

No respite from permafrost-thaw impacts in absence of a global tipping point

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1 Arctic permafrost, the largest non-seasonal component of Earth's cryosphere, contains a significant climate-sensitive carbon pool. Its
2 potential for loss due to climatic changes leading to a global tipping point, where thawing accelerates with disproportionate impacts, remains
3 debated. Here, we provide an integrative perspective on this question, building on a cross-disciplinary meta-analysis of literature supported by
4 geospatial analyses of global data products and climate model output. Contrary to the existence of a global-scale tipping point, scientific
5 evidence suggests a quasi-linear response to global warming, both from observation-based and model-based projections. While certain
6 processes, such as talik development, thermokarst, thermo-erosion, and vegetation interactions, can drive rapid local permafrost thaw and
7 ground ice loss, they do not accumulate to a non-linear response beyond regional scales. We conclude that there is no safety margin for Arctic
8 permafrost where its loss would be acceptable. Instead, with each increment of global warming, more land areas underlain by permafrost will
9 proportionally experience thaw, causing detrimental local impacts and global feedbacks.

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13 Amplified climate warming in northern high latitudes has led to warming, thawing, and in some cases complete loss of
14 perennally frozen ground (permafrost) (1–3) and climate models project continued and widespread permafrost loss within the
15 current century (4–6). Of major global concern upon permafrost thaw are the liberation and release of permafrost carbon into
16 the atmosphere in the form of greenhouse gases (GHG; mainly carbon dioxide (CO₂) and methane (CH₄)), entailing a positive
17 feedback on climate warming of yet uncertain magnitude (7, 8). Permafrost has thus been identified as an essential climate
18 variable by the Global Climate Observing System of the World Meteorological Organisation (9). As such it is being monitored
19 through the Global Terrestrial Network for Permafrost (10) and as part of the Earth’s heat inventory (11, 12).

20 In the context of investigating global warming thresholds whose crossing would imply fundamental changes to major
21 components of the Earth system, permafrost in Arctic and Boreal regions has been proposed as a potential climate tipping
22 element (13), and more recently “permafrost collapse” has been suggested to constitute a “global core tipping element” (14). The
23 notion of permafrost as a global climate tipping element has manifested over the past years, not only in popular media, but also
24 in scientific literature (e.g. 15–17). In particular, several recent and widely recognized syntheses and opinion articles on climate
25 tipping elements have emphasized this view by featuring permafrost as a cryosphere component with an associated tipping
26 point for its rapid loss at or above a global mean surface temperature (GMST) increase of 4–6 °C compared to pre-industrial
27 levels (14, 18–20). This view suggests a comparatively large “safety margin” in terms of global warming levels within which
28 permafrost loss and the associated impacts would be of less concern than other tipping elements which have their tipping
29 points already at lower warming levels. However, (13) originally noted that “no studies to date convincingly demonstrate that
30 it [permafrost loss] is a tipping element by our definition” (see SI of (13)), because future projections of permafrost loss did not
31 reveal threshold behavior. Since then, the representation of permafrost processes in the land surface models (LSMs) of coupled
32 Earth System Models (ESMs) has improved in many ways (21–23) and first process-oriented modeling studies of permafrost
33 thaw indicate a possibly strong contribution by rapid thaw processes such as thermokarst (24–26).

34 According to the 6th assessment report (AR6) of the Intergovernmental Panel on Climate Change (IPCC) (27, p. 728) it is
35 yet an open question whether specific warming thresholds at which rapid or accelerated permafrost loss at planetary scale would
36 occur exist and whether Arctic permafrost should thus be regarded as a climate tipping element (14). Here, we assess this
37 question systematically and provide an integrative perspective based on a meta-analysis of evidence published in peer-reviewed
38 literature since the review article by (13) across the fields of climate, permafrost, and ecosystem research. We particularly assess
39 whether permafrost-thaw processes and feedbacks involve dynamical threshold behavior, which would give rise to an acceleration
40 of thaw, irreversible loss of ground ice, and liberation of GHGs across spatial scales. For this, we adopt the definition of (14)
41 of a climate tipping point (CTP) as a “change in part of the climate system [that] becomes (i) self-perpetuating beyond (ii)
42 a warming threshold as a result of asymmetry in the relevant feedbacks, leading to (iii) substantial and widespread Earth
43 system impacts.” By this definition, dynamical properties such as bifurcation, hysteresis, or irreversibility are neither necessary
44 nor sufficient conditions for a climate subsystem to qualify as a tipping element, while the key characteristic is a dynamical
45 feedback giving rise to self-perpetuation after crossing of a warming threshold.

46 **No evidence for abrupt decline in permafrost extent and carbon content**

47 A rapid loss of near-surface permafrost (defined as permafrost within the upper 3 m of the subsurface) at a certain level of
48 GMST increase would constitute evidence for a global-scale climate tipping point. The most accurate assessments of present-day
49 and future near-surface permafrost distribution rely on numerical models that simulate ground temperatures in equilibrium
50 with the climate (28, 29). In such equilibrium models, the probability for the presence of (near-surface) permafrost at a certain
51 location is a non-linear function of the mean annual air temperature (MAAT) and surface and subsurface properties. Using
52 maps and observations of pan-Arctic permafrost extent, Chadburn et al. (30) constrained a plausible MAAT regime where the
53 permafrost probability decreases from 1 to 0 (Fig. 1b), and exploited this dependency to determine the global equilibrium
54 permafrost extent as a function of GMST increase compared to pre-industrial levels (Fig. 1a). Despite the non-linear relation
55 which holds at a local scale, the analysis suggests a quasi-linear decrease in equilibrium permafrost extent with increasing
56 GMST levels (about $3.5 \cdot 10^6 \text{ km}^2 \text{ } ^\circ\text{C}^{-1}$). Accordingly, almost all present-day near-surface permafrost would likely be lost before
57 GMST increased above 5–6 °C, questioning the meaningfulness of a tipping point for permafrost loss beyond such warming
58 levels as suggested earlier (19, 20).

59 An essentially linear relation between permafrost extent and GMST increase was also found in the simulations of the
60 Climate Model Intercomparison Project (CMIP) Phases 5(4, 31) and 6(6, 32). We only found one study (33) reporting that
61 one of the CMIP5 models (HadGEM2-ES) projected an abrupt decline in high-latitude soil moisture under the Representative
62 Concentration Pathway (RCP) scenario 8.5, shortly after 2100, and suggested that this is caused by near-surface permafrost
63 loss. In contrast, Koven et al. (4) showed a gradual decline in permafrost area simulated by HadGEM2-ES under the same
64 future scenario. As far as we can judge, recent global simulations by LSMs and ESMs (CMIP5 and CMIP6) do not provide any
65 evidence for an acceleration of permafrost loss at a certain global warming level. Instead, the models confirm the approximately
66 linear relation between near-surface permafrost extent and global warming levels found with observation-constrained equilibrium
67 approaches (30).

68 A less gradual but more abrupt decline might be expected for the amount of organic carbon subject to permafrost conditions
69 due to its heterogeneous distribution across the circum-Arctic (34). However, a very gradual decline with GMST increase is
70 also found for the circum-Arctic permafrost carbon content when combining the approach of (30) with the organic carbon
71 content product by (34) (Fig. 1c).

72 Overall, the latest observation-constrained and model-based projections do not provide evidence for the existence of

73 thresholds in global warming levels at which permafrost extent or carbon content in the northern circumpolar Arctic would
74 decline particularly rapid or abrupt. Instead, they suggest circum-Arctic permafrost declines quasi-linearly under warming,
75 with projections of nearly full loss of near-surface permafrost at about 5–6 °C GMST increase compared to pre-industrial levels
76 (Fig. 1a).

77 Permafrost-thaw feedbacks at local-to-regional scales

78 Several studies have pointed out shortcomings in the representation of the physical and biogeochemical processes in the land
79 components of ESMs (35, 36), in particular with respect to permafrost thaw and associated feedbacks (6, 32, 37). Specifically,
80 current ESMs still lack representations of processes that can drive permafrost thaw in a non-linear way, including talik
81 development underneath lakes (38) and at the landscape-scale (39), thermokarst activity in ice-rich permafrost (26, 40, 41),
82 thaw-driven erosion in hillslope areas (42), or microbial heat production in organic-rich soils (43). Such local-scale processes
83 are not ubiquitous across the permafrost region but are typically confined to certain environmental conditions such as the
84 climatic regime, lithology including ground ice content and distribution, topography, or biome (Fig. 2). Due to the diversity
85 of permafrost landscapes susceptible to these thaw processes and their co-distribution with anticipated patterns of climatic
86 changes, the existence of tipping points for permafrost loss can be hypothesized which are not captured by the equilibrium
87 models and current-generation LSMs/ESMs described above. To test this hypothesis, we subsequently review several processes
88 that have been reported to drive rapid permafrost thaw and assess their potential to give rise to tipping behavior across spatial
89 scales (see Table 1 for an overview).

90 **Talik development.** A talik is a layer or volume of perennially unfrozen ground in a permafrost area (44). In the discontinuous
91 permafrost zone, talik development can cause rapid thawing and complete loss of permafrost, while closed taliks are confined
92 under deep water bodies in the continuous permafrost zone (45). Taliks form when the cooling in winter is not enough to
93 refreeze all of the ground that thawed in summer, induced either by disturbances such as thermokarst or wildfires (46–48),
94 or driven by climatic changes expressed in particularly warm summers or snow-rich winters (39) (Fig. 3a). Both field and
95 modelling studies have described talik development to exhibit threshold behavior with timescales of reversal of several years to
96 decades due to hydro-thermal feedbacks (46, 49–51). Increased hydrological connectivity and groundwater flow in the unfrozen
97 soil enhance heat advection from the surroundings and are thus positive feedbacks that accelerate the thawing of permafrost or
98 delay its re-formation after the initial disturbance ceased (52, 53). Underneath large water bodies, positive feedbacks allow for
99 talik formation and growth even in cold continuous permafrost. This is typically the case for mature thaw lakes resulting from
100 thermokarst processes as discussed below. Overall, talik development can entail a rapid transition from a permafrost-underlain
101 into a permafrost-free landscape within years to decades (Fig. 3d,e), and can thus be described as a local-scale tipping point.
102 However, the potential for coherent permafrost loss at larger spatial scales is limited. Its occurrence is tied to spatially confined
103 disturbances or environmental conditions which do not simultaneously occur at or beyond regional scales. Talik formation
104 driven by climatic extremes such as snow-rich winters may, however, occur more widely at up to regional scales (39).

105 **Thermokarst and thermo-erosion.** Permafrost deposits that contain excess ice (ground ice exceeding the sediment’s pore volume)
106 are prone to thermokarst and thermo-erosion processes, which broadly denote the ground subsidence and terrain change as a
107 result of excess ice melt and associated soil volume loss (54). Thermokarst may occur within years to decades and is, therefore,
108 a form of pulse disturbance on geological timescales also referred to as “abrupt thaw” in contrast to the slow gradual thaw
109 through active-layer deepening (7, 41, 55). A common precursor of thermokarst and thermo-erosion is the degradation of ice
110 wedges which are the dominant form of massive ground ice across the permafrost region (40). Thawing of ice-rich permafrost
111 or melting of massive ground ice locally results in the formation of depressions (Figure 3b,c) which are preferentially filled with
112 insulating snow during winter and meltwater during spring, causing further ground warming and subsidence (e.g. 56).

113 *Lake thermokarst:* In poorly-drained tundra lowlands, initially small and locally confined water bodies (40, 57) can merge
114 into larger features and eventually form thermokarst lakes (58) (Figure 3b). These have a lower surface albedo during the
115 snow-free season, a higher heat capacity than the surrounding terrain, and transport heat more efficiently through vertical
116 mixing. These factors result in an increased heat uptake compared to the land surface not affected by surface water, allowing
117 for generally deeper thaw penetration and warmer ground temperatures (45) (Fig. 3d,f). Shallow bottom-freezing lakes could
118 constitute a “meta-stable” landscape configuration as they allow for efficient cooling of the subsurface during wintertime.
119 However, once water body depths increase above a threshold that precludes bottom-freezing during winter (about 1 – 3 m depth
120 (59)), such configurations become unstable and sub-lake permafrost thaw continues year-round and a sub-lake talik forms.
121 Hence, the change from a bottom-fast to a floating lake-ice regime constitutes a tipping point for the evolution of the ground
122 thermal regime underneath thaw lakes (59, 60). At the regional scale, thermokarst lake drainage is a widespread process that is
123 competing with lake formation and expansion and appears to increase in frequency with climate warming (61, 62). It causes an
124 immediate change in the lake bottom temperature regime and a potentially quick (years to decades) permafrost re-formation
125 under suitable climatic conditions (51). The re-accumulation of (excess) ground ice which melted during the thermokarst phase
126 would, however, take much longer (centuries to millennia) such that the ground ice loss due to thermokarst must be considered
127 as largely irreversible on human timescales. The net effects of lake formation, growth, and drainage are hard to quantify as
128 these processes happen simultaneously in thermokarst-affected landscapes. A widespread acceleration of lake formation or
129 expansion has not been clearly observed at this point and many remote sensing studies actually point to decreases in surface
130 water coverage in the Arctic (62–65). Accordingly, the effect of lake thermokarst landscape dynamics on regional thaw rates of

131 permafrost remains unclear and so far there is no evidence for a lake dynamics-driven acceleration of permafrost thaw rates at
132 or beyond the regional scale.

133 *Thermo-erosion:* In upland or foothill settings, initial depressions due to subsidence from ice loss are mechanically eroded
134 through meltwater runoff, resulting in a range of thermo-erosional landforms that differ from thermokarst in lowlands (Figure
135 3c,g). In areas with ice-wedge polygons, channelized surface runoff along the trough network promotes the melting of ice
136 wedges through heat advection (54). Preferential snow accumulation in the subsiding troughs leads to improved insulation of
137 the ground and thus constitutes a positive thaw-feedback (56, 66). Continued ice-wedge melting can lead to the development
138 of high-centered polygons across the landscape (40), and locally to the development of thermal erosion gullies (67, 68). In
139 sloped terrain, melting of excess ice just below the active layer and water saturation of the active layer soils following strong
140 precipitation events can cause the detachment and downslope transport of the active layer material, exposing the permafrost
141 underneath and driving rapid thaw locally (54, 69–71). Thick ice-rich deposits with large syngenetic ice wedges formed under
142 glacial climate conditions, as well as permafrost with buried massive ice along the former margins of ice sheets, are prone to the
143 development of retrogressive thaw slumps, which are up to kilometre-scale landforms that affect permafrost up to several tens
144 of meters depths and develop over several years to decades (54, 72–74). In the upslope part of thermo-erosion landforms, the
145 combination of lateral sediment transport and removal, direct exposure of ground ice to solar radiation (“thermo-denudation”),
146 and heat transported with surface runoff entails positive feedback driving rapid permafrost thaw as well as irreversible ground
147 ice loss and geomorphic change (75). Further downslope, sediment and debris deposition as well as ecological succession
148 can constitute negative feedback, allowing for the stabilization of these landforms on multi-year timescales (54, 76, 77).
149 Thermo-erosion landforms are becoming more abundant in number and affected area in many regions as documented with
150 remote sensing studies and a further increase could be expected with the observed rise in frequency and strength of extreme
151 events such as heat waves or high-precipitation events (42, 61, 74, 78, 79).

152 *Summary:* Thermokarst and thermo-erosion involve positive feedbacks that can drive rapid permafrost thaw at a local
153 scale (meters to kilometers) and for a limited period of time (days to decades), but also affect deeper deposits (tens of meters
154 depth) for longer periods through talik development. The associated ground ice loss is irreversible on timescales of centuries
155 to millennia, and the melt of large Pleistocene syngenetic ice wedges in Yedoma or buried remnants of the Pleistocene ice
156 sheets is fully irreversible during the current interglacial. For some thermokarst landforms, stabilizing mechanisms such as
157 ecological succession (e.g. 80, 81) and drainage development (e.g. 82, 83) can prevent vicious cycles of self-sustained thaw
158 until complete permafrost loss. Thermokarst and thermo-erosion can cause local “tipping” of permafrost landscapes with
159 associated changes in the topography, hydrology, ecosystem functions, and land-atmosphere fluxes. While recent observations
160 do not suggest a thermokarst-driven acceleration of thaw globally, this does not preclude the existence of tipping points at
161 higher-than-present warming levels. However, at continental-to-global scales, thermokarst disturbances occur in a spatially and
162 temporally uncorrelated manner, making an accumulation to a gradual response much more likely. Overall, we do not see
163 ample evidence for any specific global warming threshold at which widespread permafrost loss in the sense of a climate tipping
164 element would occur or accelerate due to thermokarst or thermo-erosion. Instead, we expect a gradual increase in abundance,
165 frequency, and magnitude along with shifts in the regions affected by these disturbances under a warming climate.

166 **Vegetation change.** The majority of the circum-Arctic permafrost region is covered by boreal forests (about 55% areal coverage)
167 and tundra (84) (Figure 2). The vegetation buffers underlying permafrost from atmospheric conditions through multiple
168 mechanisms, including shading (85), lowering wind speeds, suppressing turbulent fluxes (86), precipitation interception (87, 88),
169 modification of the snow cover and surface albedo (89–91), higher evapotranspiration (92), and the accumulation of litter and
170 organic layers (93). The overall insulation is controlled by the vegetation type, condition, composition, and local factors such
171 as the topography and micro-climate (94–96). Regionally, vegetation cover insulates permafrost that is not in equilibrium with
172 the current climate, protects relic ground ice from melting and hinders subsequent thermokarst formation (97, 98). Vegetation
173 changes are driven by multiple factors interacting with each other (99), including increasing air temperatures and longer growing
174 seasons (100), changes in precipitation patterns, shifts in the permafrost conditions, changing disturbances such as wildfires
175 (90, 101–103), and local factors such as hydrology or topography. Vegetation change can affect the hydrothermal permafrost
176 conditions, which in turn feed back on vegetation dynamics (104), thereby opening the possibility for self-perpetuation and
177 tipping behaviour under a warming climate.

178 *Boreal forest change:* Local-to-regional disturbances (fires, droughts, pests, thermokarst) lead to abrupt shifts in forest
179 compositions and densities, with canopy loss leading to the deepening of the active layer (96) (Figure 4a). Forests show no
180 gradual decline in tree cover towards their limits but become less resilient and more prone to shifting to open woodland or
181 treeless states (105). This has been observed and points to widespread non-linear biome shifts to woodland or treeless states
182 with the potential of the loss of the insulative capacities of canopies at a regional scale (106, 107). In addition, while most
183 boreal forests are dominated by evergreen needleleaf taxa, wide areas of the boreal permafrost on the northeastern Eurasian
184 continent are dominated by deciduous needleleaf taxa. The needle-shedding impacts the within- and below-canopy heat and
185 water fluxes (86, 108), the litter and organic surface layers, and the fire regime (109), resulting in different hydro-thermal
186 regimes and shallower active layers. Active-layer deepening could lead to an increase in evergreen taxa which would cause
187 further active-layer deepening and allow further expansion of evergreen taxa due to the preference for deeper root space and
188 lower permafrost insulation (Fig. 4a) (96, 110).

189 *Tundra vegetation change:* Shrub growth (in terms of height and abundance) is the most observed vegetation change in the
190 Arctic and leads to increased snow-trapping, a reduction of the surface albedo, leading to an increase in energy absorption
191 during winter and spring (93, 104), causing ground temperature increase potentially promoting further shrub growth (90) (Fig.

4b). On the other hand, thaw depths in summer are lowered due to increased canopy shading and higher evapotranspiration, suggesting a transient protection of permafrost through shrub growth. On longer timescales, the wintertime effect is expected to dominate the response of ground temperatures (98).

Summary: Overall, we do not consider vegetation change as a mechanism for rapid permafrost loss beyond regional scales, because (i) the variation in climate and landscapes would lead to local-scale tipping at different times, (ii) vegetation effects on permafrost go in both directions and potentially counterbalance each other, and (iii) while the potential feedbacks from permafrost thaw on vegetation dynamics occur rather fast (subsidence, drying, wetting, or increased growth and composition changes due to active layer deepening), self-amplification will only occur if the number of disturbances exceeds the capacity for vegetation recovery. At a local-to-regional scale, however, an increase in disturbances can lead to a rapid decline in permafrost extent, especially where permafrost is warm (mean annual ground temperatures $> -2^{\circ}\text{C}$) and ecosystem-protected (39). While changes in vegetation cover are in principle reversible, the loss of ecosystem-protected permafrost and the melting of relic massive ground ice would be largely irreversible within the current interglacial.

Microbial heat production. Once largely undecomposed soil organic matter stored in permafrost deposits is subjected to thawed conditions, microbes can start decomposing it (7), thereby producing heat which is released into the soil. Khvorostyanov et al. (43) hypothesized that the microbial heat release could cause further permafrost thaw, potentially leading to self-perpetuating positive feedback resulting in coherent and abrupt permafrost loss particularly in the vast Yedoma region with its ice- and carbon-rich sediments covering parts of northeast Siberia and Alaska (111). Numerical models initially provided supporting evidence for this mechanism to be relevant to the thawing of Yedoma permafrost (112, 113), such that Lenton et al. (114) considered “Yedoma permafrost” as an Arctic climate tipping element. Later, Hollensen et al. (115) reported substantial microbial heat production also in incubation experiments of organic-rich permafrost cores from Greenland, supported by simulations showing similar dynamics as for talik development (Fig. 3e). We note that these cores were taken from a “kitchen midden” in Greenland containing anthropogenically enhanced organic carbon contents, which is not representative of typical permafrost deposits. Generally, a self-driven feedback would only evolve if local microbial heat release was larger than the sensible and latent heat uptake in the vicinity of the heat source. The suggested “compost bomb”-mechanism (116), therefore, requires organic carbon stocks of very high quality and large quantity, as well as relatively low ice contents. However, these preconditions are not met in vast areas of the Arctic (Fig. 2). While Yedoma deposits, despite their Pleistocene age, contain partially labile organic carbon that is microbially available following thaw (117), suggesting that these soils could provide favorable conditions to this process, their total organic carbon concentrations are fairly low and their high ground ice content must also be considered (111, 118), which would slow down the soil warming due to the large latent heat required for melting. Using the ORCHIDEE LSM (119), (120) inferred an amplifying effect on permafrost carbon release from microbial heat production but no evidence for self-perpetuating thaw. To the contrary, (121) noted that including microbial heat release in their simulations with the JSBACH LSM did not significantly affect projections of permafrost thaw rates. While we cannot exclude the possibility of self-amplified permafrost thaw and carbon degradation from microbial heat release for favorable local site conditions, we do not see convincing evidence that this mechanism could render the entire permafrost region or even a large sub-region a (global core) tipping element in the sense of (13) or (14).

Accumulation to a quasi-linear response. To assess the susceptibility to large-scale permafrost loss, we applied the approach of (30) to different sub-regions of the permafrost region that are prone to certain permafrost thaw feedbacks (solid lines in Fig. 5 a-o). Indeed, different sub-regions show increased susceptibilities to permafrost loss at certain levels of GMST increase. For example, major parts of permafrost in ice-poor boreal uplands will be out of equilibrium at a GMST increase of about 2°C above pre-industrial levels (Fig. 5 g), while major parts of permafrost in ice-rich tundra uplands are expected to remain stable up to $2\text{--}3^{\circ}\text{C}$ of warming (Fig. 5 h). However, the response of equilibrium permafrost to warming becomes more and more gradual if accumulated over several sub-regions (Fig. 5 c,f,i,l,m,n) and is quasi-linear for the entire permafrost region (Fig. 5 o). Even if we assumed that complete permafrost loss would locally occur at a specific MAAT threshold (dashed curve in Fig. 1 b), the sub-region and global susceptibilities would not change substantially (dashed curves in Figs. 1 a,c and 5 a-o). Thus, despite the possibility of thresholds for rapid, irreversible, and self-perpetuating permafrost thaw on local-to-regional scales, an emergence of continental-to-global scale climate tipping points from permafrost-thaw feedbacks appears very unlikely.

The local permafrost-thaw feedbacks compiled above can entail a rapid transition from permafrost-underlain to largely permafrost-free landscapes, associated with irreversible loss of ground ice, marked shifts in vegetation composition and ecosystem functions, and substantial long-term alterations to topography and hydrology. While the abundance of these processes is often climate-controlled, they are also tied to certain environmental conditions or spatially limited to certain parts of the overall land area. For most processes, there are not only positive thaw feedbacks but also mechanisms that stabilize the landscape subsequent to the initial disturbance. Overall, the marked heterogeneity and variability of environmental conditions in northern permafrost regions result in a plethora of mechanisms and feedbacks that drive and pace the transition from permafrost-underlain to permafrost-free landscapes under climate warming. While local tipping points might be crossed in different places at different times, the accumulated trajectory of permafrost change would remain gradual.

Permafrost–climate interactions at continental-to-global scales

Thawing of Arctic permafrost can significantly alter the exchange of heat, water, and carbon between the atmosphere and land at high latitudes, affecting regional and global climate patterns (122) that potentially feed back on permafrost thaw rates.

250 Similarly, changes in other climate subsystems could potentially accelerate permafrost thaw rates, for example through so-called
251 “tipping cascades” (123), where the crossing of CTPs in one subsystem would cause another to tip as well. We here particularly
252 discuss the permafrost carbon feedback and sea-ice–permafrost interactions as potential candidates for permafrost–climate
253 interactions which might give rise to a continental-to-global scale permafrost tipping point.

254 **Permafrost carbon feedback.** As one of several carbon cycle–climate feedbacks (124), the permafrost carbon feedback (PCF)
255 increases global temperatures through the emission of greenhouse gases following the decomposition of freshly thawed permafrost
256 organic carbon (7, 8, 120). Over millennia, the high-latitude permafrost region acted as a carbon sink, where plant litter were
257 deposited into organic-rich soils and preserved from decomposition under permafrost conditions (55, 125, 126). Field studies
258 indicate an acceleration of permafrost region carbon emission under the current climatic conditions (127, 128) with net carbon
259 loss being the largest during the non-growing season due to increased soil respiration (129–131). However, currently, there is
260 low confidence in the direction of recent biogeochemical changes over the pan-Arctic region (132–135) and no evidence of a
261 non-linear response to temperature change. Models predictions range from an increase in soil carbon in the permafrost region
262 under future climate warming to a dramatic loss of soil carbon under the same warming scenarios (5). For most models, the
263 permafrost carbon loss in response to global warming unfolds over decades to a few centuries and is linear (5, 8). Acknowledging
264 major shortcomings of global models to represent permafrost processes, the best estimate based on CMIP6 model projections
265 for 21st-century carbon release due to gradual permafrost thaw in response to an increase in GMST amounts to 18 (3–41)
266 GtC °C⁻¹ (27) due to CO₂ emissions, and another 2.8 (0.7–7.3) GtC °C⁻¹ due to CH₄ emissions (Figure 6, gradual thaw).
267 Thermokarst-related carbon emissions on the regional-to-local scale are assumed to contribute another 40% to the simulated
268 carbon release from gradual thaw (25) (Figure 6, with rapid thaw). The marked divergence of model projections largely stems
269 from differences in the representation of soil physics, soil biogeochemistry, soil hydrology and snow processes (4, 32, 122, 136).
270 Generally, these differences dominate any differences in scenarios and driving climate (137). However, even under net zero
271 or negative emissions scenarios, permafrost carbon release may continue to increase (16, 138, 139). Temporary temperature
272 overshoots will increase permafrost emissions as permafrost regions are exposed to warming temperatures for longer than in
273 non-overshoot scenarios (Figure 6, overshoots), and may also enhance the abundance and magnitude of rapid local-scale thaw
274 processes and feedbacks described above.

275 The PCF would qualify as a tipping process, if it was not only positive, but large enough to be self-perpetuating. For this,
276 an initial GMST increase would have to cause GHG emissions from permafrost thaw which lead to a further GMST increase
277 that exceeds the initial warming. A back-on-the-envelope calculation using the IPCC AR6 (27) estimates for the permafrost
278 carbon loss in response to climate warming (21 (4–48) GtC °C⁻¹) and the transient climate response to cumulative carbon
279 emissions (TCRE; 0.00165 (0.0010–0.0023) °C GtC⁻¹), is giving a feedback factor of 0.035 (0.004–0.110) °C °C⁻¹, implying
280 that the PCF is by far too small to exceed a threshold for self-perpetuation at least by the end of this century. This would
281 still hold, if additional emissions from thermokarst or temperature overshoots were factored in (Fig. 6). Consequently, the
282 positive effect of GHG emissions from thawing permafrost on the global climate would not cause sufficient additional thaw and
283 corresponding further emissions to drive a self-sustained feedback cycle that would lead to rapid permafrost loss at a global
284 scale. Overall, we assess GHG emissions from thawing permafrost to occur as threshold-free feedback of yet poorly constrained
285 magnitude that unfolds over multiple decades to centuries rather than a rapid release over a few years.

286 **Permafrost–sea ice interactions.** It has been hypothesized that a decline in Arctic sea ice extent could cause an increase in
287 ground temperatures and negatively affect permafrost stability in the terrestrial Arctic (140). An extended open-water period
288 due to summer sea ice retreat would lead to an increase in heat and moisture content in the polar atmosphere. The additional
289 heat could be transported inland and cause ground temperatures to rise and also lead to increased snow depths during late
290 summer and early fall, resulting in enhanced insulation of the ground from cold air temperatures during winter (141). The same
291 mechanism has also been suggested to cause thinning of lake ice in the terrestrial Arctic (142), which in turn could shift lake-ice
292 regimes and initiate sub-lake talik formation (59). Besides the evidence from model simulations of the past (143) and present
293 (140), evidence for a link between Arctic sea ice cover and permafrost abundance has also been found in paleo records (144).
294 In the opposite direction, it has been suggested that increased river-to-ocean heat transport from Arctic catchments could
295 enhance sea ice loss (145). This, in turn, would enhance ocean-to-atmosphere heat and moisture transport, further amplifying
296 the Arctic water cycle and potentially driving permafrost thaw inland which contributes to river runoff (146). However, in light
297 of the sparse evidence it remains speculative whether such sea-ice–permafrost interactions constitute a strong enough positive
298 feedback to result in a tipping cascade.

299 Discussion

300 Permafrost loss or “collapse” has repeatedly been brought up as a potential climate tipping element (13, 19, 20), and was
301 included in a recent assessment of CTPs by McKay et al. (14). Here, we have assessed comprehensively, which processes are
302 driving permafrost thaw across spatial scales, and whether CTPs could emerge from permafrost-thaw feedbacks. At a local
303 scale and under suitable pre-conditions, various processes including thermokarst and thermo-erosion accelerate thaw rates and
304 cause rapid (“abrupt”) permafrost loss within years to decades. Therefore, we ascribe medium confidence to the existence of
305 local-scale tipping points for permafrost thaw (Table 1). However, we do not see ample evidence for the emergence of tipping
306 behaviour at regional-to-continental scales from such local feedbacks. Instead, our assessment suggests that due to (i) the
307 primary dependence on the local climate, (ii) the marked spatial heterogeneity of environmental conditions (Fig. 2), and (iii)

the lack of interconnections beyond regional scales, permafrost declines gradually under climate warming with no evidence of a specific global warming threshold where thaw rates would accelerate abruptly. This is in line with the depiction of “gradual thaw” as a threshold-free feedback by (14). In this sense, global-scale permafrost loss compares well with the gradual retreat of other cryosphere components such as seasonal snow cover (147), glaciers (148), and sea ice (149, 150), although we emphasize that the loss of ground ice and organic carbon which has accumulated during past glacial periods is essentially irreversible on human time scales. The arguments brought forward by (14) for “permafrost collapse” to constitute a “global core tipping element” are not convincing in our view. According to our assessment, the “compost bomb” effect through microbial heat release requires highly favorable preconditions in terms of organic carbon quantity and quality which are not met in typical permafrost deposits. Furthermore, the large-scale abrupt drying onset upon permafrost degradation projected by (151) is not supported by any field evidence and the model results have instead been convincingly ascribed to a model artefact (44). Overall, we assess with a medium confidence level, that there is no CTP for permafrost loss at continental-to-global scale.

Even in absence of a global tipping point, it is precisely the gradual and therefore imminent unfolding of permafrost-thaw impacts that is raising urgent challenges for both science and society. For science, observations of already ongoing and rapid permafrost thaw across the Arctic (152, 153, e.g.) emphasize the demand for the employment of large-scale monitoring capacities and the improvement of modelling capabilities. In-situ and remote sensing observations must enable the quantification of permafrost thaw across the Arctic, and can aid model development through improving process-understanding and providing of validation data. Current-generation LSMs and ESMs widely lack adequate structures and processes which would allow them to represent the permafrost-thaw feedbacks discussed above (Table 1). Dedicated permafrost models and LSMs/ESMs with enhanced representations of physical and biogeochemical permafrost processes are urgently needed in order to realistically quantify recent and future permafrost thaw rates and related climate feedbacks. Model development priorities include representations of (i) subgrid-scale heterogeneities, (ii) subgrid-scale lateral fluxes, (iii) dynamic landscape disturbances such as thermokarst and wildfires, and (iv) excess ground ice dynamics.

For society at large, the significance of the question regarding the existence of a global tipping point for permafrost loss diminishes when it comes to mitigating and adapting to the immediate implications of ongoing permafrost thaw. Earlier proposed thresholds for permafrost tipping beyond 5 °C of GMST increase (19, 20) or somewhat below (14) entail the risk of being misinterpreted to imply a safety margin up to which permafrost-thaw impacts would not unfold. However, GHG emissions (8) as well as local impacts on Arctic communities (e.g. 154), infrastructure (155, 156), and ecosystems (157) are substantial already at present and they can be expected to grow proportionally with every additional amount of warming. In order to limit permafrost-thaw impacts, pathways to net-zero anthropogenic GHG emissions have to be pursued ambitiously, which is the only viable way to preserve permafrost and its frozen carbon stock on a global scale.

DATA AVAILABILITY. This work does not contain original data.

CODE AVAILABILITY. The computer code and input data used for the geospatial analyses (Figures 1 and 5) is deposited at Zenodo <https://doi.org/10.5281/zenodo.8366476> (158).

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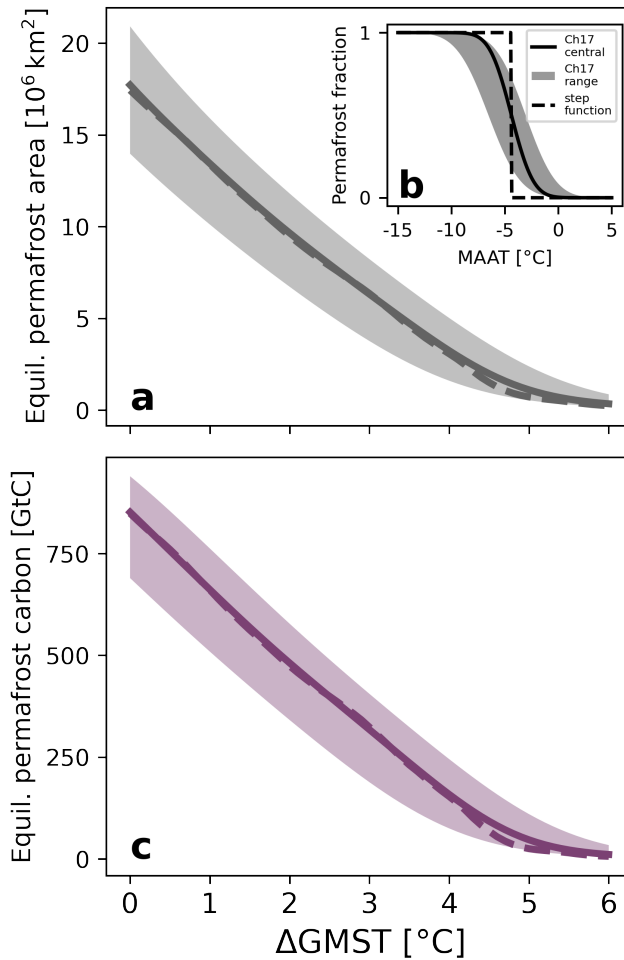


Fig. 1. a: Observation-constrained projections of equilibrium permafrost area in response to an increase in global mean surface temperature (GMST), reproduced following the same approach as Chadburn et al. (30) using ERA5-Land 2m air temperature data (1986-2005) as the climatological baseline. The approach combines a functional relationship between the local permafrost fraction and mean annual air temperature (MAAT; b) with a latitude-dependent function that scales GMST increase under consideration of Arctic amplification. The projected equilibrium permafrost area (bold line) decreases quasi-linearly with warming, without any indication of a threshold (tipping point) for rapid permafrost loss. c: According to this method and despite the globally heterogeneous distribution of soil organic carbon within the permafrost region (34), also equilibrium permafrost carbon contents would decline quasi-linearly with increasing GMST. Note, that the decline in permafrost carbon does not directly translate into carbon released into the atmosphere. The quasi-linear relations still hold, if the functional relationship between permafrost fraction and MAAT is replaced with a step function (dashed lines in a-c). Shaded areas in a and c correspond to the plausible range of the relation between permafrost fraction and MAAT by (30) shown in panel b.

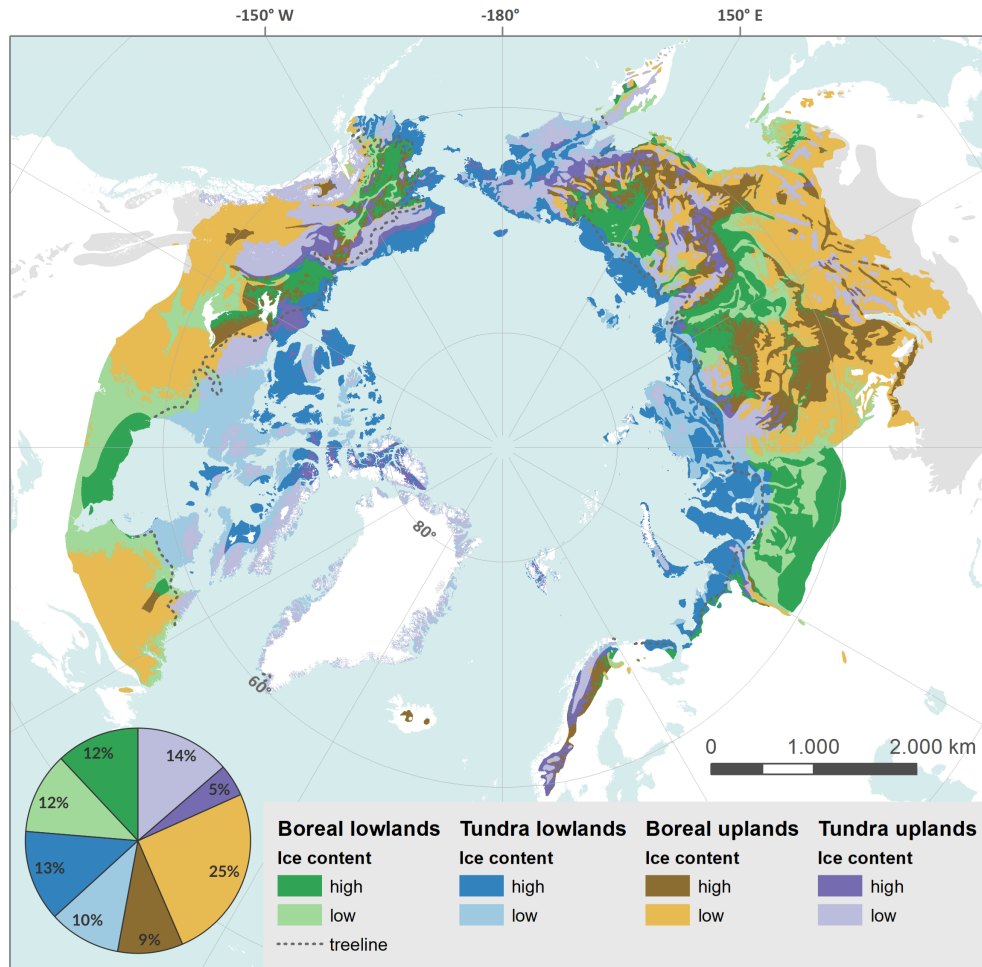


Fig. 2. Map of the northern circum-Arctic permafrost region distinguishing sub-regions according to ground ice content (high ($> 10\%$ excess ice, excluding massive ice), low ($\leq 10\%$)) according to (159), topography (lowlands (elevation ≤ 300 m above sea level), uplands (> 300 m asl)) following (160), and biome (Arctic Tundra, Boreal forest) according to (161). The pie chart in the lower left corner shows the areal fractions of the sub-regions. The processes discussed in the main text can be roughly tied to one or more of these sub-regions which provide favorable conditions (colored squares in Figures 3 and 4). The marked spatial heterogeneity of the overall circum-Arctic permafrost region eludes its depiction as a coherent planetary-scale climate subsystem.

Table 1. Compilation of processes and feedback mechanisms, which may drive rapid, irreversible, or self-perpetuating permafrost thaw at local-to-regional scales. Whether and with which confidence tipping dynamics at different spatial scales occur, is assessed on a confidence scale from --- (no, high confidence), to ○ (neutral), to +++ (yes, high confidence).

Process	Precondition	Feedback(s) on thaw	Tipping dynamics and confidence	Timescale of reversal	Earth system impacts	Representation in LSMs/ESMs	Selected references
Talik development	Thermal disturbance (thick snowpack, deep water body, wildfire, ...)	Heat advection from groundwater flow, lake deepening through thermokarst (underneath lakes)	Local: ++; Regional: ○	Years to centuries (depending on depth)	Hydrological connectivity, Organic carbon decomposition, geomorphic change	Partly (limited depth, not underneath water bodies, not due to surface disturbance)	Fig. 3a,e; (39, 44, 49, 50)
Lake thermokarst	Ice-rich (tundra) lowlands (Fig. 2)	Lower albedo and higher heat capacity cause enhanced heat uptake	Local: ++; Regional: -	Glacial-interglacial timescale	Organic carbon mobilization and release, Hydrological cycle, geomorphic change	Experimental (3, 162)	Fig. 3b,f; (25, 26, 38, 163)
Thermo-erosion	Ice-rich uplands (Fig. 2)	Thermo-denudation and mass-wasting drive thaw; ecological succession stabilizes	Local: ++; Regional: +	Glacial-interglacial timescale	Organic carbon release and export, soil erosion and ground subsidence	Experimental (3, 162)	Fig. 3c,g; (25, 26, 42, 163)
Boreal forest change	Permafrost in boreal biome (Fig. 2), surface disturbance (e.g. wildfires)	Canopy shading and higher evaporation drive cooling and prevent thaw, Surface albedo change drives regional warming	Local: +; Regional: ○	Decades to centuries	Albedo change, Biome shift, Drought-related forest die-back	Partly (limited degree of detail, simplified plant functional types; (36))	Fig. 4a; (105, 164)
Tundra vegetation change	Permafrost in tundra biome (Fig. 2), surface disturbance (e.g. wildfires, longer growing season)	Lower albedo, higher snow pack drive ground warming, canopy shading and higher evapotranspiration drive cooling	Local: +; Regional: ○	Decades	Surface albedo change, microtopographic change, hydrologic connectivity, shrubification	Partly (limited degree of detail, simplified plant functional types; (36))	Fig. 4b; (98, 165)
Microbial heat production	Organic-rich and ice-poor soils	Heat from decomposition causes thaw of undecomposed organic matter	Local: ○; Regional: --	Years for permafrost; Centuries for organic carbon	Organic carbon mobilization and release	Rarely (ORCHIDEE (120), JSBACH (121))	(43, 115, 120)

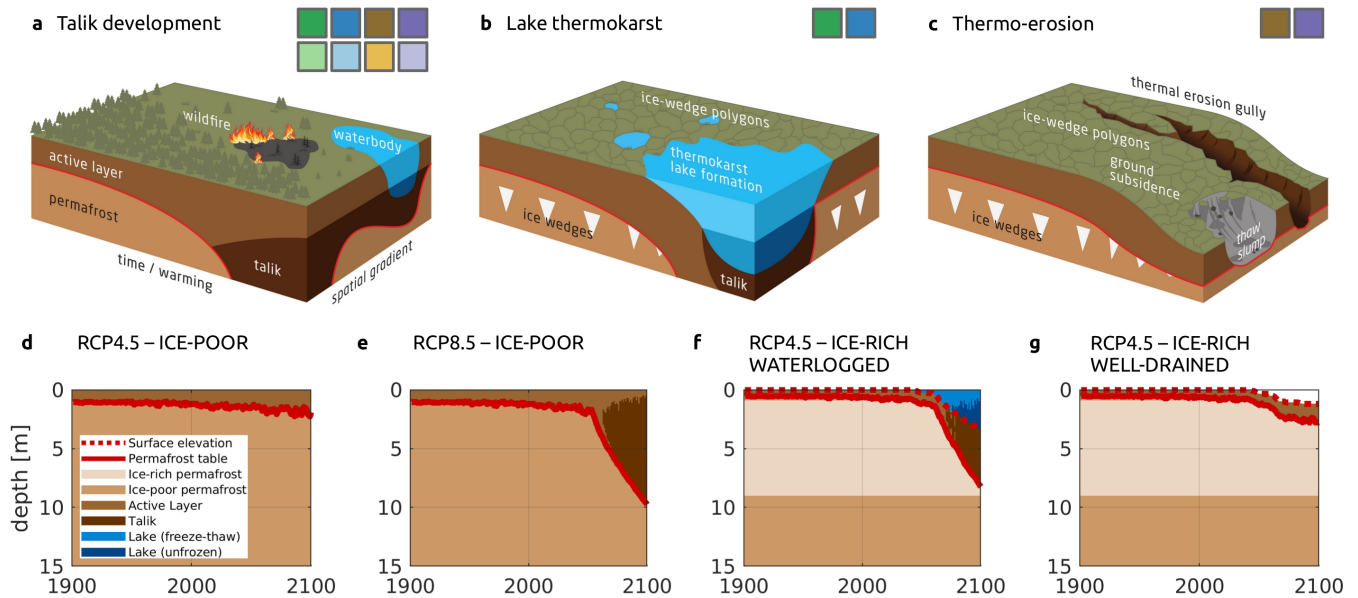


Fig. 3. a-c: Illustrations of local-scale processes driving permafrost thaw. The colored squares indicate the sub-regions in Fig. 2 where these processes predominantly occur. a: Taliks (perennially unfrozen ground surrounded by permafrost) can form due to surface disturbances (wildfires, thermokarst lakes) or climatic extremes and accelerate the transition from a permafrost-underlain into a permafrost-free landscape. b: Thermokarst lakes are abundant and actively forming in ice-rich lowlands by expanding laterally through shore erosion and into depth by forming a sub-lake talik. c: Thermo-erosion landforms are most abundant in ice-rich upland regions, where they form by the interactions between running water, melting ground ice, and sediment erosion. d-g: Example simulations illustrating thaw dynamics under different warming scenarios and ground-ice conditions for a site in northeastern Siberia, adapted from (166). In ice-poor terrain, permafrost is projected to remain stable under moderate warming (d, RCP4.5), while rapid talik development occurs under strong warming (e, RCP8.5). In ice-rich terrain, thermokarst processes cause an acceleration of a thaw lake and a sub-lake talik even under RCP4.5 and water-logged conditions (f). Under well-drained conditions and RCP4.5 (g), ground subsidence and eventual stabilization are projected for the second half of the 21st century.

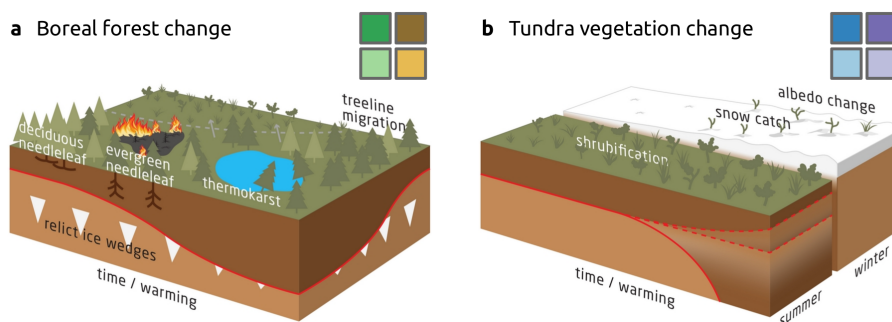


Fig. 4. Illustrations of vegetation-permafrost interactions in the Boreal (a) and Tundra (b) biomes. The colored squares indicate the sub-regions in Fig. 2 where these processes predominantly occur. a: Boreal forest change can lead to densification or loss of forest covers, or to new species compositions. Drivers include climatic changes, disturbances such as wildfires or pests, and thermokarst. Forest composition shifts are illustrated deciduous (dominant in eastern Siberia) and evergreen (dominant everywhere else) needleleaf plant functional types. b: The shrubification or greening of the tundra is driven by warming and limited by disturbances (not shown). The main implications are changes in evapotranspiration, surface albedo, and snow cover which can affect soil temperatures and thaw depths in different ways.

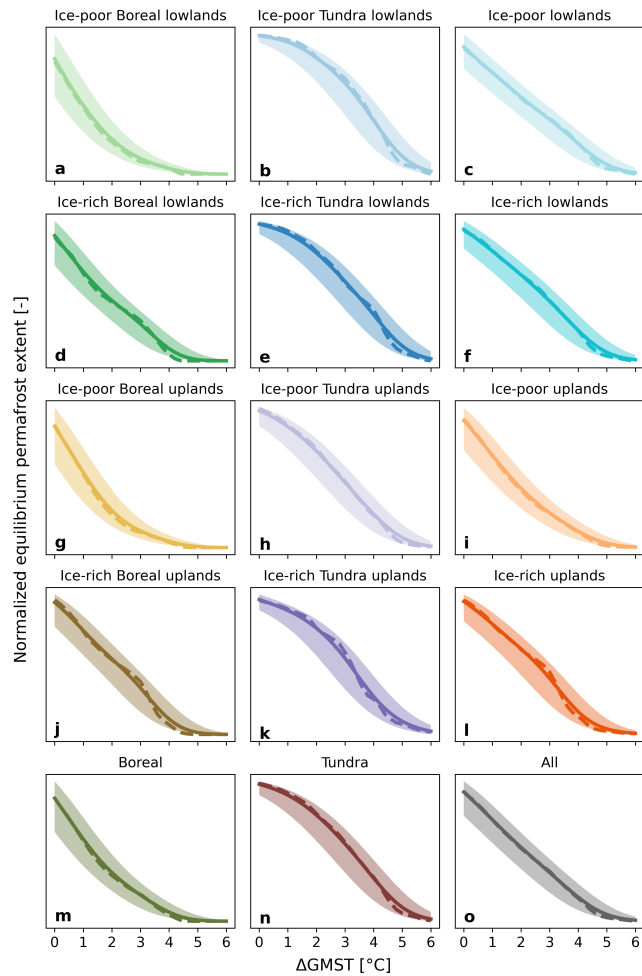


Fig. 5. Response of equilibrium permafrost extent in the sub-regions shown in Fig. 2 to an increase in global mean surface temperature (GMST), following the approach by (30). The right column and the bottom row show the combined response of the sub-regions to the left and above, respectively. Shaded areas correspond to the response within the plausible range for the relation between permafrost fraction and MAAT according to (30), dashed lines show the response if a step function is assumed (cf. Fig. 1 b). Note that a non-gradual response of equilibrium permafrost to warming does not imply a dynamical feedback mechanism driving non-linear permafrost loss.

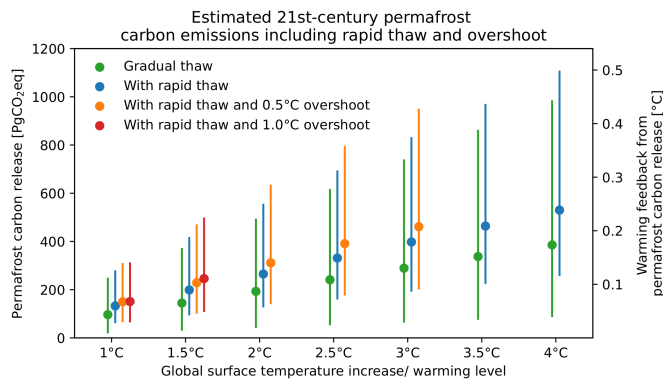


Fig. 6. Model estimates of 21st-century permafrost carbon emissions ($1 \text{ GtC} = \frac{44}{12} \text{ PgCO}_2\text{eq}$) and the corresponding warming feedback including rapid thaw processes (i.e., thermokarst) and temperature overshoot scenarios. Gradual thaw and carbon budget estimates are from ref. (27, p. 728), rapid thaw is taken from ref. (25), and overshoot scenarios are derived from simulations in ref. (16). Error bars indicate the 5-95% confidence interval of these estimates.

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