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This is a non-peer-reviewed preprint submitted to EarthArxiv.

It has been submitted to Restoration Ecology for peer review on 10 August 2023.

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# Ecosystem restoration can lead to carbon recovery in semi-arid savanna grasslands in India

Manan Bhan<sup>1\*#</sup>, Chetan Mishra<sup>1\*</sup>, Abhijeet Kulkarni<sup>1</sup>, Ankila J. Hiremath<sup>1</sup>, Abi T. Vanak<sup>1,2</sup>

<sup>1</sup> Ashoka Trust for Research in Ecology and the Environment (ATREE), Bengaluru, India.

<sup>2</sup> University of KwaZulu-Natal, Durban, South Africa

\* implies equal authorship.

# Corresponding author: [manan.bhan@atree.org](mailto:manan.bhan@atree.org)

## Author contributions

MB, CM and ATV conceived and designed the research; MB, CM and AK collected the data; MB, CM and AJH analyzed the data; MB, CM, AK, AJH and ATV wrote and edited the manuscript.

## Abstract

Semi-arid savanna grasslands (SG) in India deliver enormous benefits to people and nature but are currently undergoing large-scale degradation. Soil carbon stocks in degraded SGs vary in response to a host of anthropogenic driving factors including agricultural expansion and industrial development. Although there is increasing support for restoring grasslands by planting native grass species, its impact on soil carbon recovery is largely unknown. In this study, we undertake a plot-level investigation of soil and above-ground biomass carbon stocks

23 to provide robust estimates of carbon densities across sites which have undergone restoration  
24 over the last 3 years and compare that with a no-intervention control using a space for time  
25 substitution framework. We find that SGs store significant amounts of carbon (12.74 - 22.11  
26 tC/ha across 1-year to 3-year restoration sites respectively), with most of the carbon stored in  
27 soils (8.72 -12.54 tC/ha across 1-year to 3-year restoration sites respectively). The carbon  
28 stored progressively increases with the age of grass plantation. The 3-year site shows an  
29 increase of 34% carbon stock compared to the no-intervention control, and an increase of 30%  
30 and 21% in comparison to the 1-year and 2-year sites respectively. Our study demonstrates a  
31 robust approach to estimate soil carbon stocks in these ecosystems and highlights that effective  
32 conservation and restoration can enable SGs in India to act as natural carbon sinks at scale.

## 33 Key words

34 savanna grasslands, carbon stocks, soil organic carbon, restoration monitoring, grassland  
35 restoration, carbon recovery

## 36 Implications for Practice

- 37 - Semi-arid savanna grasslands are important carbon sinks because they store significant  
38 carbon stocks in their soils relative to local water availability.
- 39 - The planting of indigenous grass species in semi-arid savanna grasslands can boost  
40 carbon take-up in the soil.
- 41 - A robust grassland-specific monitoring framework is required to assess carbon fluxes in  
42 semi-arid savanna grasslands.
- 43 - Long-term monitoring of soils in semi-arid savanna grasslands can reveal if soil carbon  
44 stocks remain stable and progress towards attaining old-growth characteristics.

## 45 Introduction

46 Grassy biomes, comprising open grassland, grassy shrublands and savannas, cover  
47 about 40% of the Earth's surface (Bardgett et al., 2021) and play a critical role in climate change  
48 mitigation by acting as natural carbon sinks (Bai and Cotrufo, 2022; Strömberg and Staver,  
49 2022). Current estimates suggest these biomes store more than a third of the global terrestrial  
50 carbon stocks, with about 90% of it stored belowground in root biomass and as soil organic  
51 carbon (SOC). In contrast, carbon stocks in forests are concentrated in above-ground biomass  
52 (Brown et al., 1993; Anderson-Teixeira et al., 2016), where environmental risks like fire and pest  
53 attacks as well as climate change are ever-present threats (Reddy et al., 2017; Dass et al.,  
54 2018). Effectively, this means that grassy biomes act as a more stable and relatively more  
55 permanent form of carbon storage than forests (Dass et al., 2018).

56 Semi-arid savanna grasslands (SGs) in India have existed for millions of years, formed  
57 by the complex ecological and evolutionary interactions between herbaceous plants (grasses  
58 and forbs with extensive root networks), environmental change (cooling, heating, changes in  
59 atmospheric CO<sub>2</sub>), fire and herbivory (Ratnam et al., 2016; Buisson et al., 2020). Currently, SGs  
60 and other open natural ecosystems (together denoted as Open Natural Ecosystems, or ONEs)  
61 are spread over almost 32 Mha across 15 states, with Rajasthan, Madhya Pradesh and  
62 Maharashtra as the 3 states with maximum coverage (Madhusudan and Vanak, 2023).

63 In India, as in other countries across the world, ONEs are under severe threats from  
64 agricultural expansion and industrial development. These pressures have been exacerbated by  
65 the unforeseen consequences of global and national environmental policies. One of the  
66 principal threats to these grasslands today is indiscriminate tree-planting efforts to meet global  
67 and national carbon sequestration targets (Bastin et al., 2019). The logic of such proposals for  
68 climate mitigation, however, is increasingly being challenged because of their damaging impact  
69 on natural grasslands and because their carbon sequestration potential is considered inflated

70 (Bardgett et al., 2021). Such efforts, rather than contributing to effective land-based climate  
71 action, endanger the long-term integrity and viability of these grasslands, and of the people and  
72 biodiversity that depend on them. Unfortunately, a lack of data on the extent of carbon stocks in  
73 SGs hinders meaningful policy and decision making on the potential contribution of these  
74 ecosystems to land-based climate action.

75 Plot-level carbon inventories are considered the building-blocks of assessments of  
76 carbon storage in such and semi-natural ecosystems (Malhi et al., 2021). These inventories  
77 include the measurement of biomass in 5 major carbon pools - grass and woody vegetation  
78 (above-ground biomass), roots (below-ground biomass), litter, deadwood, and soil (soil organic  
79 carbon) (Marthews et al., 2014). These estimates are then often used as a reference for  
80 demonstrating regional and national carbon storage potentials. While plot-level carbon  
81 inventories in forest ecosystems in India are relatively widespread, SGs in India have been  
82 particularly neglected in such initiatives (Bhadwal and Singh, 2002; Wani et al., 2012; Salunkhe  
83 et al., 2018; Brahma et al., 2021).

84 Conducting and updating such inventories assumes increased significance in the light of  
85 the recent global recognition of these ecosystems as effective carbon sinks and the attention  
86 that is being given to their restoration (Brancalion et al., 2019; Elias et al., 2021). Reliable  
87 estimates of carbon stocks and sequestration potential are a prerequisite to measure progress  
88 towards these initiatives.

89 In India, state-level forest departments are mandated to contribute to ecosystem  
90 restoration activities through ecosystem protection, plantation, and management. In the state of  
91 Maharashtra, the plantation and management of indigenous grass species has also been  
92 initiated in degraded SG patches over the last few years. However, evaluating progress in terms  
93 of the build-up of soil organic carbon (SOC) remains a key gap, and is fundamental to assess if  
94 interventions are leading to desired results.

95           In this study, our objectives are twofold. First, we estimate carbon stocks in degraded  
96 SG patches and compare it with recently restored areas to assess the impacts of the  
97 intervention on SOC. Second, we describe the build-up of SOC and the relative contribution of  
98 the restoration activity to the observed changes. To do this, we employ a space-for-time  
99 substitution approach combined with a paired sampling procedure. While we focus on SOC  
100 dynamics, we also measure above-ground biomass (in grass and woody vegetation) on our  
101 sampling sites to quantify the distribution of carbon stocks among two different carbon pools.

102           In this way, we provide some of the first estimates of the average carbon stock densities  
103 in SG patches and the contribution of restoration in increasing SOC, thus providing the platform  
104 for initiatives which aim to account for the carbon stored, and potential for further carbon  
105 sequestration, in these ecosystems at the regional, state or national levels.

## 106 **Materials and Methods**

### 107 **Study site and context**

108           The study was conducted in the Malshiras Taluka of Solapur district in the state of  
109 Maharashtra (*Figure 1*). The area is part of the Malshiras Range of the Solapur Forest Division  
110 of the Maharashtra Forest Department (MFD).

111           The area falls under the semi-arid biogeographic region of India. It receives a mean  
112 annual rainfall of ~ 500mm, concentrated during the Indian monsoon season (June to  
113 September). The land cover of the area is dominated by a mosaic of scattered grassland  
114 patches and irrigated fields. The main agricultural crops in the area are sugarcane, maize and  
115 sorghum.

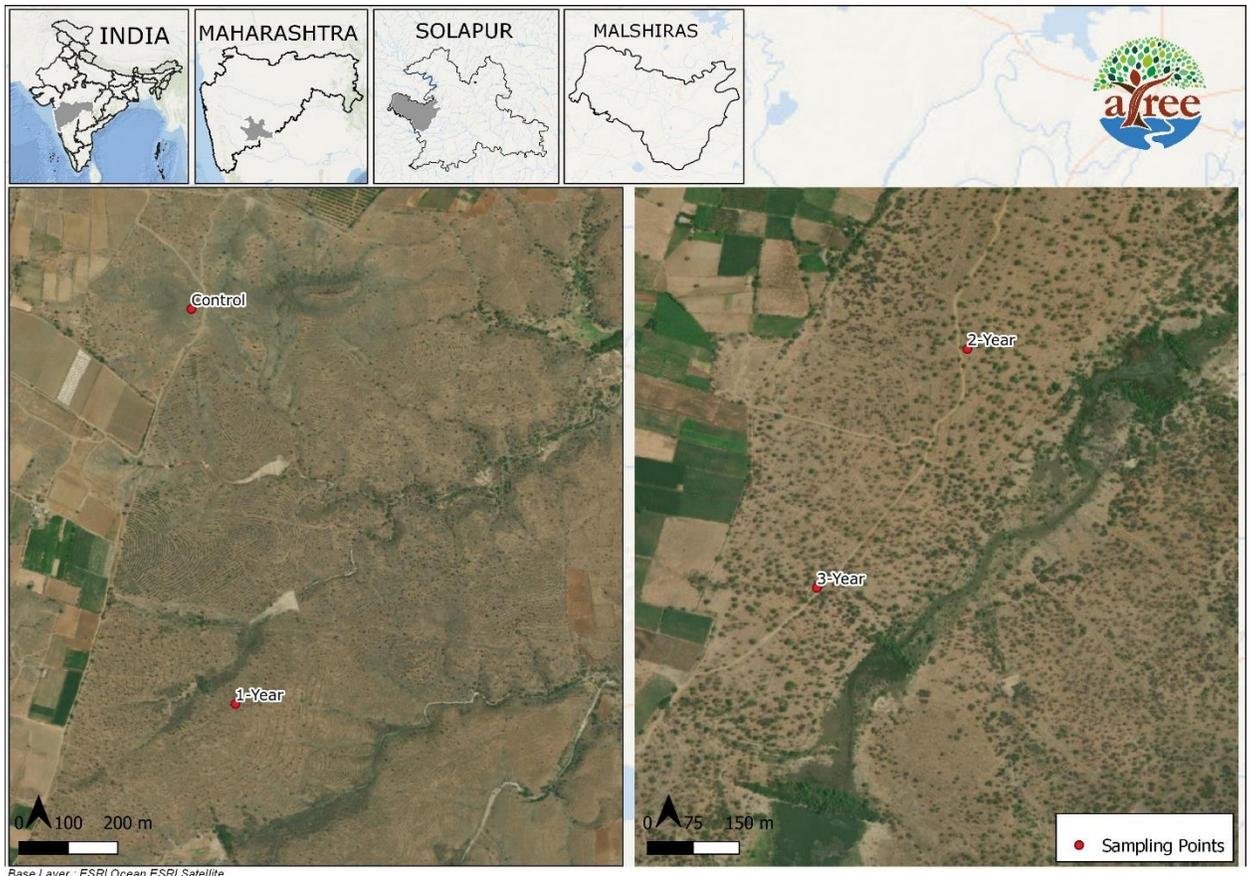
116           Administratively, a total of 9,250 ha of SGs in the area falls under the Malshiras Range.  
117 This area includes 8,234 ha classified as Reserved Forests (with restricted access to local

118 communities) and 1,016 ha of community grazing lands locally known as ‘*Gairan*’ (Maharashtra  
119 Forest Department, pers. comm.).

120 Certain land management practices can be commonly found in these Reserved Forests.  
121 The MFD has undertaken occasional tree plantation drives, including the planting of trees like  
122 *Azadirachta indica*, with the age of some plantations even dating back to the 1970s. These  
123 plantation drives also involve occasional gap-filling to compensate for tree mortality. Since the  
124 area is drought-prone, trenches, contours and bunds are also commonly created as a soil and  
125 water conservation measure (Maharashtra Forest Department, pers. comm.).

126 Since 2019, the MFD has undertaken the restoration of this ecosystem by planting local  
127 indigenous grasses such as *Dicanthium annulatum*, *Chrysopogon fulvus* and *Cenchrus*  
128 *setigerus* under the Compensatory Afforestation Fund Management and Planning Authority  
129 (CAMPA) Act 2016. These grasses are typically germinated in a local nursery for 6 months  
130 (January to June) and planted in-situ after the first monsoon showers in the region in the month  
131 of July. Standard operating procedures involve protection and regular monitoring in the 1st year  
132 and gap-filling to make up for above-average mortality in the 2nd year. From the 3rd year  
133 onwards, the grass plantations see no further interference (Maharashtra Forest Department,  
134 pers. comm.).

135 The age of these planted grasses is locally determined by the number of monsoon  
136 seasons that the landscape has witnessed. So, grasses planted in 2021 would be considered  
137 one-year old till April 2023 (Maharashtra Forest Department, pers. comm.). Following this  
138 convention, we base our study on sites with an age of 1, 2 and 3 years respectively. We pair  
139 these plots with a site where no grass planting had been done as control, while other forms of  
140 management conducted at irregular intervals (for example, building trenches and bunds) is  
141 similar to the treatment sites.



142

143 *Figure 1: Map showing sampling sites in the Malshiras taluka in the Solapur district of*  
 144 *Maharashtra. The Control and 1-Year Restoration sites are together denoted as Sulki Aai, while*  
 145 *the 2-Year and 3-Year Restoration sites are together denoted as Motewadi (see text for further*  
 146 *details).*

## 147 Sampling design

148 The field activity was conducted in March 2023 at the peak of the dry season. Our  
 149 sampling design was divided into treatment and control plots. To determine carbon stocks under  
 150 different levels of vegetation cover, we stratified areas by relying on the grass plantation done  
 151 by the MFD in preceding years. We identified a total of three treatment types based on the age  
 152 of the grass plantations of 1, 2 and 3 years. We denoted these treatment types as *1-year*, *2-*  
 153 *year* and *3-year* respectively. These sites were chosen at random by the MFD to undertake the

154 planting activity. Our control plots were those where no grasses had been planted. Both  
155 treatment and control sites shared similar land use histories (see *Study Site and context*).

156 For each identified age class, we identified sites within the Malshiras Range. Restored  
157 sites in the Malshiras Range exist in a mosaic of land cover and land uses, and typically span  
158 over 10-20 ha.

159 It was not possible to find all three types (ages) of treatment sites in the same area to  
160 control for site-specific variations. Thus, we paired the 1-year site with the control site in its  
161 proximity (*hereafter named Sulki Aai to denote the nearby village name*). We paired the 2-year  
162 with the 3-year sites in its proximity (*hereafter named Motewadi to denote the nearby village*  
163 *name*). In addition to similar land use management histories, both sites display similar  
164 biophysical characteristics because the linear distance between Sulki Aai and Motewadi is  
165 about 8 kms.

166 At each site, we placed multiple sampling plots by identifying the boundaries of these  
167 sites on Google Earth and placing random points within those sites using QGIS. The number of  
168 plots to be laid on each site were determined accordingly to achieve a sampling coverage of 3-  
169 5% of total area of each site (*Table 1*).

170 We laid square plots covering a total area of 0.1ha (31.6 x 31.6 m) in a nested manner  
171 (*Figure 2*), adapted from similar plot design protocols from the World Agroforestry Centre and  
172 the RAINFOR network (Kurniatun et al., 2010; Marthews et al., 2014). Within each 0.1 ha plot,  
173 two sub-plots were laid at diagonal ends to collect multiple grass and soil samples.

174 *Table 1: Details of restoration sites and total number of plots laid in each site.*

Restoration year	Total area of site (ha)	Number of plots laid (n = 23)	Sampling area (ha)	Sampling coverage (%)
Control	10.6	5	0.5	4.72
1 Year	12.7	6	0.6	4.72
2 Year	16.6	5	0.5	3.01
3 Year	15.5	7	0.7	4.52

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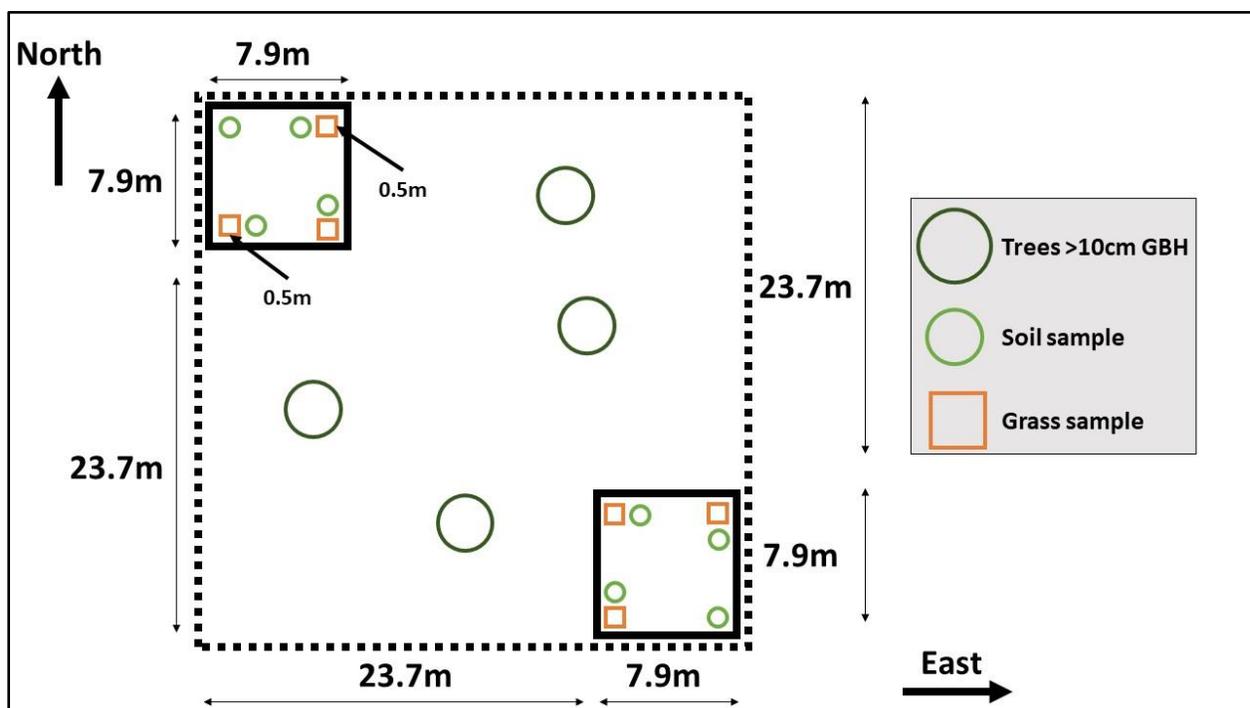
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A total of 8 soil samples (4 from each sub-plot) were collected from the top 0-30 cm of the soil layer (or the most depth possible before hitting bedrock) using a standard soil corer. IPCC guidelines state that it is good practice to measure the SOC pool to a depth of at least 30 cm (Eggleston et al., 2006). This is the depth where the changes in the soil carbon pool are likely to be fast enough to be detected with monitoring at realistic time intervals. Obtaining a core of more than 30 cm was not logistically possible because of the lack of topsoil depth in the areas we sampled. It is important to note that in these landscapes, there is limited data on the depth at which SOC responds to changes in ecosystem types, management practices and disturbance regimes.



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Figure 2: Stylized description of the nested plot design employed for sampling. Within the 0.1 ha plot, two sub-plots were laid on diagonal ends to collect grass and soil samples. In each plot, a total of 8 soil samples and 6 grass samples were collected and a census of woody vegetation (GBH > 10cm) was conducted.

## 190 Sample processing and data extraction:

### 191 *Above-ground tree biomass*

192 A tree census was conducted in each plot. Discussions with local MFD officials revealed  
193 that trees found in each site were planted as part of occasional plantation and gap-filling  
194 activities done by the MFD stretching back several decades. Due to local environmental  
195 conditions, tree densities are extremely sparse. Common trees found in our study sites included  
196 *Senegalia catechu*, *Azadirachta indica* and *Zizyphus mauritiana*.

197 Considering the prevalence of stunted tree growth due to local climatic and  
198 environmental conditions, we modified standard tree census techniques of the minimum size for  
199 the recording of individual stems. Tree girth at breast height (GBH) and height was recorded for  
200 each tree >10cm GBH within each plot. To determine the volume of each stem, GBH was  
201 converted to diameter at breast height (DBH) and species-specific allometric equations were  
202 applied (Forest Survey of India, 1996, 2021). Species-specific wood density values were taken  
203 from literature (Zanne et al., 2009). We used a carbon fraction of 0.5 (Eggleston et al., 2006).

### 204 *Above-ground grass biomass*

205 A total of 6 grass samples (3 from each sub-plot) were harvested using scissors within  
206 an area of 0.5m x 0.5m marked by a steel quadrat. Their wet weight was recorded at the site  
207 using a weighing balance. The samples were then brought back to the ATREE campus in  
208 Bengaluru for further analysis. They were oven-dried at 70°C for 24 hours to achieve a constant  
209 weight, and then weighed again using a weighing balance. We used a carbon fraction of 0.5.

### 210 *Soil analysis*

211 We estimated both the SOC% and bulk density of the soil samples to calculate per-  
212 hectare SOC values. We collected soil samples by using a soil core of fixed volume (height,  $h =$   
213 10cm; diameter,  $d = 5$ cm). We cored the soil thrice to reach the required depth of 30 cm. We

214 excavated around the core without disturbing or loosening the soil that it contained and carefully  
215 removed it with the soil intact. We removed any excess soil from the outside of the soil core and  
216 cut any plants or roots off at the soil surface with scissors. We placed the collected soil samples  
217 into plastic zip-lock bags, emptied out the excess air from the bag and sealed it.

218 Each sample was well-mixed in the bag and clumps were broken down. The moist  
219 weight was noted using a standard weighing scale. The average weight of each soil sample was  
220 found to be ~700 gms. We took a sub-sample weighing ~100-150gms for further processing.  
221 This sub-sample was oven-dried at 70°C for 72 hours and its dry weight was recorded.

222 We sieved the sample using a 2mm sieve to separate fine earth particles from the  
223 coarse mineral fraction. Evidence suggests that the coarse mineral fraction has a negligible  
224 capacity to store carbon, therefore it was removed before analysis and SOC content was  
225 measured for the fine earth fraction (FAO, 2019). At this stage, the sub-sample was further sub-  
226 divided into 2 sections - one to measure bulk density (BD) and one to measure SOC% (*See Soil*  
227 *Section 1 and Soil Section 2 in Figure 3*).

228 *SOC % analysis:* We determined the SOC% of each soil section using the combustion gas  
229 chromatography method in a CHNS analyzer. Approx. ~2 gm of the section was dried for 1 hour  
230 at 105°C and a small proportion of soil (0.110 - 0.111 mg) was weighed and packed into a small  
231 tin foil to be inserted into the CNHS analyzer to get the SOC % value (*Figure 3*).

232 *Bulk density:* BD is the mass per unit volume of the soil. Here, we estimated  $BD_{fine_2}$ , denoted  
233 as the mass of fine earth per total volume of the soil sample. To estimate the mass of fine earth  
234 particles in each soil core, we oven-dried the soil section again at 105°C for 24 hours to ensure  
235 complete loss of moisture. Comparison of the final weight of the section with the wet weight of  
236 the sample allowed us to estimate the proportion of moisture content in the soil section. As we  
237 had taken a random sample from the original soil core, we assumed that the original soil core  
238 collected on site would have the same moisture content as the sub-sample. In this way, we

239 could calculate the dry weight of the fine earth particles of the original soil core. We combined  
240 that with the volume of the soil core (known already) to estimate  $BD_{fine_2}$  (FAO, 2019) (Figure 3).

241 Finally, SOC stock for each sample was determined by the following equation:

$$242 \text{ SOC stock (tC/ha)} = OC_i \times BD_{fine_2} \times T_i \times 0.1$$

243 where,

244 SOC stock (tC/ha) is the soil organic carbon stock of the sampled depth increment;

245  $OC_i$  (mgC/g of fine earth) is the organic carbon content of the fine earth fraction (< 2 mm) in the  
246 sampled depth increment;

247  $BD_{fine_2}$  (g fine earth per  $cm^3$  of soil) is the mass of fine earth per total volume of the soil sample  
248 (equivalent to the mass (g) of fine earth/total volume of soil sample ( $cm^3$ ) in the given depth  
249 increment;

250  $T$  is the thickness (depth, in cm) of the depth increment;

251 0.1 is a factor for converting mgC/ $cm^2$  to tC/ha.

## 252 Statistical analysis

253 We used a linear mixed modeling approach to compare the total SOC content between

254 the different control and treatment plots. As our sampling sites were spread out, we used

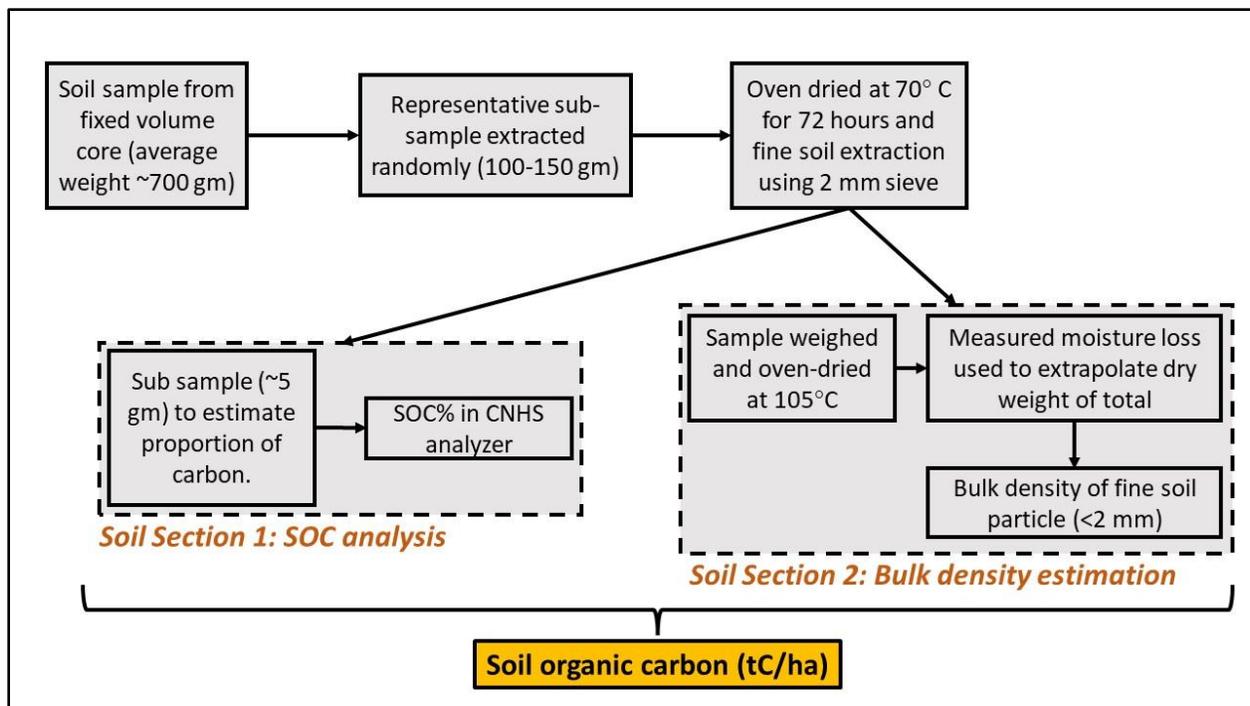
255 individual plot ID as a random effect variable and restoration type as fixed effect variable. We

256 used the 'lme' function from the R package 'nlme' to run this model. We also performed Tukey's

257 *post-hoc* analysis to compare the difference in SOC between the two pairs of treatments. The

258 *ggplot2* package was used for the graphic representation of our results (R Core Team, 2023).

259 Results at the core level were aggregated to the sub-plot, plot and site-type levels to discuss our  
260 observations.

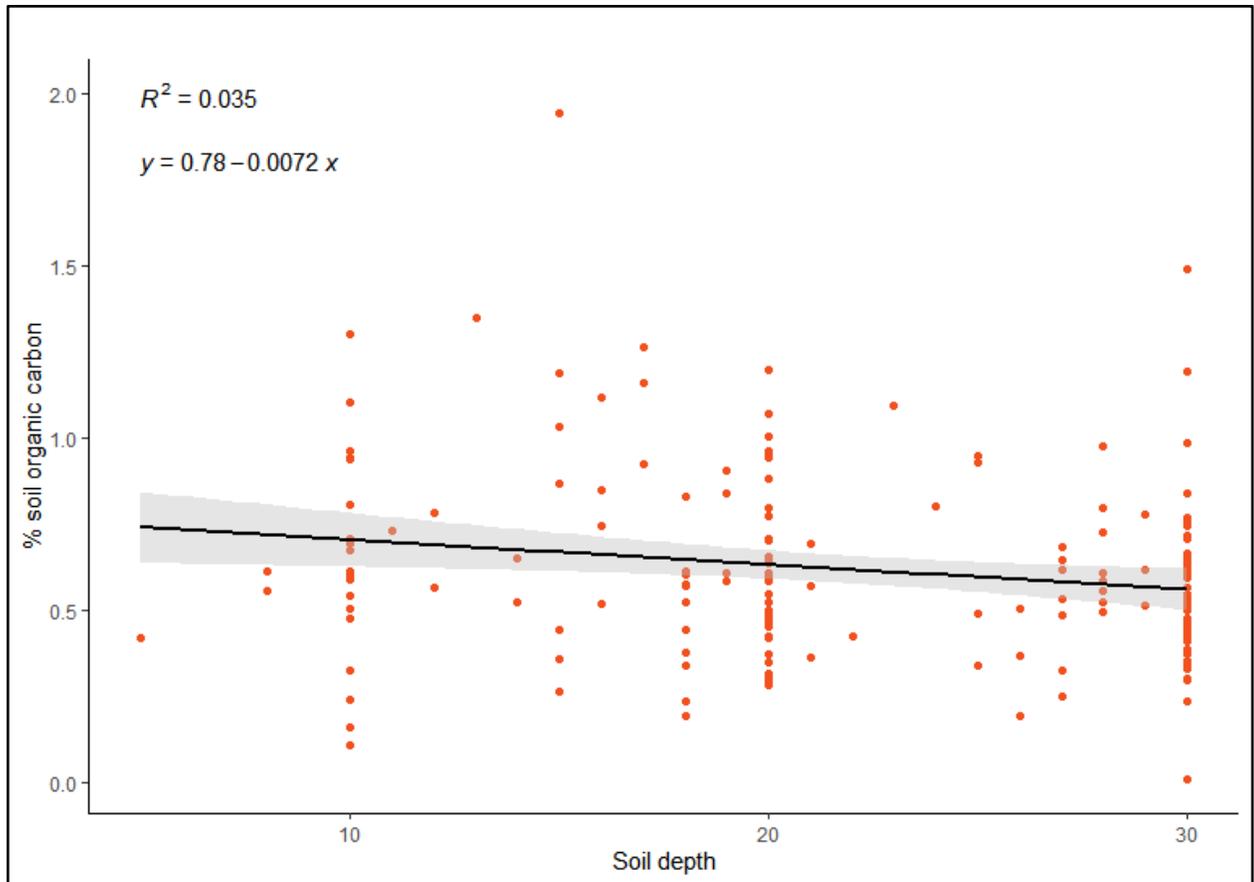


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262 *Figure 3: The steps outlining the procedure for SOC analysis employed in the study.*

## 263 Results

264 A total of 178 soil cores were sampled to estimate soil organic carbon in 23 different  
 265 plots categorized into three restoration treatments and one control. Due to shallow topsoil, we  
 266 were not able to core to the mandated depth of 30cm depths to collect soil samples (for 72%  
 267 samples). However, % SOC values for each soil sample did not show a significant relationship  
 268 with soil depth ( $R^2 = 0.035$ ) (*Figure 4*), indicating that variation in sampling depths in the 0-30cm  
 269 stratum did not affect the capturing of SOC% values. So, we report SOC estimates up to the  
 270 average soil depth found in sampling sites (22 cm).



271  
 272 *Figure 4: The relationship between sampling depth and soil organic carbon % (SOC %) for each*  
 273 *soil sample. The lack of a strong relationship indicates that SOC% did not vary significantly*  
 274 *even in cases of shallow topsoil depths (<30cm) (see text for further explanation).*

275 Highest average total carbon stocks were found in the 3-year sites ( $22.11 \pm 1.86$  tC/ha;  
 276 Mean  $\pm$  Standard Error), compared to the 2-year ( $18.08 \pm 1.40$  tC/ha) and the 1-year sites  
 277 ( $12.74 \pm 0.37$  tC/ha). The control plots reported the lowest average total carbon stocks ( $9.10 \pm$   
 278  $1.19$  tC/ha) (*Table 2*). See *SI Table 1* for a plot-level breakup of soil and above-ground woody  
 279 vegetation and grass carbon stocks and *SI Figure 1* for SOC stocks in each site.

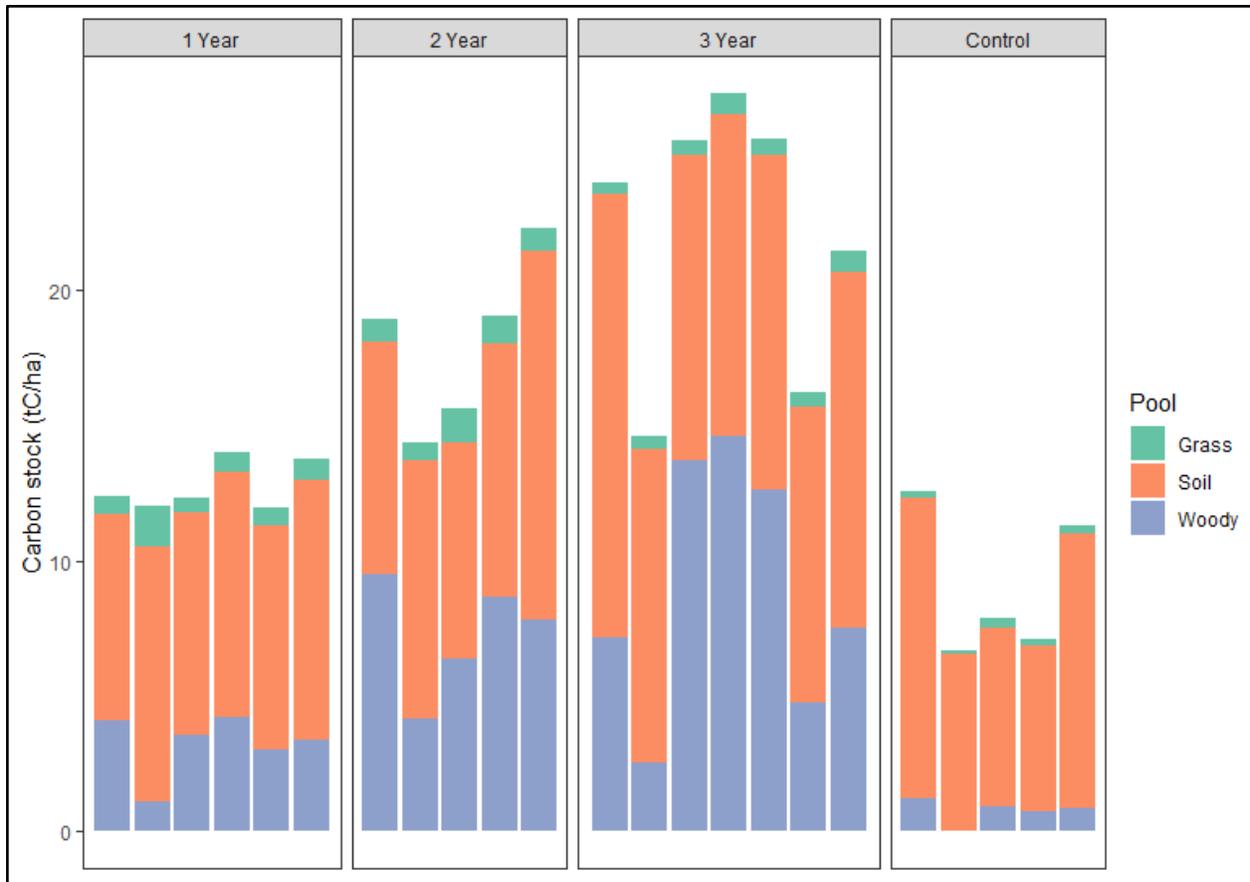
280 SOC contributed the highest proportion to the total carbon pool in all treatment and  
 281 control sites (*Figure 5*). SOC estimates in 3-year sites ranged from 6.16 to 16.42 tC/ha, with a  
 282 mean of 10.0 tC/ha, with the minimum in control sites and the highest found in 3-year sites.  
 283 SOC formed 89% of the total carbon pool of control plots, 68% of the 1-year plots, 54% of the 2-

284 year plots and 57% of the 3-year restoration plots. Woody biomass contributed the second  
 285 highest proportion, while grass biomass accounted for the lowest proportion of the total carbon  
 286 stock in each plot (*Figure 5*). This was expected as grass biomass is transient in these  
 287 ecosystems based on moisture availability and time since grazing and varies widely between  
 288 dry and wet seasons. It is also to be noted that sampling plots were chosen at random within the  
 289 sites without controlling for tree densities.

290 *Table 2: Estimated mean tC/ha for each sampling plot and associated contribution of each*  
 291 *carbon pool in each type of plot with standard error and % share.*

Restoration type	Mean carbon tC/ha ( $\pm$ S.E.)	Carbon pool	Mean tC/ha (S.E.)	% share
Control (N=5)	9.10 ( $\pm$ 1.19)	Grass	0.24 ( $\pm$ 0.04)	2.66
		Soil	8.14 ( $\pm$ 1.05)	89.43
		Woody	0.72 ( $\pm$ 0.20)	7.91
1 Year (N=6)	12.74 ( $\pm$ 0.37)	Grass	0.82 ( $\pm$ 0.14)	6.40
		Soil	8.72 ( $\pm$ 0.32)	68.43
		Woody	3.21 ( $\pm$ 0.47)	25.18
2 Year (N=5)	18.08 ( $\pm$ 1.4)	Grass	0.94 ( $\pm$ 0.11)	5.21
		Soil	9.85 ( $\pm$ 1.00)	54.47
		Woody	7.29 ( $\pm$ 0.95)	40.32
3 Year (N=7)	22.11 ( $\pm$ 1.86)	Grass	0.59 ( $\pm$ 0.05)	2.65
		Soil	12.54 ( $\pm$ 0.70)	56.72
		Woody	8.98 ( $\pm$ 1.78)	40.63

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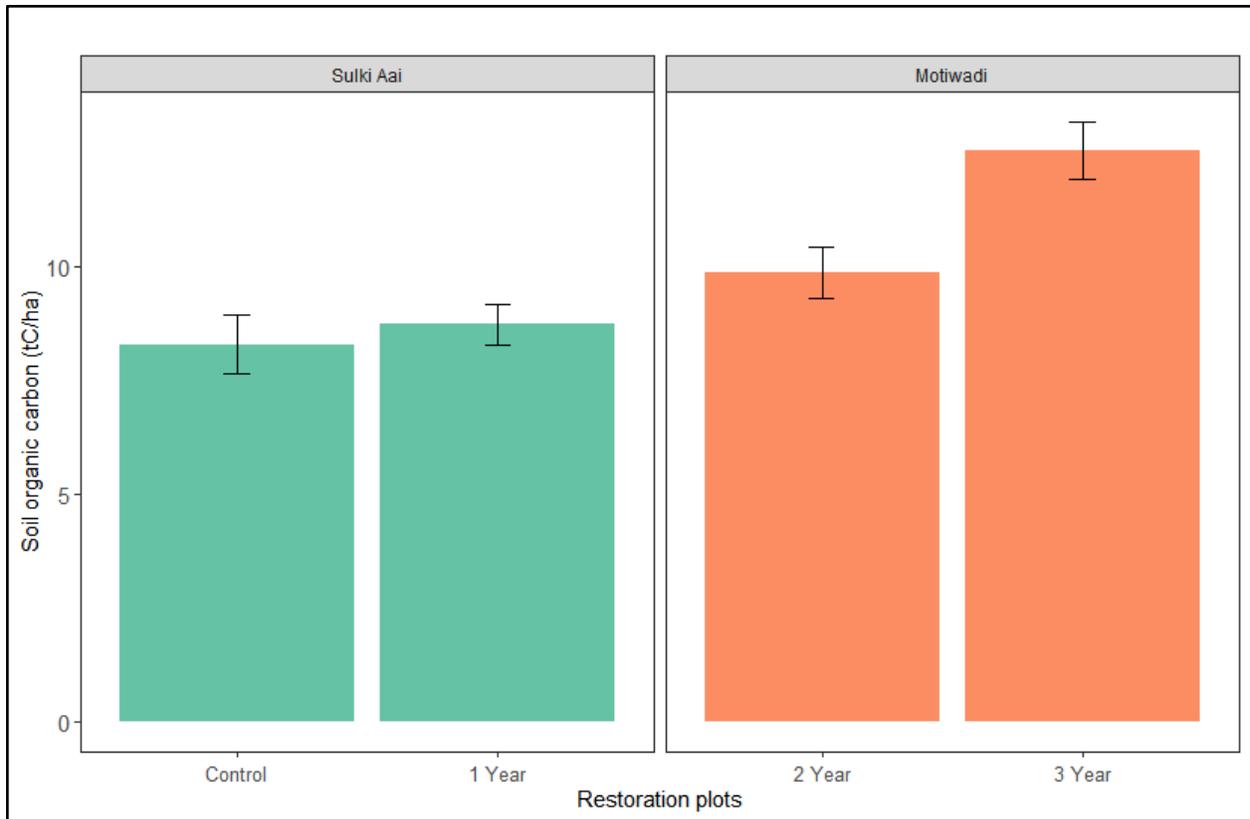


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294 *Figure 5: Contribution of each carbon pool in total carbon stock (tC/ha) in each sampling plot*  
 295 *across different restoration sites. Each bar represents one sample plot in the respective*  
 296 *treatment.*

297 The linear mixed effect model showed that 87% of the residual variation (Intercept,  $\Psi =$   
 298 3.67, Residual  $\sigma = 1.37$ ) (Table 3) was explained by the random intercept term (Plot ID),  
 299 indicating that the intervention has contributed significantly to observed SOC values for 3-year  
 300 sites.

301 Tukey's post-hoc pairwise comparison shows that total SOC stock in the 3-year sites  
 302 was significantly higher than all other treatments (Table 4). There was a 34% increase in SOC  
 303 in the 3-year site compared to the no-restoration treatment ( $\beta = 4.27$ ,  $z = 5.02$ ,  $p < 0.001$ )  
 304 followed by 30% from the 1-year site ( $\beta = 3.82$ ,  $z = 4.98$ ,  $p < 0.001$ ), and 21% increase compared  
 305 to the 2-year site ( $\beta = 2.69$ ,  $z = 3.33$ ,  $p = 0.005$ ) (Figure 6).



306

307 *Figure 6: Soil organic carbon (tC/ha) at each restoration treatment paired with sampling sites.*

308 *Error bars denote standard error in estimated carbon stock (tC/ha).*

309 *Table 3: Results of a linear mixed-effect model comparing variation in estimated soil organic*

310 *carbon across different restoration treatments. Associated  $\beta$  estimates, standard error (S.E.),*

311 *degrees of freedom (DF), z-statistics, and p-values are shown.*

Random effects: ~1   Plot					
	(Intercept)	Residual			
Std. Dev:	3.658	1.372			
Fixed effects: Carbon tC/ha ~ Treatment					
	Value	Std. Error	DF	t-value	p-value
(Intercept)	8.278	0.670	174.000	12.356	0.000
Treatment 1 Year	0.442	0.876	174.000	0.504	0.615
Treatment 2 year	1.573	0.911	174.000	1.727	0.086
Treatment 3 Year	4.266	0.849	174.000	5.023	0.000

312

313 *Table 4: Results of Tukey- pairwise comparisons for variation in soil organic carbon. The*  
 314 *estimates are differences between the means of two groups along with associated standard*  
 315 *error (S.E.), Z-statistic, and p-value. Values in bold show statistically significant differences.*

Pair	Estimate	Std. Error	z value	Pr(> z )
1-0 year	0.4417	0.8757	0.504	0.95789
2-0 year	1.5733	0.9113	1.727	0.30882
<b>3-0 year</b>	<b>4.2664</b>	<b>0.8493</b>	<b>5.023</b>	<b>&lt;0.001</b>
2-1 year	1.1316	0.8363	1.353	0.52793
<b>3-1 year</b>	<b>3.8247</b>	<b>0.7684</b>	<b>4.977</b>	<b>&lt;0.001</b>
<b>3-2 year</b>	<b>2.6931</b>	<b>0.8087</b>	<b>3.33</b>	<b>0.00502</b>

316

## 317 Discussion

### 318 Carbon stock dynamics in semi-arid savanna grasslands

319 We provide an estimate for biomass in grass and woody vegetation as well as soil  
 320 carbon stocks in SGs which are currently part of restoration activities initiated by the MFD in  
 321 Maharashtra. We show that SGs in the region store significant amounts of carbon in their soils  
 322 despite the semi-arid nature of the study site and the existence of a pronounced and prolonged  
 323 dry season as compared to other relatively humid ecosystems (Grace et al., 2006; Wang et al.,  
 324 2010).

325 Our observed range of SOC stocks of 8.28-12.54 tC/ha fall on the lower end of SOC  
 326 values found for other SGs in Sub-Saharan Africa, Australia as well as South America observed  
 327 through field-based observation as well as modeling efforts (*Table 5*). These differences may be  
 328 due to soil degradation over time, including the combined impacts of soil disturbances,  
 329 increased mineralization, leaching losses and variation in shrub/grass species composition,  
 330 which are known to change the rate of inflows and outflows of SOC as well as other nutrients  
 331 (Thokchom et al., 2016). Conversely, given the relatively lower mean annual precipitation in our

332 sample sites as compared to similar ecosystems globally, these ecosystems may be considered  
 333 as highly water-efficient in soil carbon storage.

334 These differences also point towards the vast carbon storage and sequestration  
 335 potential that exists if these ecosystems are protected and managed effectively (Griscom et al.,  
 336 2017; Buisson et al., 2022). However, there remains a dearth of reliable estimates of soil carbon  
 337 sequestration potentials in such ecosystems. In this context, continuous monitoring over the  
 338 next several years across both wet and dry seasons would be able to reveal estimates of the  
 339 long-term carbon sequestration potential in these ecosystems, strengthening ecosystem  
 340 conservation efforts.

341 *Table 5: Comparison of carbon stocks in biomass and soils from other ecosystems globally.*

Carbon stocks (tC/ha)	Carbon pool	Depth (m)	Ecosystem	Region	Mean Annual Precipitation (mm)	Source
<i>Region: Global</i>						
1.8-34	Above-ground biomass (Leaf + wood)	-	Tropical humid + dry savannas	Global	-	(Grace et al., 2006)
18-373	Soil	NA	Tropical humid + dry savannas	Global	-	(Grace et al., 2006)
12.61-17.92	Soil + litter	0.2	Cerrado + shrub savannas	Brazil	1100-1300	(Abreu et al., 2017)
0.79-22.08	Woody vegetation	-	Cerrado + shrub savannas	Brazil	1100-1300	(Abreu et al., 2017)
49-79	Soil	0.35-0.38	Tropical grasslands	Pantropic	474-5100	(Don et al., 2011)
36*	Soil	0.3	Tropical savanna	Nigeria	250-2000	(Akpa et al., 2016)
23.2*	Soil	0.3	Tropical grassland	Nigeria	250-2000	(Akpa et al., 2016)
112.69	Soil	0.2	Tropical savanna	North Australia	1700	(Chen et al., 2005)
79.23	Soil	0.2	Tropical grassland	North Australia	1700	(Chen et al., 2005)
30.02	Soil	0.3	Savanna	Ghana	1400-1800	(Bessah et al., 2016)
22.01	Soil	0.3	Cashew plantations	Ghana	1400-1800	(Bessah et al., 2016)

16.02	Soil	0.2	Woodland savanna	Senegal	350-580	(Elberling et al., 2003)
9.83	Soil	0.2	Grassy savanna	Senegal	350-580	(Elberling et al., 2003)
28.94	Above-ground biomass	-	Humid Guinean savanna	Northern Sierra Leone	2244	(Amara et al., 2019)
41.53	Soil	0.2	Humid Guinean savanna	Northern Sierra Leone	2244	(Amara et al., 2019)
27.26	Soil	0.3	Grassland fallow	Southeastern Nigeria	1550	(Obalum et al., 2012)
10.32	Soil	0.2	Dry grasslands	Senegal	280-400	(Woomer et al., 2004)
<i>Region: India</i>						
<b>8.28-12.54</b>	<b>Soil</b>	<b>0.22</b>	<b>Semi-arid savanna grasslands</b>	<b>Peninsular India</b>	<b>~500</b>	<b>This study</b>
<b>0.24-0.94</b>	<b>Above-ground biomass (Grass)</b>	<b>-</b>	<b>Semi-arid savanna grasslands</b>	<b>Peninsular India</b>	<b>~500</b>	<b>This study</b>
<b>0.72-8.98</b>	<b>Above-ground biomass (Woody)</b>	<b>-</b>	<b>Semi-arid savanna grasslands</b>	<b>Peninsular India</b>	<b>~500</b>	<b>This study</b>
49.2	Soil	0.3	Semi-arid grasslands	Karnataka and Telangana	~900-1150 <sup>#</sup>	(Mitran et al., 2018)
1.1-4.1	Above-ground biomass (grass)	-	Humid grasslands	Manipur	1408	(Thokchom et al., 2016)
0.36-8	Above-ground biomass (grass)	-	Semi-arid grasslands	Bundelkhand	~834	(Gupta and Ratan, 2005)
0.16-3.73	Above-ground biomass (grass)	-	Alpine grasslands	Garhwal Himalayas	-	(Dhaulakhandi et al., 2000)

342 \* Adding up SOC values for 0-5, 5-15 and 15-30 cm soil depths.

343 <sup>#</sup> MAP of both states, since the study is state-wide.

## 344 Monitoring carbon recovery in study sites

345 While we attempted to sample soils up to the standard depth of 30cm, shallow topsoil  
346 depths restricted sampling in many cases. We found a weak inverse relationship between SOC  
347 and the depth to which we could sample (Figure 4).

348           This could be due to two factors. First, because of the relatively recent nature of the  
349 restoration activity, carbon cycling may be currently occurring at shallower depths, which may  
350 eventually percolate deeper. Evidence from managed grassland experiments suggest this to be  
351 the case – researchers have previously found that carbon storage is limited to the top 5 cm of  
352 soil post a change in land use and land cover for the first 2 years after disturbance, while deeper  
353 depths might even witness a loss (Steinbeiss et al., 2008). Further, SOC changes are likely to  
354 affect shallow soil layers faster because that is where most of the root production occurs, in line  
355 with our observations. These changes based on depth recede with age because soil strata  
356 approach equilibrium after 25-40 years (McSherry and Ritchie, 2013).

357           Two, sampling sites in our analysis have been occasionally plowed by the MFD to lay the  
358 groundwork for the digging of bunds and trenches as a water conservation measure. This could  
359 lead to the overturning of the soil, leading to the carbon stock-depth relationship we observe.  
360 Such management may even lead to subpar annual soil carbon growth rates. In fact, previous  
361 evidence from agricultural ecosystems suggest that no-till practices lead to higher SOC growth  
362 rates and more stable SOC stocks (World Bank, 2012; Pandey et al., 2014; Modak et al., 2019;  
363 Yadav et al., 2019). Adopting similar soil management regimes, including a focus on no-tilling  
364 and a complete absence of mechanical methods, can contribute significantly to boosting SOC  
365 growth and stability in these SGs as well.

366           The analysis revealed significant variations in SOC stocks with chrono-sequence. In our  
367 paired plots, we found a slow build-up of carbon stocks when comparing the control site with the  
368 1-year restoration site. However, subsequent years demonstrate a relatively substantial  
369 increase in carbon stocks. Taken together, there is a 34% increase in carbon stocks from the  
370 control sites to the 3-year sites, which may be the combined effects of the intervention, site-  
371 specific variability, the variability in grazing access and intensity (if any), among other drivers.  
372 While we could not isolate the relative contribution of each, it is likely that restoration has  
373 contributed a significant amount to observed SOC changes because of the relatively uniform

374 nature of the other drivers at all sample sites. With increasing time since restoration, other soil  
375 properties like the density of organic matter, changes in soil structure and aeration are also  
376 likely to occur. All this would determine soil bulk density, and thereby affect SOC. Future studies  
377 in SGs in the region can aim to systematically control for all these variables when attributing  
378 SOC changes to respective drivers. Observed increments in SOC may only be permanent in  
379 case of grazing management and protection from woody encroachment (for example, due to  
380 tree-planting programmes) since evidence suggests that SOC, although resilient to fire, disease  
381 and droughts, can be lost due to such changes due to nutrient depletion and shifts in vegetation  
382 composition (Buisson et al., 2022).

383 Above-ground litter is one of the most important determinants of SOC in SG since it  
384 impacts carbon accumulation below-ground (Wang et al., 2013). Recent evidence suggests that  
385 the upper layer of the soils (which we assessed) are mostly influenced by the aboveground and  
386 belowground litter productions and its subsequent decomposition, while carbon at deeper  
387 depths is influenced by land use legacies (Nath et al., 2018). In our sample plots, above-ground  
388 grass biomass stocks ranged from 0.24-0.94 tC/ha. These stocks are transient in nature. This is  
389 lower than the estimates for humid *Imperata* grasslands in Northeast India (approx. 1.1-4.1  
390 tC/ha) (Thokchom et al., 2016), but comparable to estimates in the Bundelkhand region (0.36-8  
391 tC/ha) (Gupta and Ratan, 2005) and in the alpine grasslands of the Western Garhwal Himalayas  
392 (0.16-3.73 tC/ha) (Dhaulakhandi et al., 2000). Observed values are also on the lower end of  
393 values from corresponding values for litter in global savanna ecosystems, which can range from  
394 as low as 0.2 tC/ha to as high as 22.5 tC/ha (Grace et al., 2006). However, it is important to  
395 note that our study was conducted in the peak dry season (March 2023), where environmental  
396 conditions are hot and dry and coincide with minimum observed grass biomass stocks.

397 On the other hand, the range of above-ground carbon in woody vegetation was 0.72-  
398 8.98 tC/ha, which falls on the lower end of observed woody biomass estimates for global  
399 savanna ecosystems (Grace et al., 2006). This is not surprising, given the aridity and poor soil

400 quality in our sample sites. Furthermore, these low values provide further grounds to question  
401 the suitability of planting trees in SGs as a carbon sequestration tool - recent evidence suggests  
402 that grasses contribute the highest to increasing SOC in savanna ecosystems and increasing  
403 tree cover has little, if any, impacts on increasing SOC (Zhou and Staver, 2022).

## 404 Strategies for grassland restoration

405 SGs have existed across the Cenozoic Era globally (from 66 million years ago) and for at  
406 least 1 million years, going up to even 10 million years in India (Ratnam et al., 2016). There is  
407 now a global and national recognition of the importance of these unique ecosystems, which has  
408 been provided further impetus by the declaration of the UN Decade of Ecosystem Restoration  
409 (2021-2030). At the national level, however, there are mixed signals. While India has also  
410 signed up for ambitious Land Degradation Neutrality targets, SGs do not feature in India's NDC  
411 despite their vast expanse, partly because of colonial legacies of being termed as 'wastelands'  
412 (Madhusudan and Vanak, 2023).

413 Concurrently, soil carbon sequestration is also now recognized as a natural climate  
414 solution. In international climate governance, the need to enhance SOC across land uses and  
415 across ecosystems is now a common denominator, highlighted by the adoption of the *4 per*  
416 *Mille* Initiative at COP21 in Paris in 2015 (Minasny et al., 2017) and the formal recognition of  
417 SOC sequestration at COP23 in 2017 (COP23 decision 4/CP.23) (Bossio et al., 2020).

418 Grassland restoration can deliver on a two-pronged strategy – conserving existing  
419 stocks (avoiding losses) and restoring stocks in carbon-depleted soils. This could be done  
420 through employing proper management strategies including rotational grazing and reducing  
421 ecosystem conversion (Padbhushan et al., 2020; Bai and Cotrufo, 2022). Meeting these  
422 objectives can deliver additional co-benefits including, but not limited to, (1) increasing soil  
423 fertility and reducing soil erosion, (2) maintaining or increasing resilience to climate change for  
424 communities who derive livelihood benefits from these ecosystems and (3) providing habitat to

425 endemic species. All these actions are in line with the UN Sustainable Development Goals, the  
426 UN Convention on Combating Desertification (UNCCD) and the Global Biodiversity Framework.

427 However, a question remains, as to what reference should SGs be restored to deliver  
428 these soil carbon sequestration benefits.

429 From an ecological perspective, attaining old-growth characteristics is the ultimate  
430 objective of any restoration initiative. Restoration in SGs (like our study site) should aim to have  
431 long-lived perennial plants; a complex diversity of below-ground structures that enable re-  
432 sprouting after above-ground disturbances such as fire and grazing occur; and substantial  
433 below-ground carbon stores, which are characteristics typical to old-growth SGs (Buisson et al.,  
434 2022).

435 Attaining these characteristics is not straightforward. Whereas the destruction and  
436 degradation of SGs can occur rapidly, recent work indicates that complete recovery of carbon  
437 storage potential and essential structure, composition, and functions occurs slowly (Buisson et  
438 al., 2022), often in the order of decades or even centuries (Nerlekar and Veldman, 2020).

439 Increasing carbon storage in SGs would also have to account for saturation and non-  
440 permanence in soils. SOC saturation refers to a maximum capacity of the soil to retain organic  
441 carbon, meaning that SOC does not increase indefinitely. Soils saturate at timescales of a few  
442 decades and reach a new steady state. The time when saturation is achieved is also  
443 determined by the soil type, management intervention, climate regime and pre-existing SOC  
444 depletion. The comparison of our results with national and global averages (*Table 5*) reveals  
445 that a saturation point may still be sufficiently far, implying that SGs in the region can keep  
446 delivering carbon benefits for realistic future timeframes at the very least.

447 With respect to non-permanence, maintaining high SOC stocks requires some form of  
448 protection and management, even after saturation is achieved and no further mitigation benefits  
449 accrue. Since SG sites in the region are under the management of the MFD and protected from  
450 conversion under law, it can be expected that it remains stable at realistic multi-decadal

451 timescales if protection is encouraged and sustained (Bossio et al., 2020). Our efforts in this  
452 study are also just a sample of the time and rigor required to conclusively attribute the changes  
453 in carbon stocks to the restoration activity. This is especially so because short-term monitoring  
454 may lead to different conclusions about the efficacy of restoration itself (Török et al., 2021).

## 455 **The need for a flexible carbon measurement protocol for SGs**

456 A considerable effort has been made by the global scientific community to measure the  
457 amount of SOC using a variety of techniques including both ex-situ as well as in-situ methods  
458 (Eggleston et al., 2006; Kurniatun et al., 2010; Marthews et al., 2014; FAO, 2019; Vagen and  
459 Winowiecki, 2023). These approaches have attempted to come up with standardized  
460 approaches to monitor and evaluate carbon stocks as well as fluxes in diverse ecosystems  
461 (Stockmann et al., 2013). However, these approaches, while tailored for a global audience, are  
462 often found to be disproportionately focused on forest ecosystems for carbon measurements.  
463 This makes them unfit for use in many tropical non-forest ecosystems – like SGs – where there  
464 are unique logistical and protocol-based constraints.

465 For example, guidelines often state that soil sampling should ideally be performed up to  
466 a soil depth of 1m, and at least up to a depth of 30 cm. However, soils in SGs, especially in  
467 degraded SGs, are often extremely shallow and may not allow soil cores to be collected to  
468 prescribed depths.

469 Therefore, we adapted existing field protocols to come up with a flexible approach  
470 developed specifically for SOC measurements for SGs in this study. It is designed to provide  
471 carbon measurements at plot-level, which if repeated at regular intervals in wet and dry  
472 seasons, can demonstrate changes in carbon fluxes in these ecosystems. Going forward, it is  
473 crucial that region and ecosystem-specific tools, approaches and guidelines are developed  
474 which can take contextual challenges into account as well as are flexible and cost-effective to  
475 verify and potentially monitor carbon fluxes in ecosystems like SGs.

## 476 Acknowledgements

477 The authors gratefully acknowledge Mr. S. G. Tembhurnikar (CEO CAMPA) and Dr. Dhairshil  
478 Patil (Dy.C.F. Solapur) of the Maharashtra Forest Department for extending field support for this  
479 study. The authors thank Anuja Malhotra, ATREE and Vijayraj Jare and Nikita Sabnis from The  
480 Grassland Trust for support during fieldwork and Gowri Uday from the ATREE Ecoinformatics  
481 Lab for help with Figure 1. This study was funded through a grant by WestBridge Capital to the  
482 Centre for Policy Design, ATREE.

## 483 Disclosure statement

484 The authors report no conflict of interest.

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