

# 1     **Generation of evolving plate boundaries and toroidal flow** 2     **from visco-plastic damage-rheology mantle convection and** 3     **continents**

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## 12    **Key Points:**

- 13    • Results from global,  $\sim 10^7$  Rayleigh number, visco-plastic mantle convection mod-  
14    els with damage.
- 15    • Strong toroidal-poloidal coupling and mix of small and large-scale plate tectonic  
16    features generated.
- 17    • Supercontinental rafts interact with oceanic lithosphere to generate complex spread-  
18    ing center morphologies.

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**19 Abstract**

20 Earth's style of planetary heat transport is characterized by plate tectonics which re-  
21 quires rock strength to be reduced plastically in order to break an otherwise stagnant  
22 lithospheric lid, and for rocks to have a memory of past deformation to account for  
23 strain localization and the hysteresis implied by geological sutures. Here, we explore  
24  $\sim 10^7$  Rayleigh number, visco-plastic, 3-D global mantle convection with damage. We  
25 show that oceanic lithosphere-only models generate strong toroidal-poloidal power  
26 ratios and features such as a mix of long-wavelength tectonic motions and smaller-  
27 scale, back-arc tectonics driven by subduction. Undulating divergent plate boundaries  
28 can evolve to form overlapping spreading centers and microplates, promoted and per-  
29 haps stabilized by the effects of damage with long memory. The inclusion of conti-  
30 nental rafts enhances heatflux variability and toroidal flow, including net rotation of  
31 the lithosphere, to a level seen in plate reconstructions for the Cenozoic. Both the super-  
32 continental cycle and local rheological descriptions affect heat transport and tectonic  
33 deformation across a range of scales, and we showcase both general tectonic dynam-  
34 ics and regionally applied continental breakup scenarios. Our work points toward av-  
35 enues for renewed analysis of the typical, mean behavior as well as the evolution of  
36 fluctuations in geological and model plate boundary evolution scenarios.

**37 1 Introduction**

38 Earth's lithospheric plates are part of mantle convection, but there is still signif-  
39 icant uncertainty as to the appropriate material behavior laws that may capture the  
40 evolution of plates. Figuring out which processes control how tectonics is expressed  
41 on Earth has implications from the dynamics of fault zone seismicity to constraining  
42 long-term planetary evolution.

43 We know that some frictional or plastic weakening is required to limit the ex-  
44 tremely high strengths that purely temperature-dependent viscosity would imply for  
45 the lithosphere, or else our planet would be in a stagnant lid (Moresi & Solomatov,  
46 1998; Tackley, 2000a). Focusing on a viscous, long-term fluid flow perspective, mod-  
47 els that apply visco-plastic descriptions of rheology in global, spherical convection are,  
48 in fact, able to produce many of the hallmarks of plate tectonics (van Heck & Tack-  
49 ley, 2008; Foley & Becker, 2009; Mallard et al., 2016; Langemeyer et al., 2021). How-  
50 ever, increasing the yield strength, the transition between mobile, possibly episodic,

51 and then eventually stagnant states is found at yield stresses that appear too low com-  
52 pared to what might be expected from rock mechanics, indicating some additional weak-  
53 ening mechanism(s) such as elevated fluid pressures.

54 Moreover, specific tectonic features of Earth such as spreading center-transform  
55 fault offsets and high degrees of toroidal flow have been suggested to require not just  
56 purely plastic behavior but strain localization, that is a reduction of flow stresses for  
57 progressive deformation (Bercovici, 1995b; Gerya, 2010; Bercovici & Ricard, 2013). Such  
58 rheological behavior implies a “memory” of prior deformation and hysteresis can arise  
59 in a number of different ways. Geologically and from plate reconstructions, there is  
60 evidence that existing zones of lithospheric weakness can in fact be reused after per-  
61 haps as long as a billion years (e.g. Burke et al., 1977; Buitter & Torsvik, 2014).

62 A range of microphysical mechanisms have been debated (Montési, 2013), but  
63 one candidate for a localizing rheology with memory is grain size evolution within  
64 dislocation-diffusion creep (e.g. Landuyt et al., 2008; Bercovici & Ricard, 2013). An ap-  
65 proximation to such behavior is provided by tracking the effects of a quasi-strain, dam-  
66 age variable that reduces yield strength (e.g. Tackley, 2000a; Lavier et al., 2000; Ogawa,  
67 2003) and can heal through thermal processes. For appropriate parameter choices, such  
68 a description can capture aspects of more complex grain-size evolution laws (Fuchs  
69 & Becker, 2021) and allows for a relatively simple study of what may approximate bulk  
70 memory-controlled behavior. We have recently explored the dynamics of global, 3-  
71 D, visco-plastic convection models with this rheology using relatively low,  $\sim 10^6$ , Rayleigh  
72 number computations for an idealized, oceanic lithosphere-only planet and found that  
73 reactivation of self-consistently formed, persistent weak zones led to more rapid re-  
74 organizations of tectonics and heat transport (Fuchs & Becker, 2022).

75 Here, we explore models with  $\sim 10$  times higher convective vigor which appear  
76 nearly Earth-like in terms of their convective planform, internal structure, as well as  
77 surface kinematic power spectra. By comparison with kinematic constraints from plate  
78 reconstructions, we can explore potential avenues for narrowing down appropriate  
79 rheological laws to capture and then later predict plate boundary evolution. Earth’s  
80 mantle is not purely in a thermal convection state, of course, and the thermo-chemical  
81 component as expressed by continental crust and lithosphere at the surface is here ad-  
82 ditionally explored as a major complication in such analysis.

## 2 Observational constraints and modeling

### 2.1 Plate tectonic models and plate kinematics

We proceed to analyze a range of plate models and reconstructions in terms of their kinematic power spectra. Such an analysis has a long history (O’Connell et al., 1991; Čadek & Ricard, 1992; Lithgow-Bertelloni et al., 1993), but modern plate models have not been evaluated comprehensively in this fashion, to our knowledge. More importantly, it is useful to consider the range of these metrics for plate geometries and plate speeds, or, equivalently, the degree of intraplate deformation. All of those properties can be summarized in this way in a statistical sense which lends itself for comparison with general convection models, as opposed to those tailored for a specific region or time period of Earth’s lithosphere. There are a number of other ways of evaluating the typical character of plate tectonic reconstructions but here we focus on the kinematics and explore the general behavior of our global damage convection models.

One way to analyze horizontal velocity fields,  $\hat{\mathbf{u}} = \{0, u_\phi, u_\theta\}$ , is by Helmholtz decomposition into poloidal (source/sink, vorticity free),  $\mathbf{u}_p$ , and toroidal (vorticity generated, source-free) velocity,  $\mathbf{u}_t$ , components

$$\mathbf{u}_p = \hat{\nabla}V \quad \text{and} \quad \mathbf{u}_t = -\mathbf{e}_r \times \hat{\nabla}W, \quad (1)$$

where  $V$  and  $W$  are the scalar poloidal and toroidal potentials, respectively,  $\mathbf{e}_r$  a unity radial vector, and  $\hat{\nabla}$  the horizontal gradient operator. For global, spherical  $\hat{\mathbf{u}}$ , the potentials  $V$  and  $W$  can be computed by expansion into vector spherical harmonics (e.g. O’Connell et al., 1991). The horizontal divergence,  $\hat{\nabla} \cdot \mathbf{u}$ , and vertical component of the vorticity,  $(\nabla \times \mathbf{u})_r$ , relate to the potentials via Laplacian equations,  $\hat{\nabla}^2 V = \hat{\nabla} \cdot \mathbf{u}$  and  $\hat{\nabla}^2 W = (\nabla \times \mathbf{u})_r$  and can be recovered from the spherical harmonic coefficients of  $V$  and  $W$ . Features on spatial scales of  $D$  relates to spherical harmonic degree  $\ell$  as  $D \sim 20,000 \text{ km}/\ell$ , and we carry all expansions up to  $L = \max(\ell) = 255$ .

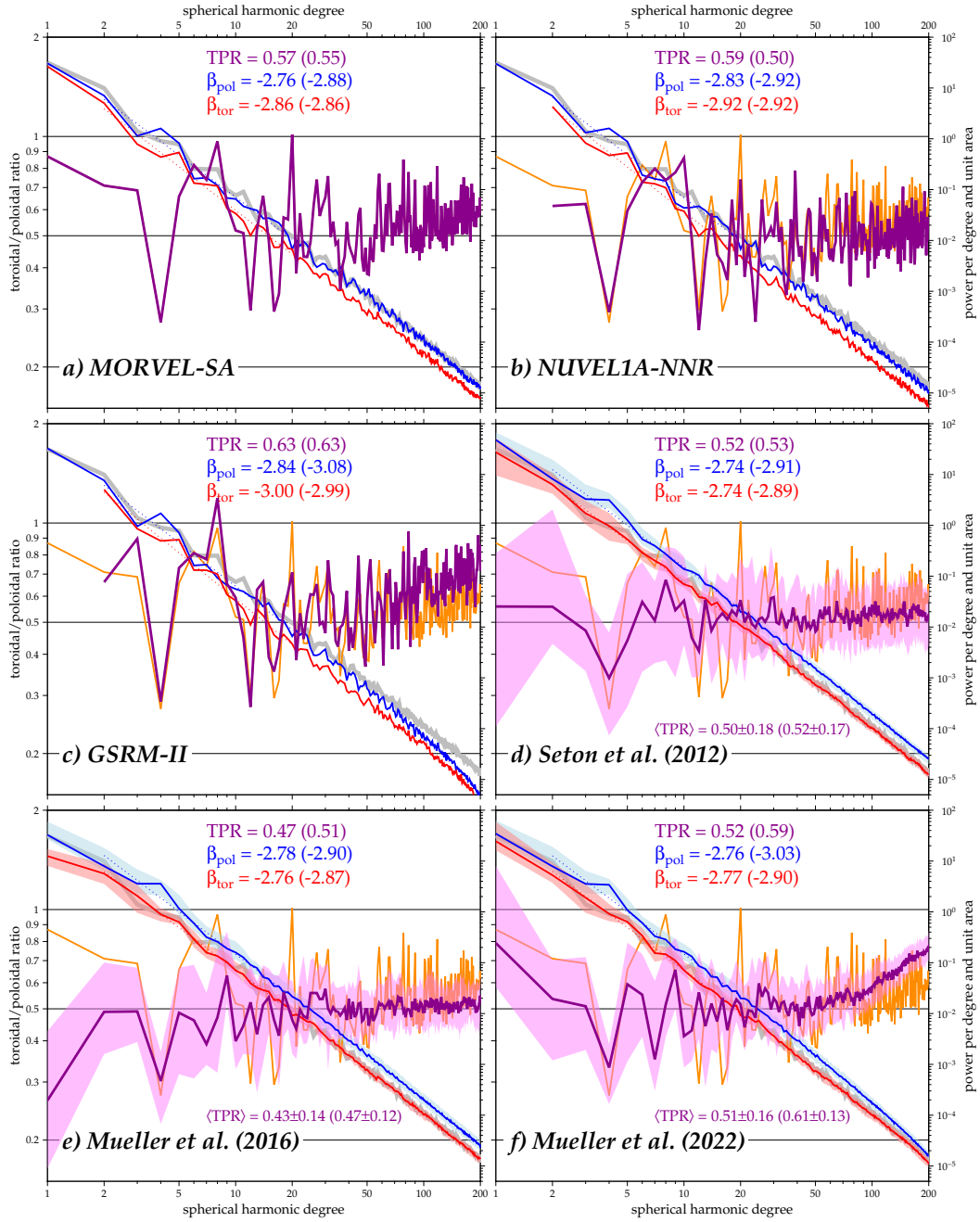
Figure 1a shows the power (summed, squared coefficients per degree and unit area) spectrum,  $\sigma(\ell)$ , for both poloidal and toroidal coefficients for the current plate motion model MORVEL (Argus et al., 2011) which has plate geometries from Bird (2003). As discussed by O’Connell et al. (1991), the poloidal and toroidal power spectral decay as in Fig. 1a reflect the discontinuous nature of velocity amplitudes as per the rules

114 of plate tectonics, i.e. no deformation within plates. The spectral decay seen in the plate  
 115 kinematics is thus roughly that of a step function; this is illustrated by comparison  
 116 with the power spectrum of a scalar,  $1/\ell$  function assigned to the Pacific plate geom-  
 117 etry (gray line in Fig. 1). The decay of  $\sigma$  is well captured at high  $\ell$  with a power-law  
 118 with  $\sigma \sim \ell^\beta$  and  $\beta \gtrsim -3$ , where 3 is the theoretical Heaviside expectation, and  $\beta \approx$   
 119  $-2.9$  for MORVEL (Fig. 1a).

120 When averaged over  $\ell$ , the ratio of toroidal to poloidal power, TPR, is  $\approx 55\%$   
 121 across a wide range of degrees, with the  $\ell = 2 \dots 20$  ratio being 57% (Fig. 1a). This  
 122 is one of the hallmarks of the Earth's style of modern tectonic heat transport, and an  
 123 important metric to consider when evaluating convective models of plate generation.  
 124 The reason for this relatively high-power stirring motion is not immediately obvious  
 125 since only poloidal flow is associated with vertical transport of mass and hence con-  
 126 vective cooling. We know that lateral viscosity variations are required to excite toroidal  
 127 flow across all degrees (O'Connell et al., 1991; Ricard et al., 1991). For spherical ge-  
 128 ometries, toroidal motion may, in fact, serve to lower the overall viscous dissipation  
 129 within the mantle, making the system work more efficiently (Bercovici, 1995a). How-  
 130 ever, the excitation of the right kinds of toroidal fields as represented by Figs. 1a-c has  
 131 been suggested to require strain-localization, e.g. damage-dependent rheologies, and  
 132 purely plastic or power-law behavior alone may be insufficient (Bercovici, 1995b; Bercovici  
 133 & Ricard, 2013).

143 Figure 1a shows MORVEL power spectra from expanding velocities given in the  
 144 spreading-aligned absolute plate-motion reference frame (Becker et al., 2015) which  
 145 has a moderate net rotation (NR), i.e.  $\ell = 1$  toroidal flow, component for the present-  
 146 day. This NR power is typical of a range of estimates, e.g. from global hotspots (Becker  
 147 et al., 2015) and works out to  $\text{TPR}(\ell = 1) \sim 80 \dots 90\%$ . This rate of NR is compara-  
 148 ble to the net rotations excited by sub-continental to sub-oceanic asthenospheric vis-  
 149 cosity variations in global mantle circulation models with present-day continent or cra-  
 150 tonic geometries (Ricard et al., 1991; Zhong, 2001; Becker, 2006).

151 Any plate motion model depends on sometimes subjective choices such as where  
 152 to impose plate boundaries (e.g. Bird, 2003), and we proceed to compare two rigid  
 153 plate descriptions of crustal motions, and one explicitly allowing for intraplate defor-  
 154 mation. Figures 1b and c show the power spectra of different representations of present-



134 **Figure 1.** Poloidal ( $\sigma_P$ , blue) and toroidal ( $\sigma_T$ , red) velocity power and their ratio (TPR, magenta),  
 135 for present-day (a-c) and time-evolving (d-f) plate kinematic models. For plate tectonic recon-  
 136 structions, we show the temporal median and in light shading the 25/75% quartiles, going back to  
 137 140 Ma only to focus on relatively well-constrained time periods (cf. Torsvik et al., 2008). Legend  
 138 states best-fit power-law exponents, e.g.,  $\sigma_P \propto \ell^\beta$ , and median TPR over  $1 \leq \ell \leq L$  for  $L = 20$ , and  
 139  $L = 200$  for the values in parentheses, as applied to the temporal median spectra.  $\langle \text{TPR} \rangle$  values  
 140 state the temporal mean and standard deviation over time-dependent average TPR. Orange line in  
 141 b-f is the TPR of the MORVEL-SA model in a, and gray line is the power spectrum of an arbitrarily  
 142 scaled MORVEL Pacific plate-geometry step function, both shown for reference.

155 day crustal motions, both in a no-net-rotation reference frame. Figure 1b shows re-  
 156 sults from the NUVEL model (DeMets et al., 1990), which has fewer plates than MORVEL,  
 157 and illustrates the effects of such differences on  $\beta$  and the median TPR. Degrees 2...10  
 158 are very similar between MORVEL and NUVEL since they are controlled by the large  
 159 plates' geometry. The median NUVEL TPR for  $\ell = 2 \dots 20$  is slightly larger than for  
 160 MORVEL,  $\approx 60\%$ . Figure 1c is based on the deforming plate, GSRM model in the ver-  
 161 sion of Kreemer et al. (2014) which includes information from geodesy. Allowing for  
 162 such a, presumably more realistic, representation of lithospheric deformation leads to  
 163 a more rapid decrease in poloidal power at high degrees, and consequentially, an in-  
 164 crease of the TPR for  $\ell \gtrsim 50$  from the  $\sim 60\%$  plateau for  $2 \leq \ell \lesssim 20$ , compared to  
 165 the MORVEL or NUVEL representations. The  $\ell \leq 20$  TPR of this deforming plate model  
 166 is also increased, to 63%.

167 While present-day plate models are providing the most detailed information on  
 168 lithospheric deformation, plate motion reconstructions can provide some insights into  
 169 the typical fluctuations of parameters. Broadly speaking, the TPR of the median power  
 170 spectra is close to  $\approx 50\%$  over all degrees for the last 140 Ma (Figs. 1d-f). This is slightly  
 171 reduced but comparable to the present-day values, substantiating the analysis based  
 172 on earlier plate reconstructions (Čadek & Ricard, 1992; Lithgow-Bertelloni et al., 1993).  
 173 Much of the present-day structure of the TPR as a function of degree gets averaged  
 174 out by the evolution of the plate system, with the exception of  $2 \leq \ell \lesssim 6$  patterns  
 175 associated with the dominance of the circum-Pacific subduction system. The decay  
 176 of both the temporal median poloidal and toroidal power is with  $\beta = -2.7 \dots -2.8$   
 177 on average for  $2 \leq \ell \leq 20$ , as for the present-day. The rigid plate reconstructions  
 178 by Seton et al. (2012) and Müller et al. (2016) are typical in terms of their  $\beta$  values,  
 179 and the variations over time as indicated, e.g., in the range of TPR fluctuations through-  
 180 out the Cenozoic. There is thus significant variability in the average TPR across de-  
 181 grees over time, with a standard deviation of 0.14...0.18 for  $\langle TPR \rangle$ , meaning that toroidal  
 182 power typically fluctuates between  $\sim 30 \dots 70\%$ . Time-averaged mean TPR values are  
 183 close to the TPR of the median power spectra, with  $0.43 \pm 0.14$  for Müller et al. (2016)  
 184 on the lower end (Fig. 1e).

185 Temporal fluctuations in the power spectra are particularly large for the  $\ell = 1$ ,  
 186 net rotation terms (Rudolph & Zhong, 2014) and the geophysical and geological con-  
 187 straints are compatible with a range of NR values (Tetley et al., 2019). However, time-



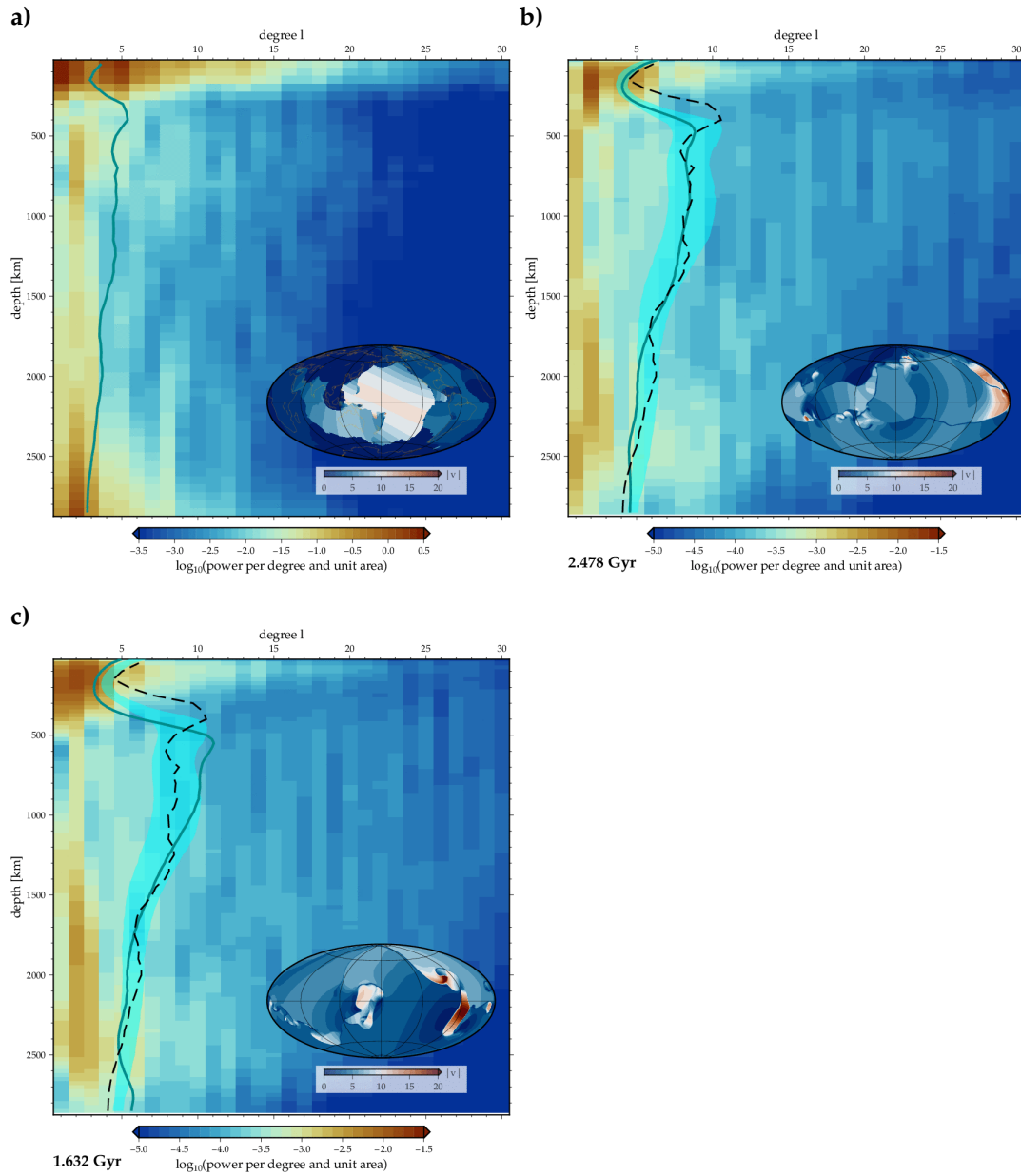
188 evolving convection models indicate that moderate values, e.g. of Fig. 1a with  $\text{TPR}(\ell =$   
 189  $1) \lesssim 80\%$ , might be typical (Atkins & Coltice, 2021). The time-averaged  $\text{TPR}(\ell = 1)$   
 190 from Seton et al. (2012) and Müller et al. (2016) are  $\approx 56\%$  and  $\approx 26\%$ , respectively, from  
 191 the median spectra of the last 140 Ma. Plate tectonic reconstructions can also allow  
 192 for deforming plate interiors and the model by Müller et al. (2022) is shown as an ex-  
 193 ample in Fig. 1f. As for the GSRM model of Fig. 1c, the TPR consequentially is higher  
 194 for  $\ell \gtrsim 50$  than for the rigid plate models because poloidal power decays more rapidly  
 195 with  $\ell$  than the toroidal contributions. Realizing the range of uncertainties associated  
 196 with plate reconstructions even for the last 140 Ma (e.g. Torsvik et al., 2008), and rec-  
 197 ognizing some of the inter-model differences, we choose the median TPR of Müller  
 198 et al. (2016) as in Fig. 1e as a reference for our plots, but will also compare to the de-  
 199 forming plate approaches.

## 210 **2.2 Observational constraints on deep structure**

211 For the present-day mantle, we can also rely on structural seismology, for exam-  
 212 ple, as expressed as the power spectrum of shear wave velocities. While there are com-  
 213 plexities due to composition and mineral physics, as well as tomographic smoothing,  
 214 such heterogeneity spectra provide information on the nature of convection (Tackley  
 215 et al., 1994; Bunge et al., 1997). Figure 2a shows the depth-dependent spectrum of the  
 216 shear wave model TX2019 (Lu et al., 2019) which includes *a priori* information on Wadati-  
 217 Benioff zones. As is typically found,  $\ell = 2$  structure is dominant throughout much  
 218 of the mantle, and the thermo-chemical surface boundary layer of the lithosphere  $\lesssim 300$  km  
 219 contains much of the overall heterogeneity. As can be seen from the depth-dependent  
 220 spectral moment curve (cyan line in Fig. 2a), the power distribution shows the short-  
 221 est wavelength moment below the lithosphere, with a more subtle higher  $\ell$  bump at  
 222  $\sim 700$  km (Boschi & Becker, 2011), likely associated with viscosity and phase changes  
 223 (Tackley et al., 1994; Bunge et al., 1997). There is another subtle transient toward in-  
 224 creased higher  $\ell$  heterogeneity with depth at  $\sim 2,000$  km which may be associated with  
 225 compositional heterogeneity increasingly below those depths, e.g., due to thermo-chemical  
 226 piles or drags closer to the core-mantle boundary (Deschamps & Tackley, 2009).

227 While there is still some debate as to the links of seismological power spectra  
 228 with mantle convection, it is clear that the length-scales associated with plate-tectonic  
 229 style motions serve to organize convection toward lower- $\ell$ , redder spectra compared





200 **Figure 2.** Power,  $\sigma(\ell)$ , per degree and unit area on a log-scale (background) as a function of depth  
 201 and spherical harmonic degree,  $\ell$ , as well as a power-weighted spectral moment curve (cyan) indicat-  
 202 ing the typical frequency content at each depth. Inset shows surface velocity amplitudes in  
 203 cm/yr on Hammer projection. **a)**  $\sigma_{v_S}$  from the shear wave velocity anomalies of TX2019 (Lu et al.,  
 204 2019), with surface velocities for MORVEL, as in Fig. 1a. **b)**  $\sigma_T$  based on a snapshot of a typical  
 205 3-D temperature distribution from a damage convection model without continents (model 22 of  
 206 Table 1). Lightly shaded region indicates 25/75% range around the median spectral moment over  
 207 time, solid line the current moment. Dashed line is the tomography spectral moment from a), but  
 208 arbitrarily scaled with  $\ell^{1.4}$  to fall within the convection model range. **c)** Different snapshot of the  
 209 same convection model where much of the spectrum with depth is dominated by  $\ell = 2$ .

230 to free, isoviscous convection (Buffett et al., 1994; Zhong et al., 2000). Previous visco-  
 231 plastic, plate-like thermal convection models produced power-spectra similar to those  
 232 inferred from tomography (Foley & Becker, 2009; Mallard et al., 2016). While  $\ell = 1$   
 233 dominance appears more typical (Yoshida, 2008), some choices of plasticity values do  
 234 yield  $\ell = 2$  dominated structure in purely oceanic lithosphere models (Foley & Becker,  
 235 2009). We do, however, expect more realistic models with continents to show more  
 236 pronounced  $\ell = 2$  patterns, as well as cyclic variations from hemispheric,  $\ell = 1$ , to  
 237 Pacific, Ring-of-Fire type  $\ell = 2$  scenarios (Zhong et al., 2007; Coltice et al., 2012).

### 238 2.3 Theoretical approach and numerical methods

239 We approximate mantle convection by the equations governing laminar fluid flow  
 240 in the incompressible, infinite Prandtl number regime. In this case, conservation of  
 241 mass, momentum, and energy can be written as

$$242 \quad \nabla \cdot \mathbf{u} = 0 \quad (2)$$

$$243 \quad -\nabla p + \nabla \cdot (\eta \dot{\epsilon}) = (Ra T + Rb C) \mathbf{e}_r \quad (3)$$

$$244 \quad \frac{\partial T}{\partial t} + (\mathbf{u} \cdot \nabla) T = \nabla^2 T + H, \quad (4)$$

245 where all variables are in non-dimensionalized form as in McNamara and Zhong (2004).  
 246 Here,  $\mathbf{u}$  is velocity,  $p$  dynamic pressure,  $\eta$  viscosity,  $\dot{\epsilon}$  the strain-rate tensor,  $Ra$  and  
 247  $Rb$  the thermal and compositional Rayleigh numbers, respectively,  $T$  and  $C$  non-dimensional  
 248 temperature and composition, respectively, and  $H$  internal heat production.

249 To solve these equations, we use the finite element code `CitcomS` (Moresi & Solo-  
 250 matov, 1995; Zhong et al., 2000) with the tracer implementation of McNamara and Zhong  
 251 (2004) which is used to track the nominally diffusion-free and source-less  $C$  field ac-  
 252 cording to

$$253 \quad \frac{\partial C}{\partial t} + (\mathbf{u} \cdot \nabla) C = 0, \quad (5)$$

265 where  $C$  denotes continental material with different strength and buoyancy (see be-  
 266 low). Our general setup closely follows Fuchs and Becker (2022); we consider purely  
 267 internally heated convection and use visco-plastic rheologies with damage to explore  
 268 plate-like planforms of convection.

269 Our basic choices for rheology are an attempt to make surface motions as plate-  
 270 like as possible before introducing and exploring the effects of damage. The starting

254 **Table 1.** Parameters for the main models discussed in the text, all non-dimensional. All com-  
 255 putations have a nominal thermal Rayleigh number of  $Ra = 10^8$  based on radius (McNamara &  
 256 Zhong, 2004), purely internal heating, reference temperature-dependence of viscosity of  $E = 40$ ,  
 257 and viscosity variations additionally limited to eight orders of magnitude variation from  $\eta_0$ . The  
 258 reference  $\sigma_y$  varies with depth as  $b = 1.51 \cdot 10^7$ , and continents are harder to yield at  $a = 10^7$  and  
 259 compositionally buoyant with  $Ra_b = -0.75Ra$ . Internal heating within continents is that of the  
 260 mantle,  $H_c = H = 120$ , except for model “high  $H_c$ ” which has  $H_c = 240$  and  $H = 115.8$ . For  
 261  $H = 120$ , when expressing  $Ra$  in terms of thickness,  $Ra_D$ , the internal heating Rayleigh number  
 262 is thus  $Ra_D H \sim 1.1 \cdot 10^9$ , and when further adjusted for a typical depth-average of viscosity  
 263  $\langle \eta_0 \rangle \sim 100$  (Fig. A1), the effective Rayleigh number is  $\sim 10^7$ . Parameters are otherwise as in Fuchs  
 264 and Becker (2022).

model name	code	yield stress, $a$ [ $10^6$ ]	$d$ affects	crit. str. $d_{cr}$	red. factor $\Gamma$	temp.-dep. heal. $E_d$	heal. rate $B$ [ $10^9$ ]	conti- nents
reference	19	1	$\sigma_y$	10	0.9	46.1	2.44	none
slow heal.	22	1	$\sigma_y$	10	0.9	46.1	1	none
$\eta_0$ weak.	25	1	$\eta_0$	10	0.99	46.1	1	none
high $a$	25_hy5	1.25	$\eta_0$	10	0.99	46.1	1	none
1 cont.	19_1	1	$\sigma_y$	10	0.9	46.1	2.44	1
2 cont.	19_2	1	$\sigma_y$	10	0.9	46.1	2.44	2
3 cont.	19_3	1	$\sigma_y$	10	0.9	46.1	2.44	3
5 cont.	19_5	1	$\sigma_y$	10	0.9	46.1	2.44	5
5 cont., high $H_c$	19_5h	1	$\sigma_y$	10	0.9	46.1	2.44	5

271 viscosity is depth and temperature-dependent

$$272 \quad \eta_T = \eta_0 \exp\left(\frac{E}{T+1} - \frac{E}{2}\right), \quad (6)$$

273 where  $\eta_0$  is a reference viscosity,  $E$  controls the strength of temperature dependence.  
 274 The  $\eta_0$  parameter is globally reduced by 0.1 within the equivalent of 100...400 km depth  
 275 to mimic the effects of an effectively depth-dependent asthenospheric weak zone (Fig. A1).  
 276 Additionally, we use a further, ‘‘melt’’ asthenospheric viscosity reduction which mainly  
 277 occurs underneath young oceanic plates, by multiplying  $\eta_0$  with an additional factor  
 278 of 0.1 if  $T \geq 0.6+2(1-z)$ , where  $z$  is depth normalized by layer thickness (Tackley,  
 279 2000c). The effects of these choices are discussed in sec. 3.1.3.

280 A further plastic limiter viscosity  $\eta_P = \sigma_y / (2\dot{\epsilon}_{II})$  applies, where  $\dot{\epsilon}_{II}$  is the sec-  
 281 ond invariant of the strain-rate tensor and  $\sigma_y$  yield stress. The yield stress changes ac-  
 282 cording to  $\sigma_y = a+bz$ , where  $z$  is depth; this ignores any dynamic pressure-dependence  
 283 of yielding and may underestimate localization in the shallow lithosphere. The total  
 284 viscosity is given by the minimum,  $\eta = \min(\eta_T, \eta_P)$ , akin to earlier, quasi-plastic mod-  
 285 els (Moresi & Solomatov, 1998), and  $\eta$  is further limited to eight orders of magnitude  
 286 variations to limit huge lithospheric viscosity values

287 Unlike most previous, global plate-generating convection modeling, with the ex-  
 288 ception of Fuchs and Becker (2022), we also explore the role of damage rheology for  
 289 the planform of convection. For this, we use a simplified description that tracks a dam-  
 290 age variable  $d$  by integrating over  $\dot{\epsilon}_{II}$  and allowing for healing (Tackley, 2000a; Ogawa,  
 291 2003)

$$292 \quad \frac{dd}{dt} = \dot{\epsilon}_{II} - d \exp\left(-\left(\frac{E_d}{T+1} - \frac{E_d}{2}\right)\right) B, \quad (7)$$

293 where  $B$  is the healing rate, and  $E_d$  quantifies the temperature-dependence of heal-  
 294 ing akin to eq. (6), for simplicity. We can then link  $d$  to a reduction of the yield stress,  
 295  $\sigma_y$ , or the viscosity pre-factor,  $\eta_0$ , e.g. using a linear relationship with some factor  $\Gamma \in$   
 296  $[0; 1)$ , e.g. of order 0.9 (e.g. Lavier et al., 2000)

$$297 \quad \sigma'_y = \sigma_y \left(1 - \frac{\min(d, d_{cr})}{d_{cr}} \Gamma\right), \quad (8)$$

298 and equivalent for  $\eta_0$ . Such strain-dependent weakening and hardening of the plas-  
 299 tic or creep part of the viscosity by means of tracking damage  $d$  is highly simplified  
 300 compared to a range of microphysical mechanisms proposed to account for strain lo-  
 301 calization and persistent sutures in nature. However, which mechanisms may be rel-

302 evant remains debated, and we view the damage treatment as a useful approxima-  
 303 tion which may eventually provide constraints for the range of micro-physical mech-  
 304 anisms. Moreover, our simplified description can mimic many aspects of grain-size  
 305 dependent creep (Fuchs & Becker, 2021), which is one of the major candidates for strain-  
 306 localization and memory within mantle convection (e.g. Landuyt et al., 2008; Bercovici  
 307 & Ricard, 2013).

308 Unlike Fuchs and Becker (2022), we use a stronger temperature-dependent vis-  
 309 cosity and a  $\sim 10$  times higher Rayleigh number. Our internal heating Rayleigh num-  
 310 ber is  $Ra_{DH} = 1.1 \cdot 10^9$  nominally when computed for mantle thickness (Table 1)  
 311 but when correcting for the depth-averaged viscosity, the effective Rayleigh number  
 312 is reduced to  $\sim 10^7$  (Fig. A1). Scaled surface heatflux for the models is  $\sim 34$  TW (sec. 3.1.1),  
 313 close to the  $\sim 36$  TW for the convective part of Earth at present (Jaupart et al., 2015).  
 314 However, with the convective vigor for our reference model, our dimensional veloc-  
 315 ities are  $\sim 0.1$  of typical present-day plate velocities, perhaps indicating a slight de-  
 316 viation from boundary layer scaling expectations. Choosing surface velocities as a ref-  
 317 erence, we rescale dimensional times by a corresponding 0.1. We ran  $\sim 50$  such high  
 318 Rayleigh number models but only discuss what we consider the most interesting model  
 319 variations in the main text with parameters for these models listed in Table 1.

320 In another departure from Fuchs and Becker (2022), we also explore the role of  
 321 continental rafts (cf. Phillips & Bunge, 2005; Rolf et al., 2012; Coltice et al., 2012). The  
 322 latter are implemented by tracking a composition  $C$  (McNamara & Zhong, 2004), and  
 323 seeding with 30 tracers per element. We prescribe  $C = 1$  within the top 300 km of  
 324 the mantle underneath continental regions, and  $C = 0$  else in the mantle. Within re-  
 325 gions where  $C > 0.5$ , a higher yield stress of  $\sigma_y^c = 10^7$  applies, and compositional  
 326 buoyancy affects body forces, eq. (3), via  $Ra_b = -0.75Ra$  to approximate an isopy-  
 327 cnic, strong continental lithosphere (cf. Jordan, 1978; Lenardic et al., 2003). The same  
 328 tracers used for tracking  $C$  also carry the damage variable  $d$  as an additional “flavor”,  
 329 as in Fuchs and Becker (2022).

330 We ran all models for several convective overturn times before analyzing their  
 331 character, e.g., when computing time-averaged kinematic power spectra. We then strove  
 332 to average for as long as possible while trying to avoid clearly episodic states such  
 333 as were found particularly for some models with continental rafts, by visual inspec-

334 tion of surface fields and consideration of heatflux variations. However, the strong time  
335 dependence of the evolving plate boundary system makes such averaging a challeng-  
336 ing proposition, and it appears very difficult to fully avoid transient switches in tec-  
337 tonic states.

338 Most computations were run at a uniform resolution of  $\approx 26$  km horizontally and  
339 22 km vertically. This reference resolution appears sufficient to capture the broad-scale  
340 behavior based on comparison with a number of tests run for shorter periods of model  
341 time at higher resolution of  $\approx 7$  km horizontally and 22 km vertically throughout the  
342 mantle with refinement to  $\approx 7$  km in the surface boundary layer. While different in  
343 detail, broadly the evolutionary character and kinematic metrics of these models were  
344 in agreement. This is illustrated by the specific evolutionary scenario of Figs. 12 and  
345 A4, and a comparison of the general state of the system of Figs. A2 and A3. We did  
346 find that models that had  $\sigma_y$  affected by  $d$  were more stable numerically than those  
347 where damage applied to  $\eta_0$  for higher resolution cases, implying that such viscos-  
348 ity weakening cases may suffer from mesh dependence. Further verification of these  
349 results is outside our current computational resources.

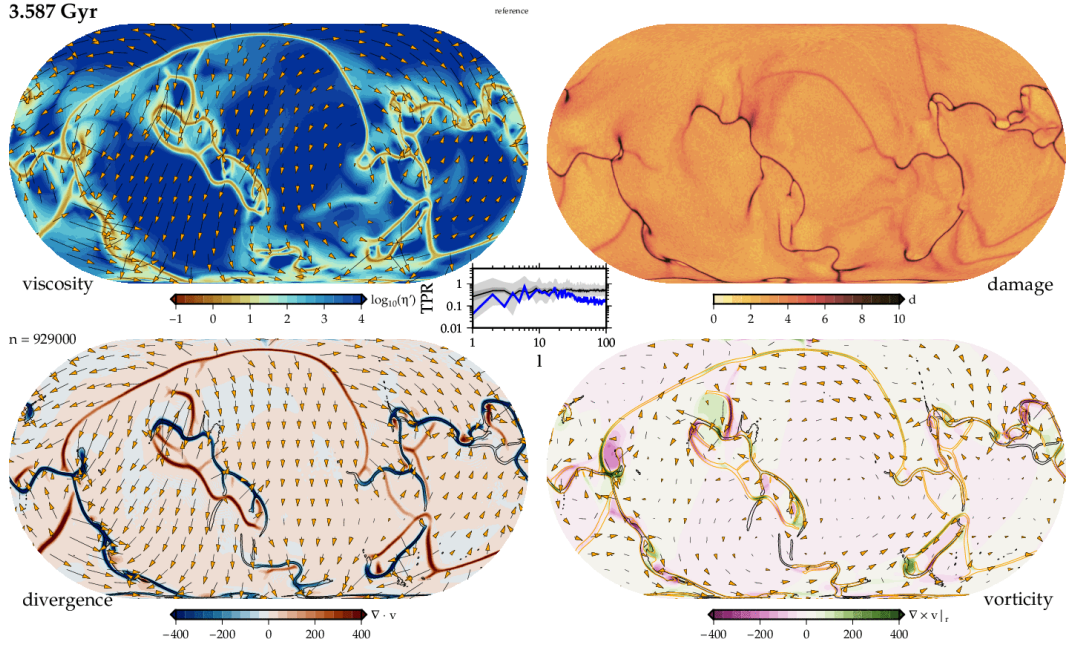
### 350 **3 Results**

#### 351 **3.1 Overall character of models**

##### 352 *3.1.1 Surface kinematics and heat transport*

362 Our global convection models show a range of interesting tectonic features, most  
363 of which are highly time-dependent. Figure 3 shows a snapshot of the reference model's  
364 surface expression of mantle convection, and we also provide movies illustrating the  
365 temporal evolution of a few key models in the supplementary material. As for ear-  
366 lier visco-plastic models (van Heck & Tackley, 2008; Foley & Becker, 2009), most de-  
367 formation is found to be localized in plate boundary zones, making the planform of  
368 surface motions appear akin to our present-day style of tectonic motions.

369 Overall, the rheological choices work out such that plate interiors are  $\sim 5$  orders  
370 of magnitude higher viscous than the interior of the shear zones found within plate  
371 boundary zones. Given our choices of rheological parameters, this range of variation  
372 is slightly less than in the purely visco-plastic computations of Langemeyer et al. (2021).  
373 There are also some broad, intraplate deformation zones that are  $\sim 1,000$  times weaker



353 **Figure 3.** Surface dynamics of the reference model (19 of Table 1) from a snapshot of viscosity  
 354 (log-scale of normalized viscosity,  $\eta' = \eta/\eta_0$ ) and velocity (orange vectors, top left, TL), damage  
 355 (top right, TR), poloidal velocities and horizontal divergence (bottom left, BL), and toroidal veloc-  
 356 ities and vertical vorticity (bottom right, BR; all Eckert-IV projection). Orange and black contours  
 357 denote active plate boundaries ( $\log_{10}(\eta') = -2, -1, 0, 1$ ) and high damage ( $d = 5$ ) regions. The  
 358 central plot shows the toroidal:poloidal ratio (TPR) in blue compared to the median and range back  
 359 to 140 Ma from Müller et al. (2016), as in Fig. 1e. Animations of the time-dependence which allows  
 360 evaluating plate boundary evolution, for example, are provided in the supplementary material for  
 361 all models.

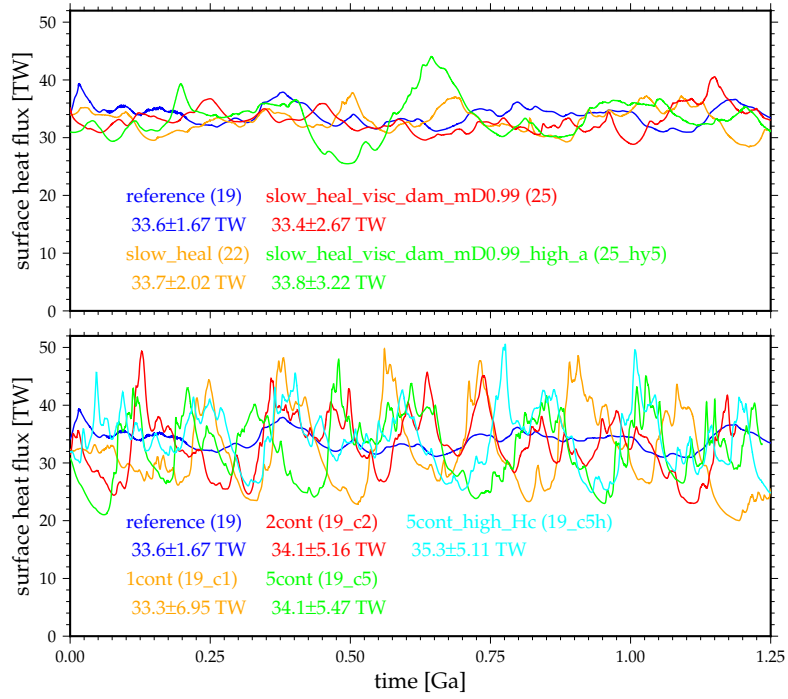


374 than the interior of the plates. This range of effective viscosity variations is broadly  
 375 consistent with global constraints on intraplate deformation (Becker, 2006), for exam-  
 376 ple. Our reference numerical resolution appears sufficient to capture the dynamics,  
 377 though higher resolution models may enhance plateness slightly (cf. Figs. A2 and A3).

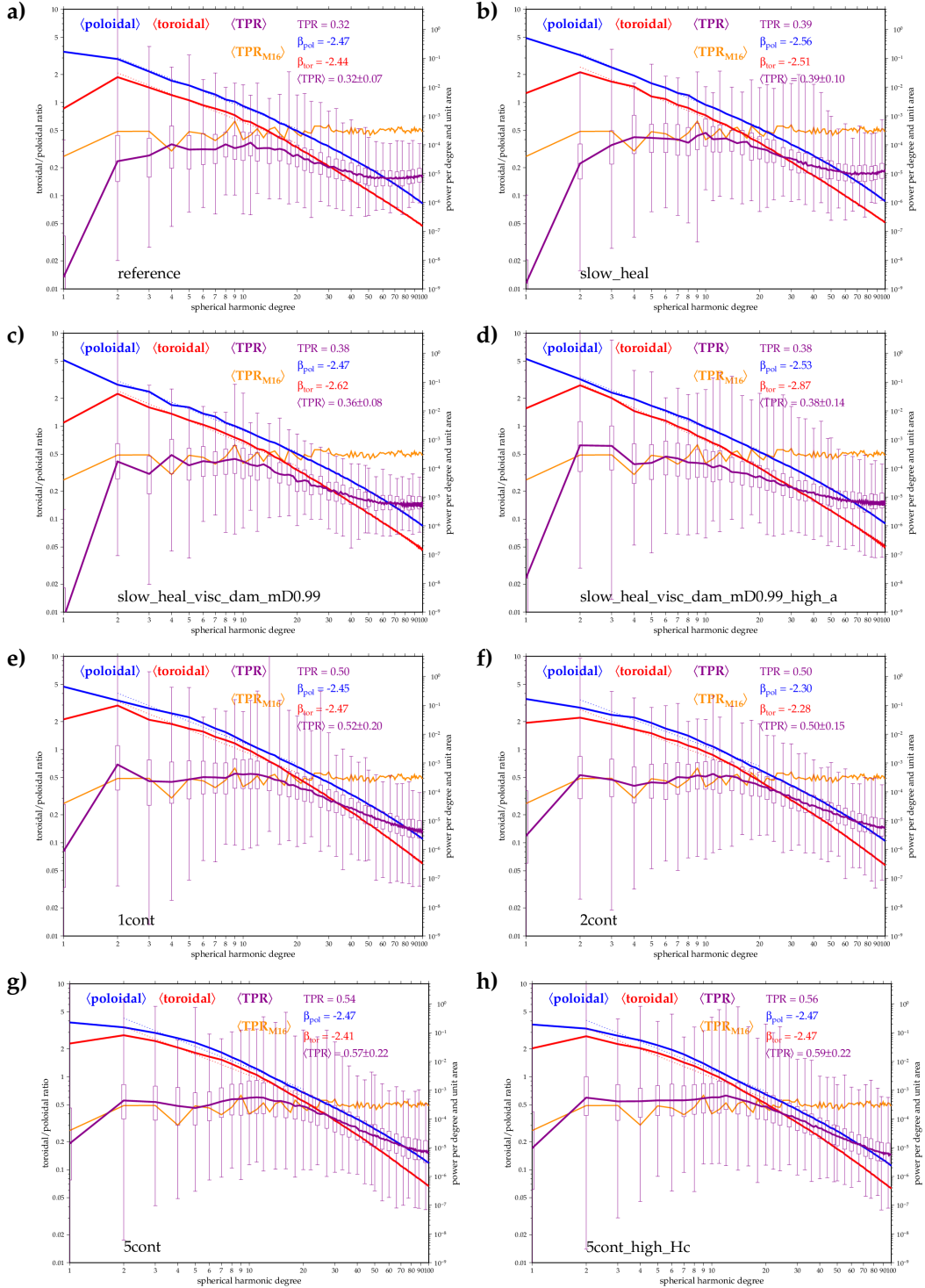
382 As for our earlier, lower Rayleigh number models, we see the reuse of existing  
 383 weak zones based on persistent damage regions in the lithosphere (Fuchs & Becker,  
 384 2022). Unlike our earlier experiments, we find more of a mix of large plates produced  
 385 by long-wavelength convection and smaller tectonic features, such as arcuate subduc-  
 386 tion zones with complex spreading center morphology, similar to those documented  
 387 by Mallard et al. (2016). Zones of plate consumption are overall smaller-scale than the  
 388 spreading centers (see, e.g., the divergence in Fig. 3). This is partly a function of the  
 389 latter being entirely passive features since we are only considering purely internally  
 390 heated models (Tackley, 2000b; Foley & Becker, 2009). The mean and standard devi-  
 391 ation of the heatflux over time are  $33.6 \pm 1.7$  TW, i.e. a  $\approx 5\%$  fluctuation around the mean  
 392 (Fig. 4).

393 The total toroidal power of the reference model is significant at  $\approx 32\%$  of the poloidal  
 394 one up to  $\ell < 20$  (Fig. 8a), but below the median of the plate tectonic models across  
 395 all degrees (Fig. 5), and the time-variable ratio is  $0.32 \pm 0.07$ . The median poloidal  
 396 and toroidal power spectra decay roughly with a power-law between  $\ell = 1$  and  $\ell \lesssim$   
 397  $20$  at decay exponents of  $\beta \approx -2.5$  and  $-2.4$ , respectively (Fig. 5). This is a slightly  
 398 less steep decay than what is seen for the plate motions of Fig. 1 which show  $\beta \approx$   
 399  $-2.8$ . This indicates that plateness is close to what is inferred from plate motions for  
 400 the Cenozoic, but there is more intraplate deformation in the models than what is rep-  
 401 resented in the deforming plate models of Fig. 1c and e.

410 The geodetic and geological models show a more rapid decrease of poloidal power,  
 411 and hence an increase in TPR at  $\ell \gtrsim 50$ , whereas the convection models see a TPR  
 412 trend reversal at  $\ell \gtrsim 30$ , on top of a decrease after roughly constant TPR from  $2 \lesssim$   
 413  $\ell \lesssim 12$ . This corresponds to spatial scales  $D$  of  $\sim 700$  km and  $\sim 400$  km for convec-  
 414 tion models and geodetic models, respectively. For  $\ell \gtrsim 40$ , the geodynamic model  
 415 TPR stabilizes at  $\sim 0.2$ . Resolution tests indicate that this asymptote is shifted to  $\sim 0.25$   
 416 for the higher resolution comparisons we explored which implies a loss of resolution.  
 417 We, therefore, focus our discussion on a comparison of the TPR for degrees  $\lesssim 20$ .



378 **Figure 4.** Comparison of bulk temporal convective behavior as exemplified by total surface heat  
 379 flux for a representative model time period for all models discussed in the main text (Table 1) for  
 380 oceanic lithosphere only (top) and once continents are included (bottom). Values provided under-  
 381 neath the legend state arithmetic mean and standard deviation.



402 **Figure 5.** Surface velocity power spectra for all major models 19, 22, 25, 25\_hy5, 19\_c1, 19\_c2,  
 403 19\_c5, and 19\_c5h (a-h, Table 1). Blue, red, and magenta lines show the median power spectra for  
 404 poloidal and toroidal motions, and their ratio (TPR), respectively, and box-whisker plots for the  
 405 TPR indicate 25/75% quartiles and extreme values, respectively. Dashed lines from  $2 \leq \ell \leq 20$   
 406 indicate power-law decay fits to the median spectra with  $\ell^\beta$ , and best-fit  $\beta$  values are stated in the leg-  
 407 end as well as the mean TPR ratio across those degrees, and the temporal fluctuations,  $\langle TPR \rangle$  as in  
 408 Fig. 1.  $\langle TPR_{M16} \rangle$  is the TPR from the median power spectra of Müller et al. (2016) back to 140 Ma, as  
 409 in Fig. 1e.

### 418 3.1.2 Mantle heterogeneity spectra

419 Another approach to assess which features of convection models might be re-  
 420 lated to present-day mantle convection is by comparison of the mantle structure power  
 421 spectra (Figs. 2b and c). Those depth-dependent spectra reflect the aforementioned  
 422 mix of plate sizes, as shown in the surface velocity fields, with some large plates or-  
 423 ganizing the flow. When we compare the typical range of depth-dependent power as  
 424 indicated by the spectral moment, we can see that the depth dependence is broadly  
 425 similar to that inferred from seismic tomography (Fig. 2a).

426 The spectrum with the highest  $\ell$  spectral moment is found within and below our  
 427 mechanical asthenosphere, at  $\gtrsim 300$  km depth where the confluence of radial viscos-  
 428 ity variations, internal heating, and the “melt” viscosity reduction lead to lower vis-  
 429 cosities in our models (Fig. A1; cf. Foley & Becker, 2009). We expect tomography to  
 430 image a similar asthenosphere, but the spectral moment of maximum higher  $\ell$  power  
 431 would lead us to infer a boundary layer that is shallower by  $\sim 100$  km. This is the case  
 432 even though tomography includes the compositional effects of continental lithosphere,  
 433 absent in these convection models. Along with the aforementioned plate speed un-  
 434 derprediction, this is another indication that our models are still not quite at the con-  
 435 vective vigor of Earth, in particular when viewed through the thermal boundary layer  
 436 thickness (Fig. A1).

437 When comparing tomographic and convective spectra, there is a lack of sub-lithospheric  
 438 structure for  $\ell \gtrsim 20$  in Figs. 2b and c compared to Fig. 2a, presumably because those  
 439 shorter wavelength structures are not reliably globally imaged by current seismic to-  
 440 mography (e.g. Ritsema et al., 2007). We also see a secondary increase in  $\ell$  of the con-  
 441 vection spectral moment at  $\sim 1000$  km (Figs. 2b) due to the deep, slab reorganization  
 442 consequences of time-variable plate motions, even in the absence of phase transitions  
 443 or additional lower mantle viscosity increases. This suggests a note of caution when  
 444 interpreting seismic tomographic signals for the present-day mantle. Given that our  
 445 models do not include lower mantle chemical anomalies and are purely internally heated,  
 446 we see a monotonous decrease of the typical  $\ell$  from the spectral moment below  $\sim 1500$  km.

447 Figures 2b and c show a scaled version of the spectral moment from tomogra-  
 448 phy, multiplying the curve of Fig. 2a by  $\ell^{1.4}$  to roughly match the results from the con-  
 449 vection models. This modification can be viewed as a visual aid, or a simple correc-

450 tion of the tomographic filtering that results from regularized inversions with incom-  
 451 plete data coverage. A similar enhancement of higher  $\ell$  power would roughly correct  
 452 for the tomographic loss of toroidal power from circulation modeling documented for  
 453 the mid mantle by Bull et al. (2010), for example.

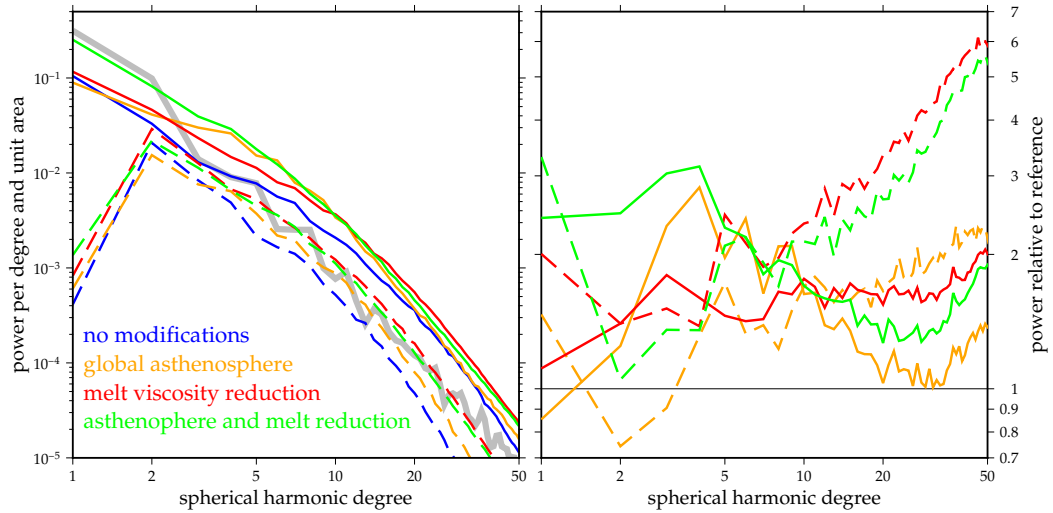
454 While we know that the degree of bottom heating and other contributions can  
 455 modify the spectral content with depth (e.g. Bunge et al., 1997; Deschamps & Tack-  
 456 ley, 2009; Foley & Becker, 2009), comparison of the tomographic and model-produced  
 457 character of convection with depth in Fig. 2 appears thus quite favorable compared  
 458 with the low Rayleigh number, visco-plastic results by Foley and Becker (2009). This  
 459 indicates that the addition of an asthenosphere and higher convective vigor does in-  
 460 deed improve the match to observations.

461 In terms of dominant structure in the convection models as a function of spher-  
 462 ical harmonic degree over all depths, we can see that the overall character of temper-  
 463 ature structure is dominated by  $1 \leq \ell \leq 3$  power (Figs. 2b and c). The time-dependent  
 464 nature of convection means that the mantle temperature state fluctuates between pat-  
 465 terns akin to the  $\ell = 1$  and  $\ell = 2$  dominated structure as in Figs. 2b and c (cf. Zhong  
 466 et al., 2007), with much time spent in intermediate states. When we depth-average the  
 467 maximum power degree below the surface thermal boundary layer, the temporal me-  
 468 dian dominant degree for model 22 (Table 1) is  $\approx 1.44$  with standard deviation of 0.36.

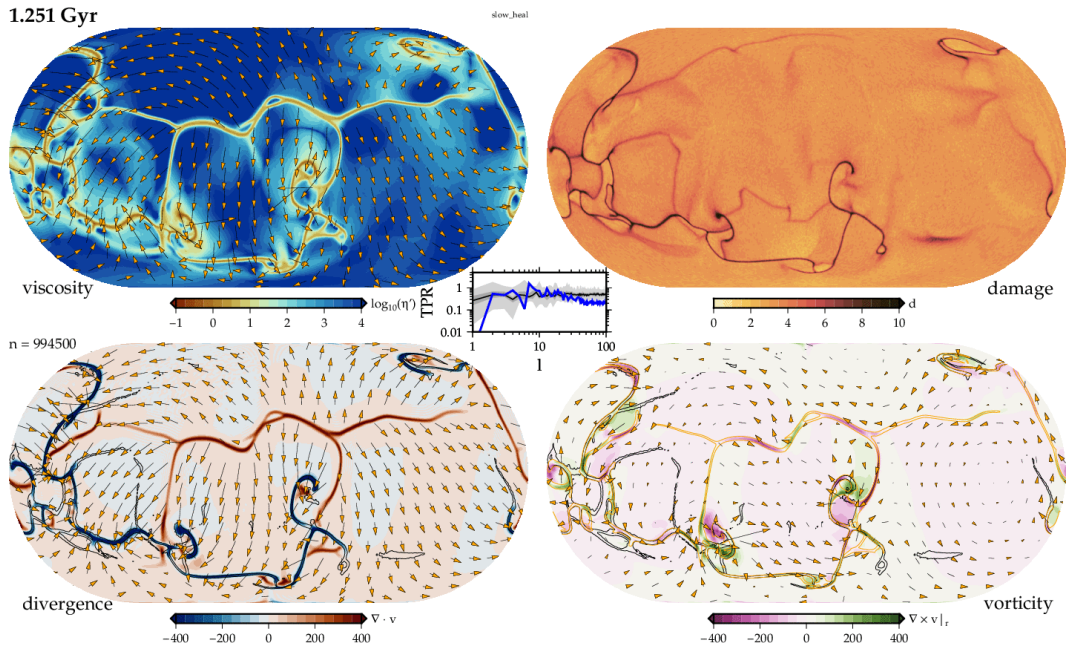
### 469 **3.1.3 Role of the asthenosphere**

478 Adding a global, reduced viscosity layer to a background mantle is expected to  
 479 make surface motions in convection models more plate-like and longer wavelength  
 480 (Bunge et al., 1997; Richards et al., 2001; Busse et al., 2006). When we compare our  
 481 reference model which has such a viscosity reduction at asthenospheric depths (sec. 2.3)  
 482 to a model without a global asthenosphere, power spectra are indeed affected by a  
 483 bump up in mid-wavelength poloidal flow (Fig. 6).

484 The further addition of the “melt” viscosity formulation which lowers the vis-  
 485 cosity underneath divergent plate boundaries enhances coherence near spreading cen-  
 486 ters, as expected (Tackley, 2000c), and leads to a strong increase in shorter wavelength  
 487 toroidal power compared to models without such an addition (Fig. 6). A caveat is that  
 488 other choices of asthenospheric properties might have a larger effect on surface mo-



470 **Figure 6.** Comparison of the time-averaged median poloidal (solid) and toroidal (dashed) power  
 471 per spherical harmonic degree,  $\ell$ , and unit area for a reference model without asthenosphere and  
 472 melt viscosity reduction (sec. 2.3) for a slightly reduced temperature-dependent reference model  
 473 with  $E = 30$  (left). Figure on the right shows the same power spectra but relative to the reference.  
 474 Comparisons are for models where we add a global asthenosphere only, add a “melt” viscosity  
 475 reduction only, and if both are included, as for our reference model in the main text. The gray back-  
 476 ground line shows the typical,  $\propto \ell^{-3}$  type decay of step-function like velocity fields, here for a  
 477 spherical harmonics expansion of a Pacific plate geometry function from MORVEL (sec. 2.1).



483 **Figure 7.** Surface kinematics of the slow-healing damage model (22 of Table 1), for details see  
 494 Fig. 3.

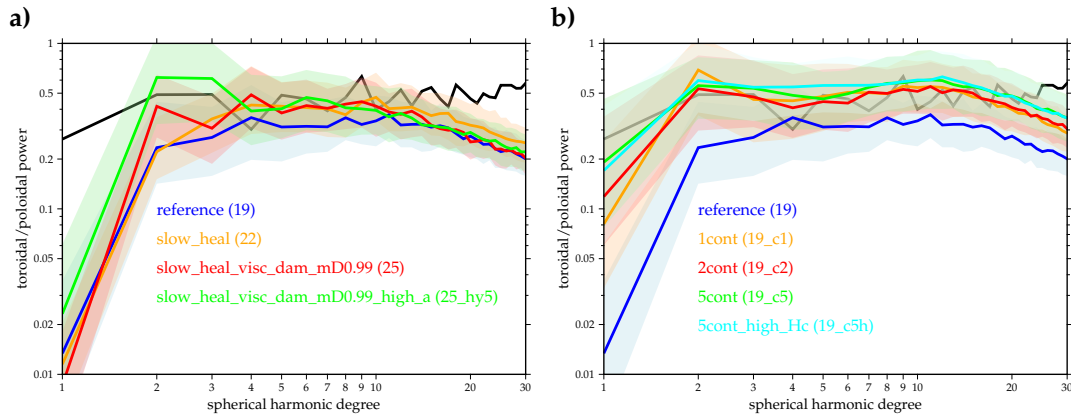
489 tions given our boundary layer thickness (Fig. A1). However, in particular the  $\ell \gtrsim$   
 490 10 degrees of toroidal power are found to be quite sensitive to the rheology of the as-  
 491 thenosphere underneath spreading centers (cf. Tackley, 2000c; Coltice et al., 2019).

### 492 3.2 Damage and yielding

499 We now proceed to explore modifications of damage rheology based on the ref-  
 500 erence model of Fig. 3. Figure 7 shows a snapshot of surface velocities for a model  
 501 that enhances the effects of damage by means of modifying parameters such that there  
 502 is a slower healing (model 22 of Table 1). The time dependence of heat transport is  
 503 comparable to the reference model at  $\approx 6\%$  temporal fluctuations in heatflux (Fig. 4).  
 504 Figure 8a shows that the toroidal:poloidal ratio (TPR) is enhanced across wavelengths  
 505 for the increased memory model, however, up to on average  $\approx 39\%$ , with time-variations  
 506 of  $0.39 \pm 0.1$ , and a steeper decay of the spectrum at  $\beta \sim -2.6$  (Fig. 5).

507 By comparison with Fig. 3, we can see that the corresponding enhanced effects  
 508 of damage tend to lead to a more complex evolution of spreading centers, for exam-  
 509 ple (Fig. 7). The evolution of divergent plate boundaries is now showing more fre-

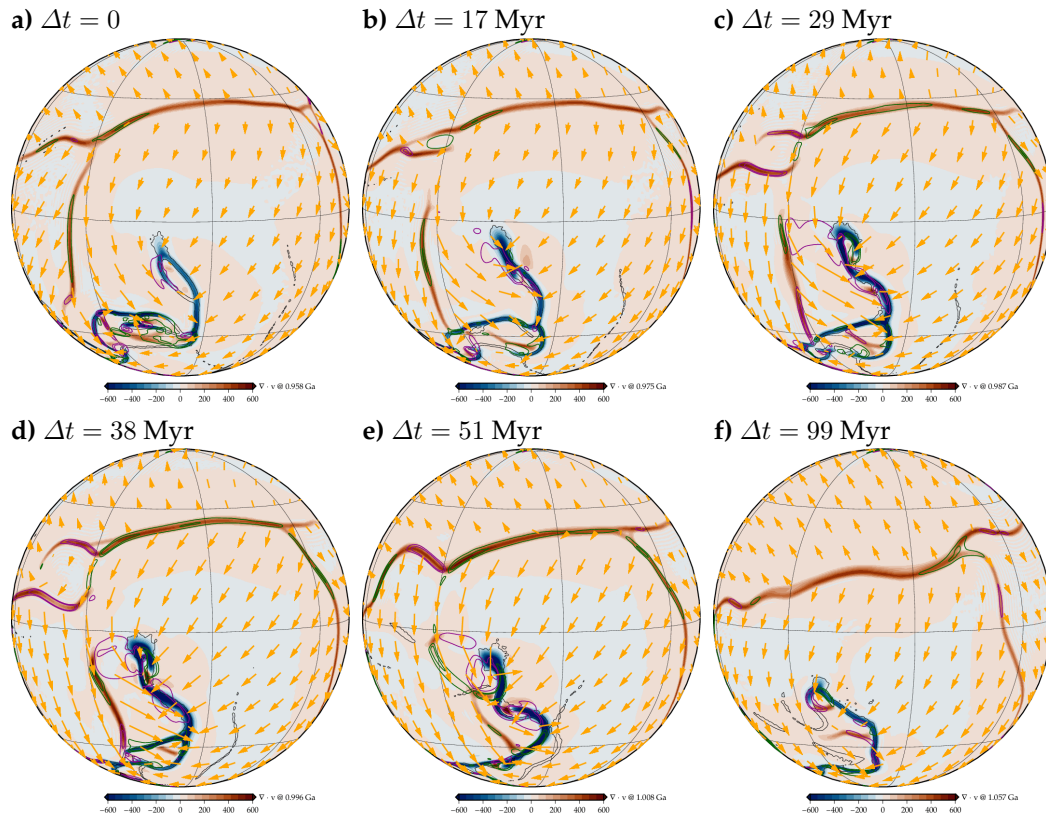




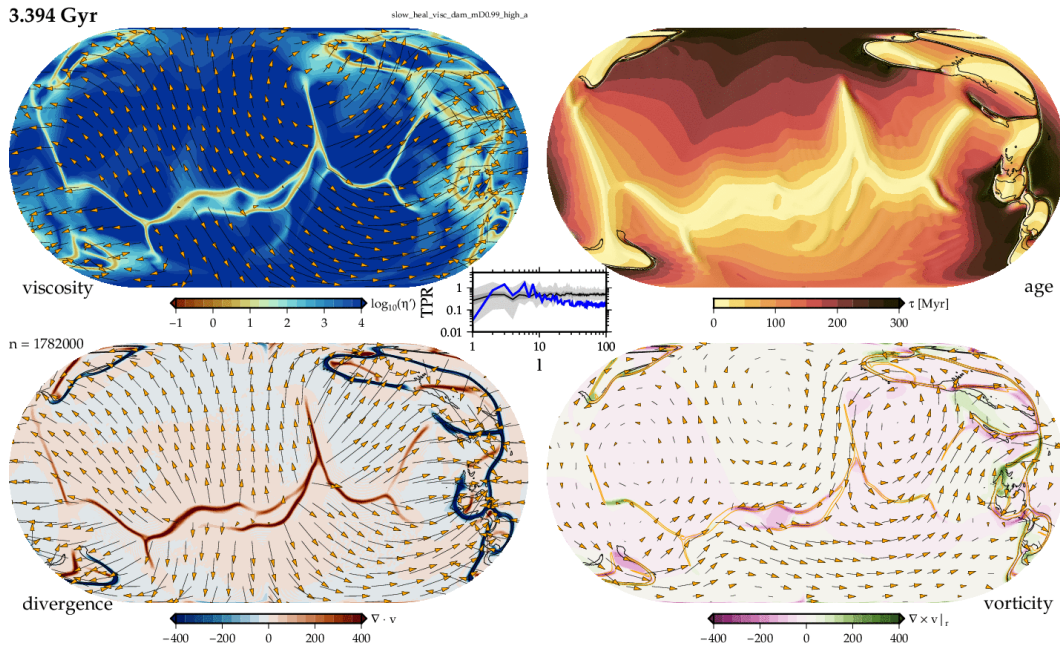
495 **Figure 8.** **a)** Median toroidal to poloidal power (lightly shaded region indicating 25/75% range)  
 496 for the different damage models of Table 1. Black line is the median value of the plate model  
 497 of Müller et al. (2016) as per Fig. 1e. **b)** TPR for models with continents. See Fig. 5 for complete  
 498 poloidal and toroidal spectra and decay fits.

510 quently features such as undulations of spreading centers akin to ridge-transform off-  
 511 sets, overlapping spreading centers, and microplates (Fig. 9). Any such statements about  
 512 morphology and planform of convection are subject to large temporal fluctuations,  
 513 as can be seen, e.g., in the movies of the time evolution in the supplementary mate-  
 514 rial and the range of variations of the TPR spectra in Fig. 8.

519 Figure 9 illustrates a typical regional tectonic evolution for this model. Note the  
 520 undulated spreading center in the west and a tiny plate bound by all spreading triple  
 521 junctions on the far east (Fig. 9a), as driven by subduction in the south. Subduction  
 522 evolves from opposite-polarity, double trenches to a highly curved single trench. Along  
 523 the western triple junction of the spreading center, overlapping spreading centers (Fig. 9b)  
 524 separate and then form a clockwise rotating microplate with a  $\sim 20$  Myr lifetime (Fig. 9c-  
 525 d, cf. Zatman et al., 2001; Hieronymus, 2004). Subsequently, the southern spreading  
 526 center is abandoned (see black damage contours in Fig. 9e), and a new undulation in  
 527 the northern spreading center forms. While not quite a sharp spreading center-transform  
 528 offset, there are thus indications for something akin to the segmentation documented  
 529 in models with higher viscosity contrasts (Langemeyer et al., 2021), and higher regional  
 530 resolution (Gerya, 2010). Many of such tectonic features are transiently contained in  
 531 all of the models considered here, regardless of rheology, but we strive to comment  
 532 on the more statistically robust, and typical, features of each model.



515 **Figure 9.** Evolution of a spreading center over time for the slow healing damage model (22 of  
 516 Table 1, orthographic projection). Velocities shown with divergence in the background, as in Fig. 7,  
 517 and  $\pm 100$  and  $\pm 200$  vorticity contours in magenta and green, respectively. Snapshots of the model  
 518 evolution at the indicated times, with  $\Delta t$  being relative to a); black damage contours are for  $d = 5$ .



533 **Figure 10.** Surface dynamics of the high yield stress,  $\eta$ -damage model (25\_hy5 of Table 1), for  
 534 details see Fig. 3, but TR shows effective seafloor age,  $\tau$ , as computed from heatflux,  $q$ , from  
 535  $q = 490 [\text{mW}/\text{m}^3/\sqrt{\text{Myr}}]/\sqrt{\tau}$ , and limited to  $q_{min} = 20 \text{ mW}/\text{m}^3$ , instead of damage.

536 Surface dynamics are similar if the damage affects viscosity rather than yield stress  
 537 (Fig. A2; cf. Fuchs & Becker, 2021), albeit at slightly increased variability of heat trans-  
 538 port with a standard deviation of  $\approx 8\%$  for the heatflux for model 25 of Table 1 (Fig. 4).  
 539 A comparison of power spectra indicates that the viscosity damage case does show  
 540 a significant increase in  $\ell = 2$  toroidal power, however, bringing the range from  $\ell =$   
 541  $2 \dots 10$  within the values indicated by plate reconstructions (Fig. 8a). Figure 10 shows  
 542 the surface kinematics for a model where the background yield stress is additionally  
 543 raised. In terms of power spectra, the response of moving closer to the stagnant lid  
 544 regime is a steeper decay of toroidal power (Fig. 5), which leads to the median TPR  
 545 exceeding plate reconstruction values for low degrees (Fig. 8a). Considering plate bound-  
 546 ary evolution, even a slightly higher yield stress for model 5\_hy5 (Table 1) leads to  
 547 more pronounced undulations of spreading centers, as in the snapshot of Fig. 10, al-  
 548 beit at further increased episodicity of plate tectonic heat transport ( $\approx 10\%$  temporal  
 549 variability, Fig. 4). This substantiates that there is a subtle interplay between damage  
 550 rheologies locally reducing viscosity or plastic yield stress due to strain-localization  
 551 and accumulated damage (Fuchs & Becker, 2022), and the well-known general behav-

552     ior that the planform of convection is quite sensitive to background visco-plastic yield  
553     stress (cf. Tackley, 2000b; Mallard et al., 2016; Langemeyer et al., 2021).

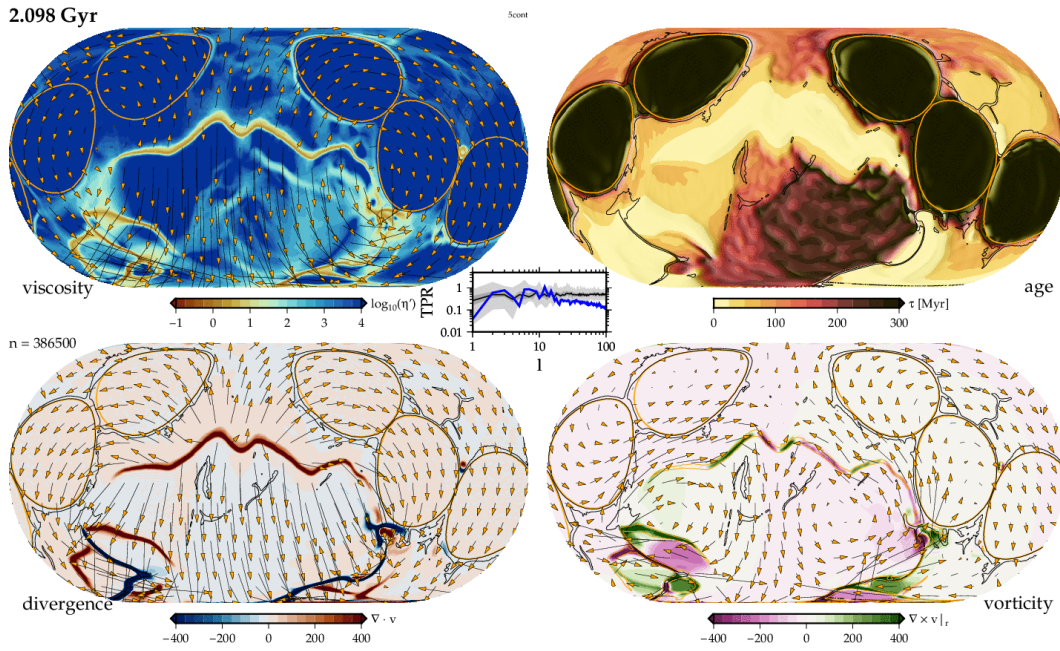
554     However, since we are also closer to a stagnant lid, with a possibly episodic in-  
555     termediate regime (cf. Foley & Becker, 2009), the model shown in Fig. 10 does also  
556     intermittently stagnate. This leads to overall more dramatic plate boundary reorga-  
557     nizations than any of the models at lower background yield stress. Even when avoid-  
558     ing near-episodic periods of convective transport, the model of Fig. 10 shows the high-  
559     est fluctuations of heatflux,  $\approx 10\%$ .

560     This model also shows the steepest power-spectral decay of the surface kinemat-  
561     ics for the oceanic lithosphere-only models discussed here (Fig. 5a-d). Best-fit power-  
562     law exponents are  $\beta \approx -2.5$  and  $-2.9$  for poloidal and toroidal fields, respectively,  
563     comparable but still smaller than for the deforming plate reconstruction (Fig. 1f).  
564     The high yield stress model has the largest fluctuations around the temporal mean TPR  
565     of  $0.38 \pm 0.14$  (Fig. 5d) which is similar to the Müller et al. (2016) inference of  $0.43 \pm$   
566      $0.14$  (Fig. 1e). This suggests that more work is needed to establish the typical means  
567     and fluctuations from it for both geological constraints and geodynamic models.

568     We have conducted a range of tests modifying the yield stress and damage for-  
569     mulations. Those models included having damage only reduce the yield stress, or vis-  
570     cosity, when material is under simple, rather than pure shear, deformation, inspired  
571     by the elastic damage models of Hieronymus (2004). Introducing such complexity mod-  
572     ified the morphology of spreading centers, for example by making overlapping seg-  
573     ments as in Fig. 9 more ubiquitous. However, we were not able to robustly, quanti-  
574     tatively distinguish between the effects of locally tuning plastic yield stresses and mod-  
575     ifications of how damage applied given the computational demands of these global  
576     models.

### 577     3.3 Continents

581     What certainly has a strong effect on the overall planform of how convection is  
582     expressed at the surface including TPR is the inclusion of continental rafts (Fig. 11).  
583     While the study of an idealized oceanic-only system is instructive, the additional com-  
584     plexity due to continents appears to be quite fundamental for plate-tectonic metrics.



578 **Figure 11.** Surface dynamics of the five continental floats model (19\_c5 of Table 1), for figure de-  
 579 tails see Fig. 3. Heavy orange lines are compositional  $C = 0.5$  contours outlining the continental  
 580 float configuration; overall area  $\approx 30\%$  for all continent models (see Figs. 5 and 8b).

585 Continents were implemented as high background yield stress, near neutrally  
 586 buoyant ( $Ra_b/Ra = -0.75$ ), circular regions of the lithosphere, making up  $\approx 30\%$  of  
 587 the surface area (sec. 2.3). For our initial tests, these continental rafts were then sub-  
 588 divided into 1, 2, 3, and 5 initially separated, circular regions whose dispersal and as-  
 589 sembly progress alongside the oceanic domain motions. Computations were initial-  
 590 ized from a temperature snapshot of our continent-free reference model. We discuss  
 591 the dynamics after some initial overturn times, and before models eventually ended  
 592 up in an only episodically mobile state. This occurred for several of the continental  
 593 models whereas the corresponding oceanic lithosphere only models remain mobile.  
 594 Within our rheological choices and accepting the inference from sec. 3.2, i.e. that dam-  
 595 age allows for producing plate tectonic features within a mobile, rather than close to  
 596 stagnant regime, this implies that the effects of continents may make damage even  
 597 more important for sustaining mobile, plate-like convection.

598 Given the formulation of the damage rheology, continental rafts are able to store  
 599 damage for longer times than oceanic regions, as on Earth. However, with our initial  
 600 rheological choices, which are tailored toward maintaining stability, we do not find



601 breakup of the original rafts for the reference parameters and circular, idealized raft  
602 geometry, and the total surface area of continents remains fairly stable, i.e. little re-  
603 cycling.

604 Overall, the introduction of continental rafts has significant consequences on the  
605 time-variability of convection (Figs. 4 and 5e-h), substantiating the results of Rolf et  
606 al. (2012) and Coltice et al. (2012), for example. When considering heatflux variations,  
607 all continental models show significantly larger standard deviations (around similar  
608 mean heatflux) with typical values between  $\sim 15 \dots 20\%$  variations from the mean for  
609 the models considered (Fig. 4). The role of continents for plate dynamics has been ex-  
610 plored in visco-plastic computations (Rolf et al., 2018), but the kinematic power spec-  
611 tra and the role of damage remains under-explored.

612 Fig. 8b shows the TPR for median power spectra for a range of continental mod-  
613 els. When compared with the reference model, and the effect of different plate bound-  
614 ary rheologies (Fig. 8a), it is clear that continental rafts also have a significant effect  
615 on toroidal motions. The mean TPR is elevated to  $\approx 47\%$  for a single continent, and  
616  $\approx 50 \dots 56\%$  for distributed continental floats, while the high  $\ell$  TPR is somewhat reduced  
617 because now a larger part of the surface is deforming in a relatively rigid fashion. Tem-  
618 poral fluctuations of the TPR are likewise elevated, where the continental models show  
619 a standard deviation of  $0.15 \dots 0.22$  (Fig. 5).

620 One marked difference in the kinematic power spectra is that the introduction  
621 of even a single continent dramatically increases the  $\ell = 1$  TPR, that is the net ro-  
622 tation component of the global surface velocities (Fig. 8b). The more dispersed the con-  
623 tinental area, the higher the NR component for our models considered. The five-continent  
624 model, shown as a snapshot in Fig. 11, reaches NR values that are comparable to that  
625 inherent in the Müller et al. (2016) plate model. This effect is expected given the role  
626 of continental vs. oceanic asthenospheric viscosity variations (Ricard et al., 1991; Zhong,  
627 2001; Becker, 2006; Rudolph & Zhong, 2014), and the amplitudes broadly consistent  
628 with those expected from 2-D cylindrical computations (Gérault et al., 2012; Atkins  
629 & Coltice, 2021).

630 When we consider the temperature distribution power spectra with depth, as  
631 in sec. 3.1.2, we find that continental models that allow for dispersal of smaller rafts  
632 do spend more time in a mode which is dominated by  $\ell = 2$  power with depth (cf.

633 Zhong et al., 2007). Dispersal may be facilitated by the reduction of yield stress due  
634 to damage (cf. Rolf et al., 2018). While the signal is highly time-dependent (cf. Figs. 2b  
635 and c), the higher internal heating concentration, five continent model (19\_c5h of Ta-  
636 ble 1) has a temporal median dominant degree of  $\approx 2 \pm 0.23$  compared to the  $1.44 \pm 0.36$   
637 of continent-free model 22.

638 Figure 11 shows an example snapshot of a spreading center geometry whose shape  
639 is partially due to the reorganization of subduction zones around continents, imply-  
640 ing some connection between intraoceanic features and continental configuration. Such  
641 a connection was discussed early on for ridge-transform offsets in nature given their  
642 counterparts in rifts after continental separation during the Wilson cycle. However,  
643 we know, e.g. from the analog experiments by Oldenburg and Brune (1975) and Sibrant  
644 et al. (2021), that continents are not a required condition for ridge offsets. As in the  
645 analog experiments, we do see similar features for our oceanic lithosphere-only mod-  
646 els (e.g. Figs. 7 and 9), as was shown by Langemeyer et al. (2021).

647 While the role of continental viscosity and other properties in affecting overall  
648 plate speeds and the vigor of convection have been explored in visco-plastic model-  
649 ing (Rolf et al., 2012, 2018), we varied a few of the parameters in our damage rheol-  
650 ogy models, including the modified heatflux boundary condition imposed by conti-  
651 nental floats. Figures 5 and 8b include power spectra from a five-continent model, for  
652 example, which has increased internal heating within continents, as would be expected  
653 from the concentration of incompatible elements after fractionation. The overall spec-  
654 tral character is similar to the model with the reference heat distribution, but toroidal  
655 power is increased further, to an average of  $\approx 56\%$ , which is slightly higher than the  
656 mean inferred from plate reconstructions (Fig. 8b). These results emphasize that while  
657 features such as undulating spreading centers do not require the effects of continents,  
658 we cannot easily separate any of the kinematic quantities as inferred from plate re-  
659 constructions without accounting for the role of continents. Damage matters, but con-  
660 tinents to an even larger extent.

661 Rolf et al. (2012, 2018) used a more easily deformable buffer zone around a stronger  
662 continental keel to allow for fragmentation of continental blocks, while avoiding the  
663 relatively fast recycling of continental material by subduction that ensues even if con-  
664 tinents are made moderately high viscosity and neutrally buoyant (Lenardic et al., 2003;

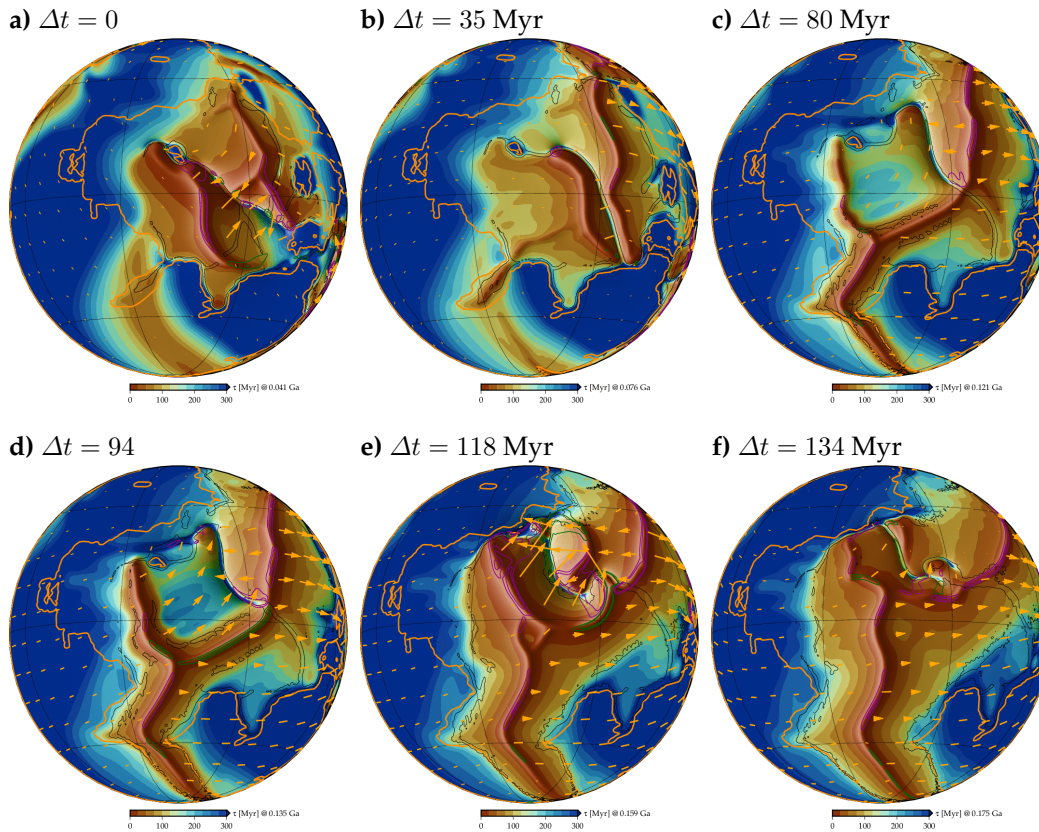


665 Yoshida, 2012). We might anticipate that our damage formulation may lead to the nat-  
666 ural formation of such buffer zones in a dynamically consistent way.

667 This is the case, and damage can accumulate around the circumference of our  
668 continental floats, and in some limited instances due to initialization and geometri-  
669 cal details, within the continents themselves. However, based on our initial tests, we  
670 were not able to find a suitably reduced continental yield strength for supercontinen-  
671 tal breakup cycles to be sustained. Any initial breakup of the floats as in Fig. 11 is easy  
672 enough to achieve by reducing the continental yield stress. It leads to interesting, slab-  
673 driven continental rift formation and subsequent transition to an oceanic spreading  
674 center over  $\sim 50..70$  Myr. However, subsequently, continental fragments are dispersed  
675 in ever smaller chunks, and the total surface area over  $\sim 1$  Gyr is reduced from the  
676 original 30% to  $\lesssim 15\%$  by recycling, depending on the yield stress reduction. How rapid  
677 is too rapid depends on the overall balance of recycling with fractionation and for-  
678 mation of continents, which is ignored here, and also somewhat uncertain for nature.

679 What our models certainly allow for is an exploration of scenarios of continen-  
680 tal breakup which may be of interest for semi-dynamically consistent exploration of  
681 regional continental dynamics, for example. Such scenario computations are akin to  
682 those explored by Coltice et al. (2019), for example, in terms of their general approach.  
683 Our models allow exploring the interplay between newly generated and existing su-  
684 tures, due to damage memory (Fuchs & Becker, 2022), along with the dynamical evo-  
685 lution of the convective system. Figure 12 shows an example evolution of the regional  
686 tectonics in an alternative reality to the actual breakup of Pangea in terms of the sep-  
687 aration of Africa and South America from Antarctica and India. We took the conti-  
688 nental geometry from Matthews et al. (2016) at 250 Ma and started from a snapshot  
689 of our reference thermal computation. Parameters are as in the generic continental rafts  
690 explored above, but we apply a 50% reduced continental yield stress (continental  $\alpha$   
691 five times that for oceanic plates, cf. Table 1).

697 Given the particular configuration of initial plate geometry and damage of Fig. 12,  
698 we see breakup of the continental regions, while also maintaining  $\approx 36\%$  surface area  
699 over  $\sim 600$  Myr, i.e. very little/no recycling. While we did explore rafts of diamond  
700 shape rather than circular geometry to explore possible stress concentrations due to  
701 sharp edges, none of those diamond models showed clear raft separation as in Fig. 12



692 **Figure 12.** Breakup of a 250 Ma configuration supercontinent imposed based on the Matthews  
 693 et al. (2016) plate reconstruction (orange outlines) fortuitously placed over an upwelling upon ini-  
 694 tialization (see background heatflux, scaled to effective seafloor age as in Fig. 10; shading is by the  
 695 gradient of the poloidal potential). Six snapshots at the indicated times relative to a); black contours  
 696 show regions with  $d = 5$  damage.

702 without fast recycling. This indicates that the interplay between geometry and dam-  
 703 age, as opposed to, or in addition to, the imposition of different continental lithospheric  
 704 strength, may be a fruitful future avenue to pursue. We also use the Pangea scenario  
 705 of Fig. 12 to explore the robustness of tectonic features from higher-resolution com-  
 706 putations (Fig. A4); results in terms of supercontinental dispersal are quite similar, but  
 707 the details of plate boundary evolution and exact timing depend strongly on local tec-  
 708 tonics, as exacerbated by slight differences in plate boundary strength.

709 Few would question that the thermo-chemical component of convection at the  
 710 root of the formation, recycling, and destruction of continental lithosphere is crucial  
 711 for the overall evolution of our planet. However, it does indeed appear that even oceanic

712 plate kinematics cannot be fully studied in isolation, perhaps to the chagrin of those  
713 seeking a physically simple description of Earth.

#### 714 **4 Discussion**

715 Both our oceanic lithosphere-only and continent-included computations with dam-  
716 age show undulated spreading centers, with features akin to ridge-transform offsets,  
717 albeit of transient nature (e.g. Fig. 9). We do not see the relatively sharp, transform-  
718 like offsets along spreading centers which were documented by Langemeyer et al. (2021)  
719 based on their purely visco-plastic computations. Since we do not find any sharper  
720 fragmentation even for the higher-resolution computations we explored, we suggest  
721 that this difference may arise because our viscosity variations are somewhat smaller  
722 than those explored by Langemeyer et al., who also ran at higher convective vigor.  
723 This provides an important avenue to explore in future models, including the role of  
724 different temperature-dependent viscosity laws (Stein & Hansen, 2013; Coltice, 2023).

725 Our spreading center morphology is closer to the transients discussed by Coltice  
726 et al. (2019) for the evolution of oceanic basins after continental breakup. However,  
727 evolutionary scenarios with microplates (e.g. Fig. 9) and the mix between small-scale  
728 and hemispheric plate boundaries (e.g. Figs. 3 and 7) have not been widely discussed  
729 in dynamically consistent global computations. Transient geometries are also observed,  
730 for example, for regional models of ridge-transform fault offsets (Gerya, 2010; Püthe  
731 & Gerya, 2014), and it remains to be explored if different damage formulations (cf. Schier-  
732 jott et al., 2020) may increase the lifespan sufficiently to declare victory in our efforts  
733 to explain tectonic features.

734 Is damage required for the features we see? We can find instances of highly tran-  
735 sient, undulated spreading centers if the yield stress of purely visco-plastic rheology  
736 models is tuned to higher values. This highlights a major issue, trying to distinguish  
737 the effects of damage leading to locally reduced yield stress, as opposed to globally  
738 modified yield stress (cf. Fuchs & Becker, 2022). We are currently conducting regional  
739 computations to further explore these issues, but from our preliminary assessment,  
740 it appears that damage rheology is one way to produce Earth-like tectonic complex-  
741 ity such as in Fig. 9 while remaining in a mobile rather than episodic state, at least  
742 for oceanic lithosphere-only models.

743           What is clear is that if pure visco-plastic rheologies are invoked to explain Earth's  
744 characteristic ridge-transform offset morphologies, then only very limited ranges of  
745 yield stress values appear permissible (cf. Langemeyer et al., 2021). If so, this likely  
746 indicates that there is a feedback mechanism underlying this only apparently plastic  
747 behavior, the nature of which remains to be determined. Even if the role of damage  
748 in the specifics of expressions of plate boundaries may be limited, we do of course  
749 know that rock rheology is not purely plastic and sutures play an important role for  
750 the evolution of plate tectonics, such as when previously failed rifts are reused dur-  
751 ing the Wilson cycle (e.g. Huisman & Beaumont, 2003; Buiter & Torsvik, 2014; Gouiza  
752 & Naliboff, 2021).

## 753 **5 Conclusions**

754           The addition of damage rheology to global, 3-D visco-plastic mantle convection  
755 computations produces Earth-like tectonic features in terms of a mix between large  
756 plates and complex, smaller-scale regional tectonics. Smoothly undulated spreading  
757 centers akin to ridge-transform offsets evolve into overlapping ridges and microplates,  
758 at toroidal-poloidal power ratios similar to Earth. The variability of kinematics and  
759 heat transport are increased once continental rafts are included, indicating that any  
760 rheological formulation has to be explored in conjunction with the interactions between  
761 the oceanic plate – continental lithosphere system for a full understanding of plan-  
762 etary tectonics. Our models highlight potential avenues to conduct such analyses, from  
763 an *ab initio* perspective as well as when applied to specific continental rifting and re-  
764 gional plate boundary evolution scenarios.

## 765 **Open Research Section**

766           We used the finite element software `CitcomS` and thank L. Moresi, S. Zhong,  
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768 the Computational Infrastructure for Geodynamics. Our extensions to `CitcomS` are  
769 available on [github.com/geodynamics/citcoms](https://github.com/geodynamics/citcoms) under commit `2bda530`. Model  
770 input files and movies from our computations are available at [https://shorturl](https://shorturl.at/cAFX2)  
771 [.at/cAFX2](https://shorturl.at/cAFX2) for the purposes of review, and will be archived on Zenodo after accep-  
772 tance.

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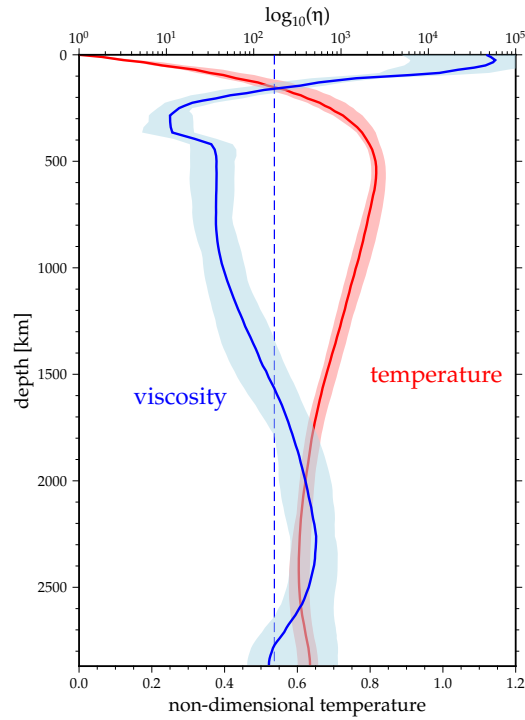
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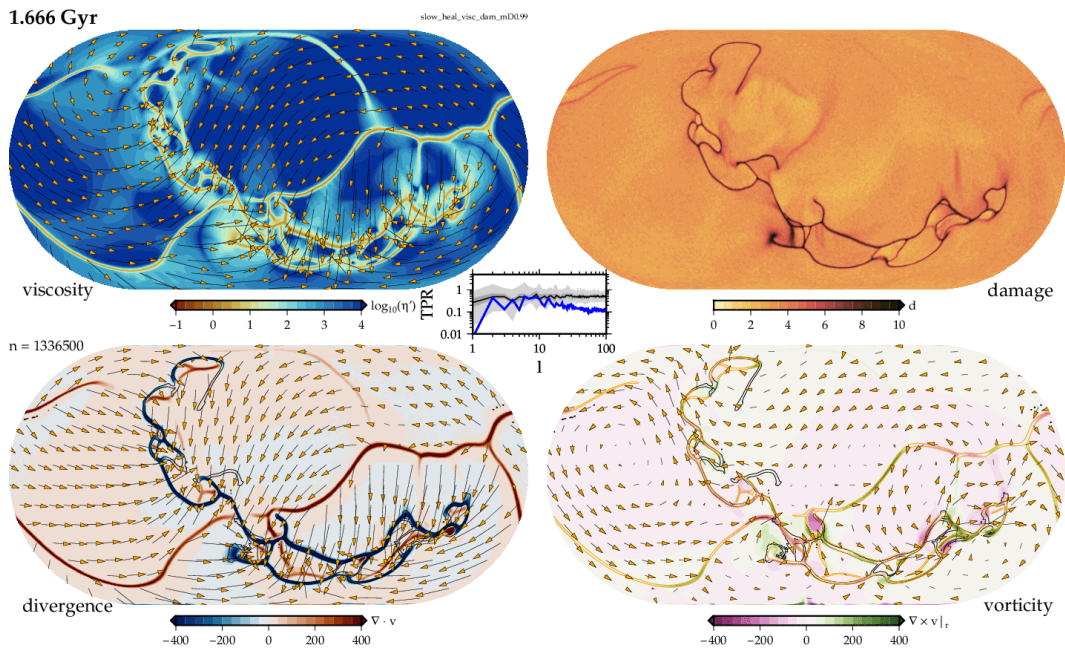
**989 Appendix A Supplementary information**

990 This section provides additional results, including analysis from models not dis-  
991 cussed in the main text.

- 992 1. Figure A1 shows the depth-dependent average non-dimensional temperature  
993 and viscosity of the reference model.
- 994 2. Figure A2 shows the surface kinematics for a snapshot for the slow healing dam-  
995 age model where  $d$  reduces viscosity rather than yield stress (model 25 of Ta-  
996 ble 1), plotted as in Fig. 3. The main text shows the high background yield stress  
997 version of this model as Fig. 10.
- 998 3. Figure A3 shows the surface kinematics for a snapshot for the slow healing dam-  
999 age model (model 25 of Table 1) as in Fig. A2, but computed at higher resolu-  
1000 tion.
- 1001 4. Figure A4 shows a breakup scenario starting as in Fig. 12 but using a higher  
1002 resolution computation.

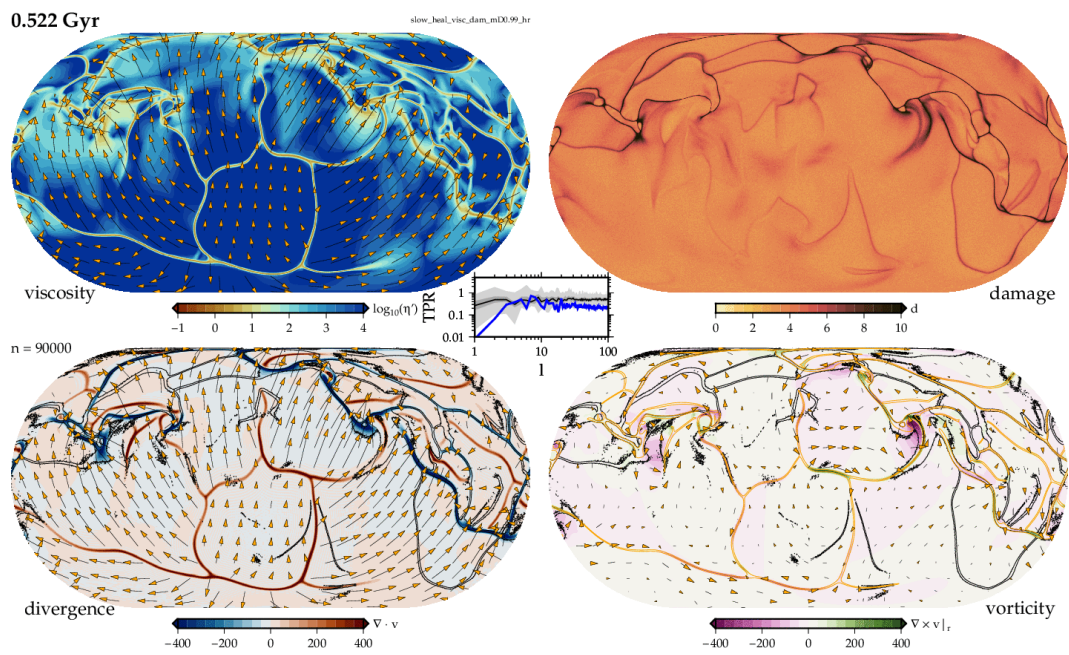


1003 **Figure A1.** Horizontal temperature (red, non-dimensional) and viscosity (blue, non-dimensional)  
 1004 on log scale) average against depth for the reference model (19 of Table 1), where solid lines are  
 1005 temporal median, and light-colored ranges indicate extreme ranges for the model times considered.  
 1006 The dashed vertical line is the volume-weighted, log average of viscosity.

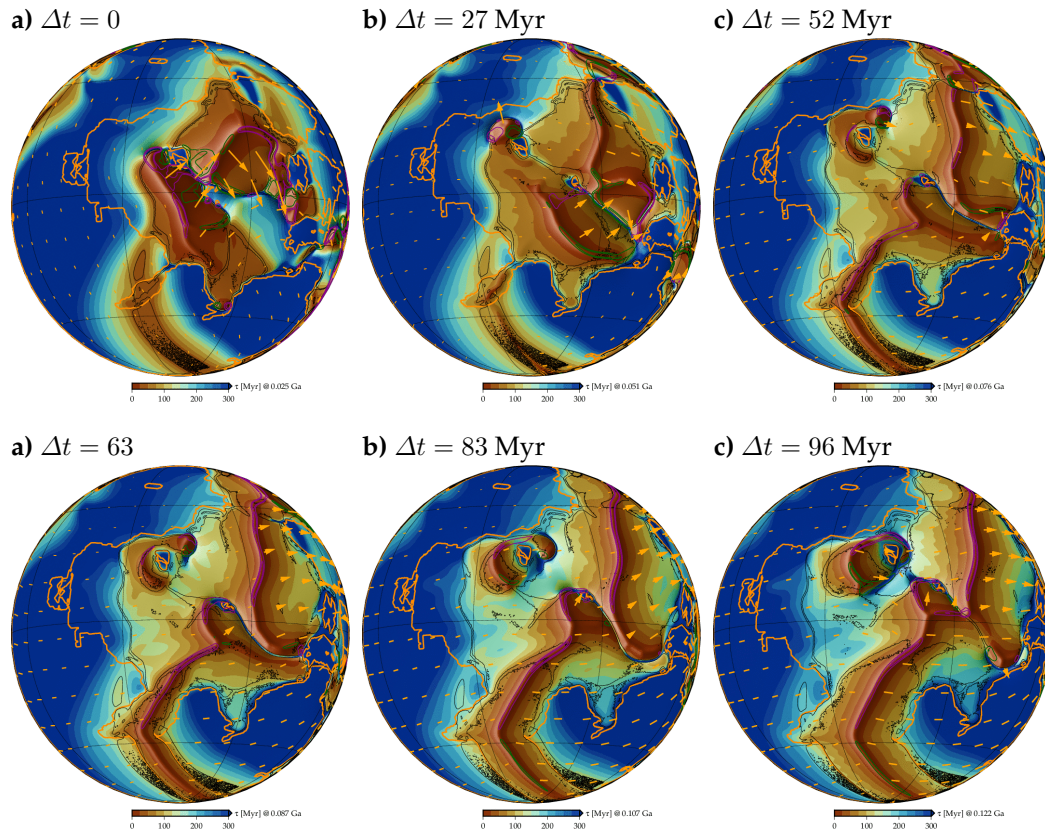


1007 **Figure A2.** Surface dynamics of the slow healing,  $\eta$  damage model (25 of Table 1), for figure  
 1008 details see Fig. 3.





1009 **Figure A3.** Surface dynamics of the slow healing,  $\eta$  damage model (25 of Table 1), as in Fig. A2  
 1010 (here, an earlier timestep is shown), but computed at a higher mesh resolution. For figure details  
 1011 see Fig. 3.



1012 **Figure A4.** Breakup of a 250 Ma configuration supercontinent as in Fig. 12, but using a higher  
 1013 resolution convection computation.