A high-resolution, 3D groundwater-surface water simulation of the contiguous US: Advances in the integrated ParFlow CONUS 2.0 modeling platform

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24 Abstract

Large-scale, high-resolution hydrologic modeling is an important tool to address 25 questions of water quantity, availability, and recharge. Continental-to-Global scale 26 models, particularly those that include groundwater, are growing in number. However, 27 28 many of these approaches simplify aspects of the system and the connections between e.g., surface water and groundwater. The ParFlow CONUS modeling platform is a large-29 scale, hyper-resolution, hydrologic model that relies on the integrated solution of 3D 30 31 partial differential equations that describe groundwater, soil, and surface water flow. The prior version, ParFlow CONUS 1.0, was the first large-scale hydrologic model that 32 included an explicit treatment of lateral groundwater flow for the contiguous US. Here, we 33 present the ParFlow CONUS 2.0 integrated hydrologic model. This model extends to the 34 coastlines and contributing basins for the contiguous United States (i.e., CONUS) and is 35 consistent with the NOAA National Water Model. Here we document the roughly five 36 years of technical development of this platform, present steady-state simulation results, 37 rigorously compare these results to the prior ParFlow CONUS 1.0 simulations, and 38 39 evaluate the model performance based on observations. Simulated water table depth and streamflow were evaluated using more than 635K observations from USGS monitoring 40 wells and streamflow gauges. Our results demonstrate improvement in both groundwater 41 42 and surface water simulations over the prior generation model for all USGS Hydrologic Unit Code (HUC) basins. These results also suggest that this current generation 43 hydrologic model has good to excellent streamflow performance over the entire CONUS, 44 with almost half of the HUC subbasins exhibiting excellent performance based on 45 46 normalized root-square error (RSR). These results suggest that the current generation

- 47 model approaches good performance for water table depth over the CONUS, a metric not
- 48 usually compared directly at all in large-scale studies, with good-to-excellent performance
- 49 exhibited over some HUC regions.

51 1. Introduction

Large-scale integrated hydrologic modeling has gained increasing importance due to 52 groundwater's crucial role in the terrestrial water and energy cycles and global 53 54 socioeconomic sustainability (Fan, 2015; Scanlon et al., 2023). The Earth system is 55 currently facing unprecedented climate change and anthropogenic activities. Without the proper representation of groundwater in Earth System Models, future predictions can be 56 57 systematically biased (Clark et al., 2015; Fan et al., 2019). In the past decade, several large-scale (i.e., continental to global scale) groundwater models have been developed 58 to further our understanding of groundwater's role in the Earth system (de Graaf et al... 59 60 2015; de Graaf et al., 2017; Fan et al., 2013; Maxwell et al., 2015; Müller Schmied et al., 2021; Naz et al., 2023; Reinecke et al., 2019; Verkaik et al., 2022). While groundwater 61 modeling is well established, continental-scale high-resolution simulations are a much 62 more recent development, and there remain many challenges in parameterization, 63 computation, and evaluation. 64

65 In recent years, progress has been made through advances in relevant science and 66 technology, improved data products and data-sharing, and enhanced community-level communication and collaboration. Isotopic studies of groundwater cycling depth have 67 provided a theoretical basis for configuring model depth, an issue that was previously 68 69 challenging for conceptualization (Condon et al., 2020b; Ferguson et al., 2023; Gleeson et al., 2016; McIntosh and Ferguson, 2021). GPU (Graphics Processing Unit) acceleration 70 is increasingly being used in Earth System Models, including groundwater models 71 72 (Hokkanen et al., 2021; Yang et al., 2022; Yang et al., 2021), removing many barriers to massively parallel computing required for large-scale, hyper-resolution hydrologic 73

modeling (Kollet et al., 2010). Global subsurface datasets, such as GLHYMPS 2.0, have
been created, which distinguish more unconsolidated sediments and provide
permeabilities for shallow and deep layers (Gleeson et al., 2014; Gleeson et al., 2011;
Huscroft et al., 2018), thus promoting more reasonable subsurface configuration.
Additionally, two reviews discuss the challenges and opportunities in large-scale
groundwater modeling (Condon et al., 2021; Gleeson et al., 2021), which have helped
shape community modeling approaches.

The ParFlow (PARallel Flow) CONUS modeling platform is an integrated, continental-81 scale hydrologic model of the contiguous United States. It simulates three-dimensional 82 variably saturated groundwater movement and seamlessly integrates surface water. Its 83 84 hyper resolution of one kilometer is superior to most large-scale hydrologic models, e.g., 85 6 arcmin in de Graaf et al. (2015). Its first version, the ParFlow CONUS 1.0 model 86 (shortened to CONUS1 hereafter), covers the majority of the continental US (~ 6.3 M km²) 87 (Maxwell et al., 2015). The performance of CONUS1 has been evaluated through in-situ measurements and remote sensing products, as well as intercomparisons with other 88 89 national models (O'Neill et al., 2021; Tijerina et al., 2021; Tran et al., 2022). The CONUS1 model was the basis for follow-on studies that explored multi-scale interactions between 90 91 groundwater and surface water and other related water and energy components (Condon 92 et al., 2020a; Condon and Maxwell, 2019b; Maxwell and Condon, 2016; Maxwell et al., 2016). 93

Like the evolution of any modeling platform, the *CONUS1* model also has many limitations (O'Neill et al. 2021). Here we present the development of the next-generation ParFlow CONUS 2.0 model (hereafter *CONUS2*). Unlike the *CONUS1* model, which has

a rectangular box domain, the CONUS2 model covers the entire contiguous US as well 97 as those areas in Mexico and Canada that drain into the US. In addition to expanding the 98 99 model domain, we improved upon almost every model input. Some key advances are (1) improved surface water drainage, including spatially variable surface roughness, and (2) 100 101 enhanced hydrostratigraphy, including expanded vertical layering and thicker subsurface 102 representation (Tijerina-Kreuzer et al., 2023). Here we document the major features of the CONUS2 model and discuss the long-term steady-state spinup process. We then 103 compare the model performance with that of CONUS1 using a large number of stream 104 gauges and groundwater wells. 105

106 **2. Model development and methods**

In this section, we introduce the construction of the *CONUS2* modeling platform, including the following four parts: (1) the detailed configuration of *CONUS2* model and the major differences from *CONUS1* model, (2) a brief introduction of ParFlow simulation platform used to solve the *CONUS2* model, (3) simulations based on *CONUS2* model to achieve a steady-state representation of the integrated groundwater-surface water system, and (4) model evaluation by comparing simulated water table depth and streamflow with multi-source observation datasets.

114 **2.1 CONUS2 configuration and differences from CONUS1**

The *CONUS2* model extends beyond the 6.3M km² original *CONUS1* domain both laterally and vertically. In Figure 1f, the *CONUS1* domain is shown as the dashed line box, while *CONUS2* is shown as the solid line box. The *CONUS2* domain covers the entire contiguous US and portions of Canada and Mexico that drain to the contiguous US. The

total extent of the *CONUS2* model is 4,442 km by 3,256 km in *x* (east-west) and *y* (southnorth) directions, respectively, with a horizontal resolution of 1 km. The *CONUS2* domain
is comprised of active and inactive grid cells and has a total active area of 7.85 M km²,
shown as the colored areas in Figure 1 maps. The model depth is 392 m and consists of
10 layers with variable thicknesses of 200, 100, 50, 25, 10, 5, 1, 0.6, 0.3, and 0.1 m from
bottom to top. A terrain-following grid (Maxwell, 2013) is adopted, generating a total of
78.5 M computing cells (7.85 M active lateral cells × 10 subsurface layers).

126 More than just extending the model domain, nearly all the major inputs to CONUS2 have had significant development since CONUS1. CONUS1 elevations were based on 127 the SHuttle Elevation Derivatives at multiple Scales (HydroSHEDS) digital elevation 128 129 model (DEM), whereas CONUS2 elevations used the DEM of the NOAA National Water 130 Model as a starting point. While CONUS1 used GRASS GIS packages for topographic 131 processing, CONUS2 used the PriorityFlow package (Condon and Maxwell, 2019a), 132 which was built specifically for large-scale hydrologic models. Using this tool, we 133 developed and tested a new hydrologically consistent national topography dataset at 1 134 km resolution (Figure 1d). This process and the final dataset are described by Zhang et al. (2021). 135

We developed a spatially variable surface roughness (i.e., Manning's *n* coefficient) dataset for *CONUS2* based on land cover types, whereas the roughness of *CONUS1* varies only in stream channels as a function of the slope (Maxwell et al., 2015). *CONUS2* values of the surface roughness for streams and most land cover types were adapted from that of the National Water Model (Gochis et al., 2015), and values for land cover types not included in the National Water Model were collected from a prior roughness

sensitivity study using ParFlow (Foster and Maxwell, 2019). Regarding the land cover
types, the 2015 North American Land Cover 30-meter dataset (CCRS/CCMEO/NRCan
et al., 2020) were mapped to the *CONUS2* grid and transformed from the FAO/UN
classification system (Food and Agriculture Organization of the United Nations) to the
IGBP (the International Geosphere-Biosphere Programme) classification system.

We adopted a similar approach to CONUS1 for soil representation in CONUS2. Soil 147 148 properties are applied to the top two meters (top four model layers) of the domain. Consistent with CONUS1, we used soil texture information from the soil survey 149 geographic database (SSURGO) inside the US. For the newly expanded area outside the 150 US, we used the gridded Global Soil Dataset for use in Earth System Models (GSDE) 151 152 (Dai et al., 2019a; Dai et al., 2019b; Shangguan et al., 2014). The top 1 m (top three 153 layers) and the bottom 1 m have different soil textures, shown in Figures 1a-b. Soil 154 properties (e.g., saturated hydraulic conductivity K_{sat} , and the parameters of the van 155 Genuchten model) for each soil texture are consistent with the CONUS1 parameterization 156 (Maxwell et al., 2015; Schaap and Leij, 1998), which are listed in the supporting 157 information (Table S1).

Significant work was completed to improve the representation of hydrostratigraphy (i.e., the geologic properties below the soil) in *CONUS2*. *CONUS1* has a single, vertically homogeneous 100 m layer below the four soil layers. In *CONUS2*, we have six layers that extend 390 m below the four soil layers (Tijerina-Kreuzer et al., 2023). To parameterize the subsurface, we first constructed the hydrogeologic structure using two datasets: (1) GLHYMPS 1.0 dataset (Gleeson et al., 2014) to map the different hydrogeologic units (Figure 1c) and (2) dataset from Shangguan et al. (2017) to map the bedrock depth

(Figure 1e). This definition of bedrock has multiple hydrostratigraphic definitions, e.g., a confining layer, a depth to fractured bedrock, or an interface between surficial and bedrock aquifers, and is not coincident with the model bottom or a true "no flow" bedrock depth. Thus, we treat this bedrock depth as a flow barrier reducing the vertical flux across the target layer-interface by a factor of 0.001. This allows us to distinguish the upper unconfined and lower confined aquifers (Tijerina-Kreuzer et al., 2023).

171 For each hydrogeologic unit mapped in Figure 1c, we require a set of parameters such 172 as hydraulic conductivity, porosity, and van Genuchten parameters. We started from the 173 parameter values derived for CONUS1 (Maxwell et al., 2015), but we conducted extensive tests to explore parameter adjustments as described in the next paragraph and 174 175 documented in detail in Tijerina-Kreuzer et al. (2023) and Swilley et al. (2023). We applied 176 anisotropy to all geologic units excluding the coarse-grained unconsolidated sediments and the Karst systems, allowing us to capture preferential flow caused by stratification. 177 178 We reduced the vertical hydraulic conductivity of these selected geologic units using a 179 tensor value of 0.1 in the vertical direction. We also applied e-folding adjustments on the indicators of geologic type at different layers by a factor varying with depth to decrease 180 hydraulic conductivity with depth and slope. 181

Our model is too large to calibrate directly at the national scale, but we completed rigorous subsurface tests of hundreds of parameterizations, as documented by Tijerina-Kreuzer et al. (2023) and Swilley et al. (2023). Complete details of the subsurface development are provided in those two studies and are briefly summarized here. Tests were primarily conducted over two large-scale domains: the Upper Colorado River Basin of 280,000 km² and the Delaware-Susguehanna Basin of 103,000 km², representing

distinct geologic (volcanic and sedimentary), topographic (rolling and flat) and climatic 188 (dry-snow and wet-rain) characteristics. A broad range of model configurations were 189 190 tested, including (1) different distributions of geologic unit or hydraulic conductivity value, (2) the existence or absence of flow barriers, (3) vertical anisotropy or isotropy of certain 191 geologic units, (4) single *e*-folding of the total 390 m or multiple *e*-folding at different layers, 192 (5) constant or variable depths of flow barriers, and (6) model depth of 392 or 1192 m. 193 194 Potential recharge (Figure 2) was applied as a forcing across the top layer of CONUS2 195 to achieve a steady-state model configuration. Potential recharge was assembled as the

multi-year (1950–2000) averaged daily precipitation minus multi-year averaged daily
evapotranspiration (P-ET). Precipitation and ET datasets were developed by Livneh et al.
(2015) with a roughly 6 km (1/16°) resolution, whereas that used in *CONUS1* has ~ 12
km (1/8°) resolution (Maurer et al., 2002). P-ET of *CONUS2* is slightly smaller than *CONUS1* in the eastern *CONUS1* domain, especially in the lower right corner of *CONUS1*(Figure 2). We obtained a P-ET ratio of *CONUS1* to *CONUS2* over the *CONUS1* domain
as 1.22.

203 **2.2 ParFlow simulation platform**

Our simulations were conducted using the integrated groundwater and surface water model ParFlow v3 (Ashby and Falgout, 1996; Jones and Woodward, 2000; Kollet and Maxwell, 2006). ParFlow is an open-source model that is available on GitHub (ParFlow developers, 2022) and includes Python tools for pre/post-processing and GPU accelerator (Hokkanen et al., 2021). ParFlow solves for three-dimensional variably saturated subsurface flow using Richards' equation (Richards, 1931) and fully integrated overland flow using the kinematic wave approximated shallow water equation (Chow et

al., 1988). The governing equations are omitted here since they have been described in
detail in previous studies, e.g., (Kollet and Maxwell, 2006; Maxwell, 2013; Maxwell and
Condon, 2016; Maxwell et al., 2015).

214 ParFlow employs the Newton-Krylov approach to solve the nonlinear system discretized using an implicit backward Euler differencing scheme. These solution steps 215 achieve mass-conservation between the surface and subsurface systems of equations 216 217 and give rise to the so-called integrated nature of the solution, as described in Maxwell et al. (2014). In each time step, the inexact Newton linearization is first applied, and then the 218 resulting Jacobian system is solved by an iterative Krylov method in each Newton iteration 219 (Jones and Woodward, 2001; Kollet and Maxwell, 2006; Maxwell, 2013). An effective 220 221 multigrid preconditioner preconditioning the Jacobian system is performed to speed the convergence of the Krylov solver (Osei-Kuffuor et al., 2014). We used a linear tolerance 222 of 10⁻¹⁰ and a nonlinear tolerance of 10⁻⁵ in this study to ensure convergence. ParFlow 223 224 has been parallelized on the distributed platform with parallel efficiency to more than 1.6 225 $\times 10^4$ CPU cores on the single CPU platform and 1024 GPUs on the hybrid CPU-GPU platform (Hokkanen et al., 2021; Kollet et al., 2010). 226

227 **2.3 Model simulations**

We ran the CONUS2 model using the constant recharge forcing as source terms in the top layer until the model achieved a quasi-steady state. We started from a constant water table depth of 20 m in the entire domain. No-flow boundary conditions were applied to all facies of the model except the top face, which is spinup phase dependent. Our simulations were completed in two spinup phases. In the first spinup phase, a seepage

face boundary condition (that removed any ponded surface water) was imposed at the 233 top face until the total storage change was less than 6% of the potential recharge. 234 235 Application of the seepage face boundary condition allows the subsurface system to 236 equilibrate independently of streamflow. In the second spinup phase, the top boundary condition was changed to free-surface overland flow, which implements the surface water 237 equations over any cells at the ground surface with ponded water (Kollet and Maxwell, 238 239 2006). This step is more computationally intensive but is important as it allows for the river network to form and for the groundwater and surface water systems to achieve 240 equilibrium together. The second spinup phase was finished when the total storage 241 change was less than 1% of the potential recharge. Overall, the two spinup phases 242 243 required more than 120,000 years of simulation to achieve the final steady-state solution 244 presented in Section 3. The first spinup phase was run on GPUs, and the second was run on CPUs. Phase one was performed on four NVIDIA A100 80-GB GPUs on the Della-245 246 GPU cluster at Princeton University. Phase two was performed on the Cheyenne supercomputer at National Center for Atmospheric Research (NCAR) using 4,096 2.3-247 248 GHz Intel Xeon E5-2697V4 cores (Computational and Information Systems Laboratory, 249 2019).

250 **2.4 Model evaluation**

Model performance is evaluated with respect to long-term average water table depth (WTD) and streamflow. We compared simulation results with four different datasets, two for WTD and two for streamflow (Figure 3).

• **USGS Water Table Depth (Figure 3a):** We collected daily WTD observations using the USGS Daily Values Service (https://waterservices.usgs.gov/), which were

256 automatically recorded. We also collected historical, manually recorded USGS WTDs. We limited our analysis to data between 1950 and 2000 to maintain 257 258 consistency with the time interval of potential recharge. We removed missing values 259 (i.e., NAN) and values larger than 300 m or smaller than 0 m. Then we excluded 260 wells with fewer than ten observations to roughly ensure the long-term variations. 261 After filtering, we calculated long-term average WTDs from 83,471 wells located in 262 CONUS2. For the comparison between CONUS1 and CONUS2, wells within the CONUS1 domain with average WTDs deeper than 100 m were excluded, which 263 resulted in 50,923 wells for comparison. The filtering of WTDs larger than 100 m or 264 300 m was conducted due to the limited depths of CONUS models. 265

Fan et al. Water Table Depth (Figure 3c): Fan et al. (2007) assembled a dataset 266 267 including the average WTDs of USGS daily observations during 1927–2005. They filtered out the wells opened deeper than 100 m from the land surface or opened in 268 269 a confined or mixed aquifer. They also filtered wells flagged with pumping, injection, 270 obstructed, damaged, plugged, discontinued, dried, or flowing. We excluded Fan's wells with average WTDs larger than 300 m or smaller than 0 m and obtained 271 538,453 wells located within the CONUS2 domain. We further excluded the wells of 272 273 average WTDs larger than 100 m within the CONUS1 domain and obtained 335,733 wells for comparison between CONUS1 and CONUS2. 274

USGS streamflow (Figure 3b): Similar to well observations, we directly collected daily observations during 1950–2000 from streamflow gauges using the USGS Daily
 Values Service. We removed the gauges following the steps in Maxwell et al. (2015):
 (1) gauges without drainage area reported, (2) gauges with drainage areas larger

than 120% and smaller than 80% of the *CONUS2* drainage areas, and (3) gauges
not mapped to or next to a ParFlow river cell. We also filtered missing values and
then any gauges with less than ten observations. After filtering, we obtained average
streamflow from 4,972 gauges located in *CONUS2* and 2,984 gauges located in *CONUS1*. The latter was used for the comparison between *CONUS1* and *CONUS2*.

National Hydrography Streamflow (Figure 3d): More than 23,000 USGS stream gauges of daily observations during 1854–2004 have been mapped to the National Hydrography Dataset (NHD) by Stewart et al. (2006). After applying the same three-step filtering method described above (Maxwell et al., 2015), 8,120 and 5,150 gauges remained within the *CONUS2* and *CONUS1* domains, respectively. Each gauge record includes the mean and percentiles of the USGS daily streamflow for the period of record. We used the mean streamflow for the following analysis.

291 Of the four datasets listed here, the USGS WTD and streamflow datasets are raw 292 observations without processing, so using them for model evaluation is the most direct approach. However, we also included Fan WTD and NHD streamflow to connect the 293 294 evaluation of the CONUS1 model completed by Maxwell et al. (2015). This allows us to directly evaluate model performance gains. Moreover, the use of USGS datasets allows 295 us to customize the time interval and the number of observations for the calculations of 296 297 mean values, which keeps the consistency with the long-term average state of the simulation results. As mentioned by Fan et al. (2007), 81% of the wells in their datasets 298 have only one observation during 1927-2005, which is hard to ensure the 299 representativeness of the long-term average state. Though our threshold of >10 300 observations may not be evenly distributed in 1950–2000, we tried our best to reduce the 301

302 randomness represented by observations of a limited number while including as many 303 wells/gauges as possible. All wells and gauges were mapped to the *CONUS2* domain for 304 the following analysis. In cases where more than one well or gauge mapped to the same 305 grid cell, we used their summed streamflow and averaged WTD values, respectively.

For every observation dataset, we calculated the RSR value for log-transformed WTD and streamflow. RSR is the ratio of root mean squared error (RMSE) to the standard deviation of the observations. We used log-transformed values to treat the variations at different scales equally. An RSR value of 1 suggests that the mean error equals the standard deviation of observations and good performance, while RSR values less than 0.5 suggest excellent simulation results (O'Neill et al., 2021).

312 **3. Results**

313 Figure 4 shows the WTD and streamflow simulated by the CONUS2 model. Overall, 314 we see shallow WTDs and denser stream networks in the eastern US. Clear basin and 315 range systems rise in the western US. Streamflow networks form across multiple scales. 316 Multi-scale variations of WTD are seen as well since even where we have regional deep 317 WTDs, we see local ponding and shallow groundwater along streams. Figure 5 shows 318 the difference in simulated WTD and streamflow between CONUS1 and CONUS2 at USGS wells and gauges, respectively, providing a general overview of the model 319 differences. WTDs of CONUS2 widely increase, yet we see decreased or less changed 320 321 WTDs along streams, as exampled by the blue rectangle in Figure 5a. Broadly speaking, the range of WTD for CONUS2 is much deeper (up to approximately 300 m) than in 322 CONUS1 (up to approximately 50 m). Streamflow generally decreases in the eastern US 323

and increases in the western US, nested with a mixed pattern of both increase anddecrease of the streamflow locally.

In the following sections, we conduct detailed evaluations of CONUS1 and CONUS2 326 327 compared to each other and to observations. We first evaluate the CONUS2 performance relative to CONUS1 by comparing the simulation results of both models to the four 328 observation datasets listed in Section 2.4. To make these comparisons fair, unless 329 otherwise noted, we perform them over CONUS1 (i.e., the domain indicated by the 330 dashed line box in Figure 1), as that is the area common to both simulations. Then we 331 show the overall performance of the entire CONUS2 domain, which extends to the coastal 332 lines (Figure 1) and thus includes areas not discussed in CONUS1 and CONUS2 333 334 comparisons.

335 **3.1 CONUS1 and CONUS2 comparisons**

336 3.1.1 Hydraulic head

337 Hydraulic head is frequently used to evaluate large-scale groundwater models e.g., 338 (Fan et al., 2013; Maxwell et al., 2015; Reinecke et al., 2020). However, hydraulic head comparisons may overestimate model performance because the high variability of land 339 340 surface elevations may mask the true performance (Reinecke et al., 2020). As such, we present hydraulic head comparisons briefly here and focus most of our analysis on WTD 341 comparisons in Section 3.1.2. The observed head here was calculated by subtracting the 342 343 observed WTD from the processed land surface elevation. CONUS1 and CONUS2 used different DEMs and different topography processing approaches, resulting in different 344 land surface elevations (see Section 2.1). Therefore, the observed heads of the two 345 models are different even for the same observation dataset of WTD. In general, the heads 346

of both CONUS models show high consistency with USGS and Fan datasets (Figure 6).
Histograms using USGS and Fan datasets have different shapes because the Fan
dataset has more samples of hydraulic heads around 300 m.

350 **3.1.2 Water table depth**

WTD is more important than hydraulic head for understanding interactions between groundwater and land-surface processes (Kollet and Maxwell, 2008; Maxwell and Condon, 2016) and the availability and accessibility of groundwater. Also, WTD comparisons are a more rigorous way of evaluating groundwater models because the land surface elevation is factored out, and only the residual of the hydraulic head is evaluated.

Figures 7a and b show WTD histograms of CONUS models with USGS and Fan 357 observations, respectively. We see that CONUS2 does a better job simulating deeper 358 359 water tables than CONUS1. However, both models still overestimate the area with very 360 shallow water tables. Few previous studies of large-scale groundwater modeling conducted a direct WTD comparison to observations due to many reasons, including 361 362 model resolution and parameter uncertainty. Reinecke et al. (2020) conducted a comparison of the WTD performance for four global groundwater models with spatial 363 resolution varying from 30" (~900 m at the equator) to 6' (~11 km at the equator). However, 364 the points in his simulated vs. observed scatterplot did not form a positive correlation 365 along the 1:1 line for all models. Here, while not a direct connection with the comparison 366 367 in Reinecke et al. (2020), many of the evaluated points in the scatterplots (Figures 7c-f) fall along the 1:1 line, suggesting an improved WTD performance of CONUS models 368 compared to prior modeling platforms. These improvements in performance may be due 369

to the subsurface parameters used, the 3D nature of the simulation, the explicit treatment
 of the unsaturated zone, and the integrated surface water flow; all present in the *CONUS2* modeling platform.

373 In Figures 7c-f, points for evaluation identify two distinguishable subdomains of the entire comparison area. In the first subdomain (D1), points fall along the 1:1 line, 374 indicating that CONUS models capture the real-world WTDs adequately, while in the 375 second subdomain (D2), simulated WTDs cannot present the wide spectrum of 376 377 observations. D1 and D2 points can be roughly separated by a horizontal line determined visually (red lines in Figure 7). The red lines indicate a WTD of 0.4 m for CONUS1 and 378 0.1 m for CONUS2. We found that > 95% of D2 points in CONUS models by using either 379 380 USGS or Fan observations are located on river cells in ParFlow. Figure 8 plots the WTD 381 residuals (simulation values minus observation values) of CONUS models relative to 382 USGS observations. Locations of D1 and D2 points are demonstrated in a small area 383 indicated by the blue rectangle. Clearly, D2 points are distributed along streams for both 384 models, especially in the regional groundwater convergence area, such as the eastern 385 part of the exampled area. As a result, the poor model performance in the D2 subdomain should be attributed to the model resolution in these regions. 386

After removing D2 points, updated histograms in Figure 9 show improved WTD performance relative to that in Figures 7a–b, as the frequency of shallow water tables of CONUS models is largely reduced. Comparing Figure 10a with 10b or 10c with 10d, we see the obvious increase of WTD in *CONUS2* as the green area below the 1:1 line is reduced, showing a better fit to the 1:1 line. The spatial distribution of WTD increase is demonstrated in Figure 8 by the increased red areas of positive residuals. The example

393 area in Figure 8 confirms that the WTD increase occurs in the D1 subdomain and illustrates that the WTD increase is more obvious on ridges than in valleys. However, we 394 395 see the remaining green area below the 1:1 line in Figures 10b and d, which is also 396 distributed in riparian areas as shown by D1 windows in Figure 8. This indicates the 397 effects of model resolution in the D1 subdomain. Generally speaking, this suggests that 398 the D1 subdomain represents areas where WTD is controlled by topography, recharge, 399 and subsurface hydrostratigraphy. For a given model resolution, only these points might demonstrate improvement with traditional model calibration. The D2 subdomain, on the 400 other hand, represents WTD values close to the streams and should only improve with 401 increased resolution. However, given that the CONUS2 model represents the D1 402 403 subdomain down to a very shallow WTD of 0.1 m, practically speaking, this should be 404 sufficient to address many of the processes moderated by connections between shallow groundwater and surface processes e.g., (Fan et al., 2017; Keune et al., 2016; Kollet and 405 406 Maxwell, 2008; Maxwell et al., 2007).

407 Quantitatively, the RSR value of log-transformed WTD for D1 points in the entire 408 comparison area decreases from 1.58 to 1.36 by using the USGS dataset and from 1.68 409 to 1.33 by using the Fan dataset (see Table S2 in Supporting Information). In each HUC2 410 basin, D1 and D2 subdomains differentiating good and bad WTD performances are also observed (not shown here). RSR values for D1 points in HUC2 basins are plotted in 411 Figures 10e-f and listed in Table S2. The decreased and less changed RSR values 412 413 indicate the improved and comparable WTD performances of CONUS2 relative to CONUS1 in the eastern and western US, respectively. Generally, we see similar 414 performances by using the USGS and Fan datasets. 415

416 **3.1.3 Streamflow**

Comparing the streamflow histograms of *CONUS2* with *CONUS1* (Figures 11a–b), the frequency of small values decreases while that of peak values increases, showing better consistency with observations. This improvement is also presented by scatterplots in Figures 11c–f. In *CONUS2*, the number of points falling below the 1:1 line is reduced, while a redder area is shown along the 1:1 line (Figures 11d and f), indicating the increased number of peak value points.

423 O'Neill et al. (2021) showed that discrepancies between simulated and observed 424 streamflow in CONUS1 are primarily affected by the differences between the drainage areas in CONUS1 and the 'true' drainage areas determined by geospatial stream 425 426 properties, showing a linearly proportional correlation between the two differences. In 427 CONUS2, the drainage areas generated by our new topography processing were 428 validated using the USGS drainage areas (Zhang et al., 2021), which should explain most of the improved streamflow performance. Other work in the new topography processing, 429 430 such as the smoothing of river channels and the runoff simulations ensuring the 431 connection of stream networks, may also contribute to the improved streamflow performance. 432

Quantitatively, a decrease in the RSR value of log-transformed streamflow from *CONUS1* to *CONUS2* in the entire comparison area is obtained by using either the USGS
dataset (1.25 to 0.89) or the NHD dataset (1.11 to 0.77) (Table S2). We also observed
the less scattered streamflow and the increased peak values from *CONUS1* to *CONUS2*in each HUC2 basin (not shown here). RSR values for HUC2 basins are plotted in Figures
11g-h and listed in Table S2. The decrease in the RSR value from *CONUS1* to *CONUS2*

is seen for almost all HUC2 basins except for Texas Gulf, Rio Grande, and California due
to the limited number of stream gauges. RSR values of streamflow of *CONUS2* are close
to 0.5 in the first eight HUC2 basins, suggesting excellent streamflow performance of *CONUS2* in the eastern US. We didn't see obvious differences by using USGS and NHD
datasets.

444 **3.2 Performance of the entire CONUS2 domain**

WTD and streamflow performances of the entire CONUS2 model extending to the 445 coastal lines are shown in Figure 12. We see the two subdomains of WTD performance 446 described in Section 3.1.2. 39,813 and 43,685 points are in D1 and D2, respectively, 447 448 when using the USGS dataset (Figure 12a), while 244,688 and 293,765 points are in D1 and D2, respectively, when using the Fan dataset (Figure 12c). The extended areas of 449 CONUS2 relative to CONUS1 (Figure 1) are mainly coastal areas with flat topography 450 451 and shallow WTD. As mentioned in Section 3.1.2, riparian areas with shallow WTDs and strong heterogeneities are more sensitive to model resolutions. Hence the D2 points of 452 the entire CONUS2 model are largely explained by the increased coastal areas. For 453 454 streamflow, the majority of data points fall closely along the 1:1 line, and only a small 455 fraction of the points fall away from the 1:1 line. RSR values for WTD (D1 points) and 456 streamflow of the entire CONUS2 are 1.39 and 0.84 by using the USGS dataset and 1.33 and 0.74 by using the Fan and NHD datasets, which is comparable to the performances 457 458 in the comparison area discussed in Section 3.1.

459 **4. Discussion**

460 As shown in Section 3, we see poor WTD performance due to model resolution in the D2 subdomain, which represents the groundwater convergence areas such as the 461 462 riparian and coastal areas. In these areas, our model cannot capture the subgrid variations of topography gradient in 1 km grid cells, so most wells at local highlands near 463 streams may be aggregated into river cells in ParFlow. Also, riparian areas with slight 464 topography gradients are more sensitive to elevation aggregation, resulting in higher 465 466 biases in simulation results which will mask the original small WTD values. In addition, riparian areas have strong subsurface heterogeneity, enhancing the sensitivity of 467 performance to model resolution. However, wells are more located in riparian areas with 468 shallow water tables, so the model performance is 'reduced' by the skewness of well 469 470 locations towards riparian areas.

In the D1 subdomain, the increase of WTD in CONUS2 mainly happens on ridges as 471 472 opposed to valleys indicating the dominant factor here should be the improved subsurface 473 configuration. It is also important to note that this is a pre-development simulation. For 474 the remaining green area of smaller simulated WTDs in D1, pumping instead of pure monitoring in most of the wells, as mentioned by Maxwell et al. (2015), may also be an 475 476 important factor in addition to model resolution. We see that the threshold of the D2 477 subdomain in CONUS2 is compressed to < 0.1 m by the new topography processing. 478 This is also a significant model improvement since the uncertainty of identifying poor model performance is reduced. Yet how to avoid too many D1 points swapped to the D2 479 480 subdomain with this compression, as happened in CONUS2, should be a focus in future work to improve the model performance further. 481

482 Obviously, the improved streamflow performance in CONUS2 is largely attributed to the new topography processing. The remaining scattered streamflow of small values 483 484 should be due to the significant uncertainties of small drainage areas, which again is attributed to the model resolution (Zhang et al., 2021). In addition, elevation aggregation 485 at low resolution may drop the topographic potential for converging surface water and 486 groundwater to local lowlands, resulting in smaller streamflow at small streams. 487 Subsurface configuration may partly explain the smaller streamflow also. Our CONUS2 488 subsurface tests (Tijerina-Kreuzer et al., 2023) show that the presence of flow barriers 489 significantly improved the baseflow performance yet resulted in slightly smaller overall 490 streamflow in both Upper Colorado River Basin and Delaware-Susquehanna Basin. 491

492 The improved WTD and streamflow performances confirm that our new subsurface 493 configuration and topography processing are effective, suggesting a new workflow for the 494 community of large-scale groundwater or integrated hydrologic modeling. Our work also 495 highlights that resolution is not always the key issue to improving the performance of 496 large-scale groundwater models, strengthening the conclusion of Reinecke et al. (2020). The CONUS models have the same 1 km resolution but have varying performances due 497 to the different subsurface configurations and topography processing. This emphasizes 498 499 that the community should be cautious about configuring the subsurface and topography 500 at low resolution, which should be representative of most of the subgrid variations, instead of pursuing the higher resolution only. 501

502 5. Summary and Conclusions

503 The ParFlow CONUS model is a continental-scale, integrated surface-water and 504 groundwater modeling platform. The first version, ParFlow CONUS 1.0, made significant

505 contributions to the community of large-scale hydrologic modeling. In this study, we 506 introduce the latest version, ParFlow CONUS 2.0, which includes enhancements in 507 topography processing and subsurface configuration. We performed steady-state 508 simulations using this model, in which we leveraged GPU acceleration. We evaluated 509 *CONUS2* performance using multi-source observations by comparing the simulated 510 water table depth (WTD) and streamflow to *CONUS1*.

511 Both CONUS models show good correlations between simulated and observed WTDs 512 and perform better than previous large-scale groundwater models. Here we differentiate two subdomains of model performance (referred to as D1 and D2). Wells in the D2 513 subdomain are generally located in groundwater convergent zones where poor 514 515 performance can be attributed to the 1 km spatial resolution of our model, which is unable 516 to consistently resolve subgrid variations around streams. If we exclude the D2 wells from 517 our analysis, we show that the performance of D1 is guite good and, furthermore, that the 518 performance has improved from the CONUS1 to the CONUS2 model. Another 519 improvement is that the threshold between D1 and D2 is reduced from 0.4 m of CONUS1 520 to 0.1 m of CONUS2. Streamflow performance is also improved in the CONUS2 model. This increased performance is likely due to the improved topographic processing that 521 522 leads to better agreement between the model topography and reported stream gauge 523 drainage areas.

The WTD performance of the *CONUS2* model is better in the western US, where there are deeper water tables and less local convergence. Whereas the streamflow performance is good over the entire *CONUS2* and is excellent in the eastern US. These eastern areas are challenging for WTD performance due to their flat topography and high

subsurface heterogeneity, both of which are sensitive to aggregations at the 1 km model
resolution. Future work to improve the performance of CONUS models should focus on
the topography processing of coastal areas or flat groundwater convergence areas.

531 The changes from CONUS1 to CONUS2 highlight the improvements in model performance that can be achieved even without increasing spatial resolution. It is 532 interesting to note that CONUS1 and CONUS2 models show different performances for 533 534 both WTD and streamflow though they have the same spatial resolution of 1 km. The 535 improved performance of CONUS2 suggests that the improvements to topography and 536 the subsurface parameter values and structure were valuable and may outweigh other model improvements, such as increased resolution. These somewhat counterintuitive 537 538 findings might help guide the hydrology community in future modeling work. The improved 539 WTD and streamflow performance of CONUS2 are encouraging and demonstrate the 540 effectiveness of the newly developed subsurface configuration and topography 541 processing. As an integrated hydrology model, the CONUS2 model will be a promising 542 platform for future applications and extensions to address large-scale water resource 543 questions.

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Figure 1. Maps of topsoil type (0–1m) (a), bottom soil type (1–2m) (b), geologic unit
(c), elevation (m a.s.l.) (d), depth of flow barrier (e), and boundaries of CONUS
models and HUC2 basins (f). (c) shows the top geologic units (i.e., those in the 5 m
layer), for example, and other layers are shown in supporting information (Figure
S1). c.g., f.g., sil., sedi., uncon. refer to coarse-grained, fine-grained, siliciclastic,
sedimentary, and unconsolidated, respectively.



Figure 2. Comparison of potential recharge between the CONUS1 and CONUS2 domains.



18 Figure 3. Observed water table depth and streamflow used for model evaluation.

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Figure 4. Water table depth (WTD) and streamflow simulated by CONUS2.





- 23 24 Figure 5. Difference of simulated water table depth (WTD) and streamflow between
- CONUS1 and CONUS2 at USGS wells and gauges, respectively. 25







Figure 7. Histograms (a-b) and scatterplots (c-f) of simulated vs. observed water table depth (WTD). (e-f) are plotted using random samples of the Fan dataset. 31



Figure 8. WTD residuals of CONUS1 and CONUS2 by comparing with USGS observations. D1 and D2 points are shown for the selected area indicated by the blue rectangle.

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Figure 10. Scatterplots of simulated vs. observed water table depth (WTD) for D1
 points (a–d), and RSR values of log-transformed WTDs of D1 points by HUC2 basin
 (e–f). (c–d) are obtained by removing D2 in Figures 7e–f.



Figure 11. Histograms (a–b) and scatterplots (c–f) of simulated vs. observed streamflow, and RSR values of log-transformed streamflow by HUC2 basin (g–h).



51NHD Streamflow (m³/h)52Figure 12. Scatterplots of simulated vs. observed water table depth and streamflow53over the entire CONUS2 domain. (c) is plotted using random samples of the Fan54dataset.

Table ST. Soll and geologic units and corresponding parameters									
	Indicator	Classification	K _{sat} porosity		Sres	alpha	n		
	mulcator	Classification	(m/h)	[-]	[-]	1/m	[-]		
	1	Sand	2.69e-1	0.38	0.14	3.55	4.16		
	2	Loamy sand	4.36e-2	0.39	0.26	3.47	2.74		
	3	Sandy loam	1.58e-2	0.39	0.10	2.69	2.45		
	4	Silt loam	7.58e-3	0.44	0.15	0.50	2.66		
ts	5	Silt	1.82e-2	0.49	0.10	0.66	2.66		
unit	6	Loam	5.01e-3	0.40	0.15	1.12	2.48		
oil u	7	Sandy clay loam	5.49e-3	0.38	0.16	2.09	2.32		
Ň	8	Silty clay loam	4.68e-3	0.48	0.19	0.83	2.51		
	9	Clay loam	3.39e-3	0.44	0.18	1.58	2.41		
	10	Sandy clay	4.78e-3	0.39	0.30	3.31	2.20		
	11	Silty clay	3.98e-3	0.48	0.23	1.62	2.32		
	12	Clay	6.16e-3	0.46	0.21	1.51	2.26		
	19	Bedrock 1	5.00e-3	0.33	0.001	1.00	3.00		
	20	Bedrock 2	1.00e-2	0.33	0.001	1.00	3.00		
S	21	f.g. sil. sedimentary	2.00e-2	0.30	0.001	1.00	3.00		
Init	22	sil. sedimentary	3.00e-2	0.30	0.001	1.00	3.00		
ic r	23	crystalline	4.00e-2	0.10	0.001	1.00	3.00		
log	24	f.g. unconsolidated	5.00e-2	0.30	0.001	1.00	3.00		
Geol	25	unconsolidated	6.00e-2	0.30	0.001	1.00	3.00		
	26	c.g. sil. sedimentary	8.00e-2	0.30	0.001	1.00	3.00		
	27	carbonate	1.00e-1	0.10	0.001	1.00	3.00		
	28	c.g. unconsolidated	2.00e-1	0.30	0.001	1.00	3.00		

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	Flow NHD		Flow USGS		WTD Fan		WTD USGS		
Domain	CONUS1	CONUS2	CONUS1	CONUS2	CONUS1 (>0.4 m)	CONUS2 (>0.1 m)	CONUS1 (>0.4 m)	CONUS2 (>0.1 m)	
All	1.11	0.77	1.25	0.89	1.68	1.33	1.58	1.36	
2	1.92	0.20	1.11	0.17	2.17	1.32	2.10	1.16	
3	1.05	0.32	1.03	0.48	3.47	1.35	5.12	2.43	
4	1.60	0.37	1.37	0.57	1.94	1.67	1.99	1.59	
5	0.94	0.21	1.25	0.47	2.51	0.89	3.06	0.85	
6	0.92	0.18	1.39	0.52	2.53	1.70	3.06	2.48	
7	0.85	0.32	0.88	0.42	2.29	1.86	1.90	1.75	
8	1.04	0.13	1.40	0.70	4.17	1.93	2.63	1.28	
9	2.32	1.82	2.53	2.24	1.81	1.30	1.87	1.65	
10	1.21	1.00	1.34	1.11	1.32	1.18	1.39	1.29	
11	1.41	1.13	1.52	1.16	1.75	1.33	1.51	1.31	
12	/	3.29	0.46	1.82	4.17	3.82	2.38	1.88	
13	1.74	1.82	1.93	2.37	0.89	1.10	1.79	1.60	
14	1.12	0.65	1.39	0.77	0.81	1.12	0.93	1.31	
15	1.12	1.41	1.21	1.38	1.26	1.35	1.78	1.68	
16	1.62	1.15	1.83	1.33	1.20	1.27	1.43	1.39	
17	1.15	0.68	1.43	0.85	1.64	1.47	1.45	1.27	
18	2.67	4.48	1.39	1.68	1.28	1.32	1.40	1.50	

Table S2. RSR of logarithm transformed streamflow and WTD

Note: Original units of streamflow and WTD are m³/h and m, respectively. The missing value is due to only one gauge in that basin in the comparison domain.



Figure S1. Geologic units of deep six layers.