### 1 How big is a boulder? Evaluating fixed and process-based 2 definitions for boulders

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### 17 Abstract

### 18

19 Boulders are globally widespread and influence landscape processes across hillslopes, coasts, rivers and extra-terrestrial settings. Boulders are 20 described as particles, sufficiently large, that the movement of an 21 individual grain promotes substantial geomorphic change. Moving beyond 22 23 this conceptual definition, however, requires a somewhat arbitrary decision of how to define a minimum boulder size. Furthermore, the 24 implications of boulder definition on study findings are rarely considered. 25 We compare two lower thresholds for boulder size; a fixed boulder 26 27 minimum diameter (> 1 m) and a variable diameter relative to the surface grain size distribution (> 84<sup>th</sup> percentile). We consider the impact 28 of definition on measured boulder metrics, and their association with 29 30 channel and catchment characteristics across 20 boulder-bed streams in northern Sweden. We also surveyed the river managers responsible for 31 32 restoring these rivers, to gain a practitioner insight on boulder size 33 definition. Definition choice resulted in fundamental differences in boulder metrics; metrics describing the number or density of boulders were 34 negatively correlated. Using these two studies, we explore boulder 35 36 definition in earth sciences, including the application of fixed definitions 37 and those relative to grain size or system power. We emphasise the 38 importance of evaluating the implications of the chosen boulder size 39 definition, and communicating the reasoning behind boulder definitions and these implications. We discuss the implication of boulder size 40 definition choice and provide guidelines for future studies seeking a 41 42 process-based definition of boulders. 43

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45 **Keywords:** *geomorphology, landscape evolution, boulder-bed river,* 46 *channel-hillslope coupling, sediment transport.* 

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### 50 **1. INTRODUCTION**

Boulders are charismatic elements of landscapes. Boulders have long held 51 intrigue; legends have grown to describe their seemingly improbable 52 placements, and the historical cultural importance of boulders continues 53 today (Luigi & Motta, 2012; Górska-Zabielska et al., 2020; Pukelyte et 54 al., 2022). Boulders are important controls on geomorphic processes 55 across a wide range of landscapes including, rivers (e.g. Yager et al., 56 2007), hillslopes (e.g. Beaty, 1989; Neely & DiBiase, 2020), coasts (e.g. 57 Naylor et al., 2016; Nakata et al., 2023), and extra-terrestrial settings 58 (e.g. Roberts et al., 2012; Mangold et al., 2021). Boulders reveal insights 59 60 into past landscape evolution (e.g. Ehlmann et al., 2008; Etienne et al., 2011) and are a widely used tool in the mitigation of geomorphic risk 61 (Lenzi et al., 2002) and habitat restoration (Nilsson et al., 2014; 62 Liversage & Chapman, 2018). Consequently, boulders are of great 63 interest to environmental scientists. However, for every scientific study or 64 landscape restoration project, a decision must be made; how large is a 65 boulder? 66 67 Grain size is an important property of sediment. Larger grains generally 68 69 require more energy to transport and, consequently, move less frequently 70 and have a greater influence on fluid flow and surface roughness. 71 Boulders influence sediment transport and deposition patterns, both locally and at larger scales, such as by controlling the gradient of 72 73 hillslopes and rivers (Montgomery & Buffington, 1997; Glade et al.,

- 74 2017). Boulders also influence biogeomorphic processes, for example by 75 promoting the deposition of large wood in rivers (Persi et al., 2020). The influence of individual boulders on the surrounding environment is key to 76 their definition. In a recent review on the role of boulders in landscapes, 77 78 Shobe et al. (2021, p1) described boulders as particles of sufficient size 79 that "the motion of a single grain, infrequently mobile in size-selective 80 transport systems, constitutes or triggers significant geomorphic change". 81 However, grain size is a continuum and determining a threshold size is a challenge. Surprisingly, there is little consensus on how to define boulders 82 or understanding of how this arbitrary, but necessary, decision influences 83 study results (Shobe et al., 2021; Blair & McPherson, 1999). 84 85
- Udden (1914) and subsequently, Wentworth (1922), fixed the minimum 86 87 diameter for a boulder as 256 mm. Blair and McPherson (1999) and Terry & Goff (2014) maintained the 256 mm lower bound for boulders and 88 89 added additional categories for coarse grains (e.g. 256 mm <boulder< 90 4.1 m <meso-boulder< 65.5 m <macro-boulder; Terry & Goff, 2014). Whilst the 256 mm definition is widely used within sedimentology, it is of 91 limited use when considering the influence of boulders on landscape 92 93 processes, since, in many systems 256 mm are frequently mobile (e.g. 94 moving as bedload in rivers). It is evident that, from a process viewpoint, 95 what constitutes a boulder varies between systems, based on system 96 power and the site grain size distribution (GSD, Shobe et al., 2021).

Consequently, many researchers have decided that a larger minimum 97 98 diameter for boulder size better captures the process-based importance of boulders (e.g. 0.5 m Nitsche et al., (2011); >1 m Keller et al., (2015); 99  $\geq 2$  m Nativ et al., (2022)). Minimum diameter may be based on physical 100 processes (e.g. mobility; Keller et al., (2015)) or based on more practical 101 constraints such as the minimum size accurately identifiable from remote 102 103 sensing (e.g. > 0.3 m Finnegan et al., (2019); 0.46 m Wiener & 104 Pasternack, (2022); 0.5 m Allemand et al., (2023)). 105 When comparing multiple sites, a key question is whether the definition of 106 107 a boulder should stay constant or vary based on site context, such as

a boulder should stay constant or vary based on site context, such as
scaling according to relative particle mobility or relative grain size
(Nitsche et al., 2011; Shobe et al., 2021). Defining boulder size relative
to the wider sediment distribution at a site is a widely used method in
boulder studies (Shobe et al., 2021); e.g., the D<sub>84</sub> (the grain size larger

112 than 84% of grains) is often used to characterise roughness in boulder-113 bed streams (Schneider et al., 2015; Clancy, 2021). This recognises that

- 114 the process-importance of boulders on flow and sediment dynamics varies
- 115 between sites, based on the characteristics of the surrounding sediment
- 116 (Shobe et al., 2021).
- 117

118 There is considerable variability in the definition of boulders and

- surprisingly little consideration of how the choice of boulder size definition 119 may influence research results. Nitsche et al. (2011) found that varying 120 the minimum boulder diameter from 0.5 to 0.9 m changed the modelled 121 river bedload volume by up to 24%, based on the effect the choice had on 122 input parameters, including mean boulder size, boulder concentration and 123 step spacing. Consequently, the choice of boulder definition is important. 124 125 Yet, it remains unclear how earth scientists should approach boulder size 126 definition.
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128 We aim to investigate how the choice of a fixed (>1 m) or relative to surface sediment distribution (>  $D_{84}$ ) lower threshold for boulder 129 classification affects measurements of boulder characteristics and their 130 association with channel and catchment variables in boulder-bed streams 131 132 across northern Sweden. To achieve this, we repeat analysis of 20 rivers and more than 4,700 boulders conducted by Mason & Polvi, (in press) for 133 134 both size thresholds. Since boulder definitions vary not only between 135 academic researchers, but also within applied environmental 136 management, we couple this analysis with a survey of river managers and restoration practitioners in northern Sweden, to understand the reasoning 137 behind their definition of boulders. We discuss the implication of boulder 138 size definition choice and provide guidelines for future studies seeking a 139 process-based definition of boulders. 140 141 142

### 143 **2. METHODS**

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145 This study extends the analysis of field data collected to understand the controls on boulder-bed channel morphology in previously glaciated 146 catchments in northern Sweden (Mason & Polvi, in press.). In these 147 catchments, glacial legacies influence both valley geometry and reach-148 149 scale channel morphology via glacial legacy sediments in the form of large boulders (Figure 1). These streams are semi-alluvial because boulders are 150 not reorganised by fluvial processes (in contrast to steeper, higher energy 151 boulder-bed systems where boulders may be reorganised into jams; 152 153 Church & Zimmermann, 2007), rather, the degree of boulder influences on channel morphology restricts alluvial processes (Mason & Polvi, in 154 155 press; Polvi, 2021). 156

157 2.1 Field data collection

158 Field surveys were undertaken on twenty river reaches that had no known

- 159 human modification of boulder distributions, a challenge in northern
- Sweden where timber floating has affected most rivers (Törnlund &
   Östlund, 2006). Surveys involved mapping the channel planform, cross
- 161 Ostlund, 2006). Surveys involved mapping the channel planform, cros 162 sections, sediment size distribution and the characteristics and
- 163 distribution of in-stream boulders using a total station (surveyed river
- 164 length 61 100 m). The GSD was measured using a 200 particle, grid-
- 165 by-number count of *b*-axes (Wolman 1954; Green, 2003). For more
- 166 details on field methods, see Mason & Polvi (*in press*).
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Boulders were surveyed according to two definitions. First, boulders were 168 169 defined as all particles with a *b*-axis >1 m ( $B_{1m}$ ). Second, with a minimum diameter of  $D_{84}$  (B<sub>D84</sub>). However, because considering smaller particle 170 171 sizes exponentially increased the number of clasts to be surveyed in the 172 field, we used a minimum boulder size of 0.5 m in three reaches with a 173  $D_{84} < 0.5$  m (highlighted in Figure 2 and discussed in section 4.2). The top  $(B_{top})$ , and the bed elevation directly upstream  $(B_{us})$  and downstream 174 175 (B<sub>ds</sub>) of the boulder were measured with a total station for all boulders within the bankfull channel area. 176

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Notation	Metric (units)	Description or Formula
Ν	Number of boulders	Number of boulders larger than the minimum size definition
D <sub>50</sub> , D <sub>84</sub>	50 <sup>th</sup> and 84 <sup>th</sup> percentile bed sediment (m)	Bed sediment size percentiles calculated from a 200 particle count
b	Diameter (m)	Mean diameter ( <i>b-axis</i> ) of measured boulders
BD <sub>c</sub>	Boulder density (m <sup>-2</sup> )	Density of in-channel boulders
h	Boulder height (m)	Mean height of boulders above average bed elevation $h = \frac{B_{top} - (B_{tus} + B_{ds})}{2}$ Where B <sub>top</sub> is the elevation of boulder top, B <sub>us</sub> and B <sub>ds</sub> the bed elevation upstream and downstream of the boulder respectively (measured with the total station)
Ρ	Boulder protrusion (%)	${\rm P}=100\frac{\rm h}{b}$ Where $h$ is height and $b$ is $b$ -axis for each boulder. Mean calculated across all boulders for each reach
H <sub>i</sub> /h	Relative submergence	Mean bankfull depth $H_t(m)$ / mean height of boulders h (m)
A <sub>b</sub>	Boulder coverage (%)	$A_b=100\left(\frac{A_{bc}}{A_p}\right)$ Where ${\rm A}_{\rm bc}$ is the area of channel covered by boulders and ${\rm A}_{\rm p}$ channel area within bankfull
C <sub>long</sub>	Long-stream boulder clustering (100 m <sup>-1</sup> )	Density-based clustering within ArcGIS Pro. Number of clusters within 100 m river length within the thalweg zone, Tz
C <sub>cross</sub>	Cross-stream boulder clustering (%)	$C_{CrOSS} = 100  \left(\frac{BD_{Tz}}{BDc}\right)$ Where $BD_{Tz}$ and $BD_{c}$ are boulder density in Tz and the channel respectively

# *Table 1. Boulder metrics.*

### 183 2.2 Data analysis

184 Channel planforms and boulder distributions were mapped in ArcGIS Pro (Supplementary material S1). Planform area  $A_p$  (m) was calculated as the 185 surveyed area within bankfull limits, with islands removed. Boulders were 186 plotted by estimating their centre  $(B_c)$  as the horizontal midpoint between 187  $B_{us}$ ) and  $B_{ds}$ . Boulders were then plotted, centered on  $B_c$ , with diameter 188 equal to *b*-axis. From the field measurements and planform analyses, a 189 number of boulder metrics were calculated (Table 1; Mason & Polvi, in 190 191 press.).

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193 To determine if boulder size definition affected boulder metrics (e.g. number, density, etc.), we calculated Pearson's correlation coefficients 194 between metrics calculated from B<sub>D84</sub> and B<sub>1m</sub>. Scatter plots were visually 195 inspected to identify non-linear trends; significance was determined at  $\alpha$ 196 = 0.05 (R package corrplot; Wei and Simko, 2021). We expanded 197 198 correlation analysis to consider associations between boulder metrics and characteristics of the channel reaches and their catchments. We repeated 199 the correlation analysis for both B<sub>D84</sub> and B<sub>1m</sub>. To determine the effect of 200 definitions of boulder minimum diameters on the associations between 201 202 boulder metrics and channel characteristics, we calculated the difference 203 between correlation coefficients ( $\Delta r = ABS(r B_{1m}-r B_{D84})$ ). To determine 204 whether differences between correlations conducted for B<sub>1m</sub> and B<sub>D84</sub> were significant, we used Zou's (2007) method in the R package cocor 205 (Diedenhofen & Musch, 2015). Two-tailed Zou tests were conducted with 206 207 a null hypothesis of no difference between correlations at  $\alpha = 0.05$ . All 208 correlations considered dependent variables, whilst those comparing 209 boulder metrics were non-overlapping and those comparing boulder metrics with landscape variables were overlapping since both correlations 210 shared the same landscape variable (Diedenhofen & Musch, 2015). 211 212 Statistical analyses were conducted in R (v4.1.0, R Core Team, 2021). 213

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Figure 1. Two reaches at either end of the boulder influence spectrum. (A) Kuttingsån had a  $D_{50}$  of 2.31 m and the number of boulders for  $B_{1m}$  was 524 and for  $B_{D84}$  was 61. (B) Krycklan had a  $D_{50}$  of 0.1 m, 8 boulders for

 $B_{1m}$  and 130 for  $B_{D84}$ . Surveyed length for both reaches was 100 m.

221 Table 2. Effect of boulder definition on associations between boulder 222 metrics. Bordered cells contain Pearson correlation coefficient (r) values between boulders surveyed under B<sub>D84</sub> and B<sub>1m</sub> definitions. Significance 223 indicated by \* ( $\alpha = 0.05$ ). Remaining cells show the absolute difference 224 225 between the r value obtained using  $B_{1m}$  and  $B_{D84}$  ( $\Delta r$ ).  $\Delta r$  varies from 0, 226 indicating that r values were identical, to 2, indicating the opposite correlation (i.e. perfect positive correlation versus perfect negative). \* 227 denotes a significant difference between the correlations under B<sub>1m</sub> and 228  $B_{D84}$  (Zou (2007),  $\alpha = 0.05$ ). Bold cells indicate that the direction of the 229 230 correlation has changed. Shaded cells indicate that the correlation was 231 significant for one size definition but not the other.

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		Ν	BD <sub>c</sub>	Р	b	H <sub>t</sub> /h	A <sub>b</sub>	Clong	C <sub>cross</sub>
Number of boulders N		0.01							
Boulder density (m <sup>-2</sup> )	BD <sub>c</sub>	0.43*	-0.70*		_				
Boulder protrusion (%)	Р	0.87*	1.10*	0.83*					
Boulder diameter	b	1.07*	1.32*	0.12	0.52*		_		
Relative submergence	H <sub>t</sub> /h	0.19	0.50	0.41	0.51*	0.43			
Boulder coverage (%)	$A_b$	0.76*	1.32*	0.58*	0.03	0.51	0.42		
Tz cluster long-stream (100 m <sup>-1</sup> )	C <sub>long</sub>	0.34	0.22	0.89*	0.90*	0.35	1.07*	-0.34	
Tz cluster cross-stream	$C_{cross}$	0.21	0.03	0.48	0.41	0.01	0.64*	0.03	0.45*

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# 236 **3. RESULTS**

# 237 **3.1. Influence of boulder size definition on boulder metrics**

238 B<sub>1m</sub> and B<sub>D84</sub> size resulted in fundamentally differences for boulder metrics. Whilst the number of boulders surveyed had a similar range 239 across the sites under both definitions (8 - 524 boulders for B<sub>1m</sub> compared 240 to 42 - 534 for B<sub>D84</sub>; Figure 2), there was no correlation between the 241 number of boulders surveyed at each site (Figure 2a; Table 2). Boulder 242 243 density had a strong negative correlation with a power distribution, between size definitions (Figure 2b; Table 2For the characteristics of 244 measured boulders, mean diameter had a greater range for  $B_{D84}$  (0.67 – 245 246 2.84 m compared to 1.21 – 1.51 m for  $B_{1m}$ , Figure 2d). Mean boulder protrusion (Figure 2c; Table 2) showed a positive correlation between size 247 248 definition but a greater range for  $B_{1m}$ ). Boulder coverage varied considerably more for  $B_{1m}$  than  $B_{D84}$  and there was no association 249 between the two (Figure 2f; Table 2). For boulder spatial distributions, 250 there was a significant association for cross-stream boulder clustering 251 252 measured under each definition but not for longitudinal clustering (Table 253 2).

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Figure 2. The influence of boulder size selection on key boulder variables. The three sites for which  $B_{D84}$  surveys were curtailed at a lower bound of 0.5 m are represented as solid symbols. Best fit trend line shown where linear correlation was significant (Pearsons,  $\alpha = 0.05$ ). On Figure F the blue line indicates 16% coverage, expected from  $B_{D84}$  calculation (see discussion 4.1).

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Table 3. Effect of boulder definition choice on the association between 266 267 boulder metrics and channel or catchment characteristics. Values are the 268 absolute difference between the Pearson correlation coefficient (r) value obtained using  $B_{1m}$  and  $B_{D84}$  ( $\Delta r$ ).  $\Delta r$  varies from 0, indicating that r 269 values were identical, to 2, indicating the opposite correlation (i.e. perfect 270 positive correlation versus perfect negative). \* denotes a significant 271 difference between the correlations under B<sub>1m</sub> and B<sub>D84</sub> according to Zou 272 (2007),  $\alpha = 0.05$ . Bold cells indicate that the direction of the correlation 273 has changed. Shaded cells indicate that the correlation was significant for 274 one size definition but not the other. 275 276

		D <sub>84</sub>	S	SI	W,	H,	A	Z	A <sub>d</sub>	V
Number of boulders	Ν	1.28*	0.08	0.17	0.18	1.11*	0.14	0.10	0.03	0.07
Boulder density (m <sup>-2</sup> )	BDc	1.78*	0.28	0.50	0.42	1.49*	0.66	0.02	0.16	0.35
Boulder protrusion (%)	Р	0.35*	0.13	0.06	0.09	0.32*	0.10	0.11	0.01	0.02
Relative submergence	H <sub>t</sub> /h	0.93*	0.21	0.27	0.35	0.84*	0.53*	0.12	0.28	0.33
Boulder coverage (%)	$A_{b}$	0.35*	0.02	0.36	0.22	0.31	0.14	0.21	0.09	0.13
Tz cluster long-stream (100m <sup>-1</sup> ) C <sub>long</sub>		1.21*	0.02	0.53	0.42	0.99*	0.46	0.09	0.19	0.11
Tz cluster cross-stream C <sub>cross</sub>		0.83*	0.24	0.29	0.27	0.75*	0.41	0.13	0.02	0.34

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# 279 3.2. Influence of boulder size definition on the association 280 between boulder metrics and channel characteristics

281 282 There were substantial differences in the association between boulder 283 metrics and other channel characteristics due to boulder size definition 284 (Table 3). Notably, correlations between all boulder metrics and surface grain size ( $D_{84}$ ) differed significantly between  $B_{1m}$  and  $B_{D84}$  (Table 3). 285 Boulder density had a strong positive correlation with  $D_{84}$  for  $B_{1m}$  but a 286 287 strong negative correlation for  $B_{D84}$ , following a power trend (Figure 3a). There were also significant differences between boulder size definitions for 288 most boulder metrics and thalweg depth (Table 3; e.g. Figure 3b). 289 Boulder definition significantly affected the correlation between channel 290 291 cross-section area and relative submergence (Figure 3f).

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293 There was no association between most aspects of channel morphology (width, slope and sinuosity) and boulder metrics with either definition 294 (Table 3). However, thalweg depth was associated with boulder density 295 296 under both size definitions, although the strength and direction of this association varied based on boulder definition (Figure 3b). The number, 297 protrusion and longitudinal clustering of boulders were also associated 298 with thalweg depth for B<sub>1m</sub> but not for B<sub>D84</sub>. Boulder protrusion calculated 299 using  $B_{1m}$  was significantly associated with  $D_{84}$  and boulder density 300 301 (Figure 3c) but not for B<sub>D84</sub>. Boulder submergence and cross-stream clustering were significantly associated with  $D_{84}$  for  $B_{D84}$  but not for  $B_{1m}$ 302 (Table 3). Relative submergence was significantly associated with thalweg 303 depth, channel area, elevation, drainage area and valley width for  $B_{1m}$  but 304 305 not for B<sub>D84</sub>.



Figure 3. Influence of boulder size definition on the association between different boulder metrics and channel characteristics. Solid circles denote  $B_{D84}$ , hollow triangles,  $B_{1m}$ . Best fit trend line shown where linear correlation was significant (Pearsons,  $\alpha = 0.05$ ).

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### **4. DISCUSSION**

### 313 **4.1 Fixed versus relative boulder size definitions**

In our analysis, choice of a fixed  $(B_{1m})$  versus bed sediment size scaled 314 (B<sub>D84</sub>) lower threshold for boulder diameter had important and 315 unexpected implications. The most substantial differences related to the 316 317 density of boulders in a reach, with a negative exponential association across sites between the two definitions (Figure 2b). These opposing 318 trends can be understood by examining the method used to ascertain  $D_{84}$ ; 319 the grid-by-number approach (Wolman 1954; Green, 2003). This method 320 321 provides an estimate of GSD based upon aerial coverage, since sampled 322 points are approximately equally spaced across the riverbed (Green, 323 2003). Therefore, as D<sub>84</sub> increases, each grain covers a larger spatial area and the density of grains  $>D_{84}$  decreases (Figure 3a). Consequently, the 324 percentage of the river covered by B<sub>D84</sub> boulders should always 325 approximate 16% (84% of the bed surface is finer than  $D_{84}$ ). This appears 326 to be true for our results (Figure 2f, mean boulder coverage = 18%), with 327 some scatter due to error in the estimation of D<sub>84</sub> and assumptions in the 328 329 calculation of boulder coverage (e.g. using *b-axis* to estimate boulder 330 spatial coverage). This key difference between the two metrics also leads

to opposing trends in metrics that incorporate, or are associated with, the 331 332 density of boulders (Figure 2), including the number of boulders and longitudinal clustering. We suggest that when characterisation of the 333 largest, most geomorphologically significant particles is required then 334 classifying boulders relative to sediment size is appropriate. However, 335 B<sub>D84</sub> is less appropriate for comparing the degree of boulder influence 336 337 across sites, since fewer particles are measured at coarser GSDs. For the latter question, a fixed definition is better suited. 338

339 The main aim of the field campaign (Mason & Polvi, in press) was to understand the relative importance of present-day fluvial controls versus 340 glacial legacy controls (in the form of boulders) on boulder-bed river 341 morphology. For several boulder metrics, the direction of correlations 342 reversed making interpretation more complicated. Thalweg depth was 343 positively associated with the density of boulders for B<sub>1m</sub> which may 344 indicate fluvial adjustment of the river to the presence of boulders (e.g. 345 by bed degradation) but for  $D_{84}$  this association was reversed (Table 3). 346 347 Similarly for protrusion, for  $B_{1m}$ , protrusion was significantly associated 348 with variables indicating the degree of boulder influence on the channel (number, density, coverage), which may suggest that boulders promote 349 vertical degradation in order to maintain equilibrium sediment transport 350 (Shobe et al., 2021). However,  $B_{D84}$  boulder density decreased with  $D_{84}$ 351 and the pattern was lost (although *P* was still associated with  $D_{84}$ ; Table 352 353 3).

354 Overall, for Mason & Polvi (in press) use of a B<sub>D84</sub> definition resulted in 355 some changes in the pattern and significance of associations between 356 boulder metrics. However, boulder and channel variables did not show self-organisation (Table 3), indicative of alluvial processes (Mason & Polvi, 357 in press) under either definition, and therefore use of B<sub>D84</sub> would not 358 change the overall conclusions of the paper. However, at sites where 359 fluvial re-working of boulders has occurred, these signals may be 360 sensitive to the choice of boulder definition. 361

# 362 **4.2** Applicability across a range of sites versus sampling effort

The definitions differed in their ability to capture boulders across the 363 364 spectrum of boulder influence over the 20 sites. Studied reaches varied considerably in their GSD (Figure 1). The B<sub>D84</sub> definition was better at 365 capturing boulders at sites with lower GSD, since one reach had only 366 eight boulders under B<sub>1m</sub>. In this stream, many smaller grains were 367 368 present (130 boulders > 0.5 m), which likely functioned as boulders. At the other end of the spectrum, one reach had 524 boulders under  $B_{1m}$ . 369 The degree of boulder influence and the characteristics of these boulders 370 could be captured without measuring all of these grains (e.g. 61 boulders 371 measured for  $B_{D84}$ ). However, at low values of  $D_{84}$ , this method was also 372 373 impractical due to the exponentially large number of relatively small grains classified as boulders (Figure 3a). At three sites, sampling for B<sub>D84</sub> 374

was curtailed at 0.5 m despite lower  $D_{84}$  (0.39, 0.39 and 0.32 m). 375 376 Measurement of all boulders  $>D_{84}$  would have increased the number, density, coverage and relative submergence of boulders and decreased 377 mean diameter (Figure 2). However, it is unlikely to have substantially 378 379 affected these correlations, except to strengthen the negative exponential association between boulder densities (Figure 2b). For the purposes of 380 381 this study, we do not believe that a lower cut-off in D<sub>84</sub> estimation had significant consequences for the results. Instead, it emphasises the 382 importance of considering how sampling effort is distributed between 383 sites, since both definitions required the measurement of over 500 384 385 boulders at some reaches and only 8  $(B_{1m})$  or 42  $(B_{D84})$  at others.

# 386 **4.3** How are boulders defined in applied river management?

387 It is evident that within academia there are many alternative methods for defining boulders (Shobe et al., 2021). To understand how boulder 388 389 definition is approached by practitioners responsible for the management 390 of rivers studied in this paper, we conducted a survey of river managers 391 in northern Sweden. The survey was sent to employees at the county administration boards (Länsstyrelsen, Västerbotten, Norrbotten, 392 Västernorrland, Jämtland) and townships (kommuner; Skellefteå). Survey 393 394 respondents are responsible for the restoration of hundreds of kilometres 395 of boulder-bed river, affected by timber-floating (e.g. Vindel river LIFE, ReBorn LIFE and Ecostreams for LIFE). Restoration has focussed on the 396 addition of boulders to increase stream hydraulic and geomorphic 397 398 complexity and enhance lateral connectivity. The survey is available in 399 supplementary material S2. 15 responses were received.

400 Practitioners selected from multiple choices how they approached boulder definition ('block' in Swedish). Most used a fixed definition, which was 401 consistent across all reaches (Figure 4a), most commonly 0.2 m (Figure 402 403 4b). Several respondents several cited sediment or habitat classification protocols (e.g. Bergquist et al., 2014; ISO 14688-1:2017). However, 404 405 there was an order of magnitude difference in the size of particle 406 classified as boulders, up to 2 m (Figure 4b). The use of 0.2 m as a minimum boulder size was surprising considering the D<sub>50</sub> size of particles 407 in these stream types varies from 0.1 up to 1.2 m (Mason & Polvi; in 408 409 press). Several respondents who gave 0.2 m as a minimum diameter went on to recognise the importance of larger grains as roughness- and 410 habitat-elements; therefore, a functional definition of a boulder may be 411 412 larger. When asked to comment, several respondents mentioned functional differences between boulders and smaller particles, including 413 grains that broke the water surface and grains of a sufficient size to "take 414 415 energy from the river". One respondent suggested a pragmatic place to draw the line between boulders and cobbles during restoration might be 416 that boulders are strategically and individually placed, whilst smaller 417 particles are scooped in multiples. 418

Whilst only one respondent indicated that their definition varied with the 419 420 size of the river in question (Figure 4a), in the comments, several cited 421 the importance of fluvial power or stream size in defining what would remain stable in the river. One respondent commented that restoration 422 has typically been conducted in smaller streams with a lower range of 423 sizes (e.g. 10-15 m wide). However, increasingly large rivers are being 424 425 restored (e.g. 60 m wide) where a different definition is required, since a 0.2 m boulder won't have the same function in a larger river. 426

427 Ease of measurement (and visualisation) and consistency between reaches and across organisations were the most important factors 428 429 influencing boulder size definitions (Figure 5). One respondent expanded 430 to say that definition was not important, rather it was critical to be consistent between different projects. However, that said, the wide range 431 of responses within the same or similar agencies show that practitioners 432 may not be referring to similar grain sizes when communicating about 433 434 restoration of 'boulders'.

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Figure 4. (A) How do you define what a boulder is when you are restoring
a timber-floated stream? (B) If you selected a fixed definition, what
minimum size do you classify as a boulder?



442 *Figure 5. The reasoning behind boulder size definition indicates the* 443 *importance of ease of measurement, ease of visualisation and consistency* 

444 (between reaches and across organisations).

# 445 **4.4 Choosing a boulder definition**

The standardised size classifications (0.26 m Udden 1914; Wentworth, 446 447 1922; and 0.2 m; Bergquist et al., 2014; ISO 14688-1:2017) are well-448 suited where absolute particle size is of interest and provide consistency (Figure 4). However, such a definition does not take into account the 449 wider characteristics of the system and therefore, is unhelpful and 450 impractical as a process-based definition. We progress with the functional 451 definition of boulders as an infrequently mobilised particle which, when 452 entrained, constitutes significant geomorphic change (following Shobe et 453 454 al., 2021).

Perhaps an ideal definition of boulder size is relative to system power. In
rivers, this can be estimated from initiation of motion at a specific
discharge or a flow recurrence interval based on critical Shields forces
(*sensu*. Nitsche et al., 2011; Lamb et al., 2008; Keller et al., 2015; Polvi,
2021; Allemand et al., 2023). However, this definition still leaves a
continuum: e.g., should a boulder be a grain that moves in the 10-or the

100-year flood? Furthermore, a thorough understanding of the potential
entrainment processes is required and sometimes interest in boulder
mobility may relate to historic system powers (e.g. glacial processes
(Polvi, 2021), high-energy events (Greenbaum et al., 2020; Huber et al.,
2020) or volcanic processes (Williams et al., 2019).

A key finding from this research is the importance of fully considering the 466 implications of the definition (Table 4). Mason & Polvi (in press.) were 467 468 interested in associations between discharge, channel morphology and 469 boulder characteristics and therefore using discharge and slope in the definition of boulders would have been circular (Table 4). Estimations 470 based on another characteristic of the system (e.g. GSD or stream power) 471 472 are also sensitive to the reliability of that estimation. Estimation of critical entrainment thresholds for boulder influenced environments are especially 473 474 challenging (Carling & Tinkler et al., 1998; Lenzi et al., 2006; Naylor et al., 2016), and errors may vary systematically between sites in relation to 475 sediment or flow characteristics, potentially problematic for analysis. 476

477 When the process of interest is something other than relative mobility, a different measure than diameter may be more appropriate. Boulder 478 height has been used as an alternative to D<sub>84</sub> to understand the impacts 479 of boulders on flow in rivers, since this more meaningfully captures 480 481 protrusion of the grain into the flow and its hydraulic affects (Monsalve et al., 2017; Wiener & Pasternack 2022; Mason & Polvi, in press.). Dwyer et 482 al., (2021) studied the distribution of emergent boulders since these 483 484 provide essential functions for insect egg laying and emergence. 485 Increasingly, boulders are mapped from aerial imagery (e.g. Nativ et al. 2022; Allemand et al., 2023) or 3D point clouds (Wiener & Pasternack 486 2022) where alternative definitions may be more practical (Table 4). 487

489 <u>Table 4. Considerations for the choice of boulder size definition</u>

Definition	Advantages	Challenges
256 mm	<ul> <li>Corresponds to standardised measurements for boulders (e.g. ISO 14688-1:2017; Terry &amp; Goff, 2014)</li> </ul>	<ul> <li>Impractical to measure all grains at sites with coarse GSD</li> <li>Not functionally meaningful since at many sites these grains will move frequently</li> </ul>
Chosen fixed size definition (e.g. B <sub>1m</sub> )	<ul> <li>Easy to interpret and visualise</li> <li>Easy to measure</li> <li>Can be consistent between sites</li> <li>Independent of GSD and system power so suitable when associations between</li> </ul>	<ul> <li>Does not take into account power of system or relative size of other particles at the site. A large grain in one system may be a small grain in another.</li> <li>Can result in wide disparity in number of boulders measured between sites</li> </ul>

	these and boulder metrics are of interest	Typically an arbitrary cut-off
Relative to grain-size distribution (e.g. B <sub>D84</sub> )	<ul> <li>Takes into account other sediments and their role in influencing particle mobility</li> <li>May be used to estimate system power (e.g. in alluvial rivers where sediment size is sorted by the river)</li> <li>Relatively easy to measure</li> <li>Allows focus on the largest grains (rather than having to measure all grains &gt; a fixed cut off)</li> <li>Useful as a metric of channel roughness or degree of boulder influence</li> </ul>	<ul> <li>Implications for metrics of boulder number, density, coverage and diameter leading to non-intuitive associations with other river characteristics</li> <li>Affected by the whole GSD so quantity of fine sediment also influences what is categorised as a boulder</li> <li>Less appropriate in non-alluvial settings (where it can't be used as a proxy for system power) or those where GSD is influenced by humans</li> <li>Typically an arbitrary cut-off – what percentile of the GSD to choose?</li> </ul>
Relative to system power	<ul> <li>Most appropriate definition when grain mobility is the key process of interest</li> <li>Allows measurements to focus on geomorphologically important grains</li> </ul>	<ul> <li>Difficult to estimate and dependent upon the reliability of this estimation</li> <li>Variables used in the definition of boulder size (e.g. river discharge or slope) may affect the resulting boulder metrics</li> <li>Can be complicated to define transport process (e.g. historic or present day delivery or transport process?)</li> <li>Remains an arbitrary cut-off in mobility frequency</li> </ul>
Relative to water surface (e.g. protrusion at base flow)	<ul> <li>Work well for specific functions (e.g. for insect emergence; Dwyer et al., 2021)</li> <li>Practical for mapping from aerial imagery (e.g. Nativ et al., 2022)</li> </ul>	<ul> <li>Sensitive to flow stage (challenging when comparing between sites or over time when discharge may vary)</li> <li>Biased towards particles in shallow areas over those in the thalweg</li> <li>Also an arbitrary cut-off</li> </ul>

### 493 **5. CONCLUSIONS**

Definition of a minimum boulder size is a critical consideration in any 494 boulder study and has implications for estimation of boulder metrics. 495 Boulder definitions which are relative to characteristics of the system (e.g. 496 grain size or system power) have the potential to focus sampling efforts 497 498 on the most geomorphologically important grains, but need careful 499 consideration of their influence on calculated metrics, so that variables 500 used in the definition of boulders (e.g. sediment size or system power) 501 are not later treated as independent variables. In our analysis, classifying boulders  $> D_{84}$  resulted in fewer boulders at coarser channel grain sizes, 502 thus only the > 1 m definition provided a meaningful estimate of the 503 504 degree of boulder influence (e.g. density or coverage) in these channels. Similar complexities could arise from scaling boulder size based on 505 506 system power.

507 There is no one definition of boulder size, nor one preferable approach to 508 the definition process. Instead, three considerations are important:

- 509 Boulder definition should be appropriate to the project aims. This (1)510 should include explicit definition of what processes are of interest (e.g. boulder mobility, stability, influence on hydraulics, 511 512 sediment or biota) and the time period of interest (e.g. for rivers, contemporary typical flows (e.g. 1-10 yr), extreme flows (100 513 514 yr) or historic extremes, e.g. megafloods associated with glacial 515 meltwater). This should quide the most appropriate boulder definition. 516 (2) Consider the implications of the definition for the proposed 517 analysis. Would a different boulder definition influence the 518 results? When comparing between sites, should boulder 519 definition vary based on site characteristics or would this result 520 521 in a circular argument? Communication of the sensitivity of results to the chosen boulder 522 (3) definition. In our survey of practitioners, communication and 523 524 consistency were important to avoid confusion (Figure 4). This is
- equally true in research and we encourage future studies to
   evaluate how an alternative boulder metric would influence their
   conclusions.
- Large rocks are important structural elements in landscapes, affecting hydrological, geomorphological and ecological processes. Understanding, when a large rock can be considered a boulder typically receives little justification. We hope this article will encourage earth scientists to think critically about their choice of boulder size definition.

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701 *Psychological Methods*, *12*(4), 399–413. <u>https://doi.org/10.1037/1082-</u> 702 <u>989X.12.4.399</u> Supplementary material S1. Reach scale maps of each site.



Note that scales are unique to each map.







\*At Appojacka the river left side channel was separated by forest restricting survey using the total station. Several points were measured to map the channel and cross sections were measured manually. Boulders were also measured manually and were not surveyed using the total station. Therefore, variables requiring boulder distributions (e.g. boulder coverage and clustering) were only calculated for the main channel.





# Supplementary material S2. What is a boulder- survey questions *(English translation in italics)*

1. Hur definierar du vad ett block är när du restaurerar ett timmerflottade vattendrag?

How do you define what is a boulder when you restore a timber-floated stream?

A) Baserat på en specifik storlek av blockets diameter/omkrets (d.v.s. samma definition för alla sträckor/vattendrag)

Based on a specific size of the boulder's diameter/perimeter (in other words, the same definition for all reaches/streams)

B) Relativt till andra sedimentstorlekar i sträckan (d.v.s. varierar mellan fåror/sträckor beroende på sedimentstorleksfördelningen/ medelstorleken)

Relative to other sediment sizes in the reach (in other words, it varies between channels/reaches depending on the sediment size distribution/ median grain size)

C) Relativt till fårans storlek (t.e.x bredd eller djup) (d.v.s. varierar mellan fåror/sträckor)

*Relative to the channels size (e.g., width or depth) (in other words, it varies between channels/ reaches).* 

2. Om du svarade 'A' till fråga #1: Vilken storlek (diameter/bredd) använder du som minimum för att kalla något för ett 'block' (t.e.x. 0,26 m; 0,5 m; 1 m; 1.5 m; annat)?

If you answered 'A' to question #1: Which size (diameter/width) do you use as a minimum to call something a 'boulder' (e.g., 0.26 m; 0.5 m; 1 m; 1.5 m; other)?

3. Om du svarade 'B' till fråga #1: Hur definierar du vad ett block är relativt andra sedimentstorlekar?

If you answered 'B' to questions #1: How do you define what is a boulder relative to other sediment sizes?

4. Om du svarade 'C' till fråga #1: Hur definierar du vad ett block är relativt fårans storlek?

If you answered 'C' to question #1: How do you define what is a boulder relative to the channel's size?

5. Välj en eller flera av alternativen nedan för att förklara varför du valde 'A', 'B' eller 'C' till fråga #1:

Choose one or several of the alternatives below to explain why you choose "A", "B", or "C" to question #1:

- A) Man behöver inte mäta andra partiklar
- B) Det är enklare att visualisera
- C) För att vara konsekvent i restaurering mellan arbetslag i olika sträckor
- D) För att vara konsekvent i restaurering mellan olika län, kommuner, konsultbolag, osv.
- E) För att det är viktigt att ta hänsyn till andra sedimentstorlekar i en viss sträcka
- F) För att blockhabitat varierar beroende på fåransstorlek (t.ex. 2 m vs. 100 m bredfåra)
- G) Annat
- A) One doesn't have to measure other particles
- B) It is easier to visualize
- C) In order to be consistent in restoration between work-teams in different reaches
- D) In order to be consistent in restoration among different counties, townships, consulting companies, etc...
- E) Because it is important to take into account other sediment sizes in a given reach
- F) Because boulder-habitat varies depending on the channel's size (e.g., 2 m vs. 100 m wide channel)
- G) Other
- 6. Har du några andra kommentarer om hur du eller din organisation definerar 'block' i restaurering av timmerflottade vattendrag? Eller vill du förtydliga något av dina svar?

Do you have any other comments about how you or your organization defines 'boulders' in restoration of timber-floated streams? Or would you like to clarify any of your answers?