

1     **Ultrasonic degradation of GenX (HFPO-DA) - Performance comparison to**  
2                     **PFOA and PFOS at high frequencies**

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## 23 Abstract

24 Sonolytic degradation kinetics of hexafluoropropylene oxide-dimer acid (HFPO-DA or GenX)  
25 were studied for the first time at four high ultrasonic frequencies (375, 580, 860 and 1,140 kHz)  
26 and three power densities (200, 300 and 400 W/L), and compared to the degradation of previously  
27 studied perfluorooctanoic acid (PFOA) and perfluorooctanesulfonic acid (PFOS). The frequency  
28 of 580 kHz displayed the highest degradation of all three PFAS tested. Within each frequency, the  
29 degradation performance increased consistently with increasing power density. Degradation rates  
30 were highest for GenX over PFOA to PFOS ( $k_{\text{GenX}} = 0.0501 \text{ min}^{-1}$ ,  $k_{\text{PFOA}} = 0.0444 \text{ min}^{-1}$ ,  $k_{\text{PFOS}}$   
31  $0.0153 \text{ min}^{-1}$ ), which is in direct agreement with their reported thermal stability (PFOS > PFOA >  
32 GenX). No known by-product formation as expected from the literature on truncation mechanism  
33 was detected in the samples (i.e. shorter chain carboxylic acid PFAS). Fluorine mass balance  
34 experiments at 580 kHz and 400 W/L confirmed that GenX defluorinated fastest among the three  
35 tested PFAS and had lowest loss of fluorine in the mass balance. Degradation experiments with a  
36 mixture of all three PFAS displayed lower degradation rates than the individual experiments,  
37 where PFOA exhibited the largest reduction in degradation rate (by 31%), followed by GenX (by  
38 19%), and finally by PFOS (by 17%). Overall, our study demonstrates that ultrasound can provide  
39 effective destruction of different PFAS (70-90% fluorine from mitigated PFAS was detected as  
40 inorganic fluoride) with a similar energy demand to alternative PFAS treatment methods reported  
41 in literature.

42

43

44 **Keywords:** Cavitation; frequency; GenX; PFAS; sonolysis; ultrasonic treatment

45

## 46 List of Abbreviations

AOPs	Advanced oxidation processes
$E_{\text{EO}}$	Electrical energy per order [ $\text{kWh/m}^3$ ]
GenX	PFAS technology process name and abbreviation commonly used for HFPO-DA and its ammonium salt
HFPO-DA	Hexafluoropropylene oxide dimer acid
ISE	Ion-selective electrode
LC/MS-MS	Liquid chromatography coupled with tandem mass spectrometry
PFAS	Per- and polyfluoroalkyl substances
PFOA	Perfluorooctanoic acid
PFOS	Perfluorooctanesulfonic acid
TISAB	Total ionic strength adjustment buffer

47

## 48 **1. Introduction**

49 Per- and polyfluoroalkyl substances (PFAS) are a class of synthetic compounds with a carbon  
50 backbone on which the hydrogen is completely (**per**fluoroalkyl substances) or partially  
51 (**poly**fluoroalkyl substances) replaced by fluorine atoms<sup>1</sup>. Due to the protective hydrophobic  
52 “cloak” around the carbon backbone, PFAS possess features such as water and oil repellency, high  
53 chemical stability, higher thermal resistance, etc.<sup>2</sup> Their high mobility in the environment, and  
54 persistency towards thermal, chemical, and biological reactions, has caused the accumulation of  
55 various PFAS species in humans, animals, and the environment, resulting in the popular name  
56 “forever chemicals” for this group of chemicals.

57 Perfluorooctanoic acid (PFOA) and perfluorooctanesulfonic acid (PFOS) were among the first  
58 PFAS to be widely used and subsequently regulated<sup>3</sup>. To navigate emerging regulations for PFOA  
59 and PFOS, new species of PFAS were introduced by companies in their place. One such  
60 replacement came with the GenX process, nowadays used in place of PFOA for manufacturing  
61 fluoropolymers such as Teflon. The main chemical of the GenX process, hexafluoropropylene  
62 oxide dimer acid (HFPO-DA) and its ammonium salt are commonly referred to as GenX, and often  
63 found in water bodies near suspected PFAS point sources<sup>4,5</sup>. Considering that GenX only exists as  
64 HFPO-DA in water (salt dissociation), in this work we refer to both HFPO-DA and its ammonium  
65 salt as GenX.

66 Several destructive technologies have shown potential for PFAS treatment in the past, from  
67 photocatalysis<sup>6</sup>, radiolysis<sup>7</sup>, and electrochemistry<sup>8,9</sup>, to different thermal and non-thermal  
68 destructive processes<sup>10</sup> such as ultrasonication, supercritical water oxidation<sup>11</sup>, and nonthermal  
69 plasma<sup>12</sup>. Regardless, there are currently no destructive processes used at full scale for PFAS  
70 treatment, and due to high operational costs, harmful byproduct formation, slow kinetics and/or  
71 complexity of effective processes, a hybrid system is likely necessary to minimize the drawbacks  
72 and emphasize the strengths of processes in use. To achieve this, a better understanding is needed  
73 of where these limitations and strengths of individual processes lie.

74 Ultrasonication represents the acoustic irradiation of a liquid at ultrasonic frequencies (>20 kHz)  
75 which induces formation and collapse of bubbles in the liquid (a.k.a. transient cavitation)<sup>13</sup>. The  
76 pressure changes in the liquid from the sound wave propagation result in the formation of cavities  
77 or microbubbles in the liquid during low pressure events which then proceed to oscillate, growing  
78 with each oscillation until they reach the critical radius and eventually undergo a violent collapse<sup>14</sup>.  
79 These occurring transient adiabatic cavitation events produce localized hot spots with temperatures  
80 of 5000 degrees Celsius and pressures of 500 atmospheres in the bubble core<sup>15,16</sup>. The thermal  
81 energy generated by this bubble implosion facilitates the decomposition of PFAS molecules that  
82 tend to accumulate on the bubble surface due to their amphiphilic nature<sup>17,18</sup>. The thermolysis of  
83 PFAS molecules transforms them into their inorganic constituents (F<sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, CO, and CO<sub>2</sub>)<sup>19</sup>. In  
84 addition, the high temperature and pressure produce the hydroxyl radical (OH•), potentially  
85 contributing to the degradation of more susceptible intermediates. The thermolysis induced by  
86 bubble implosion events is treated as the key driver of PFAS degradation in this study, considering  
87 literature reports that the hydroxyl radical is highly ineffective at PFAS degradation<sup>20,21</sup>. Bubble

88 population density, critical bubble radius, transient collapse temperature, and the PFAS  
89 degradation rates are all influenced by sonochemical parameters like the operational ultrasonic  
90 frequency<sup>22-24</sup>, applied power density<sup>25</sup>, shape and design of the reactor, physiochemical properties  
91 of dissolved gases and liquid medium, surrounding pressure field in the reactor, and operating  
92 temperature<sup>26,27</sup>.

93 As discussed in a recent meta-analysis paper by Sidnell et al. (2022)<sup>28</sup>, despite over 30 research  
94 papers reporting on PFAS degradation with ultrasound, the dependency of degradation  
95 performance on sonochemical parameters is still not fully understood. The root cause of this is the  
96 lack of common ground for comparison of experimental data. Different concentration ranges,  
97 matrix compositions, reactor layout and operational parameters make drawing overarching  
98 conclusions from the available studies difficult. As broken down in detail in the meta-analysis, a  
99 study by Campbell & Hoffmann (2015)<sup>29</sup> found that PFOA and PFOS degraded most efficiently  
100 at 358 kHz between 202, 358, 619, and 1,060 kHz tested (a trend later validated for PFOS by  
101 Wood et al. (2019)<sup>30</sup>), and observed an increase in degradation performance when increasing the  
102 power density. Two studies by Rodriguez-Freire et al. (2015, 2016)<sup>31,32</sup> examined the effect of two  
103 ultrasonic frequencies (500 and 1,000 kHz) on the degradation of PFOS and aqueous firefighting  
104 foam mixtures, and reported greater fluoride release and total organic carbon removal at 1,000 kHz  
105 (PFAS concentrations not quantified directly). It is important to point out that while Campbell &  
106 Hoffmann used a reactor where the PFAS solution is in direct contact with the transducer,  
107 Rodriguez-Freire et al. applied differing vessel geometries and reactor volumes (and consequently  
108 power density) between frequencies. Such fundamental differences in experimental approaches  
109 are likely to affect the propagation of ultrasound and the efficiency of energy transfer into the  
110 treated solution, rendering the mentioned studies incomparable. A study by Asakura & Yasuda  
111 (2021)<sup>33</sup> reported a strong dependency of sonochemical efficiency on ultrasonic power and  
112 frequency, further emphasizing the need for data collection on the topic.

113 This study therefore aims to provide transferable knowledge in ultrasonic degradation of PFAS by  
114 investigating the effect that four different high ultrasonic frequencies at several power densities  
115 have on the degradation kinetics of three selected PFAS (i.e., GenX, PFOA, and PFOS) by using  
116 a reactor with an interchangeable transducer of variable frequency output. The ultrasonic  
117 degradation of GenX is reported in this study for the first time. Considering the observations made  
118 in previous studies, we conduct all degradation experiments by varying only the output frequency  
119 and power, providing a controlled environment that allows us to compare the degradation of the  
120 three tested PFAS under identical conditions. Furthermore, we examine the formation of unknown  
121 stable fluorinated byproducts at optimal degradation settings with fluorine mass balance  
122 experiments.

123 Finally, we propose the use of calorimetric efficiency as a surrogate parameter to normalize the  
124 power density applied to the treated solution, since the calorimetric efficiency directly indicates  
125 the relative amount of grid energy introduced to the bulk of solution as thermal energy over time.  
126 As such, it could prove as a simple to determine reactor parameter that encompasses more difficult  
127 to quantify parameters and phenomena that result from varying reactor geometries, distances and  
128 solid barriers between transducer and sample, and similar.

## 129 **2. Materials and methods**

### 130 **2.1. Consumables**

131 A full list of PFAS chemicals and standards used in this study can be found in Table S5 of the  
132 supporting information.

133 Glacial acetic acid ( $\text{CH}_3\text{COOH}$ ) and reagent grade sodium chloride ( $\text{NaCl}$ ), as well as LC-MS  
134 grade methanol ( $\text{CH}_3\text{OH}$ ) and 99% formic acid ( $\text{HCOOH}$ ) were acquired from VWR. Fluoride  
135 standard solution (1 g/L) was purchased from Sigma-Aldrich. All solutions were prepared with  
136 ultrapure water. Thermo Fischer Scientific Orion Star A214 series benchtop pH/ISE meter, Ross  
137 Ultra glass combination pH electrode, and 9609BNWP Fluoride Combination Electrode were  
138 purchased directly from Thermo Fisher Scientific.

139 Polypropylene labware was used exclusively in preparation of all experiments and measurements  
140 (volumetric flasks, beakers, wide-neck bottles, funnels, pipette tips).

### 141 **2.2. Experimental setup and equipment**

142 For all high-frequency ultrasonic cavitation experiments, a custom reactor manufactured by  
143 Meinhardt Ultrasonics (Leipzig, Germany) was used. The experimental setup consisted of a  
144 frequency generator, a power amplifier, a 1 L double-walled glass reactor, and two interchangeable  
145 transducers to generate different ultrasonic frequencies. A closed loop cooling thermostat LAUDA  
146 Alpha RA 8 was used for reactor cooling.

147 The grid power consumption of the system was measured with a CLM1000 professional plus watt  
148 meter (Christ-Elektronik GmbH, Memmingen, Germany). A schematic diagram of the  
149 experimental setup and pictures of the reactor can be found in the supporting information (Figures  
150 S1 and S2, respectively). All access ports were sealed with silicon caps during the experiments to  
151 minimize losses. The frequency signal generator supplies the desired frequency signal to the power  
152 amplifier, which amplifies the current and voltage and delivers the signal to the flat transducer  
153 attached to the bottom of the glass reactor. The transducer introduced the ultrasound frequency  
154 directly to the liquid medium. Both the generator and amplifier were connected to the power grid  
155 and one power reading device was used to monitor the actual power that was consumed by both.

### 156 **2.3. Experimental procedures**

157 The cooling thermostat was set to 15 °C throughout the experiments. The PFAS solution was  
158 always first kept in the reactor for 15 minutes with the cooling recirculation powered on before  
159 starting the ultrasonic transducer. The initial PFAS concentration was always 1 mg/L unless stated  
160 otherwise and the pH was adjusted to 7 before starting an experiment. Batch volume was always  
161 500 mL. For the first set of experiments, the degradation kinetics for each PFAS individually (i.e.,  
162 GenX, PFOA and PFOS) were determined at different frequencies of 375, 580, 860 and 1,140 kHz  
163 and power densities of 200, 300 and 400 W/L. Power densities were adjusted by applying the  
164 required power on top of a constant idle power of 130 W, i.e., a power reading of 230 W was set

165 for 100 W of active energy input, resulting in a power density input of 200 W/L. The idle power  
166 consumption is a property of the reactor system, where the system when turned on but not emitting  
167 ultrasonic frequency consumes a constant amount of power (cooling fans, internal electronics).  
168 Control experiments without ultrasonic irradiation showed no concentration changes after 90  
169 minutes.

170 At predefined time intervals the reactor was shut off for sampling (1.5 mL samples for PFAS  
171 quantification) and *in-situ* pH and temperature measurements (done by lowering the temperature  
172 and pH probes into the reactor from above). A final sample of 12.5 mL was taken at the end of  
173 each experiment and used for quantification of PFAS and inorganic fluoride. Secondly, individual  
174 degradation experiments were repeated at 580 kHz and 400 W/L in order to obtain fluoride  
175 generation kinetics (by taking 12.5 mL samples at each time interval). Finally, all 3 PFAS were  
176 prepared in a single solution where each PFAS had a concentration of 1 mg/L and two experiments  
177 were carried out at optimum settings (one with small sample volumes and one with large sample  
178 volumes) to compare the degradation and mineralization kinetics of each PFAS in mixture vs  
179 individually. Small changes in degradation kinetics that occurred throughout the large sample  
180 experiments due to batch volume changes were disregarded and pseudo-first order kinetics were  
181 used to obtain kinetic rate constants.

182 The different criteria, objectives and experimental conditions of each experiment type that was  
183 conducted are listed in Table S1 of the supporting information.

#### 184 **2.4. Instrumental analysis**

185 PFAS concentrations were quantified using an LC/MS-MS system (Agilent 1260 Infinity,  
186 ABSciex Qtrap 5500). The analytical method for this system was developed taking into  
187 consideration the German standard method DIN 38407-42, and the US Environmental Protection  
188 Agency Method 537.1. A full list of PFAS species included in the analytical method, dilution  
189 protocols for the samples, analytical and internal PFAS standards and the full explanation of the  
190 analytical method can be found in section 1.4 of the supporting information. A separation column  
191 from Waters (XSelect HSS T3, 100 Å, 3.5 µm, 2.1 mm x 100 mm) and the delay column from  
192 Agilent (ZORBAX Eclipse plus; C18 95 Å, 3.5 µm, 4.6 mm x 30 mm) were used. The established  
193 method had an effective detection range between 5 and 5,000 ng/L. Polypropylene LC vials and  
194 polyethylene snap-on caps from Agilent were used in preparation of all LC-MS samples and  
195 calibration standards.

196 Free fluoride ions in the extracted samples were measured by using an ion-selective electrode (ISE)  
197 and a benchtop pH/ISE meter. Since the experimental samples had low ionic strength that did not  
198 contain any Fluoride complexing agents and had fluoride concentrations of less than 0.38 ppm, the  
199 low-level Total ionic strength adjustment buffer (TISAB) method from the manufacturer manual  
200 was followed. Details about the preparation of the low-level TISAB and the procedure of  
201 measuring fluoride ions with ISE can be found in section 1.3 of the supporting information.

## 202 2.5. Data analysis and interpretation

### 203 2.5.1. Effect of frequency and power density on physical properties of transient bubbles

204 Applied power to an ultrasonic transducer is directly proportional to the acoustic intensity,  $I$ ,  
205 (which represents the power per unit surface of transducer) and therefore to the acoustic pressure  
206 amplitude<sup>34</sup>,  $P_A$ , as presented in equation 1:

207

$$I = \frac{P_A^2}{2\rho c} \quad \text{Equation 1}$$

208

209 Where  $\rho$  is the density of the liquid and  $c$  is the speed of sound in the liquid. For the purpose of  
210 this study, all calculations were done using properties of water as the liquid medium. Higher  
211 intensity can generate higher acoustic pressure resulting in an increase in radius, growth speed,  
212 and formation rate of the cavitation bubbles<sup>35,36</sup>. The bubble dynamics can also be influenced by  
213 the frequency of ultrasound. According to equation 2, the maximum radius of a cavitation bubble  
214  $R_{max}$  depends on applied ultrasonic frequency,  $\omega_r$ , acoustic pressure amplitude,  $P_A$  hydrostatic  
215 pressure,  $P_H$ , and density of the liquid,  $\rho$ , while bubble collapse time,  $\tau$  is directly related to the  
216 critical radius of bubble,  $R_{max}$ , pressure in the liquid,  $P_m (= P_A + P_H)$ , pressure in the bubble,  $P_{vg}$ ,  
217 and density of the liquid,  $\rho$  (equation 3)<sup>34</sup>.

218

$$R_{max} = \frac{4}{3\omega_r} (P_A - P_H) \left(\frac{2}{\rho P_A}\right)^{\frac{1}{2}} \left[1 + \frac{2(P_A - P_H)}{3P_H}\right]^{\frac{1}{3}} \quad \text{Equation 2}$$

$$\tau = 0.915 R_{max} \left(\frac{\rho}{P_m}\right)^{\frac{1}{2}} \left(1 + \frac{P_{vg}}{P_m}\right) \quad \text{Equation 3}$$

219 Based on equations 2 and 3, maximum radius, collapse time, and surface area-volume ratio of  
220 bubbles were calculated for all applied frequencies and power densities and are presented in Table  
221 1.

222

223

224 **Table 1.** Calculated cavitation bubble properties at all applied ultrasonic frequency and power densities.

Frequency, $\omega_r$ (kHz)	Applied power density (W/L)	Intensity, I ( $\text{W}/\text{cm}^2$ )	Pressure amplitude, $P_A$ (atm)	$R_{\max}$ ( $\mu\text{m}$ )	Collapse time, $\tau^*$ ( $\mu\text{s}$ )	Area-Volume ratio (A/V) ( $\mu\text{m}^{-1}$ )
375	200	2.26	2.57	10	0.48	0.30
	300	3.40	3.15	13.12	0.59	0.23
	400	4.53	3.64	15.62	0.66	0.19
580	200	2.26	2.57	6.48	0.31	0.46
	300	3.40	3.15	8.49	0.38	0.35
	400	4.53	3.64	10.10	0.43	0.30
860	200	2.26	2.57	4.37	0.21	0.69
	300	3.40	3.15	5.72	0.26	0.52
	400	4.53	3.64	6.81	0.29	0.44
1140	200	2.26	2.57	3.30	0.16	0.91
	300	3.40	3.15	4.32	0.19	0.69
	400	4.53	3.64	5.14	0.22	0.58

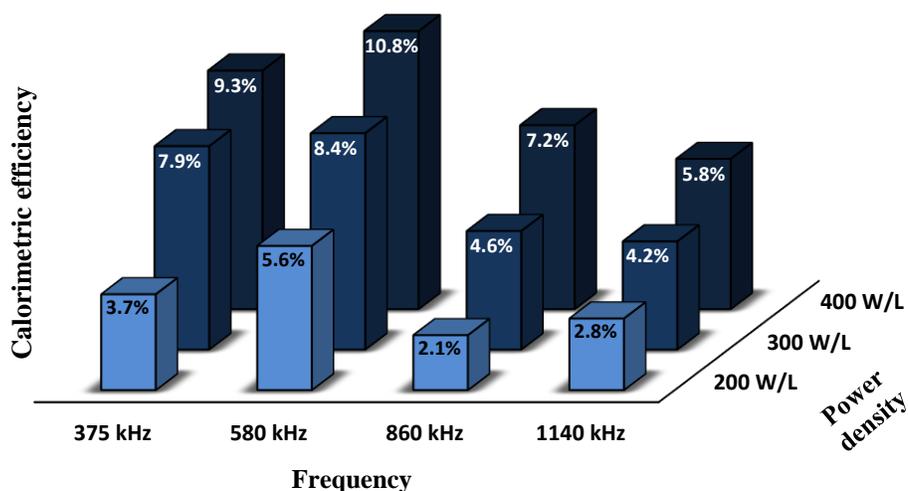
225 \*Assuming  $P_{vg} = 0$  and there was no influx of gas into the cavity during the growth cycle of the transient bubble.

226 From the calculations we can see that with an increase in frequency, maximum radius and  
 227 consequently the surface area of a bubble decrease, while the ratio of surface area to volume  
 228 increases. With a larger maximum radius, the collapse core temperature also increases<sup>16</sup>.

### 229 2.5.2. Calorimetric efficiency of reactor

230 Calorimetric measurements were done to quantify the thermal energy introduced to the water by  
 231 the reactor through cavitation in comparison to the energy consumed from the power grid. To  
 232 measure the calorimetric efficiency at each operational setting, the reactor was operated for 20  
 233 minutes with 500 mL of water at each frequency and power setting without cooling. The  
 234 temperature of the water was measured every minute, while the grid power consumption was  
 235 logged by the power reading device. After first calculating the introduced thermal energy (i.e.,  
 236 output power), we compared this energy to the energy consumed by the reactor in the same time  
 237 frame (i.e., input power including the idle power consumption) and obtained the calorimetric  
 238 efficiency of the reactor at different frequencies and power settings. For simplicity, we disregarded  
 239 the heat capacity of the thin glass walls and considered the outer chamber of the reactor an insulator  
 240 for the system as it is filled with air.

241 The calorimetric energy efficiencies plotted in Figure 1 show that the calorimetric efficiency of  
242 the reactor increases with the increase in power density at each frequency, implying a larger  
243 relative number of cavitation events per unit time and thus a higher amount of the consumed  
244 electrical energy introduced into the system as heat. Unlike power density, frequency does not  
245 display a steadily increasing trend, but rather an efficiency maximum at 580 kHz. Data that was  
246 obtained experimentally and calculated for the purpose of determining the calorimetric efficiency  
247 is provided in Table S11 of the supporting information.



**Figure 1.** Calorimetric energy efficiencies for different frequency and power settings

248

### 249 2.5.3. Reaction kinetics

250 All three sonochemical degradation profiles for GenX, PFOA and PFOS fit best with a pseudo-  
251 first-order kinetics model, depicted in linearized form in equation 4:

$$\ln\left(\frac{c_t}{c_0}\right) = -kt \quad \text{Equation 4}$$

252 Where  $c_t$  indicates the molar concentration of the targeted compound at time  $t$ ,  $c_0$  depicts initial  
253 concentration and  $k$  is the pseudo-first-order rate constant.

254 Slope values and the standard error for the determined degradation rate constants were obtained  
255 using the LINEST function in Excel.

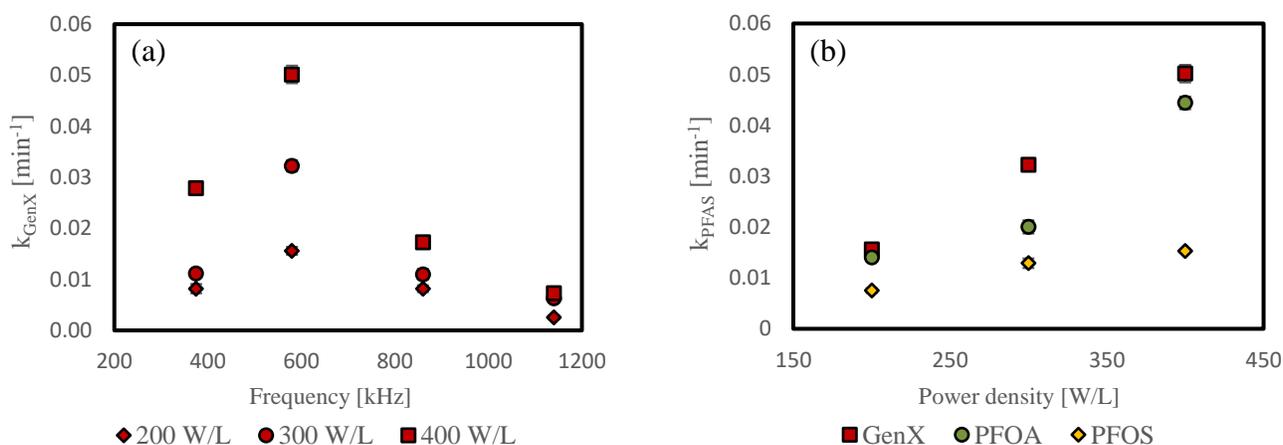
256

### 257 3. Results and discussion

258

#### 259 3.1. Frequency and input power effect on PFAS degradation kinetics

260 Figure 2 represents the degradation rate constants from individual degradation experiments for  
261 GenX at all frequency and power settings (Figure 2a), as well as the degradation rates for all three  
262 PFAS at 580 kHz, the frequency which demonstrated highest degradation of all three PFAS (Figure  
263 2b). Degradation rate constants were obtained using linear regression and the standard errors are  
264 displayed. The majority of  $R^2$  values varied from 0.97 – 0.99, although with several values in the  
265 0.93-0.97 range, mainly at settings with lower degradation observed. A full overview of the  
266 degradation rate constants for each PFAS at all power and frequency settings can be found in Table  
267 S12 of the supporting information. The linearized pseudo-first order degradation kinetics plots for  
268 each PFAS at varying power density and frequency settings are provided in the SI (Figures S3-  
269 S5). It is important to point out that all obtained degradation rates for identification of optimal  
270 settings were obtained from single kinetics experiments with a minimum of six data points. There  
271 is high certainty from referenced literature that PFAS degradation with ultrasound follows pseudo-  
272 first order kinetics. This, together with our experimental design ensuring minimal influence of  
273 external factors led us to hypothesize that any replicates would provide very minor deviation in  
274 data. A single experiment was conducted in duplicate (PFOA @ 580 kHz & 400 W) to test this  
275 hypothesis, where nearly identical degradation performance was observed in both runs, with  
276 differing starting concentrations (first order degradation rate is independent of initial  
277 concentration, providing further confidence in the models). The duplicate experiment comparison  
278 is presented in supporting information Figure S6.



279 **Figure 2.** Pseudo-first-order kinetic rate constants for (a) GenX at all frequency and power density settings,  
280 and (b) all three PFAS at 580 kHz frequency, based on individual degradation experiments. The error bars  
281 represent standard errors for the degradation rate constants obtained from the LINEST function in excel. If  
282 not visible, calculated error bars were smaller than the corresponding data point symbol.

283 The degradation rates of PFOA, PFOS, and GenX all followed the same trend and achieved  
284 maxima at 580 kHz frequency and 400 W/L power density, highlighting these settings as optimal

285 for PFAS degradation from the ones tested and implying a complex codependence of operational  
286 parameters in sonolysis. Independent of frequency, a consistent rise in degradation rate can be  
287 noticed with the increase in power density within each single frequency, with a more observable  
288 increase at 375 and 580 kHz frequencies. The temperature in the reactor appeared stable  
289 throughout every experiment ( $\Delta t \approx 1$  °C), and stabilized between 16 - 21 °C depending on the  
290 operational parameters. Generally, slightly higher stationary temperatures were observed at  
291 operational parameters with higher calorimetric efficiencies. The pH change in experiments  
292 corresponded to the observed degradation performance, with the lowest measured pH being 3.6  
293 for all 3 PFAS. Temperature measurements, pH values, and fluoride and PFAS concentration data  
294 are available for download as an Excel file from the Zenodo repository<sup>37</sup>.

### 295 **3.1.1. Discussion on degradation mechanism**

296 Certain wave and bubble properties could explain the observed degradation performance in Figure  
297 2. While frequency indicates the number of periods a wave achieves in one second, one period is  
298 the time a wave takes to complete one full vibrational cycle (one compression and one rarefaction  
299 cycle) and can be derived directly from the frequency. Therefore, one full compression/rarefaction  
300 cycle lasts one half-period, or in the case of 1,140 kHz which has a period of 0.88  $\mu\text{s}$ , each of these  
301 cycles lasts 0.44  $\mu\text{s}$ . This half-period is significantly shorter than the 1.33  $\mu\text{s}$  half-period (and thus  
302 rarefaction cycle) of 375 kHz. The collapse time of a cavitation bubble is also a function of  
303 ultrasonic frequency and power, as mentioned above in equation 3 and calculated for all our  
304 settings in Table 1, where we see that the bubble collapse time increases with the increase in power  
305 input at each frequency. In the case of 1,140 kHz, the collapse time at 400 W/L equals the time  
306 between the maximum pressure of the compression cycle and the beginning of a rarefaction cycle  
307 (0.22  $\mu\text{s}$ ). A greater number of bubbles are therefore presumed to experience stable oscillation, as  
308 the next rarefaction cycle begins before the bubble completes the collapse<sup>34,35</sup>. In essence, the  
309 bubbles keep oscillating in phase with the rarefaction and compression cycles, growing slowly  
310 through rectified diffusion but never undergoing transient collapse, which could explain the  
311 significantly reduced performance observed at 1,140 kHz.

312 According to previous findings reported in literature, after the interfacial adsorption of PFAS  
313 molecules to the surface of the cavitation bubbles, further degradation occurs via pyrolytic  
314 cleavage of the ionic head group from the perfluorinated tail<sup>19,38</sup>. However, not all authors agree  
315 on this concept as they observed quite the opposite trend which shows shortening of perfluoro tails  
316 as the initial stage of degradation rather than the cleavage of the head group<sup>31,39</sup>. Based solely on  
317 the bond dissociation energies, it is more likely that the PFAS degradation begins with headgroup  
318 cleavage, since C-F bond energies ( $\approx 440\text{-}530$  KJ/mol)<sup>40</sup> are higher than that of C-C ( $\approx 348$   
319 kJ/mol)<sup>40</sup> or C-S ( $\approx 301\text{-}355$  kJ/mol)<sup>41</sup> bond. In terms of degradation of GenX, it is assumed that  
320 the cleavage of distinctly weak C-O and secondary C-F bonds happen first<sup>42</sup>. After headgroup  
321 cleavage, literature suggests that further degradation proceeds via one of two mechanisms: (1)  
322 repeated oxidation and truncation, which means formation of shorter chain carboxyl PFAS during  
323 degradation and (2) immediate thermolysis within the bubble<sup>28</sup>. Shende et al. (2019)<sup>43</sup> opposed  
324 this headgroup cleavage theory and suggested that PFAS molecules arrange themselves around  
325 bubble interface non-uniformly when the concentration is below critical micelle concentration and  
326 would not necessarily undergo headgroup cleavage.

327 As we did not measure  $\text{SO}_4^{2-}$  concentration we cannot confirm the headgroup cleavage theory.  
328 However, we integrated (among a few other) a full series of carboxylic acid PFAS with 3-9 carbons  
329 into our LC/MS-MS method (see chapter 1.4 of the supporting information for a full list of  
330 compounds tracked) to investigate the first reported mechanism of repeated oxidation and  
331 truncation. No formation of shorter chain PFAS was observed in our degradation experiment  
332 samples. Considering the high sensitivity of the LC/MS-MS system (5 – 5,000 ng/L), direct  
333 injection of sample series without dilution was not possible (due to initial PFAS concentrations of  
334 1 mg/L). Regardless, final samples from experimental series were re-measured with lower  
335 dilutions for confirmation, and no peaks were standing out from the short-chain PFAS noise  
336 signals. This leads us to conclude that the degradation, while it might be initiated via headgroup  
337 cleavage, does not propagate via the cleavage-oxidation mechanism suggested thus producing  
338 shorter chain carboxyl PFAS, but rather via immediate pyrolysis of parent PFAS and unknown  
339 fluorinated species within the bubble.

340 This conclusion is further supported by the trends observed in degradation kinetics of the three  
341 PFAS. The degradation rate for all three PFAS reached the peak at 580 kHz frequency and 400  
342 W/L power density. Among the three PFAS, GenX displayed the highest while PFOS exhibited  
343 the lowest degradation rate. The observed variation in degradation performance at optimal settings  
344 is in direct agreement with the literature-reported thermal stability of the three species of PFAS  
345 (PFOS > PFOA > GenX)<sup>44</sup>, indicating that thermolysis plays a major role in the sonolytic  
346 degradation of PFAS. Finally, fluorine mass balances at optimal settings (discussed in more detail  
347 in chapter 3.4.) indicate almost immediate release of fluoride from the degraded PFAS. Some loss  
348 of fluorine in the mass balance is observed in the data (70-90% of mitigated PFAS detected as  
349 inorganic fluoride). Literature reports state that it is unlikely that fluorine losses occur via gas  
350 phase<sup>28</sup>, but rather remain in solution as unknown and sonolysis-resistant fluorinated species which  
351 form due to incomplete pyrolysis of the parent PFAS.

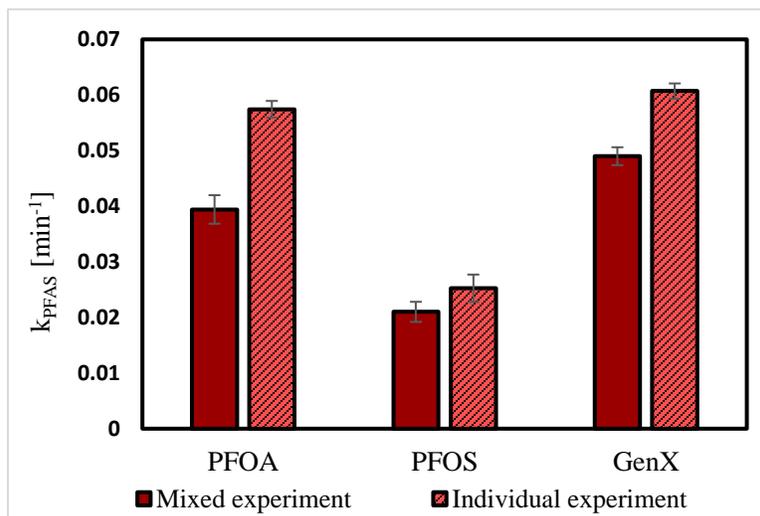
352 While all three PFAS degrade quickest at 580 kHz, we observe 375 kHz as the second-best  
353 frequency for PFOS, whereas the 860 kHz frequency acts as the second-best for PFOA. At 375  
354 kHz, the bubble population is lower, while bubbles are larger and collapse with higher core  
355 temperatures. At 860 kHz, the bubble population is higher, while the bubbles are smaller and  
356 collapse with lower core temperatures<sup>16,45</sup>. This aligns with the report by Shende et al.<sup>43</sup>, where the  
357 authors postulate that diffusion to the bubble interface acts as the rate limiting step in the  
358 degradation of PFOS and PFOA, and that due to the higher specificity constant of PFOS ( $5.84 \text{ M}^{-1}\text{min}^{-1}$ )  
359 compared to PFOA ( $0.85 \text{ M}^{-1}\text{min}^{-1}$ ), the bubble interface has a higher affinity towards  
360 PFOS. Therefore, a lower number of active cavity sites with a higher collapse temperature are  
361 better suited for PFOS degradation, as is the case with 375 kHz.

362

### 363 **3.1.2. Degradation performance at higher total PFAS concentration**

364 A mixture of all three PFAS (1 mg/L each, 3 mg/L total) was treated at 580 kHz and 400 W/L to  
365 study how a higher total PFAS concentration affects the degradation kinetics of the PFAS species  
366 compared to their individual degradation experiments. A comparison of the degradation rates is

367 displayed in Figure 3. Linearization plots and large sample kinetic rate constant values are  
368 provided in the supporting information (Figure S7 and Table S13, respectively).



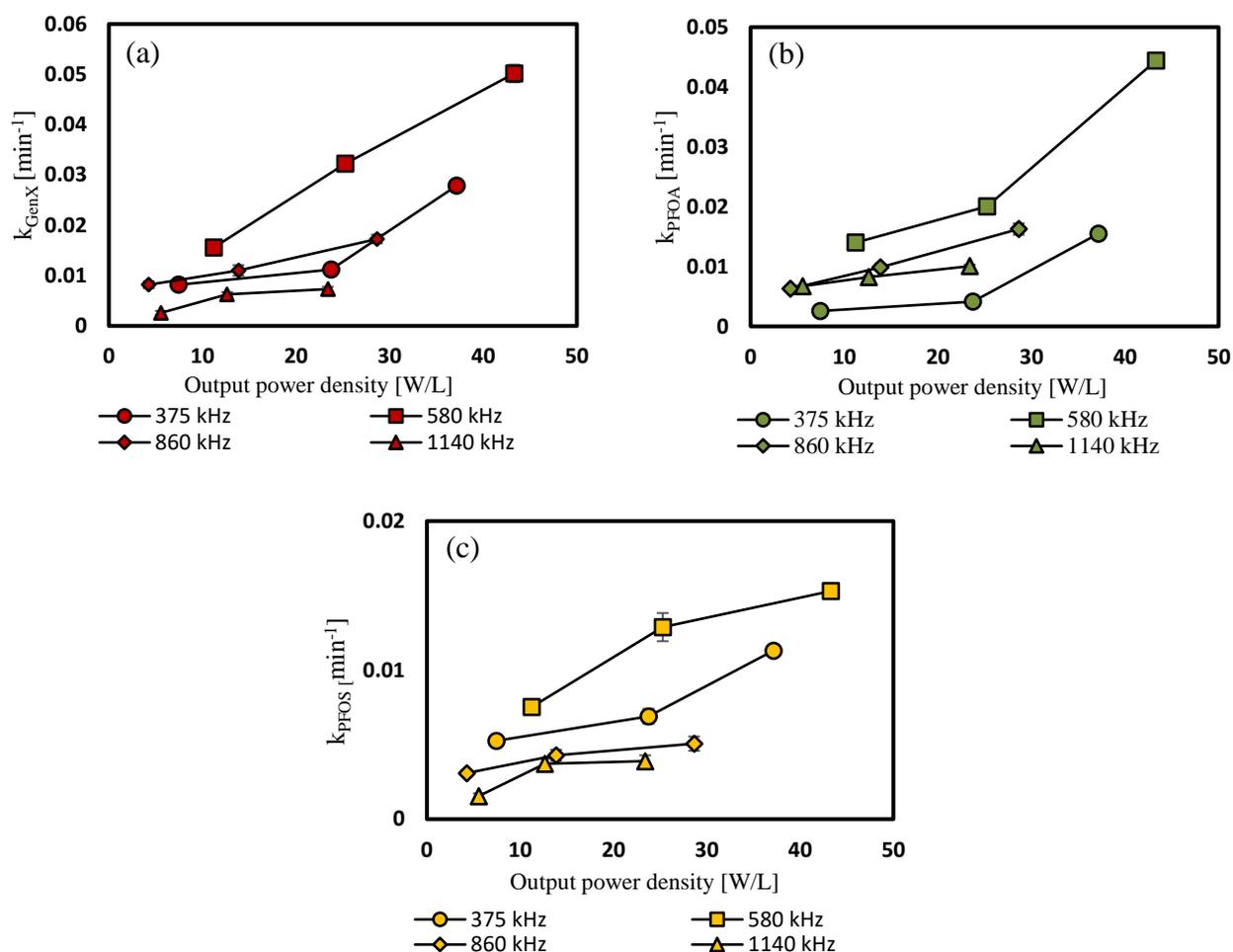
**Figure 3.** Degradation rate constant comparison between individual and mixture experiments for PFOA, PFOS, and GenX. Based on large sample kinetics The error bars represent standard errors for the degradation rate constants obtained from the LINEST function in excel.

369 A 31% reduction in degradation rate is observed for PFOA, 17% for PFOS and 19% for GenX in  
370 the experiments with the PFAS mixture. Reduced overall degradation performance is expected at  
371 higher initial concentrations due to a finite amount of available thermal energy in a bubble collapse  
372 event, which also hints at issues with degradation efficiency in highly contaminated water  
373 matrices, either due to thermal energy limitations, or due to species competing for sites on the  
374 bubble interface<sup>46</sup>.

375 The smallest reduction in performance that was observed for PFOS can be attributed to the much  
376 higher bubble surface activity of PFOS compared to PFOA<sup>47</sup>. No study on surface activity of GenX  
377 was found in literature, but if surface activity is the main driver of the observed variations, we can  
378 place the surface activity of GenX as lower than that of PFOS and higher than that of PFOA.

### 379 **3.2. Output power as a measure of degradation performance**

380 The calorimetric efficiency trends observed in Figure 1 bring to question whether the calorimetric  
381 efficiency is the underlying reason for the changes in degradation efficiency we observed at  
382 different settings. To evaluate this, we plotted the degradation rate constants determined for GenX,  
383 PFOA, and PFOS against the calorimetry-determined output power (Table S11) in Figure 4.



384 **Figure 4.** Degradation rate constants for (a) GenX, (b) PFOA, and (c) PFOS plotted against  
385 the output power calculated using the determined calorimetric efficiencies of the reactor at  
386 different operational settings. The error bars represent standard errors for the degradation  
387 rate constants obtained from the LINEST function in excel. If not visible, calculated error  
388 bars were smaller than the corresponding data point symbol.

389 Different trends are observed depending on the PFAS, apart from 375 kHz where a rising trend is  
390 apparent for all three PFAS. While 580 kHz displays a steady increase in degradation rate with  
391 GenX, a rising trend is observed for PFOA while the increase in degradation rate for PFOS  
392 declines. We can therefore claim (albeit with limitations) that the degradation efficiency for PFAS  
393 at a specific frequency directly correlates to the thermal energy introduced to the treated solution  
394 and therefore to the power applied, and more importantly, it is dependent on the calorimetric  
395 efficiency of the reactor at the given settings. The relationships between the degradation rate and  
396 calorimetric energy inputs however require further understanding. We recommend reporting  
397 reactor calorimetric efficiencies in future work for easier harmonization of experimental data and  
398 further insight into the relationship between calorimetric and degradation efficiency.

### 399 3.3. Treatment energy efficiency per order of degradation

400 Critically assessing advanced oxidation processes (AOPs) for their feasibility, operation costs and  
 401 sustainability has been a long-standing issue in the community. The electrical energy per order  
 402 ( $E_{EO}$ ) was recommended (and accepted by IUPAC in 2001<sup>48</sup>) as a figure of merit for easier  
 403 comparison of electrical-driven AOPs. It is defined as the electrical energy in kWh required to  
 404 degrade a contaminant C by one order of magnitude in 1 m<sup>3</sup> of contaminated water<sup>49</sup>, which in our  
 405 case is obtained by multiplying the time required to achieve 90% degradation at each operational  
 406 settings (calculated using the degradation rate constants) with the measured power input ( $P_{input}$ ) of  
 407 the system. When corrected for units and batch volume, we obtain Equation 5 for calculating the  
 408  $E_{EO}$  in our experiments:

$$E_{EO} = \frac{\frac{\ln 0.1}{-k_{PFAS}} \times P_{input}}{30} \quad \text{Equation 5}$$

409 Certain conditions need to be met for accurate comparison of  $E_{EO}$  values between various AOPs<sup>50</sup>,  
 410 such as initial contaminant concentrations greater than 1 mg/L, and certainty that the operational  
 411 parameters are optimized. In this study, the  $E_{EO}$  values were used to assess the implied treatment  
 412 costs at the various settings and were therefore calculated for all applied frequency-power settings  
 413 and presented in Table 2. Note that energy data are derived from comparably inefficient lab-scale  
 414 experiments and substantial improvement can be expected from reactor and transducer  
 415 optimization.

416 **Table 2.** Electrical energy  $E_{EO}$  required to degrade initial PFAS by one order of magnitude based on grid  
 417 power consumption and obtained degradation kinetics at different frequencies and power densities.

$E_{EO}$ [kWh/m <sup>3</sup> ]	Applied power density [W/L]	Frequency [kHz]			
		375	580	860	1140
<b>GenX</b>	200	2144	1129	2140	7033
	300	1914	678	1942	3477
	400	882	502	1490	3460
<b>PFOA</b>	200	6833	1258	2791	2713
	300	5161	1092	2165	2661
	400	1587	567	1582	2521
<b>PFOS</b>	200	3337	2340	5671	11763
	300	3102	1697	4997	5891
	400	2172	1644	5079	6510

418

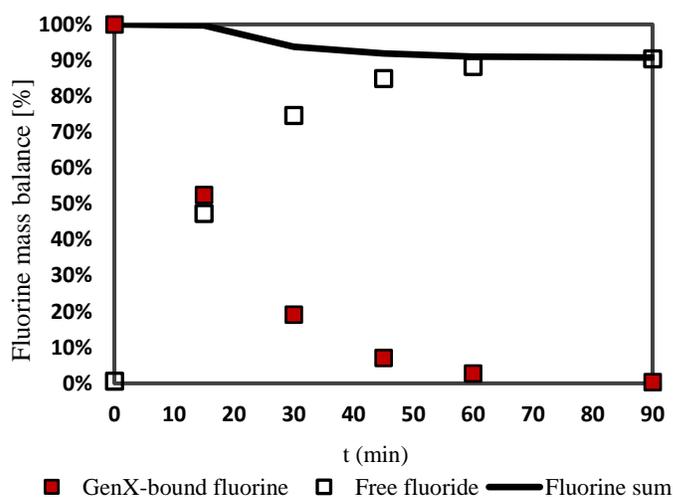
419 Of the 3 PFAS, GenX displays the lowest energy requirements for treatment at optimal settings  
420 (followed closely by PFOA). We observe a 14-fold difference between the most and least efficient  
421 settings for GenX degradation. With pseudo-first order degradation of contaminants, the energy  
422 consumption scales with the treatment requirements such as orders of magnitude reduction,  
423 emphasizing the need for optimization of ultrasonic operation and inclusion of pre-treatment  
424 before large-scale implementation and any techno-economic feasibility study.

425 As expected, energy requirements at optimum settings are approximately three orders of  
426 magnitude higher than reported  $E_{EO}$  values for established ozone- and UV-based AOPs ( $<1$   
427  $\text{kWh/m}^3$ )<sup>50</sup>. This comparison is misleading however, since the literature  $E_{EO}$  values are determined  
428 for removal of other chemicals and most AOPs are not capable of efficiently removing PFAS<sup>51</sup>.  
429 Interestingly, our calculated  $E_{EO}$  values under optimum conditions (580 kHz, 400 W/L) are at the  
430 lower range of reported  $E_{EO}$  values for AOPs and ARPs reported to effectively degrade PFAS. In  
431 the review by Nzeribe et al. (2019)<sup>10</sup>,  $E_{EO}$  values were presented for several processes.  
432 Electrochemical oxidation for example is reported to degrade PFOA and PFOS with an energy  
433 demand of 132 and 500  $\text{kWh/m}^3$ , respectively.  $E_{EO}$  values for ultrasonic degradation were also  
434 reported, with an energy demand of  $\sim 5,000$   $\text{kWh/m}^3$  for PFOA and  $\sim 20,000$   $\text{kWh/m}^3$  for PFOS.  
435 These values are an order of magnitude greater than the reported values in this study (567  $\text{kWh/m}^3$   
436 for PFOA and 1,644  $\text{kWh/m}^3$  for PFOS). Considering that the high energy demand has been one  
437 of the main arguments against using sonolysis for PFAS treatment, these findings bring ultrasonic  
438 degradation of PFAS a lot closer to the economics of other AOPs and ARPs and challenge the  
439 argument against using ultrasound to treat PFAS at a larger scale.

#### 440 **3.4. Fluorine mass balance as an indicator of by-product formation**

441 PFAS transform into inorganic constituents  $\text{F}^-$ ,  $\text{SO}_4^{2-}$ , CO, and  $\text{CO}_2$  as a product of their complete  
442 sonolytic decomposition (a.k.a. complete mineralization). Therefore, fluorine mass balance  
443 experiments are conducted for all three PFAS at 580 kHz and 400 W/L. As we did not track all  
444 mineralization products, but rather just the inorganic fluoride, we refer to the release of fluorine  
445 from parent PFAS as defluorination rather than mineralization in further text. Both individual and  
446 mixture PFAS degradation kinetics, alongside fluoride generation kinetics, were obtained from  
447 large sample experiments. Firstly, we calculate the total organic fluorine content in the batch from  
448 the initial PFAS concentrations, which we then compare to the sum of 1) PFAS-bound fluorine  
449 (i.e. remaining total organic fluorine) calculated from the measured PFAS concentrations, and 2)  
450 measured free fluoride ions in the sample at each time interval. The difference between the two  
451 values indicates the presence of unknown fluorinated byproducts. According to the meta-analysis  
452 by Sidnell et al.<sup>28</sup>, fluorine containing gases are unlikely to be the reason for discrepancies, and  
453 we can thus interpret any change in ratio between the initial total organic fluorine and the fluorine  
454 sum as formation of stable fluorinated species that remain in the water and are not susceptible to  
455 further ultrasonic degradation.

456 Therefore, we can use the fluorine mass balance to assess how efficiently each of the three PFAS  
457 defluorinates. Figure 5 represents the GenX degradation kinetics with a fluorine mass balance. The  
458 data for PFOA and PFOS can be found in Figure S9 of the SI.



459 **Figure 5.** GenX fluorine mass balance as a function of time at 580 kHz and 400 W/L.  
460 Data obtained from large sample volume experiments.

461 While the relative degradation kinetics between different PFAS are in line with the other  
462 experiments, absolute kinetics are slightly increased compared to experiments with small sample  
463 volumes due to changes in batch volume caused by sampling. In the large sampling experiments,  
464 GenX degraded below the limit of detection after 90 minutes, while 99% of PFOA and 90% of  
465 PFOS degraded in the same time frame. However, defluorination efficiency and thus unknown  
466 byproduct formation vary greatly between the three PFAS. After 90 minutes, we account for 90%  
467 of initial total organic fluorine in the case of GenX indicating a very efficient cleavage of C-F  
468 bonds with little formation of stable byproducts. During sonolysis of PFOA and PFOS, the fluorine  
469 sum was lower in the final sample (mass balance was closed by 76% and 70%, respectively). The  
470 defluorination rate observed is higher than for many other reported methods (ranging from 0-80%  
471 defluorination)<sup>10</sup>. Furthermore, we observe that byproduct formation and loss of fluorine (i.e.  
472 deviation from 100% sum) in the mass balance occurs quickest with PFOA and slowest with  
473 PFOS. With the degradation mechanism discussed in chapter 3.1.1. in mind, where sonolytic  
474 degradation of PFAS occurs through headgroup cleavage and/or immediate pyrolysis of the PFAS  
475 species, we postulate that due to its lower thermal stability, GenX defluorinates most efficiently  
476 while PFOS generates the highest amount of unknown fluorinated species over time due to higher  
477 thermal resistance and therefore incomplete pyrolysis during cavitation events.

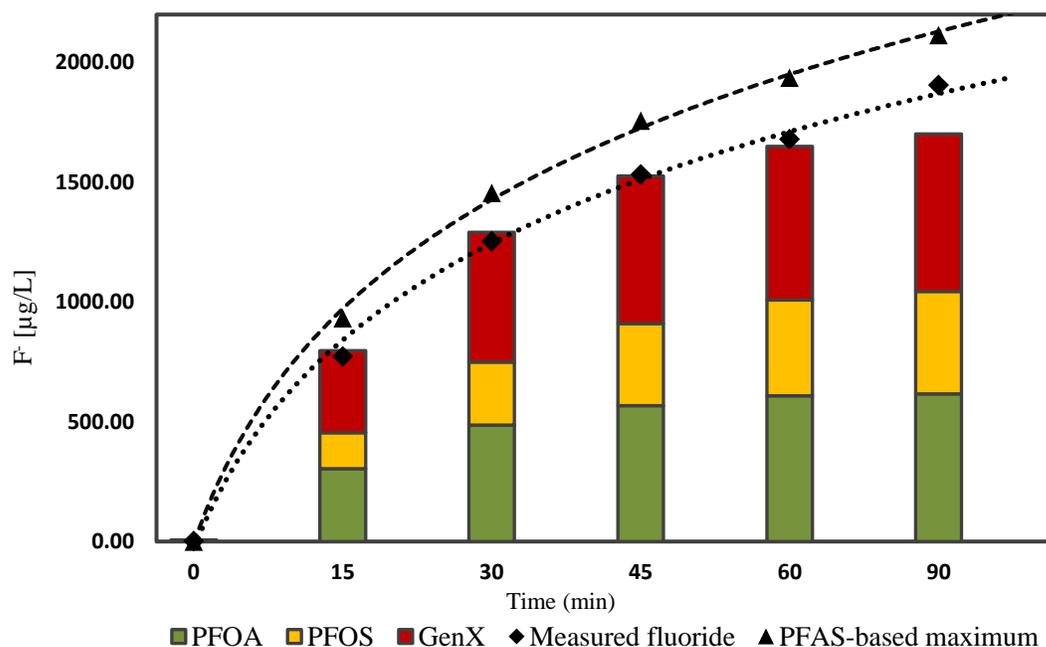
478 Since no expected by-products (predicted based on the truncation mechanism and covered in our  
479 LC-MS/MS method) were detected in the samples, it is most likely that stable unknown fluorinated  
480 species form immediately during the pyrolytic mineralization of all three PFAS, rather than  
481 through gradual defluorination of a series of intermediates.

482 In addition to full fluoride kinetics obtained at 580 kHz and 400 W/L, final mineralization data  
483 was obtained for all settings from small sample experiments using the final sample of each  
484 experimental run, which was always stored in sufficient volume. The percentages of degraded and  
485 fully defluorinated PFAS at all tested powers and frequencies are presented in the supporting  
486 information (Figure S8). While the degradation of PFAS increased consistently with power, no

487 such trend was observed with defluorination efficiency, where the rate of defluorination varied  
488 with no obvious influence of frequency or power. The amount of fluorine in unknown fluorinated  
489 by-products, however, never exceeded 30% of total organic fluorine, regardless of the degradation  
490 performance at those settings.

### 491 3.4.1. Mineralization performance in mixture experiments

492 A fluorine mass balance was also measured for a mixture experiment, to observe if defluorination  
493 efficiencies obtained from individual experiments can be transferred into a mixture degradation  
494 experiment. Since the ion-selective electrode only provides a cumulative fluoride concentration,  
495 the removal of PFAS obtained in LC/MS-MS measurements was used to calculate a theoretical  
496 maximum release of total organic fluorine if we assume 100% defluorination. This theoretical  
497 release from individual PFAS was cumulated to determine the PFAS-based maximum, and the  
498 difference to the measured fluoride represents formation of stable fluorinated species. The actual  
499 defluorination of individual PFAS in the mixture experiment was estimated by correcting the  
500 quantified PFAS removal at different time intervals using the defluorination efficiencies at  
501 different time intervals obtained from individual experiments described in chapter 3.4. Figure 6  
502 displays the measured fluoride concentration at each time interval, the maximum expected fluoride  
503 concentration based on LC/MS-MS data, and individual fluoride estimates based on individual  
504 defluorination efficiency data.



505

506 **Figure 6.** Mixture experiment fluorine mass balance. A theoretical maximum release of  
507 total organic fluorine is calculated from the quantified PFAS removal and cumulated into  
508 a PFAS-based maximum. The difference to the measured fluoride represents formation of  
509 stable fluorinated species. The actual defluorination of individual PFAS in the mixture  
510 was estimated by correcting the quantified PFAS removal at different time intervals using  
511 the observed defluorination efficiency at different time intervals during individual fluorine  
512 mass balance experiments.

513 The fluoride concentration estimates based on defluorination efficiency fit the measured fluoride  
514 values well, implying that individual defluorination efficiency is transferable into mixed PFAS  
515 matrices. Only at minute 90 we observed a higher measured fluoride concentration than the  
516 predicted one, presumably due to higher total PFAS presence at minute 90 compared to individual  
517 experiments. We can thus conclude that defluorination of PFAS spoken in general terms occurs  
518 more efficiently at higher total PFAS concentrations.

519

#### 520 **4. Conclusions and outlook**

521 Conducted experiments revealed that with the increase in applied power density, the degradation  
522 rate of PFAS increases consistently within a single frequency. However, this increase is not equal  
523 at all frequencies. Among 375, 580, 860 and 1,140 kHz, the 580 kHz frequency displayed the  
524 highest degradation performance for all three PFAS tested. While all three PFAS degrade quickest  
525 at 580 kHz, we observed 375 kHz as the second-best frequency for PFOS, whereas the 860 kHz  
526 frequency acts as the second-best for PFOA. GenX degraded equally at 375 kHz and 860 kHz,  
527 only showing preference for 375 kHz at the highest power density.

528 The LC-MS/MS method for the analysis of PFAS was developed to include both the parent PFAS  
529 and the expected by-products of the truncation mechanism. No formation of known by-products  
530 was observed in our experimental samples indicating that the degradation of the tested PFAS  
531 occurs through immediate and (in-)complete pyrolysis of parent PFAS species during bubble  
532 collapse events. Regardless, fluorine mass balance experiments at optimal settings revealed some  
533 loss of fluorine. Per literature reports, fluorine-containing gases are unlikely to be the reason for  
534 discrepancies, but rather the formation of stable unknown fluorinated species that remain in the  
535 treated solution. At the optimal settings of 580 kHz and 400 W/L, GenX degrades and defluorinates  
536 most efficiently among the three tested PFAS, while PFOS degrades with lowest efficiency. The  
537 observed difference at optimal settings is in direct agreement with the literature-reported thermal  
538 stability of the three species of PFAS (PFOS > PFOA > GenX), solidifying the statement that  
539 thermolysis plays a major role in the sonolytic degradation of PFAS.

540 When degrading GenX, PFOA and PFOS (1 mg/L each) in a mixture, all three PFAS displayed  
541 lower degradation rates (i.e., at higher total PFAS concentration) compared to experiments with  
542 individual target chemicals. PFOA exhibited the largest reduction in degradation rate (by 31%),  
543 followed by GenX (by 19%) and finally by PFOS (by 17%). We attribute the smallest reduction  
544 in performance observed for PFOS to the higher bubble surface activity of PFOS compared to  
545 PFOA, as PFOS diffuses to the bubble interface more readily. No study on the surface activity of  
546 GenX has been found in the literature, but if surface activity is the main driver of the observed  
547 variations, we can place the surface activity of GenX as lower than that of PFOS and higher than  
548 that of PFOA.

549 Calorimetric efficiency is suggested to be reported in future work for easier harmonization of data  
550 between various systems, as it directly indicates the relative amount of consumed energy that was  
551 introduced to the bulk of solution as thermal energy over time. As such, it could prove as a simple

552 to determine reactor parameter that encompasses more difficult to quantify parameters and  
553 phenomena that result from varying reactor geometries, distances and solid barriers between  
554 transducer and sample, and similar. For this reason, determined degradation rates are reported  
555 using the output power, and the community is encouraged to report the calorimetric efficiency of  
556 their ultrasonic systems in the future. Treatment efficiency at different settings is evaluated using  
557 the Energy per unit order ( $E_{EO}$ ). Of the three PFAS, GenX displays the lowest energy requirements  
558 for treatment at optimal settings. Although a relatively inefficient lab-scale system, the determined  
559  $E_{EO}$  values are already in the range of reported  $E_{EO}$  values for other AOPs and ARPs, and an order  
560 of magnitude lower than reported energy requirements for ultrasonic treatment of PFOA and  
561 PFOS. Through optimization and further understanding of the process, ultrasonic cavitation could  
562 rise to first place as a robust, easy-to-use, no-additives method for treating highly contaminated  
563 PFAS streams.

564

565 **CRedit authorship contribution statement**

566 **Nebojša Ilić:** Conceptualization, Investigation, Data curation, Visualization, Formal analysis,  
567 Writing – original draft preparation. **Afrina Andalib:** Investigation, Data curation, Writing –  
568 original draft preparation. **Thomas Lippert:** Conceptualization, Investigation, Writing –  
569 reviewing and editing. **Oliver Knoop:** Conceptualization, Writing – reviewing and editing,  
570 Analytical support. **Marcus Franke:** Conceptualization, Writing – reviewing and editing. **Patrick**  
571 **Bräutigam:** Writing – reviewing and editing. **Jörg E. Drewes:** Funding acquisition, writing –  
572 reviewing and editing. **Uwe Hübner:** Conceptualization, Writing – reviewing and editing,  
573 Supervision.

574

575 **Declaration of Competing Interest**

576 The authors declare that they have no known competing financial interests or personal  
577 relationships that could have appeared to influence the work reported in this paper.

578

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583

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