1 *Groundwaterscapes*: A global classification and

² mapping of groundwater's large-scale socioeconomic,

ecological, and Earth system functions

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23 Key points:

- Groundwaterscapes are presented as landscape units representing configurations of
- 25 groundwater's social-ecological and Earth system functions
- Implements an iterative, two-stage self-organizing map methodology to derive
- 27 groundwaterscapes (n = 18) at the global scale
- All large aquifer systems of the world contain multiple groundwaterscapes

Abstract

29 Groundwater is a dynamic component of the global water cycle with important social, economic, 30 ecological, and Earth system functions. We present a new global classification and mapping of 31 groundwater systems, which we call groundwaterscapes, that represent predominant 32 configurations of large-scale groundwater system functions. We identify 18 groundwaterscapes, 33 which offer a new lens to conceptualize, study, model, and manage groundwater. 34 Groundwaterscapes are empirically derived using a novel application of sequenced self-35 organizing maps and capture grid cell level (5 arcminute) patterns in groundwater system functions, such as groundwater-dependent ecosystem type and density, storage capacity, 36

37 irrigation, and integrated groundwater management. All large aguifer systems of the world are 38 characterized by multiple groundwaterscapes, highlighting the pitfalls of treating these 39 groundwater bodies as lumped systems in global assessments. We evaluate the distribution of 40 Global Groundwater Monitoring Network wells across groundwaterscapes and find that industrial 41 agricultural regions with strong groundwater management are disproportionately monitored, while 42 several groundwaterscapes have next to no monitoring wells at all. This disparity undermines the 43 ability to understand system dynamics across the full range of settings in which groundwater is 44 found. We argue groundwaterscapes offer a conceptual and spatial tool to guide model 45 development, hypothesis testing, and future data collection initiatives to better understand 46 groundwater's embeddedness within social-ecological systems at the global scale.

47 Keywords:

48 Groundwater systems, Social-ecological systems, System classification, Archetype analysis,

49 Self-organising maps

50 **1 Introduction**

51 Conceptual models and classification schemes of groundwater systems traditionally focus on 52 physical attributes and hydroclimatic setting (Margat & van der Gun, 2013; Winter, 2001) and 53 primarily serve in support of fundamental hydrogeological investigations (e.g., as system 54 boundaries for trend analyses in Richey et al., 2015; Shamsudduha & Taylor, 2020). Yet, recent 55 years have witnessed a marked shift beyond traditional hydrogeology as interdisciplinary studies 56 are increasingly conducted on global groundwater systems in response to the era of "human 57 domination over the water cycle" (Abbott et al., 2019) and in recognition of groundwater system 58 interlinkages with social, economic, ecological, and Earth systems (Gleeson et al., 2020; Huggins 59 et al., 2023a). Yet, there is currently no set of guiding principles nor a globally consistent 60 classification scheme through which to consider global groundwater systems as embedded within 61 social-ecological systems (see Table 1 for key terminology). Here, we conduct a first attempt at 62 filling this gap by producing a global, spatially explicit classification of groundwater systems on 63 the basis of groundwater's large-scale socioeconomic, ecological, and Earth system functions.

The understanding of groundwater systems as dynamic components of social-ecological systems is propelled by the large and growing evidence-base documenting the functions the resource provides across social, economic, ecological, and Earth systems (Foster et al., 2013; Gleeson et al., 2020; Kuang et al., 2024; Scanlon et al., 2023). For instance, groundwater provides ~40% of 68 global irrigation water (Siebert et al., 2010) and is an important, strategic buffer against increasing 69 climate variability (Scanlon et al., 2023; Taylor et al., 2013). Groundwater supports ecosystems 70 around the world in the form of groundwater-dependent ecosystems (Kløve et al., 2011; Link et 71 al., 2023), which can take the form of aquatic, terrestrial, or subsurface ecosystems and that offer 72 services of both ecological and cultural significance (Kreamer et al., 2015). Economically, 73 groundwater is used in mining, manufacturing, energy generation, and agriculture, while 74 simultaneously holding tremendous relational values such as through offering "senses of place" 75 in cultures around the world. From an Earth system perspective, groundwater can be dynamically 76 coupled to the atmosphere (Haitjema & Mitchell-Bruker, 2005), land-surface (Maxwell & Kollet, 77 2008), oceans (Luijendijk et al., 2020), and lithosphere (Konikow & Kendy, 2005).

78 Understanding how these diverse functions co-occur is an important first step in developing a 79 more integrated, system-of-systems understanding of groundwater at the global scale. There are 80 a handful of system-spanning global groundwater classifications, such as nation-scale 81 groundwater economies (Shah et al., 2007), or classifications that map the mode of interaction 82 between groundwater and the atmosphere (Cuthbert et al., 2019a). These existing studies focus 83 on pairwise system interactions. Yet, to our knowledge, no study to date has developed a global 84 groundwater system classification using a holistic framing that considers groundwater's 85 socioeconomic and biophysical dimensions in equal depth nor includes as wide a set of 86 groundwater functions as we do here. As groundwater systems evolve under global change 87 (Kuang et al., 2024), having such a baseline system classification can be useful as a reference with which to track changes between groundwater and its connected systems. 88

89 Outside the groundwater literature, a wide collection of global social-ecological system typologies 90 have been developed within recent decades. These studies include the development of global 91 anthromes (Ellis & Ramankutty, 2008), land system archetypes (Václavík et al., 2013), dryland 92 vulnerability patterns (Kok et al., 2016; Sietz et al., 2011), types of deforestation "frontiers" 93 (Buchadas et al., 2022); and an even wider assortment of typologies at continental and regional 94 scales (Beckmann et al., 2022; Rocha et al., 2020; Van Vliet et al., 2012; van der Zanden et al., 95 2016). Yet, we note that these underlying concepts and methods have yet to be applied in 96 groundwater research.

A common motivation for these social-ecological system characterisations is the emerging
discipline of archetype analysis and its associated goals (Eisenack et al., 2021). In the archetype
literature, an archetype is understood as a "mental representation of relationships between

100 attributes and processes that characterize systems" (Eisenack et al., 2019). Archetype analysis 101 is explicitly sustainability-oriented and seeks to identify "recurrent patterns of [a] phenomenon of 102 interest at an intermediate level of abstraction to identify multiple models that explain the 103 phenomenon under particular conditions" (Oberlack et al., 2019). While there are a diversity of 104 methods used to perform archetype analysis (Sietz et al., 2019), a "full" analysis typically consists 105 of a configuration of attributes, an underlying theory to explain these configurations, and empirical 106 cases where this theory holds (Oberlack et al., 2019). Indeed, many of the social-ecological 107 system typologies referenced above explicitly use an archetype analysis language and framing.

108 In this study, we apply the recently developed framing of groundwater-connected systems 109 (Huggins et al., 2023a) and implement a cluster analysis methodology consistent with spatial 110 archetype analysis (Sietz et al., 2019) to develop a global typology of large-scale groundwater 111 system functions. We focus on large-scale functions, which we understand as functions that broadly occur across regional extents (order ~10⁴ km² and larger) and are conducive to global, 112 113 systematic pattern identification. We name the clusters that emerge from this process as 114 groundwaterscapes (Table 1). These groundwaterscapes offer a first step towards characterizing 115 the predominant configurations and spatial patterns within groundwater's socioeconomic, 116 ecological, and Earth system functions, which we believe can offer widespread potential uses and 117 benefits across groundwater science and management.

Term	Definition		
Social-ecological systems	Integrated systems formed by social and biophysical system interactions (Berkes & Folke, 1998). Social-ecological system science seeks to understand how society and the environment are intertwined and co-evolved systems.		
Groundwater-connected systems	Systems that are formed through interactions between social, ecological, and Earth systems with physical groundwater systems. Groundwater-connected systems are understood as specific forms of social-ecological systems (Huggins et al., 2023a).		
Groundwaterscapes	A landscape unit that represents a specific and broadly occurring configuration of groundwater-connected system functions. In this work, we empirically derive groundwaterscapes using global data sets representing the Earth system, ecosystems, food system, and water management system functions included in our conceptual model (Figure 1).		

118 **Table 1. Key terminology.**



Figure 1. Groundwaterscape conceptual model, consisting of groundwater's large-scale Earth system, ecosystem, food system, and water management system functions. Maps of the input data representing these functions are shown in Figure 2.

123 2 Materials and methods

124 <u>2.1 Conceptual model</u>

125 Drawing on recent reviews of global groundwater systems (Gleeson et al., 2020; Lall et al., 2020; 126 Scanlon et al., 2023), we identified four core systems that groundwater operates within across 127 large spatial scales and that balance representation of biophysical and socioeconomic functions: 128 Earth systems, ecosystems, food systems, and water management systems (Figure 1). We 129 distinguish between biophysical and socioeconomic functions following the Social-Ecological 130 Systems Framework (Ostrom, 2009), which argues for such a balanced approach when 131 conceptualizing a social-ecological system (Binder et al., 2013). We included an equal number of 132 functions per system (2) to ensure these systems were evenly represented in our analysis. To be 133 included in our conceptual model, functions required a strong conceptual foundation in the large-134 scale groundwater literature and required global guantification in an existing data set. This number 135 of input data sets (8) is within common ranges of input layer counts found in existing social-136 ecological system clustering studies and balances the parallel goals of including sufficient data to 137 characterize our conceptual model while not being overly numerous to render the process of 138 assessing and "disentangling" classification results intractable. Maps of all functions included in 139 our conceptual model are shown in Figure 2.

140 For groundwater's *Earth system* functions (Figure 2a), which represent groundwater's interactions 141 with the atmosphere, land, lithosphere, and oceans (i.e., Earth system components), we focus on 142 groundwater's climate and storage functions. Groundwater is increasingly studied through an 143 Earth system lens (Gleeson et al., 2020), and is recognized as a critical resource that affects 144 overall Earth system resilience (Rockström et al., 2023). Water table depth is an important control 145 on the land-atmosphere energy balance (Maxwell & Kollet, 2008). In areas with shallow water 146 tables, groundwater is tightly coupled with land surface and energy processes (i.e., a bidirectional 147 mode of interaction occurs with both groundwater recharge and evapotranspiration fluxes), and 148 this coupling dissipates with deeper water tables and becomes recharge-dominated (i.e., a 149 unidirectional mode). We use the water table ratio, a dimensionless criterion that classifies the 150 mode of groundwater-climate interactions as bidirectional or unidirectional (Haitjema & Mitchell-151 Bruker, 2005) to represent groundwater's hydroclimatic function (Cuthbert et al., 2019a). 152 Secondly, as the largest store of unfrozen freshwater globally, groundwater provides important 153 storage functions (Gleeson et al., 2020). Net groundwater storage loss is a secondary contributor 154 to global sea level rise (Konikow, 2011) while groundwater's large storage capacity also provides 155 important retention and attenuation functions in the water cycle (Opie et al., 2020). Thus, 156 groundwater naturally serves as an important control on hydrological processes such as drought 157 (Van Lanen et al., 2013). As groundwater storage, particularly within depths that are dynamically 158 connected to the Earth system, is challenging to quantify (Condon et al., 2020; Ferguson et al., 159 2021), we use shallow subsurface porosity (representative for depths on the order of 100m) as a 160 proxy representation of groundwater storage capacity (Gleeson et al., 2014).

161 To represent groundwater's *ecosystem* functions (Figure 2b), we consider the type and density 162 of groundwater-dependent ecosystems (GDEs). GDEs are terrestrial, aquatic, or subterranean 163 ecosystems that rely on groundwater for some or all of their freshwater needs (Kløve et al., 2011). 164 We focus on terrestrial and aquatic GDEs as these ecosystems are more closely coupled to land-165 surface processes, are better understood in contrast to subterranean GDEs, dominate 166 conservation and management dialogues (Rohde et al., 2017; Saito et al., 2021), and have 167 benefitted from recent global mapping efforts (Huggins et al., 2023b; Link et al., 2023). Terrestrial 168 GDEs exist where root systems source groundwater and thus rely on the subsurface presence of 169 groundwater while aquatic GDEs rely on surface expressions of groundwater and include rivers. 170 streams, and wetlands.

Groundwater is a critical resource for a wide array of economic functions, including uses in mining,
manufacturing, energy generation, and agriculture sectors. In this study, we focus exclusively on

173 agriculture which is the dominant sectoral source of groundwater consumption globally (Giordano 174 & Villholth, 2007; Wada et al., 2012). Thus, to reflect groundwater's food system functions (Figure 175 2c), we include the extent of areas irrigated with groundwater and dominant farm field size. 176 Including groundwater irrigation patterns enables this analysis to differentiate regions based on 177 agricultural reliance on groundwater. Secondly, though not often incorporated in groundwater 178 studies, field size is a key attribute of agricultural systems that is associated with many functional 179 differences in groundwater interactions, livelihoods, agricultural practices, and productivity 180 (Meyfroidt, 2017). For instance, small scale farms, especially in developing countries, are less 181 likely to have access to basic services, infrastructure, and mechanization (Meyfroidt et al., 2022), 182 whereas large irrigated farms are generally associated with greater productivity and higher levels 183 of economic development (Meyfroidt, 2017). Field size (which is related to farm size) (Graesser 184 & Ramankutty, 2017; Lesiv et al., 2019) is additionally critical to consider in relation to land tenure 185 and how this affects water and land management dynamics. For instance, a management area 186 will have considerably more actors, a greater mosaic of land ownership, and thus a more complex 187 management setting in regions with smaller farms in comparison to if the same area were covered 188 by larger farms. Case studies have also identified that farm size is associated with participation 189 rates in collaborative management processes (Amblard et al., 2023; Dobbin, 2020). Thus, 190 incorporating field size is a pragmatic, coarse approach to represent qualitative differences in 191 industrial versus smallholder agricultural systems.

192 Our inclusion of water management system functions (Figure 2d) is an effort to represent what 193 actions are taken "within governance [frameworks] related to the development and protection of 194 groundwater" (Villholth & Conti, 2018). Our included water management system functions aim to 195 represent societal forms of interaction with groundwater resources expressed through policy 196 measures, collective action, priority setting, and service provision. Inversely, societal interactions 197 with groundwater systems form values and worldviews that in turn can shape water management 198 practices. We first consider water management systems through the lens of integrated water 199 resources management (IWRM). We use indicators from a global IWRM tracking initiative (UNEP, 200 2021) that explicitly relate to groundwater and represent the implementation level of dedicated 201 groundwater management efforts. These indicators include measures of "basin/aquifer 202 management plans", "basin/aguifer level organisations", and "aguifer management instruments". 203 We note that it is not straightforward to quantify governance and management dimensions and 204 the process of doing so is often contested (Thomas, 2010). For instance, these IWRM data we 205 source were consolidated through multi-stakeholder processes, yet it is unclear how fidelitous

206 these country-led summary results are to concrete, place-based governance frameworks and 207 management actions. Regardless of these limitations, we view integrated groundwater 208 management as a crucial component of our analysis whose inclusion ensures that 209 groundwaterscapes reflect the broad scope of the groundwater-connected systems framing. 210 Secondly, to consider the role of water management in relation to groundwater access, equity, 211 and the domestic services of groundwater, we integrate fundamental data on the percentage of 212 people that collect or use unimproved drinking water. This unimproved drinking water can come 213 from many sources, including an unprotected dug well or spring, or alternatively from surface 214 water sources such as a river, pond, or canal. However, data that disaggregate these sources of 215 unimproved drinking water do not exist to the best of our knowledge. We view this indicator as a 216 useful representation of groundwater's utilisation, or lack thereof, in supporting domestic activities 217 and water security.



Figure 2. Exploratory mapping of groundwater's large-scale (a) Earth system, (b) ecosystem, (c) food system, and (d) water management system functions. Bivariate legends are numbered accordingly with the conceptual model show in Figure 1. The area distribution of each mapped bivariate relationship is shown by inset heatmaps which have the same axis breaks shown in each map's bivariate legend.

223 <u>2.2 Spatial resolution and preprocessing</u>

We conduct all analyses at 5 arcminute resolution (~10 km grids near the equator). This produces a moderate-resolution global groundwaterscape data product that balances the base resolutions of input data sets (Table 2) and is compatible with a wide array of global hydrological models (e.g., Burek et al., 2020; Sutanudjaja et al., 2018) and water-focussed social-ecological studies (e.g., Varis et al., 2019). Secondly, operating at the unit of grid cells rather than aquifers, basins, or administrative units enables analysis of groundwaterscape heterogeneity in these systems (see 2.4 Post hoc analysis).

231 All input data sets were preprocessed to generate a spatially harmonized raster stack at 5 232 arcminute resolution. Each raster layer was subsequently normalized such that grid cell 233 distributions held the properties of zero mean and unit variance. Two exceptions were made for 234 the water management system data which instead were normalized at the nation and watershed 235 scale in correspondence with their respective derivation before rasterization. We subsequently 236 applied feature clipping by setting minimum and maximum values at +/-2 standard deviations 237 away from the mean to ensure that extreme outliers within individual data layers did not exert an 238 outsized impact on groundwaterscape results. The study domain was defined by a common global 239 earth mask (Wessel et al., 2019; Wessel & Smith, 1996) and further excluded Greenland and 240 Antarctica given low data coverage across these regions. Sources, descriptions, and summaries 241 of preprocessing steps for each data set are provided in Table 2.

242 Before performing the groundwaterscape derivation, we first evaluated the collinearity of the eight 243 normalised input data sets (Figures S1) by calculating Pearson correlation coefficients on a 244 random sample of 40,000 grid cells (~2% of all grid cells within study domain) to avoid impacts of spatial autocorrelation (cf. Beckmann et al., 2022; Václavík et al., 2013). There are moderate 245 levels of collinearity ($r^2 \approx 0.5$) between certain inputs, such as between aquatic and terrestrial 246 247 GDE density (Figure S2), but no correlation values were sufficiently high to require further 248 modification when using common thresholds to evaluate detrimental levels of collinearity ($r^2 > 0.7$) 249 (Dormann et al., 2013).

Data set	Data source, information, and preprocessing			
Water table ratio	Data source: Cuthbert et al. (2019b) Persistent web-link: <u>https://doi.org/10.6084/m9.figshare.7393304.v8</u> Spatial resolution: 1 km Temporal range: Ca. 2000			

250 **Table 2**. Input data sets. Maps and histograms of each data set are shown in Figure S1.

	Harmonisation: Bilinear resampling to 5 arcminute resolution. Additional preprocessing: Regions with recharge <5 mm yr ⁻¹ were set to the minimum normalised value following Cuthbert et al. (2019a) who removed these regions given the variable's sensitivity to low recharge rates. We adopted this approach to reflect how arid regions typically have deep water tables with minimal evapotranspiration fluxes from groundwater. We used the same recharge data set (Döll & Fiedler, 2008) as used in Cuthbert et al. (2019a) to apply this mask.
Near-surface porosity	Data source: Gleeson (2018) Persistent web-link: <u>https://doi.org/10.5683/SP2/DLGXYO</u> Spatial resolution: Polygons with average size of ~14,000 km ² Temporal range: N/A Harmonisation: Vector polygon rasterization to 5 arcminute resolution.
Groundwater- dependent ecosystem types (aquatic and terrestrial)	Data source: Huggins et al. (2023c) Persistent web-link: <u>https://doi.org/10.5683/SP3/P3OU3A</u> Spatial resolution: 30 arcsecond Temporal range: ca. 2015 Harmonisation: Area density calculated per 5 arcminute grid cell.
Area irrigated with groundwater	Data source: Siebert et al. (2013) Persistent web-link: <u>https://www.fao.org/aquastat/en/geospatial-information/global-maps-irrigated-areas/latest-version/</u> Spatial resolution: 5 arcminute Temporal range: 2000 Harmonisation: None
Farm field size	Data source: Lesiv et al. (2018) Persistent web-link: <u>https://pure.iiasa.ac.at/id/eprint/15526/</u> Spatial resolution: ~1 km Temporal range: ca. 2010-2016 Harmonisation: Modal resampling to 5 arcminute resolution.
Integrated groundwater management	Data source: IWRM Data Portal (UNEP, 2021) Persistent web-link: <u>http://iwrmdataportal.unepdhi.org/</u> Spatial resolution: Nation scale Temporal range: 2020 Harmonisation: Vector polygon rasterization to 5 arcminute grids. Additional preprocessing: Countries without groundwater management sub-indicator data (n = 12) are assigned the data of their most-similar country with available water management data. We determine this country-to-country similarity using the Worldwide Governance Indicators database (Kaufmann & Kraay, 2023) and by calculating the Euclidean distance between country values reported for the year 2020. Countries missing data include Argentina, Brunei, Canada, Djibouti, Eritrea, Uruguay, Venezuela, and several small island nations which are outside of the defined study domain.
Unimproved drinking water access	Data source: World Resources Institute's Aqueduct Water Risk Atlas (Kuzma et al., 2023) Persistent web-link: <u>https://www.wri.org/data/aqueduct-global-maps-40-data</u> Spatial resolution: HydroBASIN Level 6 Temporal range: 2015 Harmonisation: Vector polygon rasterization to 5 arcminute resolution.

251 <u>2.3 Iterative self-organizing maps to derive groundwaterscapes</u>

252 Social-ecological system classification has no consensus methodology (Sietz et al., 2019) and 253 can be approached from either top-down or bottom-up perspectives. Bottom-up classification 254 begins with individual case studies and groups cases together based on similarity in system 255 composition or behaviour. These approaches are contextually rich but can be geographically or 256 contextually limited based on spatial extent or case study count and diversity. Conversely, top-257 down approaches begin with spatially distributed data sets and derive recurring patterns using a 258 variety of approaches such rule-based classification or cluster analysis. Top-down approaches 259 provide a wider and more consistent spatial coverage in comparison to bottom-up approaches but can be limited by the quality of data used to represent system attributes and by bias in the 260 261 data selection process. Thus, top-down approaches are more common among regional to global 262 scale assessments. However, the two methodologies may support each other in mixed-method 263 processes (Sietz & Neudert, 2022), where bottom-up approaches can aid in ground-truthing 264 insights derived from top-down methods (Eisenack et al., 2021).

265 Here, we use an iterative and sequential self-organizing map (SOM) methodology to derive 266 groundwaterscapes. SOMs are a form of unsupervised artificial neural network that perform a 267 unique type of data quantization (Kohonen, 2013). SOMs work by projecting an *n*-dimensional 268 input data space onto a low dimensional (typically two-dimensional) grid of nodes, where each 269 node contains an *n*-dimensional "codebook" vector representing a contiguous region in the input 270 data space. Nodes with similar codebook vectors are located closer to each other in this low 271 dimensional grid and dissimilar codebook vectors further apart. SOMs are thus a particularly 272 powerful method for data exploration and visualization as the low-dimensional grid of nodes 273 preserve the topology of the input data and as so have been widely used to address clustering 274 problems (Flexer, 2001; Kohonen, 2013; Vesanto & Alhoniemi, 2000), including the classification 275 of social-ecological systems (Beckmann et al., 2022; Jung et al., 2024; Levers et al., 2018; 276 Václavík et al., 2013; van der Zanden et al., 2016). SOMs are further advantageous for clustering 277 applications as they are less prone to identifying local optima relative to other approaches (Bacão 278 et al., 2005). Furthermore, as the method does not require the specification of any parameter 279 thresholds to determine clusters, it is considered as a clustering method less prone (but not 280 immune) to researcher bias (Sietz et al., 2019).

A common strategy to conduct SOM-based clustering is to perform cluster analysis on the generated set of codebook vectors as this approach has the additional benefit of identifying 283 complex cluster structures (Taşdemir et al., 2012; Delgado et al., 2017). We implement a similar 284 methodology in this study by following Delgado et al. (2017) and perform a two-staged clustering 285 methodology that implements SOMs at both stages of the clustering process (Figure 3). The first 286 stage of this methodology develops a two-dimensional SOM to generate a vector quantization of 287 the input data space that is substantially smaller but topologically similar to the original input data. 288 The second stage of this method uses the codebook vectors of the first stage SOM as input data 289 and develops a one-dimensional SOM whose vector quantization derives the clusters we present 290 as groundwaterscapes. In each stage of this methodology, we iterate across a wide range of SOM 291 grid sizes and select the best performing size based on a set of performance metrics (see below). 292 In recognition of the stochastic property of SOMs, we develop an ensemble of SOMs at each grid 293 size and filter-out performance outliers to improve reproducibility (see below).

294 First stage SOM methods: For the first stage SOM iterations, we follow Delgado et al. (2017) and set the minimum SOM grid size (S x S) as: $S_{min} = \sqrt{2N^{0.4}}$, where N is the number of patterns 295 in the input data, and set the maximum SOM grid size as $S_{max} = \sqrt{0.15N}$. We iterate from: S_{min} 296 297 to S_{max} in increments of 2. In determining N, which was originally intended to represent the 298 number of unique input data points (as in Delgado et al., 2017) to be infeasible at our spatial 299 resolution (>2 million grid cells, thus 2 million input features) as the approach suggests grid sizes 300 far greater than are commonly found in similar SOM applications in the literature. Thus, to 301 pragmatically estimate N, we iteratively performed k-means clustering on our input data until 99% 302 of the input data variation (within cluster sum of squares relative to total sum of squares) is 303 represented by these clusters. This criterion was met at k = 12,000 clusters, and thus this k was 304 then used to estimate the number of input data patterns (i.e., N) to set the ranges in our first stage 305 SOM grid sizes (S_{min} = 10, S_{max} = 42). We generated 60 alternative SOM grids for each S from 306 S_{min} , S_{min} + 2, S_{min} + 4, ..., S_{max} (1020 total iterations). As this procedure was designed to guide 307 identification of the optimal first stage SOM grid size, we deemed it unnecessary to conduct these 308 iterations on the full input data (>2 million data points) and instead conducted these iterations on 309 the synthetic representation of this data generated in our k-means cluster centers. This process 310 identified S = 22 best balanced SOM-specific and general clustering performance metrics (see 311 below). With this optimal grid size identified, we then developed 60 alternative SOMs at S = 22312 using the full set of input features and selected the best performing iteration using performance 313 metrics as described below. The codebook vectors from his best performing iteration generate a 314 set of 484 features that reflect the underlying structure of the input data (Figure S3) and offer an 315 intermediate classification level.

316 Second stage SOM methods: The codebook vectors from the selected first stage SOM became 317 the input features for the second stage SOM iterations. Conversely to the two-dimensional first 318 stage SOMs, we followed Delgado et al. (2017) and iterated across one-dimensional SOM grid 319 sizes so that iterations that determine prime numbers of clusters can be evaluated. For these 320 second stage SOMs, we set a minimum size $(1 \times S)$ of $S_{min} = 2$, and a maximum size of $S_{max} = 30$ 321 following social-ecological archetype analysis guidelines (Eisenack et al. 2019). As the input 322 feature space is considerably smaller in this second stage, we generate 120 alternative SOMs for 323 each grid size S from S_{min} , $S_{min} + 1$, $S_{min} + 2$, ..., S_{max} . We select the best-performing SOM from 324 these iterations to represent the groundwaterscapes. The crisp (e.g. mutually exclusive) 325 classification provided by this methodology (where each grid cell is associated with a single node 326 in the selected first stage SOM, and each of these first stage SOM nodes is associated with a 327 single node in the selected second stage SOM) enables a simple classification of geospatial grid 328 cells to their respective groundwaterscape. Following Jung et al. (2024), we apply a modal filter 329 with a 3x3 grid cell moving window to reduce minor speckling noise in the final groundwaterscape 330 map.

331 SOM performance metrics: For the first stage SOMs, we calculated performance using the 332 SOM-specific Kaski-Lagus error function (Kaski & Lagus, 1996) and the clustering-specific 333 Davies-Bouldin index (Davies & Bouldin, 1979). The Kaski-Lagus error function combines 334 aspects of quantization error (average squared distance between input features and their 335 assigned codebook vector) and topographic error (an indicator of how well the input data's 336 topography is preserved in the SOM based on the share of total input features whose assigned 337 and second-closest SOM node codebook vectors are neighbours within the SOM node grid). 338 Conversely, the Davies-Bouldin index is a measure of both the compactness of individual clusters 339 and the separation between clusters. To compare these performance metrics across SOM 340 iterations, we min-max normalized each metric so that each had an equal influence on the 341 performance evaluation.

For the second stage SOM, we continued to use the same Kaski-Lagus error function and Davies-Bouldin Index and additionally included two more metrics. The first is the percentage of unexplained variation, which we were drawn to include based on our observation that there was significantly lower range of explained variance in the second stage SOMs at small grid sizes that were not captured by the Kaski-Lagus error function due to topographic performance trade-offs. This variation-based performance metric was thus equally weighted with the Kaski-Lagus error function when deriving the second stage SOM performance scores.

349 The second additional performance metric is a size preference metric that was included to 350 quantitatively reflect our preference of identifying a manageable number of system classes (i.e., 351 preferencing fewer clusters should performance metrics otherwise be similar). Our inclusion of 352 this size preference metric stems from our observation that SOM results can show similar 353 performance across a wide range of SOM grid sizes and thus could benefit from additional 354 discrimination by explicitly embedding this size preference in our derivation methodology. To 355 accomplish this, we superimpose a trapezoidal function (set to preference cluster counts that are 356 equal to and greater than an a priori estimate of the best number of partitions in the data) and the 357 logarithm of the number of clusters (set to preference a lower number of clusters, based on 358 Varshney & Sun, 2013). This a priori best estimate of cluster partitions is determined by taking 359 the median value across 30 different clustering indexes that estimate the optimal number of 360 clusters in a data set (Charrad et al., 2014) and is an approach that has been used to inform 361 previous social-ecological system clustering (Rocha et al., 2020). The result is a curve resembling 362 a piecewise function with its minimum located at this a priori estimate (Figure S4). We do not use 363 this size preference function on equal footing with the SOM- and cluster-specific performance 364 metrics, but rather as an additional consideration in a sensitivity analysis to assist our decision-365 making process (see below). While other SOM-based studies take simpler approaches to identify 366 the optimal number of clusters, such as visually identifying the "elbow" in the within-cluster sum 367 of squares (Beckmann et al., 2022), we view our method as a more elaborate but reflective 368 approach consistent with our underpinning values and objectives for this study.

369 **Reproducibility and sensitivity analyses:** To increase the reproducibility of this approach given 370 the stochastic nature of SOMs, we filter and remove performance outliers within SOM iterations 371 at each SOM grid size. The threshold to detect outliers per SOM size is established using the 372 median absolute deviation (MAD) of individual and combined performance metrics. We thus 373 removed outlier iterations at each SOM size if any of the iteration's individual performance metrics 374 or integrated performance metric was outside the respective MAD from the size-specific median 375 performance value. We found this approach to lead to highly reproducible SOM results across 376 successive runs of our clustering scripts.

In our second stage SOM performance evaluation, we perform a bivariate sensitivity analysis to better understand possible trade-offs between study reproducibility, clustering performance, and cluster count preferences. To do this, we identify the best-performing SOM iteration while varying (i) the allowable limit of performance deviation and (ii) the weight given to the size preference function relative to the other performance metrics. The resulting matrix reveals the trade-offs embedded in this clustering process and enables the transparent selection across alternative local
optimal iterations that best fit the needs of the study. 18 clusters are proposed across the majority
of sensitivity analysis combinations (Figure 3, panel 6) and thus is selected as the optimal solution
to this clustering problem.

386 2.4 Post hoc analysis

387 Within the large aquifer systems of the world (n = 37) (Richts et al., 2011), we calculated several 388 landscape metrics to evaluate the spatial distribution of the groundwaterscapes. These metrics 389 include the area distribution, Simpson's evenness index (Simpson, 1949), the contagion index 390 (Riitters et al., 1996), marginal entropy, and relative mutual information (Nowosad & Stepinski, 391 2019) of groundwaterscapes. Simpson's evenness index is a diversity metric that represents if 392 groundwaterscapes are evenly distributed within the aquifer (index is high) or if a few 393 groundwaterscapes dominate the area (index is low). The contagion index is an aggregated 394 metric that represents the likelihood that two adjacent grid cells belong to the same 395 groundwaterscape. Marginal entropy measures the thematic complexity of groundwaterscapes 396 within an aquifer, while relative mutual information has been shown as a useful approach to 397 differentiate landscape patterns that otherwise show similar levels of thematic complexity 398 (Nowosad & Stepinski, 2019). Calculating these metrics within the large aquifer systems of the 399 world facilitates the exploration of spatial patterns of groundwaterscapes within these aquifer 400 systems and can enable aquifer grouping based on their groundwaterscape composition.

401 Lastly, we compared the groundwaterscape map with the location of monitoring wells in the Global 402 Groundwater Monitoring Network (GGMN) (IGRAC, 2024). While the GGMN is a participative 403 initiative and thus does not reflect all monitoring wells worldwide, it is the best-available open 404 global data set of groundwater monitoring well locations. To assess the coverage of monitoring 405 wells across groundwaterscapes, we count the number of monitoring wells found within each 406 groundwaterscape. We then calculate the proportion of monitoring wells found within each 407 groundwaterscape and compare this frequency with each groundwaterscape's associated 408 coverage of the global land surface.



Figure 3. Groundwaterscape derivation methodology. Numbered panels denote individual
 components of the methodology.

411 3 Results and discussion

412 <u>3.1 Global groundwaterscapes</u>

Our classification methodology generates a set of 18 groundwaterscapes (Figure 4). Each groundwaterscape represents a unique configuration of the Earth system, ecosystem, food system, and water management system functions included in our conceptual model. In Figure 4e, we visualize these unique function configurations for each groundwaterscape using radial plots representing the typical magnitude of each groundwater function.

418 We find groundwaterscapes to span spatially contiguous regions and capture broad patterns 419 visible in individual groundwater functions (e.g., as shown in Figure 2). The largest

420 groundwaterscape by surface area (GS17) represents arid and desert environments such as the 421 Central Basin (USA) and the Gobi Desert (China) which have large storage capacities amid 422 minimal other functions in jurisdictions with generally strong water management and collectively 423 cover 9% of the land surface. By contrast, the smallest groundwaterscape (GS6) represents 424 mosaic landscapes with agricultural regions and terrestrial GDEs, such as found across the South 425 American Pampas, and is found across 2% of the land surface. Each groundwaterscape is 426 described in Table 3 and the extent of individual groundwaterscapes are shown in Figure S5. 427 Figure S6 shows the interguartile range of function magnitudes within each groundwaterscape to 428 supplement the radial plots shown in Figure 4.

Groups of groundwaterscapes (Figure 4c, 4e) share overarching similarities but differ on a subset
of functions. For instance, GS1 through GS4 are identified as landscapes that have extensive
aquatic and terrestrial GDEs and have limited agricultural functions but are differentiated on the
basis of their water management and Earth system functions. Out of the 18 groundwaterscapes,
13 describe non-agricultural regions (groundwaterscape groups I, II, III, and VI), while agricultural
areas are described through five groundwaterscapes (groundwaterscape groups IV and V).

435 To substantiate finding that groundwaterscapes are spatially contiguous, we performed grid cell 436 adjacency analysis (Figure S7). For each groundwaterscape, we find that any grid cell of a given 437 groundwaterscape is most likely to neighbour with grid cells of the same groundwaterscape. 438 Given that geographic location was not considered in our derivation methodology yet 439 groundwaterscapes are found in contiguous patches suggests that our classification approach 440 successfully identifies and reflects broad patterns in the groundwater functions included in our 441 conceptual model. Yet, not every grid cell is represented in equal fidelity by this classification 442 scheme as some grid cells have function configurations that more closely mirror their 443 groundwaterscape model than others. To represent this "fit" of groundwaterscape classification, 444 we plot the z-score of grid cell residual magnitudes per groundwaterscape (Figure S8). We find 445 some regions to correspond tightly with their groundwaterscape representation such as the 446 Amazon, central USA, Sudanian savanna, and Sahel. Other regions, such as the Congo basin 447 have functional configurations that are relatively distant from their associated groundwaterscape 448 model and could benefit from an investigation of "nested" groundwaterscapes (cf. Sietz et al., 449 2017) to further differentiate and describe systems in these regions. Using the intermediary 450 codebook vectors produced through the first stage SOM (and as included in our data deposition)

451 provide a subclassification of groundwaterscape that could be used for this purpose, however we

452 leave such recursive groundwaterscape derivations and investigations for future study.



Figure 4. Global groundwaterscapes. (a) Map of the 18 derived groundwaterscapes. White polygon
outlines represent large aquifer systems shown in subsequent figures. Annotated numbers
correspond to aquifer IDs as used throughout the text. (b) Area distribution of groundwaterscapes.
(c) Agglomerative grouping of groundwaterscapes. (d) Radial plot legend. (e) Radial plot of function
magnitudes per groundwaterscape. Figure S6 shows the interquartile range of function magnitudes
for each groundwaterscape.

459 **Table 3. Groundwaterscape descriptions.**

Groundwaterscape grouping	Additional descriptions specific to groundwaterscape	Example region		
I: Non-agricultural, extensive terrestrial and aquatic groundwater-dependent ecosystems				
GS1	Small storage capacity			
GS2	Large storage capacity	Amazon headwaters		
GS3	Strong water management and large storage capacity	Western Siberia		
GS4	Strong water management and small storage capacity	Eastern Canada boreal forest		
II: Non-agricultural re	egions providing Earth system functions			
GS10	Strong water management and large storage capacity	Australian north coast		
GS11	Strong climate coupling and water management	Australian outback		
GS12	S12 Strong climate coupling with limited water management			
GS13	Limited water management, underserved populations, large storage capacity, and some terrestrial GDEs	Central Congo Basin		
III: Arid and desert re	egions providing minimal functions			
GS15	Limited water management	Atacama Desert		
GS16	Strong water management			
GS17	Strong water management and large storage capacity	North American cold deserts		
GS18	Limited water management and large storage capacity	Sahara		
IV: Industrialized agr	icultural regions with low groundwater dependence			
GS5	Aquatic and terrestrial GDEs and some groundwater irrigation	Po River Basin		
GS6	Limited water management	Pampas		
GS7	Strong water management	Canadian Prairie		
V: Agricultural regio	ns with high groundwater dependence			
GS8	8 Industrial, large farms with strong water management			
GS9	Smallholder farming Ganges River Basin			
VI: Underserved pop	ulations			
GS14	SS14 Some terrestrial GDEs and climate coupling but otherwise limited functions Burkina Faso			

460 <u>3.2 Groundwaterscapes facilitate social-ecological systems thinking on global groundwater</u>

461 To illustrate how groundwaterscapes capture patterns across the underlying functions considered 462 in our conceptual model, we zoom-in on five large aguifer systems and visualize the distribution 463 of groundwaterscapes side-by-side with Earth system, ecosystem, food system, and water 464 management system functions (Figure 5). For instance, we can observe how the Northern Great 465 Plains Aquifer (Figure 5b) contains a mosaic of groundwaterscapes with GS7 (industrial 466 agriculture with low-moderate groundwater use) dominating the central and western extents of 467 the aquifer while GS8 (industrial agriculture with high groundwater use) is found across its 468 southeastern regions. In addition to capturing this gradient in agricultural reliance on groundwater 469 within the aquifer, the groundwaterscapes represent the extensive aquatic and terrestrial GDEs 470 in the northeastern reaches of the aquifer through their assignment to GS4 (extensive GDEs with 471 small storage capacity). We similarly demonstrate how this overlaying of system functions can 472 visually explain the groundwaterscape maps for the Guarani Aquifer System, Northwestern 473 Sahara Aquifer System, Ganges-Brahmaputra Basin, and North China Aquifer System (see in-474 figure annotations in Figure 5).

475 Characterizing groundwater systems in this way can facilitate investigation on interlinkages 476 between these diverse groundwater functions. While hypothesis testing is beyond the scope of 477 this classification study, we pose hypothetical lines of inquiry to exemplify this potential. For 478 instance, how might the co-occurrences of extensive groundwater irrigation and low densities of 479 GDEs have co-evolved under the setting of low to moderate levels of groundwater management 480 across the Ganges-Brahmaputra Basin (Figure 5d)? Alternatively, how might the expansion of 481 irrigated agriculture across the Northwestern Sahara Aquifer System increase the bi-directionality 482 of groundwater-climate interactions and what might the implications of this be on ecosystems 483 within these landscapes? And, how might regional variations in storage capacity within the 484 Guarani Aquifer contribute to different realities regarding climate resilience in the agricultural 485 sector across groundwater irrigating regions in the north and south of the aquifer?

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Aquifer system	Groundwaterscapes	Earth system functions	Ecosystem functions	Food system functions	Water management system functions
a Guarani Aquifer System		Greater storage capacity Bi-directional climate interactions	Dense GDEs	Greater groundwater irrigation	Less integrated management
b Northern Great Plains Aquifer			GDEs in north	Industrial agriculture with low groundwater dependence	Groundwater ingation in southeast
c Northwestern Sahara Aquifer System		Climate coupling occurs in northeast	Minimal ecosystem functions	Patches of groundwater irrigation	
d Ganges- Brahmaputra Basin		Lund	GDEs most dense across the Ganges Delta	Extensive groundwater irrigation across aquifer	Management gradient across India - Bangladesh border
e North China Aquifer System	Ci and	a large storage capacity Smaller storage capacity	Groundwa most pron of the Ye	te agricultural activity indextensive GDExt	A started
	Bivariate legends from Figure 2	Climate (water table -1 -inf 0 5 10 15 20 25 100 Storage capacity (porosity, %)	Letrestrial CDEs (density, %)	Irrigation 100 (area 60 for 20 ground- 1 water %) Field size (min. hectares)	Integrated groundwater (-) (-) (-) (-) (-) (-) (-) (-) (-) (-)

Figure 5. The multidimensional composition of groundwaterscapes. Columns represent spatial patterns in groundwaterscape distributions, Earth system functions, ecosystem functions, food system functions, and water management system functions for five case study aquifers: (a) the Guarani Aquifer System (Argentina, Brazil, Paraguay, Uruguay), (b) The Northern Great Plains Aquifer (USA, Canada), (c) the Northwestern Sahara Aquifer System (Algeria, Tunisia, Libya), (d) the Ganges-Brahmaptura Basin (Bangladesh, India, Nepal), and (e) the North China Aquifer System

492 (China). We provide a similar mapping of all 37 large aquifer systems of the world in Figures S9-493 S16.

494 Groundwaterscapes on their own cannot answer these questions. Yet, the groundwaterscapes 495 can provide a spatial template of comparable units to evaluate particular system behaviours 496 across a variety of system conditions. Given that generalising relationships in complex freshwater 497 systems, such as biodiversity responses to environmental flow transgressions, has proven 498 analytically challenging (Mohan et al., 2022), we hypothesize that integrating groundwaterscapes 499 and their derivatives in similar investigations can provide an alternative template for analysis of 500 these complex, interlinked systems where system behaviours and statistical relationships are 501 investigated at the groundwaterscape level rather than globally.

502 <u>3.3 Multiple groundwaterscapes in all large aquifers</u>

503 All of the 37 large aguifer systems of the world contain multiple groundwaterscapes (Figure 6). 504 The Amazon Basin (Brazil) and Canning Basin (Australia) are the least diverse of these large 505 aquifer systems, with only two groundwaterscapes found within each system's borders (i.e., 506 covering at least 1% of the aquifer's surface area). Conversely, the Karoo Basin (South Africa) 507 and Maranhão Basin (Brazil) contain 10 and 12 groundwaterscapes, respectively. That 12 of the 508 18 groundwaterscapes are found within the Maranhão Basin highlights the region's exceptional 509 groundwater system heterogeneity. That the Maranhão Basin and Amazon Basin are so proximal 510 to each other (separated by <100 km at their nearest points) yet exist at opposite ends of this 511 spectrum of groundwaterscape diversity underscore how the groundwaterscapes we derive offer 512 counterintuitive insights.

513 We view our finding that every large aquifer system is characterized by multiple 514 groundwaterscapes to be a fundamental insight that could have important implications for 515 groundwater science. Treating these systems as homogeneous, lumped units, as is often the 516 case in global groundwater assessments, severely underrepresents the functional heterogeneity 517 that exists within each aguifer. Yet, as aguifer and groundwaterscape mapping are based on 518 vastly different conceptual models, we foresee the potential to use these resources in tandem. It 519 is possible for groundwaterscapes to span aquifers (as aquifers do not consider their overlying 520 social-ecological and Earth system functions) and for aquifers to span groundwaterscapes (as 521 groundwaterscapes do not account for lateral flow or the specific geology of the region and are 522 derived uniquely per grid cell).

523 For example, understanding groundwater storage trends in the major aquifer systems of the world 524 (e.g., as in Richey et al., 2015) could be strengthened by further specifying storage trends at the 525 groundwaterscape unit within aguifers. It is well established that there are divergent groundwater 526 storage trends within the High Plains (Ogallala) Aquifer, with pronounced depletion in its central 527 and southern regions but groundwater storage gain in its northern regions (McGuire, 2017), yet 528 taking a lumped-system approach moderates groundwater storage trend results across the entire 529 aquifer. In contrast, evaluating the groundwater storage trends within groundwaterscapes within 530 the Ogallala and for any other aguifer (Figure S9-S16) would support a more disaggregated 531 specification of storage trends within aquifers while simultaneously facilitating thinking about the 532 potential socioeconomic, ecological, and Earth system functions at risk due to hydrological 533 change.

ID	Aquifer name	Count	Groundwaterscape distribution	ID	Aquifer name	Count	Groundwaterscape distribution
1	Amazon	2 (8)		19	North Caucasus	6 (7)	
2	Angara-Lena	6 (9)		20	North China	8 (10)	
3	Arabian	7 (7)		21	Northern Great Plains	9 (10)	
4	Atlantic & Gulf Coastal Pla	ins 9 (14)		22	Northwestern Sahara	6 (7)	
5	California Central Valley	6 (9)		23	Nubian	6 (8)	
6	Cambro-Ordovician	5 (8)		24	Ogaden-Juba	4 (6)	
7	Canning	2 (5)		25	Ogallala (High Plains)	6 (8)	
8	Congo	4 (4)		26	Paris	5(8)	
9	Ganges-Brahmaptura	5 (8)		27	Pechora	6 (6)	
10	Great Artesian	5 (7)		28	Russian Platform	9 (13)	
11	Guarani	8 (12)		29	Senegalo-Mauritanian	5 (9)	
12	Indus	8 (10)		30	Song-Liao	7 (9)	
13	Iullemeden-Irhazer	5 (5)		31	Sudd Basin (Umm Ruwaba)	4 (7)	
14	Karoo	10 (16)		32	Taoudeni-Tanezrouft	4 (5)	
15	Lake Chad	5 (8)		33	Tarim	4 (5)	
16	Lower Kalahari-Stampriet	7 (10)		34	Tunguss	6 (7)	
17	Maranhao	12 (14)		35	Upper Kalahari-Cuvelai- Upper Zambezi	5 (6)	
18	Murzuk-Djado	5 (6)		36	West Siberian	5 (12)	
				37	Yakut	3 (6)	

Figure 6. Groundwaterscape area distributions in the large aquifer systems of the world.
Groundwaterscape counts are calculated based on those that cover a minimum threshold of 1%
(and 0.1%) of the aquifer area.

537 Simply counting the number and areal distribution of groundwaterscapes within an aquifer 538 provides an introductory but insufficient description of the groundwaterscape distribution within 539 aquifer systems. For instance, although the Angara-Lena Basin (Russia) and Song-Liao Basin 540 (China) both contain a similar number of groundwaterscapes within their boundaries (six and 541 seven, respectively), it can be observed that one groundwaterscape is relatively dominant and 542 covers a considerable combined area of the Angara-Lena Basin, whereas the seven 543 groundwaterscapes within the Song-Liao Basin are more evenly distributed by area (Figure 6). 544 Thus, we supplemented this analysis by computing several additional landscape metrics to further 545 describe the spatial patterns of groundwaterscapes within aquifers (Figure 7). While similar 546 analyses could be conducted across other units of organization (e.g., country borders, water 547 management administrative regions, protected areas, ecological biomes, etc.), we continue our 548 focus on the large aquifer systems as they represent a primary, well-known, and widely used 549 global groundwater system classification.

550 There is a strong relationship between the Simpson's evenness index and the contagion index 551 of groundwaterscapes within aguifers (Figure 7a). These metrics identify aguifers such as the 552 Amazon Basin (Brazil) and Canning Basin (Australia) as among the least diverse and most 553 contiguous in their groundwaterscape make-up, whereas the Song-Liao Basin (China) and 554 Maranhão Basin (Brazil) are among aguifers with the greatest heterogeneity and diversity of 555 groundwaterscapes. Given landscape indices such as the Simpson's evenness index and the 556 contagion index are often correlated, plotting marginal entropy against relative mutual 557 information is one proposed approach to differentiate and classify landscape patterns with 558 weakly correlated indices (Nowosad & Stepinski, 2019). When applying this approach (Figure 559 7b), groundwaterscape patterns between aguifers that contain similar levels of evenness and 560 contiguity can be differentiated. For instance, the Paris Basin (France) and Taoudeni-Tanezrouft 561 Basin (Mali, Mauritania, and Algeria) show similar levels of evenness and contiguity (Figure 7a) 562 yet the two basins can be differentiated on the basis of relative mutual information, with the 563 Paris Basin having considerably less relative mutual information (Figure 7b). Such analytical 564 approaches could be useful for applications that would benefit from grouping aquifers based on 565 similarity in their groundwaterscape composition and landscape complexity.



566 Figure 7. Landscape metrics of groundwaterscapes within the large aquifer systems of the world.

- 567 (a) Plot of Simpson's evenness index (x-axis) and the contagion index (y-axis). (b) Plot of marginal
- 568 entropy (x-axis) and relative mutual information (y-axis). (c) Groundwaterscape distributions
- 569 within highlighted aquifers. Aquifer IDs correspond to the points labels in panels (a) and (b) and
- 570 also correspond to the aquifer borders mapped in Figure 4. Inset maps are sized for visualisation
- 571 and are not shown at a consistent scale.

572 <u>3.4 Groundwaterscapes are not equally monitored</u>

These groundwaterscapes offer an alternative conceptual model to understand, study, and 573 574 manage global groundwater systems. To juxtapose this study with the influential concept of 575 hydrologic landscapes, which hypothesize that hydrological systems behave as a function of land-576 surface form, geology, and climatic setting (Winter, 2001), we present groundwaterscapes as 577 systems whose behaviour is a function of interacting Earth systems, ecosystem, agricultural 578 system, and water management system processes. On this basis, groundwaterscapes are 579 different and distinct systems to measure and study in comparison to physical groundwater 580 systems.

581 We find a striking imbalance in the global groundwater monitoring well network distribution across 582 groundwaterscapes (Figure 8a). Groundwaterscapes GS7 and GS8 (characterized by industrial 583 agriculture and strong water management) benefit from >50% of all monitoring wells despite 584 covering a combined 6% of the land surface (Figure 8b). Conversely, some groundwaterscapes 585 have almost no representation in the observation network at all, such as GS12, GS13, and GS14 586 that combined have <1% of all monitoring wells within their extents yet cover over 14% of the land 587 surface. These monitoring disparities thus intensify when normalizing by surface area (Figure 8c). 588 As economic factors and management capacity influence the ability of jurisdictions to monitor 589 their groundwater resources, it is not surprising that industrial agricultural groundwaterscapes 590 dominate the monitoring network distribution. Yet, even within agricultural regions we see 591 imbalances in monitoring. For instance, GS9 (groundwater-reliant smallholder agriculture) has 592 about one guarter of the monitoring well density of GS7 and one-third of the density of GS8.

593 As observation instrumentation is one of the dimensions of the groundwater management data 594 set included in our groundwatershed derivation, the biases we observe in the well network are 595 not independent from our derivation methodology (i.e., it is expected that groundwaterscapes with 596 lower groundwater management levels would have fewer observation wells). However, it remains 597 that effective groundwater management depends on representative data (Curran et al., 2023), 598 and therefore the biases and blind spots in global groundwater data collection undermine the 599 ability to manage groundwaterscapes on a data-driven basis. In this way, the groundwaterscape 600 concept can be a tool to prioritize data collection initiatives, and moreover to re-imagine what 601 effective groundwater data collection entails in order to assemble more representative and 602 capable sets of observations to understand change in groundwaterscapes.



Global groundwater monitoring network distribution across groundwaterscapes a

603 Figure 8. Distribution of the Global Groundwater Monitoring well network (GGMN) (IGRAC, 2024) 604 across groundwaterscapes. (a) Map of GGMN wells coloured according to their groundwaterscape. 605 (b) Proportion of GGMN wells found within each groundwaterscape. (c) GGMN well density per 606 groundwaterscape.

607 3.5 Groundwaterscapes as a starting point

608 We present these groundwaterscapes as a plausible classification of global groundwater systems 609 built on a function-oriented understanding of groundwater in social-ecological systems. Yet 610 moreover, these groundwaterscapes represent a global mapping of the alternative conceptual 611 model presented by the groundwater-connected systems framing (Huggins et al., 2023a) and thus 612 support an overarching ambition to characterize, understand, and manage groundwater systems 613 on the basis of the resource's role within social-ecological systems. Our perception is that debate 614 on effective ways to proceed in this regard is far from settled and we expand on this reflection in 615 a number of ways below.

616 In a practical sense, the groundwaterscapes are challenging to validate. This is not unique to this 617 study and is a general problem across archetype analysis (Piemontese et al., 2022). This stems 618 from the fact that social-ecological system typologies are conceptual constructs rather than physical entities (Oberlack et al., 2019) and thus cannot be directly measured. In the archetype 619 620 analysis literature, a comprehensive validation procedure is proposed to consist of six dimensions 621 (Piemontese et al., 2022) that span qualitative evaluations on the strength of conceptual framing, 622 data fidelity, methodological robustness, the explicitness of study scope, empirical justification, 623 and an evaluation of the potential application. As this study does not conduct a "full" archetype 624 analysis and rather presents the groundwaterscapes as possible archetype 'candidates' for 625 evaluation and future refinement, we do not foresee the need for the full set of proposed validation 626 components to be incorporated here.

627 We perceive our study to follow "strong" validation guidelines by using a theory-grounded 628 conceptual model to underpin our study, sourcing best-available, empirical global data sets that 629 correspond closely with our conceptual model, and in implementing a robust and reproducible 630 derivation methodology. We bound our study by acknowledging that the groundwaterscapes only 631 represent the groundwater functions included in our conceptual model, and thus omit important 632 functions that occur in coastal environments, small islands, permafrost regions, and urban 633 settings. We also do not consider non-agricultural economic uses of groundwater and thus 634 groundwater's role in mining, manufacturing, energy generation, and other industries is invisible 635 to these groundwaterscapes. We also do not include consideration of groundwater quality or 636 geochemical functions. We foresee the potential for adapted "groundwaterscapes" to address 637 these conceptual limitations and readily welcome the pluralisation of the groundwaterscape 638 concept.

639 There are important data limitations that provide further basis to view the groundwaterscapes 640 through a critical lens. While we used the best-available data to represent each function included 641 in our conceptual model, several of these data sets would benefit from further refinement. We 642 used data layers for their most-recent year but some layers are now considerably dated (such as 643 groundwater irrigation areas which correspond to the year 2000). Specific challenges to individual 644 data sets include an opaque multi-stakeholder consolidation process underlying the development 645 of the water management layer and a simple, inference-based mapping approach used to 646 generate groundwater-dependent ecosystem data. Yet, we view these data limitations as sources 647 for future groundwaterscape improvement, such as through updating existing data sets to more 648 recent reference years (e.g., groundwater irrigation maps for the year 2020), refining methods 649 (e.g., groundwater-dependent ecosystem maps), and disclosing data generation methods (e.g., 650 in the case of stakeholder consolidated management data). We thus view our groundwaterscape 651 results as a best-available representation of global groundwater systems functions given current 652 data availability and note that our reproducible methodology enables the update of our 653 groundwaterscape map following data improvements.

We perceive this groundwaterscape mapping study as a potential catalyst for wider application of social-ecological system concepts within the global-scale groundwater domain. For instance, global hydrological models, which are arcing towards visions of "physically-based continental Earth system models" (Bierkens, 2015), could benefit from parameterization and conceptual model development facilitated through the groundwaterscapes. The groundwaterscape concept can also be applied to support data collection strategies and as a spatial template to identifydiverse case study locations for modelling or field work studies.

661 Groundwaterscapes can more generally be used to test hypotheses on groundwater-connected 662 system behaviour. Thus, groundwaterscapes can support the application and development of 663 middle range theories of change to groundwater science, which represent "contextual 664 generalisations that describe chains of causal mechanisms explaining a well-bounded range of 665 phenomena, as well as the conditions that trigger, enable, or prevent these causal chains" 666 (Meyfroidt et al., 2018). Thus, an overarching potential of the groundwaterscape concept is to 667 serve as a conceptual and analytical tool to facilitate investigations on causal processes 668 connecting these complex and intertwined hydrological, social, ecological, and Earth systems.

669 **4 Conclusion**

670 We developed a global classification and mapping of groundwaterscapes which are landscape 671 units with common configurations of eight large-scale (order of 10⁴ km²) functions of groundwater 672 systems across Earth systems, ecosystems, food systems, and water management systems. We 673 classified and mapped 18 groundwaterscapes across the global land surface using a two-stage, 674 iterative self-organizing map methodology. Groundwaterscape groupings include non-agricultural 675 regions with extensive groundwater-dependent ecosystems, non-agricultural regions providing 676 Earth system functions, Arid and desert regions with minimal functions, agricultural regions with 677 low groundwater use, agricultural regions with high groundwater use, and underserved 678 populations. The groundwaterscapes provide a new lens to conceptualize, study, and manage 679 groundwater systems worldwide. All large aquifer systems of the world contain multiple 680 groundwaterscapes, highlighting the functional heterogeneity that is overlooked when these 681 systems are treated as homogenous units in global analysis. We found a striking imbalance in 682 global monitoring wells across groundwaterscapes with only two groundwaterscapes benefiting 683 from over half of all monitoring wells while other groundwaterscapes contain next to no monitoring 684 capacity. The groundwaterscapes can serve as a conceptual and spatial tool for the large-scale 685 groundwater research community to engage more fully with the complex realities of groundwater 686 system dynamics within social-ecological systems. Inspiring steps are being taken in this direction 687 by multiple research groups, mainly oriented around developing pairwise system understandings 688 with groundwater (e.g., groundwater-climate processes, groundwater-streamflow processes, 689 groundwater- terrestrial ecosystem processes). This study is our attempt to begin the process of

- bringing together these research streams and make initial progress towards developing a more
- holistic, system-of-systems understanding of groundwater at the global scale.

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701 **Open research**

702 All analyses were conducted using the R project for statistical computing (R Core Team, 2023). 703 R packages kohonen (Wehrens & Kruisselbrink, 2018), aweSOM (Boelaert et al., 2022), and 704 *clusterSim* (Walesiak & Dudek, 2020) were used to develop and evaluate self-organising maps. 705 Landscape metrics of groundwaterscapes within aquifer systems were computed using the 706 landscapemetrics package (Hesselbarth et al., 2019). General spatial data processing was 707 performed using terra (Hijmans, 2023). Plots were generated using tmap (Tennekes et al., 2018), 708 ggplot2 (Wickham, 2016), and MetBrewer (Mills, 2022) packages. Composite figures were 709 assembled in Affinity Designer (https://affinity.serif.com/en-us/designer/).

710 Data used in this study, as listed in Table 2, include data sets of the water table ratio (Cuthbert et 711 al., 2019b), groundwater recharge (Döll & Fiedler, 2008), near-surface porosity (Gleeson et al., 712 2018), groundwater-dependent ecosystems (Huggins et al., 2023c), groundwater irrigation 713 (Siebert et al., 2013), farm field size (Lesiv et al., 2018), integrated groundwater management 714 (UNEP, 2021; https://iwrmdataportal.unepdhi.org/), worldwide governance indicators (Kaufmann 715 & Kraay, 2023), unimproved drinking water access (World Resources Institute, 2023), and 716 groundwater monitoring well locations (IGRAC, 2024). A global land mask (Wessel et al., 2019) 717 was used to establish the study domain.

- 718 Groundwaterscape data and scripts developed to produce all results will be deposited on Borealis
- 719 (https://borealisdata.ca/) upon manuscript acceptance. Scripts are also available online at
- 720 <u>https://github.com/XanderHuggins/groundwaterscapes</u>.

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