

Coversheet for “The Outflow Interactions Between Binary Tropical Cyclones”

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1 **The Outflow Interactions Between Binary Tropical Cyclones**

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7 ABSTRACT: The three-dimensional representation of an outflow jet can be used to assess whether
8 interactions may have occurred between the outflows of binary, or spatially proximate, tropical
9 cyclones, as outflow jets represent a dominant portion of the upper-tropospheric outflow for
10 TCs. A novel algorithm, POJ3, for identifying and creating a three-dimensional representation
11 of the principal outflow jet(s) of tropical cyclones is proposed. Validation of the algorithm is
12 accomplished by comparing the output to previous findings that (1) the outflow jets of tropical
13 cyclones preferentially form in regions of low potential vorticity and (2) outflow jets have a
14 secondary circulation, which can be seen through signatures in relative humidity. We use POJ3 to
15 investigate whether outflow interactions occurred between two pairs of binary tropical cyclones,
16 Hurricanes Marco and Laura (2020) and Hurricanes Irma and Jose (2017). An examination of
17 the three-dimensional jet locations together with the synoptic history, steering flow, and centroid-
18 relative motion (or lack thereof) for the pairs of TCs indicates that Marco and Laura did not
19 have significant outflow interactions whereas outflow interactions between Irma and Jose may be
20 responsible for a significant diversion in Jose's track.

21 **1. Introduction**

22 A variety of interactions can occur between spatially proximate, also called binary, tropical
23 cyclones (TCs), making forecasting their tracks and intensities more difficult (Dong and Neumann
24 1983; Lander and Holland 1993; Lander 1996; Prieto et al. 2003; Liu and Tan 2016). The
25 Fujiwhara effect, in which two or more TCs rotate cyclonically about a centroid between their
26 respective centers and ultimately merge, is the most well-known type of interaction between
27 binary TCs (Fujiwhara 1921; Fujiwhara 1931). This phenomenon has been well studied and
28 confirmed both observationally, in various cases of proximate TCs, and experimentally, through
29 laboratory experiments and simulations using numerical models (Brand 1970; Dong and Neumann
30 1983; Lander and Holland 1993; Falkovich et al. 1995; Prieto et al. 2003). There has also been
31 significant recent research on this topic (Liu and Tan 2016; De et al. 2022).

32 A complete merger as predicted by the classical Fujiwhara effect is not the only type of interaction
33 between binary TCs that has been studied extensively. In fact, the classical Fujiwhara model is
34 rarely observed. Instead, as the result of interaction between surface vortices, one TC may decay
35 and be absorbed into a nearby “dominant” TC, involving “capture” and possibly “release” events
36 (Lander and Holland 1993). The possible interactions between isolated vortices have been classified
37 into five categories: elastic interaction, partial straining-out, complete straining-out, partial merger,
38 and complete merger (Dritschel and Waugh 1992). The classical model has since been further
39 modified to describe more general regimes of interaction: direct interaction, semidirect interaction,
40 and indirect interaction (Carr et al. 1997). Direct interactions between TC vortices include one-way
41 interactions, mutual interaction, and merger, which are all preceded by relative cyclonic motion
42 of the TC centers. Semidirect interaction may at first appear to be direct interaction, as TCs
43 undergo relative cyclonic motion, but is distinct in that track alteration is due to environmental
44 flow rather than advection of one TC by the other TC’s cyclonic circulation. Indirect interaction
45 does not feature relative cyclonic motion, and describes the interaction between binary TCs and
46 an anticyclone between them, which can form due to a Rossby wave train downstream of a large,
47 leading TC. Indirect interactions have not been thoroughly studied; much remains to be understood
48 about interactions between TCs that do not explicitly involve their surface vortices. Notably, the
49 possibility of direct interactions between TC outflows has not been considered at all in these studies.

50 It is difficult to improve forecast capabilities in the case of binary TCs while the full set of their
51 interactions remains poorly understood. In particular, how the trajectory of a storm's outflow may
52 affect a downstream storm has not been studied, though this type of interaction would be relevant to
53 more sets of binary TCs due to the further-reaching extent of TC outflow compared to the proximity
54 necessary for the Fujiwhara effect. Moreover, despite this large body of work on the interactions
55 between TC surface vortices, forecasting accuracy remains lower in the case of binary TCs (Liu
56 and Tan 2016). Accordingly, determining whether the outflows of spatially proximate TCs can
57 interact significantly may provide the necessary insight to eliminate the additional forecasting error
58 when multiple TCs are present.

59 Given the recent increase of interest in the outflow layer and the consequent advances in research,
60 it is an opportune time to investigate the potential for outflow interactions. This elevated focus on
61 the outflow layer may be partially attributed to the development of modern remote-sensing-derived
62 atmospheric motion vectors, which allow for better data collection at these levels (Ryglicki et al.
63 2019). Consequently, the Office of Naval Research Tropical Cyclone Intensity 2015 field campaign
64 deployed over 800 dropsondes to gather precise observations of the outflow layers of Hurricanes
65 Marty, Joaquin, and Patricia (Doyle et al. 2017). Besides advancements in the data available for
66 the outflow layer of TCs, over the last few decades, the understanding of the outflow layer's role
67 in modulating TC intensity has also developed significantly. By 1990, the general characteristics
68 of tropical cyclone outflow layers were understood; observations revealed that they were typically
69 anticyclonic, divergent, and asymmetric (Shi et al. 1990). Observations also showed that the
70 outflow layer was dominated by one or two principal outflow jets (POJs), which are elongated,
71 narrow regions of concentrated outflow. Using a three-dimensional idealized numerical model,
72 Shi et al. (1990) confirmed these characteristics and observed a secondary circulation around the
73 simulated outflow jet that left a distinct signature in relative humidity. In particular, the secondary
74 circulation features an ascending branch near the center of the TC, a descending branch away from
75 the center of the TC, an outward branch above the jet, and an inward branch below the jet. The Shi
76 et al. (1990) study also found patterns in the potential vorticity (PV) around the outflow jet location;
77 the tropopause defined by a surface of 0.2 PVU ($2.0 \times 10^{-7} \text{ m}^2 \text{ s}^{-1} \text{ K}^{-1} \text{ kg}^{-1}$), was generally higher
78 in terms of geometric height on the anticyclonic side of the jet and lower on the cyclonic side. The
79 correlation between PV and the jet location has since been studied more extensively and has been

80 theorized to be the result of a TC preferentially ventilating in a region of weak inertial stability,
81 which in the absence of anomalously high static stability, corresponds to a region of negative or
82 low PV (Rappin et al. 2011). Emanuel (2012) studied the role of entropy stratification in outflow
83 and how it impacts tropical cyclone intensity, highlighting that the outflow layer is not a passive
84 element in a storm, but an active one whose dynamics can impact the inner core and thus the
85 storm's intensity. In light of this new understanding of the role of the outflow layer, studying
86 outflow interactions may improve predictions of storm intensity and ultimately enhance the ability
87 to mitigate the impacts of TCs.

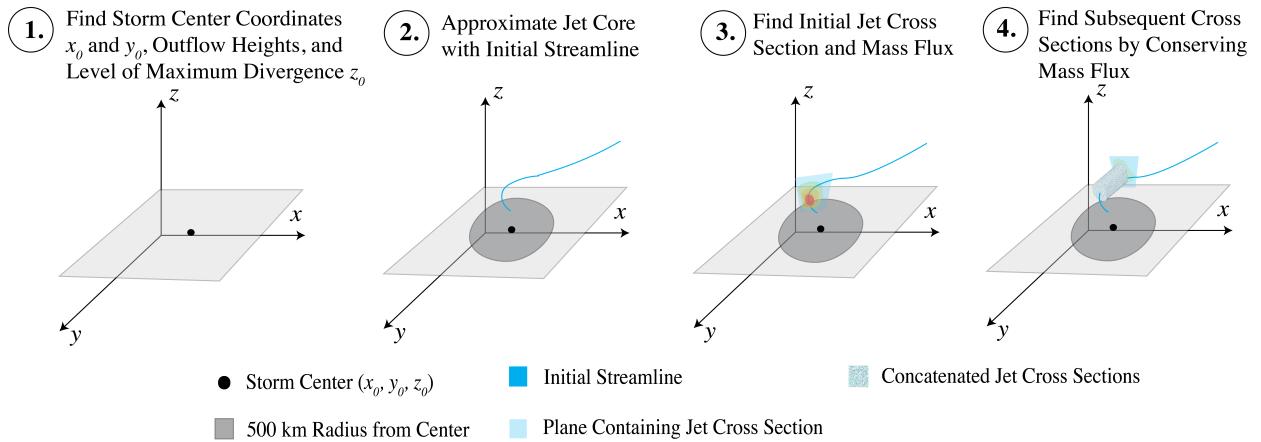
88 As POJs represent a dominant aspect of a TC's outflow, identifying these jets is necessary in order
89 to study realistic TC outflow. The only algorithmic method for identifying POJs, to our knowledge,
90 involves selecting the streamline with nonnegative radial wind at a 500 km radius from the center
91 with the maximum wind speed within a 1500 km radius of the center, at a characteristic outflow
92 layer height (Merill 1988b). This simplified approach is not suitable in the case of proximate TCs,
93 as increased wind speed near the center of one TC may bias the selected streamline for the other
94 TC. If there are two TCs within 1500 km of each other, this approach may mistakenly identify
95 any streamline emanating from the TC that goes towards the other TC, where it meets a region of
96 higher wind speed, as the POJ. It also does not provide any information about how it varies in the
97 vertical dimension. Other methods of identifying principal outflow jets have included analyzing
98 isotachs, radial and tangential (or storm-relative meridional) wind components, and water vapor
99 brightness temperature (Shi et al. 1990; Komaromi and Doyle 2017; Ditchek et al. 2017; Doyle
100 et al. 2017). Like the approach in Merrill (1988b), these also only yield a two-dimensional depiction
101 of the outflow jet. These methods cannot yield a three-dimensional representation because they
102 are not designed to take advantage of three-dimensional model output. An identification method
103 specifically designed for three-dimensional model output will allow for usage of the numerous
104 advantages of three-dimensional fields over two-dimensional simulated fields. Furthermore, these
105 methods do not follow systemic approaches for identifying POJs, lacking objective criteria. Since
106 it is vital to know the three-dimensional structure of the POJ when considering its interactions with
107 another TC's outflow, and existing methods have significant limitations, we have developed a new
108 methodology to identify POJs in order to study outflow interactions in a meaningful way.

109 We present a new algorithm, POJ3, that can identify these jets in numerical model data. Since a
110 POJ is simply a channel of concentrated outflow that carries mass outside of the storm, we would
111 expect it to be distinguished by a region of high mass flux travelling in the direction of the flow.
112 While the locations of POJs do generally coincide with the region of highest wind speed near the
113 storm, following mass flux instead helps to avoid anomalies that can come with considering wind
114 speed alone, especially given that the streamlines can only be *approximated* from gridded wind
115 data. The advantages of this method are firstly that the core jet region, or region of high mass
116 flux, can be used to identify the vertical extent of the POJ, and secondly that it enables the POJ to
117 deviate from any streamline approximating it. Having identified the POJ in forecast simulations,
118 we support the validity of its identification by comparing the PV and relative humidity fields, and
119 the three-dimensional structure of the jet, to what is expected based on prior work. The results
120 of this comparison also suggest whether the algorithm's output could be easily approximated by
121 distinctive signatures in these more readily calculated environmental fields. We find that while the
122 identified POJ structure and locations do align with what is expected, they cannot be approximated
123 in any comparable level of detail in this manner.

124 Using POJ3, Hurricanes Marco and Laura (2020) and Hurricanes Irma and Jose (2017) are
125 used as case studies to illustrate ways in which the outflows between binary TCs can interact.
126 Such characterizations may lead to a better understanding of when significant interactions between
127 binary TCs can occur and how these interactions may impact the tracks or intensities of those TCs.
128 There is potential for extending this methodology to explore the influence of different atmospheric
129 systems on TCs through their interplay with TC outflow or vice versa.

130 The structure of the paper is as follows. In §2, the algorithm for identifying and creating three-
131 dimensional representations of POJs is presented. The Python scripts required to run this algorithm
132 and to visualize the output, as in this paper, are provided as supplementary files. In §3, the forecast
133 simulation data from Hurricanes Marco and Laura and Hurricanes Irma and Jose, respectively,
134 are used for preliminary outflow interaction case studies in order to illustrate the utility of POJ3.
135 Synoptic information for each pair of TCs is provided, and observations about possible outflow
136 interactions are discussed. Lastly, in §4, the results are summarized and directions for further work
137 are discussed.

POJ3 General Overview



138 FIG. 1. POJ3's basic procedure follows four steps, as shown above. The x - and y - coordinates represent
 139 longitude and latitude, and the z coordinate represents descending pressure (or can be modified to be geometric
 140 height).

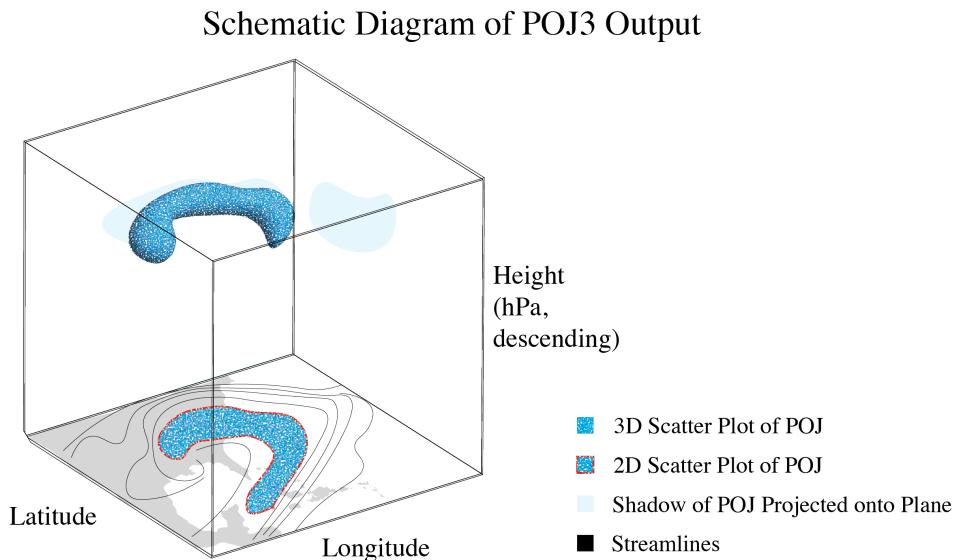
141 2. POJ3: Principal Outflow Jet Identification Algorithm

142 a. Overview

143 The general approach of POJ3 is as follows (Fig. 1). First, one must find the center location of
 144 the TC(s) at all relevant time steps, calculating the vertical extent of the outflow layer, and find the
 145 level of maximum divergence within the outflow layer. Then, the principal outflow jet or jets can
 146 be identified by successively finding cross sections that are normal to the dominant flow and that
 147 conserve mass flux.

151 To identify these cross sections, first, an initial streamline is identified, at either only the level
 152 of maximum divergence (the PROJECTED version of POJ3) or at all desired levels within the
 153 outflow layer (FULL version of POJ3). The initial streamline is the outgoing streamline with the
 154 maximum wind speed at 500 km from the storm center, that has not elsewhere entered the storm
 155 at 500 km. From there, the initial cross section of the jet is identified, and at every point along
 156 the initial streamline, a natural coordinate system is used to find a new cross section that conserves
 157 mass flux. A natural coordinate system is used in the sense that mass flux can only be computed

158 across planes orthogonal to the flow, and the direction of the flow changes as the initial streamline
is traversed geographically in the algorithm.¹



148 FIG. 2. Schematic diagram of the three-dimensional point-cloud output of POJ3, with a scatter plot projection
149 onto the latitude-longitude plane with coastlines and streamlines drawn. The light blue solid regions correspond
150 to projections of the three-dimensional jet onto each of the longitude-pressure and latitude-pressure planes.
159

160 Since any realistic jet is not expected to have an exactly cylindrical shape, it is necessary to
161 *search* for the jet cross sections within the data rather than simply extrapolate from a center point.
162 In other words, the definition of the POJ as a jet emanating from a wind speed maximum that
163 conserves mass flux in orthogonal cross sections and follows the flow can also be described as a
164 stream tube, the three-dimensional version of a streamline, for which there are existing formulas
165 to determine the radius of any given cross section. Rather than using this idealized representation,
166 we use a search procedure to approximate the sizes and locations of the orthogonal cross sections
167 that conserve mass flux. The search space for these cross sections is limited prior to initiating
168 the search, in order to limit computational cost. Concatenating the calculated jet cross sections
169 together, POJ3 returns the coordinates of the jet in longitude-latitude-pressure space. These can
170 be plotted in 2D (for a given pressure level) or 3D (all pressure levels) (Fig. 2).

¹Note the difference between this instantaneous snapshot of the evolving flow, referred to as a streamline, and the technical definition of a streamline for a steady-state flow.

171 POJ3 is a series of Python scripts; scripts and documentation are provided in Supplementary
 172 Materials. POJ3 currently supports files from the Global Forecasting System (GFS), Hurricane
 173 Weather Research and Forecasting (HWRF), and Hurricanes in a Multi-scale Ocean-coupled Non-
 174 hydrostatic (HMON) models, which all output data in .grib2 format with longitude, latitude, and
 175 pressure (hPa) coordinates.

176 *b. Pre-Processing*

177 Center location and outflow layer height data are required to run the algorithm.

178 1) IDENTIFYING TC CENTERS

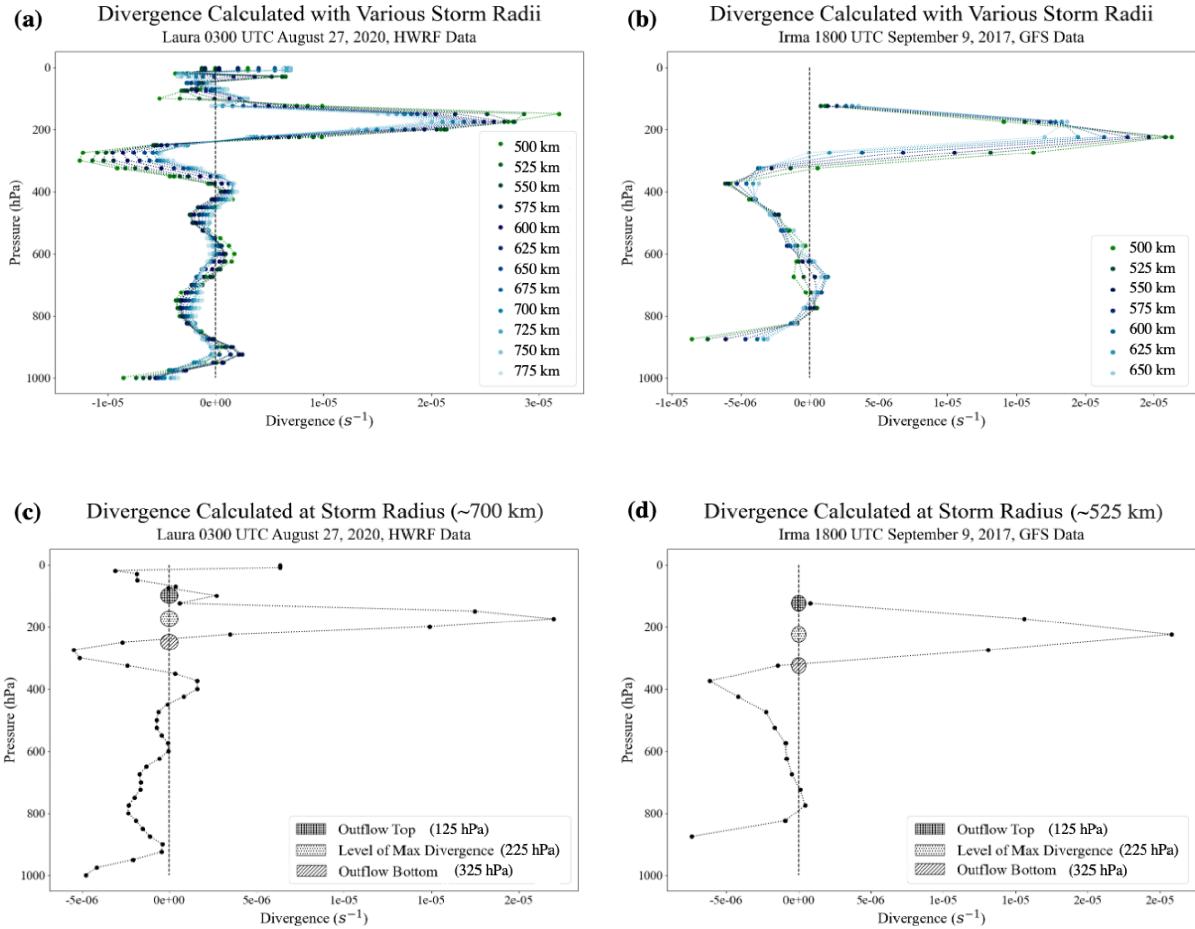
The pressure centroid method for TC center identification has been found to yield more physically reasonable results than other methods (Nguyen et al. 2014). The version using geopotential height rather than pressure was used to accommodate the fact that the vertical coordinate for the model data that were used was pressure (hPa), and was only available in approximately 25 hPa increments for HMON and HWRF. For models where the coordinate is geometric or geopotential height, the original version is more readily employed. This method of determining the TC center involves iteratively making new guesses at the TC center, which is defined to be a centroid of geopotential heights at a constant pressure level, within a reasonable search domain, until one set of coordinates is converged upon. The constant pressure level at which the geopotential heights of the various points were considered was 800 hPa, rather than surface level, in order to avoid the influence of topography. The initial guess of center coordinates (x_0, y_0) , where x_0 is longitude (degrees east) and y_0 is latitude, is the location of minimum geopotential height in the approximate region where the storm is located at a given time step. Then, new guesses of coordinates x_i, y_i are calculated by

$$x_i = \frac{\sum_{r=0}^{250} \sum_j x_{rj} GH'_{rj}}{\sum_{r=0}^{250} \sum_j GH'_{rj}}, \quad y_i = \frac{\sum_{r=0}^{250} \sum_j y_{rj} GH'_{rj}}{\sum_{r=0}^{250} \sum_j GH'_{rj}}$$

where j is the index running over all points at a given radius r (km) from the previous guess, starting with the initial guess (x_0, y_0) , and

$$GH'_{rj} = GH_{env} - GH_{rj},$$

185 where GH_{env} , or the environmental geopotential height, is the average geopotential height along
 186 a 500 km radius centered at the previous guess (x_{i-1}, y_{i-1}) , and GH_{rj} is the geopotential height
 187 of the point at radius r with index j . Thus, each new guess (x_i, y_i) is calculated by weighting
 188 each grid point within a 250 km radius of the previous guess by its differential geopotential height
 GH'_{rj} , eventually yielding a stationary pair of coordinates representing the center point.



179 FIG. 3. (a) Divergence calculated for various possible storm radii, ranging from 500 km to 775 km for Laura
 180 (HWRF, initialized 0000 UTC August 27, 2020, valid time 0300 UTC August 27, 2020, 0.25 degree horizontal
 181 spacing, 25 hPa vertical spacing). (c) Divergence calculated for computed storm radius of 700 km for Laura
 182 (same data), with outflow top, outflow bottom, and level of maximum divergence values labelled. Figures (b)
 183 and (d) are the same as (a) and (c) but for Irma (GFS, initialized 1200 UTC September 9, 2017, 1800 UTC valid
 184 time September 9, 2017, 0.25 degree horizontal spacing, 50 hPa vertical spacing.)

190 2) LOCATION OF THE OUTFLOW LAYER

191 To find the vertical extent of the outflow layer, at each height (in hPa) for which data were
192 available, the area-weighted divergence was calculated within an approximate storm radius from
193 the center. The storm radius was defined to be the radius at which the surface-level azimuthal-mean
194 azimuthal wind is 12 m s^{-1} (Chavas et al. 2015). To approximate the surface wind but account
195 for the impact of topography, data at the 850hPa level were used. The outflow layer is typically
196 found between 300 hPa and 100 hPa (Merrill and Velden 1996). Accordingly, the bottom of the
197 outflow layer was defined to be the level nearest to 300 hPa at which the TC transitioned from
198 exhibiting convergence to divergence, or a local minimum in divergence. The top of the outflow
199 layer was defined to be the level nearest to 100 hPa at which the TC transitioned from exhibiting
200 divergence to convergence. Notably, as shown in Fig. 3, the lower and upper bounds of the outflow
201 layer defined this way were almost independent of the radius size chosen. The level of maximum
202 divergence was also found using the area-weighted divergence within the storm radius (Fig. 3).

203 *c. Algorithm*

204 POJ3 can be used to search for one jet or two jets, and has both a plane-view version (PRO-
205 JECTED) and a more comprehensive version (FULL). The PROJECTED version estimates the
206 flow at all relevant outflow levels by projecting the line normal to the flow at the level of maximum
207 divergence onto the other levels. In contrast, the FULL version calculates a line normal to the flow
208 at each relevant outflow level. In the following section, the steps associated with each of the four
209 versions is discussed, which is also shown in Fig. 4.

POJ3 Detailed Overview

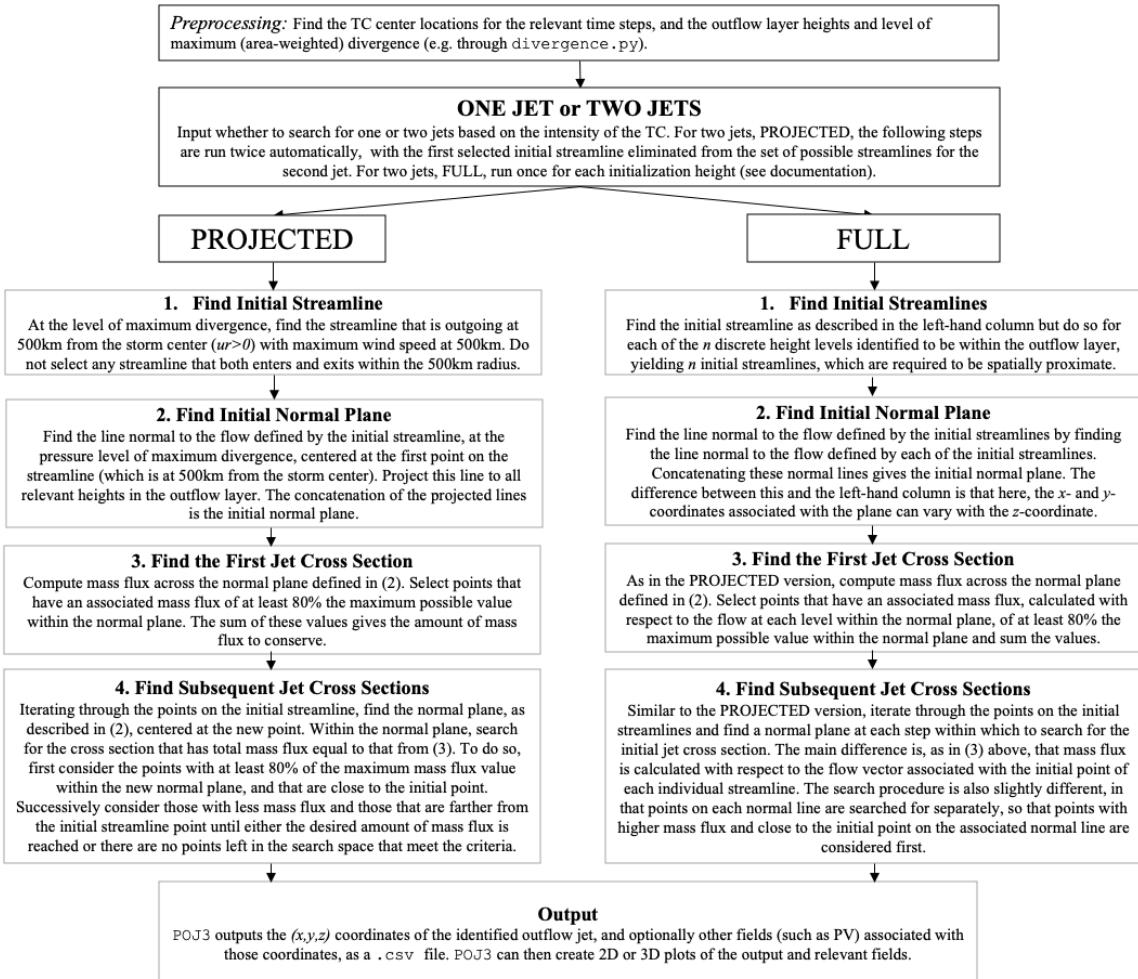


FIG. 4. A full overview of POJ3, including the specifications for all modes of the algorithm.

210 1) ONE JET

211 1. Initial Streamline

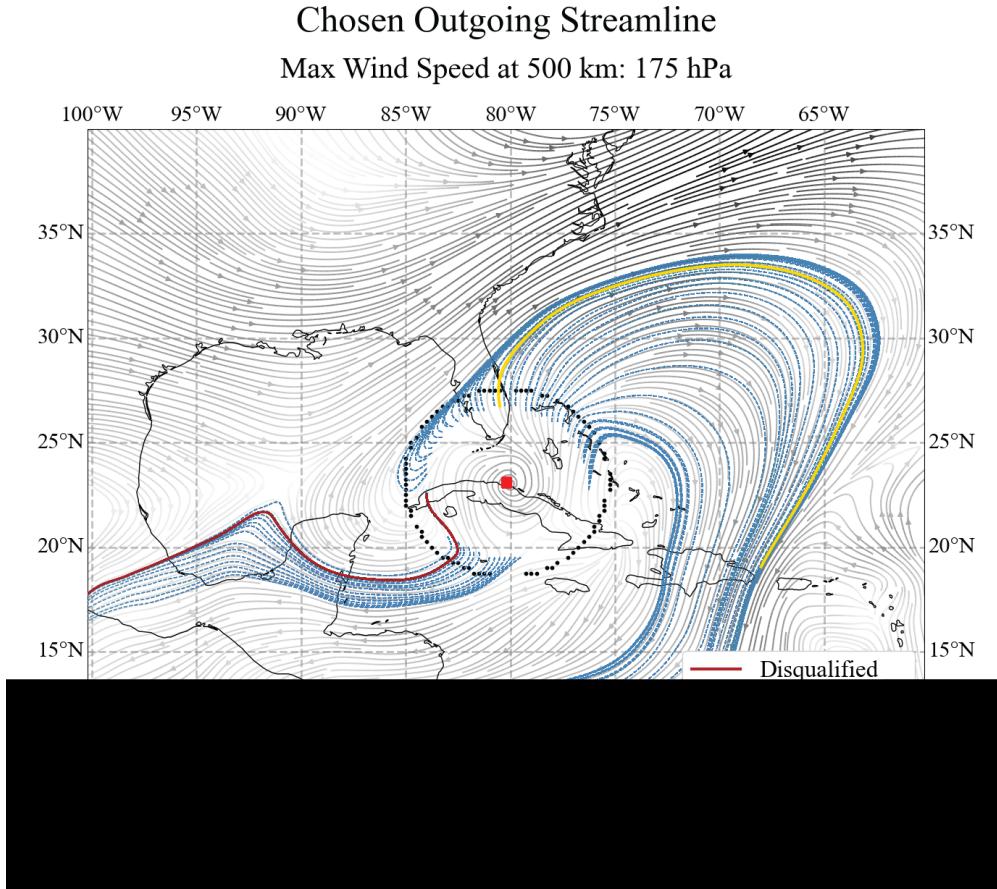
212 After loading the data, finding the center location for the TC, the vertical extent of the outflow
 213 layer, and the level in the outflow layer at which there is the maximum amount of divergence, POJ3
 214 determines initial streamline(s) on which to center the search space for the jet cross sections. If the
 215 PROJECTION option is selected, POJ3 determines *one* initial streamline at the level of maximum
 216 divergence within the outflow layer and projects that to all other relevant levels. If the FULL option

217 is selected, POJ3 determines an initial streamline at *every* desired level within the outflow layer
218 that is sufficiently close horizontally to the initial streamline at the level of maximum divergence.
219 To find the initial streamline at a given level, first, all the streamlines within a limited domain about
220 the storm center are computed.² Then, of the streamlines with positive radial wind that exit and do
221 not re-enter the storm within a 500 km radius, the streamline that has the maximum wind speed at
222 500 km is chosen as the initial streamline. The 500 km value is somewhat arbitrary, but has been
223 used as a threshold for where outflow begins, both in the previous algorithm and otherwise (Merrill
224 1988a; Komaromi and Doyle 2017). The wind speed at 500 km rather than past that threshold
225 is considered to avoid the influence of other features in the environment that are associated with
226 high wind speeds. An example of the candidate and chosen streamlines, as well as those that were
227 disqualified for re-entering the storm before exiting, are shown in Fig. 5.

228 *2. Initial Cross Section*

229 The initial mass flux cross section is then determined. However, as mass flux is computed
230 through a plane orthogonal to the flow, POJ3 first identifies such a plane, centered at the first
231 longitude, latitude point (ψ_0, ϕ_0) , on the initial streamline. This plane is centered 500 km from the
232 storm center and is defined using the horizontal wind vectors associated with a given point in the
233 streamline. Note that we do not consider the vertical “tilt” of the normal plane, because horizontal
234 motion is dominant in the POJ, with the vertical wind component typically at least an order of
235 magnitude less than the horizontal components. Additionally, due to the coarseness of the vertical
236 coordinate (pressure), allowing the normal plane to tilt based on the vertical velocity would cause
237 little to no variation in the defined plane.

²Computing the streamlines is the most computationally expensive part of the algorithm. By limiting the domain, the computational cost may be decreased: see documentation.



238 FIG. 5. Example of the chosen outgoing streamline (yellow) for Irma (GFS, initialized 1200 UTC September 9,
 239 2017 1200 UTC, valid time 1800 UTC September 9, 2017, 0.25 degree horizontal grid spacing, 50 hPa vertical
 240 grid spacing) at a pressure level of 175 hPa among all candidate streamlines (dashed, blue) as well as a streamline
 241 that was disqualified (red) for crossing a radius within a 500 km storm radius (black dots) at multiple points. The
 242 storm center is also shown (red square). All streamlines in the domain at 175 hPa are shown in the background,
 243 shaded by wind speed (darker is higher wind speed).

244 A normal vector $\vec{n} = (-v, u)$ is defined using the components of the horizontal wind, i.e. the
 245 tangent vector at a point along the streamline. This yields a line normal to the flow at this point,
 246 which has tangent vector $\vec{v} = (u, v)$, since

$$\vec{v} \cdot \vec{n} = -uv + vu = 0.$$

247 This normal line is found across an approximately 2.5 degree longitude by 2.5 degree latitude
 248 box, to allow reasonable but not excessive deviation from the initial streamline. It is defined by all
 249 j points with latitude, longitude coordinates (ψ_j, ϕ_j) that create the same angle with (ψ_0, ϕ_0) as
 250 does the vector \vec{n} , i.e. such that

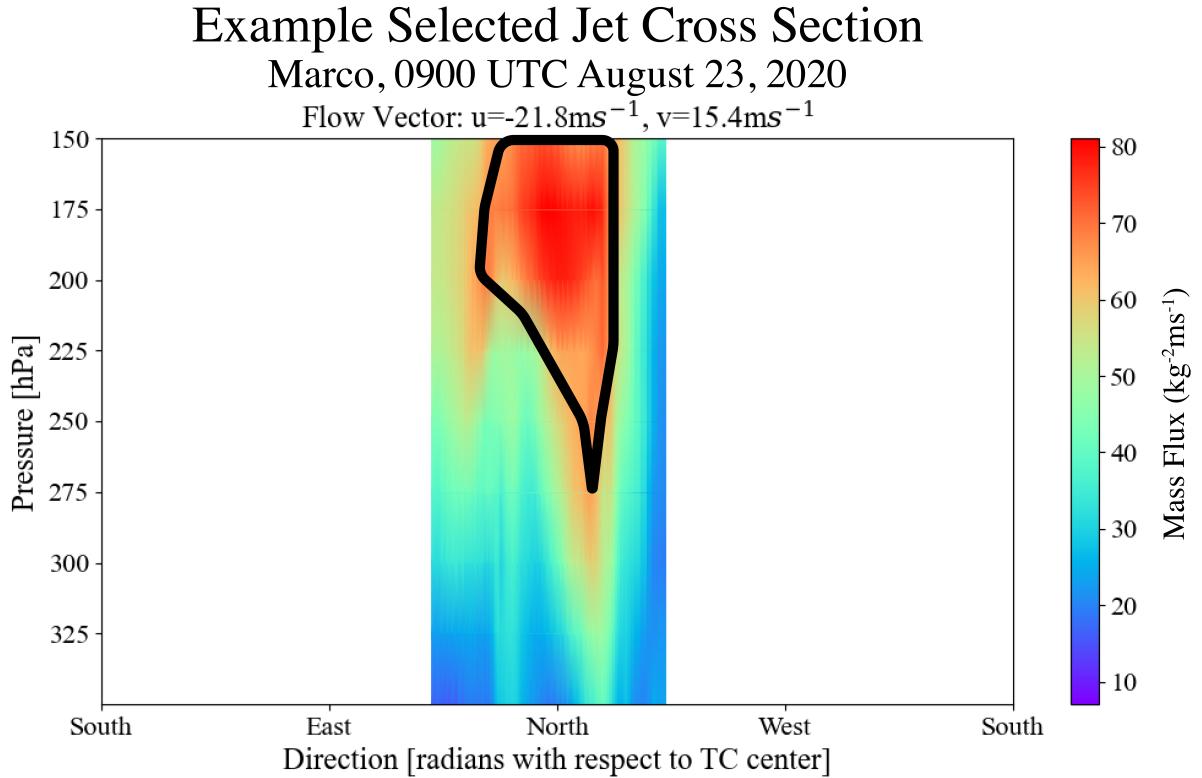
$$\arctan\left(\frac{\phi_j - \phi_0}{(\psi_j - \psi_0) \cos(\phi_j)}\right) = \arctan\left(\frac{u}{-v}\right).$$

251 The cosine in the denominator accounts for the fact that the horizontal distance between the
 252 longitudes differs based on the corresponding latitude. Since arctan is bijective, we can compute
 253 this by simply comparing the ratios (i.e., by left-composing with \arctan^{-1}). However, the resolution
 254 is too sparse to find exactly a line of points, so POJ3 finds a patch of points.

255 In test cases, the search space was large enough to encapsulate jet cross sections even if they
 256 were not perfectly centered on the initial streamline. However, if the search space defined by
 257 the projection of the identified normal line to all pressure levels is somehow not large enough to
 258 encapsulate the jet cross section, the FULL version may be run as it allows for more variation, i.e.
 259 the jet to “swerve” more. When the FULL version of the algorithm is selected, a streamline with
 260 flow vectors is found for each relevant pressure level, and so a different normal line is computed
 261 for each pressure level rather than projecting the flow at the level of maximum divergence onto the
 262 others. In both versions, the jet cross section is then a subset of the plane formed from the normal
 263 lines at each relevant pressure level. While we will refer to the set of points forming a cross section
 264 of the jet as a “plane” throughout this paper, in the case of the FULL version of the algorithm, it
 265 may be “curved” – i.e. x and y may vary nonlinearly with z – and it thus may be more generally a
 266 surface rather than a plane.

273 From there, all points within that plane meeting or exceeding a certain mass flux threshold are
 274 identified as the jet (Fig. 6). In this work, all points that have an associated mass flux of at
 275 least 80% of that of the maximum within the normal plane are selected as the initial jet cross
 276 section. The 80% value was empirically and subjectively determined to correspond to the contour
 277 of concentrated mass flux in various test cases, as there is no threshold defined for the relative
 278 concentration of the flow *a priori*. However, this threshold value may be increased or decreased
 279 by the user as a parameter to yield a narrower or wider jet, respectively, as described in the POJ3
 280 documentation. Additionally, the jet region identified is insensitive to single order of magnitude

281 changes to this threshold, but more variance in the jet location results from higher order changes
of magnitude.



267 FIG. 6. A jet cross section for Hurricane Marco (HWRP, initialized 0600 UTC August 23, 2020, valid time
268 0900 UTC August 23, 2020, 0.25 degree horizontal grid spacing, 25 hPa vertical grid spacing), as seen by
269 the concentrated contour of mass flux in orange/red. The thick black line surrounds the approximate initial jet
270 cross-section. In this case, the black line surrounds the points within the normal plane which have associated
271 mass flux values at least 80% of that of the maximum. Note that Gouraud shading was used, and due to the
272 gridded data, is only approximate.

282
283 Mass flux (assuming a constant time increment Δt) of a fluid with density ρ at a point $p =$
284 (ϕ_j, ψ_j, ξ_j) across a plane orthogonal to the flow is given by

$$\rho \vec{v} \cdot \hat{n}$$

285 where $\vec{v} = (u_v, v_v, w_v)$ is the three-dimensional flow vector at a point p in the plane and \hat{n} is a unit
286 normal vector to that plane. In our case, the plane of consideration is defined to be normal to

287 the tangent vector associated with the point along the initial streamline, $\vec{r} = (u_t, v_t, w_t)$, i.e. $\hat{n} = \hat{r}$,
 288 where \hat{r} is the unit tangent vector. This is equivalent to considering the coordinate rotation that
 289 would be involved in forming a natural coordinate system according to the flow, i.e.

$$\rho \|\vec{v}\| \cos(\theta)$$

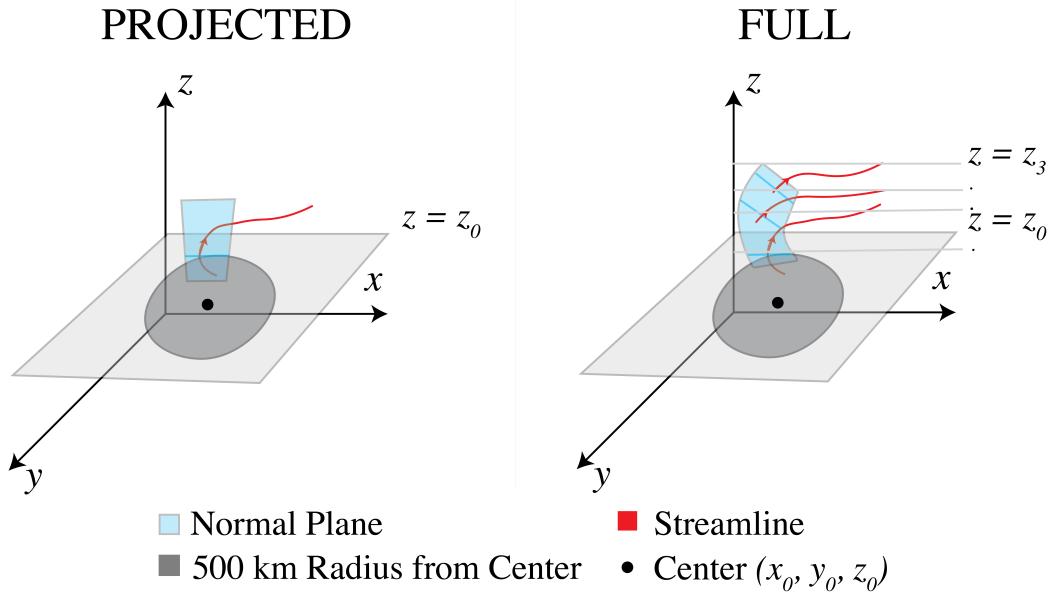
290 where θ is the angle between the flow vector at p and the normal plane. While these calculations
 291 give us the mass flux associated with a particular point, we are using gridded data, so we must take
 292 each point to be representative of its surrounding space in the grid. Accordingly, we could multiply
 293 this value by the difference between geometric heights of the levels, approximating all vertical
 294 layers for which there are no data as having the same data as the layer below. Recall that the plane
 295 defined above by the normal line (PROJECTED) or lines (FULL) is approximately orthogonal,
 296 since the vertical component of the flow is negligible for this calculation. Thus, the mass flux
 297 calculation is valid on this region. Then, for one height level, taking that level to be representative
 298 of all dz of height above or below it, the mass flux in units of $\text{kg m}^{-2} \text{s}^{-1}$ is given by

$$\rho(\vec{v} \cdot \hat{r}) dz.$$

299 Applying hydrostatic balance, this can also be computed as

$$-(1/g)(\vec{v} \cdot \hat{r}) dP.$$

300 Summing up this value for every point within the isobaric layer, over all relevant vertical layers
 301 that have at least a contiguous point exceeding the mass flux threshold, would give an estimation
 302 of the total mass flux represented by this region. Note that the magnitude of the constant scalar
 303 quantities does not matter because only the relative and not the absolute magnitudes of mass flux
 304 will be compared in order to choose points for the jet. This is also why the horizontal distances
 305 between adjacent points are approximated as equal and scaled to one for the sake of computational
 306 efficiency. Only the normalization of \vec{r} is actually necessary for comparing different jet regions if
 307 the grid spacing is the same.



308 FIG. 7. Schematic diagram of different planes identified for the two versions. Here x is longitude, y is latitude,
 309 and z is pressure (hPa, descending). The red streamlines are fixed to the horizontal plane at a particular height
 310 z . The PROJECTED version of the algorithm uses only one pressure to identify the jet, z_0 , whereas the FULL
 311 version uses multiple pressure levels with corresponding mass flux, (z_0, \dots, z_3) .

312 In the PROJECTED version, where mass flux is calculated according to the flow vectors asso-
 313 ciated with the original streamline, the jet identified will be that with maximum mass flux at any
 314 level in the direction of that particular streamline (Fig. 7). In contrast, in the FULL version, mass
 315 flux is computed with respect to the specific flow vector at each level.

316 3. Finding the Rest of the Cross Sections

317 The procedure described in the previous section is repeated, looping through the points on
 318 the initial streamline(s) in order to re-form the search space for the normal plane, and using the
 319 horizontal wind vector at those points to determine which points within the search space are on the
 320 normal plane. Then, the mass flux is computed over all points within the normal plane, and the
 321 points with mass flux above a certain threshold, but yielding a total mass flux approximately equal
 322 to that of the initial cross section, are selected as the jet cross section.

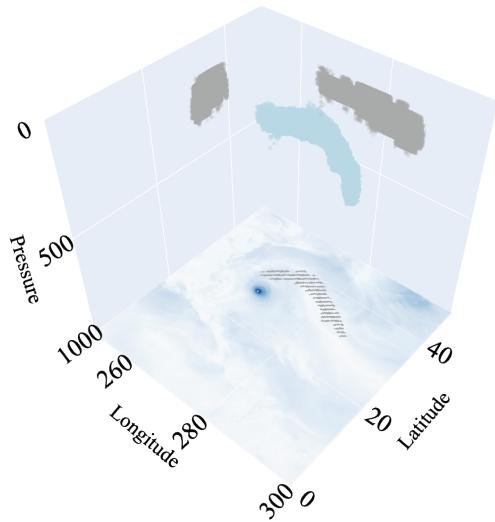
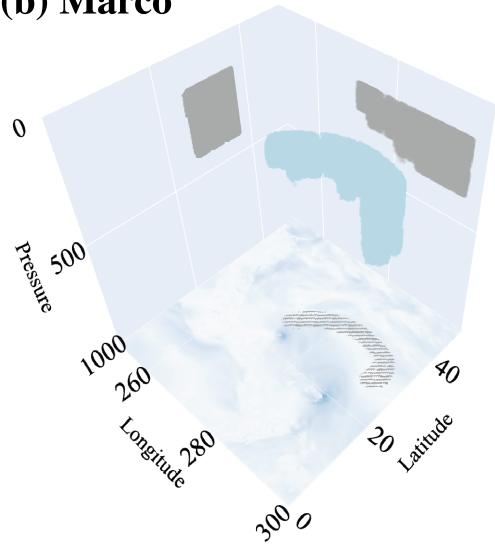
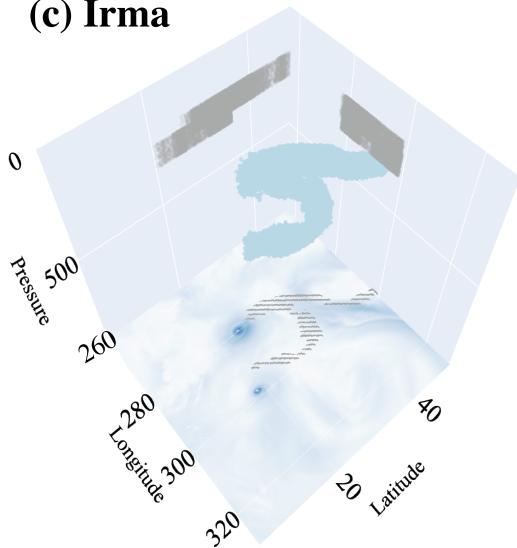
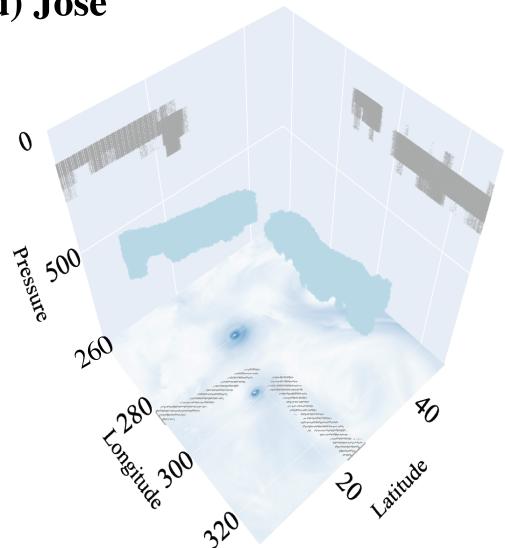
323 Initially, points that have mass flux at least 80% of the maximum within the search space, the
 324 normal plane, and that are close to the initial streamline point, are considered as the next jet cross
 325 section. If those points do not represent total mass flux equal to that of the initial cross section, then

326 points that are successively farther away from the initial streamline point, but within the normal
327 plane, and points with successively lower amounts of mass flux (as low as 40% of the maximum)
328 are considered. The documentation describes the precise search procedure, the errors that may
329 arise when the search procedure fails, and what those errors indicate about the existence of the jet.

330 For most cases, the PROJECTED version is sufficient to identify the jet, as the flow in the relevant
331 layers of outflow does not significantly differ in direction. For instance, during the period in which
332 Hurricane Laura attained maximum intensity, the forecasted dominant flow at and around the level
333 of maximum divergence is initially north-northeast (§3). However, the FULL version of POJ3 is
334 available in cases where a POJ may ascend outside the 500 km radius or appear to split off, i.e.
335 fan in different directions at each level, due to, say, the influence of an upper tropospheric trough
336 (UTT). An example of this is Hurricane Irma on 1800 UTC September 9, 2017 (Fig. 8). Here,
337 the initial streamline at 175 hPa and that at 225 hPa begin in a similar location but diverge in their
338 trajectories, with the lower streamline going northward towards the UTT and the higher streamline
339 becoming drawn into an anticyclone that developed between Hurricanes Irma and Jose. In this
340 case, it is not sufficient to use the flow direction at the level of maximum divergence to approximate
341 that of all relevant pressure levels since the upper level flow is dominated by the influence of an
342 anticyclone to the southeast, while at lower levels in the outflow layer, the flow is dominated by the
343 influence of a UTT. Using the FULL version, each of the streamlines, which eventually diverge,
344 can effectively be traced, forming a jet that can “split off” at different levels. When using the
345 FULL version, it may be preferable to select values over only part of the outflow layer, as the POJs
346 identified in this study have remained concentrated over only a subset of the typical 300 hPa-100
347 hPa possible extent of the outflow layer.

348 2) TWO JETS

358 Mature tropical cyclones have been observed to form two POJs rather than one in some cases
359 (Wu and Emanuel 1994). Since not all TCs have two jets, the default version of POJ3 only searches
360 for one jet. The two-jet version essentially repeats the process (either PROJECTED or FULL)
361 described in the previous section twice, with the streamline(s) used to find the first jet disqualified
362 from the set of potential streamlines to choose.

(a) Laura**(b) Marco****(c) Irma****(d) Jose**

349 FIG. 8. The jets identified by POJ3, in 3 dimensions – longitude, latitude, and pressure (hPa). Each jet is
 350 shown using a point cloud with random jitter added on the pressure axis in order to smooth over the discrete
 351 pressure levels available in the data. The gray portions on each coordinate plane are shadows of the 3D jet
 352 (light blue). The longitude-latitude plane features the approximate surface wind speed (at 875 hPa, with darker
 353 colors meaning higher speed) computed using the same data. **(a)** Laura: HWRF, initialized 0000 UTC August
 354 27, 2020, valid time 0300 UTC, 0.25 degree horizontal grid spacing and 25 hPa vertical spacing. **(b)** Marco:
 355 HWRF, initialized 0600 UTC August 23, 2020, valid time 0900, 0.25 degree horizontal grid spacing and 25 hPa
 356 vertical spacing. **(c), (d)** Irma and Jose: GFS, initialized 1200 UTC September 9, 2017, valid time 1800, 0.25
 357 degree horizontal spacing and 50 hPa vertical spacing.

363 3. Case Studies

364 Two pairs of binary tropical cyclones, Hurricanes Laura and Marco (2020) and Hurricanes Irma
365 and Jose (2017), were used as test data for POJ3 and as case studies on the outflow interactions
366 between TCs. Hurricanes Laura and Marco were initially chosen for this study due to the availability
367 of HMON and HWRF forecast data, which have a finer vertical grid spacing than archived GFS
368 data (25 hPa vs. 50 hPa), and because their proximity allowed for potential outflow interactions
369 (Fig. 9). Hurricanes Irma and Jose were chosen as a second case study because the algorithm
370 showed that during the period of co-existence, only Marco and not Laura had developed a POJ.
371 In contrast, Hurricanes Irma and Jose were more intense during their period of co-existence, such
372 that they developed outflow jets at the same time. The jet locations and strengths, found using
373 POJ3, indicate periods in which outflow interactions could align with anomalous track behavior
374 by Hurricane Jose.

380 Using forecast data from these TCs also enabled the validation of the results from POJ3 by
381 comparing the location, size, and surrounding environmental fields of the identified POJs to that
382 which would be expected based on prior studies.

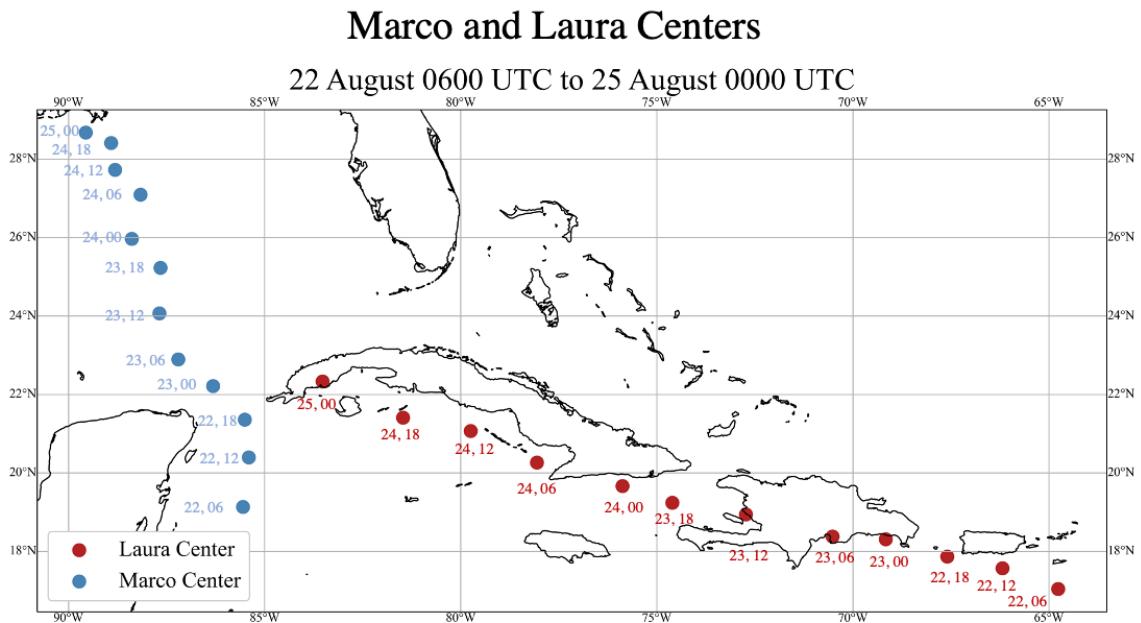
383 *a. Hurricanes Laura and Marco*

384 1) SYNOPTIC HISTORY

385 Hurricane Marco and the storm that became Hurricane Laura were both in the Atlantic basin for
386 five days in late August 2020 (Fig. 9). The two TCs originated from tropical waves that formed
387 off the coast of Africa in mid-August. While in the Atlantic basin, Marco led northwest of Laura.
388 As of August 21, Marco had become more organized and had sufficiently fast maximum sustained
389 surface winds to be classified as a tropical depression (Beven and Berg 2021). Around 1300 UTC
390 August 21, Tropical Depression Thirteen was upgraded to Tropical Storm Laura (Brennan 2020).
391 At 0000 UTC on August 22, Tropical Depression Fourteen intensified to Tropical Depression
392 Marco. The following day, Marco intensified further, becoming a category 1 hurricane. Laura also
393 strengthened into a tropical storm during this time, making landfall in the Dominican Republic
394 with an intensity of approximately 45 kt, while Marco was over the southeast Gulf of Mexico
395 (Pasch et al. 2021; Beven and Berg 2021). A low-level ridge northeast of Marco was a major
396 steering influence for both storms (Fig. 10). Around 0000 UTC on August 25, Marco encountered

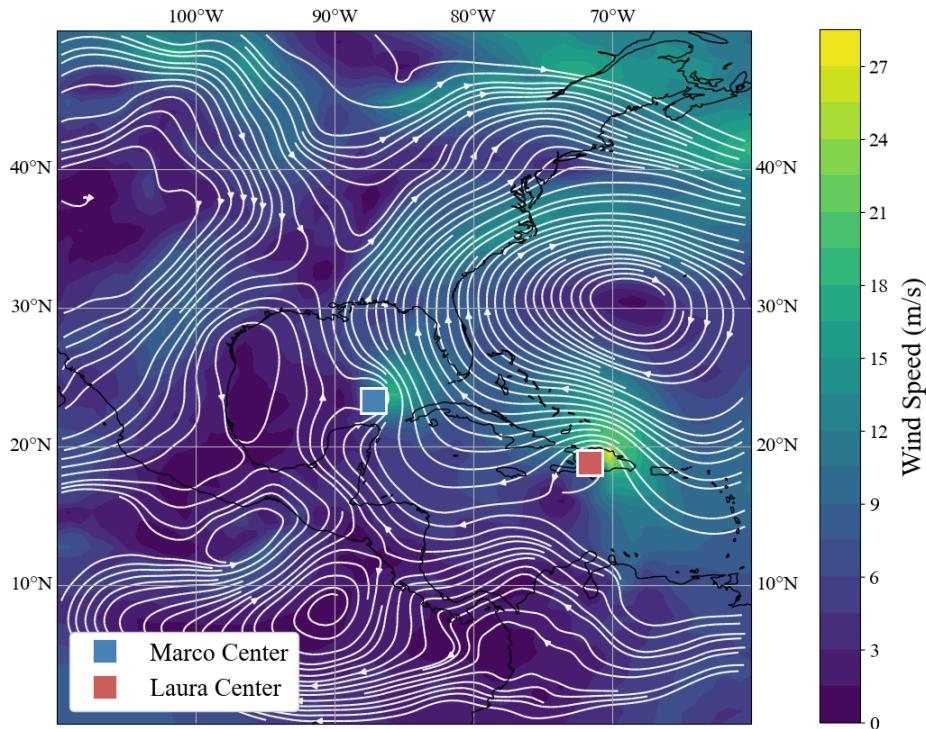
397 strong vertical wind shear and degenerated into a remnant low and then a trough near the coast of
 398 Louisiana (Beven and Berg 2021). Laura made landfall in western Cuba at the same time.

402 As Laura emerged over the Gulf of Mexico, sea surface temperatures were conducive to inten-
 403 sification. The storm did not follow the same northward track as Marco and avoided the region
 404 of high vertical wind shear associated with the jet stream. As a result, Laura reached hurricane
 405 strength around 1200 UTC on August 25. Hurricane Laura then underwent rapid intensification
 406 until 0000 UTC August 27, reaching a peak intensity of category 4. About six hours later, Laura
 407 made landfall in Louisiana. Hurricane Laura caused an estimated \$19 billion of damage in the
 408 United States (Pasch et al. 2021). Though the track and genesis of Laura were well-predicted
 409 with average forecast errors, the rapid intensification on August 26 was not adequately captured by
 410 predictive models (Pasch et al. 2021).



375 FIG. 9. Tracks for Laura and Marco during the period of coexistence in the Atlantic basin. The points are
 376 labelled by the corresponding date, with the convention DD, HH where DD is the day in August 2020 and HH is
 377 the valid time of the forecast in UTC. The center locations were found using the geopotential centroid method.
 378 The data used were HWRF output, initialization time same as valid time, 0.25 degree horizontal grid spacing
 379 (global grid) with 25hPa vertical grid spacing.

Marco and Laura Pressure-Weighted Avg. Steering Flow Weighted Over 850 hPa - 300 hPa, 0900 UTC August 23, 2020



399 FIG. 10. Synoptic environment for Marco and Laura, showing their centers, and 850 hPa-300 hPa layer average
400 steering flow. The data used were HWRF output, initialized 0600 UTC August 23, 2020, valid time 0900 UTC
401 August 23, 2020, with 0.25 degree horizontal grid spacing.

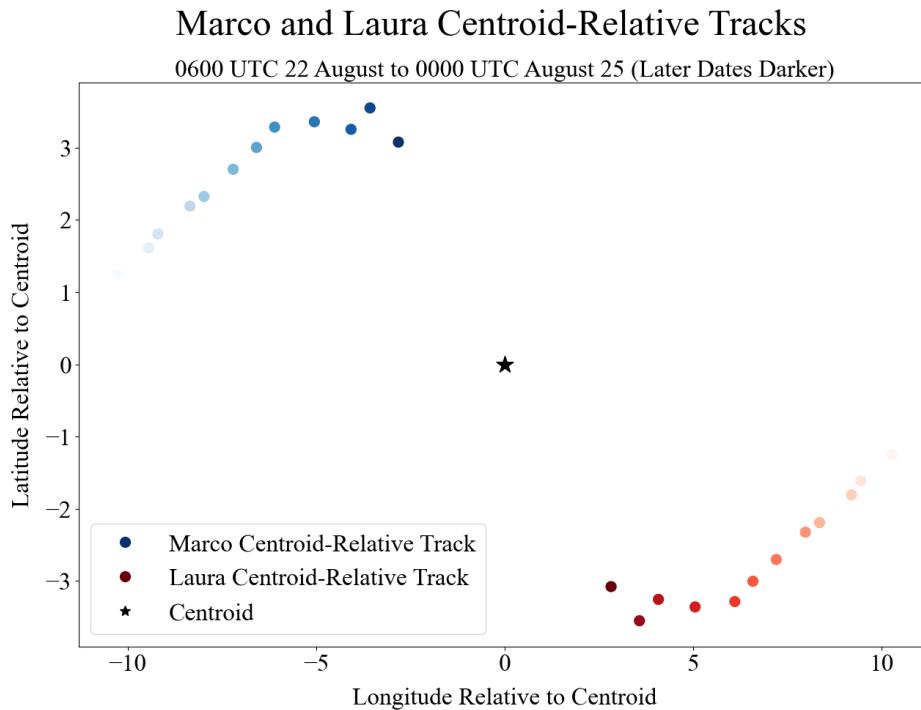
411 2) DATA

412 The data used for the analysis of Hurricanes Laura and Marco were primarily produced by
413 the National Center for Environmental Prediction (NCEP) Hurricane Weather Research (HWRF)
414 model. The relevant repository is the NOAA Operational Model Archive and Distribution System
415 (NOMADS) at NCEP. HWRF has had continual upgrades since it first became operational in 2007
416 (Mehra et al. 2018).

417 Data from this model were downloaded in near real-time while the storms were active. A 126-
418 hour forecast initialized at 6-hour intervals was provided, with coincident forecasts available for
419 Hurricanes Marco and Laura initialized from 0600 UTC on August 22, 2020 UTC to 0000 UTC
420 on August 25, 2020. For Hurricane Laura, forecasts were available initialized through 0000 UTC

421 August 27, 2020. For POJ3 and all pre-processing steps, the coarsest grid spacing from the model,
 422 which was 0.25 degree horizontal grid spacing, was used, so that both Marco and Laura were
 423 within the domain of the model. Forecast hour 0 was preferable for the analysis as it was closest
 424 to the initialized time, however, the vertical velocity was identically zero for these time steps, so
 425 forecast hour 3 was used.³ The forecast initialized at 0000 UTC August 27, 2020 was used to
 426 identify the POJ when Hurricane Laura was in its later stages and for the analyses done in §3.c.

429 The only exception was that for the HYSPLIT trajectories in Fig. 14, archival data from GFS
 were used, due to the unavailability of HWRP data in the HYSPLIT web interface.⁴



427 FIG. 11. Tracks for Marco (blue) and Laura (red), with respect to their centroid (black). Centroid-relative
 428 tracks were computed using the same centers as in Fig. 10.

430

³Approximately 4% of the horizontal velocity data were missing in the forecast hour 3 data, primarily for the southeast corner of the relevant domain. To run POJ3, it was necessary to fill in the missing data, for which linear interpolation was used. Although a method specifically for extrapolation should be used for any data missing at the corners of the grid, in this case, none of the streamlines involving points at the corner of the grid qualified as the initial streamlines, so this would make no actual difference in the calculation of the POJ.

⁴As this analysis was primarily for heuristic purposes, the available (GFS) data were sufficient.

431 3) ANALYSIS OF CENTROID RELATIVE MOTION

432 To eliminate the possibility of direct binary TC interactions as a confounding variable in the
433 analysis of outflow interactions, an analysis of centroid relative motion was performed for Hurri-
434 canes Laura and Marco, as in Lander and Holland (1993). There have been instances of Fujiwhara
435 interaction at similar separation distances to those between Marco and Laura (Dong and Neumann
436 1983). The centroid-relative tracks of TCs undergoing a Fujiwhara interaction would be expected
437 to show first anticyclonic motion about the centroid, followed by cyclonic motion that approaches
438 the centroid (Carr et al. 1997). The cyclonic motion about the centroid has been considered a
439 defining feature of direct binary TC interactions (Carr et al. 1997).

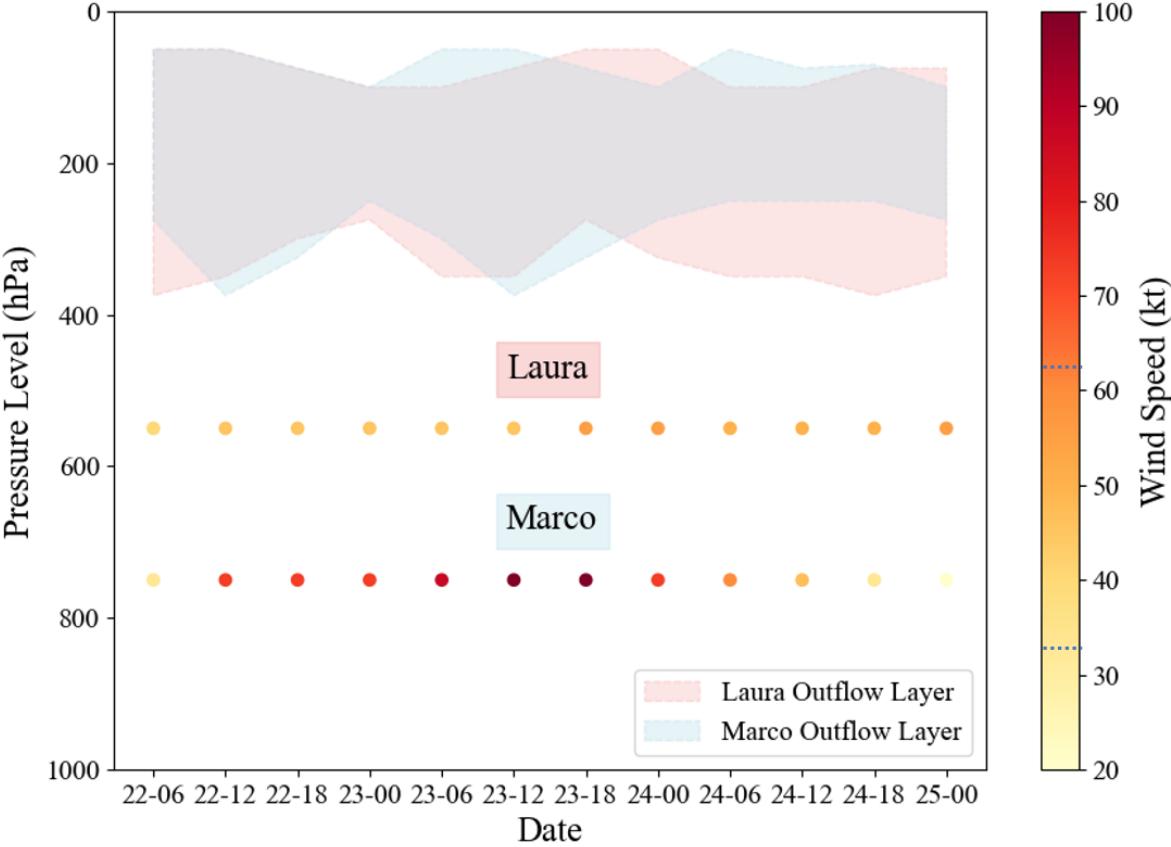
440 The centroid-relative motion for Marco and Laura is shown in Fig. 11. Although there was some
441 anticyclonic centroid-relative motion, there was no significant cyclonic rotation about the centroid.
442 Thus, we conclude that a mutual, direct interaction, such as a classical Fujiwhara interaction, did
443 not occur between Marco and Laura.

444 4) OUTFLOW INTERACTIONS

445 As a first step to determine whether there were any significant interactions between the outflows
446 of Laura and Marco, the vertical extents of their outflow layers were determined. During the period
447 of their coexistence in the Atlantic basin, Marco and Laura's outflow layers generally spanned over
448 the same range of vertical levels, indicating the potential for outflow interactions (Fig. 12). Marco
449 underwent a short period of intensification beginning on 0600 UTC August 23, so we ran POJ3 for
450 both Marco and Laura on forecast data initialized at this time⁵ to determine whether there were any
451 significant outflow interactions coinciding with the period of intensification. We also tracked the
452 trajectory of Marco's POJ in order to assess whether it could have contributed in any way to Laura's
453 steering flow, since Laura proceeded into the Gulf of Mexico after Marco degenerated. During the
454 period of coexistence, Marco did reach hurricane strength, with maximum sustained surface winds
455 of at least 33 m s^{-1} , which is sufficiently strong to support the formation of an outflow jet. For
456 instance, Shi et al. (1990) found that an outflow jet formed in simulations even for a weak storm,
457 with maximum surface winds of 23.2 m s^{-1} . The PROJECTED single jet version of POJ3 was run
458 for both Marco and Laura at this time step.

⁵Forecast hour 3 was used.

Laura and Marco Outflow Heights and Intensities Over Time

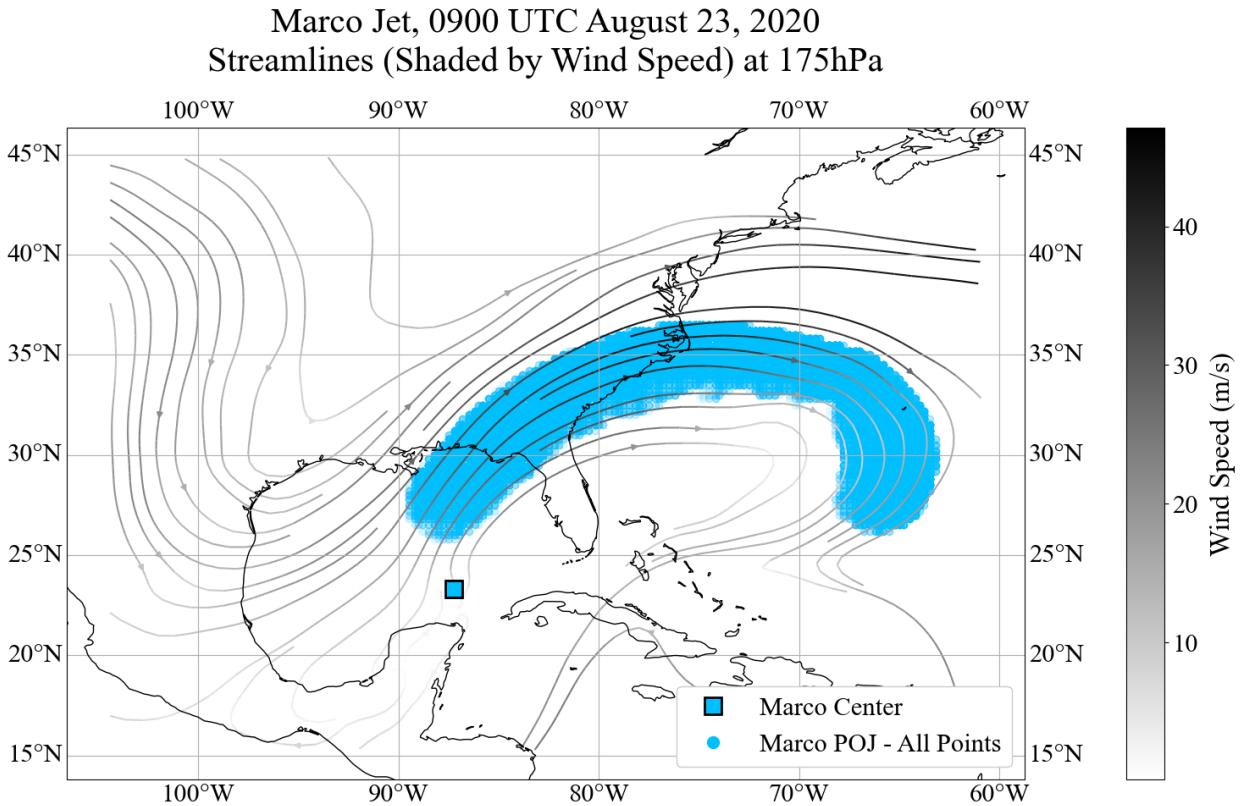


459 FIG. 12. The outflow layer heights of Marco and Laura during the time-steps in which they coexisted in
 460 the Atlantic basin, determined per the (area-weighted) divergence method. HWRP data with 0.25 degree grid
 461 spacing were used to compute the corresponding heights. Below, the colored circles show the wind speed in
 462 knots at each time step according to the NHC reports for Laura and Marco as a reflection of the intensities of
 463 the TCs (Pasch et al. 2021; Beven and Berg 2021). The points are labelled by the corresponding date, with
 464 the convention DD, HH where DD is the day in August 2020 and HH is the valid and initialization time of the
 465 forecast in UTC. The lower blue dashed line in the colorbar marks the minimum wind speed for the classification
 466 as a tropical storm (34 kt) and the higher blue dashed line marks the minimum wind speed for the classification
 467 as a hurricane (64 kt) per the NHC.

472 Marco developed a northern jet going towards the UTT, as one may expect (Komaromi and
 473 Doyle 2017) (Fig. 13). The initial cross-section of this jet at a radius of 500 km was a well-defined
 474 region of concentrated mass flux (Fig. 6), whereas no such region could be identified for Laura.

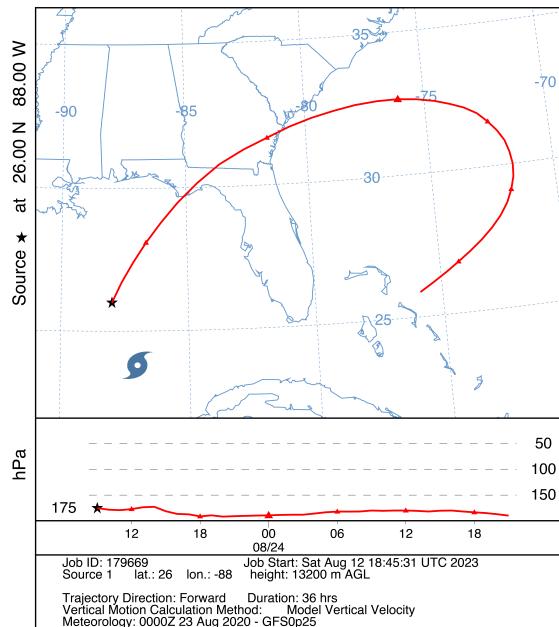
475 This combined with the fact that there were insufficient points that met the search criteria and that
476 conserved the initial mass flux amount suggests that Laura did not have a well-developed outflow
477 jet at this time, which may be expected since Laura was not particularly well-organized or intense.

478 The northward trajectory of Marco's jet meant it did not intersect with Laura's outflow layer.
479 The trajectory of Marco's jet and the lack of a well-developed jet for Laura suggest that Marco
480 and Laura did not have any direct outflow interactions at the 0900 UTC August 23 time step, and
481 subsequent time steps gave similar results.



468 FIG. 13. A bird's eye view of the points associated with the outflow jet for Marco (shaded in blue), at 0900
469 UTC August 23, 2020, produced by the single-jet POJ3 PROJECTED version initialized at the level of maximum
470 divergence of 175 hPa and ran to 3000 km in length (HWRF, initialized 0600 UTC August 23, 2020, valid time
471 0900 UTC August 23, 2020, 0.25 degree horizontal grid spacing).

NOAA HYSPLIT MODEL
 Forward trajectory starting at 0900 UTC 23 Aug 20
 GFSQ Meteorological Data



482 FIG. 14. Output from HYSPLIT near Hurricane Marco beginning at (valid time) 0900 UTC August 23, 2020.
 483 TC center at this time marked in blue. GFS data, initialized 0000 UTC August 23, 2020, 0.25 degree horizontal
 484 grid spacing. Produced using the web interface version of HYSPLIT (Stein et al. 2015; Rolph et al. 2017).

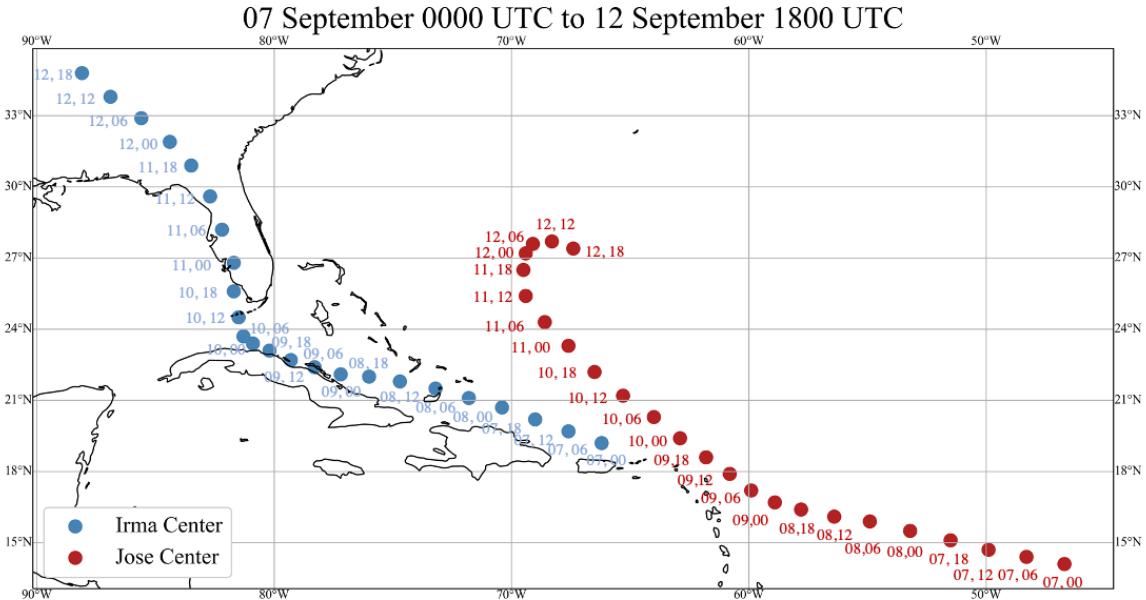
485 However, an examination of the steering flow (Fig. 10) shows there was a significant anti-cyclone
 486 between the two storms. This ridge was in this region even before the storms entered it, and was
 487 identified as a significant steering influence for both storms (Beven and Berg 2021; Pasch et al.
 488 2021). Additionally, the trajectory of Marco’s POJ is such that some of the outflow could potentially
 489 propagate around the ridge, possibly strengthening it and indirectly steering Laura. To test this
 490 hypothesis, it was necessary to trace specific outflow air parcels further in time. The NOAA
 491 Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model, which can compute
 492 the trajectory of air parcels for archival data, was used (Stein et al. 2015; Rolph et al. 2017). The
 493 parcels were initialized (approximately) in Marco’s POJ, and indeed, as shown in Fig. 14, some
 494 parcel trajectories traverse the ridge and exit directly where Laura’s center was located. Thus,
 495 while this pair of binary TCs featured no discernible direct outflow interactions, the results suggest
 496 that an indirect outflow - TC interaction, in the vein of the indirect interactions described in Carr
 497 et al. (1997), may have occurred.

498 *b. Hurricanes Irma and Jose*

499 1) SYNOPTIC HISTORY

500 Irma and Jose both formed from tropical waves off the west coast of Africa, on August 27, 2017
501 and August 31, 2017, respectively. The best track data for Irma and Jose during their period of
502 coexistence in the Atlantic basin are shown in Fig. 15. Irma became a tropical depression around
503 0000 UTC on August 30 while near the Cabo Verde Islands. Only two days after cyclogenesis,
504 Irma underwent rapid intensification and became a major hurricane by 0000 UTC September 1.
505 Over the next three days, Irma fluctuated between category 2 and 3. In the early hours of September
506 5, Jose also became a tropical depression near the Cabo Verde Islands after following a similar
507 path. As Jose crossed the Atlantic, it was steered northward by a mid-tropospheric ridge, arriving
508 near the Leeward Islands where there were warm sea surface temperatures and mild vertical wind
509 shear. At this point, Irma was near Barbuda and approaching its peak intensity of 155 kt. By 1800
510 UTC on September 6, Jose had become a hurricane, and Irma was making landfall as a category 5
511 hurricane in Barbuda. Irma's landfall on Barbuda caused three direct deaths and an estimated \$150-
512 300 million (USD) in property damage, and destroyed 95% of Barbuda's infrastructure (Cangialosi
513 et al. 2021; Berg 2018). In anticipation of a second landfall by Hurricane Jose, most residents
514 of Barbuda were forced to evacuate, eventually causing the island to become uninhabited. The
515 intensity of Jose subsequently increased from 50 kt to 135 kt, as it headed towards Barbuda. As
516 of 1800 UTC on September 9th, 2017, a tropical storm warning for Barbuda was in effect (Ballard
517 and Brown 2017b). However, on late September 9, Jose turned northwestward, narrowly missing
518 Barbuda. By 2100 UTC on September 9th, 2017, the tropical storm warning had been discontinued
519 (Ballard and Brown 2017a). This was attributed to a weakness in the subtropical ridge partially
520 due to Hurricane Irma, which was over Florida at this time, in the NHC Hurricane Jose report
521 (Berg 2018).

Irma and Jose Centers



522 FIG. 15. Tracks for Irma (blue) and Jose (red) during period of coexistence in the Atlantic basin. Centers
523 are from best track data from the National Hurricane Center reports for Irma and Jose, respectively (Cangialosi
524 et al. 2021; Berg 2018). The points are labelled by the corresponding date for each center location, with the
525 convention DD, HH where DD is the day in September 2017 and HH is the time in UTC.

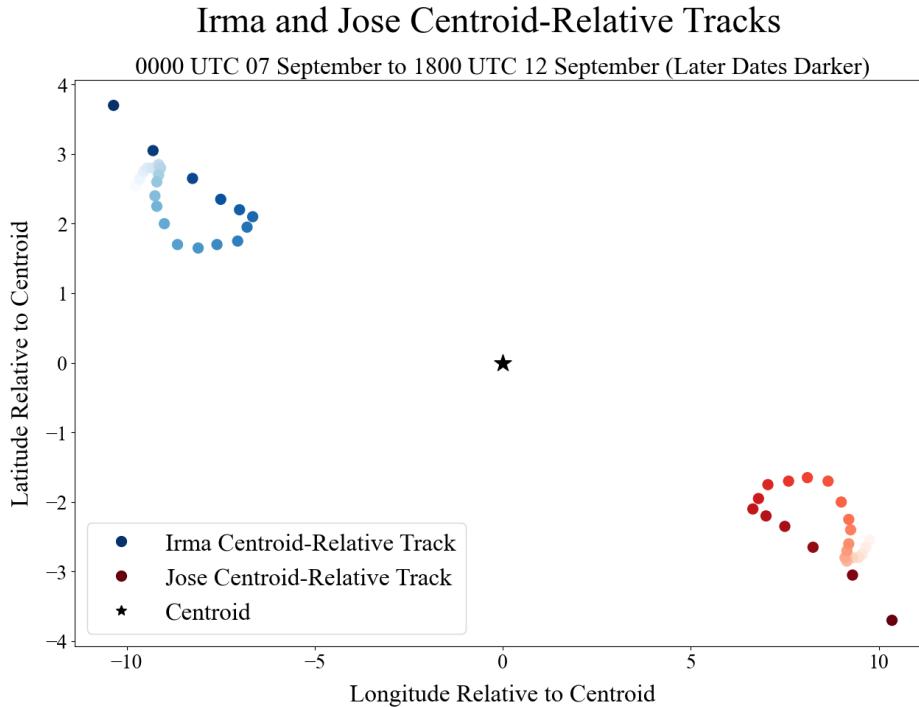
526 Early on September 9, Irma made its fifth landfall, near Cuba, causing it to eventually weaken
527 to a category 2 hurricane. Upon entering the Florida Straights, Irma strengthened to a category
528 4 hurricane, later making landfall in the Florida Keys. As Jose moved northwest, it entered
529 a region of greater northeasterly shear, which together with a partial eyewall replacement cycle
530 caused its inner core to collapse and its intensity to weaken below that of a major hurricane. As
531 of late September 11th and early September 12th, Irma was a tropical storm over Florida, and Jose
532 was trapped between the large cyclonic circulation associated with Irma and a mid-latitude closed
533 low off the coast of Canada. As a result, Jose followed an unusual, clockwise loop while in the
534 Atlantic. At this point, Irma degenerated into a remnant low. After the loop, Jose re-intensified,
535 but was met with high vertical wind shear and cold waters north of the Gulf Stream, which made
536 it weaken and eventually dissipate (Berg 2018).

537 2) DATA

538 Archival data from the Global Forecast System (GFS) run by the U.S. National Weather Service
539 were primarily used for the analysis of outflow interactions between Hurricanes Irma and Jose. The
540 GFS is re-initialized four times each day, producing hourly forecasts for the following 120 hours.
541 For the purposes of this study, we wanted to analyze data valid at 1800 UTC on September 9th,
542 2017, as this directly preceded the time of interest. It was desirable to have data initialized as close
543 to this time as possible, and the best available data were initialized at 1200 UTC on September 9th,
544 2017. The current version of GFS uses the Finite-Volume Cubed-Sphere Dynamical Core (FV3),
545 making it a nonhydrostatic model as of 2019, but the data for Irma and Jose were generated while
546 the GFS was still hydrostatic (2017). Although the data were generated using the spectral method
547 in the dynamical core, they were generated just after the preceding major upgrade (July 2017) of
548 the GFS. The horizontal grid spacing is 0.25 degrees and the vertical grid spacing is 50 hPa. The
549 only exception was that for the HYSPLIT trajectories in Figure 17, archival data from the Global
550 Data Assimilation System (GDAS) with 0.5 degree grid spacing were used, as these were the best
551 available in the HYSPLIT web interface. Additionally, center locations for the storms were taken
552 from the best track data in the National Hurricane Center reports for Irma and Jose, respectively
553 (Cangialosi et al. 2021; Berg 2018).

554 3) ANALYSIS OF CENTROID RELATIVE MOTION

555 As for Laura and Marco, the centroid-relative tracks for Irma and Jose were plotted per the method
556 described in Lander and Holland (1993) (Fig. 16). The centroid-relative tracks do not show the
557 cyclonic rotation about the centroid that is characteristic of direct binary interactions between TCs
558 (Carr et al. 1997). No such binary interactions were recorded in the NHC meteorological history
559 reports either (Cangialosi et al. 2021; Berg 2018). Thus, as in the case of Laura and Marco, we
560 conclude that a Fujiwhara interaction did not occur between Irma and Jose.



561 FIG. 16. Tracks for Irma (blue) and Jose (red), with respect to their centroid (black). Centroid-relative tracks
 562 were computed using the same centers as in Fig.15.

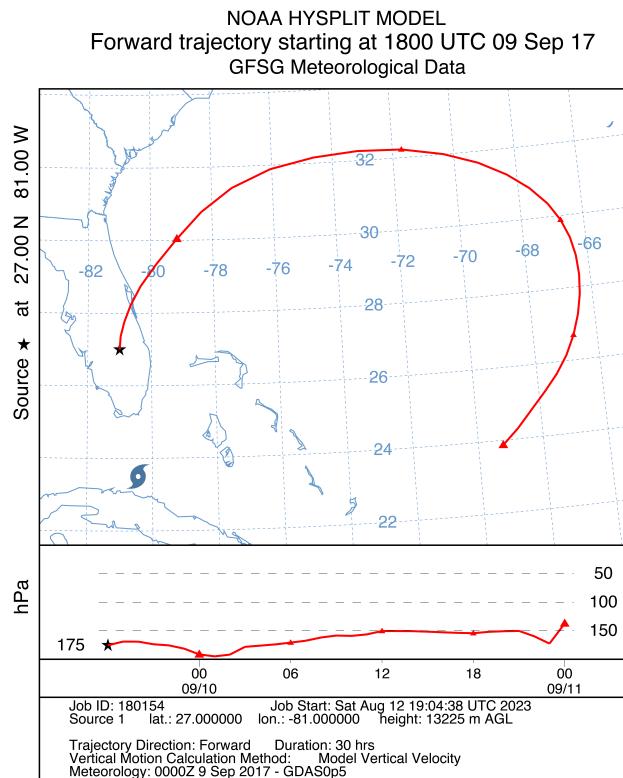
563 4) OUTFLOW INTERACTIONS

564 Irma and Jose were selected for their potential for direct outflow interactions, which was supported
 565 by a preliminary run of the NOAA HYSPLIT model for parcels initialized in potential locations
 566 of Irma’s POJ, based on wind speed maxima at 500 km radius from the center. The model output
 567 re-initialized at the points that were found to be Irma’s POJ as identified by POJ3 is shown in Fig.
 568 17. The pressure-weighted average steering flow in the synoptic environment of Irma and Jose
 569 (Fig. 18) suggests a predominantly westward trajectory for Jose that makes landfall over Barbuda,
 570 contrary to its actual trajectory, which is more northward (Fig. 15). It is possible that the trajectory
 571 of the outflow from Irma arrived west of Jose’s center, blocking Jose from heading westward
 572 and making landfall on Barbuda, contrary to what was initially anticipated. We investigated this
 573 possibility using the POJ3 algorithm.

574 We found that Irma’s POJ and one of Jose’s two POJs – all initialized at 175 hPa, the level
 575 of maximum divergence for Jose⁶ – intersect (Fig. 19). The collision of the POJs is a direct
 576

⁶Although the level of maximum divergence for Hurricane Irma was at 225 hPa, the wind speed maximum at this level that also appeared at neighboring pressure levels could be best traced by initializing at 175 hPa: see documentation.

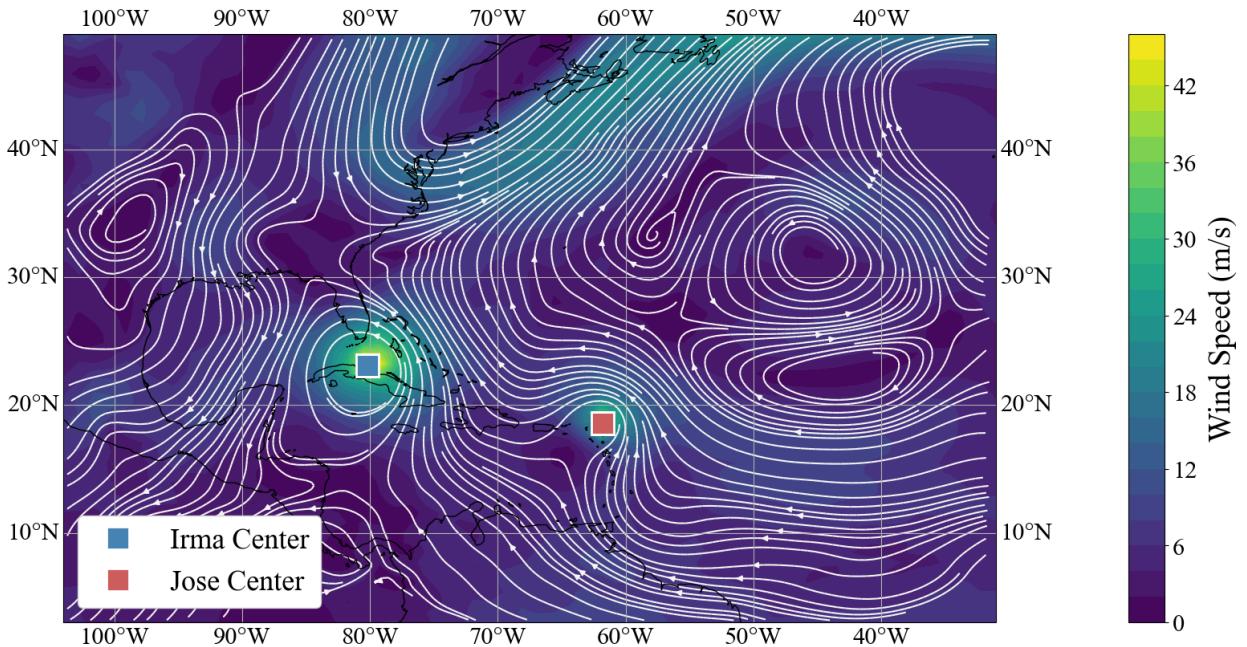
580 interaction between the outflows of Irma and Jose. This interaction suggests that the northward
 581 turn that Jose took late on September 9th – which was attributed in the NHC report to a weakness
 582 in the subtropical ridge caused by Hurricane Irma — may be better described as a turn due to the
 583 presence of a high pressure system created by the intersection of the POJs. This is similar to the
 584 ”blocking effect” described in Ryglicki et al. (2019), created by the collision of the outflow and
 585 environmental winds, and can likewise be viewed in terms of the diversion of Bernoulli flow about
 586 an obstacle. This diversion away from Barbuda was not predicted, and the residents of Barbuda
 587 had evacuated as a result. Had there been the capacity to identify these POJs at the time, it is
 possible that Jose’s turn away from Barbuda could have been predicted.



574 FIG. 17. HYSPLIT trajectories for points initialized approximately in Irma’s outflow jet, beginning at (valid
 575 time) 0600 UTC 9 September 2017. TC center at this time marked in blue. GDAS data with 0.5 degree horizontal
 576 grid spacing, initialized 0000 UTC September 9, 2017. Produced using the web interface version of HYSPLIT
 577 (Stein et al. 2015; Rolph et al. 2017).

Irma and Jose Pressure-Weighted Average Steering Flow

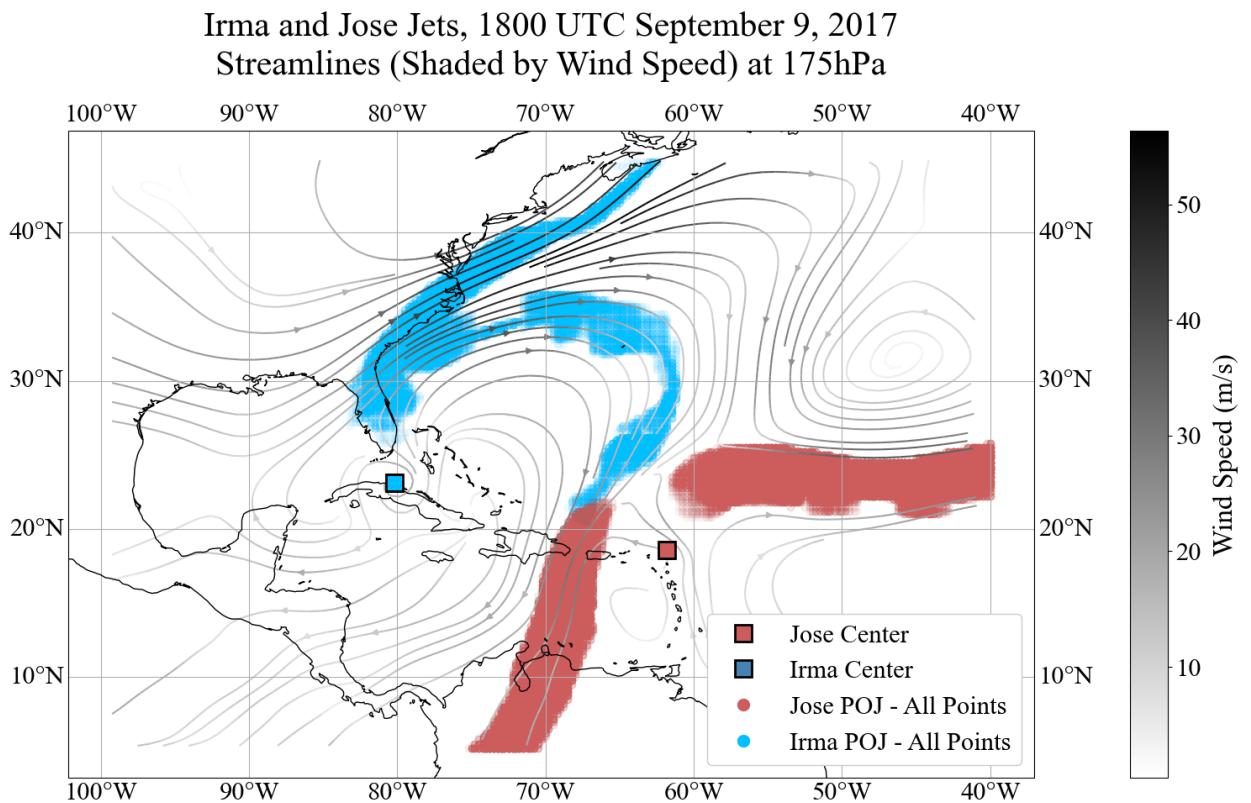
Weighted Over 850 hPa - 300 hPa, 1800 UTC September 9, 2017



589 FIG. 18. Synoptic environment for Irma and Jose, showing their centers, and 850 hPa–300 hPa layer average
590 wind (steering flow). GFS data, initialized 1200 UTC, September 9th 2017, valid time 1800 UTC September 9,
591 2017, 0.25 degree horizontal grid spacing.

597 We note that when running POJ3 for Irma, the initial streamline computed at the level of maximum
598 divergence for Irma (225 hPa) was inconsistent with the initial streamline at the level above (175
599 hPa) which was also the level of maximum divergence for Jose. While the streamlines originated
600 in similar locations, the lower streamline went towards the UTT north-northeast of Irma, whereas
601 the upper streamline eventually headed west and southwest towards Barbuda. This suggests that
602 Irma may have had a split jet scenario, due to the competing influence of the UTT to its north, and
603 a strong anticyclone that had formed to its east, between the two storms. Accordingly, the FULL
604 version of POJ3 was run for Irma, tracing the flow individually at the different levels. Additionally,
605 Jose had two strong wind speed maxima at 500 km – one to the North and one to the West. On
606 the other hand, while Irma did have two wind speed maxima at 225 hPa, only one was observed
607 at multiple pressure levels. Consequently, the two-jet version of POJ3 was run only for Hurricane

608 Jose. The three-dimensional representations of the jets identified for Irma and Jose are shown in
609 Fig. 8 (c-d).



592 FIG. 19. Jose's POJs, initialized at the level of maximum divergence for Jose, 175 hPa, and Irma's POJ
593 initialized at 175 hPa, on 1800 UTC September 9, 2017. Irma's POJ was used producing the FULL version of
594 POJ3, and Jose's POJs were produced using the two-jet PROJECTED option. Both jets were produced using
595 GFS data, initialized 1200 UTC, September 9th 2017, 0.25 degree horizontal grid spacing. Both jets were run
596 to 3500 km in length (points outside domain boundary not shown).

610 The case of Hurricanes Irma and Jose illustrates the alignment between outflow interactions
611 and anomalous alterations in TC track, which in turn may affect intensity. For instance, because
612 Jose continued on a northwestward track, not only was a previously-expected landfall avoided,
613 but Jose entered a region of high vertical wind shear which caused its intensity to diminish (Berg
614 2018). With a better understanding of outflow-outflow interactions, we may be able to predict such
615 previously-unforeseen track changes, and consequently intensity changes, in the future.

616 *c. Alignment of Detected POJs with Prior Results*

617 POJ3 successfully produced POJs that were narrow, long, concentrated regions of flow, which
618 roughly conserved mass flux throughout. Additionally, both the POJ for Marco and the POJ for
619 Irma reflected that the trajectories of outflow jets often form preferentially towards nearby troughs,
620 as tested in simulations by Rappin et al. (2011) and Komaromi and Doyle (2017). It is of interest
621 to see whether signatures in the PV and relative humidity fields also coincide with those found by
622 Shi et al. (1990) and Rappin et al. (2011).

633 As a test case for POJ3, the data for Laura valid at 0300 UTC August 27, 2020 were used, as
634 at this time step Laura was a category 4 hurricane and high resolution data were available. High
635 resolution forecast output from HWRF was only available for Marco and Laura, and Marco did
636 not attain this intensity. The POJ for Laura at this time step produced by the POJ3 PROJECTED
637 version, computed at the level of maximum divergence of 175 hPa, is shown in Fig. 20. Unlike for
638 Hurricane Irma, which required the FULL version, initializing the POJ3 for Laura at the 200 hPa
639 level produced nearly identical results.

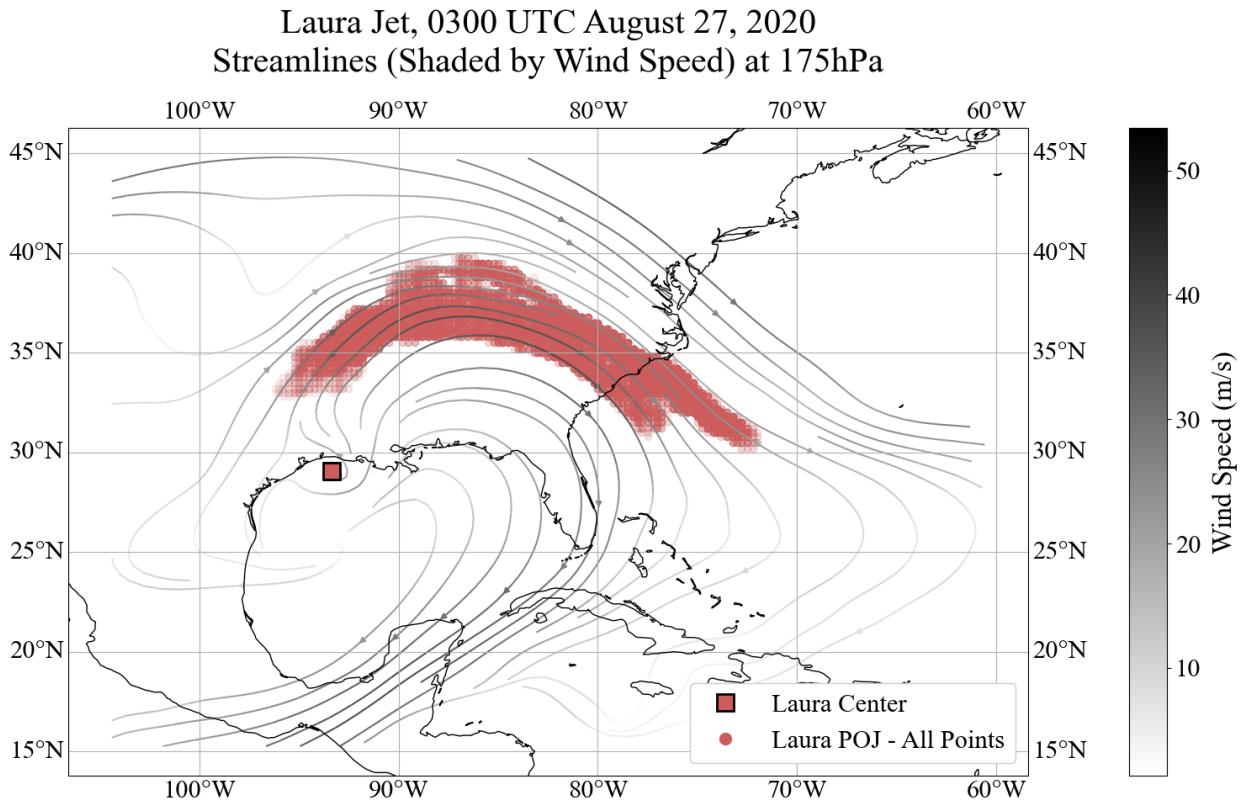
Potential vorticity was computed from the same data that were used in POJ3. Baroclinic potential
vorticity was calculated using the MetPy package which implements potential vorticity according
to Bluestein (1993):

$$PV = -g \left(\frac{\partial u}{\partial p} \frac{\partial \theta}{\partial y} - \frac{\partial v}{\partial p} \frac{\partial \theta}{\partial x} + \frac{\partial \theta}{\partial p} (\zeta + f) \right)$$

640 where θ is potential temperature, ζ is the vertical component of relative vorticity, and f is the
641 Coriolis parameter (May et al. 2022). As shown in Fig. 21, the jet was in a region of relatively low
642 PV (<0.5 PVU) in general, and there were negative PV streamers within most regions where the
643 outflow jet was located, at each of the relevant levels. The correspondence with low or negative

644 PV is especially obvious in the plot at 150 hPa, where a region of higher PV marks the northern
645 boundary of the POJ.

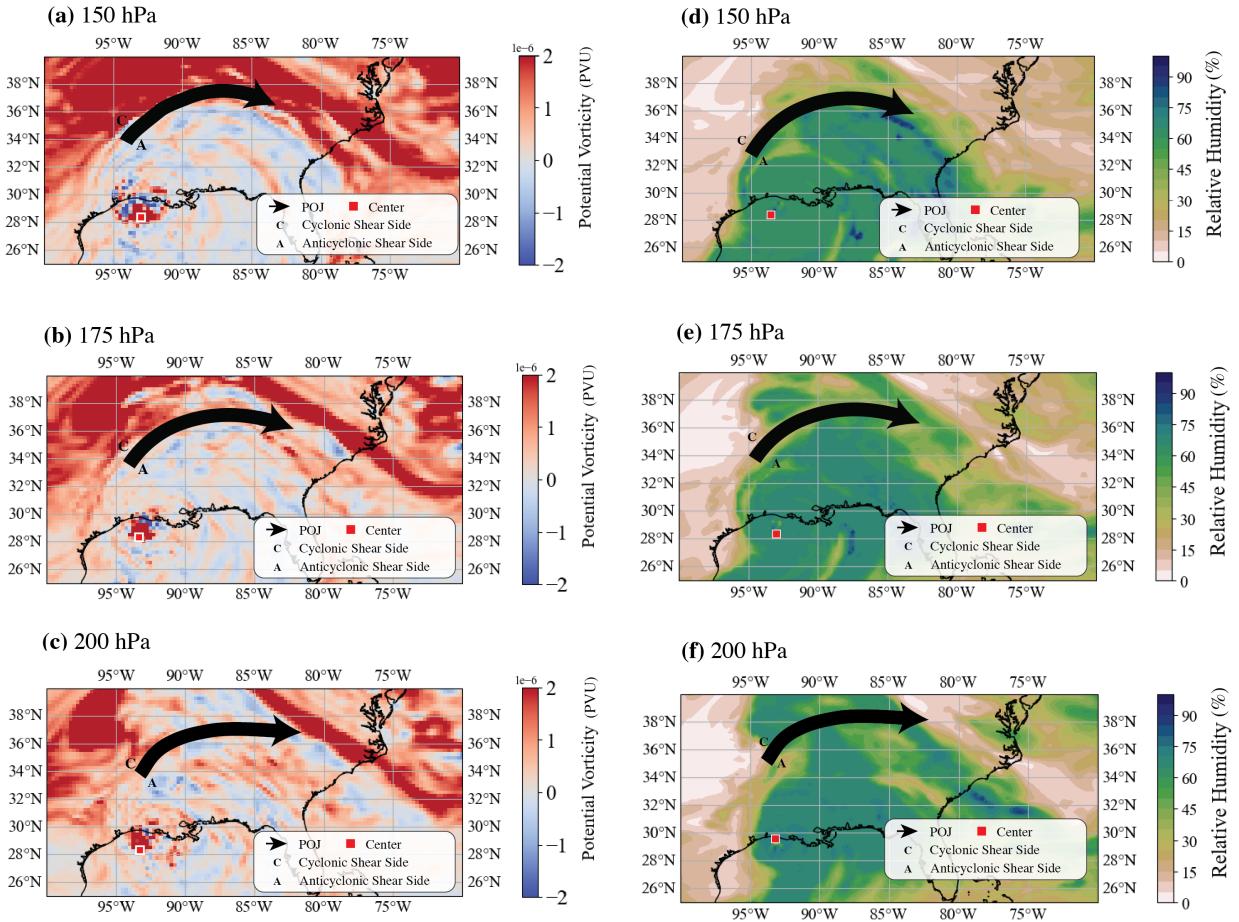
646 We also compare the POJ location with the relative humidity field at the same levels (Fig. 21).
647 Shi et al. (1990) found that due to the secondary circulation at the jet entrance region, there is
648 high relative humidity (80%) on the anticyclonic shear side of the jet, and low relative humidity
649 (< 40%) on the cyclonic shear side of the jet. At each of the pressure levels, at the entrance region
650 of the POJ for that pressure level, there is high relative humidity on the anticyclonic shear side of
651 the jet and low relative humidity on the cyclonic shear side.



623 FIG. 20. A bird's eye view of the POJ identified for Laura at peak intensity, initialized at 175 hPa and run
624 to a length of 2500 km. The streamlines and wind speed are also at 175 hPa. The jet was produced using the
625 single-jet PROJECTED version of POJ3, with HWRP data, initialized 0000 UTC August 27, 2020, valid time
626 0300 UTC August 27, 2020 (forecast hour 3), with 0.25 degree horizontal grid spacing.

Potential Vorticity

Relative Humidity



627 FIG. 21. Left column: potential vorticity in the region surrounding Hurricane Laura near the level of maximum
628 divergence for pressures of (a) 150 hPa, (b) 175 hPa, and (c) 200 hPa. Right column: relative humidity (%)
629 in the region surrounding Hurricane Laura near the level of maximum divergence at pressure levels of (d) 150
630 hPa, (e) 175 hPa, and (f) 200 hPa. POJ3-identified jet shown as a black arrow, cyclonic and anticyclonic sides
631 labeled. HWRP data, initialized 0000 UTC August 27, 2020, valid time 0300 UTC August 27, 2020, with 0.25
632 degree horizontal grid spacing.

652 4. Discussion and conclusions

653 In this study, POJ3, a novel algorithm for identifying the principal outflow jet of a tropical
654 cyclone, has been developed. The locations of the POJs identified by this algorithm, which traces
655 a contour of high mass flux through the outflow layer of a TC, align with prior results concerning
656 the general characteristics of POJs, including the signatures that POJs leave in relative humidity

657 and potential vorticity fields, and how the trajectories of POJs often go towards nearby upper
658 tropospheric troughs. However, despite this alignment, these signatures alone are not distinctive
659 enough to identify the location of POJs with the precision provided by POJ3, highlighting its
660 necessity. POJ3 was applied to analyze outflow interactions between binary TCs for two test cases:
661 Hurricanes Marco and Laura and Hurricanes Irma and Jose. While the location of the identified
662 POJ for Marco and the lack of a POJ for Laura at that time suggests only an indirect outflow -
663 TC interaction occurred for this pair of TCs, the POJs identified for Irma and Jose intersected,
664 suggesting a direct interaction between outflows. The time step associated with the direct outflow
665 interaction was also immediately before an unexpected track change for Hurricane Jose, indicating
666 that this interaction may have played a part in this unpredicted turn. Accordingly, POJ3 can
667 successfully identify POJs and can be useful in analyzing outflow interactions between binary TCs.

668 However, additional case studies of sets of binary TCs are required to characterize the full range
669 of possible outflow interactions between binary TCs. POJ3 can be used to analyze archived data
670 of binary TCs, or to analyze forecast data from binary TCs that occur in the future. Once a
671 comprehensive classification scheme of different types of outflow interactions has been developed,
672 a theoretical understanding of the dynamics behind them may be more readily pursued.

673 Of course, the specifics of the POJ3 algorithm may be improved upon in the future. In particular,
674 given access to high quality data for many sets of TCs, the parameters that are left adjustable to
675 the user may be tuned to optimal values. Moreover, if there were high-resolution observational
676 data available that captured an outflow jet, the narrowness and length parameters in POJ3 could be
677 tuned so that the exact shape of the output POJ coincided with that which was observed.

678 This study has shown that there can be potentially impactful interactions between the outflows
679 of binary TCs, but also demonstrates that this does not happen every time two TCs are sufficiently
680 proximate. What factors are present when TC outflows do interact and the nature of different
681 interactions should be studied further. This POJ-identification methodology holds promise not
682 only for its applicability to further understanding the interactions between binary TCs, but also
683 because its ability to identify a three-dimensional POJ could significantly enhance the understanding
684 of the structure and behavior of TC outflow in general. POJ3 may also be used to make apparent
685 the ways in which TC outflow can interact with other atmospheric systems besides the outflow of
686 another TC.

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693 *Data availability statement.* Forecast data for Marco and Laura is available from the last author
694 upon request. The NOMADS data at NCEP may be found at <https://nomads.ncep.noaa.gov/>,
695 and the HWRf data specifically at [https://nomads.ncep.noaa.gov/pub/data/nccf/com/
696 hwrf/prod/](https://nomads.ncep.noaa.gov/pub/data/nccf/com/hwrf/prod/). The GFS data were downloaded from the Research Data Archive managed by
697 the Computational and Information Systems Laboratory at the National Center for Atmospheric
698 Research, and may be obtained from this source upon request. The associated code for POJ3 and
699 its accompanying documentation are available in the Supplementary Materials section.

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