

1 **Recent increase in a recurrent Pan-Atlantic**
2 **wave-pattern driving concurrent wintertime extremes**

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Abstract

Wintertime extremes such as cold spells and heavy precipitation events can have severe societal impacts, disrupting critical infrastructures, traffic and affecting human well-being. Here, we relate the occurrence of local and concurrent cold or wet wintertime extremes in North America and Western Europe to a recurrent, quasi-hemispheric wave-4 Rossby wave jet-pattern. We identify this pattern as a fundamental mode of Northern Hemisphere (NH) winter circulation, and one which exhibits phase-locking behavior. Thus, the associated atmospheric circulation and surface anomalies re-occur over the same locations when the pattern's wave amplitude is high. The wave pattern is strongest over the pan-Atlantic region, and is associated with an increased probability of extreme cold or wet events by up to 300 % in certain areas of North America and Western Europe. The pattern has increased significantly in frequency over the past four decades (1979-2021), which we hypothesise may derive from increased convective activity in the tropical Pacific, from where the pattern originates. The identified pattern and its remote forcing might provide pathways for early prediction of local and concurrent cold or wet wintertime extremes in North America and Western Europe.

1 Introduction

Climate extreme events can have major socioeconomic impacts causing substantial damage to infrastructure and property and negatively affect human well-being (e.g. Hales et al., 2003; Forzieri et al., 2018; Smith & Sheridan, 2019; MunichRe, 2020; ?). Cold-season high-impact events include cold spells and rain-driven floods, which may disrupt traffic and supply chains (e.g. Vajda et al., 2014), the energy sector (e.g. Doss-Gollin et al., 2021; Busby et al., 2021) and pose a high risk for lower income communities and the homeless (e.g. López-Bueno et al., 2020). Recent examples include the 2021 Texas cold spell or the 2019 North American (NA) east coast cold spell. Although the severity of cold spells is projected to decrease under continued global warming Screen (2014), cold extremes will continue to be a major climate hazard for the coming years (Gao et al., 2015). Next to cold spells, wintertime heavy rainfall and the associated flooding has caused widespread damage. Examples include the severe 2019–20 U.K. floods and the 2020 floods in Spain and France associated with the winter storm Gloria (Sefton et al., 2021; Amores et al., 2020). Although recent trends in flood hazards display considerable regional variability Blöschl et al. (2017), climate projections suggest widespread increases in heavy winter rainfall over large parts of Europe Scoccimarro et al. (2013); Rajczak & Schär (2017), coupled with an increase in complex compound hazards such as rain-on-snow flooding events Musselman et al. (2018).

Recurrent, persistent patterns that favour the occurrence of wintertime extremes in specific regions are of particular interest in the context of timely prediction and for understanding associated physical mechanisms. Large meridional meanders of the tropospheric jet stream, diagnosed as blocking patterns Woollings et al. (2018) or as amplified Rossby waves (in case of more zonally elongated ridge-trough patterns ??Screen & Simmonds (2014); Grotjahn et al. (2016)) are key atmospheric dynamical drivers of weather extremes in the mid-latitudes. Some wave-patterns exhibit preferred phases and are thus recurrent with respect to the locations of ridges and troughs and associated surface anomalies ?Kornhuber et al. (2019, 2020). Such recurrent patterns are supposedly enforced by zonal asymmetries of the earth's surface (cite) imposed by mountain ridges, land-ocean boundaries and sea-surface temperature anomalies (cite). These stationary forcing patterns promote preferred phases for certain waves which can provide opportunities for predicting associated extreme weather events Teng et al. (2013); Harnik et al. (2016).

Due to their zonal extent, amplified Rossby waves are often associated with concurrent weather extremes at geographically remote locations (e.g. Teng et al., 2013; Harnik

et al., 2016; Kornhuber et al., 2019, 2020). Such spatially compounding extremes are of particular interest due to their potentially enhanced impacts compared to extremes occurring in isolation Zscheischler et al. (2020); Raymond et al. (2020); Kornhuber et al. (2020). Here, we investigate recurrent Rossby waves in the extended northern hemisphere (NH) winter season (November–March) following the approach outlined in Kornhuber et al. (2020) for the summer season. We investigate the large-scale circulation during the recent 2019 cold spell (Sect. 3.1) and identify wave-4 as a recurrent wave pattern which was linked to several cold extremes in North America and cold or wet extremes in Europe in recent years (Sect. 3.2). We quantify its role in driving local cold or wet extremes and their concurrence in North America and Europe (Sect. ??) and conclude with a discussion of recent trends and its association with dominant modes of climate variability (Sect. ??).

2 Data and Methods

2.1 Data

The analysis is based on ERA5 reanalysis (1979–2020) (Hersbach et al., 2020), with a horizontal spatial resolution of 0.5° (for temperature and precipitation) and 1° for meridional winds. The analysis focuses on the extended NH cold season (November to March, NDJFM). The data is aggregated from hourly (6-hourly) surface (pressure-level) values to daily values prior to analysis. Temperature and precipitation anomalies are defined relative to a daily climatology, computed using a time-series smoothed with a 15-day running mean. Precipitation anomalies are further averaged over a 9-day window. Extreme temperature and precipitation events are defined as values in the top or bottom 5 percentiles of the respective anomaly distributions. In all figures, statistical significance is computed using a random sampling procedure with 1000 iterations, at the 5% one or two-sided significance level.

Timeseries of monthly values of dominant modes of variability such as the Atlantic Arctic Oscillation index (AAO), the North-Atlantic Oscillation (NAO), the Arctic Oscillation (AO), the El Niño Southern Oscillation 3.4 (ENSO), the Pacific North American pattern (PNA) and the Pacific Decadal Oscillation (PDO) were retrieved from NOAA.

2.2 Methods

2.2.1 Definition of Wave amplitude and wave phase Events

Wave amplitudes and phases are based on a fast Fourier decomposition, by applying the function 'fft' from the R-package 'stats' (cite) on weekly means of the 250 hPa mean meridional wind, averaged at each longitude over $[37.5^\circ \text{ N} - 57.5^\circ \text{ N}]$, following the approach of in Kornhuber 2020 Kornhuber et al. (2020).

To highlight its robustness, we follow two complementary wave event definitions for the wave-4 pattern: A *wave amplitude event* is identified as a week when the amplitude of wave-4 is at least 1.5 standard deviations above the mean monthly climatological value within NDJFM. This definition yields 82 events. Note that a high wave-amplitude does not necessarily refer to an extraordinarily large North–South extension of a jet meander, but rather means that the meridional (North–South) wind velocity is high. While wave amplitude events make no a priori assumption about the waves longitudinal location (i.e. the location of ridges and troughs), *wave phase event* are more directly linked to the wave-4 pattern's preferred phase. We first compute the spatial correlation of 7-day running mean meridional wind fields over the NA – European sector $[160^\circ \text{ W} - 40^\circ \text{ E}, 30 - 72.5^\circ \text{ N}]$. We then identify days on which the correlation exceeds the 90th percentile of the full distribution, select local maxima in the case of exceedance on several consecutive days and finally impose a minimum 10-day separation between successive

107 local maxima. This minimises event aliasing and confounding influences from auto-correlation,
 108 and yields a total of 103 *wave phase events*.

109 **2.2.2 Analysis of Extreme Weather and its Concurrence**

110 To quantify whether wave-4 events favour extreme events, we compute an extreme
 111 event ratio which is the extreme event frequency at each grid-point normalised by the
 112 climatological frequency of extreme events at a particular location (5% according to the
 113 definition we use here). Thus, a value of one at a given location indicates no effect of the
 114 wave-4 events on extreme event frequency, while a lower value indicates fewer extremes
 115 and a value above one indicates a favourable effect for extremes to occur. To quantify
 116 the co-occurrence of extreme events in NA and Europe we introduce the extremes con-
 117 currence index (ECI). For every gridpoint and wave event (Sect. 2.2.1) in NA [160 – 40°
 118 W, 30 – 72.5° N] we count how many gridpoints in Europe [40° W – 40° E, 30 – 72.5°
 119 N] display a concurrent extreme event during each of the 5 days centred on the peak of
 120 the wave event. We then weigh by gridpoint area and normalise over [0 1] to obtain a
 121 spatial compounding index. The same process is repeated for every gridpoint in Europe,
 122 while considering extreme events in NA. The value assigned to each gridpoint is the ECI
 123 value composited across all wave events. Thus, high values of ECI at a given gridpoint
 124 in Europe (NA) indicate co-occurrence of extreme events between that location and grid-
 125 points in NA (Europe). We exclude Greenland and Iceland are not included in the cal-
 126 culation. ECI values are computed for locations that exhibit where identified to expe-
 127 rience an increased frequency of extremes during wave events following the location based
 128 extreme event ratio.

129 **3 Results**

130 **3.1 The concurrent 2019 NA and Scandinavian cold-spells**

131 A severe cold spell affected the mid-western United states and Canada at the end
 132 of January 2019, with record low temperatures approaching -40C degrees measured at
 133 a local station on Mount Carrol, Illinois (cite NOAA). The record cold and heavy snow-
 134 fall heavily affected supply chains and traffic by blocking roads and disrupting train lines
 135 and air traffic. A total of 21 fatalities were reported, several of which from hypothermia
 136 (cite). Within the same week, Sweden recorded a temperature of -39 C in Northern Lap-
 137 land. Investigating the large-scale circulation we find that the cold waves in North Amer-
 138 ica and Europe were connected by a wave pattern in the upper tropospheric mid.latitude
 139 circulation that arched over the Atlantic (Fig. 1a) and is diagnosed as an amplified (Fig.1b),
 140 phase-locked wave-4 pattern, remaining in place for well over a week (Fig.1c). We find
 141 that these connected extremes where yet another example of a specific wave-regime that
 142 was linked to other cold extremes in the past such as the 2013 and 2018 wintertime cold
 143 extremes (see SI Fig. 1, 2)

144 From the XX events we identify several are linked to record breaking cold events
 145 such as the recent cold-waves over NA and Europe in winters XX, XX, XX (ADD: Fig-
 146 ure or table showing all detected events for SI). [Provide Dates / Add List to SI??]

147 **3.2 A recurrent wavenumber 4 pattern in the northern hemisphere Win- 148 ter circulation**

149 Wave-4 constitutes a recurrent pattern in the Northern hemisphere winter circu-
 150 lation. The recurrence of the pattern is defined by a close relationship of the waves am-
 151 plitude and its phase (Fig. 3.2), i.e. the phase position of the wave converges towards
 152 a preferred value with increasing amplitude. Such phase-locking behaviour was found
 153 for wave 5 and 7 in Summer (June–August; Teng et al. (2013); Kornhuber et al. (2020);
 154 ?), but for winter (NDJFM) this behaviour is a unique feature of wave-4 for synoptic scale

155 waves in the northern hemisphere mid-latitudes. While waves 5 and 6 exhibit a single
 156 peak in their probability density the range of the 25th - 75th percentile around the median
 157 is wider (grey hatched area in Fig. 2) during wave amplitude events and exceeds
 158 $\pi/2$ (the threshold for phase locking defined in Kornhuber et al. (2020)). In consequence,
 159 a well organised pan-Atlantic pattern emerges in the 250 hPa meridional wind and 500
 160 hPa geopotential height fields (Fig. 3a, b) when sampling for wave amplitude events (Sect.
 161 2.2.1) (see Fig. XX for wave phase events). Note that the meridional wind and geopotential
 162 height composites are conditioned on amplitude events alone and not filtered by
 163 a specific phase. The pattern exhibits strongest features over Northern America and Europe,
 164 and seemingly emanates from tropical Pacific. The geopotential height ridge over
 165 NA is co-located with the Rocky Mountains, forming a dipole of high and low pressures
 166 over the continent. This is followed further downstream by a ridge that spans across the
 167 North Atlantic. A weaker pattern of ridges and troughs is visible over central Asia a region
 168 where the Atlantic storm track often splits into polar and a subtropical jets.

169 Surface temperature and precipitation anomalies follow the position of ridges and
 170 troughs over NA and Europe (Fig. 3c, d). The strongest temperature anomalies occur
 171 over NA, where the presence of a southerly flow anomaly in the western part of the continent
 172 and a corresponding northerly flow further to the east lead to a zonal temperature
 173 anomaly dipole with a magnitude of roughly 6 K across the continent. This is akin
 174 to the intensified NA winter dipole reported by Singh et al. (2016). Western Europe displays
 175 weaker, yet regionally significant, cold anomalies associated with an anomalous northerly
 176 flow. Cold wintertime spells over Europe are primarily associated with easterly or north-
 177 easterly air flows (e.g. Sillmann et al., 2011), explaining the weaker surface temperature
 178 footprint of the wave pattern in particular for central Europe.

179 Cold anomalies over NA are predominantly dry, while in Europe there is a partial
 180 overlap between the region of significant cold anomalies and a region of positive precipi-
 181 tation anomalies (Fig. 3c,d). Indeed, the precipitation anomalies are roughly aligned
 182 with the near surface temperature anomalies over eastern NA, where the northerly flow
 183 advects cold, dry air masses. In Europe, respective anomalies exhibit a zonal dislocation
 184 which may be explained through the effect of topography and land-sea contrasts on precipi-
 185 tation. The stronger meridional wind component likely reduces zonal advection of
 186 moist oceanic air, leading to negative precipitation anomalies in western Iberia and the
 187 British Isles. Further east, in areas where the Mediterranean Sea provides an important
 188 moisture source for wintertime precipitation (Ciric et al., 2018), the wave pattern leads
 189 to positive precipitation anomalies.

190 **3.3 Local and concurrent weather extremes in Europe and NA are amplified by a wave-4 pattern** 191

192 The probability of local cold extremes is increased by a factor of up to three during
 193 the occurrence of a wave-4 phase event. Here we analysis wave-4 phase events defined
 194 by the v-wind pattern correlation over the NA and European sector (see black box
 195 in Fig. 3a) and Sect. 2.2.1. For an analysis based on wave amplitude events see Fig. SI
 196 which show qualitatively similar results). The NA east coast is particularly affected by
 197 extreme cold spells (Fig. 3.3a) where their occurrence is significantly increased along the
 198 entire coastline from 30° N to beyond the Arctic circle. The probability of cold spells
 199 in Europe is amplified strongest in South-Western Europe and Scandinavia, with increase
 200 of a factor 2 . Precipitation extremes are favoured as well, with strongest signals over
 201 central Europe and Eastern Europe Fig. 3.3b where the likelihood of wet extremes is amplified
 202 by a factor of beyond three in some regions.

203 We further analyse the wave effect on the co-occurrence of cold extremes (Fig. 3c)
 204 and cold and wet extremes (Fig. 3d). Using the ECI diagnostic (Sect. 2.2.2) we find that
 205 the co-occurrence of cold extremes experiences a significant increase across eastern NA

206 and western and southern Europe (Fig. 3.3c). Similar results are found for extreme cold
 207 events in NA and wet events in Europe (Fig. 3.3c), however affected regions are shifted
 208 to the North and East mirroring the pattern identified for local precipitation extremes
 209 (Fig. 3.3b). The amplified concurrence identified on both continents provides further ev-
 210 idence that the wave-pattern identified in the upper level circulation (Fig. ??a, b) is not
 211 a product of separate local ridges that occur independently owing their coherent pattern
 212 seen in composites ?? to the applied averaging, but is in fact a pan Atlantic regime-like
 213 feature favouring the co-occurrence of surface extremes on daily to weekly time-scales.

214 3.4 Recent trends and remote forcing

215 Analysing trends in mean wave-4 amplitude, wave-amplitude events and phase ve-
 216 locity we find a significant increase in amplitude and events and a decreasing, however
 217 non-significant trend in phase velocity over the observational period NDJFM 1979–2020
 218 (Fig. 5a). The positive trends in amplitude and wave amplitude events is in agreement
 219 with Singh et al. (2016), who found an increase in the occurrence of a winter dipole over
 220 NA, which regionally matches patterns associated with a high amplitude wave-4. Wave
 221 phase events however do not exhibit an increasing trend (Fig. SI). This is explained by
 222 the fact, that the correlation based metric is less sensitive to the wave-amplitude (i.e.
 223 the strength of the ridge) but more sensitive to the waves phase, which shows no signif-
 224 icant change over the past decade (not shown).

225 The presence of a large-scale, phase-locked and recurrent pattern driving regional
 226 extreme events may be exploited in the context of predictability, e.g. Harnik et al. (2016)
 227 showed that circumglobal wave-patterns can provide medium-range predictability for NA
 228 cold spells, and the broader role of wave patterns or packets as drivers of concurrent ex-
 229 treme events and predictability tools has been discussed in a number of studies (e.g. ?Wirth
 230 et al., 2018; Kornhuber et al., 2020; Fragkoulidis & Wirth, 2020, and references therein).
 231 To identify potential drivers of the increasing trend we investigate the relationship of monthly
 232 wave amplitude and spatial correlation with dominant modes of climate variability monthly
 233 values of the Atlantic Arctic Oscillation index (AAO), the North-Atlantic Oscillation (NAO),
 234 the Arctic Oscillation (AO), the El Nino Southern Oscillation (ENSO), the Pacific North
 235 American pattern (PNA) and the Pacific Decadal Oscillation (PDO). Further we inves-
 236 tigate the relationship of the pattern to the meridional temperature gradient as defined
 237 by the difference of temperatures averages of higher latitudes (70deg. N - 90deg. N) and
 238 lower latitudes (50deg N 30deg N) following the definition in ?. For the indices that re-
 239 late to pacific variability (ENSO, PNA, PDO) however we find strongest and partly sig-
 240 nificant relationships (ref FIG). For wave amplitudes we find significant relationships for
 241 ENSO and PDO in December, for ENSO in March and for PNA in November. Signif-
 242 icant relationship for November to January are found for PNA and for November and
 243 December for PDO for spatial correlation across the North American - European sec-
 244 tor and the mid.latitude belt. Patterns associated with the Atlantic circulation show mostly
 245 insignificant correlations, except for the NAO and the AO in January for the North Amer-
 246 ican - European sector. The meridional temperature gradient, however exhibits no no-
 247 table correlation. We thus conclude that is a potential remote forcing that acts on the
 248 occurrence of wave-4 events is located in the pacific and that positive trends in wave am-
 249 plitude and events might be linked to increased convection in this area (cite).

250 4 Discussion and Conclusions

251 Although a decrease in the severity and frequency of cold-spells is expected in a
 252 warming world based on thermodynamical arguments, atmosphere dynamical changes,
 253 could potentially lead to an increase in northern hemisphere cold-extremes (cite). Irre-
 254 spective of long term trends, cold extremes and their impacts will remain a significant
 255 hazard in the coming decades Gao et al. (2015) for instance through false spring events,

256 that can have severe impacts on orchards and harvests. Recent studies have emphasised
 257 the stratospheric polar vortex as a driver of mid-latitude cold extremes (Matthias & Kretschmer,
 258 2020; Cohen et al., 2021) e.g. a weakened polar vortex Kretschmer et al. (2018), Arc-
 259 tic Amplification Cohen et al. (2014), and changes in jet stream sinuosity or wave ac-
 260 tivity (e.g. Screen & Simmonds, 2014; Cattiaux et al., 2016; Martin, 2021) has sparked
 261 a lively discourse in the scientific community, which is still ongoing Barnes & Screen (2015);
 262 Cohen et al. (2020).

263 We identified a quasi-hemispheric wavenumber 4 pattern which modulates the oc-
 264 currence of wintertime extreme events in NA and Europe. Due to its phase-locked be-
 265 haviour and recurrence, the extremes associated with the pattern occur repeatedly in the
 266 same geographical regions. These include cold spells in eastern NA and cold or wet spells
 267 in Europe. Due to the wave pattern’s large zonal extent, these extreme events on the two
 268 sides of the North Atlantic occur largely synchronised. We find an increase in wave 4
 269 amplitude and wave- 4 events which does not seem to be linked to the meridional temper-
 270 ature gradient but seems to be linked to the pacific. The wave-4 pattern here has only
 271 a weak correlation to canonical climate modes of variability in the Atlantic, but signif-
 272 icant correlations with those that relate to Pacific variability. Next steps will involve the
 273 systematically analysis of the origin of the wave pattern and atmosphere dynamical mech-
 274 anisms that lead to its recurrence and persistence. This could then be used to build a
 275 statistical predictor for co-occurring regional wintertime extremes or to better under-
 276 stand the performance of numerical weather predictions for some extreme event case-
 277 studies. Future work will also investigate if identified trends in the wave-4 pattern are
 278 reproduced by historical climate model simulations and if they are projected to continue
 279 in simulations based on future emission scenarios to investigate its relation to anthro-
 280 pogenic climate change and future risks from extreme cold spells.

281 Further talking points:

- 282 1. other potential factors contributing to recent increase
- 283 2. interacting extremes in a warming climate.
- 284 3. What’s up with the different signal over Southern Europe, Mean vs Cold
- 285 4. Why is it wet-warm in central Europe but cold-dry in southern / Northern Europe
 286 (Hypothesis: westerly flow of moist, warm oceanic air in central Europe while weak-
 287 ened westerly flow further south leaves area open to easterly cold air inflow).

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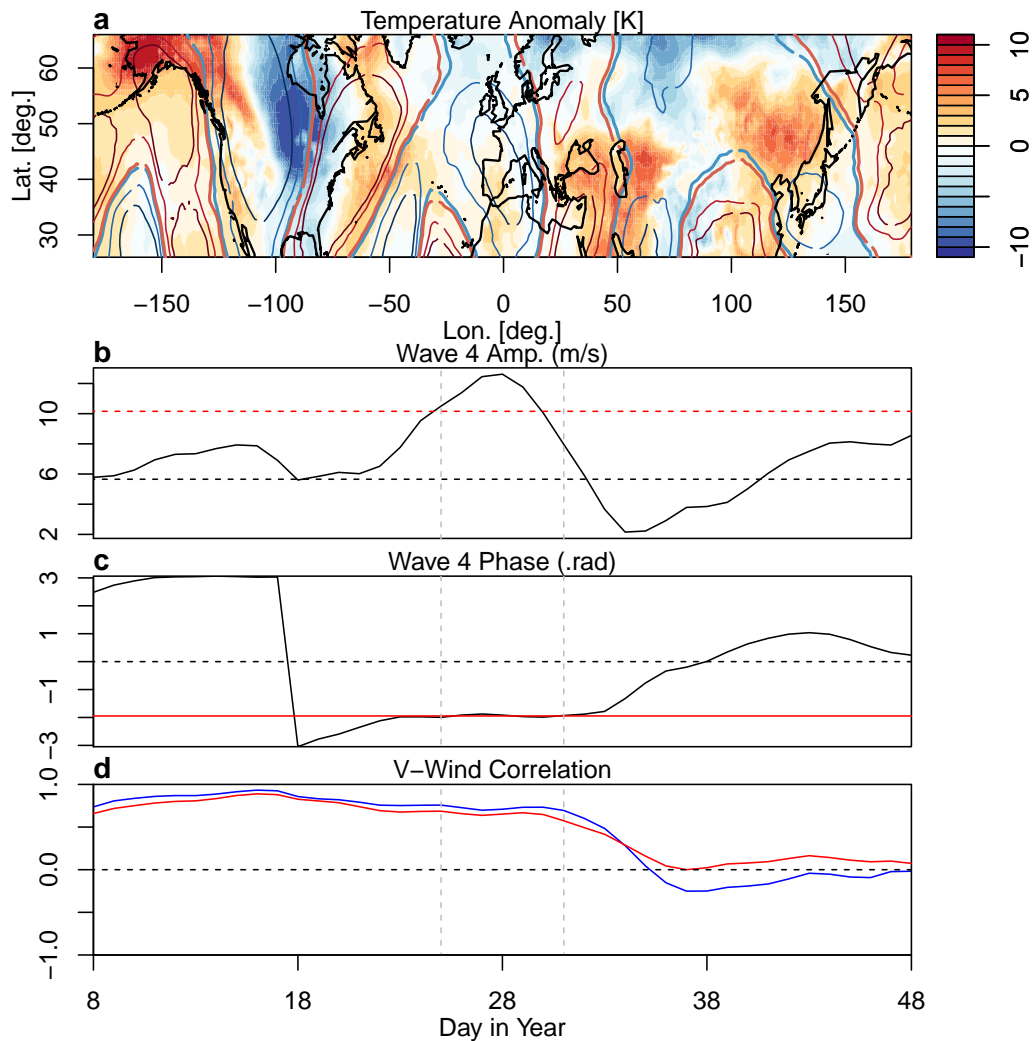


Figure 1. The extreme January-February cold spell of 2019. (a) Temperature Anomalies and 250 mb meridional wind fields (line contours; red: North-South, blue: South-North) in the northern hemisphere averaged over 7 days centered around the 28th of January 2019 (grey dashed vertical lines in (b-d)). (b) Wave-4 amplitude (m/s) from January 8th - February 20th 2019. Average values are provided by the black dashed line while the 1.5std above and below mean are indicated by red dashed horizontal lines. (c) Wave 4 phase (rad) over the same timeperiod, the wave remains stationary throughout the indicated period. (d) pattern correlation over the North Atlantic sector (Fig. 3a (30–72.5 °N, 160 °W–40 °E)).

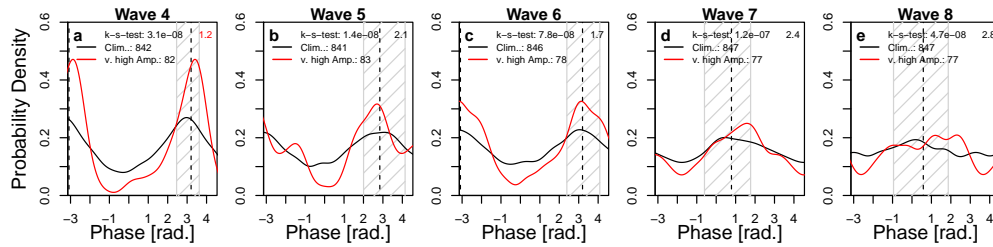


Figure 2. Density distributions of waves 4-8 during weeks of high amplitude (red) and all other weeks (black) detected in NDJFM 1979-2019. Grey hatching shows the area within the 25th -75th percentile (width in .rad provided in upper right corner), while the dashed black lines denote the median phase position during high amplitude events. The p-value from a Kolmogorov - Smirnov test is provided in the upper left above the sample size of each distribution. Wave-4 shows the strongest phase locking behaviour with a confined single peak and is the only wave meeting the phase locking definition from Kornhuber et al. (2020) (width $\leq \pi/2$). Note that the x-axes extended beyond π to provide a continuous depiction of the distributions.

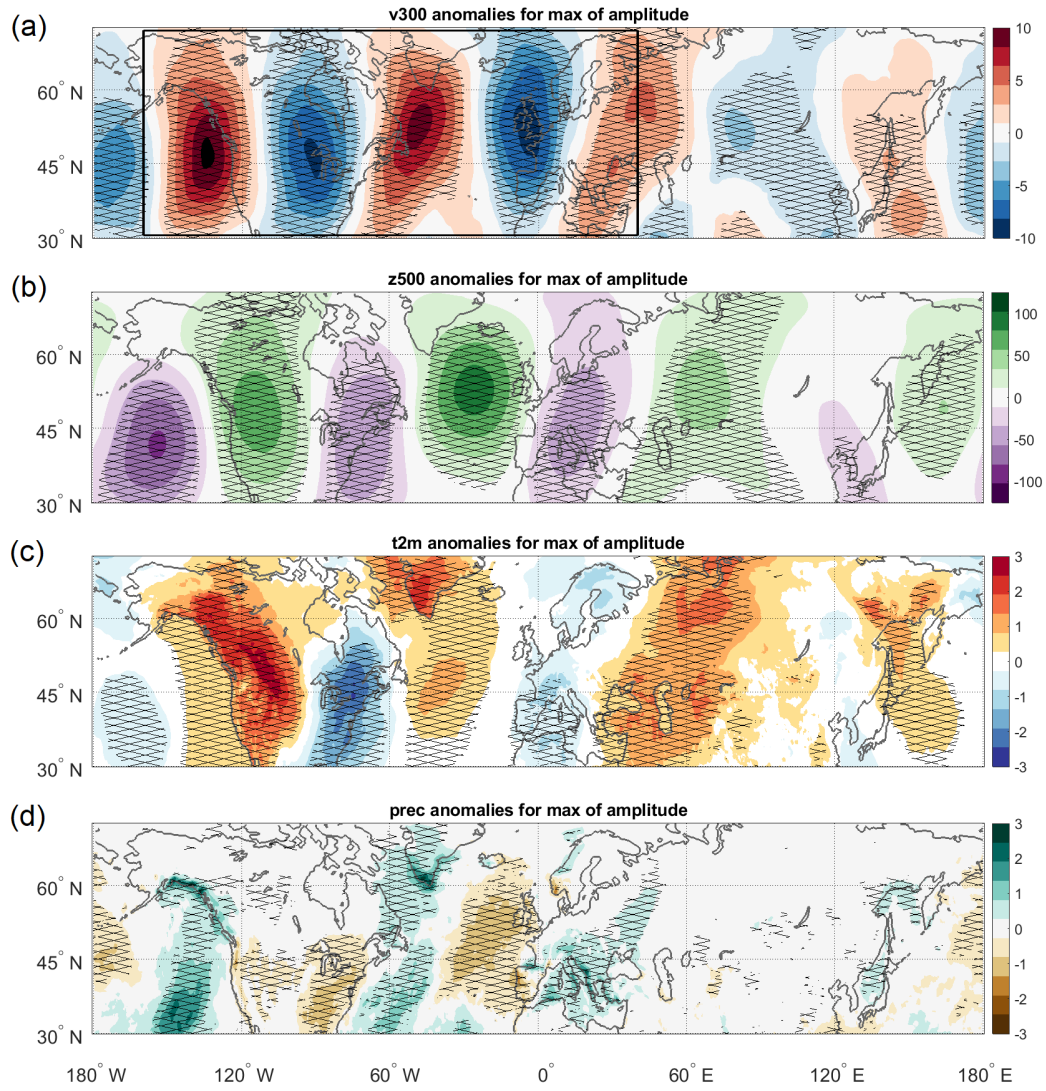


Figure 3. A recurrent wave pattern in the NH November-March circulation and associated surface conditions: Composites of the (a) 250 hPa meridional wind ($m s^{-1}$), (b) 500 hPa geopotential height (m), (c) 2-metre temperature (K) and (d) precipitation ($mm day^{-1}$) anomaly fields during wave-4 amplitude events ($N=82$). Anomalies significant at the 2-sided 5% level are cross-hatched. The black box in (a) illustrates the Pan-Atlantic domain $30-72.5^{\circ}N$, $160^{\circ}W-40^{\circ}E$ by which wave phase events are determined (see Fig. SI wave phase events) .

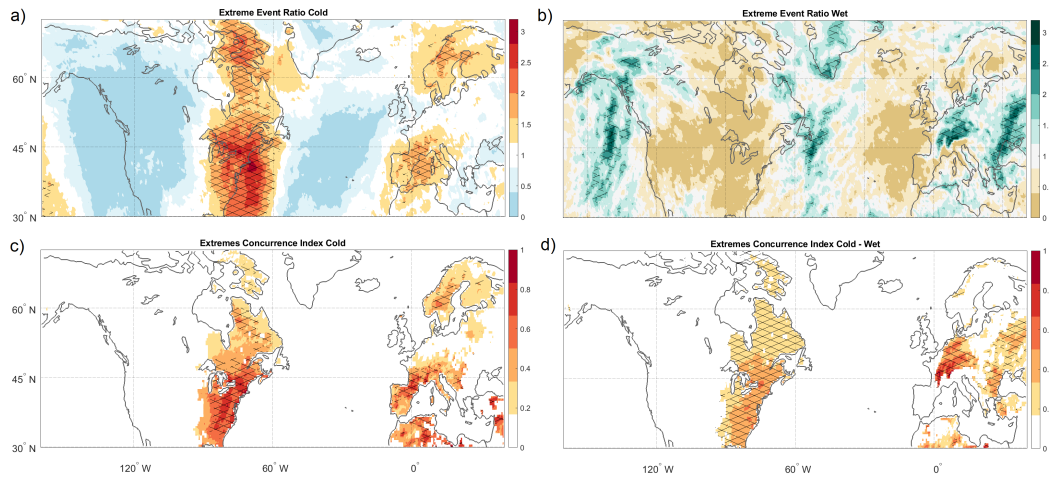


Figure 4. Amplifying effect of wave-4 on regional and concurrent of cold and wet extremes over the Pan-Atlantic sector. November-March ratio of extreme (a) cold and (b) heavy precipitation events during days displaying peak correlation in the North-American – European sector (see black box in Fig. 3a) relative to climatology. Concurrent extremes quantified by ECI for (c) cold extremes over NA and Europe and (d) cold extremes over NA and wet extremes over Europe. Hatching shows one-sided 5% significance. Fields correspond to the 5 days centred around the day for which a peak pattern correlation is identified.

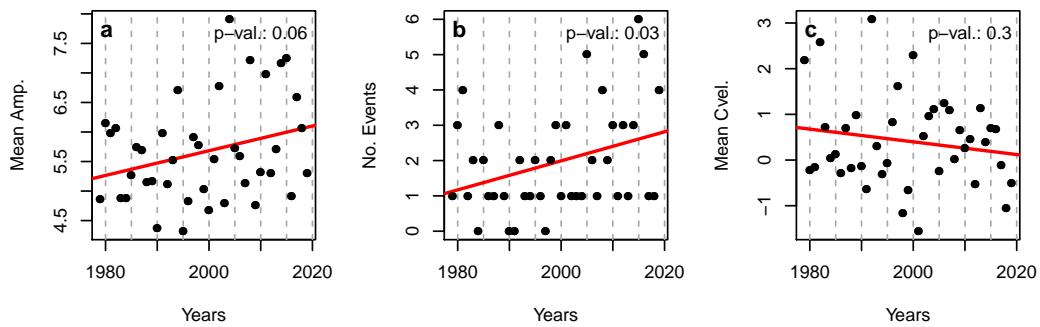


Figure 5. Annual trends in (a) mean wave amplitude, (b) wave amplitude events and (c) average phase velocity in the NH cold season (NDJFM, 1979-2019). Trends are quantified by a linear regression (solid red lines). Significant increases are identified for wave-amplitude and amplitude events, see p-value in the upper right of each panel.