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Submitted for publication in Tectonics

1	Inheritance without reactivation: Insights from crustal-scale analogue							
2	experiments							
3								
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7 8 9	Corresponding author: Anindita Samsu (anindita.samsu@monash.edu)							
10	Key Points							
11 12	• Analogue models show that reactivation is not the only mechanism contributing to structural inheritance.							
13 14	• Extension-oblique faults can form during orthogonal rifting as a result of transtension when the basement is sufficiently anisotropic.							
15 16	• The geometry of lower crustal zones of different strengths interacts with rift kinematics, impacting fault development.							

17 Abstract

During rifting, pre-existing basement weaknesses can affect new faults in cover rocks by a 18 19 mechanism that does not appear to involve reactivation. This subtle form of inheritance can 20 significantly impact fault network architecture above laterally variable basement domains 21 with geomechanical anisotropies. Here we use multi-layer, brittle-ductile, crustal-scale 22 analogue experiments to study the influence of lower crustal anisotropies on fault patterns in 23 the overlying upper crust during a single phase of orthogonal rifting. The experiments were designed to test whether lower crustal anisotropies, oriented 45° to the extension direction, 24 25 can lead to the formation of rift faults that are oblique to both the imposed extension direction and lower crustal anisotropies. This work builds on previous field-based studies of the 26 onshore Gippsland Basin (southeast Australia). Here, basin-scale (>1 km long) NE-SW to 27 28 ENE-WSW trending faults, which formed during Early Cretaceous N-S or NNE-SSW rifting, 29 are prevalent above two levels of anisotropic basement with NNE-SSW to NE-SW structural trends. Our experiments show that a pervasive, vertically layered, mm-wide lower crustal 30 31 anisotropy creates "extension-oblique" rift faults in the overlying basin within the upper 32 crust. We interpret this to arise when local strike-slip kinematics along the interfaces of mechanically contrasting materials in the lower crust combine with the regional imposed 33 34 orthogonal extension, creating a transtensional regime. Our findings highlight that the geometry of lower crustal zones of different strengths interacts with rift kinematics, 35

36 impacting the orientation, kinematics and spacing of new faults.

37

38 1. Introduction

39 Rifts form in crust that often contains pervasive fabric anisotropies (i.e., foliation) and 40 discrete zones shear zones and faults. The influence of pre-rift structures on new rifts has 41 been observed around the world, from reactivation of shear zones in the NE Brazilian margin 42 (Kirkpatrick et al., 2013), northern Scotland (Phillips et al., 2016), the East African Rift System (Daly et al., 1989; Heilman et al., 2019), and the Australian Southern Margin (Gibson 43 et al., 2013), to the deflection of rifts as they avoid stronger crustal regions, such as cratons, 44 and propagate along mobile belts (e.g., Corti et al., 2007; Daly et al., 1989; Tommasi and 45 Vauchez, 2001; Wilson, 1966). System-scale studies highlight the influence of pre-rift 46 47 lithospheric structures and rheological variations on the along-axis orientation of entire rifts (Brune et al., 2017; Corti et al., 2007; Heron et al., 2019). However, the relationships 48

between pre-existing crustal weaknesses and the architecture of individual basins and fault
systems have received less attention.

51 Using aerial imagery and field observations, Wilson et al. (2010) and Samsu et al. (2019) 52 demonstrated variations in the main orientations of brittle structures across areas that overlie 53 different basement domains. Such a relationship potentially reflects a subtle influence from 54 pre-existing basement structures. However, this influence may not occur due to basement reactivation, which normally results in new extensional structures that are parallel with the 55 basement foliation, shear zones, or faults (Heilman et al., 2019; Holdsworth et al., 1997; 56 Kirkpatrick et al., 2013; Phillips et al., 2016). Stress re-orientation near pre-existing basement 57 structures offers some explanation for dip-slip kinematics along faults that are oblique to the 58 extension direction (Morley, 2010; Philippon et al., 2015), which we refer to here as 59 "extension-oblique" faults. 60

Extension-oblique faults are common features of oblique or transtensional rifts, where strain 61 is accommodated by extension perpendicular to the rift trend and shear parallel to the rift 62 63 trend (Withjack & Jamison, 1986). Analogue experiments of oblique rifting have shown that 64 the orientation and kinematics of faults is controlled by the angle of obliquity between the rift 65 trend and the relative displacement direction between the two diverging plates (Agostini et al., 2009; Corti, 2008; Withjack & Jamison, 1986). These experiments address the kinematic 66 boundary conditions that are required to create extension-oblique rifts but not the role of 67 crustal fabrics in their formation. 68

69 In this study, we focus on an outstanding question: What intrinsic mechanical characteristics do pre-existing basement structures need to have in order to cause the formation of extension-70 71 oblique faults that are also oblique to the pre-existing structures? We use crustal-scale analogue models to demonstrate that strain re-orientation above pre-existing anisotropies in 72 73 the lower crust enables the formation of extension-oblique faults without normal or oblique 74 reactivation of pre-existing weaknesses. The orientation of the resulting extension-oblique 75 faults is controlled by the mechanical properties (e.g., strength) and geometry (i.e., the 76 spacing and width of "weak zones" that create the anisotropy) of the lower crust. Our models 77 were designed to replicate syn-rift faulting in the western onshore Gippsland Basin, southeast 78 Australia, where extension-oblique faults are prevalent in an area that is underlain by two 79 levels of anisotropic basement (Samsu et al., in review): Paleozoic metasedimentary rocks of

- 80 the Melbourne Zone and an inferred underlying, anomalously strong Neoproterozoic-
- 81 Cambrian crustal block known as the "Selwyn Block" (Cayley et al., 2002) (Fig. 1).



Figure 1 (a) Map of the eastern basins of the Australian Southern Margin rift system, 83 including the Otway, Sorrell, Gippsland, and Bass basins (modified from Samsu et al., 2019). 84 85 The eastern part of the Otway Basin and the western part of the Gippsland Basin is underlain by the Paleozoic Melbourne Zone (Lachlan Fold Belt) basement and the Neoproterozoic-86 87 Cambrian Selwyn Block basement (Cayley et al., 2002; McLean et al., 2010). (b) Major 88 structures of the Gippsland Basin: Faults above the Selwyn Block/Melbourne Zone trend 89 predominantly NE-SW and ENE-WSW, while faults east of this zone trend E-W to NW-SE (modified after Constantine, 2001 and Power et al., 2001). 90

92 2. Crustal-scale inheritance in Australia

The Neoproterozoic-Cambrian Selwyn Block was accreted onto the eastern margin of 93 94 Gondwana in the Late Cambrian Delamerian Orogeny (Cayley, 2011; Cayley et al., 2002). In 95 its current position, the Selwyn Block underlies part of the Jurassic–Cretaceous Australian 96 Southern Margin rift system, extending from the southeast Australian mainland underneath 97 the Bass Strait and into Tasmania (Cayley et al., 2002; Moore et al., 2016) (Fig. 1b). The 98 presence of this heterogeneous, relatively strong, lower-crustal block may have impacted the 99 evolution and architecture of the overlying Australian Southern Margin basins at multiple scales. At the system scale, the western margin of the Selwyn Block coincides with a 100 deflection of the west-to-east propagating Australian Southern Margin rift system towards the 101 south, bypassing the Bass Strait and instead continuing along the western margin of Tasmania 102 103 (Fig 1a). At the basin scale, the influence of the Selwyn Block is evident in the eastern Otway 104 Basin and western Gippsland Basin, where NE-SW to ENE-WSW trending Early Cretaceous 105 faults are present above the Selwyn Block (Constantine, 2001; Moore et al., 2000; Norvick & 106 Smith, 2001; Samsu et al., 2019; Willcox et al., 1992). This fault set is oblique to the inferred 107 N-S or NNE-SSW direction of regional extension (e.g., Etheridge et al., 1985; Miller et al., 2002; Willcox and Stagg, 1990) and E-W trending orthogonal rift faults that typify areas 108 109 beyond the inferred boundaries of the Selwyn Block.

110 Power et al. (2001, 2003) attributed the obliquity of the NE-SW to ENE-WSW faults to

111 transtension arising from NNW-SSE directed oblique extension in the Early Cretaceous.

112 Samsu et al. (2019, in review) used field observations from the western onshore Gippsland

113 Basin to determine that NE-SW to ENE-WSW syn-rift faults are at acute angles to a strong,

subvertical NNE-SSW trending fabric in Paleozoic basement rocks of the Melbourne Zone

and the NE-SW structural grain of the Selwyn Block (Moore et al., 2016). Based on these

116 observations, we designed experiments to simulate how the highly anisotropic, folded, and

117 faulted turbidites of the Melbourne Zone basement may have contributed to the formation of

118 these oblique rift faults.

119

120 **3.** Experimental method

Analogue modelling is a powerful tool for simulating crustal deformation in a controlled
environment and testing hypotheses on its driving mechanisms, using simplified models that
are scaled to a practical size (Cruden et al., 2006; Ranalli, 2001). In this study, the simplified

- 124 analogue models were designed to approximately simulate an area of the onshore Gippsland
- Basin that straddles the postulated NE-SW trending eastern boundary of the Selwyn Block
- 126 (Fig. 1). The isostatically supported, crustal-scale, brittle-ductile multilayer models were
- extended in one direction at a constant rate of \sim 4.1 cm/hr, which scales to \sim 2 cm/yr in nature
- 128 (Fig. 2). The scaling parameters are explained in detail further below.

129 <u>3.1. Boundary and initial conditions</u>

All experiments comprised a brittle-ductile model lithosphere, with initial dimensions of 130 44 cm \times 40 cm \times 7 cm, floating isostatically on a fluid model asthenosphere contained within 131 a 65 cm \times 65 cm \times 20 cm acrylic tank (Fig. 2). The simplified model lithosphere consists of a 132 133 brittle upper crust, a ductile lower crust, and a ductile lithospheric mantle (Fig. 3). The natural layer thicknesses, estimated from forward modelling of geophysical potential field 134 135 data (Moore et al., 2016) and seismological models (Gray et al., 1998; Kennett et al., 2013), were scaled down to model thicknesses of 1 cm for the upper crust, 2.5 cm for the lower 136 crust, and 3.5 cm for the lithospheric mantle (Fig. 3 and Table 1). 137



Figure 2 Experimental setup for orthogonal extension. In (a) and (b), the red arrow indicates the direction of extension. (c) Top view photograph of the model surface (Exp LE-05) at the end of the experiment. The dashed line indicates the final geometry of the strong lower crustnormal lower crust boundary (see Fig. 3 for explanation of lower crust geometries).

- 143 To model the change in fault orientations across the Selwyn Block boundary (Fig. 1), the
- 144 lower crust was divided into a strong and normal domain (Fig. 3). Four experiments were
- 145 carried out to test the influence of increasing the degree of anisotropy within the strong lower
- 146 crust on fault orientations in the cover (see Fig. 3 for the initial lower crust geometries). All
- 147 other parameters in the models remained constant.



Figure 3 Structure (a) and strength profiles (b-c) of the multi-layer model lithosphere. (d–f)
The configuration of the lower crust at the start of the experiments. The weak zone within the

- 151 SLC is only slightly more viscous than the NLC material. UC = upper crust; SLC = strong
- 152 lower crust; NLC = normal lower crust; LM = lithospheric mantle.
- 153

- 154 One side of the model was attached to a moving wall pulled by a linear actuator, imposing an
- 155 orthogonal extensional boundary condition that simulates extension similar to that of N-S
- rifting between Australia and Antarctica in the Early Cretaceous (Miller et al., 2002).
- 157 Orthogonal extension boundary conditions ensure that the formation of any faults that are
- 158 oblique to the extension direction are caused by strength anisotropies in the model crust as
- 159 opposed to imposed kinematic boundary conditions. Since our intention was not to force a rift

160 (cf. Brune et al., 2017; Zwaan and Schreurs, 2017), we did not place a linear "weak zone"

161 seed in the middle of the model.

- 162 <u>3.2</u> Scaling and materials
- 163 Model parameters (e.g., length, mass, time, and velocity) and the mechanical properties of the
- 164 chosen analogue materials were scaled down so that deformation occurred within a
- 165 convenient time period while still behaving consistently with nature (i.e., the prototype)

166 (Ramberg, 1967). The scaling properties used in the experiments are presented in Table 1.

167

- 168 **Table 1** Model scaling parameters and material properties. ESPH = Envirospheres; PDMS =
- 169 polydimethylsiloxane; WPL = white Plasticine; BPL = black Plasticine; K1 = hollow glass
- 170 microspheres; NS = Natrosol.

		Thickness		Density		Viscosity		
		Model	Nature	Model	Nature	Model	Nature	-
		(mm)	(km)	(kg/m^3)	(kg/m^3)	(Pa s)	(Pa s)	Material
Normal crust								
Upper crust	Brittle	10	10	962	2650	-	-	Sand+ESPH
Normal lower crust (NLC)	Ductile	25	25	980	2700	$4.0 imes 10^4$	$2.0 imes 10^{21}$	PDMS
Strong crust								
Upper crust	Brittle	10	10	962	2650	-	-	Sand+ESPH
Strong zone (lower crust)	Ductile	25	25	985	2715	$5.7 imes 10^5$	2.9×10^{22}	PDMS+WPL+K1
Weak zone (lower crust)	Ductile	25	25	985	2715	$7.3 imes 10^4$	$3.6 imes 10^{21}$	PDMS+WPL+K1
Lithospheric mantle	Ductile	35	35	1067	2940	5.9×10^5	3.0×10^{22}	PDMS+BPL+K1
Asthenosphere	Fluid	-	-	1125	3100	380	1.9×10^{19}	NaCl-NS
Scaling factors: model/nature		$L^{\ast}=1\times 10^{\text{-}6}$		$\rho *=3.63\times 10^{1}$		$\eta^* = 2.0 \times 10^{-17}$		
Time scaling factor		$t^* = \eta^* / (\rho^* \cdot g^* \cdot L^*)$		$t^{*} = 5.5 \times 10^{-11}$		1 h in model ~ 2.1 Ma in nature		ture
Velocity scaling factor		$v^{\ast}=l^{\ast}/t^{\ast}$		$v^{\ast}=1.8\times 10^4$		41 mm/h in mo	del ~ 20 mm/	yr in nature
Gravity scaling factor		$g^{\ast}=g_{m}\!/g_{p}$		$g^* = 1$				
Stress scaling factor		$\sigma^* = \rho^* \cdot L^*$		$\sigma^* = 3.63 \times 10^{-7}$				

172

171

A length scaling factor $L^* = L_m/L_p = 1 \times 10^{-6}$ was adopted (subscripts *m* and *p* refer to the 173 model and natural prototype, respectively), so that 1 cm in the model represents 10 km in 174 nature. The 44 cm \times 40 cm surface area of the model therefore corresponds to a 440 km \times 175 176 400 km area in nature (Fig. 1). The scaling factor for density ρ^* was set to 3.63×10^{-1} . The experiments were run under normal gravitational acceleration, so that the scaling factor for 177 acceleration due to gravity $g^* = 1$, which gives a scaling factor for stress $\sigma^* = \rho^* \times g^* \times L^* =$ 178 3.63×10^{-7} (Ritter et al., 2016). Granular materials were used to model the strong, brittle 179 180 upper crust, while the ductile lower crust and lithospheric mantle were represented by 181 mixtures of viscous materials, resulting in Christmas tree-like strength profiles (Fig. 3) that

are comparable with simplified strength profiles for natural continental lithosphere under
extension (Benes & Davy, 1996).

- 184 A mixture of dry granular materials with a bulk density $\rho_b = \rho_m \approx 960 \text{ kg/m}^3$ was prepared to
- approximate a scaled natural density of $\rho_p = 2,650 \text{ kg/m}^3$ for the upper crust. We used a
- 186 mixture of dry quartz sand ($\rho_b = 1,580 \text{ kg/m}^3$) and hollow ceramic Envirospheres[®] BLF and
- 187 BL150 ($\rho_b \approx 390 \text{ kg/m}^3$) with mass percentages of ~77.9%, 21.2%, and 1.9%, respectively,
- similar to Molnar et al. (2017). The internal friction angle $\phi < 38^{\circ}$ and very low cohesion
- 189 value $c \sim 9$ Pa of this material, measured by Molnar et al. (2017) using a Hubbert-type shear
- apparatus, makes it a suitable analogue for modelling brittle upper crust with a Mohr-
- 191 Coulomb behavior (e.g., Byerlee, 1978; Davy & Cobbold, 1991; Mandl et al., 1977;
- 192 Schellart, 2000). The quartz sand is characterized by a homogeneous grain size distribution,
- 193 with ~73% of the grains falling in the 150–300 μ m range.
- 194 We used polydimethylsiloxane (PDMS) and PDMS mixtures to model the ductile lower
- 195 crust. PDMS is a transparent, high viscosity, high molecular weight silicone polymer
- 196 frequently used in analogue modeling (Cruden et al., 2006; Molnar et al., 2017, 2018;
- 197 Pysklywec & Cruden, 2004). It has a density $\rho_m \approx 980 \text{ kg/m}^3$, which scales to a natural lower
- 198 crust density $\rho_{\rm p} \approx 2700 \text{ kg/m}^3$. Our PDMS (Wacker Elastomer NA) approximates a
- 199 Newtonian fluid with a viscosity $\eta \approx 4 \times 10^4$ Pa s. The PDMS mixtures have a slightly non-
- 200 Newtonian rheology (n > 1) defined by the power law:
- 201 $\sigma^n = v\dot{\varepsilon}$

202 where σ is stress, *n* is the power law exponent of the material, *v* is a material constant

- 203 (viscosity prefactor), and $\dot{\varepsilon}$ is the strain rate (Cruden et al., 2006). For example, the power
- law exponent for the model lithospheric mantle (LM) mixture is $n_{LM} = 1.25$ (Molnar et al.,
- 205 2017), making it nearly Newtonian.

206 The lower crust layer is divided into two triangular domains separated by a vertical interface

- 45° to the extension direction (Fig. 3d–f), consistent with the orientation of the NE-SW
- 208 boundary and structural trend of the Selwyn Block in the corresponding area in nature (Fig.
- 1). One triangular domain of "strong" lower crust (SLC) approximates the Selwyn Block.
- 210 The "strong zone" material within the SLC (Fig. 3) is a mixture of PDMS, modeling clay
- 211 (white Colorific Plasticine[®]), and 3M[®] K1 hollow glass microspheres (e.g., Cruden et al.,
- 212 2006; Molnar et al., 2017; Riller et al., 2012). Combining the PDMS with modeling clay
- 213 increases its effective viscosity and density, while adding glass microspheres reduces its

- 214 density and increases its effective viscosity. The relative amounts of the three components 215 were adjusted to a mixture with 61.0 vol% PDMS, 16.9 vol% white Plasticine[®], and 216 22.1 vol% microspheres, giving a density $\rho = 985 \text{ kg/m}^3$ and an effective viscosity of ~ 5.7 ×
- 217 10^5 Pa s (at our experimental strain rate of 1.0×10^{-4} s⁻¹) and scaling to a natural density of ρ
- $218 = 2715 \text{ kg/m}^3$ and natural viscosity of 2.9×10^{22} Pa s. The rheological properties of the
- 219 PDMS mixture were measured using an Anton Paar Physica MCR-301 parallel plate
- 220 rheometer. The experimental strain rate was estimated by dividing the velocity of the linear
- actuator (i.e., the rate at which the model was extended) by the total initial model thickness of
- 222 7 cm (Benes & Scott, 1996).
- 223 The strong zone material is one order of magnitude more viscous than the neighboring
- 224 "normal" lower crustal (NLC) triangular domain, which consists of pure PDMS (~ $4.0 \times$
- 10^4 Pa s). Anisotropies within the SLC are reproduced by incorporating linear "weak zones"
- (Fig. 3) using a PDMS mixture consisting of 80.9 vol% PDMS, 9.0 vol% white Plasticine[®],
- and 10.1 vol% microspheres. This material has an effective viscosity of ~ 7.3×10^4 Pa s,
- 228 hence only slightly more viscous than the NLC material. In the reference experiment (Exp
- LE-01), the SLC/strong zone material (Fig. 3) was substituted by the weak zone material to
- assess whether a slight contrast in viscosity has an effect on strain localization or rotation
- 231 (Section 4.1).
- 232 The model lithospheric mantle is a mixture of PDMS, modeling clay (black Colorific
- 233 Plasticine[®]), and 3M[®] K1 hollow glass microspheres ($\rho = 125 \text{ kg/m}^3$). We used a mixture of
- 55.8 vol% PDMS, 29.7 vol% black Plasticine[®], and 14.6 vol% microspheres to achieve a
- 235 density $\rho_m = 1067 \text{ kg/m}^3$ and effective viscosity of $5.9 \times 10^5 \text{ Pa s}$, corresponding to an

upscaled density $\rho_p = 2940 \text{ kg/m}^3$ and viscosity of $3.0 \times 10^{22} \text{ Pa s.}$

- 237 The model asthenosphere is a mixture of Natrosol[®] 250 HH, NaCl (sodium chloride),
- 238 formaldehyde, and deionized water (Boutelier et al., 2016; Molnar et al., 2017). Natrosol®
- hydroxyethylcellulose is a water-soluble polymer that can used to modify the viscosity of an
- aqueous solution without significantly affecting its density (Boutelier et al., 2016). Natrosol[®]
- acts as a Newtonian fluid under shear strain rates typically employed in experimental
- tectonics (Boutelier et al., 2016). The model asthenosphere mixture has a viscosity $\mu_m =$
- 243 380 Pa s, scaling to a prototype viscosity $\mu_p = 1.9 \times 10^{19}$ Pa s, which is comparable with
- 244 natural viscosity estimates for the asthenosphere (Artyushkov, 1983; Ranalli, 1995). The

245 mixture has a density $\rho_m = 1,125 \text{ kg/m}^3$ (Molnar et al., 2017), equivalent to a natural density 246 $\rho_p \approx 3,100 \text{ kg/m}^3$ (e.g., Pysklywec and Cruden, 2004).

247 <u>3.3</u> Construction of the model layers

248 The ductile lower crust and lithospheric mantle were constructed to fit within the pair of Ushaped walls (Fig. 2). These ductile layers were molded into rigid frames on top of a flat 249 surface (Fig. 4). They were placed within the frames ~72 h before the start of the experiment 250 to allow enough time for the material to fill up the frames, settle, and expel trapped air 251 252 bubbles. Because the lower crust layer comprised two strength domains, the two ductile lower crust domains (the SLC and the NLC) were molded separately in two rigid frames (Fig. 253 254 4a). When the mixtures had settled, the two pieces were put together to form the final rectangular-shaped lower crust layer. Beforehand, a 80:20 wt% paraffin oil and petrolatum 255 256 jelly mixture (Duarte et al., 2014) was smeared onto the SLC-NLC interface to keep the two materials separate. However, this had a negligible effect on strain localization during the 257 experiments, as the mixture may have reacted chemically with Plasticine (Duarte et al., 2014) 258 259 and lost its lubricating effect.

During preparation of the SLC domain for Exp LE-07, which involve 5.4 cm-wide weak 260 zones, the areas that were to be filled with the weaker material were cut with a knife and 261 removed from the already molded strong zone material. The cut interfaces were kept vertical 262 by placing thin, rigid plastic sheets where the cuts were made. The "gaps" were then filled 263 264 with the weak zone material. The plastic sheets were removed once the weak zone material 265 had settled. Similarly, preparation of the SLC domain of Exp LE-08 required extra steps shown in Fig. 4. Linear weak zones were incorporated into the model lower crustal layer at an 266 267 angle of 45° relative to the extension-perpendicular walls. The rheological boundary between the SLC and NLC also has the same 45° orientation and crosses the center of the model in 268 269 map view (Fig. 4e).

- 270 Specially designed 4 cm wide horizontal grips were attached to the lithospheric mantle layer
- 271 (Fig. 4b); the grips were later fastened to the sides of the U-shaped walls that are
- 272 perpendicular to the extension direction. The lithospheric mantle (along with the horizontal
- 273 grips) and lower crust were placed in the tank and allowed to settle over a period of ~24 h,
- allowing sufficient time for the model to achieve isostatic equilibrium and for air bubbles to
- dissipate. A 80:20 wt% paraffin oil and petrolatum jelly mixture was used as a lubricant

- between the lateral boundaries of the model and the U-shaped walls to minimize boundaryeffects caused by friction.
- 278 Shortly before the start of the experiment, the model upper crust layer was deposited on top 279 of the model lower crust by slow sifting the prepared granular mixture from a height of ~10 280 cm. The surface of the upper crust layer was not flattened or scraped off in order to avoid 281 alterations in the mechanical properties of the layer (e.g., localized compaction). Fine coffee 282 grounds were sifted onto the surface of the model to serve as passive markers during
- deformation monitoring (see Section 3.4). Once all layers of the model lithosphere were in
- 284 place, the horizontal grips were fastened to the extension-perpendicular sides of the U-shaped
- walls.



Figure 4 Photographs of the preparation of the ductile lower crust and lithospheric mantle 287 288 layers. (a) The SLC (strong zone) and NLC material were placed in separate rigid frames on top of a flat workbench; the two parts were united to form the lower crust once the mixtures 289 290 had settled. (b) The lithospheric mantle material was placed into a rectangular rigid frame and 291 attached to horizontal grips. Flattening of this layer was facilitated with a rolling pin. (c-e) 292 Assembly of the lower crust layer for Exp LE-08. A pasta maker was used to uniformly flatten strong zone and weak zone materials to a thickness < 2 mm (c). For the assembly of 293 294 the SLC domain, they were cut into strips and placed vertically in an alternating manner within the rigid frame (d), after which this domain was attached to the NLC domain (e). 295 296 Photographs (c-e) courtesy of J. Samsu.

297 <u>3.4 Deformation monitoring and analysis</u>

Deformation in the upper crust layer was monitored during the experiment by stereoscopic 298 299 particle imaging velocimetry (PIV) (Adam et al., 2005), so that the resulting strain 300 distribution and fault orientations could be characterized over time. The PIV system 301 comprises three high-speed cameras that provide a spatial resolution $\geq 1 \text{ mm}$ and a temporal 302 resolution ≥ 0.1 s (Molnar et al., 2017). Successive images were recorded at 15 s intervals 303 during each experimental run. Surface strain and topographic data was derived following the workflow of Molnar et al. (2017). The incremental displacement field was computed using 304 stereo cross correlation, forming the basis for deriving the strain tensor components, 305

306
$$E_{ij} = \frac{\partial V_i}{\partial_j} \text{ with } i \in \{x, y, z\} \text{ and } j\{x, y, z\}$$

307 where E_{ij} describes the gradient in the vector component *i* along the *j* axis (Adam et al., 308 2005), and *V* is the velocity vector. The scalar fields were used to derive incremental normal 309 and shear strain as well as the height of the model surface, or digital elevation model (DEM). 310 The cumulative strain was calculated as the sum of the incremental strain and used to produce 311 a grid of finite strain ellipses. The maximum normal strain on the surface, E_{surf} , was derived 312 from the larger eigenvalue of the 2D strain matrix

$$\begin{vmatrix} E_{xx} & E_{xy} \\ E_{yx} & E_{yy} \end{vmatrix}$$

and the relationship

315
$$E_{surf} = \frac{(E_{xx} + E_{yy})}{2} + \sqrt{\frac{(E_{xx} + E_{yy})^2}{4}} + \frac{(E_{xy} + E_{yx})^2}{4}$$

A local coordinate system was chosen such that the *z*-direction is aligned with the surface 316 317 normal. Exx and Exy are partial derivatives of the velocity components $\partial Vx/\partial x$ and $\partial Vx/\partial y$. and Eyx and Eyy are partial derivatives of the velocity components $\partial Vy/\partial x$ and $\partial Vy/\partial y$. Strain 318 maps, complemented with DEMs and top-view photographs of the model surface 319 320 (illuminated with oblique lighting) enabled us to track the nucleation, growth, and 321 distribution of faults at different stages of the experiments. At the end of each experiment, the granular upper crustal material was removed with a vacuum cleaner and the top surface of the 322 323 lower crustal material was photographed to document the final geometries of the lower 324 crustal rheological boundaries.

325 **4. Results**

We present the results of four experiments: one reference experiment (Exp LE-01; see S1 and 326 S2 in supporting information), where the lower crust is made up of two mixtures of similar 327 rheology, and three other experiments (Exp LE-05, LE-07, and LE-08; Fig. 5), where the 328 329 arrangement of weak zones within the SLC domain is varied to represent different 330 wavelengths of basement anisotropy (Fig. 3). When viewing the models in map view, the 331 upper side of the image is referred to as "north", and the model is being extended towards the "south". In describing the fault patterns, the upper crust is divided into two domains: a NW 332 333 domain, underlain by the SLC (with or without weak zones), and a SE domain, which overlies the NLC (Fig. 5). Faults near the western and eastern boundaries of the models curve 334 towards parallelism with the model edges. This boundary effect results from friction between 335 the model's lateral boundaries and the confining U-shaped walls. It affects a small area 336 337 outside the central region of interest.

338 <u>4.1 Reference experiment: quasi-homogeneous lower crust (Exp LE-01)</u>

339 In the reference experiment LE-01, we tested the influence of two homogeneous lower crustal domains of slightly different viscosities on upper crustal fault patterns during orthogonal 340 extension (S1 and S2 in supporting information). The upper crust across the entire model area 341 developed an E-W trending horst and graben system. Based on the DEM, E-W trending 342 343 normal faults began to nucleate by ~0.3 h (3% extension). As extension progressed, the faults propagated both westwards and eastwards. They reached their final length at ~2 h (21% 344 345 extension), after which strain was accommodated by widening of the graben. The orientation of the faults was not influenced by the presence of the oblique SLC-NLC interface. This 346 347 suggests that the viscosity contrast between the SLC and NLC in this experiment was negligible and that a higher viscosity contrast is required for two adjoining rheologically 348 349 different lower crustal domains to influence the orientation of rift faults during orthogonal 350 extension.



Figure 5 Results of orthogonal extension experiments at 4.5 h (47% strain) in map view, with no anisotropy (a.i), 5.4 cm-wide weak zones (a.ii), and ~2 mm-wide weak zones (a.iii) in the strong lower crustal block. (b) DEM (in mm) from photogrammetric PIV data; (c) photograph of surface of upper crust; (d) photograph of surface of lower crust. The top right inset shows the position of the NW and SE domains. SLC = strong lower crust; NLC = normal lower crust; SZ = strong zone.

358 <u>4.2</u> Strong vs. normal lower crust (Exp LE-05)

The lower crust in Exp LE-05 has the same initial geometry as the reference experiment. 359 However, the SLC material (with an effective viscosity of ~ 5.7×10^5 Pa s) is one order of 360 magnitude more viscous than the adjacent NLC (~ 4.0×10^4 Pa s). The effect of this strength 361 contrast is apparent in the distinct styles of faulting above the two domains (Fig. 5b.i and 362 5c.i). The SE domain is characterized by an E-W trending horst and graben system. Strain 363 was localized along oppositely dipping faults which formed at early stages (~0.8 h; 8% 364 strain) and were spaced ~3 to 4 cm apart by the end of the experiment (Movies S3 and S4 in 365 supporting information). The faults reached their final length at ~ 1.3 h (13% strain), when 366 their lateral propagation was arrested at the model boundary and the diagonal SLC-NLC 367 boundary. As extension progressed, the grabens deepened as throw along the bounding faults 368 increased. Once the boundary faults had propagated to the bottom of the brittle upper crust, 369 370 strain was accommodated by widening of the graben.

371 In the NW domain, strain in the upper crust was more distributed, resulting in short, <1 mmspaced faults (Fig. 5c.i). The faults initially formed in the south (~1.4 h; 15% strain) and then 372 373 began nucleating in the north, near the model center (~2.0 h; 21% strain) as extension progressed. By the end of the experiment, faults above the strong lower crust had not linked 374 together via relay structures, so that their length remained shorter than the faults in the SE 375 domain (Fig. 5c.i). Most of the faults in the NW domain are E-W, but those within ~30 mm 376 377 of the SLC-NLC boundary trend WNW-ESE, curving to approach perpendicularity to the 378 boundary.

379 <u>4.3</u> Wide anisotropy in the strong lower crust (Exp LE-07)

380 The overall evolution and final pattern of faults in the SE domain in Exp LE-07 and LE-08 381 (Section 4.4) are very similar to the horst-and-graben style of faulting in the SE domain of 382 Exp LE-05. In Exp LE-07, the fault pattern in the NW domain is influenced by the presence of two linear weak zones which are 5.4 cm wide and spaced 5.4 cm apart (Fig. 5a.ii and 383 5b.ii). Faults above the weak zones form grabens bound by oppositely dipping, E-W trending 384 faults, comparable to the style of faulting in the SE domain (Fig. 5c.ii). The spacing of these 385 faults appears to be intermediate between two end members of fault localization (i.e., highest 386 387 degree of localization above the NLC and even distribution above the SLC). NW-SE trending 388 faults above the SLC are evenly distributed and narrowly spaced.

In the top view photographs of the upper crust surface (Movie S5 in supporting information),

390 E-W trending faults in the SE domain had begun forming by ~0.8 h (8% strain). E-W

trending faults above the weak zones within the NW domain began forming at ~1.1 h (11%

392 strain). Faults above strong zones within the NW domain began forming at ~2.0 h (21%

393 strain), first nucleating at the boundaries of the weak zones and then propagating inwards,

394 orthogonal to the lower crustal domain boundaries.

The formation of NW-SE trending faults above the strong zones and E-W trending faults above the weak zones within the NW domain were controlled by the widely spaced

anisotropy in the underlying lower crust (Fig. 6). This experiment demonstrates that strain

398 partitioning resulted from the presence of extension-oblique zones of highly contrasting

399 strengths, simulated by large viscosity differences in the models. Finite strain ellipses at the

400 end of this experiment exhibit a N-S maximum stretching direction in the SE domain and

401 weak zones in the NW domain (consistent with the imposed N-S extension) and a NNW-SSE

402 maximum stretching direction above the SLC in the NW domain (Fig. 6a).

403 <u>4.4</u> Narrow anisotropy in the strong lower crust (Exp LE-08)

In Exp LE-08, we implemented a higher degree of anisotropy than in Exp LE-07 by creating 404 405 narrowly spaced, ~2 mm-wide weak zones within the SLC, separated by ~2 mm-wide SLC 406 material (Fig. 5a.iii). In the top view photographs of the upper crust surface (Movie S7 in 407 supporting information), E-W trending faults in the SE domain began forming by ~0.8 h (8% 408 strain). Faults in the NW domain began forming at ~1.4 h (15% strain) near the model's 409 western edge. Two sets of narrowly spaced faults, trending NW-SE and ENE-WSW, began forming at ~3.1 h (32% strain) (Fig. 7). Both of these coeval fault sets are oblique to the N-S 410 411 extension direction and the NE-SW trending anisotropy and SLC-NLC boundary. The two sets form an apparently conjugate or orthorhombic pattern, with an acute bisector trending 412 413 WNW-ESE (100°) (Fig. 8b). The obtuse bisector trends NNE-SSW (10°), deviating slightly 414 from the imposed N-S extension. The ENE-WSW trending set is more pronounced than the 415 WNW-ESE trending set because they exhibit greater dip-slip displacement. Finite strain ellipses at the end of this experiment exhibit a N-S maximum stretching direction in the SE 416 417 domain and a NNW-SSE maximum stretching direction in the NW domain (Fig. 7a).







Figure 7 Results from Exp LE-08: (a) cumulative maximum normal strain on surface at the
end of the experiment, overlain by 2D strain ellipses; (b) fault traces overlain on top-down
photo of upper crust surface, (c) schematic plan view illustration of the accommodation of NS extension by an orthorhombic fault set in the NW domain and E-W faults in the SE domain,
and (d) rose diagram of fault traces. For the evolution of the cumulative strain, see Movie S8
in the supporting information.





Figure 8 Schematic illustration of deformation and associated kinematics in the NW domain 433 434 of Exp LE-08. (a) The representative strain ellipse can be broken down into a strike-slip (noncoaxial) and coaxial component. These representative 2D strain ellipses are not to scale; the 435 436 relative contributions of the strike-slip and coaxial component may be different in the experiment. (b) Comparing the predicted structures (under a NNW-SSE maximum horizontal 437 438 stretching direction ε_{Hmax}) with the resulting faults at the upper crust surface at the end of the experiment. The reason for this discrepancy is an effect unaccounted for in the simple 439 440 prediction (see Section 5.3 for explanation). The observed ENE-WSW trending faults are wider 441 than NW-SE faults, suggesting that they have accommodated a significant amount of dip-slip displacement (greater than strike-slip displacement). 442

443

Although the fault populations in the NW and SE domains exhibit different orientations, both
 patterns formed as products of the same imposed N-S directed bulk extension (Fig. 7b and

446 7c). Parallel, E-W trending faults in the SE domain represent extension-orthogonal normal

447 faults that are consistent with formation in an Andersonian normal faulting regime. In

448 contrast, the orthorhombic fault pattern in the NW domain signifies a local change in the

strain field due to the role of the pervasive anisotropy in the lower crust. Because these faults

450 must accommodate the bulk N-S extension, we infer that these oblique fault sets must have a

451 strike-slip component as indicated in Figure 7c.

452

453 **5. Discussion**

454 Upper crustal deformation in our experiments was influenced by re-orientation of the stress 455 and strain fields across the SLC, as there were no weak layers that separated the upper and 456 lower crust layers (cf. "attached stress regime" in Bell, 1996). As a result, faults in the brittle 457 upper crust were localized above areas of necking and thinning in the ductile lower crust 458 (compare Fig. 5c and 5d).

459 <u>5.1 The influence of crustal strength on fault spacing</u>

460 In Exp LE-05, the NW domain is populated by short, closely spaced faults, while the SE domain experienced a higher degree of strain localization evidenced by widely spaced 461 462 grabens, bounded by long faults with large displacements (Fig. 5a). Similarly, the spacing of faults in the NW domain above the weak zones of Exp LE-07 is greater than between faults 463 above the strong zones, but less than the spacing between faults in the SE domain (Fig. 5b). 464 These observations are consistent with numerical models of extensional systems (Sharples et 465 al., 2015; Wijns et al., 2005) and analogue models of contractional tectonics (Riller et al., 466 2012; Schueller & Davy, 2008), which suggest that the strength ratio between the strong, 467 brittle upper crust and the weaker, ductile lower crust controls the degree of strain 468 localization. In our experiments, this ratio is controlled by the viscosity of the lower crust 469 470 material.

471 <u>5.2 Rotation of strain axes above a strong, anisotropic lower crustal block</u>

472 Despite the orthogonal extension boundary condition in our experiments, our models simulate 473 transtension due to the presence of NE-SW trending anisotropies in the lower crust. The 474 deformation observed in the brittle upper crust reflects deformation in the underlying lower 475 crust, which is governed by ductile flow (Fossen & Tikoff, 1998). The obliquity of faults in 476 the NW domain of Exp LE-07 and LE-08 suggests that the model crust did not experience 477 pure shear during extension. 478 Calculated finite strain ellipses at the end of Exp LE-08 (Fig. 7) exhibit a N-S maximum stretching direction ε_{Hmax} in the SE domain. The NW domain is populated by strain ellipses 479 480 with a NNW-SSE ε_{Hmax} , deviating slightly from N-S. We infer that the 2D horizontal strain ellipses reflect the superposition of a coaxial strain component (the N-S imposed extension 481 482 on the system) and a strike-slip component arising from dextral motion along the oblique, 45° pervasive anisotropy within the layered SLC and along the SLC-NLC interface (Fig. 8a). 483 484 Therefore, the model crust must have undergone bulk sinistral transtension. Within each narrow strong zone in the NW domain, strike-slip kinematics at the interfaces between the 485 strong and weak materials resulted in internal sinistral shearing and therefore an anti-486 clockwise rotation of the strain ellipse. 487

488 From the NNW-SSE trending ε_{Hmax} , we expected ENE-WSW trending normal faults or a

489 conjugate set of faults with an ENE-WSW trending acute bisector to form in the NW domain

490 (Fig. 8b). Instead, strain in this domain is accommodated by an orthorhombic fault system,

491 where the ENE-WSW set is dominant. We infer that the ENE-WSW faults have a significant

492 dip-slip offset and a minor strike-slip offset. A less dominant NW-SE fault set with a

493 significant strike-slip component and a minor dip-slip component must also form to maintain

- 494 strain compatibility (e.g., Fossen & Tikoff, 1998). The reason for the discrepancy between
- the observed and predicted fault patterns is an effect unaccounted is outlined in detail in

496 Section 5.3.

497 The presence of alternating 5.4 cm-wide strong and weak zones in the NW domain of Exp

498 LE-07 resulted in strain partitioning. Maximum horizontal stretching, ε_{Hmax} , trends N-S above

499 the weak zones within the NW domain (Fig. 6), resulting in E-W trending faults. The NNW-

500 SSE trending ε_{Hmax} is confined to the strong zones. In this experiment, E-W trending faults

501 first nucleated and propagated in the SE domain and in the weak zones of the NW domain

502 until they reached the interfaces with the strong zones; NW-SE trending faults then

503 propagated from the interfaces and into the center of the strong zones. We interpret that the

strong zones acted as transfer zones (cf. Zwaan and Schreurs, 2017), across which older

505 faults in the SE domain and in the NW domain weak zones linked up via extension-oblique

506 faults (Fig. 9a). To maintain strain compatibility, these NW-SE trending faults are likely to

507 have a sinistral strike-slip component.

508





Figure 9 (a) Strain partitioning in the NW domain of Exp LE-07. The 2D strain ellipses are rotated anticlockwise in the strong zones, but they remain consistent with the NLC in the weak zones. (b) Strike-slip movement along all strong-weak interfaces in the NW domain of Exp LE-08 results in an "averaging effect" of the anisotropic properties of the lower crust. Hence the strain ellipse is rotated anticlockwise across the entire NW domain. SZ= strong zone; WZ = weak zone.

517 <u>5.3</u> The scale-dependent role of lower crustal anisotropies on fault patterns

518 The different characteristics of extension-oblique faults in Exp LE-07 (above the strong zones 519 in the NW domain) and LE-08 are attributed to the geometry of the lower crustal 520 anisotropies, which interacted with the imposed boundary conditions. While certain structures 521 would have been expected given the NNW-SSE maximum horizontal stretching (Fig. 8b), the 522 role, kinematics and intensity of faults above the different strong and weak regions were 523 modified by: (i) the 45° angle between the imposed N-S stretching and the boundaries 524 between strong and weak zones, along which local strike-slip movement occurred (Fig. 9),

525 and (ii) the spacing and width of the alternating strong and weak zones. This geometric

526 influence is exemplified by faults in the NW domain of Exp LE-07 (Fig. 6). Here, E-W

527 trending faults above the weak zones forced the dominance of NW-SE trending transfer faults 528 above the strong zones (as opposed to NE-SW trending faults), which link the E-W faults and 529 accommodate extensional strain.

530 Exp LE-07 and LE-08 represent two end member scenarios where either: (a) strain is partitioned between zones of contrasting strength within an anisotropic lower crustal block 531 (Fig. 9a), or (b) the properties of zones of contrasting strength are "averaged" (Fig. 9b). Exp 532 LE-08 demonstrates that a lower crustal block, with a stronger average viscosity than the 533 adjacent block and containing a vertical, closely spaced, and pervasive anisotropy, will 534 behave as a single block (Fig. 9b). When the width of the alternating weak and strong zones 535 536 is below a certain threshold, rotation of the strain axes occurs not just at the strong-weak zone interfaces, but across the entire NW domain. When the width of the anisotropy is increased, 537 538 alternating weak and strong zones within the SLC will tend to act as discrete lower crustal 539 blocks with their own distinct mechanical properties, as opposed to being part of a pervasive 540 fabric within a single block. Quantifying the threshold width of the anisotropies is beyond the scope of this study, but it is likely to be controlled by the viscosity ratio between the strong 541 542 and weak zones, the ratio between the brittle crust thickness and the width of the anisotropy, and the minimum resolvable fault displacement in the experimental setup. 543

544 <u>5.4</u> Model limitations and implications for natural rift basins

Basin-scale (>1 km long) ENE-WSW trending normal faults in the western onshore 545 546 Gippsland Basin (Samsu et al., 2019) were replicated in the NW domain of Exp LE-08 by 547 introducing closely spaced anisotropies representing the folded and faulted Melbourne Zone 548 basement. However, the analogue experiment also produced NW-SE trending faults which 549 are not represented in the basin-scale fault map interpreted from geophysical potential field data. If such NW-SE faults were present, their lateral and dip-slip displacement may have 550 551 been too small to generate gravity and magnetic anomalies. Outcrop-scale NNW-SSE faults 552 and fractures are present in the Cretaceous Strzelecki Group rocks, but their formation has 553 been attributed to later periods of contraction as opposed to rifting (Samsu et al., 2019).

554 While our results do not fully replicate fault patterns in the western onshore Gippsland Basin, 555 our experiments provide insight into the crustal-level anisotropies that are required for the 556 formation of extension-oblique structures during rifting without reactivation of basement 557 faults. They also demonstrate the influence of a transverse, anomalously strong, anisotropic 558 crustal block on rift basin architecture, as opposed to the more widely explored role of crustal

weaknesses (e.g., Autin et al., 2013; Bellahsen and Daniel, 2005; Corti, 2004; Faccenna et al.,

560 1995; Henza et al., 2011, 2010). Oblique kinematics (Withjack & Jamison, 1986) are not

561 required to create extension-oblique faults when the basement is sufficiently anisotropic, and

this anisotropy does not have to occur at the whole of lithosphere scale (cf. Agostini et al.,

563 2009; Brune et al., 2017; Corti, 2008).

564 Our experiments show that the local strain direction indicated by individual faults need not

reflect the orthogonal extension boundary condition (analogous to the N-S extension

566 direction in the Gippsland Basin study area). This finding demonstrates the inadequacy of

567 inferring regional extension directions from fault orientations alone and highlights the

importance of understanding non-plane strains in rift evolution and passive margin formation

569 (Brune et al., 2018; Dewey et al., 1998).

570

571 **6.** Conclusions

The experimental results presented here describe the control of crustal strength on fault 572 spacing and the length scale-dependent relationship between lower crustal anisotropies and 573 574 fault behavior in the upper crust during a single phase of rifting. How vertical strength 575 anisotropies in the lower crust influence fault orientations in the upper crust is a function of: (i) scale (i.e., the width and spacing of anisotropies relative to the size of the modelled area), 576 577 and (ii) the mechanical properties of the individual zones that make up the anisotropic material. Hence the geometry of lower crustal zones of differing strengths may interact with 578 579 rift kinematics, impacting the orientation, kinematics, and spacing of fault sets developed in a basin. We show that the basement of a rift basin must be sufficiently anisotropic for 580 581 extension-oblique rift faults to form across a wide area. Additionally, such faults can form 582 oblique to the trend of pre-existing basement anisotropies, demonstrating that pre-existing 583 basement structures/weaknesses can be inherited via a mechanism other than reactivation, 584 which would otherwise result in new faults that are parallel to these basement structures.

585

586 Acknowledgments

The authors thank Steven Micklethwaite for helpful discussions during the preparation of this
manuscript. A. Samsu was supported by a Monash University Faculty of Science Dean's
International Postgraduate Research Scholarship and Postgraduate Publication Award. Data

- 590 archiving is underway. Data related to this manuscript are included in the Supporting
- 591 Information for review purposes and will be made publicly available on monash.figshare.

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