	24-141	-104	-0.41	0.011
Apr – Jun 2022	37	19-66	94	0.37	0.030

Table 1: Trends of PM_{2.5} concentrations in Kampala City, January 2020–June 2022

130 Variations of $PM_{2.5}$ concentrations by hour of the day, January 2020–June 2022

Two $PM_{2.5}$ concentration peaks were observed throughout the day (Figure 4). The first peak was observed between 10am and midday (74–73 µg/m³), whereas the second peak was observed between 8pm and 9pm (73–77 µg/m³). These represent time periods occurring shortly after the peak traffic hours in the city.



135

136 Figure 4: Average PM_{2.5} concentrations by hour of the day, January 2020–June 2022

137 Discussion

The 24-hour average $PM_{2.5}$ concentration level in Kampala City exceeded the WHO targeted safe level at 15 µg/m³ across all hours of the day during 2020-2022. There were two peak periods of $PM_{2.5}$ pollution each day. A general decreasing trend in the 24-hour average $PM_{2.5}$ concentration across the two years of the evaluation indicated a slight improvement in air quality in Kampala City.

Previous studies in Uganda have also indicated elevated $PM_{2.5}$ levels exceeding WHOrecommended maxima. A longitudinal study from December 2018–May 2019 across selected urban centers in Southern, Eastern, and Central Uganda showed that the 24-hourly average $PM_{2.5}$ varied between 34 and 107 µg/m³ [17], and a pilot cross-sectional spatial assessment conducted in 2014 reported a 24-hourly average $PM_{2.5}$ concentration at 138.6 µg/m³ in

148 Kampala City [18]. Ground assessments revealed that poorer air quality was observed in149 Kampala than in neighboring countries' capitals of Nairobi and Addis Ababa [19].

However, such high levels of pollution observed in capital cities appears to be the norm, 150 rather than the exception. Estimates for 2019 suggested that only 0.18% of the global land 151 area and 0.001% of the global population were exposed to PM2.5 concentrations lower than 152 the 2021 WHO safe level on a regular basis, with more than 70% of days in the remaining 153 area and population having daily $PM_{2.5}$ concentrations higher than 15 µg/m³ [20]. In Europe 154 and the eastern United States, PM2.5 concentrations decreased from 1998–2016 despite urban 155 expansion and population growth, demonstrating the real potential to improve air quality in 156 other areas, including developing sub-Saharan African cities [21]. However, achieving these 157 results requires governments and city authorities to advocate for sustainable urbanization 158 practices that take health and environmental protection into consideration [21]. Promotion of 159 low-carbon utilization strategies such as using cleaner household energy alternatives, 160 conducting regular vehicle maintenance, and proper household, commercial, and industrial 161 solid waste management have been key in addressing air pollution in many countries along 162 their development pathway [22]. 163

We observed a declining trend in $PM_{2.5}$ over the study period. The reasons for this are 164 unknown, but may be attributed to a decline in traffic over this time period, partially 165 attributed to behavioral changes that occurred during the COVID-19 pandemic and 166 increased fuel prices which reduced vehicular traffic [23–25]. Other cities have seen a variety 167 of weather parameters contribute to declines in PM2.5 over time, including changes in rainfall 168 density, humidity, temperature, wind speed, and thermal inversion episodes [4, 7]. Further 169 analytical studies and continued monitoring are needed to explain the observed decline and 170 inform source apportionment assessments of air pollution in Kampala City. 171

Despite the observed decreasing trend over the time period, PM_{2.5} concentrations
 remained above 'safe' levels throughout the study period. Two divisions that were particularly

affected, Kawempe and Central divisions, have many informal settlements and food businesses 174 that use biomass fuel for cooking and small-scale industries. Kawempe and Central divisions 175 contain the largest informal settlements compared with Nakawa, Makindye, and Rubaga 176 divisions, as well as a mixture of commerce and industry, transport terminals, and poor 177 residential settlements [26–30]. It is possible that these may be contributing to the higher 178 levels of PM_{2.5} in these areas. In addition, Kampala is a hilly city with highly localized weather 179 and air patterns, and some areas may be particularly prone to poor air quality compared to 180 others [18]. In hilly areas, moderate wind speed increases the dispersion of pollutants, whereas 181 in low-lying areas with reduced wind speed, air pollutants become trapped thus increasing 182 levels of air pollution. A deeper understanding of how the topography affects air quality in the 183 city could help to explain some of the differences observed across the divisions. 184

The two PM_{2.5} concentration daily peaks occurred in the mid-late morning and later 185 evening in Kampala City. This is similar to findings from a previous study in Kampala City 186 [31] as well as findings from a study including 3,110 sites across the world [32] and one across 187 11 cities in Sub-Saharan Africa [33]. The morning and evening peak PM_{2.5} concentrations 188 observed in these studies have been attributed to both normal thermal inversion and 189 automobile traffic pollution; indeed, data show that traffic emissions are among the biggest 190 contributors to air pollution in urban settings [34]. A systematic review and meta-analysis 191 showed that one-quarter of urban ambient PM_{2.5} comes from vehicular traffic, 20% by 192 domestic biomass burning, 15% by industrial activities, 22% from unspecified sources of 193 human origin, and 18% from natural dust and salt [34]. In Kampala, a significant 194 improvement in air quality was also observed during the COVID-19 lockdown periods in 195 Uganda, during which comprehensive restrictions were imposed on vehicular traffic, 196 compared to the same months in the adjacent non-lockdown years [35]. The second peak in 197 the evening is also impacted by the decrease in the planetary boundary layer, which increases 198 the dispersion of $PM_{2.5}$ concentrations to the ground level [19]. 199

200 Study limitations

Three out of twenty-four air quality monitors were non-functional for 4 months (March—June, 2022), resulting in missing PM_{2.5} concentration data during the affected period. This could have led to underestimation or overestimation of the air quality levels during the affected months and overall assessment period. Furthermore, we did not assess the impact of climatological conditions, for example wind speed, rainfall, temperatures, and precipitation, on PM_{2.5} concentration in Kampala City because we did not have all the required data to explore this relationship.

208 Conclusion

We found unhealthy air quality evidenced by PM_{2.5} concentration exceeding the WHO 209 targeted safe level throughout the day, even during times of less traffic and economic activities 210 in Kampala City. There was a modest improvement in PM_{2.5} air quality from January 2020 to 211 June 2022. Specific divisions consistently faced higher levels of PM_{2.5} than others. Deeper 212 study of the reasons for the decline and the presence of PM_{2.5} hotspots could facilitate the 213 prioritization of interventions to improve quality in Kampala City. Based on the evidence in 214 this paper, the Kampala Capital City Clean Air Action Plan was developed with interventions 215 including promoting individual responsibility for air quality and assigning roles to different 216 stakeholders towards improving air quality in the city. Initiatives to improve air quality cannot 217 be confined to only Kampala Capital City Authority; there is a need for multi-sectoral 218 collaboration to achieve the mandate of the Kampala Capital City Clean Air Action Plan. 219

220 List of abbreviations

EPA: Environmental Protection Agency; KCCA: Kampala Capital City Authority; PM:

222 Particulate Matter; PM_{2.5:} Fine Particulate Matter; r: Kendall's tau correlation coefficient; S':

223 Seasonal Mann-Kendall statistic; WHO: World Health Organization

224 Declarations

225 Ethical approval

- 226 Kampala Capital City Authority requested for descriptive analysis of PM_{2.5} concentrations
- 227 generated by Clarity[©] Node Solar–Powered monitors. The Office of the Associate Director
- for Science, Centres of Disease Control and Prevention/Uganda, also determined that this
- activity was not human subject research, and its primary intent was public health practice or a
- 230 disease control activity (specifically, epidemic or endemic disease control activity).
- Administrative clearance to extract PM_{2.5} concentration data from the Clarity© Dashboard
- was obtained from KCCA. All methods were performed in accordance with the approval and
- administrative clearance. This activity was reviewed by CDC and was conducted consistent
- ²³⁴ with applicable federal law and CDC policy.[§]
- [§]See e.g., 45 C.F.R. part 46, 21 C.F.R. part 56; 42 U.S.C. §241(d); 5 U.S.C. §552a; 44 U.S.C.
 §3501 et seq.

237 Availability of data and materials

- 238 The datasets upon which our findings are based belong to KCCA. For confidentiality reasons,
- the datasets are not publicly available. However, the datasets can be availed upon reasonablerequest from the corresponding author and with permission from the KCCA.

241 Conflict of interest

- 242 The authors declare no conflict of interest.
- 243 Consent for publication
- 244 Not applicable

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257 Authors' contributions

MN and AN analyzed the spatio-temporal trends of PM_{2.5} concentrations under technical
guidance and supervision of SZ, RM, DK, LB, ARA, JH and DOA. MN, AN, SZ and DOA
analyzed and interpreted the data. MN drafted the manuscript. MN, AN, RM, CB, LB, JH
and DOA, critically reviewed the manuscript for intellectual content. All co-authors read and
approved the final manuscript. MN is the guarantor of the paper.

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