# Sea Ice Loss, Water Vapor Increases, and Their Interactions with Atmospheric Energy Transport in Driving Seasonal Polar Amplification Po-Chun Chung <sup>a</sup> and Nicole Feldl<sup>a</sup> <sup>a</sup> Department of Earth and Planetary Sciences, University of California, Santa Cruz, Santa Cruz, California

6 Corresponding author: Po-Chun Chung, pochung@ucsc.edu

ABSTRACT: The ice-albedo feedback associated with sea ice loss contributes to polar amplifi-7 cation, while the water vapor feedback contributes to tropical amplification of surface warming. 8 However, these feedbacks are not independent of atmospheric energy transport, raising the pos-9 sibility of complex interactions that may obscure the drivers of polar amplification, in particular 10 its manifestation across the seasonal cycle. Here, we apply a radiative transfer hierarchy to the 11 idealized Isca climate model coupled to a thermodynamic sea ice model. The climate responses 12 and radiative feedbacks are decomposed into the contributions from sea ice loss, including both 13 retreat and thinning, and the radiative effect of water vapor changes. We find that summer sea ice 14 retreat causes winter polar amplification through ocean heat uptake and release, and the resulting 15 decrease in dry energy transport weakens the magnitude of warming. Moreover, sea ice thinning 16 is found to suppress summer warming and enhance winter warming, additionally contributing to 17 winter amplification. The water vapor radiative effect produces seasonally symmetric polar warm-18 ing via offsetting effects: enhanced moisture in the summer hemisphere induces the summer water 19 vapor feedback and simultaneously strengthens the winter latent energy transport in the winter 20 hemisphere by increasing the meridional moisture gradient. These results reveal the importance 21 of changes in atmospheric energy transport induced by sea ice retreat and increased water vapor to 22 seasonal polar amplification, elucidating the interactions among these physical processes. 23

# 24 **1. Introduction**

Both observations (Serreze et al. 2009; Screen and Simmonds 2010a) and simulations forced by 25 increased CO<sub>2</sub> concentrations (Manabe and Wetherald 1975; Holland and Bitz 2003; Hahn et al. 26 2021) exhibit a strong surface warming in the polar regions compared to the global average. This 27 phenomenon known as polar amplification is seasonally asymmetric, reaching its maximum during 28 winter and minimum during summer in observations (Serreze et al. 2009; Screen and Simmonds 29 2010b) and general circulation models (GCMs; Deser et al. 2010; Hahn et al. 2021). Since 30 the projected polar changes are large and are posited to have consequences for global climate, 31 understanding their causes is a central goal of climate science. However, the mechanisms that 32 promote the dramatic seasonality of polar amplification, and the interactions among processes 33 across the seasonal cycle, are still under debate. 34

Sea ice processes have long been suggested to be the dominant driver of polar amplification via 35 the ice-albedo feedback (Budyko 1969; Manabe and Wetherald 1975; Taylor et al. 2013). Under 36 global warming, sea ice retreat reduces the surface albedo, leading to a greater surface shortwave 37 absorption that warms the polar surface. Additionally, the stable lower troposphere in the polar 38 region inhibits vertical mixing. The resulting surface-amplified warming produces less outgoing 39 longwave radiation than vertically uniform warming, which requires a strong surface warming in 40 order to balance the given forcing at the top of atmosphere, a positive lapse rate feedback. At a 41 global scale, the combination of a positive lapse rate feedback at high latitudes and a negative lapse 42 rate feedback at low latitudes indicates a contribution to polar amplification (Pithan and Mauritsen 43 2014; Goosse et al. 2018; Stuecker et al. 2018; Boeke et al. 2021). Furthermore, since the polar 44 lapse rate feedback is highly correlated to surface warming, some studies emphasize the combined 45 mechanism of the positive lapse rate feedback and ice-albedo feedback (Graversen et al. 2014; 46 Feldl et al. 2017, 2020). Notably, these feedbacks operate in different seasons and are linked by 47 seasonal ocean heat storage and release (Boeke and Taylor 2018; Dai et al. 2019; Feldl et al. 2020; 48 Shaw and Smith 2022) and changes in effective surface heat capacity as melting ice transitions to 49 open ocean (Manabe and Stouffer 1980; Dwyer et al. 2012; Hahn et al. 2022). 50

<sup>51</sup> Preferential increases in tropical humidity amplify warming in the tropics relative to the high <sup>52</sup> latitudes, thus acting against polar amplification. Nevertheless, polar increases in water vapor <sup>53</sup> promote polar warming, and that warming may in turn activate other feedbacks. Results from a

single column model suggest that the water vapor feedback induced by increased specific humidity 54 from remote sources produces considerable near-surface warming in high latitudes (Henry et al. 55 2021), which would manifest as a positive lapse rate feedback. Similarly, in a moist energy 56 balance model, the water vapor feedback amplifies other positive feedbacks in the polar region, 57 thus rising to predominance in driving polar amplification (Beer and Eisenman 2022). Through 58 idealized modeling, these studies suggest the role of water vapor in polar amplification may be 59 underappreciated: interactions among the water vapor feedback and other processes promote polar 60 warming regardless of the meridional structure of the feedback considered in isolation. 61

Although diagnostics applied to coupled model experiments indicate that the total atmospheric 62 heat transport makes small contributions to polar amplification (Pithan and Mauritsen 2014; Goosse 63 et al. 2018), the dry and moist components of energy transport changes are large and compensating 64 (Stuecker et al. 2018; Graversen and Langen 2019; Feldl et al. 2020; Hahn et al. 2021; Henry 65 et al. 2021; Taylor et al. 2022). Idealized model experiments have shown that a stronger surface 66 polar heat source, such as associated with a stronger surface albedo feedback, produces a stronger 67 decrease in poleward dry energy transport, offsetting polar warming (Feldl et al. 2017; Henry et al. 68 2021). This is consistent with the well-known anti-correlation between polar amplification and 69 changes in atmospheric energy transport (Hwang et al. 2011). On the other hand, an increase in 70 latent energy transport may have an outsized warming impact on the polar regions via the "water 71 vapor triple effect", which includes the greenhouse effect of increased moisture and cloudiness, as 72 well as the latent heat release of water vapor condensation (Graversen and Burtu 2016; Baggett 73 and Lee 2017; Yoshimori et al. 2017; Graversen and Langen 2019; Taylor et al. 2022, their figure 74 12). Slightly counteracting this warming effect, a strong increase in the moist component of energy 75 transport, and a weak decrease in the dry component, reduces the polar lapse rate feedback (Feldl 76 et al. 2020). 77

<sup>78</sup> While these prior works suggest an underappreciated polar warming role for water vapor and the <sup>79</sup> moist component of atmospheric energy transport, it remains unclear how they manifest across the <sup>80</sup> seasonal cycle and interact with the ice-albedo and lapse rate feedback. Here we use an idealized <sup>81</sup> GCM coupled to a thermodynamic sea ice model as a minimal model for probing the mechanism <sup>82</sup> of seasonal polar amplification, including the crucial effects of radiative feedbacks, sea ice retreat <sup>83</sup> and thinning, and atmospheric energy transport. Aquaplanet simulations with thermodynamic sea

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<sup>84</sup> ice, though relatively unexplored, have yielded important insights about the seasonality of polar
<sup>85</sup> amplification (Feldl and Merlis 2021) and the midlatitude storm track response to sea ice loss
<sup>86</sup> (Shaw and Smith 2022). We further advance the model configuration by pairing it with a radiative
<sup>87</sup> transfer hierarchy to isolate the roles of water vapor increases and sea ice loss, as well as their
<sup>88</sup> interactions with atmospheric energy transport across the seasonal cycle in driving polar amplified
<sup>89</sup> warming.

# **2. Models and Method**

# 91 2.1 Models and experimental design

We use the Isca modeling framework (Vallis et al. 2018), based on the Geophysical Fluid 92 Dynamics Laboratory (GFDL) dynamical core. The resolution of the atmospheric component is 93 T42 (~  $2.8^{\circ} \times 2.8^{\circ}$ ) with 30 evenly spaced vertical levels, and it is coupled to a thermodynamic 94 sea ice model following Zhang et al. (2022) and a 60-m mixed layer slab ocean. The convection 95 scheme is a simplified Betts-Miller scheme described in Frierson et al. (2007). The insolation 96 includes a seasonal cycle of a 360-day year with the equinoxes at days 90 and 270 but no diurnal 97 cycle. The solar constant is 1360 W m<sup>-2</sup>, with a circular Earth's orbit (eccentricity=0) and an 98 Earth-like obliquity of 23.439°. Our model configuration is an idealized aquaplanet with neither 99 clouds, continents, nor ocean heat transport (i.e., Q-flux is zero). All experiments in this study are 100 run for 70 years with 30 years of spin-up. 101

In order to investigate the role of the water vapor feedback, we conduct experiments with a hierarchy of radiative transfer schemes: gray radiation and Rapid Radiative Transfer Model (RRTM). The gray radiation scheme follows Frierson et al. (2006), in which longwave optical depth depends on latitude ( $\phi$ ) and pressure (p):

$$\tau_0 = \tau_e + (\tau_p - \tau_e) \sin^2 \phi \; ; \; \tau = \tau_0 \left[ f_1 \frac{p}{p_s} + (1 - f_1) \left( \frac{p}{p_s} \right)^4 \right] \tag{1}$$

where  $\tau_e$  and  $\tau_p$  are surface values of longwave optical depth at the equator and pole, respectively,  $f_1$  is the linear absorption factor, and  $p_s$  is the surface pressure. We tune the gray radiation parameters to achieve the same climatology as the RRTM simulations. Specifically, optical depth parameters are set to  $(\tau_p, \tau_e) = (2.5, 5.8)$ , and  $f_1 = 0.2$ . A top-of-atmosphere (TOA) coalbedo

profile,  $0.7535 - 0.0345(\frac{3\sin^2 \phi - 1}{2})$ , is applied to the insolation to scale the TOA net shortwave 110 flux to match that in RRTM. The global warming scenario is simulated by multiplying  $\tau_0$  by a 111 tuning value of 1.155 to reproduce the radiative forcing in the  $4 \times CO_2$  scenario in RRTM. For 112 the RRTM radiative transfer scheme (Mlawer et al. 1997), we follow the setup of the Model of an 113 Idealized Moist Atmosphere (MiMA; Jucker and Gerber 2017). Importantly, since the calculations 114 of radiative heating are based on the atmospheric temperature and humidity structure, this model 115 includes a water vapor feedback. The CO<sub>2</sub> concentration is 300 ppm in the control run. We 116 perform the global warming scenario by quadrupling the CO<sub>2</sub> concentration. The magnitude of the 117 radiative forcings in the gray radiation and RRTM schemes are 9.29 and 9.28 W m<sup>-2</sup>, respectively, 118 calculated as the TOA radiative flux change in the perturbation simulations relative to simulations 119 with the surface boundary fixed (i.e., the fixed-SST forcing; Hansen et al. 2005). 120

<sup>121</sup> We implement sea ice thermodynamics from Zhang et al. (2022), which is based on Semtner <sup>122</sup> (1976), in our slab ocean boundary rather than the Isca default prescribed sea ice representation, <sup>123</sup> in which ice distribution does not depend on atmospheric or oceanic temperature. The sea ice <sup>124</sup> thickness ( $h_i$ ) is governed by the following equation:

$$L_i \frac{dh_i}{dt} = F_{\rm atm} - F_{\rm base} \tag{2}$$

where  $L_i = 3.0 \times 10^8$  J m<sup>-3</sup> is latent heat of fusion of ice,  $F_{atm}$  is the net energy flux exchange between surface and atmosphere, including radiative, sensible, and latent heat fluxes ( $F_{rad}$ ,  $F_{SH}$ , and  $F_{LH}$ , respectively), and  $F_{base}$  is the basal heat flux from the ocean mixed layer into the ice.  $F_{base}$  depends linearly on the temperature gradient between the mixed layer ( $T_{ml}$ ) and the ice base (freezing point,  $T_{base} = 273.15$  K):  $F_{base} = F_0(T_{ml} - T_{base})$ , where  $F_0 = 120$  W m<sup>-2</sup> K<sup>-1</sup> is the ocean-ice heat exchange coefficient. The ocean mixed-layer temperature ( $T_{ml}$ ) is determined by

$$\rho_w c_w h_{ml} \frac{dT_{ml}}{dt} = \begin{cases} -F_{\text{base}} & \text{where ice is present} \\ -F_{\text{atm}} & \text{under ice-free condition} \end{cases}$$
(3)

where  $\rho_w = 1035 \text{ kg m}^{-3}$  is the density of sea water,  $c_w = 3989.24 \text{ J kg}^{-1} \text{ K}^{-1}$  is the specific heat of water, and  $h_{ml} = 60 \text{ m}$  is the ocean mixed layer depth. When ice is present, conductive heat flux through ice  $F_i$  is given by

$$F_i = k_i \frac{T_{\text{base}} - T_s}{h_i},\tag{4}$$

<sup>134</sup> and the surface temperature  $T_s$  is determined by a balance between the surface flux  $F_{\text{atm}}$ , which is <sup>135</sup> a function of  $T_s$ , and the conductive heat flux through the ice  $F_i$ :

$$F_{\rm rad} + F_{\rm SH} + F_{\rm LH} = k_i \frac{T_{\rm base} - T_s}{h_i}$$
(5)

where  $k_i = 2 \text{ W m}^{-1} \text{ K}^{-1}$  is the thermal conductivity of sea ice. Lastly, ice fraction  $(f_{ice})$  is set to 1 where the ice thickness  $(h_i)$  is greater than 0 and is set to 0 elsewhere. The surface albedo is a linear function of ice fraction:  $\alpha = (1 - f_{ice})\alpha_{ocn} + f_{ice}\alpha_{ice}$ ; we use values of ocean and ice albedo  $(\alpha_{ocn}, \alpha_{ice}) = (0.22, 0.45).$ 

In addition to the fully interactive sea ice thermodynamics implementation described above, we 140 apply the direct ice-nudging method to lock the ice thickness (and fraction) to deactivate sea ice 141 loss, and lock only the ice fraction to disable the sea ice-albedo feedback. Ice fraction-locked 142 simulations are run for RRTM control and forced CO<sub>2</sub>. Ice thickness-locked simulations are run 143 for both radiation models (RRTM and gray) and for both control (CTL) and perturbation (PTB; 144 forced CO<sub>2</sub> or optical depth) configurations. All locking experiments are locked at every model 145 time step to the ice thickness and fraction from the RRTM control simulation with interactive sea 146 ice. These eight simulations, illustrated schematically in Fig. 1, enable us to isolate different 147 physical components of the forced climate response. The total response is comprised of the 148 response to CO<sub>2</sub>, the water vapor radiative effect (WV), and interactive sea ice loss (box a; 149  $RRTM_{ice}PTB - RRTM_{ice}CTL$ ). The response to CO<sub>2</sub> and WV in the absence of ice loss 150 (box b) is RRTM<sub>ice-lock</sub> PTB-RRTM<sub>ice-lock</sub> CTL. The response to CO<sub>2</sub>, WV, and ice thickness 151 changes (box c) is  $RRTM_{\text{fraction-lock}}PTB-RRTM_{\text{fraction-lock}}CTL$ . The response to CO<sub>2</sub> (box d) is 152 Grayice-lock PTB-Grayice-lock CTL. All of these responses occur in the presence of temperature 153 feedbacks (Planck and lapse rate). 154

The response to WV and sea ice loss in isolation from radiative forcing can then be determined by (b)-(d) and (a)-(b), respectively. Strictly, the response to the water vapor radiative effect will also include any differences in temperature feedbacks between RRTM and the gray radiation schemes. The temperature feedbacks in  $RRTM_{ice-lock}$  are close to that in  $Gray_{ice-lock}$  in the polar regions



FIG. 1. The schematic of simulations.

but about 61% weaker (less negative) in the tropics (Fig. S1), comparable in magnitude to the 159 water vapor feedback itself in the tropics. However, as detailed below in Section 2.2, the warming 160 contributions associated with each feedback are calculated as the radiative response normalized 161 by the global-mean Planck feedback and, in Sections 3.2 and 3.3, we will demonstrate that the 162 contributions of the temperature feedbacks are within 0.5 K in both radiative schemes. The response 163 to sea ice loss can further be decomposed into a response to sea ice retreat and the response to sea 164 ice thinning, determined by (a)-(c) and (c)-(b), respectively. The response to sea ice thinning arises 165 due to the difference between two experiments with locked ice fraction, but in one, ice thickness is 166 free to change. The response to sea ice retreat captures the effect of albedo change in the absence 167 of ice thickness change. 168

We note that sea ice interventions typically neglect to conserve energy or freshwater and have been 169 shown to impose artificial heating in an idealized model (England et al. 2022). Given the present 170 study's specific objective of fixing ice fraction, and ice fraction and thickness, the ice-nudging 171 method is the most straightforward approach. Nudging the ice to remain at its climatological state 172 disables a latent heating mechanism, and we will show that this leads to a larger surface warming in 173 summer than the simulations with interactive ice. We posit that this surplus warming is physically 174 meaningful; in addition to the radiative feedbacks associated with sea ice retreat, the absorption of 175 latent heat by melting ice influences the surface temperature response, and we quantify this effect 176 via mechanism denial. Moreover, since the component responses sum to the total response with 177 interactive sea ice—by construction with no residual—this effect is part of the total response. 178

## 179 2.2 Warming contribution method

The local atmospheric energy balance is used to quantify the contribution of physical mechanisms to spatial patterns of warming (Feldl and Roe 2013; Pithan and Mauritsen 2014; Goosse et al. 2018; Stuecker et al. 2018; Hahn et al. 2021):

$$F + (\lambda_p + \Sigma_i \lambda_i) \delta T_s + \delta AHT_d + \delta AHT_q + \delta SEB + \delta R_{res} = 0.$$
(6)

It includes radiative forcing (*F*), the radiative response associated with the Planck feedback ( $\lambda_p \delta T_s$ ) and with other climate feedbacks ( $\lambda_i \delta T_s$ ), anomalous dry and moist components of atmospheric heat transport ( $\delta$ AHT<sub>d</sub>, $\delta$ AHT<sub>q</sub>), anomalous surface energy budget ( $\delta$ SEB), and a residual term ( $\delta R_{res}$ ), all in units of W m<sup>-2</sup>. As a reminder, there is no ocean heat transport in the aquaplanet and hence no associated warming contribution. The warming contributions are obtained by dividing each term in Eq.(6) by the global- and annual-mean Planck feedback ( $\overline{\lambda_p}$ , in W m<sup>-2</sup> K<sup>-1</sup>).

$$\delta T_s = -\frac{F}{\overline{\lambda_p}} - \frac{\lambda_p' \delta T_s}{\overline{\lambda_p}} - \frac{\Sigma_i \lambda_i \delta T_s}{\overline{\lambda_p}} - \frac{\delta AHT_d}{\overline{\lambda_p}} - \frac{\delta AHT_q}{\overline{\lambda_p}} - \frac{\delta SEB}{\overline{\lambda_p}} - \frac{\delta R_{res}}{\overline{\lambda_p}}$$
(7)

<sup>189</sup> where  $\lambda'_p = \lambda_p - \overline{\lambda_p}$  is the departure of the Planck feedback from its global, annual mean. The <sup>190</sup> surface energy budget term is given by the change in surface radiative and turbulent energy <sup>191</sup> fluxes and represents, in the aquaplanet, changes in ocean heat uptake. We calculate the monthly <sup>192</sup> contributions for each term. The winter is defined as December to February (DJF) for the northern <sup>193</sup> polar regions (60° to 90°), while the summer is defined as June to August (JJA). The range of <sup>194</sup> tropics is within 30°.

<sup>195</sup> Climate feedbacks are calculated using the radiative kernel method (Shell et al. 2008; Soden <sup>196</sup> et al. 2008), which decomposes the changes in TOA radiation into the contribution of individual <sup>197</sup> climate variables. We calculate a temperature kernel for the gray radiation scheme and, separately, <sup>198</sup> temperature and water vapor kernels for RRTM. Each kernel calculation is based on the respective <sup>199</sup> control climate with interactive sea-ice simulated at 8x daily resolution. Temperature is perturbed <sup>200</sup> by 1 K in each atmospheric layer and at the surface, and specific humidity by the logarithm of <sup>201</sup> the anomaly corresponding to a 1-K warming, assuming constant relative humidity. The resulting monthly kernels are weighted by the pressure thickness of each layer relative to 100 hPa, such that the units are W m<sup>2</sup> K<sup>-1</sup> 100 hPa<sup>-1</sup>. The ice-albedo feedback is calculated using the approximate partial radiative perturbation (APRP) method (Taylor et al. 2007) instead of the kernel method.

Following Donohoe et al. (2020), the seasonal total atmospheric heat transport (AHT) is calculated by the difference between monthly TOA and surface energy fluxes

$$AHT(\phi) = 2\pi a^2 \int_{-\frac{\pi}{2}}^{\phi} (F_{\text{TOA}} - F_{\text{sfc}} - \text{Storage}_{\text{atm}}) \cos \phi' \, d\phi'$$
(8)

where  $F_{\text{TOA}}$  and  $F_{\text{sfc}}$  are downward TOA radiative flux and the surface energy flux, respectively, and *a* is the radius of Earth. The atmospheric energy storage term (Storage<sub>atm</sub>) is governed by the vertical integral of sensible and latent heat:

$$\text{Storage}_{\text{atm}} = \frac{1}{g} \int_0^{P_s} \frac{d}{dt} (c_p T + Lq) \, dp \tag{9}$$

where  $c_p$  is the specific heat of air at constant pressure and *L* is the latent heat of vaporization. The seasonal moist atmospheric heat transport (AHT<sub>q</sub>) is calculated by monthly evaporation (*E*) minus precipitation (*P*):

$$AHT_{q}(\phi) = 2\pi a^{2} \int_{-\frac{\pi}{2}}^{\phi} L(E - P - Storage_{atm,q}) \cos \phi' d\phi'$$
(10)

$$\text{Storage}_{\text{atm,q}} = \frac{1}{g} \int_0^{P_s} \frac{dq}{dt} \, dp. \tag{11}$$

<sup>213</sup> The dry component is determined by the difference between total and moist AHT:

$$AHT_{d}(\phi) = AHT(\phi) - AHT_{q}(\phi).$$
(12)

The global mean value of each term in Eq.(8) and Eq.(10) are removed to ensure zero transport at poles.

## 216 **3. Results**

#### 217 3.1 Warming pattern and its seasonality

The anomalous air temperature is decomposed into the warming associated with  $CO_2$ , with 218 the water vapor radiative effect, and with sea ice loss (Fig. 2). In the annual mean, the total 219 response (Fig. 2a) presents a classic global warming pattern, including tropical warming in the 220 upper troposphere and polar amplification. The former is mainly contributed by the response to 221 the water vapor radiative effect (Fig. 2c), and the latter is mainly contributed by the response to 222 sea ice loss (Fig. 2d). The response to sea ice loss (Fig. 2d) is dominated by the response to sea 223 ice retreat (Fig. 2e), which presents a strong surface-amplified and polar-amplified warming. By 224 construction, the individual responses add to the total response with no residual warming. Fig. 3 225 shows the seasonal anomalous surface temperature. As expected, the surface manifestation of the 226 polar-amplified atmospheric warming occurs during winter (Fig. 3a). Since the responses to CO<sub>2</sub> 227 and water vapor radiative effect (Fig. 3b,c) have no apparent change throughout the seasonal cycle, 228 the seasonality of polar amplification is dominated by the response to sea ice loss (Fig. 3d). 229 The asymmetric surface temperature pattern includes summertime cooling and wintertime warm-236

<sup>237</sup> ing, which is comprised of the response to sea ice retreat and response to sea ice thinning. The



FIG. 2. Zonal and annual mean atmospheric temperature response (K) to (a) all drivers, (b)  $CO_2$ , (c) water vapor radiative effect, (d) sea ice loss, (e) sea ice retreat, and (f) sea ice thinning.



FIG. 3. Zonal mean seasonal surface temperature response (K) to (a) all drivers, (b)  $CO_2$ , (c) water vapor radiative effect, (d) sea ice loss, (e) sea ice retreat, and (f) sea ice thinning. Solid contours show the ice edge, defined where ice fraction is marginally non-zero (i.e., 1 %), in the  $RRTM_{ice}$  control (blue) and  $4 \times CO_2$  (red) simulations.

strong wintertime polar amplification presented in response to sea ice retreat (Fig. 3e) is initiated 238 by ice-albedo feedback, which will be discussed in section 3.3. The response to sea ice thinning 239 (Fig. 3f) presents summer cooling and winter warming. In summer, latent heating associated with 240 sea ice melt is expected to inhibit the surface warming. The ice-locking simulation excludes this 241 physical process, allowing a greater temperature increase with CO<sub>2</sub> forcing. Thus, the difference 242 between the simulation in which only ice fraction is locked and the simulation in which ice thickness 243 and fraction are locked presents a summer cooling response. In winter, for the surface temperature 244 below the freezing point, increased conductive heat flux caused by thinning ice (not shown) results 245 in surface warming, consistent with Hahn et al. (2022). The latent heat absorption by melting ice 246 and increased conduction through thinner ice together explain the asymmetric seasonality of polar 247 surface temperature when the climate warms. 248

#### 249 3.2 Annual mean warming contribution

To thoroughly investigate the detailed mechanisms of polar amplification characterized in the previous section, we use the warming contribution method (see section 2.2). Fig. 4 shows the

annual mean warming contributions associated with individual feedbacks and atmospheric energy 252 transports, with the total warming indicated by the black marker. The dominant contributor to 253 the total response is the ice-albedo feedback (Fig. 4a); in addition, the latent energy transport 254 contributes strongly to polar warming. The water vapor feedback and dry energy transport con-255 tribute to tropical warming. Unlike previous studies that consider lapse rate feedback a primary 256 role in polar amplification (Pithan and Mauritsen 2014; Goosse et al. 2018; Stuecker et al. 2018; 257 Boeke et al. 2021), it contributes little in our simulations. Consistent with this modest contribution, 258 the vertical warming structure (Fig. 2a) in our model is relatively uniform throughout the polar 259 troposphere. Though the warming profile is bottom-heavy in DJF (Fig. S2), which would be 260 expected to promote a positive lapse rate feedback, the RRTM radiative kernel in that season is 261 weak (not shown) and hence lower tropospheric warming has little impact of the TOA radiative 262 flux. The weak kernel is likely related to the idealizations of our model, in particular the lack of 263 clouds. The warming profile is top-heavy in JJA (Fig. S2), producing a seasonally negative lapse 264 rate feedback. 265

The tropical amplification due to the water vapor primarily comes from the response to water 273 vapor radiative effect, as does the increase in poleward latent energy transport (Fig. 4c). Physically, 274 increased water vapor in the tropics supports both a tropically amplified water vapor feedback and 275 an increase in poleward latent energy transport via an enhanced meridional humidity gradient. 276 Although the water vapor feedback contributes to tropical warming, the increasing poleward latent 277 energy transport contributes to polar warming concomitantly. Hence, the combination of the two 278 causes a nearly uniform surface warming that slightly supports polar amplification. Moreover, the 279 Planck and lapse rate feedbacks contribute negligibly here, illustrating that the main difference 280 between RRTM and gray radiative schemes is dominated by the water vapor radiative effect rather 281 than temperature feedbacks, as mentioned in section 2.1. 282

Sea ice loss (Fig. 4d) is the primary physical mechanism leading to polar amplification. The ice-albedo feedback plays the predominant role in polar amplification while the decreased poleward dry transport, consistent with the reduced meridional temperature gradient, contributes to tropical amplification. In addition, the enhanced water vapor feedback slightly supports tropical warming because of the strong moistening for a given warming in higher initial temperature regions from the Clausius-Clapeyron relation. Comparing Fig. 4e and Fig. 4f, we confirm that, in the annual

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FIG. 4. Annual mean warming contributions (K) to (a) the total surface temperature change and the surface temperature change due to (b)  $CO_2$ , (c) water vapor radiative effect, (d) sea ice loss, (e) sea ice retreat, and (f) sea ice thinning. Warming contributions are shown for forcing (F), albedo feedback (ALB), Planck feedback (PLK), lapse rate feedback (LR), water vapor feedback (WV), change in dry and moist atmospheric heat transport (AHT<sub>d</sub> and AHT<sub>q</sub>), surface energy budget (SEB), and residual term (Re). The contributors above (below) the one-to-one line contribute to polar (tropical) amplification. The polar region is defined as 60°N to 90°N and the tropical region is defined within 30°.

mean, the mechanisms that produce surface warming in response to sea ice loss arise entirely from
sea ice retreat. Sea ice thinning activates no annual-mean feedbacks.

## 291 3.3 Seasonal warming contribution

The seasonal polar warming contributions are calculated to identify the processes that promote polar amplification as a wintertime phenomenon (Fig. 5). In the total response (Fig. 5a), an increase in upward surface energy fluxes leads to winter warming. In contrast, the ice-albedo feedback and dry energy transport are the main contributors to summer amplification, and the rest



FIG. 5. Seasonal warming contribution (K) to (a) the total polar surface temperature change and the polar surface temperature change due to (b)  $CO_2$ , (c) water vapor radiative effect, (d) sea ice loss, (e) sea ice retreat, and (f) sea ice thinning. Warming contributions are shown for forcing (F), albedo feedback (ALB), Planck feedback (PLK), lapse rate feedback (LR), water vapor feedback (WV), change in dry and moist atmospheric heat transport (AHT<sub>d</sub> and AHT<sub>q</sub>), surface energy budget (SEB), and residual term (Re). The contributors above (below) the one-to-one line contribute to winter (summer) amplification. The polar region is defined as 60°N to 90°N. Note that the axes in b and c are different from the others.

of the contributors play a minor role. This pattern of the surface energy budget overcompensating the ice-albedo feedback and dry energy transport arises from the response to sea ice loss (Fig. 5d), and specifically the response to sea ice retreat (Fig. 5e). Physically, the ice-albedo feedback contributes to summer warming; however, it is balanced by ocean heat uptake, and the release of energy back to the atmosphere results in polar amplification during winter. The mechanism of seasonal ocean storage and release triggered by summertime ice-albedo feedback has also been found in fully coupled models (Feldl et al. 2020; Hahn et al. 2021; Jenkins and Dai 2021). The decrease in dry energy transport stems from the reduced meridional temperature gradient associated
 with wintertime polar warming.

The surface energy budget in the polar region (poleward of  $60^{\circ}$ N) is further decomposed to 312 provide insights into the mechanisms of seasonal ocean-atmosphere exchange associated with sea 313 ice loss (Fig. 6). When sea ice retreats, the ocean absorbs a large amount of surface shortwave 314 flux during summer (blue line in Fig. 6b) and releases heat during winter. The enhanced winter 315 warming heats the lower atmosphere through both turbulent heat flux (latent and sensible heat flux) 316 and longwave radiation. The seasonal surface energy budget response to sea ice loss is consistent 317 with the ECHAM6 slab ocean model (Shaw and Smith 2022) and CESM1 coupled model (Jenkins 318 and Dai 2021). In contrast, sea ice thinning has no equivalent shortwave absorption, and the 319 small summer downward and winter upward anomalous longwave and turbulent heat flux (Fig. 6c) 320 are induced by the summer cooling and winter warming pattern in response to sea ice thinning. 321 Specifically, the response to sea ice thinning produces moderate winter amplification stemming 322 from the surface energy balance term (Fig. 5f), which is consistent with an increased conductive 323 heat flux through ice in winter and suppressed warming in summer. As discussed in Section 3.1, 324 the suppression of summer warming manifests here as a surface cooling because of the strong 325 warming in the ice-locking simulations. Summer cooling also slightly increases the poleward dry 326 energy transport. 327

The seasonal response to water vapor radiative effect (Fig. 5c) is much smaller than that to 328 sea ice loss (note the different axes in Fig. 5b and 5c). Intriguingly, the cancellation between 329 the water vapor feedback and latent energy transport previously identified in the annual mean 330 analysis is also evident in the seasonal polar warming. We interpret this in terms of seasonal 331 vertically integrated atmospheric humidity changes in response to the water vapor radiative effect. 332 As shown in Fig. 7, the water vapor changes feature enhanced moisture in summer and an increased 333 meridional humidity gradient in winter. The change in meridional humidity gradient at 60°N is 334 0.0041 g/m<sup>2</sup>/km in DJF, compared to 0.0023 g/m<sup>2</sup>/km in JJA. Though the water vapor feedback 335 and increased latent energy transport warm the polar regions in all seasons, the former is stronger 336 in summer than winter, while the latter is stronger in winter than summer, leading to no seasonal 337 asymmetry in polar warming in response to water vapor radiative effect (black circle in Fig. 5c 338 falls on 1:1 line). From a global perspective, this response is tied to the seasonal progression of 339

<sup>340</sup> humidity changes, as the moistening tropics shift poleward toward the summer hemisphere and
<sup>341</sup> away from the winter hemisphere. Lastly, the seasonal contributions of Planck and lapse rate
<sup>342</sup> feedbacks are extremely small, as mentioned in section 2.1 and consistent with our interpretation
<sup>343</sup> of this response as due to the water vapor radiative effect.



FIG. 6. The decomposition of seasonal surface energy budget (W m<sup>-2</sup>) response to (a) all drivers, (b) sea ice retreat, and (c) sea ice thinning in the polar region. The surface energy budget is comprised of shortwave (SW, blue), longwave (LW, green), and turbulent heat flux (latent and sensible heat, LH+SH, red). Downward is defined as positive.



FIG. 7. Zonal mean seasonal vertically integrated specific humidity  $(g/m^2)$  response to water vapor radiative effect. The red line marks  $60^{\circ}$ N.

#### 350 3.4 Energy transport

To further understand the role of remote interactions with polar amplification, the annual mean and seasonal atmospheric energy transport are diagnosed. Fig. 8 shows the annual mean northward atmospheric energy transport. In the total response (Fig. 8a), the total poleward atmospheric energy

transport into the polar regions (at  $60^{\circ}$ ) is close to zero, with dry and moist components tending to 357 compensate one another, consistent with Hwang et al. (2011) and Graversen and Langen (2019), 358 even without oceanic energy transport in our models. By separating the atmospheric heat transport 359 into component responses, we find that the  $CO_2$  and water vapor radiative effect (Fig. 8b and 8c) 360 explain the increase in total heat transport, and the sea ice retreat (Fig. 8e) explains the decrease. 361 This behavior is due to an overcompensation by the latent energy transport in response to  $CO_2$ 362 and water vapor radiative effect and an overcompensation by the dry energy transport in response 363 to sea ice retreat. Audette et al. (2021) further explain the decrease in poleward heat transport in 364 response to sea ice loss as a warming of the returning moist isentropic circulation at high latitudes, 365 while sea surface warming instead strengthens the moist isentropic circulation and hence poleward 366 heat trasnport. Sea ice thinning does not strongly impact annual-mean poleward energy transport 367 (Fig. 8f). 368

Fig. 9 and Fig. 10 show the seasonal poleward dry and moist components of atmospheric heat transport. The seasonality of dry energy transport in the total response (Fig. 9a) in the mid to high latitudes arises from the response to sea ice loss (Fig. 9d), with a substantial winter decrease



FIG. 8. Annual mean northward atmospheric energy transport (PW) response to (a) all drivers, (b) CO<sub>2</sub>, (c) water vapor radiative effect, (d) sea ice loss, (e) sea ice retreat, and (f) sea ice thinning. Total, dry, and moist component are shown in black, red, and blue, respectively.

associated with sea ice retreat (Fig. 9e) and a summer increase and winter decrease associated with 372 sea ice thinning (Fig. 9f). The seasonal pattern of dry energy transport is highly connected to the 373 seasonal warming pattern. The strong winter weakening in response to sea ice retreat results from 374 the strong winter amplification of polar warming (Fig. 3e). The weaker summer strengthening 375 and winter weakening in response to sea ice thinning trace back to the weaker summer cooling and 376 winter warming (Fig. 3f). In sum, the dry energy transport changes can be interpreted in terms 377 of the seasonal polar warming in a straightforward manner. For total changes in latent energy 378 transport (Fig. 10a), the seasonality in the mid to high latitudes is dominated by the water vapor 379 radiative effect (Fig. 10c). In particular, the slight winter maxima that emerges in the absence of 380 sea ice loss is consistent with the seasonally enhanced meridional moisture gradient (Fig. 7), and 381 is also apparent in the change in northward energy transport at  $60^{\circ}N$  (Fig. S3). 382



FIG. 9. Seasonal northward dry component of atmospheric energy transport (PW) response to (a) all drivers, (b) CO<sub>2</sub>, (c) water vapor radiative effect, (d) sea ice loss, (e) sea ice retreat, and (f) sea ice thinning.

## **4.** Summary and Discussion

We use the Isca modeling framework (Vallis et al. 2018) coupled to a thermodynamic sea ice model (Semtner 1976; Zhang et al. 2022) to investigate the cause of polar amplification forced by increased greenhouse gas concentrations. By comparing the model with different radiative schemes and sea ice locked to its seasonally varying climatological control state, we separate the



FIG. 10. Seasonal northward moist component of atmospheric energy transport (PW) response to (a) all drivers, (b) CO<sub>2</sub>, (c) water vapor radiative effect, (d) sea ice loss, (e) sea ice retreat, and (f) sea ice thinning.

total climate response into the response to CO<sub>2</sub>, water vapor radiative effect, sea ice retreat, and sea ice thinning. Furthermore, for each response, a feedback analysis is performed to quantify the warming contributions associated with particular physical processes, such as the ice-albedo feedback, the water vapor feedback, ocean heat uptake, and dry and latent atmospheric energy transports.

The ice-albedo feedback plays the dominant role in polar amplified warming. The summertime 397 ice-albedo feedback contributes to wintertime polar amplification through ocean heat uptake and 398 release. Accompanied by the exposed ocean, the enhanced upward longwave and turbulent heat 399 flux during winter heats the lower troposphere and induces a strong decrease in poleward dry 400 energy transport and a weakly positive polar lapse rate feedback. The minor contribution of the 401 lapse rate feedback to polar warming, in contrast to previous studies (Pithan and Mauritsen 2014; 402 Goosse et al. 2018; Stuecker et al. 2018; Boeke et al. 2021), likely results from more uniform 403 atmospheric warming in our idealized model and a weak TOA radiative response to that warming 404 (i.e., a weak temperature kernel). A more realistic lapse rate feedback may support a stronger polar 405 amplification via the response to sea ice retreat, especially in winter, offset to some extent by a 406 correspondingly larger decrease in dry energy transport. However, we do not expect it to become 407 a strong contributor to the response to water vapor radiative effect, which exhibits only modest 408

<sup>409</sup> surface-amplified warming in high latitudes (Fig. 2c). Overall, these ocean-atmosphere coupled
<sup>410</sup> mechanisms are consistent with previous studies: The seasonal polar amplification is supported by
<sup>411</sup> a more positive winter lapse rate feedback (Boeke et al. 2021; Feldl et al. 2020) and reduced by a
<sup>412</sup> decreasing poleward dry energy transport (Jenkins and Dai 2021) via increased sensible and latent
<sup>413</sup> heat fluxes into the lower atmosphere in winter (Boeke and Taylor 2018; Dai et al. 2019; Feldl et al.
<sup>414</sup> 2020; Shaw and Smith 2022).

Though sea ice thinning does not contribute to annual-mean polar amplification or substantial 415 poleward energy transport changes, it does shape the seasonal climate changes. We interpret the 416 suppressed summer warming that occurs in response to sea ice melt as a consequence of latent heat 417 absorption, while winter sea ice thinning causes warming through increasing conductive heat flux. 418 Sea ice thinning thus drives a stronger seasonality of polar warming, consistent with more idealized 419 studies using an energy balance model and gray radiation GCM in Feldl and Merlis (2021) and a 420 single-column sea ice model in Hahn et al. (2022). Furthermore, the seasonal temperature pattern 421 induced by sea ice thinning, which can only be simulated in a sea ice model that includes ice 422 thermodynamics, contributes to seasonality in the dry energy transport changes. A unique aspect 423 of our study is that, by separately locking ice thickness and fraction and locking ice fraction alone, 424 we are able to cleanly isolate and quantify the effect of ice albedo changes and ice thinning on the 425 surface temperature and atmospheric energy transport response across the seasonal cycle. 426

The water vapor feedback is widely considered a tropical amplification contributor (Pithan and 427 Mauritsen 2014; Goosse et al. 2018; Hahn et al. 2021). However, we find that the poleward 428 latent energy transport induced by enhanced water vapor at lower latitudes contributes to polar 429 amplification. The magnitude of the contribution of increased latent energy transport is comparable 430 to (even slightly larger than) that of the water vapor feedback in our annual mean analysis, leading 431 to a net polar amplification. These results are supported by Beer and Eisenman (2022), who 432 apply a feedback locking method in a moist energy balance model and find that the water vapor 433 feedback becomes the primary factor of polar amplification, due to its interaction with other positive 434 feedbacks. Russotto and Biasutti (2020) also conclude that latent energy transport, interacting with 435 water vapor feedback, plays an essential role in polar amplification. For our seasonal polar warming 436 diagnosis, summer amplification by the water vapor feedback is compensated by its induced increase 437 in poleward latent energy transport, which is larger in winter. Since this mechanism is illuminated 438

<sup>439</sup> by ice-locking simulations, the water vapor feedback is precluded from causing sea ice loss in <sup>440</sup> our diagnostic framework, though, because it warms the polar regions in summer, it may help to <sup>441</sup> initiate the ice-albedo feedback. Notably, sea ice retreat provokes only small changes in latent <sup>442</sup> energy transport in our annual mean and seasonal analysis, with the largest transport increase into <sup>443</sup> the Arctic in late summer (Fig. S3e).

The increase in latent energy transport into polar regions in our simulations is largely seasonally 444 invariant. The total response of Arctic transport is comprised of a modest winter peak in response 445 to the water vapor radiative effect and a modest late summer peak in response to sea ice retreat 446 (Fig. S3). This suggests that the summer maximum in latent energy transport increases identified 447 in previous studies (McCrystall et al. 2021; Kaufman and Feldl 2022) is associated at least in 448 part with sea ice loss. However, we also note that our idealized aquaplanet configuration includes 449 a 60-m deep slab ocean and hence has a higher heat capacity than a model with continents. A 450 higher heat capacity leads to a delayed phase shift of the tropical temperature maximum (Dwyer 451 et al. 2012), the tropical moisture maximum (via the Clausius-Clapeyron relation), and all else 452 equal, the midlatitude moisture gradient. In response to the water vapor radiative effect, for 453 instance, a shallower mixed layer would likely produce a somewhat earlier peak in the latent energy 454 transport increase. While this may lead to stronger, summer-dominated seasonality of latent energy 455 transport increase in the total response, we do not expect it to alter our main result that the water 456 vapor radiative effect produces seasonally symmetric polar warming, because the peak water vapor 457 feedback would also be shifted to earlier in the season. 458

The idealized GCM used in this study does not include a representation of clouds and hence 459 our analysis omits the cloud feedback. Only about half of the literature supports that cloud 460 feedback contributes to polar amplification, while the other half supports that it contributes to 461 tropical amplification or is unsure (Previdi et al. 2021). This uncertainty comes from the complex 462 interaction between clouds and other processes. Low cloud formation by increasing turbulent heat 463 fluxes over the newly exposed ocean enhances the downward longwave flux (Kay and Gettelman 464 2009), which may lead to stronger polar warming in fall and winter. The condensational heating of 465 clouds by increasing turbulent heat fluxes during winter also mediates the impact of sea ice loss on 466 the vertical structure of Arctic warming (Kaufman and Feldl 2022). Clouds may also enhance the 467 impact of latent energy transport increases through their longwave effect, contributing to winter 468

<sup>469</sup> polar warming (Taylor et al. 2022; Dimitrelos et al. 2023). Besides the local impacts, the negative
 <sup>470</sup> shortwave cloud feedback in the polar region may strengthen the poleward energy transport by
 <sup>471</sup> increasing the meridional temperature gradient.

In conclusion, we separate the effect of CO<sub>2</sub>, water vapor, sea ice retreat, and sea ice thinning 472 to polar amplification in a hierarchy of idealized models. We confirm that the ice-albedo feedback 473 is the primary factor in annual-mean polar warming, ocean heat uptake accounts for the seasonal 474 delay, and the dry atmospheric heat transport is a passive response to surface warming patterns in all 475 cases. Sea ice loss is the essential physical process in shaping the seasonality of polar warming, with 476 about 57% of winter amplification contributed by sea ice retreat and 43% of winter amplification 477 contributed by sea ice thinning (calculated as the distance from the 1:1 line in Fig. 5e,f). Both 478 the water vapor feedback and latent energy transport changes are manifestations of a preferential 479 tropical and summer-hemisphere increase in humidity, with the winter hemisphere experiencing a 480 corresponding increase in the meridional moisture gradient. Thus the secondary contribution of 481 latent energy transport to annual-mean polar amplification is intrinsic to the tropical amplification 482 effect of the water vapor feedback. Our results highlight the importance of the interaction between 483 feedbacks and atmospheric energy transports on the seasonality polar amplification, and thus 484 improve understanding of its mechanisms. 485

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<sup>492</sup> *Data availability statement*. Data and code supporting this study will be made available at <sup>493</sup> https://github.com/pochunchung/Isca upon publication.

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