

# Sensitivity analysis for the detection NO<sub>2</sub> plumes from seagoing ships using TROPOMI data

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## Abstract

The marine shipping industry is among the strong emitters of nitrogen oxides (NO<sub>x</sub>) – a substance harmful to ecology and human health. Monitoring of emissions from shipping is a significant societal task. Currently, the only technical possibility to observe NO<sub>2</sub> emission from seagoing ships on a global scale is using TROPOMI data. A range of studies reported that NO<sub>2</sub> plumes from some individual ships can be visually distinguished on selected TROPOMI images. However, all these studies applied subjectively established pre-determined thresholds to the minimum speed and length of the ship – variables that to a large extent define the emission potential of a ship. In this study, we investigate the sensitivity limits for ship plume detection as a function of their speed and length using TROPOMI data. For this, we train a classification model to distinguish TROPOMI image patches with a ship, from the image patches, where there were no ships. This way,

we exploit ground truth ship location data to potentially exceed human visual distinguishability. To test for regional differences, we study four regions: the Mediterranean Sea, Biscay Bay, Arabian Sea, and Bengal Bay. For the Mediterranean and the Arabian Sea, we estimate the sensitivity limit to lie around a minimum speed of 10 knots and a minimum length of 150 meters. For the Biscay Bay – around 8 knots and 100 meters. We further show that when focusing the analysis on the biggest emitters (junctions of several ships in the area), the detectability can be improved up to above 0.8 ROC-AUC. Finally, we show that increasing the size of the dataset, beyond the dataset used in this study, yields further improvements in the detectability of smaller/slower ships. The rate of improvement in both experiments is dependent on the region studied. This paper is the first comprehensive study on the real-world sensitivity of the TROPOMI instrument to distinguish the NO<sub>2</sub> emission produced by seagoing ships.

*Keywords:* TROPOMI, sensitivity limits, machine learning, emissions, seagoing ships, NO<sub>2</sub>

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## 1. Introduction

International shipping is one of the biggest emitters of nitrogen oxides (NO<sub>x</sub>). The increased abundance of these gases in the atmosphere causes severe damage to human health and ecology (Corbett et al., 2007). To mitigate the negative effects caused by the shipping industry, International Maritime Organization (IMO) introduced incremental restrictions on emission levels that can be produced by individual seagoing ships (IMO, 1997, 2020). However, monitoring of ship emissions on a large scale remains a challenging task,

9 as current compliance methods have serious limitations. For instance, port  
10 state authorities conduct checks on engine room logs, and bunker delivery  
11 notes, as well as take fuel samples, but these practices are applied to only a  
12 limited number of ships. Other applied methods are on-board measurements  
13 at exhaust pipes (Agrawal et al., 2008), land- or ship-based downwind mea-  
14 surements using sniffer techniques (Lack et al., 2009; Pirjola et al., 2014), and  
15 the DOAS (differential optical absorption spectroscopy) approach (McLaren  
16 et al., 2012; Schreier et al., 2015). Alternatively, ship plume measurements  
17 are performed from airborne platforms like helicopters, small aircraft, and  
18 drones (Van Roy and Scheldeman, 2016). Mobile platforms often measure  
19 pollutant ratios during plume transects (Beecken et al., 2014) or use the  
20 DOAS technique for remote optical sensing (Berg et al., 2012). Nevertheless,  
21 these methods require proximity to the studied ships, are applied sporadi-  
22 cally, and are too costly for monitoring a global shipping fleet. As a result,  
23 there is no effective method for comprehensive and cost-efficient large-scale  
24 ship emission monitoring.

25 Several studies reported that with the TROPOspheric Monitoring Instru-  
26 ment on board the Copernicus Sentinel 5 Precursor (TROPOMI/S5P) satel-  
27 lite (Veefkind et al., 2012), some plumes from individual ships can be visually  
28 distinguished (Georgoulas et al., 2020; Kurchaba et al., 2021, 2022, 2023).  
29 However, in all those studies, the authors applied a pre-determined threshold  
30 on the minimum speed or length of the ship – the variables that are determi-  
31 nants of the level of ship emission potential. By setting such thresholds, ships  
32 whose NO<sub>2</sub> plumes are unlikely to be detected are left out of the dataset.  
33 For instance, in (Georgoulas et al., 2020) the authors studied several days of

34 TROPOMI measurements in the Mediterranean Sea, while visually analyzing  
35 ship plumes from ships longer than 200 meters (m). In (Kurchaba et al.,  
36 2021), a threshold-based ship plume segmentation approach was applied on  
37 several days of measurement from the Arabian and Mediterranean Seas. The  
38 studied ships were longer than 150 m and sailed faster than 12 knots (kt).  
39 In (Kurchaba et al., 2022), a machine-learning-based ship plume segmenta-  
40 tion model was applied to eight months of TROPOMI measurements. While  
41 the approach allows quantification of emission intensity, only ships with a  
42 speed above 14 kt were analyzed. In (Kurchaba et al., 2023), we presented  
43 an approach for the automated identification of anomalous emitters. Only  
44 ships with a length over 150 m and a speed exceeding 12 kt were taken into  
45 account. In summary, to this moment, there is no study that investigates the  
46 global/regional sensitivity of the TROPOMI instrument with respect to the  
47 NO<sub>2</sub> emission produced by individual seagoing ships related to their speed  
48 and length.

49 In this study, we investigate the sensitivity limits of a detection system  
50 for NO<sub>2</sub> plumes from seagoing ships using TROPOMI data. To tackle the  
51 problem, we prepare image patches – small, regular-sized sections of the  
52 TROPOMI measurement (image). We use the created image patches to  
53 train a machine-learning classification model. The task of the model is to  
54 distinguish image patches with at least one ship from the image patches  
55 where there are no ships. The labels of the model were created using AIS  
56 ship location data, and, therefore, are independent of the distinctivity of ship  
57 plumes by a human. This way, the first research question we address in this  
58 study can be formulated as follows: **RQ1**: What is the minimum speed and

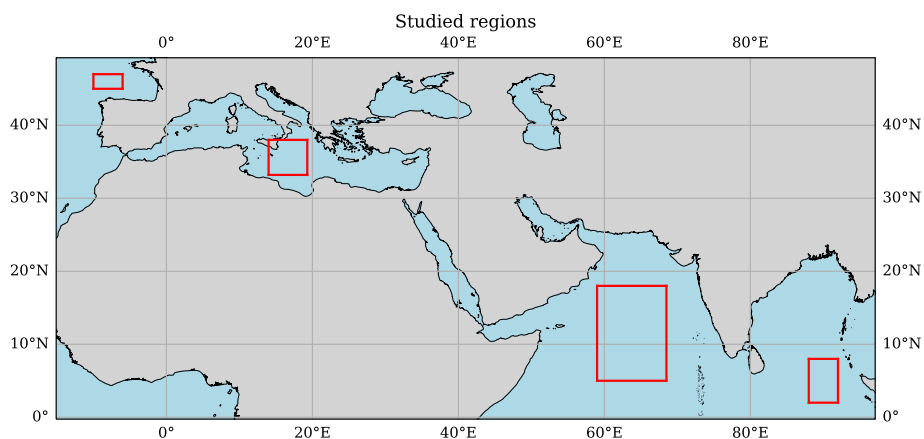


Figure 1: Red squares indicate bounding boxes of the four studied regions (from left to right): Biscay Bay, Mediterranean Sea, Arabian Sea, Bengal Bay.

59 length of a seagoing ship so that the  $\text{NO}_2$  plume from it can be detected with  
 60 the detection system using TROPOMI data? The second research question  
 61 is as follows: **RQ2**: To what extent can the detectability of  $\text{NO}_2$  plumes  
 62 be improved if only the biggest emitters are taken into account? With the  
 63 biggest emitters, we mean the biggest ships operating at the highest speeds,  
 64 or several smaller or slower ships operating in proximity to each other. We  
 65 then formulate the third research question of the paper. **RQ3**: Is there a  
 66 potential for improvement of detectability of  $\text{NO}_2$  plumes from the slow/small  
 67 ships if more data is available?

68 The study is conducted on four regions of interest: Mediterranean Sea,  
 69 Biscay Bay, Arabian Sea, and Bengal Bay (the coordinate scope see in Table  
 70 1 and Figure 1). The study areas are directed towards the Europe – Middle  
 71 East – Asia trade route, with selected areas representing low background  
 72 pollution and common occurrence of clear skies.

Region	Longitude [deg]	Latitude [deg]	Studied period
Mediterranean	(14, 19.3)	(33.2, 38)	(31-03-20; 28-02-23)
Biscay Bay	(-10, -6)	(45, 47)	(01-04-20; 28-02-23)
Arabian Sea	(59, 68.5)	(5, 18)	(31-03-20; 30-11-22)
Bengal Bay	(88, 92)	(2, 8)	(03-06-20; 31-12-22)

Table 1: Geographical coordinates and analyzed periods defining the study scope for each region.

73 The rest of the paper is organized as follows: In Section 2, we introduce  
74 the used data sources and explain how the data was pre-processed in order to  
75 obtain datasets used for machine learning models. In Section 3, we explain  
76 the experimental setup for each stage of the study and present the obtained  
77 results. We then present the discussion of the obtained results in Section 4,  
78 and conclude in Section 5.

## 79 2. Data

80 We create the dataset by combining the data from several sources: 1) the  
81 TROPOMI NO<sub>2</sub> measurements, 2) wind information, and 3) AIS (Automatic  
82 Identification System) data on ship positions. The dataset is prepared for  
83 supervised machine learning to identify image patches covering the area with  
84 a ship. With supervised learning, we aim to learn a function to predict the  
85 output for a feature vector. In our case, the output label of the function is  
86 the presence of a ship plume 'yes' – label equal 1, or 'no' – label 0. For the  
87 learning, pairs of feature vectors and corresponding output labels are given as  
88 a training set. In this Section, we describe all steps of the data preparation.

89 *2.1. Data sources*

90 Our main source of the data is the TROPOMI instrument. This is a  
91 UV-Vis-NIR-SWIR (UV, visible, near-infrared, short-wave infrared) spec-  
92 trometer with the maximum ground pixel resolution of  $3.5 \times 5.5 \text{ km}^2$  at  
93 nadir. The TROPOMI instrument is on board the Sentinel-5P satellite mis-  
94 sion – a sun-synchronous satellite with a local equatorial overpass time at  
95 13:30. The TROPOMI instrument measures an extensive list of trace gases.  
96 In this study, we focus our attention on the  $\text{NO}_2$  product<sup>1</sup>. Previous studies  
97 (Georgoulias et al., 2020; Kurchaba et al., 2021; Finch et al., 2022; Kurchaba  
98 et al., 2022, 2023) showed that with this data product, we can distinguish  
99 emission plumes from some individual seagoing ships. The  $\text{NO}_2$  gas is a re-  
100 sult of photochemical reactions of  $\text{NO}_x$  emitted by ships, which allows it to  
101 be used for ship emission monitoring. The trace gas variable of our inter-  
102 est is *Tropospheric Slant Column Density – SCD trop* (Eskes et al., 2022).  
103 In contrast to the commonly used *Vertical Column Density (VCD)*, in this  
104 study, we use *SCD trop* because we want to forego the use of the *airmass*  
105 *factor (AMF)* in the derivation process of the variable of interest. The *AMF*  
106 is calculated to convert satellite-observed *SCDs* of trace gases to *VCDs*. It  
107 accounts for the path length that sunlight travels through the atmosphere  
108 before reaching the satellite sensor, normalizing it by the amount of sunlight  
109 that would reach the surface under direct overhead conditions. However, the  
110 calculation of *AMF* to a large extent depends on the emission inventories and  
111 chemical transport models, which, in turn, rely on information about histor-

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<sup>1</sup>TROPOMI Level 2 data version: 2.4.0.

112 ical concentrations of emissions, including  $\text{NO}_2$  (Eskes et al., 2022). To avoid  
113 the potential impact of the historical data on the estimation of TROPOMI  
114 sensitivity, *SCD trop* will be used for the analysis presented in this study.

115 Information about wind speed and direction, which is crucial for under-  
116 standing plume dispersion, is taken from wind speed data from the European  
117 Center for Medium-range Weather Forecast (ECMWF) at 10 m height, avail-  
118 able with  $0.25^\circ$  resolution at a 6-hourly time step. The data is available as a  
119 support product in a TROPOMI file.

120 The used data on ship positions comes from Automatic Identification  
121 System (AIS) transponders. As of 2002, all commercial sea-going vessels are  
122 required to carry an onboard AIS transponder (Mou et al., 2010). Among  
123 others, the data include the position, speed, and unique identifier (MMSI) of  
124 each ship carrying an active transponder. Information about the dimensions  
125 of the ships is obtained from the official ship registries. Since at the moment  
126 there is no open-access AIS data available, for the scope of this study, the AIS  
127 data, as well as information about the dimensions of the ships, were provided  
128 by the Netherlands Human Environment and Transport Inspectorate (ILT)  
129 – a partner of this research.

## 130 *2.2. Data preprocessing*

131 The first step of data preparation is regridding<sup>2</sup>. This is done so that for  
132 each region we have pixels with the same spatial coverage. The regridded  
133 pixel size for each region is approximately equal to  $4 \times 5 \text{ km}^2$ . Following the  
134 set-up used in the previous studies (Kurchaba et al., 2022, 2023), for the

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<sup>2</sup>The regridding is performed using the Python package HARP v.1.13.



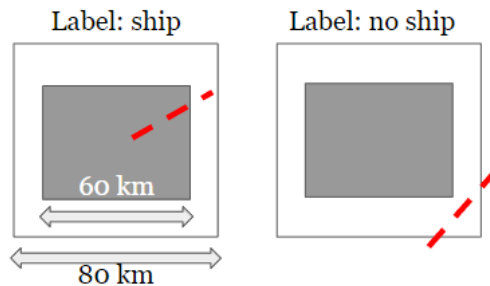


Figure 2: An illustration of the set-up used for counting the number of ships per image patch. White square – image patch. Black square – a central part of the image patch. Red dashed lines – an example of ship trajectory starting from 2 hours before until the moment of the satellite overpass. Only ships, whose trajectories cross the central part of the image patch are considered to be present in the area covered by a patch.

135 regriding, we only use pixels with cloud coverage below 0.5, wind speed  
 136 lower than 10 m/s, and *qa value* above 0.5 (Sneep, 2021). This level of  
 137 *qa value* filtering was shown to be sufficient for the identification of NO<sub>2</sub>  
 138 plumes from individual ships and is a trade-off between a high standard of  
 139 data quality, and an attempt to preserve as many data points as possible.  
 140 In the Appendix A, the reader can find an assessment of the data loss in  
 141 case *qa value* filtering was set to the level of 0.75 – the level suggested in the  
 142 TROPOMI manual (Eskes et al., 2022).

143 As a next step, we split the studied area into non-overlapping patches of  
 144 80×80 km<sup>2</sup>. The selected size of the image patch corresponds to a distance  
 145 that the fastest ships in the dataset will cover in 2 hours. The observation  
 146 period of 2 hours was motivated by the fact that due to the physical dispersion  
 147 and limited lifetime of NO<sub>2</sub> within plumes, the detectability of ship plumes  
 148 will fall sharply after 2 hours (Vinken et al., 2011). For each image patch,  
 149 we calculated how many ships were in the central area of the patch within

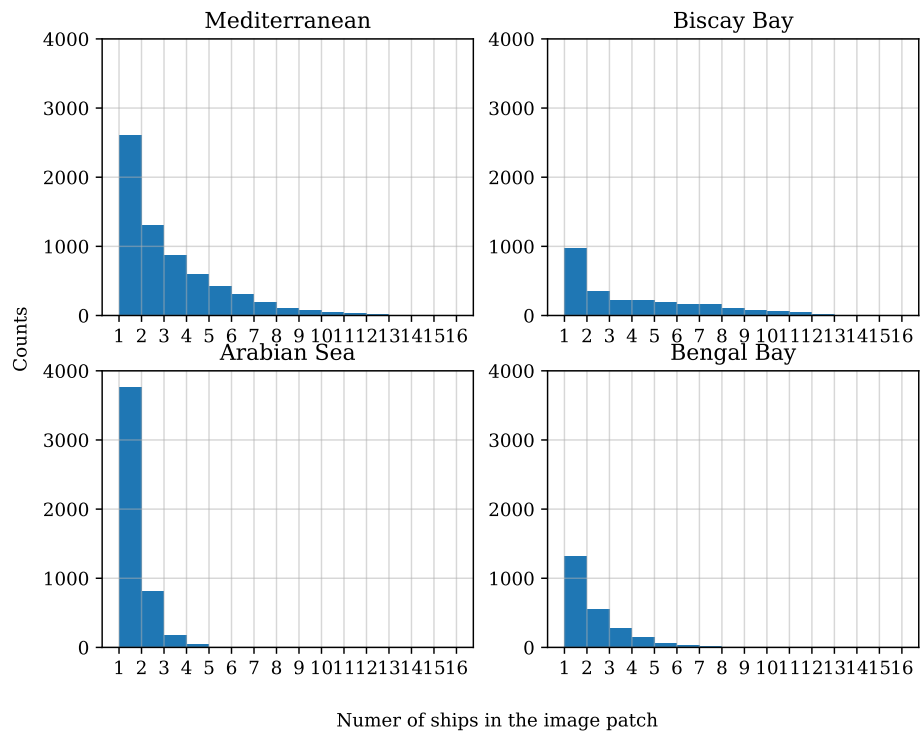


Figure 3: Distribution of ship number per image patch for the studied regions.

Region	Ship image	No ship image
Mediterranean	6652	9693
Biscay Bay	2641	2812
Arabian Sea	4804	24594
Bengal Bay	2444	6848

Table 2: Class-wise distribution of image patches for each studied region. The rate of imbalance depends on the traffic density in the region.

150 2 hours before the overpass of the satellite. The central area of the patch is  
151 defined as a  $60 \times 60$  km<sup>2</sup> square. We do not take into account ships that do  
152 not pass through the central area of the image patch, as the probability that  
153 their plume will be located within the image patch is very low. An example is  
154 presented in Figure 2. The resulting distribution of the number of ships per  
155 image patch for each studied region can be found in Figure 3. Please note the  
156 regional differences in the distribution of ships among patches. The Arab Sea  
157 typically has a high number of patches with a single ship. The Biscay Bay, in  
158 comparison to other regions, has the highest number of patches with a high  
159 number of ships in it. These patterns illustrate the differences in shipping  
160 density among the studied regions.

### 161 2.3. Preparation of the dataset

162 To study the sensitivity of the TROPOMI satellite with respect to the  
163 detection of NO<sub>2</sub> plumes from seagoing ships, we prepare a dataset for super-  
164 vised machine learning. The objective is to distinguish image patches that  
165 cover the area where there was no ship, from image patches covering the area

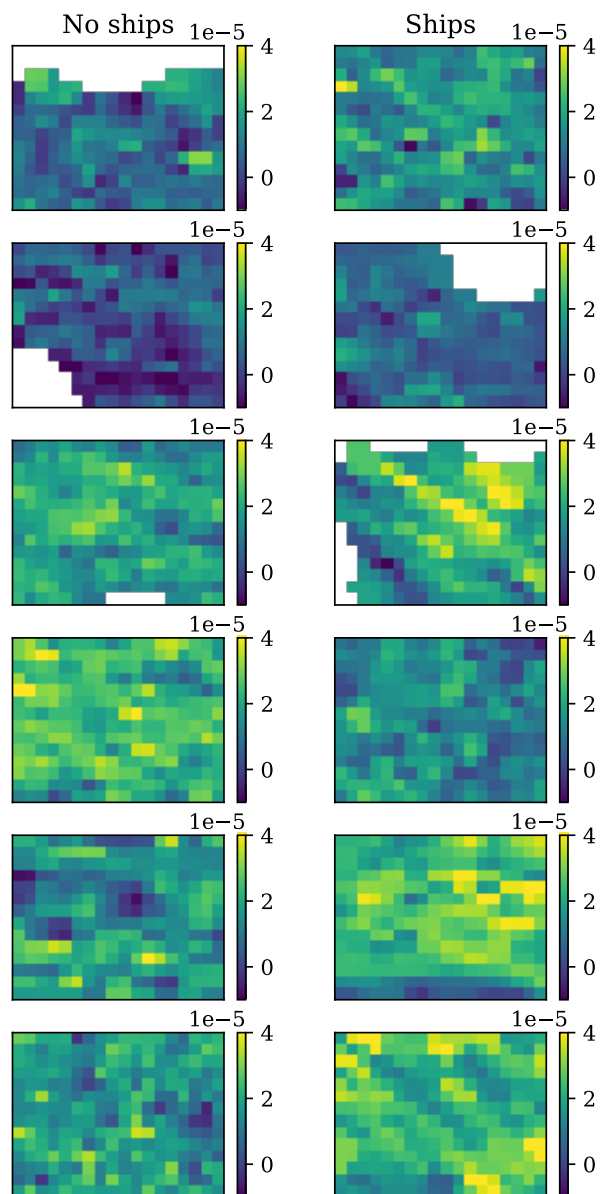


Figure 4: Examples of image patches without a ship and with at least one ship on it. The presented image patches were randomly sampled from the dataset of the region Biscay Bay. Not all images of the second column contain a ship plume, which means that ships present in the area covered by a patch are likely to be below the sensitivity limit of the TROPOMI instrument.

166 with at least one ship on it. Since this is a binary problem, the value of the  
167 output label is 1, if there is at least one ship that is faster than 6 kt, which  
168 is approximately 11.1 km/h and longer than 90 m in the area covered by an  
169 image patch. The output label is 0, if there is no ship in the area, or the ship  
170 is shorter than 90 m or slower than 6 kt. The values of 90 m and 6 kt are  
171 sufficiently low to be well below detectable limits as will also follow from this  
172 study. Table 2 shows the resulting distribution of classes for studied regions.  
173 Examples of image patches without (label 0) and with at least one ship on  
174 it (label 1) are presented in Figure 4. We can see that not all image patches  
175 with a ship actually contain a visually distinguishable plume. This is because  
176 the NO<sub>2</sub> plumes produced by some ships are below the sensitivity limit of  
177 the TROPOMI instrument, or we are not able to distinguish it visually.

178 We address the classification problem with a multivariate classifier. There-  
179 fore, we represent the TROPOMI image patches in terms of a set of features  
180 - a statistical representation of the image patch. For the regridded pix-  
181 els of each image patch, we calculate the following statistics:  $\min(SCD)$ ,  
182  $\text{mean}(SCD)$ ,  $\text{median}(SCD)$ ,  $\text{max}(SCD)$ ,  $\text{std}(SCD)$ , where SCD stands for  
183 NO<sub>2</sub> slant column density. To give information about the level of plume  
184 dispersion, we add wind-related variables *zonal wind velocity (wind zon)*,  
185 *meridional wind velocity (wind med)*, which represent the speed of the wind  
186 from the west to east and from south to north respectively. Finally, we add  
187 features *sensor zenith angle*, *solar zenith angle* and *solar azimuth angle* to  
188 represent the viewing geometry of the satellite. Values for wind information  
189 and satellite geometry are the average values of the pixels within the image  
190 patch. The resulting feature set is presented in Table 3. In Appendix B, the

191 reader can find histograms of the dataset features for the studied regions.  
192 Clearly, the features related to the properties of ships cannot be included in  
193 the feature space, because the presence of a ship has to be established. More-  
194 over, we deliberately do not include any features in the feature set related to  
195 the geographic locations of a given patch. This is because shipping lanes may  
196 bias the model. The dataset used in this study as well as the code used for  
197 generating the presented in this study results are available publicly as a re-  
198 producibility capsule (Kurchaba et al., 2024). Prior application of a machine  
199 learning model, all features were standardized using a median-interquartile  
200 range scaling<sup>3</sup> – a scaling technique that allows to reduce a negative impact  
201 of the outliers in the dataset (Fabian, 2011).

### 202 **3. Experiments and results**

203 In this Section, we describe the experiments and show the results ob-  
204 tained. We start with introducing the classification model – we present model  
205 selection and hyperparameter optimization results. For the selected model,  
206 we provide the explainability analysis. Next, in the consecutive subsections,  
207 we explain and provide the results of the experiments addressing the three  
208 research questions of this study.

#### 209 *3.1. Classification model*

##### 210 *3.1.1. Experimental setup*

211 As a first step, we compared the performance of several multivariate clas-  
212 sifiers and selected the one that is going to be used in the remaining part

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<sup>3</sup>RobustScaler implemented in scikit-learn v.1.2.2.

<b>Feature type</b>	<b>Feature name</b>
NO <sub>2</sub> slant column density	min(SCD)
	mean(SCD)
	median(SCD)
	max(SCD)
	std(SCD)
Wind information	zonal wind velocity
	meridional wind velocity
Satellite geometry	sensor zenith angle
	solar zenith angle
	solar azimuth angle

Table 3: List of features used for classification model.

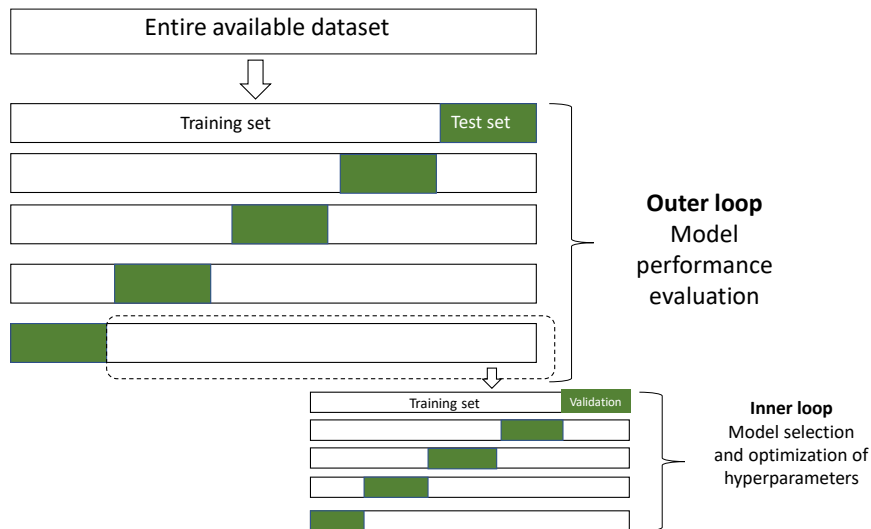


Figure 5: Nested cross-validation. Applied scheme of hyperparameter optimization and model selection. Source: (Kurchaba et al., 2023).

213 of the paper for the sensitivity analysis. We studied four machine learning  
 214 classifiers of increasing complexity: Logistic regression, Support Vector Ma-  
 215 chine (SVM) with the radial basis function (rbf) kernel, Random Forest<sup>4</sup>,  
 216 and Extreme Gradient Boosting<sup>5</sup> (XGB) (Chen and Guestrin, 2016). All  
 217 selected models are robust to noise and can be efficient even given the rel-  
 218 atively small size of datasets. To make sure that we exploit the maximum  
 219 potential of a given machine learning model, we optimized the hyperparam-  
 220 eters of each studied model. The hyperparameters were optimized using a  
 221 random search<sup>6</sup> technique with the objective metrics - *average precision*. The

<sup>4</sup>All above-mentioned models are implemented in Python scikit-learn v.1.2.2.

<sup>5</sup>XGBoost v. 1.7.0

<sup>6</sup>Implemented in Python scikit-learn v.1.2.2.



222 used search space of the hyperparameters for each of the studied models is  
223 provided in Appendix C. To be able to simultaneously perform the hyper-  
224 parameter optimization and evaluation of the model performance, we used  
225 5-fold nested cross-validation (Stone, 1974; Cawley and Talbot, 2010). The  
226 general setup of nested cross-validation is as follows: In the outer loop of  
227 cross-validation, the entire dataset is split into  $K$  subsets (folds). Since we  
228 applied 5-fold cross-validation, in our case,  $K = 5$ . The model is trained  
229 on  $K-1$  subsets, while the remaining subset is used for the model evaluation.  
230 This procedure is repeated  $K$  times. Within each iteration of the outer loop,  
231 an inner cross-validation loop is performed. The training data from the outer  
232 loop is further split into  $K-1$  subset for training and one subset for validation.  
233 Different model hyperparameters are tested using the training and validation  
234 sets in the inner loop. The model with the best performance on the inner  
235 loop validation set is selected. The selected model from the inner loop is then  
236 evaluated on the test set from the outer loop. For visual explanation, see  
237 Figure 5. To maintain the same percentage of samples of a certain label in  
238 the training and test set, the cross-validation was based on *stratified K-fold*  
239 splits (Hastie et al., 2009; Géron, 2022). The set of hyperparameters yielding  
240 the best results at each iteration of cross-validation is provided in Appendix  
241 D. The metrics used for models’ performance evaluation were *precision-recall*  
242 *curve* – a curve depicting precision as a function of recall (explanation of the  
243 terms is provided below), *average precision* – the area under the *precision-*  
244 *recall curve*, the *Receiver Operating Curve (ROC)* – curve visualizes True  
245 Positive Rates as a function of False Positive Rates, and the *Area Under*  
246 *the Receiver Operating Curve (ROC-AUC)*. We defined the above-mentioned

247 terms as follows:

$$Precision = \frac{TP}{TP + FP} \quad (1)$$

$$Recall = True\ positive\ rate = \frac{TP}{TP + FN}, \quad (2)$$

$$False\ positive\ rate = \frac{FP}{FP + TN}, \quad (3)$$

248 where  $TP$  stands for true positives and corresponds to the image patches  
249 with a ship, which were correctly identified by the classifier.  $FP$  – false  
250 positives correspond to image patches covering an area without any ship,  
251 but that were identified by a classifier as ones with a ship.  $FN$  stands for  
252 false negatives and corresponds to image patches that were not classified as  
253 a patch with a ship but, in fact, were covering an area with a ship on it.

### 254 3.1.2. Results

255 The classification results are presented in Table 4. Comparing the per-  
256 formances between different classifiers, we can see that the XGB classifier  
257 yielded the best results for most of the regions – we used this classifier for  
258 the remaining experiments of this study. Comparing the results between re-  
259 gions, we start with ROC-AUC. The highest achievable score of ROC-AUC  
260 is equal to 1. While the ROC-AUC score that will be obtained in case of  
261 random guessing is 0.5. The ROC-AUC score is calculated based on the ROC  
262 curve. For the XGB classifier, it is presented in the right-hand side plot of  
263 Figure 6. The scores for Biscay Bay and the Mediterranean Sea are higher

Region	Model	Average Precision	ROC-AUC
Mediterranean	XGB	<b>0.636 ± 0.013</b>	<b>0.712 ± 0.011</b>
	Random Forest	0.629 ± 0.018	0.706 ± 0.016
	SVM (rbf)	0.615 ± 0.015	0.694 ± 0.013
	Logistic	0.448 ± 0.008	0.546 ± 0.009
Biscay Bay	XGB	<b>0.704 ± 0.021</b>	<b>0.713 ± 0.015</b>
	Random Forest	0.620 ± 0.025	0.652 ± 0.022
	SVM (rbf)	0.573 ± 0.020	0.589 ± 0.014
	Logistic	0.523 ± 0.013	0.541 ± 0.018
Arabian Sea	XGB	0.226 ± 0.007	0.610 ± 0.008
	Random Forest	<b>0.229 ± 0.006</b>	<b>0.618 ± 0.006</b>
	SVM (rbf)	0.195 ± 0.004	0.545 ± 0.007
	Logistic	0.169 ± 0.003	0.498 ± 0.008
Bengal Bay	XGB	<b>0.379 ± 0.017</b>	<b>0.601 ± 0.01</b>
	Random Forest	0.364 ± 0.016	0.601 ± 0.010
	SVM (rbf)	0.346 ± 0.006	0.560 ± 0.016
	Logistic	0.289 ± 0.015	0.542 ± 0.016

Table 4: Results of the optimization of the classification models’ hyperparameter. The reported results were obtained on the hold-out test sets based on nested 5-fold cross-validation (Stone, 1974; Cawley and Talbot, 2010). The bold font indicates the performance of the best model for a given region.

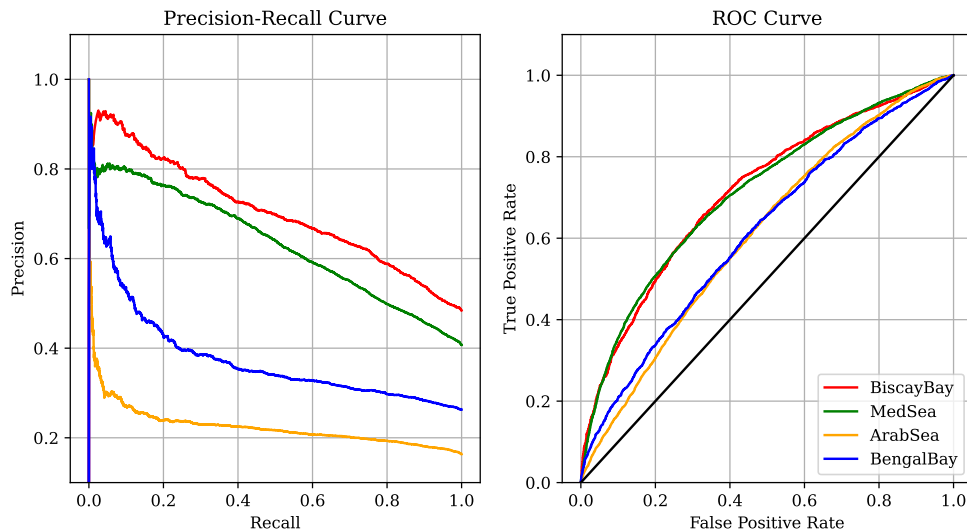


Figure 6: Precision-recall and ROC curves for the studied regions. The black line in the right panel – performance of a random guess classifier.

264 than for the Arabian Sea and Bengal Bay. One of the reasons for this differ-  
 265 ence might be that the regions Biscay Bay and the Mediterranean Sea have  
 266 a higher overall number of ships per image patch (and, therefore, a higher  
 267 percentage of potentially well-recognizable plumes) than the two remaining  
 268 regions, c.f. Figure 3. Next, we compare the scores of average precision.  
 269 Also in the case of this metric, a perfect classifier would have a score of 1.0,  
 270 while a random guess classifier would have an average precision score equal  
 271 to the ratio of positive samples in the dataset. The average precision score is  
 272 calculated based on a precision-recall curve, which is presented in Figure 6,  
 273 left-hand-side plot. Due to the different rates of class imbalance of datasets  
 274 from different regions, the average precision scores from the Table are diffi-  
 275 cult to compare directly. However, analyzing the precision recall-curves, we  
 276 can conclude the following: the performance of the classifiers on Biscay Bay

277 and Mediterranean Sea regions are very close to each other and the difference  
278 between the obtained average precision scores is mainly caused by a slightly  
279 different class imbalance. The lower average-precision scores for the regions  
280 Bengal Bay and Arabian Sea are also to a big extent a result of the fact  
281 that those datasets contain fewer image patches with a ship than two other  
282 regions. However, in the case of Bengal Bay, for the lower rates of recall, we  
283 can observe quite high values of precision. This signalizes the fact that there  
284 is a set of images that the model can quite confidently correctly recognize.  
285 This is not the case for the Arabian Sea, which implies better performance  
286 of the classification model on the Bengal Bay region in comparison to the  
287 Arabian Sea. For all regions, it is important to underline that the reported  
288 performances of the models were negatively affected by the presence of ships  
289 whose size and speed are known to be too small or slow to be detected by the  
290 TROPOMI instrument, which is a cause of the topic of this research, that is  
291 the study of the detection limits.

### 292 *3.1.3. Explainability analysis*

293 As a next step, we would like to understand which of the used features  
294 are the strongest indicators of the presence of a ship in the area for the XGB  
295 model. For this, we perform the explainability analysis using the SHapley  
296 Additive exPlanations (SHAP) (Lundberg and Lee, 2017) summary plots  
297 (see Figure 7). The plots indicate the strength of the impact of a value  
298 of a certain model feature on the model outcome (positive or negative) for  
299 individual samples from the test set. The red and blue colors show the effects  
300 of a certain feature’s high and low values respectively.

301 We can see that for the Mediterranean Sea, and Biscay Bay, the fea-

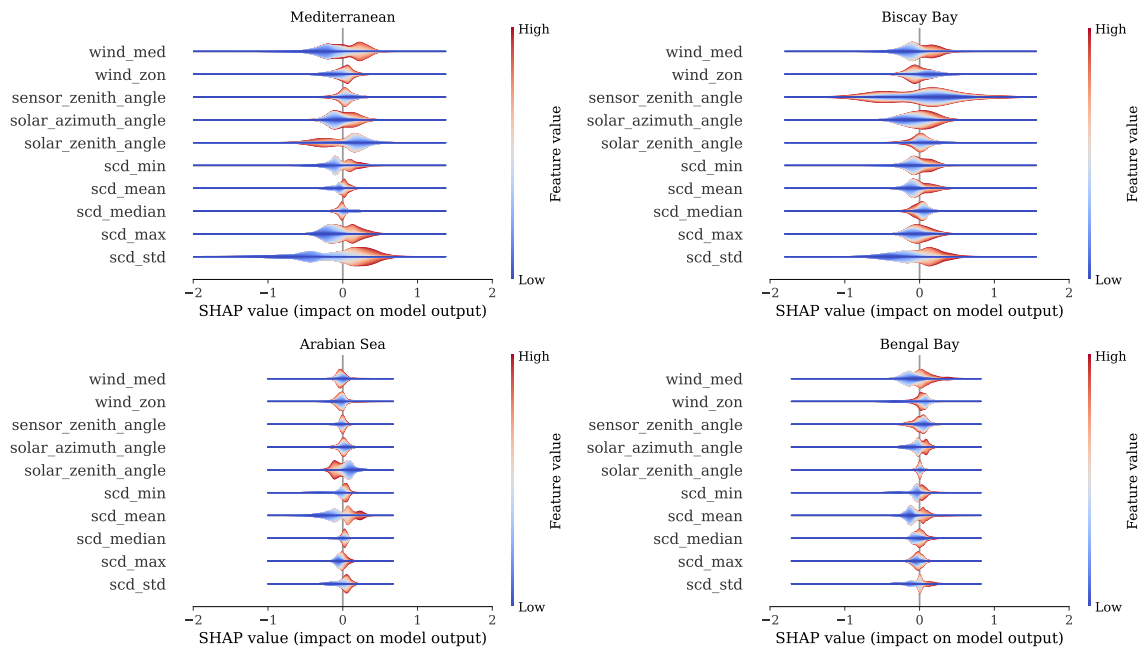


Figure 7: SHAP violin plots on concatenated test sets for each studied region.

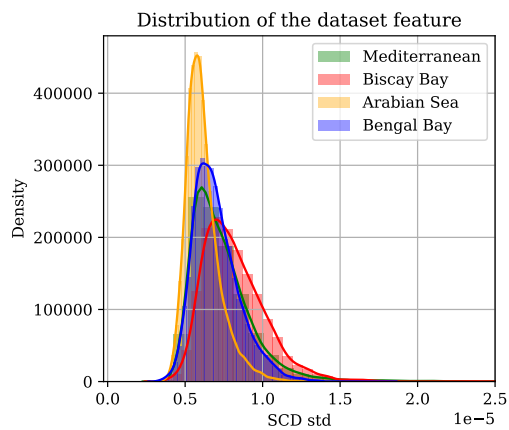


Figure 8: Distribution of the variable *scd std* for four studied regions. For the Arabian Sea, the distribution is noticeably more narrow than for other regions.

302 ture having the strongest impact on the decision of the model the most is  
303 *scd std*, representing the standard deviation of stratospheric column density  
304 within the image patch. In the case of the Mediterranean Sea, *scd max* and  
305 *solar zenith angle* also play significant roles. Interestingly, the direction of  
306 the meridional wind also has a strong influence on the model’s decision in  
307 the Mediterranean Sea. From the plot, we see that the negative meridional  
308 wind corresponds to strong negative model responses, potentially due to land  
309 outflow from Europe affecting ship plume visibility. In the Arabian Sea and  
310 Bengal Bay regions, the strongest impact on the model response is attributed  
311 to the values of the feature *scd mean*. Notably, for the Arabian Sea, high  
312 values of *scd std* do not necessarily indicate the presence of a plume, possibly  
313 because as we can see from Figure 8, standard deviations of NO<sub>2</sub> concentra-  
314 tions in this region are typically lower compared to others. Low values of *scd*  
315 *std*, however, are used by the model as a strong suggestion of the absence of  
316 a plume in the image patch. Finally, one can notice that for Biscay Bay, the  
317 feature *sensor zenith angle* is of great importance. However, since we do not  
318 see a clear split into high/low values for positive/negative model outcomes,  
319 the influence of the feature on the model response will depend on the values  
320 of other features (Friedman and Popescu, 2008; Hastie et al., 2009). From  
321 this experiment, we can conclude that the same machine learning models  
322 applied to different studied regions not only yield different quality of results  
323 but are also driven by different sets of features.

324 *3.2. RQ1: Sensitivity limits estimation*

325 *3.2.1. Ship emission proxy – definition*

326 In this Subsection, we address the first research question: What is the  
327 minimum speed and length of a seagoing ship so that the NO<sub>2</sub> plume from it  
328 can be detected with the detection system based on TROPOMI data? With  
329 the detection system we mean a sequence of steps needed to automatically  
330 detect an NO<sub>2</sub> plume from a ship on a TROPOMI image patch. The first step  
331 of this sequence is a measurement performed by the TROPOMI sensor. The  
332 last step is the application of a trained machine-learning model on the set of  
333 unseen image patches with the aim of distinguishing patches covering the area  
334 with a ship. In (Georgoulas et al., 2020), it was shown that the length and  
335 the speed of the ship are the main factors determining the emission potential  
336 of the ship. Following the considerations presented in (Georgoulas et al.,  
337 2020), in order to decrease the level of problem complexity, we represent  
338 the speed and length of the studied ship in terms of one variable – the ship  
339 emission proxy  $E_s$  (Georgoulas et al., 2020) defined as:

$$E_s = L_s^2 \cdot u_s^3 \quad (4)$$

340 where  $L_s$  is the length of the ship in  $m$  and  $u_s$  is the speed of the ship in  
341  $m/s$ . If there is more than one ship in the area covered by the image patch,  
342 the total emission proxy is computed as the sum of the  $E_s$  for all ships in  
343 this area. For the purpose of this paper, we define the sensitivity limit of  
344 the detection system for NO<sub>2</sub> plumes from seagoing ships using TROPOMI  
345 data for a given region as the level of ship emission proxy  $E_s$ , starting from  
346 which the classification model can distinguish image patches without a ship  
347 from image patches with a ship.



<b>Region</b>	<b>Average Precision</b>	<b>ROC-AUC</b>
Mediterranean	$0.538 \pm 0.036$	$0.518 \pm 0.038$
Biscay Bay	$0.539 \pm 0.053$	$0.513 \pm 0.067$
Arabian Sea	$0.563 \pm 0.035$	$0.560 \pm 0.031$
Bengal Bay	$0.564 \pm 0.054$	$0.540 \pm 0.060$

Table 5: Model performance when only considering the one-ship patches with the emission proxy below 10% quantile.

348 *3.2.2. The lowest emitters in the dataset*

349 Given the provided definition of the sensitivity limit, our initial investi-  
350 gation evaluates the classification model’s performance using image patches  
351 with the lowest total emission proxy. For this, we first exclusively chose  
352 patches covering a single ship. Then, from the selected subset, we further  
353 narrowed our selection to those patches with an emission proxy falling below  
354 the 10% quantile of all one-ship patches.

355 To ensure comparability of performance metrics between areas and sam-  
356 ples with different ship proxy values, we took a sample with an equal number  
357 of patches with and without a ship covered by the patch. To make sure that  
358 all image patches with and without ships that satisfy the above-provided  
359 criteria are used for the model training and evaluation, we repeated the sam-  
360 pling procedure 5 times. Subsequently, we conducted a 5-fold cross-validation  
361 for each set of sampled data points. The averaged results over the five folds  
362 are presented in Table 5.

363 The outcomes indicate that none of the regions allowed for distinguishing

Proxy thresholding experiment

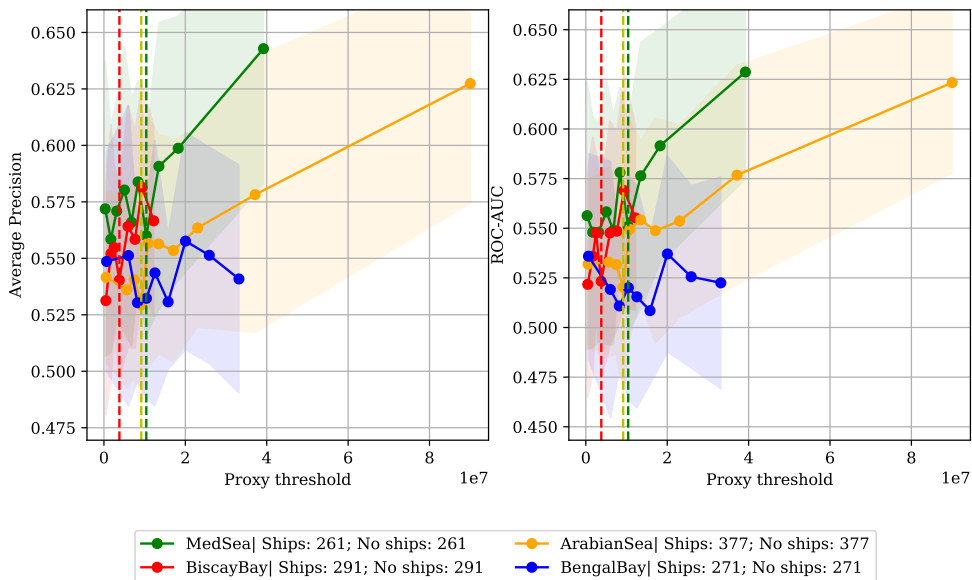


Figure 9: Step-wise removal of the patches (containing one ship) with the lowest emission proxy. Dashed lines indicate estimated levels of sensitivity limits for the Biscay Bay, Mediterranean, and Arabian Seas. To assure the comparability of the results, a similar size of training/test datasets was used at each threshold level.

364 patches with a ship, as the ROC-AUC/Average precision values obtained  
 365 were not significantly higher than 0.5. Consequently, we infer that the ships  
 366 with the lowest emission proxies in our dataset fall below the sensitivity limit  
 367 of the detection system for NO<sub>2</sub> plumes from seagoing ships using TROPOMI  
 368 data.

### 369 3.2.3. On sensitivity limits of TROPOMI data-based detection system

370 In the next experiment, we checked what the emission proxy threshold  
 371 for the ship plumes detectability is. Here, we again considered only image  
 372 patches with one ship on it. We then gradually removed ships with the

373 lowest emission proxy from the dataset, analyzing the changes in the model  
374 performance.

375 The applied emission proxy thresholds were determined as a range of  
376 quantiles starting from 10% and gradually increasing by 10%, until it reaches  
377 90%. If after reaching a certain level of threshold, the number of patches  
378 with a ship (label 1) went below 300, the experiment was terminated and  
379 the next thresholding levels were not tested<sup>7</sup>. The criterion of 300 patches  
380 was established based on the number of patches with a ship left after a 90%  
381 threshold applied for the region with the highest number of one-ship patches  
382 available (Arabian Sea).

383 Clearly, by removing the image patches with the proxy values below a  
384 certain threshold, we decreased the size of the dataset. To eliminate the  
385 potential effect of the dataset size on the model performance, throughout  
386 the experiment, we kept the dataset size constant. To achieve this, for each  
387 applied threshold, we sampled the number of data points equal to the number  
388 of data points available for the highest applied threshold. As in the previous  
389 experiment, we repeated the sampling procedure 5 times. For each set of  
390 sampled data points, we performed a 5-fold cross-validation.

391 The results of the experiment are presented in Figure 9. We can see that  
392 for the lowest thresholds, for all four regions, the average performance quality  
393 did not change. This means that the removed ships were still below the  
394 sensitivity level of the detection system for NO<sub>2</sub> plumes from seagoing ships  
395 using TROPOMI data. From a certain threshold (indicated with dashed

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<sup>7</sup>This way, the highest applied threshold for Biscay Bay was 70% and for Bengal Bay 80% quantile.

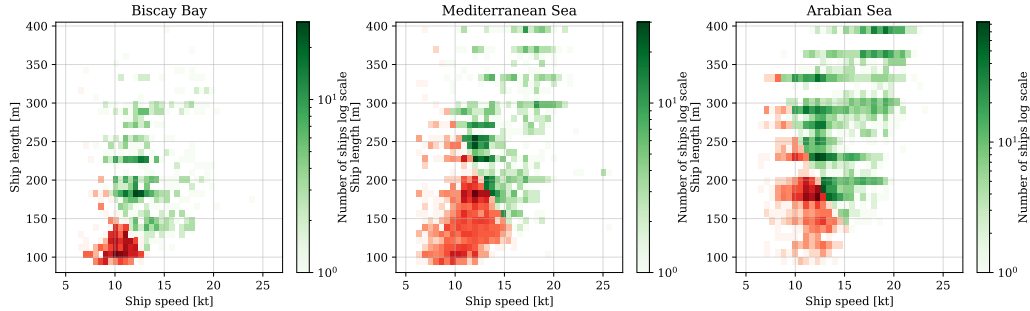


Figure 10: 2D histograms of speed and lengths for ships that are above (green) and below (red) the estimated sensitivity limits for the Biscay Bay, Mediterranean, and Arabian Seas.

396 lines on the plot), however, the model performance started to increase. The  
 397 level of the ship emission proxy threshold starting from which we observe  
 398 the improvement of the performance of the model is the sensitivity limit of  
 399 the detection system for  $\text{NO}_2$  plumes from seagoing ships using TROPOMI  
 400 data for a given region. For the Mediterranean and the Arabian Sea, the  
 401 sensitivity limit in terms of ship emission proxy was established to be around  
 402  $1 \times 10^7 m^5/s^3$ . For the Biscay Bay, the sensitivity limit is lower and is around  
 403  $3.8 \times 10^6 m^5/s^3$ . To get the intuition around these numbers, we return to the  
 404 values of speed and length of the ship. To achieve this, for the regions of  
 405 the Biscay Bay, Arabian, and Mediterranean Seas, in Figure 10, we present  
 406 2D histograms of the speed and length of ships that are above (green color)  
 407 and below (red color) the estimated sensitivity limits. From the histograms,  
 408 we conclude that to distinguish  $\text{NO}_2$  plumes, the minimum speed of the ship  
 409 for the Arabian and Mediterranean Seas should range between 10 and 15 kt  
 410 depending on the length of the ship. Ships that are slower than 10 kt or  
 411 shorter than 150 m are below the sensitivity limit. For the Biscay Bay, the  
 412 limit lies around 8 kt and 100 m. For Bengal Bay, the sensitivity limit cannot

413 be determined since the available amount of data did not allow us to raise  
414 the proxy threshold high enough to see the increase in the performance of  
415 the model. However, when comparing the curve dynamics of the Bengal Bay  
416 with other regions, the obtained pattern suggests that the sensitivity limit  
417 for this region is higher than for the Arabian and Mediterranean Seas.

### 418 *3.3. RQ2: On detection of the biggest emitters*

419 Our second research question is how the detectability of NO<sub>2</sub> plumes can  
420 be improved if only the biggest emitters are taken into account. Our aim here  
421 is to understand the potential of the detectability of NO<sub>2</sub> plumes when the  
422 total emission proxy is very high. The high emission proxy can result from  
423 a big ship operating at a high speed, or smaller or slower ships operating  
424 in proximity to each other. Therefore, in this experiment, we considered all  
425 image patches (without, with one, or with more than one ship on it). This  
426 way, in some of the image patches, there will be more than one ship with a  
427 high emission proxy present.

428 As in the previous experiment, we gradually removed from the dataset the  
429 image patches with the lowest total emission proxy. Once again we studied  
430 how the removal of the low emitters affects the quality of classification. The  
431 thresholds used for the proxy filtering were determined as quantiles of the  
432 proxy values of the dataset of a given region. For the Mediterranean and  
433 Arabian Sea, the applied quantiles ranged from 0 to 90%. For the Biscay  
434 and Bengal Bay, due to the smaller sizes of the datasets, the applied quantiles  
435 ranged from 0 to 80%.

436 In Figure 11, we present the results of the experiment. For each of the  
437 studied regions, we can observe an increase in the model performances. We

Proxy thresholding experiment

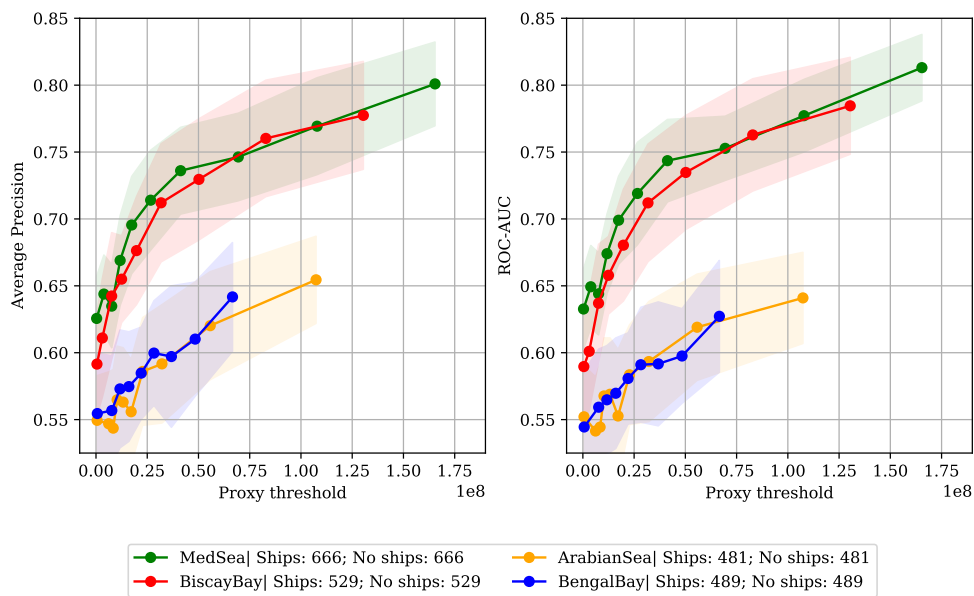


Figure 11: Illustration on how the step-wise removal of the image patches with the lowest total emission proxy from the dataset affects the performance of the classification model.

438 can see that for the Mediterranean Sea, for the patches with the highest total  
439 emission proxy, the ROC-AUC score can exceed 0.8. For the regions Arabian  
440 Sea and Bengal Bay, the level of the results is noticeably lower. This pattern  
441 in the results is similar to what we observed in Subsection 3.1.

442 As a next step, we checked if the dependency between the applied proxy  
443 threshold and classification performance is impacted by a certain hyperpa-  
444 rameter configuration of the XGB model. We would like to know to which  
445 extent we can improve the quality of classification for the image patches with  
446 the highest total emission proxy. For this, we studied two configurations of  
447 the dataset. In the first case, we applied the highest proxy threshold for  
448 the given region (the last data point from the corresponding plots of Figure  
449 11). In the second case, we did not apply any proxy threshold but kept the  
450 dataset size equal to the case when the proxy threshold was applied (the sce-  
451 nario corresponds to the first data point of the corresponding plots of Figure  
452 11). For each of the datasets, we performed optimization of the hyperparam-  
453 eters of the classification model, in the same way as it is explained in 3.1.  
454 We then compared the performance of the models for both scenarios. The  
455 results are presented in Figure 12. For all studied regions, we can see that  
456 the quality of detecting  $\text{NO}_2$  plumes from ships can be improved if only the  
457 image patches with the highest total emission proxy are considered. Based  
458 on this, we conclude that the dependencies shown in Figure 11 are not the  
459 results of a particular model configuration, but rather a property of data.  
460 However, we can see that the optimization of the hyperparameters of the  
461 model did not result in the improvement of the model performance.

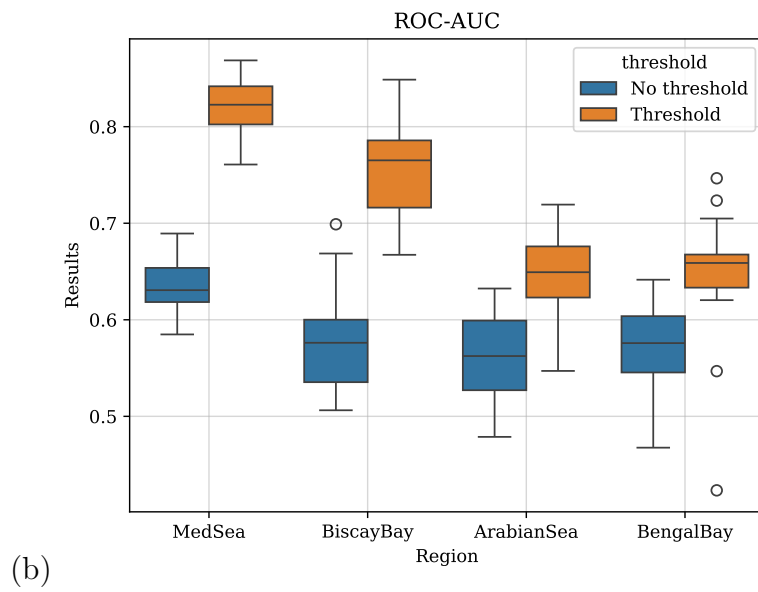
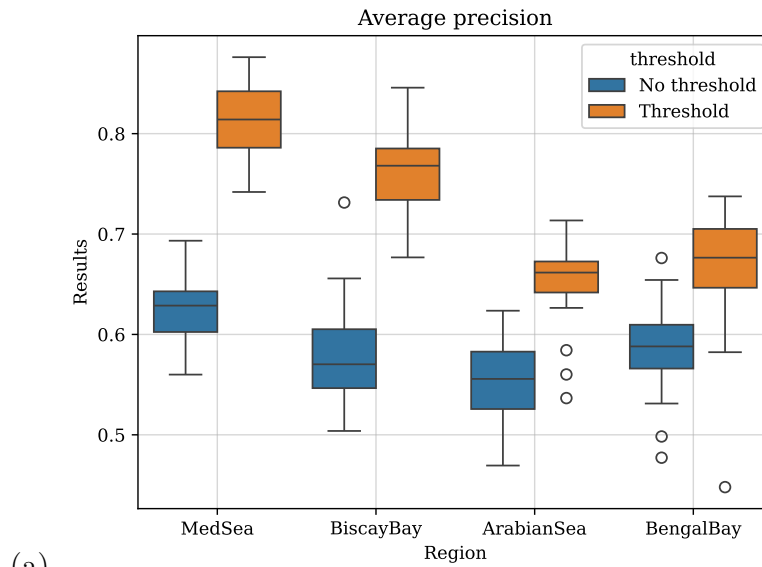


Figure 12: Comparison of the performance of the model when all ship images are in the dataset and when only images with the proxy above the predetermined proxy threshold are used.



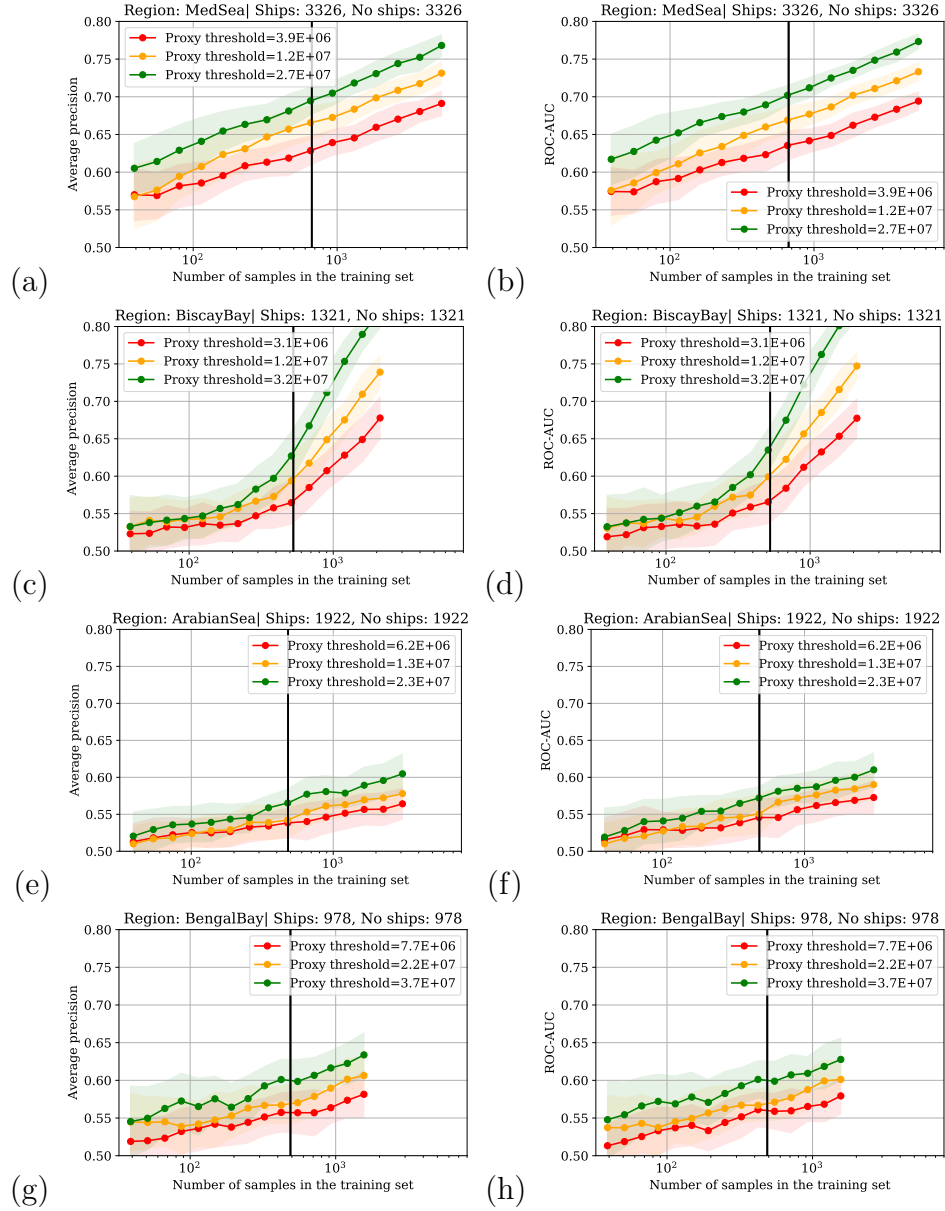


Figure 13: Learning curves for different levels of the applied thresholds. The black line indicates the dataset size that was used for the experiments reported in Figures 11, 12.

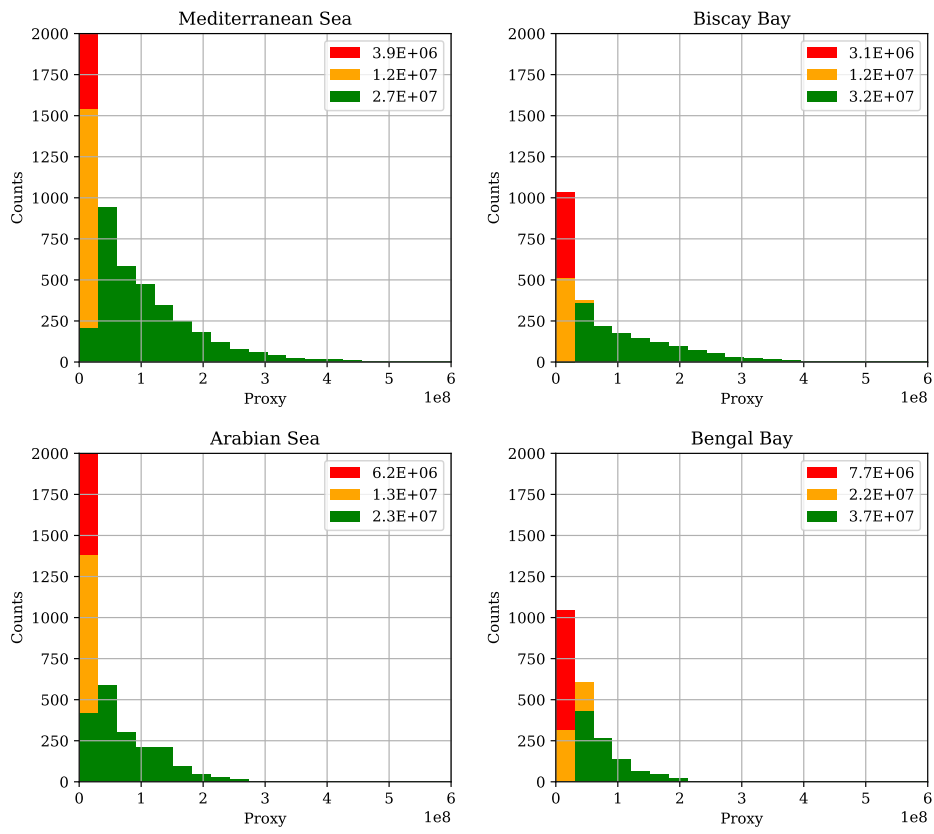


Figure 14: Change of the ship proxy distribution after applying thresholds as in Figure 13.

462 3.4. RQ3: On potential improvement of small ship detectability

463 In this Subsection, we address the third research question of the study.  
464 Namely, we investigate whether there is a potential for improvement of de-  
465 tectability of NO<sub>2</sub> plumes from the slow/small ships if more data would be  
466 used for the training of the classification model. For each region, we selected  
467 three proxy thresholding levels and studied the change in the model perfor-  
468 mance with the growth of the size of the dataset used for the model training.  
469 We focus here on the low thresholds. The used thresholds were set as 10%,  
470 30%, and 50% quantiles of the proxy value for the Mediterranean Sea and  
471 Biscay Bay. For the Arab Sea and Bengal Bay, the applied thresholds were  
472 10%, 40%, and 60% due to the fact that the model performances on the low-  
473 est quantiles were indistinguishable. Similarly to the previous experiment,  
474 the maximum size of the dataset was defined by the number of data points  
475 in the dataset with the proxy value higher than the highest among the three  
476 applied thresholds.

477 The resulting learning curves for each of the studied regions are presented  
478 in Figure 13. We can see that for all studied regions, the results shown in  
479 Figure 11 can be improved by using more data for model training. We also  
480 observe that for the regions Biscay Bay and Mediterranean Sea, more data  
481 results in a more significant increase in performance, than for the Arabian  
482 Sea and Bengal Bay. To explain this, in Figure 14, we present the distribution  
483 of the variable ship emission *Proxy* for each consecutive threshold applied.  
484 The histograms show that for the Biscay Bay and the Mediterranean Sea,  
485 there are many more image patches with high values of total emission proxy  
486 than for the Arabian Sea and Bengal Bay. As a result, even after removing

487 from the dataset the image patches with the lowest total emission proxy, for  
488 such regions as the Arabian and Bengal Bay, the models are still trained on  
489 significantly lower total emission proxies than the models for the Biscay Bay  
490 and the Mediterranean Sea.

#### 491 **4. Discussion**

492 The main objective of this study was to investigate the sensitivity limits  
493 of a detection system for NO<sub>2</sub> plumes from seagoing ships using TROPOMI  
494 data, considering the speed and length of the ships that we expressed through  
495 the means of ship emission proxy. By the detection system, we mean a se-  
496 quence of steps starting from the signal measurement by the sensor, followed  
497 by data retrieval, and finally the application of the developed methodology  
498 of automated detection of ship plumes. Each of these steps influences the  
499 numbers obtained in this study.

500 To be able to address the problem of sensitivity estimation, we build a  
501 methodology based on machine-learning classification models. This approach  
502 allowed us to effectively exploit the TROPOMI signal and contextual infor-  
503 mation while automatically separating the image patches into those, where  
504 the NO<sub>2</sub> plumes can and cannot be detected. The choice of a multivariate  
505 model enabled us to take into account features important for satellite sen-  
506 sitivity, such as wind and satellite/solar viewing angles. Studying several  
507 machine learning classifiers of increasing complexity, we found that the XGB  
508 model yielded the best performance across most regions. This shows the  
509 importance of the application of complex machine-learning models for the  
510 effective identification of TROPOMI image patches with NO<sub>2</sub> plumes from

511 ships with a relatively low number of features.

#### 512 *4.0.1. RQ1*

513 With the first research question, we attempted to determine the minimum  
514 speed and length of seagoing ships for which the TROPOMI data-based  
515 detection system can detect NO<sub>2</sub> plumes. We first showed that while the  
516 smallest ships considered in our dataset are below the detection limit of the  
517 system, once reaching a certain level of ship speed/size, the signal becomes  
518 detectable. Second, for the Mediterranean Sea and the Arabian Sea, we  
519 estimated sensitivity limits of approximately  $1 \times 10^7 m^5/s^3$ . For Biscay Bay,  
520 the obtained limit lies around  $3.8 \times 10^6 m^5/s^3$ . Comparing the obtained  
521 numbers with the ship emission estimation provided in (Georgoulas et al.,  
522 2020), we can see that our detection system allows us to correctly recognize  
523 some plumes with concentrations close to the background concentrations  
524 estimated for the Mediterranean Sea. The obtained values of emission proxy  
525 translate to the minimum detectable speed of 10 kt and minimum detectable  
526 length of 150 m for the Mediterranean and Arabian Seas and 8 kt and 100 m  
527 for Biscay Bay. Comparing those numbers with speed and length thresholds  
528 used in previous studies, we can see that previously applied thresholds were  
529 put higher than the actual possible detection limits. Unfortunately, due to  
530 the insufficient amount of data, the sensitivity limits for the Bengal Bay  
531 region could not be determined.

#### 532 *4.0.2. RQ2*

533 With the second research question, we examined the potential improve-  
534 ment in NO<sub>2</sub> plume detectability when considering only the biggest emitters.

535 With our results, we numerically confirmed that restricting the analysis to  
536 faster/larger ships leads to enhanced detectability of NO<sub>2</sub> plumes. For the  
537 Mediterranean Sea region, the performance of the classification model can  
538 exceed 0.8 ROC-AUC and average precision scores. This finding suggests  
539 concentrating the focus on the larger emitters, could potentially increase the  
540 efficiency of the application and accuracy of ship emission monitoring using  
541 the TROPOMI instrument. Our analysis also revealed distinct differences  
542 in model performance quality between regions. Notably, the Mediterranean  
543 Sea and Biscay Bay consistently show better performance compared to the  
544 Arabian Sea and Bengal Bay. We can see that these variations could be at-  
545 tributed to variations in ship traffic density between the regions. Additional  
546 factors that potentially can influence the performances of the models are  
547 measurement conditions (e.g., number of cloudy days), differences in data  
548 quality between regions (c.f. Table A.6), and different scales of temperature  
549 fluctuations or concentration of ozone in the background. The last two fac-  
550 tors affect the lifetime of NO<sub>2</sub>. However, an in-depth understanding of this  
551 problem requires a separate study and we leave it as future work.

#### 552 *4.0.3. RQ3*

553 Our investigation into the third research question, regarding the potential  
554 for improving NO<sub>2</sub> plume detectability from slow or small ships by utilizing  
555 more training data, again showed the variability of the results across the  
556 regions. For the Mediterranean Sea and Biscay Bay regions, an increase in  
557 data volume led to a notable enhancement in model performance. While,  
558 for the Arabian Sea and Bengal Bay, the impact of increased data, even  
559 though present, was less pronounced. One of the established reasons was

560 the fact that for European regions we had a higher ratio of data points with  
561 a high value of emission proxy in the dataset than for the Bengal Bay and  
562 Arabian Sea. Nevertheless, the obtained results indicate that the accuracy  
563 of currently determined detection limits is perhaps constrained not by the  
564 methodology or the sensor, but by data availability.

#### 565 *4.0.4. Implications and future work*

566 The insights gained from this study have important implications for satellite-  
567 based ship emission monitoring. By identifying sensitivity limits and optimal  
568 ship characteristics for detectability, our findings guide the scope of future  
569 studies on ship’s NO<sub>2</sub> estimation using TROPOMI data and give an overview  
570 of the potential application of the TROPOMI instrument for ship emission  
571 monitoring. Moreover, the obtained results can be used as a benchmark  
572 sensitivity level for future satellite missions, such as, for instance, TANGO  
573 (Landgraf et al., 2020).

574 In future research, it would be valuable to explore factors beyond ship  
575 speed and length that influence detectability, such as temperature regimes,  
576 clouds, background ozone concentrations, effect of the sunlint or satellite  
577 viewing angle. Moreover, it would be valuable to perform an in-depth study  
578 explaining the observed multi-regional differences in ship plume detectability.  
579 Finally, studying different types of machine-learning architectures or includ-  
580 ing more data features in the used datasets can provide additional insights  
581 into understanding if the ship plume detectability limits can be lowered fur-  
582 ther by means of potential improvement information extraction from image  
583 patches. A possible candidate is Convolutional Neural Networks (CNN), as  
584 it was done in (Finch et al., 2022) for the detection of visually distinguishable

585 ship NO<sub>2</sub> plumes. However, (Kurchaba et al., 2022, 2023) provide indications  
586 that CNN architecture might not be a suitable option for the detection of  
587 plumes that are poorly distinguishable on the TROPOMI data.

## 588 **5. Conclusions**

589 In this study, we investigated the sensitivity limits of the TROPOMI  
590 data-based detection system with respect to the detection of NO<sub>2</sub> plumes  
591 from individual seagoing ships. To the best of our knowledge, no previous re-  
592 search has examined this aspect, making our findings novel and significant in  
593 understanding the capabilities of the TROPOMI instrument. Our results are  
594 obtained through the analysis of four regions of interest (the Mediterranean  
595 Sea, Biscay Bay, Arabian Sea, and Bengal Bay) and can be summarized as  
596 follows:

- 597 1. We quantified the sensitivity limits of a detection system for NO<sub>2</sub>  
598 plumes from seagoing ships using TROPOMI data in terms of the  
599 length and speed of a ship beyond which the NO<sub>2</sub> plumes from in-  
600 dividual ships cannot be distinguished anymore.
- 601 2. We also numerically showed that, as expected, the ships with higher  
602 emissions (through either greater length or speed) are more easily de-  
603 tected. We demonstrated such an effect by analyzing model perfor-  
604 mances with the removal from the dataset ships with the lowest emis-  
605 sion proxy. This is agnostic to the model or studied region.
- 606 3. Then, we demonstrated that the detection of the NO<sub>2</sub> plumes from the  
607 ships with lower emission proxy can be improved, once more training  
608 data is added.



609 4. Finally, we obtained different levels of results between the studied re-  
610 gions. We showed that for different regions a machine learning model  
611 not only yields different levels of results but also uses different features  
612 as indicators of the presence of a plume in an image patch. A discrep-  
613 ancy is noticeable when comparing the Arabian Sea and Bengal Bay to  
614 the Mediterranean Sea and Biscay Bay.

615 To sum up, our findings suggest that, while efficient monitoring of seago-  
616 ing ships from the TROPOMI satellite is possible, the quality of ship plume  
617 detectability depends on many factors. We believe our results provide guide-  
618 lines for establishing the research scope for future studies on NO<sub>2</sub> ship plume  
619 detection as well as contribute to the successful application of satellite-based  
620 instruments for the monitoring of NO<sub>2</sub> emission from seagoing ships.

#### 621 **Author contribution**

622 Conceptualization: S.K., A.S., J.vV., and C.J.V. Methodology: S.K.,  
623 A.S., J.vV., and C.J.V. Software: S.K., A.S. Validation: S.K. Formal anal-  
624 ysis: S.K. Investigation, S.K. Resources: S.K., J.vV., and F.J.V. Data cu-  
625 ration: S.K. and J.vV. Writing—original draft: S.K. Writing—review and  
626 editing: C.J.V., J.vV., A.S., and F.J.V. Visualization: S.K. Supervision:  
627 C.J.V., J.vV., and F.J.V. Project administration: F.J.V. Funding acquisi-  
628 tion: J.vV. All authors have read and agreed to the published version of the  
629 manuscript.

#### 630 **Declaration of competing interest**

631 The authors declare no competing interest.

## 632 **Data availability**

633 The TROPOMI data is freely available via [https://s5phub.copernicus.](https://s5phub.copernicus.eu/)  
634 [eu/](https://s5phub.copernicus.eu/). Starting from the product version upgrade from 1.2.2 to 1.3.0 that took  
635 place on March 27, 2019, the ECMWF operational model analyses 10 me-  
636 ters wind data for coinciding time is available as a support product in the  
637 TROPOMI data file. For the scope of this study, the AIS data, as well as in-  
638 formation about the dimensions of the ships were provided to us by the ILT,  
639 which is the Dutch national designated authority for shipping inspections, is  
640 participating in this research, and has access to commercial databases of AIS  
641 data and official ship registries.

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810		experiments reported in Figures 11, 12. . . . .	33
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Region	Ship image	No ship image
Mediterranean	16%	18%
Biscay Bay	48%	52%
Arabian Sea	49%	52%
Bengal Bay	54%	54%

Table A.6: Percentage of data from the original dataset lost when  $qa \geq 0.75$  filtered applied.

813 B.15 Histograms of the variables from the dataset. . . . . 51

## 814 Appendices

### 815 Appendix A Assessment of data loss as a result stricter filtering

816 In Table A.6, we show the percentage of the data that would be lost if  
817 the filtering criterion  $qa \geq 0.75$  was applied.

### 818 Appendix B Data distributions

819 In Figure B.15, we provide the distribution of the features that are used  
820 in the dataset of this study.

### 821 Appendix C Hyperparameters' search space

822 In this Section of the Appendix, we provide the hyperparameters' search  
823 space used for the optimization of the performance of the model.

- 824 • **Logistic**(solver='saga', l1\_ratio=0.5, random\_state=0)

Distribution of the fetures from the dataset

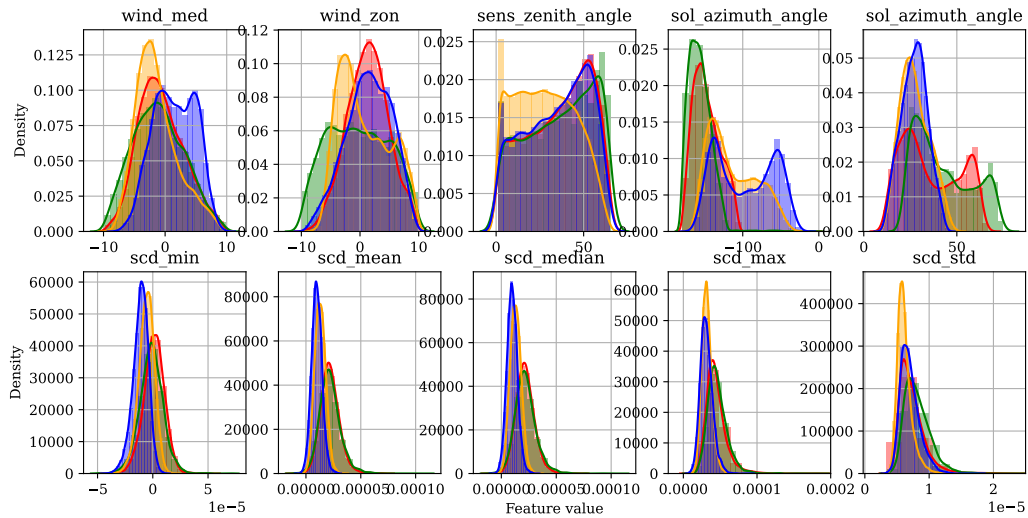


Figure B.15: Histograms of the variables from the dataset.

- 825       – penalty: ('l1', 'l2', 'elasticnet', 'none')
- 826       – C: (0.0001, 0.001, 0.1, 1)
- 827       – max\_iter: (100, 120, 150)
- 828       • **SVM**(kernel='rbf', gamma = 'scale', random\_state=0, probability=True)
- 829       – C: (2.0e-2, 0.5e-1, 1.0e-1, 1.5e-1, 2.0e-1, 2.5e-1, 2.0)
- 830       • **Random Forest**(random\_state=0)
- 831       – n\_estimators: [10, 20, 50, 100, 150, 500]
- 832       – min\_samples\_leaf: [2; 36]
- 833       – min\_samples\_split: [2, 30]
- 834       – max\_features: ('sqrt', 0.4, 0.5)

- 835       – criterion: ('gini', 'entropy')
- 836       – bootstrap: (True, False)
- 837     • **XGB**( random\_state=0)
  - 838       – n\_estimators: [10, 20, 50, 100, 150, 500]
  - 839       – gamma: [0.05; 0.5]
  - 840       – max\_depth: (2, 3, 5, 6)
  - 841       – min\_child\_weight: (2, 4, 6, 8, 10, 12)
  - 842       – subsample: [0.6; 1.0]
  - 843       – learning\_rate: [1e-3, 1e-2, 1e-1, 0.5, 1.0]
  - 844       – reg\_alpha: (0, 1.0e-5, 5.0e-4, 1.0e-3, 1.0e-2, 0.1, 1)

## 845 **Appendix D Optimized set of hyperparameters**

846       Then, we provide the set of hyperparameters that was selected as optimal  
 847 for each model at each iteration of cross-validation for each studied region.  
 848 The results of the performance of the corresponding models are presented in  
 849 Table 4.

### 850 **Mediterranean Sea**

- 851     • **Logistic**(solver='saga', l1\_ratio=0.5, random\_state=0)
  - 852       – **penalty**: CV1: 'l2'; CV2: 'l2'; CV3: 'l2'; CV4: 'l2'; CV5: 'elas-
  - 853       ticnet';
  - 854       – **C**: CV1: 0.001; CV2: 1; CV3: 0.0001; CV4: 0.001; CV5: 0.1;

855       – **max\_iter**: CV1: 100; CV2: 100; CV3: 100; CV4: 100; CV5: 150;

856     • **SVM**(kernel='rbf', gamma = 'scale', random\_state=0, probability=True)

857       – **C**: CV1: 2; CV2: 2; CV3: 2; CV4: 2; CV5: 2;

858     • **Random Forest**(random\_state=0)

859       – **n\_estimators**: CV1: 500; CV2: 500; CV3: 500; CV4: 500; CV5:

860           500;

861       – **min\_samples\_split**: CV1: 12; CV2: 12; CV3: 12; CV4: 12;

862           CV5: 12;

863       – **min\_samples\_leaf**: CV1: 1; CV2: 1; CV3: 1; CV4: 1; CV5: 1;

864       – **max\_features**: CV1: 'sqrt'; CV2: 'sqrt'; CV3: 'sqrt'; CV4:

865           'sqrt'; CV5: 'sqrt';

866       – **criterion**: CV1: 'gini'; CV2: 'gini'; CV3: 'gini'; CV4: 'gini';

867           CV5: 'gini';

868       – **bootstrap**: CV1: True; CV2: True; CV3: True; CV4: True;

869           CV5: True;

870     • **XGB**( random\_state=0)

871       – **n\_estimators**: CV1: 150; CV2: 500; CV3: 150; CV4: 500; CV5:

872           150;

873       – **gamma**: CV1: 0.05; CV2: 0.3; CV3: 0.05; CV4: 0.05; CV5: 0.05;

874       – **max\_depth**: CV1: 6; CV2: 6; CV3: 6; CV4: 6; CV5: 6;

875       – **min\_child\_weight**: CV1: 8; CV2: 8; CV3: 8; CV4: 10; CV5: 8;

876       – **subsample**: CV1: 0.89; CV2: 0.6; CV3: 0.89; CV4: 0.7; CV5:  
877       0.89;  
878       – **learning\_rate**: CV1: 0.01; CV2: 0.01; CV3: 0.01; CV4: 0.01;  
879       CV5: 0.01;  
880       – **reg\_alpha**: CV1: 1e-02; CV2: 1e-05; CV3: 1e-02; CV4: 5e-04;  
881       CV5: 1e-02;

## 882 **Biscay Bay**

883       • **Logistic**(solver='saga', l1\_ratio=0.5, random\_state=0)  
884       – **penalty**: CV1: 'elasticnet'; CV2: 'none'; CV3: 'none'; CV4:  
885       'none'; CV5: 'l1';  
886       – **C**: CV1: 1; CV2: 0.0001; CV3: 0.0001; CV4: 0.0001; CV5: 1;  
887       – **max\_iter**: CV1: 100; CV2: 100; CV3: 100; CV4: 100; CV5: 100;  
888       • **SVM**(kernel='rbf', gamma = 'scale', random\_state=0, probability=True)  
889       – **C**: CV1: 2; CV2: 2; CV3: 2; CV4: 2; CV5: 2;  
890       • **Random Forest**(random\_state=0)  
891       – **n\_estimators**: CV1: 100; CV2: 150; CV3: 500; CV4: 500; CV5:  
892       500;  
893       – **min\_samples\_split**: CV1: 2; CV2: 27; CV3: 22; CV4: 22; CV5:  
894       22;  
895       – **min\_samples\_leaf**: CV1: 7; CV2: 10; CV3: 10; CV4: 10; CV5:  
896       10;

- 897       – **max\_features:** CV1: None; CV2: None; CV3: None; CV4:  
898       None; CV5: None;
- 899       – **criterion:** CV1: 'entropy'; CV2: 'entropy'; CV3: 'entropy'; CV4:  
900       'entropy'; CV5: 'entropy';
- 901       – **bootstrap:** CV1: True; CV2: True; CV3: True; CV4: True;  
902       CV5: True;

903   • **XGB**( random\_state=0)

- 904       – **n\_estimators:** CV1: 150; CV2: 150; CV3: 100; CV4: 150; CV5:  
905       100;
- 906       – **gamma:** CV1: 0.05; CV2: 0.05; CV3: 0.4; CV4: 0.05; CV5: 0.4;
- 907       – **max\_depth:** CV1: 6; CV2: 6; CV3: 6; CV4: 6; CV5: 6;
- 908       – **min\_child\_weight:** CV1: 8; CV2: 8; CV3: 2; CV4: 8; CV5: 2;
- 909       – **subsample:** CV1: 0.89; CV2: 0.89; CV3: 0.89; CV4: 0.89; CV5:  
910       0.89;
- 911       – **learning\_rate:** CV1: 0.1; CV2: 0.1; CV3: 0.1; CV4: 0.1; CV5:  
912       0.1;
- 913       – **reg\_alpha:** CV1: 1e-02; CV2: 1e-02; CV3: 1e-01; CV4: 1e-02;  
914       CV5: 1e-01;

915   **Arabian Sea**

916   • **Logistic**(solver='saga', l1\_ratio=0.5, random\_state=0)

- 917       – **penalty:** CV1: 'l1'; CV2: 'elasticnet'; CV3: 'l1'; CV4: 'l1'; CV5:  
918       'l1';

919       – **C**: CV1: 0.001; CV2: 0.001; CV3: 0.001; CV4: 0.001; CV5: 0.001;  
920       – **max\_iter**: CV1: 100; CV2: 150; CV3: 120; CV4: 100; CV5: 120;

921     • **SVM**(kernel='rbf', gamma = 'scale', random\_state=0, probability=True)

922       – **C**: CV1: 0.15; CV2: 0.05; CV3: 0.25; CV4: 0.25; CV5: 0.2;

923     • **Random Forest**(random\_state=0)

924       – **n\_estimators**: CV1: 500; CV2: 500; CV3: 500; CV4: 500; CV5:  
925         500;

926       – **min\_samples\_split**: CV1: 22; CV2: 22; CV3: 7; CV4: 7; CV5:  
927         7;

928       – **min\_samples\_leaf**: CV1: 10; CV2: 10; CV3: 7; CV4: 7; CV5:  
929         7;

930       – **max\_features**: CV1: None; CV2: None; CV3: 'sqrt'; CV4:  
931         'sqrt'; CV5: 'sqrt';

932       – **criterion**: CV1: 'entropy'; CV2: 'entropy'; CV3: 'entropy'; CV4:  
933         'entropy'; CV5: 'entropy';

934       – **bootstrap**: CV1: True; CV2: True; CV3: True; CV4: True;  
935         CV5: True;

936     • **XGB**( random\_state=0)

937       – **n\_estimators**: CV1: 500; CV2: 500; CV3: 500; CV4: 500; CV5:  
938         500;

939       – **gamma**: CV1: 0.3; CV2: 0.3; CV3: 0.3; CV4: 0.3; CV5: 0.3;



- 940       – **max\_depth:** CV1: 6; CV2: 6; CV3: 6; CV4: 6; CV5: 6;
- 941       – **min\_child\_weight:** CV1: 8; CV2: 10; CV3: 8; CV4: 10; CV5:
- 942       10;
- 943       – **subsample:** CV1: 0.6; CV2: 0.6; CV3: 0.6; CV4: 0.6; CV5: 0.6;
- 944       – **learning\_rate:** CV1: 0.01; CV2: 0.01; CV3: 0.01; CV4: 0.01;
- 945       CV5: 0.01;
- 946       – **reg\_alpha:** CV1: 1e-05; CV2: 1e-05; CV3: 1e-05; CV4: 1e-05;
- 947       CV5: 1e-05;

## 948 **Bengal Bay**

- 949   • **Logistic**(solver='saga', l1\_ratio=0.5, random\_state=0)
  - 950       – **penalty:** CV1: 'l2'; CV2: 'l2'; CV3: 'elasticnet'; CV4: 'l1'; CV5:
  - 951       'none';
  - 952       – **C:** CV1: 0.1; CV2: 1; CV3: 0.001; CV4: 1; CV5: 0.0001;
  - 953       – **max\_iter:** CV1: 150; CV2: 150; CV3: 100; CV4: 150; CV5: 150;
- 954   • **SVM**(kernel='rbf', gamma = 'scale', random\_state=0, probability=True)
  - 955       – **C:** CV1: 0.15; CV2: 0.25; CV3: 0.05; CV4: 0.25; CV5: 0.1;
- 956   • **Random Forest**(random\_state=0)
  - 957       – **n\_estimators:** CV1: 500; CV2: 500; CV3: 150; CV4: 500; CV5:
  - 958       500;
  - 959       – **min\_samples\_split:** CV1: 2; CV2: 2; CV3: 12; CV4: 2; CV5:
  - 960       2;

961       – **min\_samples\_leaf**: CV1: 16; CV2: 16; CV3: 19; CV4: 16; CV5:  
962       16;

963       – **max\_features**: CV1: 'sqrt'; CV2: 'sqrt'; CV3: None; CV4:  
964       'sqrt'; CV5: 'sqrt';

965       – **criterion**: CV1: 'entropy'; CV2: 'entropy'; CV3: 'entropy'; CV4:  
966       'entropy'; CV5: 'entropy';

967       – **bootstrap**: CV1: True; CV2: True; CV3: True; CV4: True;  
968       CV5: True;

969     • **XGB**( random\_state=0)

970       – **n\_estimators**: CV1: 100; CV2: 500; CV3: 500; CV4: 500; CV5:  
971       50;

972       – **gamma**: CV1: 0.25; CV2: 0.3; CV3: 0.3; CV4: 0.3; CV5: 0.15;

973       – **max\_depth**: CV1: 2; CV2: 6; CV3: 6; CV4: 6; CV5: 3;

974       – **min\_child\_weight**: CV1: 6; CV2: 8; CV3: 8; CV4: 8; CV5: 6;

975       – **subsample**: CV1: 0.7; CV2: 0.6; CV3: 0.6; CV4: 0.6; CV5:  
976       0.89;

977       – **learning\_rate**: CV1: 0.1; CV2: 0.01; CV3: 0.01; CV4: 0.01;  
978       CV5: 0.1;

979       – **reg\_alpha**: CV1: 1e-02; CV2: 1e-05; CV3: 1e-05; CV4: 1e-05;  
980       CV5: 1;