

# Independent estimates of carbon stocks in croplands: UAV-LiDAR and machine learning vs. eddy-covariance

Jaime C. Revenga<sup>1</sup>, Katerina Trepekli<sup>1</sup>, Rasmus Jensen<sup>1</sup>, Pauline S. Rummel<sup>1</sup>,  
Thomas Friborg<sup>1</sup>

<sup>1</sup>Department of Geosciences and Natural Resources Management (IGN), Copenhagen University, Denmark  
<sup>1</sup>Østervolgade, 10, 1350 Copenhagen, Denmark

## Key Points:

- The plant carbon budget in croplands estimated from UAV-LiDAR and machine learning regression is comparable with the carbon ecosystem uptake estimated via the eddy covariance technique.
- The relative match between the UAV-based method and the flux-based method along the two growing seasons ( $2.5 \pm 10.4$  % in 2020, and  $-9.0 \pm 13.3$  % in 2021) indicates that the UAV-based method is a valuable tool for plant carbon stock assessments, adaptive crop management practice and nutrient cycling studies in croplands.
- The presented method has the potential to estimate cumulative CO<sub>2</sub> fluxes over areas not covered by direct eddy-covariance flux measurements.

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Corresponding author: Jaime C. Revenga, contact: [jar@ign.ku.dk](mailto:jar@ign.ku.dk)

19 **Abstract**

20 Understanding sequestration of organic carbon (C) in agroecosystems is of primary importance  
21 for greenhouse gas (GHG) accounting in managed ecosystems, as well as to allow informed land  
22 use management. However, a broader application of precise C accounting is currently constrained  
23 by a limited number of direct flux measurements. Aside well-studied ecosystems (via the eddy-  
24 covariance technique), many still bear significant margins of uncertainty. In this study, we aim to  
25 qualify a new method for estimating accumulated C stocks in agriculture sites, by predicting the  
26 above-ground carbon (AGC) of vegetation throughout the growing season using mobile platforms  
27 and machine learning (ML) regression methods. Then, we benchmark these estimates with  $CO_2$   
28 fluxes derived from the eddy-covariance method from the ICOS DK-Vng site in Denmark. We  
29 utilized a light detection and ranging (LiDAR) sensor onboard an unstaffed aerial vehicle (UAV)  
30 to derive the geometrical characteristics of crops, and we conducted in parallel destructive field-  
31 based measurements of AGC. Then, a ML pipeline was designed to provide estimates of AGC as  
32 a supervised regression problem, using the LiDAR-derived point cloud data as predicting features  
33 and the AGC labels as ground-truth target values. The ML model attained predictions of  $R^2 =$   
34  $0.71$  and  $R^2 = 0.93$  at spatial resolutions of  $1\ m^2$  and  $2\ m^2$ , respectively. The C content in the  
35 above-ground plant components was assessed via laboratory analysis ( $46.6 \pm 0.3\%$  of C-to-biomass  
36 in barley and  $47.7 \pm 0.3\%$  in wheat), while the below-ground components (root allocation and  
37 rhizodeposition) were estimated based on a phenology-dependent allometric ratio. The cumulative  
38 value of C uptake along the growing season (i.e. NPP) was compared with the difference of C  
39 predictions between every two UAV-LiDAR survey dates, finding an optimal disagreement between  
40 methods below  $\pm 10\%$  in two different crop types. Various experimental set-ups are evaluated as  
41 well as the sources of uncertainty issued from the sampling design.

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43 **This PREPRINT manuscript is currently being considered for formal peer-reviewed**  
44 **publication. Please note that, this is the submitted version of the study, and has yet**  
45 **to be formally accepted. Subsequent versions of this manuscript may present slight**  
46 **differences in content. If accepted, the final version of this manuscript will be**  
47 **updated with the accepted manuscript. This preprint will also be linked to the**  
48 **formal publication via its Digital Object Identifier (DOI). Please, feel free to contact**  
49 **any of the authors; we welcome feedback.**

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**= Introduction =**

The agricultural sector is the world's second-largest greenhouse gas (GHG) emitter, after the energy sector, accounting for a quarter of total global anthropogenic GHG emissions [1]. While agriculture is a driver of climate change, the observed climate alterations have in turn challenged the global crop productivity in the last decades [2, 3]. In the absence of technological adaptations and dedicated mitigation measures [4], the environmental effects of agricultural activities could increase by 50–90 % [5], and the global crop productivity might be reduced by 17 % by 2050 [6]. In most countries, the accounting of emissions for land-use and agriculture relies on simple upscaling of standardized values, with little to no data-driven validation procedures. This is hindering most accurate accounting as well as attaining efficient and precise solutions.

Monitoring carbon (C) sequestration and CO<sub>2</sub> emissions from croplands is a prerequisite for an effective design of sustainable agricultural management practice. It targets the reduction of agriculture's impact on the environment and improves the quantification of crops' carbon footprints, while optimizing crop yield [7]. However, the adoption of climate-resilient and low-emission practices in agriculture has not yet reached the recommended levels [5].

In a changing climate, different geographical locations exhibit contrasting extreme weather events such as high temperatures, drought or heavy precipitation, varying shifts in timing and length of growing seasons, or heat stress via temperature increases [8], highlighting the necessity to quantify carbon sequestration capacity with methods and technologies tailored to specific ecosystems' conditions. In this context, precision agriculture has been acknowledged as a promising set of methods in sustainable intensification programs, in order to close yield gaps [9, 10, 11] while reducing GHG emissions. In the last decades, precision agriculture has been successfully implemented for optimizing crop yield by monitoring variations of crop health status, above ground biomass, water availability, soil quality, or nutrient supply but the monitoring of carbon stock dynamics at fine spatio-temporal resolution and at the farm scale remains challenging.

The standard framework to account for the transit of atmospheric CO<sub>2</sub> at the ecosystem scale is the net ecosystem exchange (NEE, Figure 1) [12], which is the net exchange of CO<sub>2</sub> fluxes at the atmosphere-biosphere interface. NEE is calculated as the difference between CO<sub>2</sub> uptake (i.e. gross primary productivity, GPP) and release of CO<sub>2</sub> representing the ecosystem respiratory losses ( $R_{eco}$ ) [12]. Another commonly used magnitude in ecosystem budgeting is the net primary productivity (NPP) that, compared to NEE, does not explicitly include soil-derived fluxes and heterotrophic respiration, therefore reflecting the photosynthetic productivity of vegetation alone [13]. Thus, NPP is the most direct surrogate measure for plant growth provided by the flux-based eddy covariance framework. Many studies in different regions have reported large inter-annual variability of NEE in croplands, acting either as carbon sinks [14], as sources [15, 16], or as relatively neutral [17]. This divergence in assessments suggest a limited understanding and an opportunity for enhancement in the methods employed.

In carbon budgeting at the ecosystem scale, it is advisable to report a range of confidence levels for C estimates, rather than targeting a specific value [18]. This is due to the fact that ecosystem-level estimates are bound to co-occurring complex phenomena, so that it is necessary to count on certain assumptions (e.g. negligible levels of lateral carbon fluxes and heterotrophic respiration, atmospheric turbulence conditions reached, etc.) which may compromise estimates' accuracy.

94 In order to assess the consistency of the net ecosystem carbon balance (NECB), established  
95 approaches involve comparing a measured quantity (e.g. NEE) obtained at the same temporal  
96 and spatial scale using independent methods [19, 20, 21, 22, 23]. This can be done via either: (i)  
97 micrometeorological methods to assess the ecosystem-atmosphere fluxes; (ii) inventories of stock  
98 changes in the biomass and soil; or (iii) bottom-up modelling of ecophysiological processes from  
99 chamber measurements (leaves, stems, roots and soil). Such a consistency assessment requires that  
100 all NECB components are estimated during the same time intervals [24].

101 The components of the NECB are in practice directly measured by the eddy-covariance (EC)  
102 technique or derived from such measurements [25], which is to date the state-of-the-art for obtaining  
103 ecosystem-level flux estimates. However, there are limitations associated with the EC method,  
104 namely, being (i) bound to local measurements and (ii) the use of fixed and costly instrumentation.  
105 This entails the need to assume that such areas are representative of ecosystem types. However,  
106 observational gaps exist, and single ecosystem types may not be sufficiently representative of  
107 ecosystem functioning under diverse environmental conditions and management practices. Hence,  
108 there is a requirement for improved flexibility of methods. It is in this context that approaches  
109 based on mobile platforms have shown to be of help [26, 27, 28, 29].

110 The main interest in advancing unstaffed aerial vehicle (UAV)-based methods lies in profiting  
111 from the flexibility and scalability that mobile platforms provide, thereby gaining independence  
112 from restrictions associated to the use of fixed instrumentation. In the last decade, UAV methods  
113 employed to monitor fluxes and crop status have provided significant advances. Hoffmann et  
114 al. (2016) [26] investigated the potential of UAV imagery-based estimates to provide crop water  
115 stress maps in barley fields. Recently, Hollenbeck and Chen (2021) [27] presented a method using  
116 multiple UAVs for assessing continuous flows inside a gas emission plume. Also, Hollenbeck et  
117 al. (2022) [28] proposed a method for quantifying ecosystem-based fluxes, by flying upwind and  
118 downwind the emission source point, and evaluating gradients. The integration of UAV-based data  
119 and ecosystem modelling has also been explored: Wang et al. (2020) [29] introduced a method for  
120 estimating interpolated land surface fluxes derived from a combination of UAV-based imagery and  
121 a dynamic soil-vegetation-atmosphere model. The findings revealed that the UAV-borne imagery  
122 proved useful in calibrating soil and vegetation parameters, ultimately achieving validated flux  
123 estimates (e.g. GPP) within 13-15% agreement with EC measurements.

124 In precision agriculture, UAV-based remote sensing is being increasingly applied to assist in  
125 above-ground biomass (AGB) and flux assessments due to the ability of UAV-borne sensors to  
126 capture the spatial distribution of land surface variables at high spatial resolution and flexible  
127 revisit times [30]. Moreover, UAV-based remote sensing has been shown to be a valid means to  
128 complement EC measurements for estimating fluxes under circumstances where data monitoring  
129 is limited [29]. To date, the majority of studies use UAV-photogrammetry (e.g. structure-from-  
130 motion techniques) to calculate AGB as a function of plant height metrics (e.g. maize [31, 32, 33],  
131 corn [34], rice [35], barley [36, 37] cotton [38], or winter wheat [39, 40]). Yet another line of research  
132 aims to assess AGB as a function of vegetation indices using spectrally resolved sensors in different  
133 cash and food crops (e.g. spring wheat [41], winter wheat [42, 43, 44], corn and soybean [45], and  
134 rice [46]).

135 More recently, the advent of mobile light detection and ranging sensors (UAV-LiDAR) has not  
136 only upgraded the spatial resolution of data sets, but also included the vertical component, creating  
137 volumetric data structures (i.e. point clouds). This has allowed to enhance crop phenotyping [47]

138 and map AGB in croplands at a sub-meter resolution [30] by leveraging the structural information  
 139 of vegetation from 3D point clouds. UAV-LiDAR have provided a workaround to previous obstacles  
 140 in UAV-based crop phenotyping, namely the spectral saturation in image-based vegetation indexes,  
 141 especially during maturity of crops.

142 The motivation of this study is to leverage the capabilities of UAV-LiDAR sensors together  
 143 with machine learning (ML) regression methods in order to provide estimates of plant C stocks in  
 144 croplands, thereby contributing to advancing current techniques in ecosystem C budgeting from  
 145 mobile platforms.

146 Here, we investigate the degree of agreement of two independent methods— (i) UAV-LiDAR  
 147 surveying of the temporal development in the C stock and (ii) flux-based EC measurements—in  
 148 obtaining concurrent estimates of assimilated atmospheric C stocks in a crop field, subject to a  
 149 cereal crop rotation scheme during two consecutive years. Specifically, we propose and evaluate a  
 150 method to estimate *in situ* plant C at the plot scale using UAV-LiDAR and a ML-based approach,  
 151 and compare the results obtained with the respective NPP during identical time intervals.

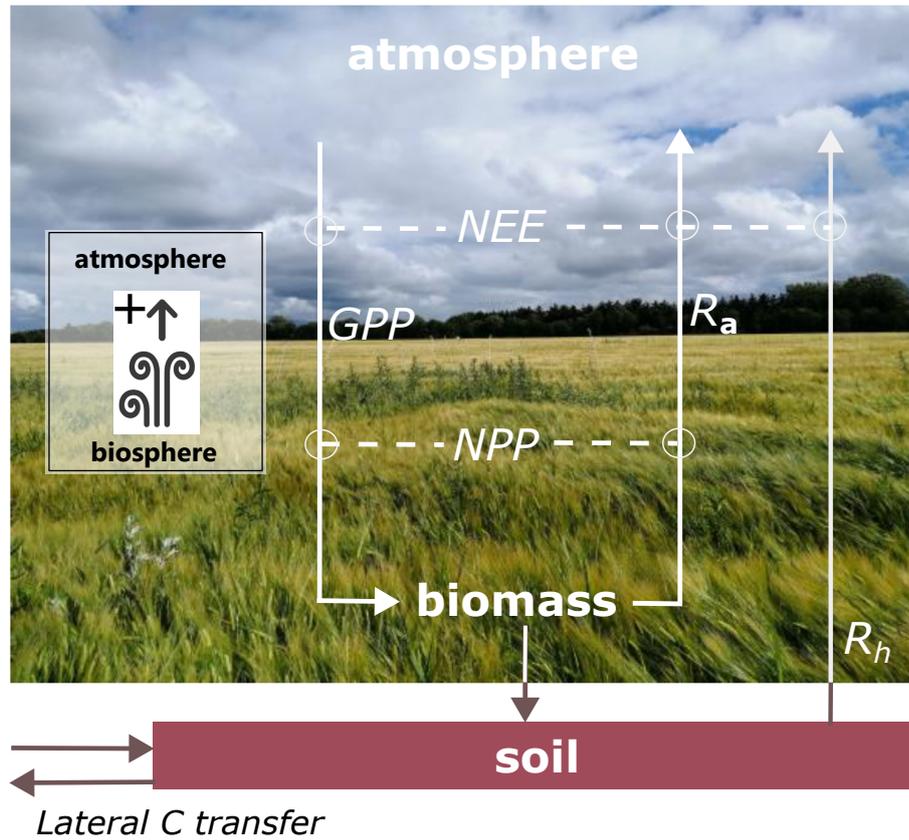
152 Results showed that the integration of LiDAR-based estimates to track the temporal growth  
 153 of C in crops may offer a valuable tool for expanding EC estimates across agricultural landscapes.  
 154 The contribution of this study to current research in C stocks in croplands lies in the proposal  
 155 and evaluation of a UAV-LiDAR method to estimate cumulative C fluxes along the crops' growing  
 156 season.

## 157 = Materials and Methods =

### 158 Study Area

159 The study area (Figure 2) is a conventionally managed cropland site located around an Inte-  
 160 grated Carbon Observation System (ICOS) [48] class-1 ecosystem station at Voulund, (DK-Vng)  
 161 in Mid-Jutland, Denmark (56.037476N, 9.160709E). Located on the eastern part of the Skjern  
 162 River catchment, covering an area of ca. 13 ha. The field is a flat plain at an altitude of 64-68 m  
 163 above mean sea level, with smooth undulations and a slight slope to the northwest. The ploughing  
 164 layer (30 cm deep) sits on a sandy soil (>99%) with pebble inclusions of ca. 3-5 diameter. The  
 165 water-table depth lies at  $5.5 \pm 1$  m below ground. The region presents a humid temperate climate  
 166 characterized by a mean annual precipitation of 961.0 mm, mean annual temperature of 8.1 °C,  
 167 and usually overcast or scattered cloud cover (mean annual incoming short-wave radiation of 108  
 168  $W/m^2$ ). For an insightful description of both functional and topographic characteristics of the  
 169 Voulund agricultural site, the reader is referred to Jensen et al. (2016) [17]

170 The crops investigated were spring barley (*Hordeum vulgare L.*) and winter wheat (*Triticum*  
 171 *aestivum L.*) during 2020 and 2021. The growing period of the barley crops lasted from the end of  
 172 04/2020 (seedling emergence) to the end of 08/2020 (harvest), following a similar cycle in the 2021  
 173 season. In 2021, the growing period of winter wheat extended from 01/2021 (seedling emergence)  
 174 until the end of 08/2021 (harvest). The conventional agricultural practice at the site included  
 175 the application of fertilizers in the form of pig slurry, according to ministerial regulations [49],  
 176 pesticides and fungicides along the growing season, as well as sufficient irrigation to prevent water  
 177 stress [17]. This corresponds to a maximum amount of fertilizer of 159 (N) and 21 (P) kg/ha and  
 178 202 (N) and 19 (P) kg/ha for spring barley and winter wheat, respectively.



**Figure 1.** Components of the net ecosystem carbon balance (NECB). The inset on the left indicates the sign convention for fluxes calculation. NEE: net ecosystem exchange. GPP: gross primary productivity. NPP: net primary productivity. NEE: net ecosystem exchange.  $R_a$ : autotrophic respiration.  $R_h$ : heterotrophic soil respiration. Lateral carbon transfer refers to human intervention (e.g. harvest, fertilization).

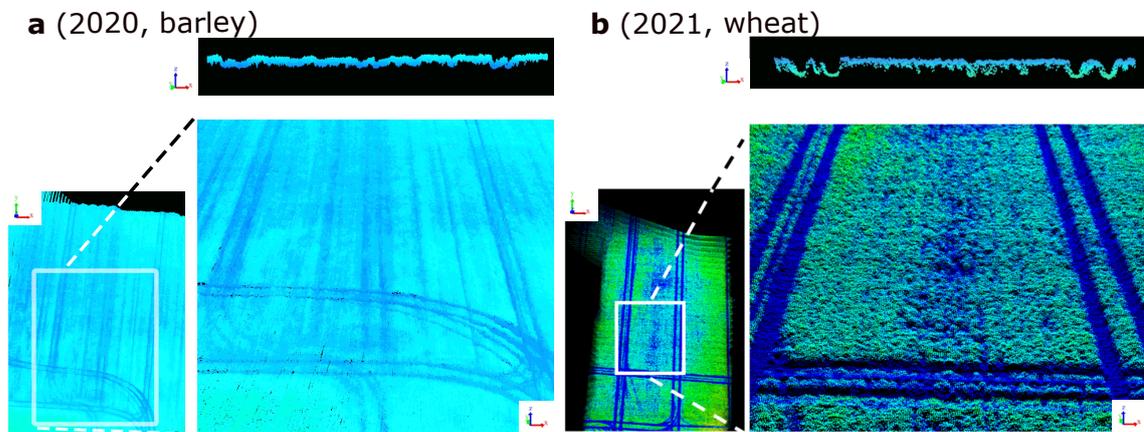
179 **UAV-LiDAR Survey and Point Cloud Data**

180 We used a UAV-borne LiDAR system mounted to a DJI Matrice 600 Pro payload at a 90° pitch  
 181 angle, and same heading and roll as the UAV platform. The system included a discrete infrared  
 182 LiDAR scanner (M8 sensor, Quanenergy Systems, Inc. Sunyvale, CA, USA) and the corresponding  
 183 industry standard inertial and navigation systems. In addition, we used a ground based differential  
 184 Global Positioning System (dGPS, Trimble R8) during the UAV-LiDAR survey, set up in post-  
 185 positioning kinematic (PPK) mode, which logged real-time satellite coverage (cf. Ravenga et al.  
 186 2022 [30] for details on the airborne and ground system). The coupling of the satellite coverage data  
 187 with the UAV-based laser and navigation data produced allowed the generation of georeferenced  
 188 point cloud data (PCD) scenes, following Davidson et al. (2019) [50]. We visualized the PCD  
 189 scenes of barley and wheat crops at maturity stage in Figure 3 (a and b, respectively). It can  
 190 be noted how the PCD scenes reflect a higher porosity in the crops of 2021, than in 2020. This  
 191 corresponds to a more sparse canopy structure in the second year than in the first (Figure 5, a.2  
 192 and b.2).



**Figure 2.** Location of the study site (★) in Mid-Jutland (DK). The inset shows a top-down view of the field site and the surrounding area. Source: [www.icos-cp.eu](http://www.icos-cp.eu) and Google Earth Engine.

193 UAV-LiDAR data were acquired according to the planned UAV-LiDAR survey at a height  
 194 of 40 m above ground level. Following a regular auto-pilot flight grid, we ensured a 20% overlap  
 195 between individual LiDAR scans of ca. 50 m width and 250 pp/m<sup>2</sup> (cf. Revenga et al. 2022 [30]  
 196 for additional details on applied flight parameters). The surveys were conducted during May-July  
 197 2020, and during April-July 2021, coinciding with the two growing seasons.



**Figure 3.** Point cloud data (PCD) scenes. The crops are portrayed at maturity stage. **a:** barley field, during 2020. **b:** wheat field, during 2021. The PCD scenes are colored by elevation. In both **a** and **b**, the upper panes show the cross section view of the PCD, with a buffer depth of 0.5 m. Axes  $x$ ,  $y$ , and  $z$ , indicate easting, northing, and elevation, respectively. It can be noted a higher PCD porosity in **b**, than in **a**, corresponding to more sparse crops and lower AGB values.

## Field Based Destructive Measurements of Above-ground Carbon

In order to acquire reference values of biomass (i.e. ground-truth labels) to provide supervision to the ML regression algorithms, samples of AGB were systematically collected from the field at random locations during the growing season (locations shown in Figure 4 and resulting data sets, size and dimensions, are described in Table 1). The AGB sampling procedure followed the ICOS protocol for ancillary vegetation measurements [51] in 2020. During 2021, this AGB sampling procedure was modified, in order to maximize data sample size and quality, with a limited fieldwork capacity. Therefore, in 2021, at each location, three adjacent individual samples were collected. In total, three separate data sets of AGB were produced (Table 1).

An additional AGB dataset in 2021 was produced, composed of *augmented* samples. The augmentation procedure consisted of adding adjacent AGB samples, and their corresponding UAV-LiDAR data samples, so that both the AGB label and the LiDAR counterparts could be recalculated from the resulting combined sample. This augmentation scheme is shown in Figure 4 (c). This procedure allowed to produce one larger dataset (specifically, with 4/3 times more data samples) at a spatial resolution of 0.35-0.52  $m^2$  (cf. Revenga et al. 2022 [30] for a detailed explanation of the augmentation procedure).

We considered the plant carbon content in two separate parts: (i) above-ground and (ii) root carbon components ( $AGC$  and  $root_C$ , respectively). AGB was harvested and measured at randomized locations within the study site, according to ICOS protocols [51], throughout the two growing seasons (Figure 4 shows the sampling locations of AGB). Then, the AGB samples were oven-dried for 72h at  $65^\circ$ , to assess the dry biomass weight. The carbon content associated was measured by a laboratory appointed by the ICOS Ecosystem Thematic Center (ICOS ETC). The plant C content was evaluated as the C-to AGB ratio measured at 16 specific locations from the leaf tissue, where 45 g of tissue from the uppermost and middle-height leaves at each location were sampled. In this way, the C to-AGB ratio was determined as  $46.6 \pm 0.3\%$  in spring barley, and  $47.7 \pm 0.3\%$  in winter wheat.

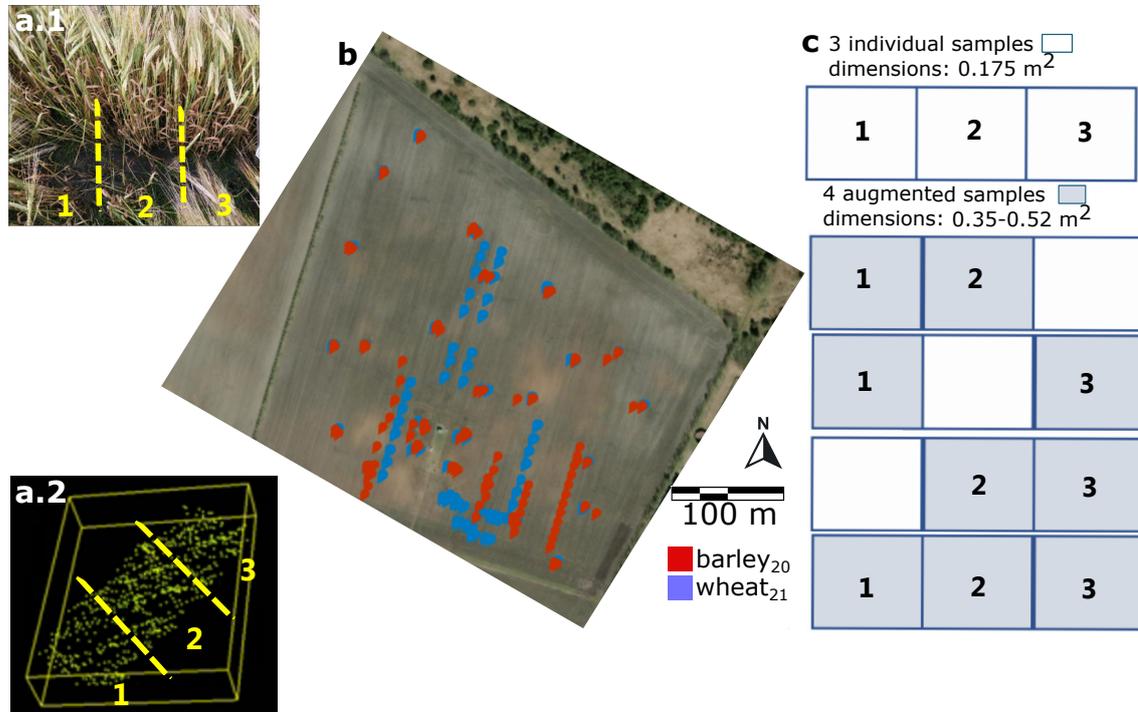
The resulting reference AGC values were resampled to 1  $m^2$  resolution. In such way, we obtained a distribution of surface-based ground-truth estimates of AGC density at a spatial resolution of 1  $m^2$ . Table 1 provides a comprehensive overview of the sample count and spatial dimensions AGC reference labels in this study. The spatial distribution of the AGC sampling points is visualized in Figure 4 (b).

**Table 1.** Description of above-ground carbon (AGC) data sets. The subindex *aug.*, refers to the *augmented* dataset.

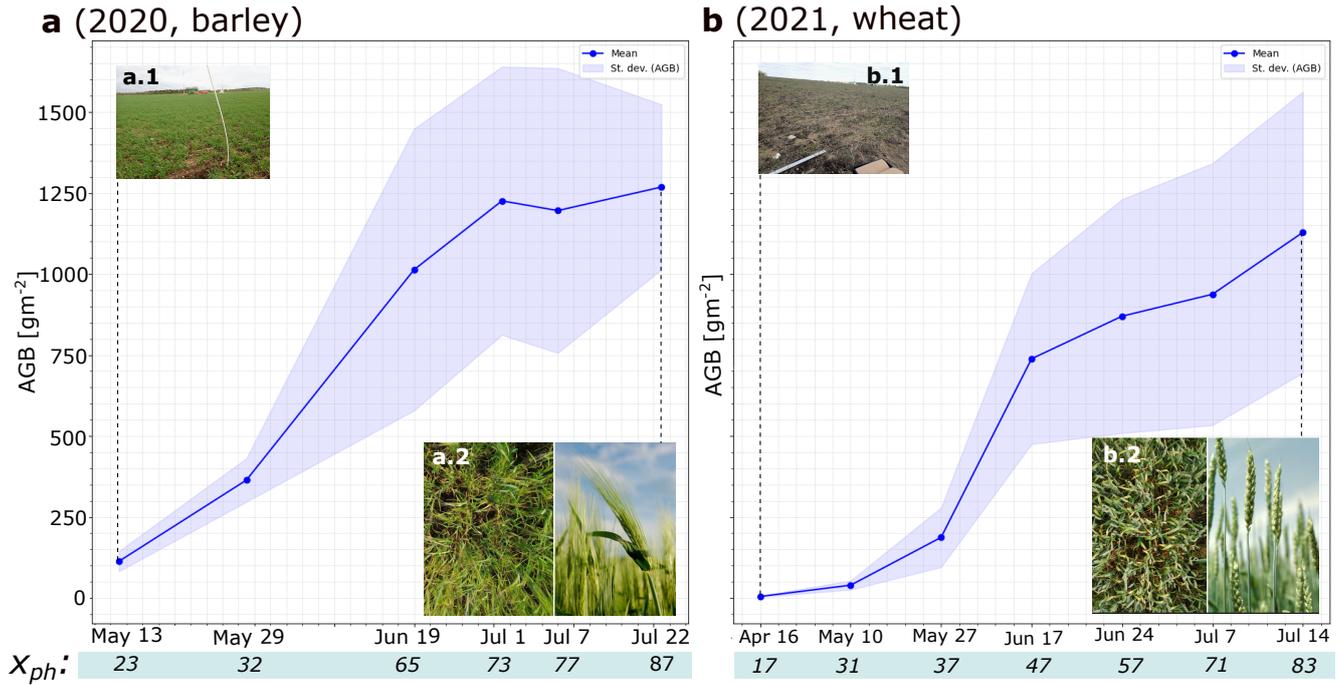
Growing season	Data set name	Number of samples	Sample dimensions (m)
2020	<i>barley</i> <sub>20</sub>	104	1 x 0.35
2021	<i>wheat</i> <sub>21</sub>	455	0.5 x 0.35
	<i>wheat</i> <sub>21,aug.</sub>	609	(1-1.5) x 0.35

Additionally, we considered the amount of photoassimilated C not stocked as plant biomass or respired back into the atmosphere, but translocated to the soil as rhizodeposits (i.e.  $soil_C$ ). We relied on existing literature to estimate the C content translocated into the soil. In conventionally

232 managed crop fields,  $soil_C$  in sandy soils has been previously measured using  $^{14}C$  labelling and  
 233 reported as a relative fraction of GPP. Paush and Kuzyakov (2018) [52] report of a mean value  
 234 of 1.4% across 281 datasets including different crops and grasslands, while species-specific studies  
 235 report of 4-9% [53] and 2.2-2.9% [54] for wheat, and 0.4-2.4% [55] for barley.



**Figure 4.** **a.1:** Three adjacent above-ground biomass samples (AGB) and the corresponding three LiDAR samples (**a.2**, dimensions of each sample:  $0.5 \times 0.35 \text{ m}$ ). **b:** The spatial distribution of the AGB sampling locations. Each color indicates one of the original data sets: **red:** barley samples collected in 2020 (i.e. *barley*<sub>20</sub>); **blue:** wheat samples collected in 2021 (i.e. *wheat*<sub>21</sub>). **c:** dimensions of three original AGB samples (above), and data augmentation scheme by permutation (below); i.e. adding either two or three samples).



**Figure 5.** Crop development along the two growing seasons considered. Above-ground biomass (AGB) development during 2020 (a, barley) and during 2021 (b, wheat) growing seasons, respectively, indicating the dates of AGB sampling events. Y-axis indicates dry AGB matter. The blue solid line indicates the mean per sampling campaign, while the shaded area covers  $\pm$  the standard deviation. **a.1**, **a.2**: spring barley crop structure at the start of the sampling campaign and at maturity stage, respectively.  $x_{ph}$ : phenological growth stage (Zadoks decimal code) [56]. **b.1**, **b.2**: winter wheat crop structure at the start of the sampling campaign and at maturity stage, respectively. The AGB sampling during 2021 started earlier than in 2020, hence an initial value close to 0 at the start of the 2021 season.

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### Flux calculation

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The study site is equipped with an eddy covariance (EC) system constituted of Gill HS-50 sonic anemometers (Gill Instruments Ltd, Lymington, UK) and LI-7200RS enclosed infrared CO<sub>2</sub>/H<sub>2</sub>O gas analyzers (LI-COR, Lincoln, NE, USA) sampling at a frequency of 20Hz. The station is further equipped for air- and soil-meteorological monitoring (air temperature: TA, relative humidity: RH, air pressure: PA, global radiation, R<sub>g</sub>, PPFD: photosynthesis active photon flux density, soil temperature: TS, soil water content, SWC) with state-of-the-art instrumentation complying with ICOS protocols for a class 1 ecosystem station [48].

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### Raw data processing

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Raw 20 Hz wind, CO<sub>2</sub>, water vapor, and sonic temperature data were processed utilizing the EddyPro v. 7.0.9 software (LI-COR, Lincoln, NE). Half-hourly turbulent scalar fluxes were calculated as the covariance between vertical wind speed and scalar variables (i.e. CO<sub>2</sub>, H<sub>2</sub>O, T). The processing included statistical tests for raw data screening [57], double coordinate rotation, block averaging, time-lag optimization to maximize covariance, compensation for the effect of density fluctuations on fluxes [58, 59], and low- and high-frequency spectral correction [60]. Half-

hourly turbulent scalar fluxes were calculated as the covariance between vertical wind speed and scalar variables (i.e. CO<sub>2</sub>, H<sub>2</sub>O, T). The raw data processing, quality control, and subsequent gap-filling procedures approximated the standards applied by ICOS ETC [48, 61]. The EC data produced at DK-Vng became part of the ICOS ETC database only in 2021. Therefore, in order to apply the same treatment to the two datasets (i.e. 2020 and 2021), we processed the raw data according to the ICOS ETC standards.

### ***Post-processing: Spike Removal, Quality Control, and Gap Filling***

During raw data processing and post-processing, low quality data were rejected leaving gaps in the dataset. This data screening consists of two sub-tasks: (i) an absolute limit test, that sets boundaries for a physically plausible range of values, and (ii) individual outliers were detected following the method proposed by Papale et al. (2006) [62]. Additionally, data were removed when the wind came from the direction of the instrumental plot (Figure 6, b).

During 2020's growing season, this resulted in a 56.8% of data rejected after all three filtering tests were applied. While the gaps occurred mainly at the beginning and end of the 2020 year (Autumn and Winter), the growing season was better populated with valid NEE data values. The data were gap-filled according the method proposed by Reichstein et al. (2005) [63], and the u\*-filtering procedure was based on season.

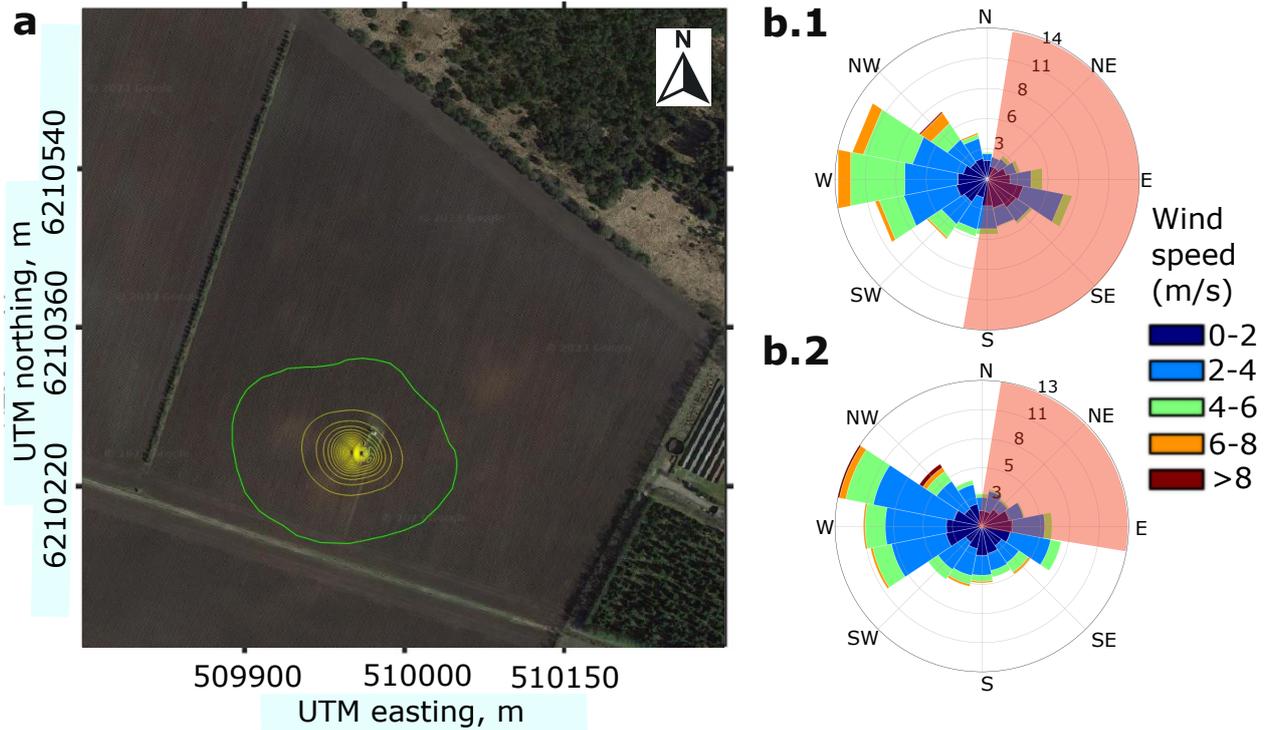
The processing of the 2021 flux data set followed the same procedure as for the 2020 season. The processed data showed a missing ratio of 32.9% after the quality control test and de-spiking, showing fewer gaps than the previous year and also a better flux data recording during the growing season.

To acquire a continuous dataset and allow to estimate *in situ* carbon budgets, data gaps were filled following the method of Reichstein et al. (2005) [63], using the [REddyProcWeb](#) tool. The method combines lookup tables of average fluxes under comparable meteorological conditions in a certain time window. If meteorological measurements are also missing, fluxes are estimated as the mean flux at the same time of the day in each time window (i.e. mean diurnal course).

### ***Estimation of Flux Climatology Footprint***

We calculated the flux climatology footprint using the model developed by Kljun et al. (2002) [64], and extracted the polygon covering the 70 % influence around the station (Figure 6, a).

In order to remove the influence of the instrumental plot surrounding the EC tower on the measurements, this area was masked out. For the 2020 dataset, the wind directions that covered the instrumental plot (18–198°) were excluded of further processing. The wind directions excluded in 2021 (18–116°) differed from the previous year due to a change in size of the instrumental plot. For this reason, in Figure 7, AGC maps show different shapes in each year.



**Figure 6.** **a:** Flux footprint climatology map from the study site; the yellow contours indicate areas of 10 % increase of influence (source of background image: Google Earth 2023). **b.1, b.2:** wind frequencies at the study site during April–August of 2020 and 2021, respectively. The radius indicates total frequency of a given wind direction; the color indicates wind speed ( $\text{ms}^{-1}$ ). The shaded red areas cover the wind directions influenced by the instrumental plot—that were filtered out for flux analysis.

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### *Ecosystem Flux Balance*

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After data processing, the flux data sets provide an estimate of the net ecosystem exchange (NEE) (Figure 11, Appendix), allowing to estimate other NECB components. The estimation of net primary productivity (NPP) involved calculating the difference between NEE and ecosystem respiration. Therefore, we considered the flux balance

$$NPP = (-NEE) + R_h \quad (1)$$

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where  $R_h$  accounts for the heterotrophic respiration, while  $R_a$  (i.e. autotrophic plant respiration) is contained within NPP. As per the usual convention, the negative sign indicates flux direction towards the ecosystem; the positive sign indicates a flux release towards the open atmosphere.

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In conventional croplands (Figure 1), where the influence of higher-order heterotrophs (e.g. mammals, birds) can be considered negligible, the microbial soil respiration ( $R_{soil}$ ) constitutes  $R_h$ . Here, we modelled  $R_{soil}$  as a function of soil temperature during winter. Following Lloyd and Taylor (1994) [65], a second-order polynomial was fitted to the measurements of NEE prior to the start of the growing season (i.e. constituted of the  $R_h$  component only), as function of soil temperature 5 cm below surface. We filtered out  $R_{soil}$  values corresponding to frozen conditions

(i.e.  $< 0.5$  °C) for the model fit. Then, we extrapolated the modeled  $R_{soil}$  to the entire growing season. In 2020, as many datapoints were missing for  $T_{soil}$ , we filled the gaps with  $T_{air}$ , introducing a higher amplitude in the recorded values (therefore, also some added uncertainty in the estimate of NPP values).

## Machine Learning-based Carbon Estimates

### *Training, Evaluation and Validation of Predictions*

Three different ML regression models were initially selected for the task of AGC prediction. They were calibrated on a training dataset, and their performances were evaluated on a separate validation dataset; then, the best performing one was chosen for testing. This procedure helped avoid overfitting the model to the data, preventing an optimistically-biased accuracy assessment.

Therefore, we selected three fundamentally different ML methods; one representative of regularized linear models (i.e. Huber regressor [66, 67]), one tree-based ensemble method (i.e. Extreme Randomized Trees [68], ERT), and one exemplar from the boosting methods (i.e. Extreme Gradient Boosting [69]).

The model performance on the validation set was assessed via the average performance (indicated by the overbar) of the following metrics over ten randomized executions: coefficient of determination ( $\overline{R^2}$ ), mean squared error ( $\overline{MSE}$ ), mean absolute percentage error ( $\overline{MAPE}$ ), and mean absolute error ( $\overline{MAE}$ ). ERT obtained the best results across all four scores and therefore was selected as the model of choice. For more details on the model selection, validation and test procedure cf. Revenga et al. (2022) [30].

### *Description of the Model Selected*

Extremely Randomized Trees (ERT) is an ensemble learning technique that aggregates the results of multiple individually created decision trees to output regression results [68]. It is originally derived from the Random Forest (RF) model [70]. In an ERT model, every individual predictor—i.e. a binary decision tree—of an ERT is constructed from the whole training set. A single tree decides at each node, which split of a random subset of features splits reduces the reconstruction error (e.g. MAE or MSE) the most. The random sampling of features and the random splits within the features range leads to more diverse and thus less correlated decision trees, thereby leading to improved generalization results. Each tree is considered to be a “weak” regressor performance-wise but the combination creates an ensemble that outperforms the individual regressors. As final prediction, the average predictions of the individual decision trees in the forest is used, providing an estimate of above ground carbon (i.e.  $\widehat{AGC}$ ).

## Above-Ground and Root Carbon Estimates

Using the AGB prediction results (Figure 7), and the C-to-biomass ratio measured, we calculated the total AGC within each EC footprint. Then, the total plant C estimates were obtained by calculating at each point the total plant C derived from the AGC prediction. In order to obtain this estimate, we considered the allocation of C below ground as a function of the phenological stage using Eq. 2 (Figure 8), fixing  $root_C$  at anthesis as  $10 \pm 1\%$  of total plant C at maturity of crops, according to reference literature [71]. Therefore,  $root_C$  was calculated as a function of

339 (i) AGC, (ii) the rate at which GPP is translocated to the roots ( $GPP_{roots}$ ) [72], and (iii) the  
 340 phenological stage (i.e.  $x_{ph}$ ) [56]:

$$root_C(x_{ph}) = \begin{cases} x_{ph} \cdot GPP_{roots}, & \text{if } x_{ph} < x_{anthesis} \\ (0.1 \pm 0.01) \cdot AGC_{mat}, & \text{if } x_{ph} = x_{anthesis} \\ root_{C,post}(x_{ph}), & \text{if } x_{ph} \geq x_{anthesis} \end{cases} \quad (2)$$

341 where  $AGC_{mat}$  indicates above-ground carbon at maturity stage; the function  $root_{C,post}(x_{ph})$   
 342 was defined by a linear fit to  $root_C$  at anthesis and values of  $GPP_{roots}$  in literature at each growth  
 343 stage, for wheat and barley in sandy soils, respectively [72]. Similarly,  $GPP_{roots}$  was obtained as  
 344 the slope of a linear fit between the onset of the season and  $root_C$  at anthesis.

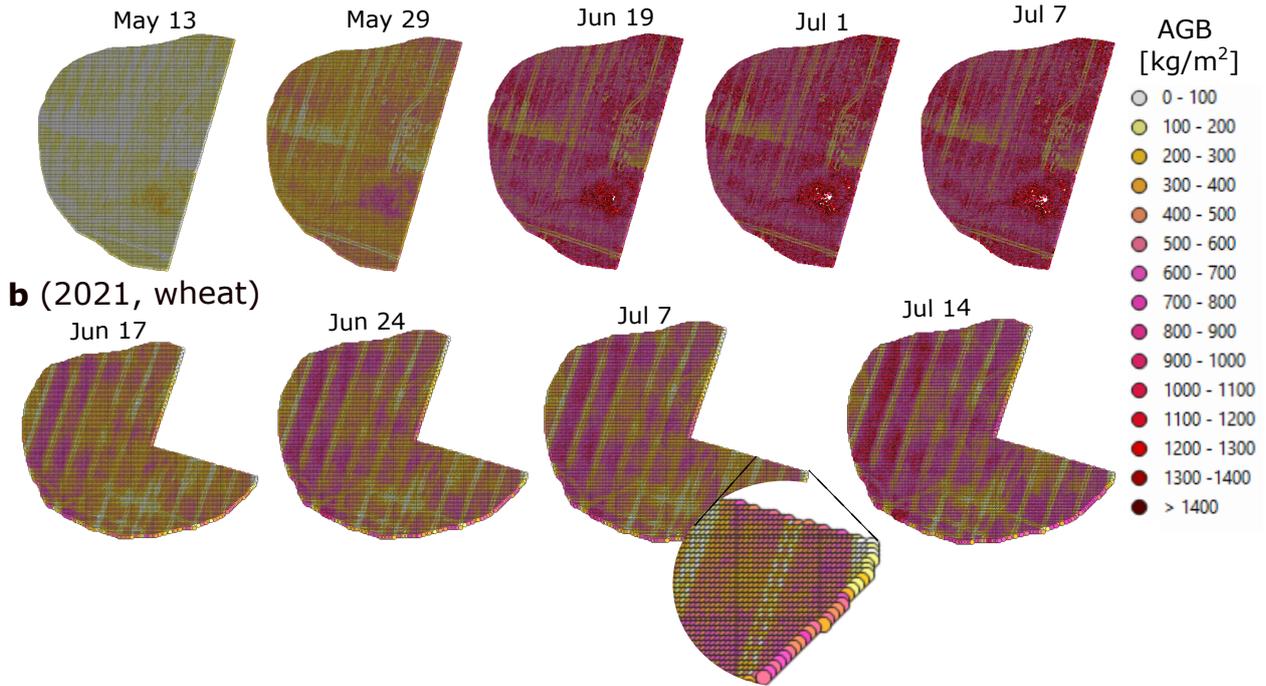
345 Likewise,  $soil_C$  at each date was calculated as a linear fit to the values reported in literature  
 346 of  $^{14}C$  pulse-labelling for barley [54] and wheat [73] in sandy soils. This resulted on an average  
 347 translocation of GPP to rhizodeposits of 2.73% and 1% for barley and wheat, respectively.

348 = Results =

349 **Plant Carbon Maps**

350 We selected nine UAV-LiDAR survey dates (five during 2020; four during 2021), and inter-  
 351 sected them with the 70 % of the area of influence surrounding the eddy-covariance station. For  
 352 each UAV-LiDAR survey in 2020 and 2021, we created a map of AGB at 1m<sup>2</sup> spatial resolution  
 353 (following the procedure described in Revenga et al., 2022 [30]).

**a (2020, barley)**



**Figure 7.** Exemplary above-ground biomass (AGB) maps. **a:** 2020 growing season; **b:** 2021 growing season. Values in legend indicate predictions of dry AGB matter. A sector of the eddy-covariance station footprint was clipped out to avoid influence from the instrumental plot on the results: in 2020, the (18–198)° wind directions were excluded; in 2021, the wind directions (18–116)° were excluded.

354 ***Above- and Below-Ground Carbon Estimates***

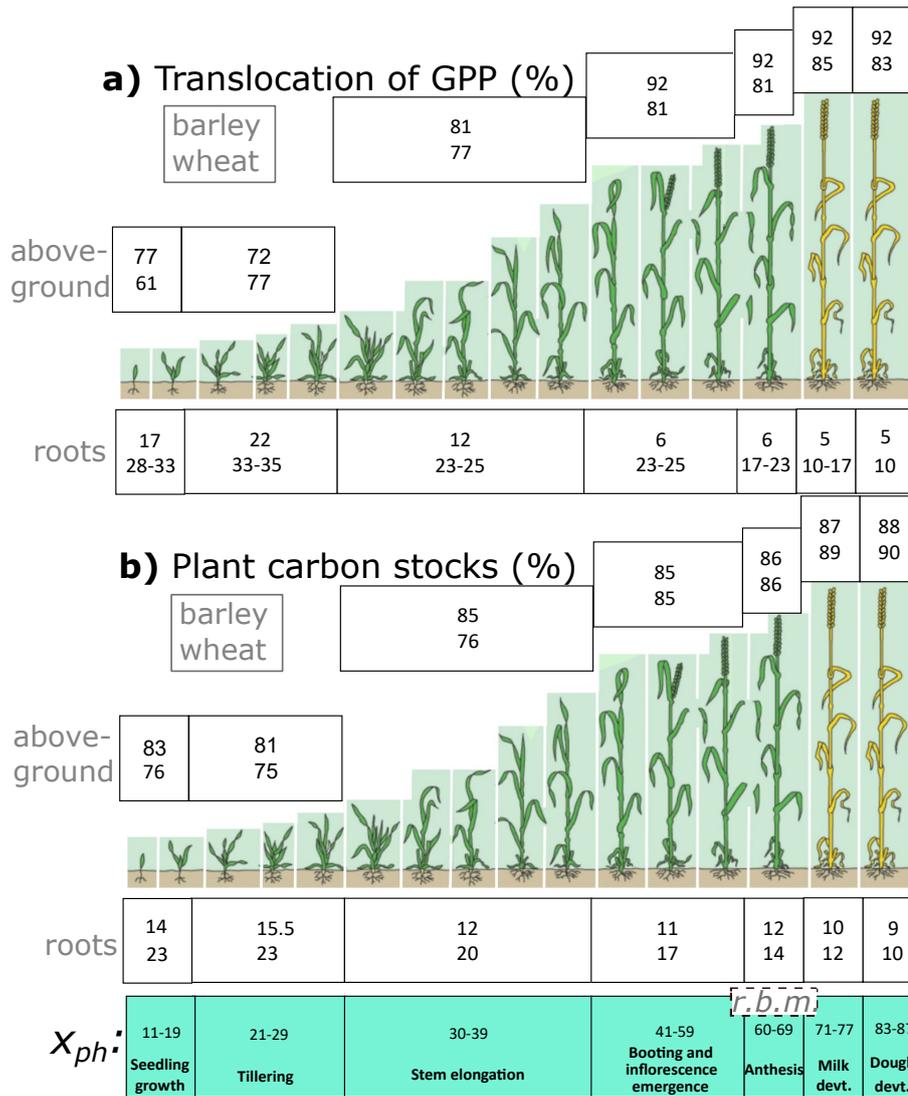
355 In 2020, the AGB collection campaign started at a level of 100 gm<sup>-2</sup> of AGB. In Figure 5  
 356 (a) it can be observed a steady linear increase until 1 July, where there is a turning point, and  
 357 a saturation plateau afterwards. From then onward, AGB stabilizes, i.e. by the harvesting date  
 358 (end of July), the AGB are just slightly above the one measured on 1 July.

359 In contrast, in order to extend the span of AGB measurements, during the 2021 campaign the  
 360 AGB sampling started at a point slightly above 0 gm<sup>-2</sup>, where can be noted a slow start of AGB  
 361 accumulation. By approximately the same date (27 May), the AGB in 2021 growing season lags  
 362 150 gm<sup>-2</sup> behind the previous year. This can be compared with the NEE of both years (Figure  
 363 11, in Annex) showing a "false start" in 2021 11 (b), so until start of June NPP barely offsets R<sub>eco</sub>.  
 364 Instead of saturating by 1 July, AGB kept growing until the last sampling date. This observation

365 was expected, considering that the crops in 2021 exhibited a time-lag of approximately 15 days  
366 compared to the previous year (see Figures 11 and 12, in Annex).

367 The difference in AGB between the two years translates linearly to differences in AGC by  
368 modeling C content to be constant across all plant tissue ( $46.6 \pm 0.3\%$  in barley, and  $47.7 \pm 0.3\%$   
369 in wheat). Notably, wheat moves a greater amount of photoassimilated C below ground compared  
370 to barley, in relative terms. This different strategy becomes increasingly evident as the growing  
371 season progresses and becomes particularly apparent at the maturity stage.

372 The difference between the measured AGC in both growing seasons (i.e.  $\approx 235 \text{ gm}^{-2}$  more in  
373 2020 than in 2021) can be attributed to the harsher environmental conditions that the 2021 crops  
374 endured at the beginning of the season, causing a delay and a sparser structure (Appendix).



**Figure 8.** **a:** Translocation of photoassimilated atmospheric carbon (i.e. GPP) to above-ground and root components (rhizodeposits are not included); values in white boxes indicate estimated % corresponding to the same phase. **b:** Plant carbon stocks along the growing season showing the estimated carbon allocation at each phenological stage (adapted from [74]). Percentage values of carbon in roots (both translocated and stocks) are derived from [72] for wheat and barley crops in sandy soils. Each white box shows values for spring barley (above) and winter wheat (below).  $x_{ph}$ : phenological growth stage (Zadoks decimal code) [56]. The inset indicating r.b.m. shows the stage when the root biomass maximum occurs.

### Carbon fluxes from the eddy-covariance method

375

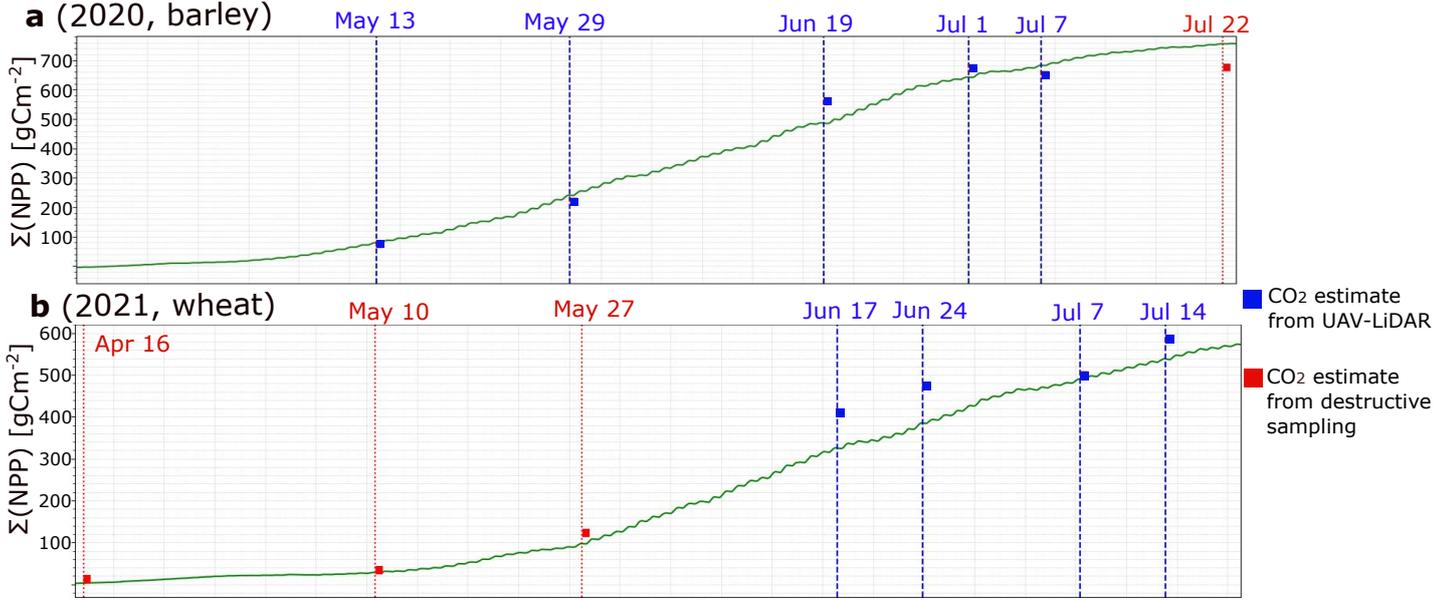
376

377

378

379

The cumulative NPP curves of the two growing seasons considered are shown in Figure 9. the progression of the curve in the year 2020 exhibits saturation by the terminal data collection (i.e. on July 22), whereas in the subsequent year, 2021, the ultimate survey (i.e. July 14) coincides with a phase characterized by the ongoing ascendant trajectory of the net ecosystem's uptake.



**Figure 9.** Cumulative NPP ( $\text{gCm}^{-2}$ ) along (a) the 2020 and (b) the 2021 growing seasons. The red dotted vertical lines indicate above-ground biomass (AGB) sampling dates, while the blue dashed lines indicate dates in which both AGB sampling and UAV-LiDAR surveys took place. The square marks indicate the plant-C estimates for a given date using the UAV-LiDAR method (blue dates), or based on C estimated from destructive sampling (red dates).

### Comparing Flux Data and UAV-based Plant Carbon Estimates

Table 2 shows the results of the plant carbon estimates via the UAV-LiDAR method against the cumulative partitioned fluxes estimated via the eddy-covariance method.

In order to quantify the degree of over- or underestimation that the UAV-LiDAR-based method produces with respect to the cumulative NPP, we used the following metric, referred to as delta-ratio ( $\Delta_C$ ). It is defined as the ratio between the increment of plant C and the increment in NPP between two separate surveying dates:

$$\Delta_C = \frac{\Delta(NPP_{i,j}) - \Delta(PlantC_{i,j})}{\Delta(NPP_{i,j})} \cdot 100 \quad (3)$$

where the subindexes  $i, j$  refer to two different surveying dates.

**Table 2.** Results of carbon estimates via the two independent methods considered. The first column indicates the UAV-LiDAR survey dates; second and third columns show the plant carbon stock estimated via the UAV-LiDAR method (both AGC and  $root_C$ ); the last three columns show the cumulative values (from the start of the photosynthetic season) of the ecosystem flux components partitioned into net ecosystem exchange (NEE), heterotrophic respiration  $R_h$  and net primary productivity (NPP).  $x_{ph}$  indicates the average phenological growth stage (Zadoks decimal code) [56] measured at 12 control plots.  $soil_C$ : rhizodeposits.

Method		UAV-LiDAR			Eddy-covariance		
d/m/yyyy	$x_{ph}$	AGC [ $gm^{-2}$ ]	$root_C$ [ $gm^{-2}$ ]	$soil_C$	-NEE [ $gCm^{-2}$ ]	$R_h$ [ $gCm^{-2}$ ]	NPP [ $gCm^{-2}$ ]
13/5/2020	23	52.4	8.9	1.72	35.7	143.4	91.0
29/5/2020	32	168.8	32.0	5.63	81.9	237.9	252.5
19/6/2020	65	469.3	65.2	15.0	286.1	423.0	497.7
1/7/2020	73	567.5	75.1	18.0	401.4	533.1	653.8
7/7/2020	77	553.6	66.4	17.4	423.6	577.7	693.7
22/7/2020	87	587.3	58.7	18.1	463.4	681.8	767.6
16/4/2021	17	2.3	0.7	0.0	83.6	90.7	0.9
10/5/2021	31	18.4	5.5	0.3	147.6	100.8	25.5
27/5/2021	37	86.7	25.8	1.1	68.6	229.5	94.5
17/6/2021	47	341.9	55.5	4.0	102.5	418.4	321.3
24/6/2021	57	402.7	58.0	4.7	144.3	482.0	372.5
07/7/2021	71	434.1	50	4.9	227.3	592.1	486.1
14/7/2021	77	522.6	48.5	5.8	251.5	672.1	532.0

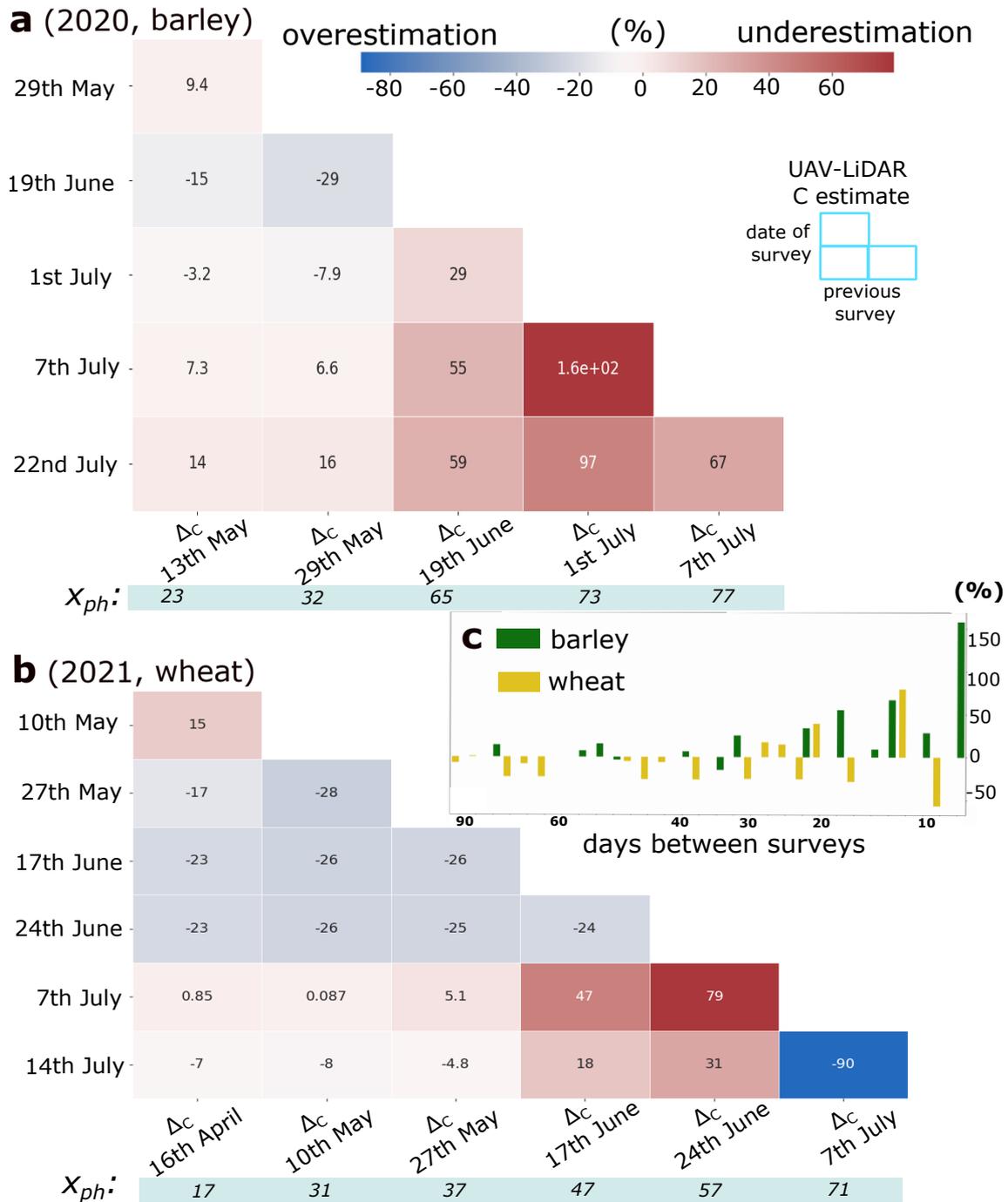
388 = Discussion =

389 This study presents a comparison of two independent methods to estimate C uptake in man-  
 390 aged croplands. We assessed a method that utilizes UAV-LiDAR technology to derive C dynamics  
 391 in croplands by surveying the ecosystem along two growing seasons, covered by barley (in 2020)  
 392 and wheat (in 2021). The results obtained compare favorably with respect to the cumulative NPP  
 393 when the first UAV-LiDAR survey date is taken early in the growing season; conversely, compar-  
 394 isons lose consistency when time intervals between surveying dates are short, concurrently with a  
 395 late phenological stage.

396 **Plant Carbon Components: Values and Uncertainty**

397 The uncertainty estimate of the AGC component was derived from the AGB sampling tech-  
 398 nique, because, assuming a constant ratio of AGB:C, the uncertainty on the lab analysis (i.e.  $\pm$   
 399 1% of C-to-AGB ratio) is comparatively negligible with respect to the AGB uncertainty (i.e. AGB  
 400 label noise). While certain studies report of uneven C-to-AGB ratios along the plant components—  
 401 specially seeds and grain-bearing organs—[...], we assumed this ratio to stay relatively constant  
 402 across the plant components. We consider AGC as the component most accurately assessed be-  
 403 tween the above- and below-ground components.

404 Management practices and environmental factors affect the BGB-to-AGB allometric ratio in  
 405 cereal crops (e.g. root depth is function of soil moisture content) [...], therefore such BGB estimates  
 406 are usually prone to bias or uncertain [71].  $root_C$  is much depending on availability of water,



**Figure 10.** Carbon delta-ratio ( $\Delta_C$ ) values between pairs of surveying dates during the 2020 (a) and 2021 (b) growing seasons; the reference ( $\Delta_C = 0$ ) is the cumulative NPP at a given date. The inset (c) shows the error distribution along the time between UAV-LiDAR survey dates for both crop types. It can be noted that (i) LiDAR estimates become more in agreement with NPP as time between surveys increases, and that (ii) considerable over- and underestimates are found between closely spaced dates during a late phenological stage (right tails of both a and b tables).  $x_{ph}$  indicates the average phenological growth stage.

407 nutrients and nonstructural carbohydrates [...]. Moreover, there is high variability in  $root_C$  along  
 408 the growing season, increasing towards the flowering period (i.e. anthesis), and then gradually  
 409 decreasing towards maturity ([72]), as nutrients are dynamically allocated to the upper parts  
 410 during the last crop development, sourcing from the roots. Here, following Hu et al. (2018) [71],  
 411 we estimated the BGB at anthesis (which corresponds to the root biomass maximum in Figure 8),  
 412 as given by the ratio  $\frac{root_C}{(AGC+root_C)} = 0.10 \pm 0.01$ . This ratio relates AGC (at maturity) and  
 413  $root_C$  (at anthesis, standardized to 25 cm depth). It holds for conventional farming systems and  
 414 is also supported by findings of Chiranda et al. (2012) [75]. The phenological growth stage [51]  
 415 indicating anthesis (Zadok’s decimal code of plant development = 65) [56] was observed on 18 June  
 416 2020. In 2021, the anthesis stage was not recorded, but based on field image documentation, was  
 417 estimated to correspond to 1 July 2021. Following this ratio, and the rate of photoassimilated C  
 418 translocated to roots for barley and wheat in sandy soils [72], we estimated the  $root_C$  component  
 419 at each biomass sampling date. We acknowledge that variations in season-specific environmental  
 420 conditions can lead to differences in such ratios [...]. Therefore, one of the limitations of our method  
 421 lays on the fact that we estimate per-component C stocks as if they in fact distribute C as reported  
 422 in the existing literature for the same crops under similar conditions.

423 Provided that the Hu’s ratio [71] is robust and applicable to the environmental conditions of  
 424 our study, the results presented in this study are therefore most limited with respect to the design  
 425 of the AGC sampling campaigns for each individual date.

426 Our sampling design was conceived to optimize predictability of AGC from the PCD data,  
 427 however, our sampling design turned out to be suboptimal for the application of comparing entire  
 428 plant C and flux-based estimates on individual dates. Ideally, for the task of intercomparison of  
 429 C stocks, at every date the locations for AGC sampling should be entirely randomized, across an  
 430 area which is (i) large enough, and (ii) either within the flux tower footprint or representative of  
 431 the vegetation traits contained within the footprint.

432 However, in specific dates, it was found to be advantageous for predicting *in situ* AGC to  
 433 collect data from locations with contrasting AGB values. This approach allowed us to capture the  
 434 two-dimensional variability of AGC corresponding to the observed variations in the PCD scene.  
 435 While this procedure facilitates the establishment of an empirical relationship between covariates  
 436 (i.e. height metrics derived from PCD) and the response variable (i.e. AGC), it compromises the  
 437 comparability of cumulative fluxes on particular dates. Consequently, it may lead to apparent  
 438 over- or underestimation of plant carbon stocks derived from UAV-LiDAR data in relation to net  
 439 primary productivity (NPP). In both years, this is particularly evident in the comparison of  $\Delta_C$   
 440 stocks when the 7th of July is involved (Figure 10).

441 From Table 2, it can be observed how, on 7 July 2020, the AGC sample is 14 g lighter than the  
 442 previous survey date (i.e. 1 July), while the cumulative NPP for the corresponding time period  
 443 exhibits an increase of approximately 40 g. This corresponds to a decreasing LiDAR-derived  
 444 plant-C estimate between these two dates (Figure 9, a). This disagreement can only be attributed  
 445 to the inherent bias introduced by the sampling procedure, thereby highlighting the significant  
 446 impact of the sampling design on the resulting outcome. Ideally, during each AGB sampling date,  
 447 data collection should be completely randomized, without intervening explicitly to ensure AGC  
 448 variability.

On certain dates, the presence of sample selection bias [76, 77] introduced by the aforementioned approach resulted in inconsistency when comparing plant C values with flux-based cumulative carbon estimates. This is a reasonable outcome, as the continuous flux-based carbon estimates are unaffected by the AGC sampling design.

### Ecosystem Carbon-Uptake Derived from Flux Data

A limitation of our approach rests on the fact that  $R_h$  was modeled as function of soil temperature, taking as sample data to model  $R_{soil}(T_{soil})$  the dates prior to the onset of the photosynthetic season (i.e. December–February). During these dates, temperatures did not span a wide range. Therefore, the low dynamics in the values of soil temperature during the beginning of the year may lead to underestimations in the modeled  $R_h$ . Accurately modeling heterotrophic soil respiration ( $R_h$ ) as a function of temperature may be challenging, particularly when the range of temperatures before shoot emergence (i.e. onset of photosynthetic season) is narrow. This can lead to uncertainties in the predicted values of  $R_h$ . In order to narrow it down, further studies should consider combining the setup we employed with flux chambers.

The exact dates of fertilizer deposition by the farmer remain unknown. The effect of such field management (e.g. fertilizer application, irrigation) cannot be reflected in the LiDAR derived C estimates, but do have an impact on the measured fluxes.

### Comparing Flux Data and UAV-based Plant Carbon Estimates

Figure 10 shows the result of the comparison of C stocks obtained via the two independent methods, as delta values ( $\Delta_C$ ). Several observations can be made:

- (i) The optimal reference date for comparing an increase of plant C stocks is the first date, at the beginning of the growing season. This observation applies to both years.
  - When the 1<sup>st</sup> date is the reference, the mean error of predictions in 2020 shows:  $2.5 \pm 10.4$  % while in 2021 the mean error is  $-9.0 \pm 13.3$  %.
  - When taking as reference the 2<sup>nd</sup> date, in 2020 the match between both independent estimates shows a mean error of  $-3 \pm 16.9$  %. Likewise, in 2021 the mean error is:  $-8.9 \pm 11.4$  %.
  - When considering the 3<sup>rd</sup> date as the reference, the findings indicate a persistent underestimation of  $47.6 \pm 13.3$  % in 2020, whereas the results for 2021 exhibit a closer approximation to the reference NPP value, with a deviation of  $-12.7 \pm 13.3$  %.
- (ii) The right tail ends of both tables show that comparing close dates at a late phenological stage results in evident over- and underestimations. So, in addition to the temporal proximity of survey dates, the phenological stage of the crops appears to exert a significant influence.
- (iii) The included inset panel (c) presents the  $\Delta_C$  values of both crops, along the temporal interval between survey dates. A clear trend can be observed, indicating a consistent increase in errors as the UAV-LiDAR survey dates approximate.

Figure 12 (in Appendix) shows the cumulative values of  $R_{eco}$ , NPP, and GPP, in both growing seasons. It can be observed how the C uptake does not offset respiratory ecosystem losses until the 4<sup>th</sup> of June. This represents a time shift with respect to 2020 of 15 days, where the crossing

488 of GPP and  $R_{eco}$  occurred in 19<sup>th</sup> of May. This seemingly time lag during the initial stages of  
 489 the growing season appears to have manifested as a significant temporal displacement of the entire  
 490 crop phenological process, estimated to be approximately of 15 days. This temporal shift can  
 491 be visualized by comparing the time discrepancy in the emergence of the uplifting point in NPP  
 492 between panels a and b in Figure 9.

493 UAV-based remote sensing is being increasingly applied to assist in ecosystem fluxes analysis  
 494 due to the ability of UAV-borne sensors to capture changing land surface variables as well as  
 495 their spatial distribution [29]. The combination of EC towers with UAV-based remote sensing  
 496 shows potential for estimating ecosystem fluxes in areas where observational gaps exists, due to  
 497 lack of monitoring capacity or difficult accessibility. Moreover, there is an interest in developing  
 498 independent methods to estimate the same ecosystem variable (i.e. NECB), in order to target  
 499 sources of uncertainty, and advance existing techniques.

500 In this study, the observed disparities between the two methods considered improve the un-  
 501 certainty reported in previous studies between modeled and empirical approaches to estimate C  
 502 stocks in croplands. For instance, a 18% of discrepancy between modeled and observed crop mass  
 503 is reported by Soltani et al. (2012) [78]. However, we consider that the most noteworthy aspect of  
 504 the proposed method is its ability to provide flexible estimates of carbon fluxes that align well with  
 505 the EC flux estimates. Furthermore, these estimates can be obtained without reliance on ground-  
 506 based instrumentation, enabling the assessment of ecosystems that are otherwise inaccessible or  
 507 poorly documented.

## 508 = Conclusions =

509 Total plant-mediated C stocks can be accurately estimated using UAV-LiDAR in combination  
 510 with machine learning regression methods at the ecosystem scale. These estimates correspond to  
 511 cumulative CO<sub>2</sub> fluxes uptaken during the crop development. The match between the temporal  
 512 development in C uptake in the footprint of the EC tower using the UAV-LiDAR based method  
 513 and the eddy-covariance estimates showed an optimal mean error of  $2.5 \pm 10.4$  % (in spring barley),  
 514 and of  $-9.0 \pm 13.3$  % (in winter wheat), finding that the comparisons of C stocks over the entire  
 515 growing season (i.e. considering the first survey as reference date) resulted to be the most accurate  
 516 ones.

517 However, it is crucial to consider that UAV-LiDAR estimates of C uptake may exhibit sub-  
 518 stantial over- or underestimation under certain conditions. This can occur when (i) LiDAR surveys  
 519 are too close to one another, particularly during the later stages of phenological development, and  
 520 (ii) a sample selection bias is introduced. For instance, it can be noted a positive bias on the 17  
 521 and 24 June 2021 (Figure 9), resulting in consistent overestimations in any comparison where these  
 522 two dates are considered (Figure 10, b). Therefore, care must be taken as regards allowing suitable  
 523 time intervals between surveys and appropriate AGC sampling schemes.

524 When comparing the resulting plant-C values with eddy-covariance estimates, a satisfactory  
 525 level of agreement is observed, provided that the effects of AGC sampling design and time interval  
 526 between UAV-LiDAR survey dates are taken into account. Conversely,  $root_C$  is highly influenced by  
 527 management practices and environmental factors throughout the growing season. Consequently,  
 528  $root_C$  contributes significantly to the uncertainty in plant carbon estimates derived from UAV-  
 529 LiDAR data.

530 We consider these results a promising step towards the data-driven upscaling of directly mea-  
 531 sured fluxes during the growing season in managed ecosystems, as well as towards the interpolation  
 532 of CO<sub>2</sub> fluxes across eddy-covariance stations by leveraging mobile platforms and LiDAR technol-  
 533 ogy.

#### 534 = Author contributions =

535 Original conceptual framework: TF, KT, JCR ; experimental design: KT, TF, JCR; UAV-  
 536 LiDAR data collection: JCR, KT; field-based data collection and curation: RJ, JCR, KT; laser  
 537 data processing: JCR, KT; eddy-covariance data collection and processing: RJ, TF, JCR; feature  
 538 engineering, machine learning models' training and evaluation: JCR; visualisation: JCR and PR;  
 539 project supervision: TF, KT; project administration: TF, KT; writing—original draft preparation:  
 540 JCR; writing—review and editing: JCR, PR, TF, KT.

541 All contributing authors have read and agreed to the published version of the manuscript.

#### 542 = Acknowledgements =

543 The authors acknowledge the contributions of René Lee, Lars Rasmussen, Rune Skov Mai-  
 544 goord, Binsheng Gao, and Alek Wieckowski, in supporting the tasks of field-data acquisition,  
 545 contributing to this study as fieldwork and laboratory assistants.

#### 546 = Funding =

547 This project has received funding support from the Talent Program Horizon 2020/Marie  
 548 Skłodowska-Curie Actions, a Villum Experiment grant by the Velux Foundations, DK (MapCland  
 549 project, project number: 00028314), the DeepCrop project (UCPH Strategic plan 2023 Data +  
 550 Pool), as well as a UAS- ability infrastructure grant from Danish Agency for Science, Technology  
 551 and Innovation. The authors acknowledge as well financial support from ICOS.

#### 552 List of abbreviations

- 553 • AGB: above-ground biomass.
- 554 • AGC: above-ground carbon.
- 555 • EC: eddy-covariance.
- 556 • ECB: ecosystem carbon balance.
- 557 • ERT: extreme randomized trees.
- 558 • GHG: greenhouse gas.
- 559 • GPP: gross primary productivity.
- 560 • ICOS: integrated carbon observation system.
- 561 • LiDAR: light detection and ranging.
- 562 • ML: machine learning.
- 563 • NECB: net ecosystem carbon balance.
- 564 • NEE: net ecosystem exchange.
- 565 • NPP: net primary productivity.
- 566 • PCD: point cloud data.

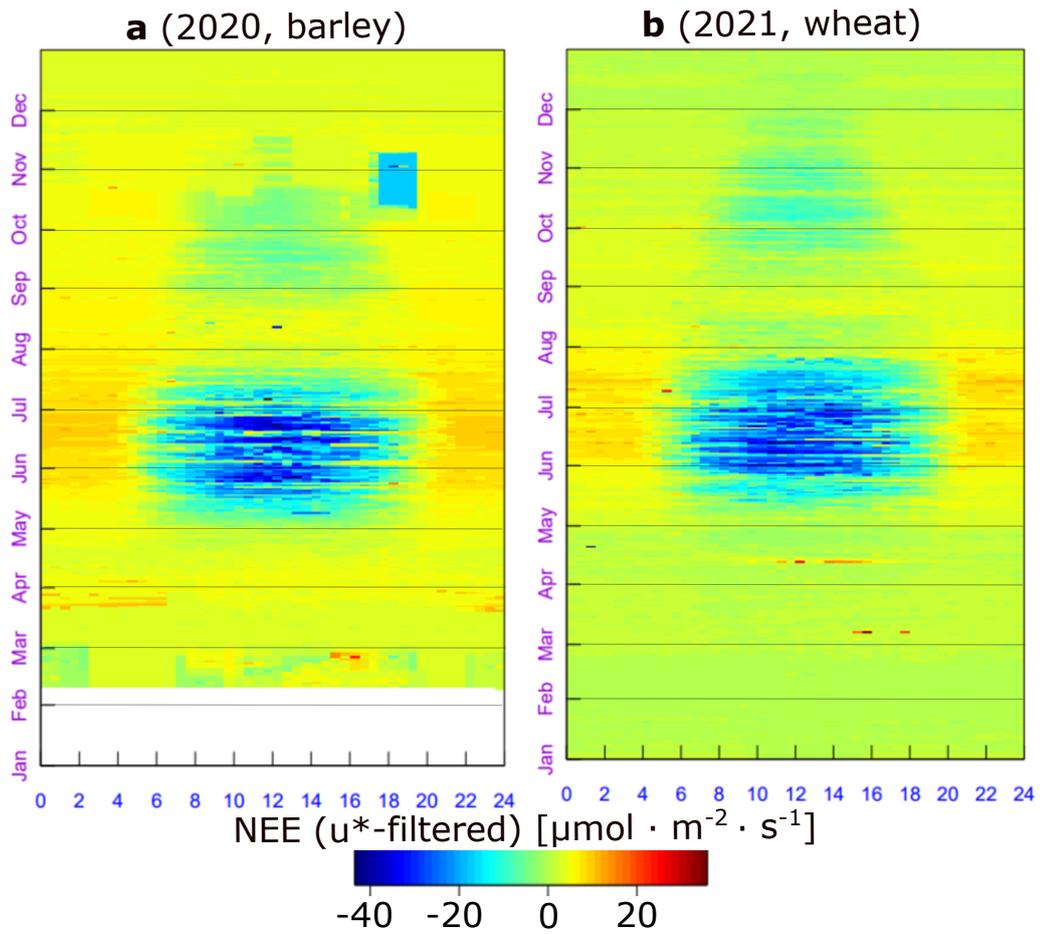
- 567 •  $R_a$ : autotrophic plant respiration.
- 568 •  $R_{eco}$ : ecosystem respiration.
- 569 • RF: random forest.
- 570 •  $R_h$ : heterotrophic respiration.
- 571 •  $root_C$ : carbon content in roots.
- 572 •  $R_{soil}$ : microbial soil respiration.
- 573 • RS: remote sensing.
- 574 •  $soil_C$ : soil rhizodeposition.
- 575 • UAV: unstaffed aerial vehicle.
- 576 • WDI: water deficit index.
- 577 •  $X_{ph}$ : crops growth stage (according to Zadoks decimal code).

## 578 **Annex I: NEE, NPP, GPP, $R_{eco}$ in both growing seasons (2020 and 2021)**

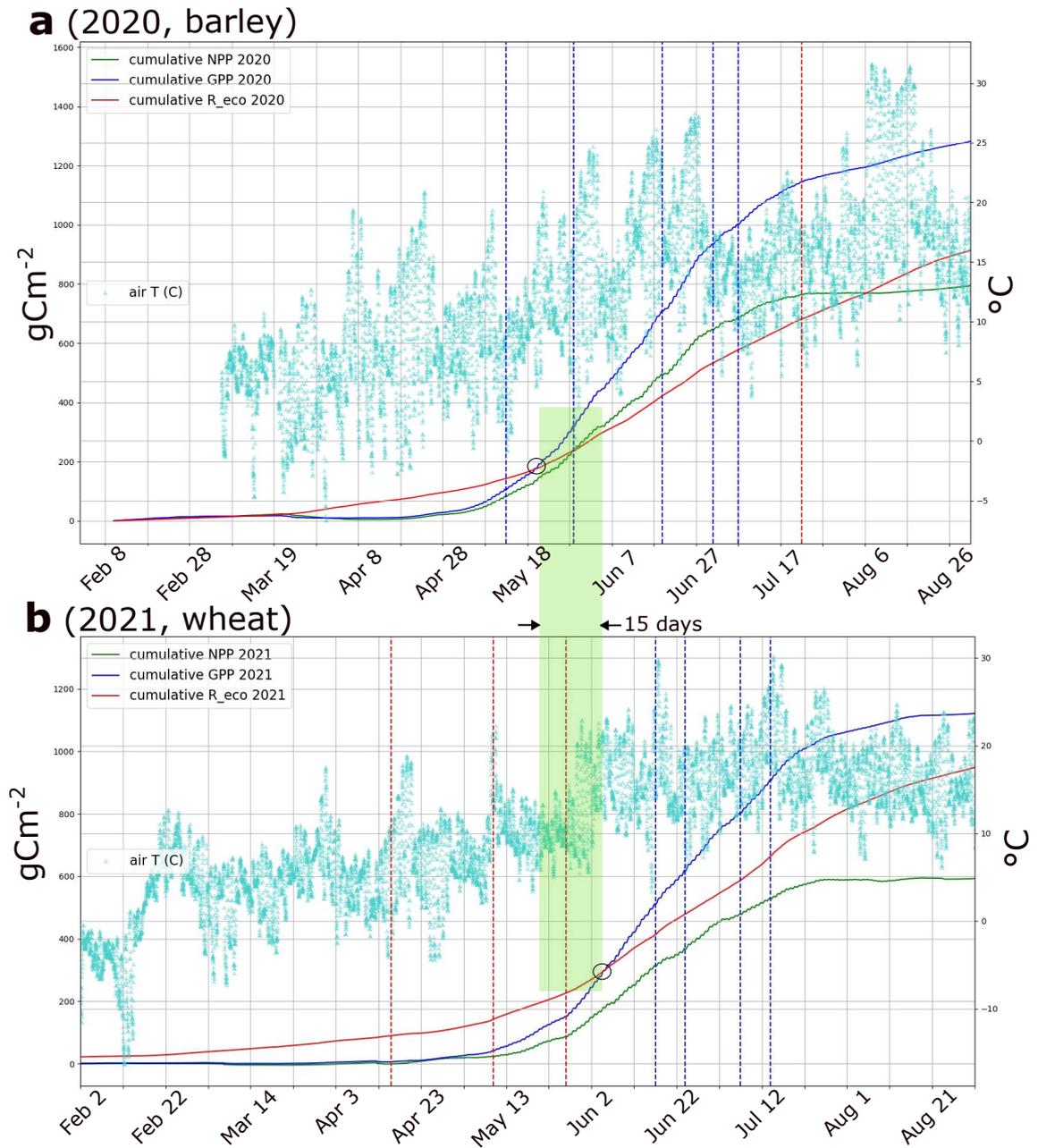
579 Figure 11 displays the processed NEE over time for both years, with a 30-minute pixel res-  
 580 olution. It can be noted that in the 2020 season, there was an advancement of approximately 15  
 581 days, and more concentrated C uptake hotspots between 11:00 and 14:00 in late June and late  
 582 July compared to the 2021 season.

583 Remarkably, in Figure 12, it can be observed that the time series of cumulative NPP and  $R_{eco}$   
 584 never cross each other in 2021 (b), while they do so in 2020 (a). The enclosed area under these  
 585 two curves indicates the rate of C accumulation efficiency with respect to ecosystem respiratory  
 586 losses. It makes sense that in a more homogeneous, densely populated crop, the C uptake was  
 587 more efficient than in the sparse crops of 2021.

588 These observations are consistent with the AGC sampling campaigns—where more sparse  
 589 crops were sampled in the second year—and with the PCD representation of the cropfields (Figure  
 590 3)—where a higher PCD porosity was found in the second year as well as a lower cumulative NPP  
 591 flux (Figure 9).



**Figure 11.** Measured net ecosystem exchange (NEE) at Voulundgaard research station during 2020 (a) and 2021 (b). Data displayed were gap-filled, spikes removed and  $u^*$ -filtered. It can be noted a delay in the onset of the growing season in 2021 with respect to 2020 of almost 3 weeks, including a false start in mid May, partly explained by the cold spell of 10-12<sup>th</sup> February (figure obtained from the REddyProcWeb online tool : [www.bgc-jena.mpg.de/bgi/index.php/Services/REddyProcWeb](http://www.bgc-jena.mpg.de/bgi/index.php/Services/REddyProcWeb)).



**Figure 12.** Estimated cumulative fluxes along the growing season of 2020 (a), and 2021 (b). GPP: gross primary productivity;  $R_{eco}$ : ecosystem respiration; NPP: net primary productivity. The red vertical lines indicate above-ground biomass (AGB) sampling dates, while the blue lines indicate dates in which both AGB sampling and UAV-LiDAR surveys took place. In both years, the black circles indicate the dates when GPP offsets  $R_{eco}$ . It can be observed how in 2021 this occurs on the 5<sup>th</sup> June, while in 2020 GPP reaches ecosystem respiratory losses on the 19<sup>th</sup> May, i.e. 16 days earlier. This delay in GPP during 2021 is partly explained due to the cold spell of February, damaging the early seedlings. The lack of temperatures at the beginning of 2020 (a) is due to a failure in the instrumental setup.

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