1	Reply to: Beyond microbial carbon use efficiency
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35 **USA** <sup>16</sup>School of Atmospheric Sciences, Sun Yat-sen University, Guangzhou, China 36 <sup>17</sup>Institute for Environmental Genomics and Department of Microbiology and Plant Biology, 37 38 University of Oklahoma, Norman, OK, USA <sup>18</sup>Food and Agricultural Organization of the United Nations, Rome, Italy 39 <sup>19</sup>School of Biological Sciences, University of Aberdeen, Aberdeen, UK 40 41 <sup>1</sup>Department of Earth System Science, Ministry of Education Key Laboratory for Earth 42 System Modelling, Institute for Global Change Studies, Tsinghua University, Beijing, China 43 44 \*Corresponding Authors: Yiqi Luo: yiqi.luo@cornell.edu; Xiaomeng Huang: 45 hxm@tsinghua.edu.cn 46 **Statement:** This manuscript is a non-peer reviewed preprint submitted to EarthArXiv. This is 47 48 a reply to Xiao et al. (2023) (https://doi.org/10.31223/X5696N)

## 49 **Abstract** In their commentary<sup>1</sup>, Xiao et al. cautioned that the conclusions on the critical role of 50 51 microbial carbon use efficiency (CUE) in global soil organic carbon (SOC) storage in a paper by Tao et al. (2023)<sup>2</sup> might be too simplistic. They claimed that Tao et al.'s study lacked 52 53 mechanistic consideration of SOC formation and excluded important datasets. Xiao et al. 54 brought up important points, which can be largely reconciled with our findings by 55 understanding the differences in expressing processes in empirical studies and in models. 56 57 Main Mechanistic understanding of complex processes from empirical research is usually 58 59 translated into mathematical models with some level of simplification. For example, processes involved in SOC stabilization and persistence, as brought up by Xiao et al., were 60 61 considered by the model and evaluated together with microbial CUE for their relative 62 importance to global SOC storage in Tao et al. (2023). The mechanisms for stabilizing necromass in soils with soil minerals are represented as the non-microbial carbon transfer by 63 64 various chemical and physical processes (see carbon flows in Extended Data Fig. 3 in Tao et al. (2023)). Parameter $a_{mSOC.MIC}$ represents the fraction of microbial necromass that is 65 66 stabilized as mineral-associated SOC via organo-mineral interactions (i.e., the in vivo pathway of stabilization; see ref<sup>3</sup>); parameter $a_{mSOC,LL}$ indicates the fraction of lignin litter 67 68 that is directly stabilized as SOC with minerals and without going through microbial 69 processes (i.e., the ex vivo pathway of stabilization; see Supplementary Table 6 in Tao et al. 70 2023). The organic compounds associated with microbial products and necromass that Xiao 71 et al. suggested to be stabilized against decomposition through various chemical and physical 72 processes are expressed in the model by decomposition coefficients, $K_i$ . The inverses of $K_i$ 73 represent the persistence of various organic compounds in soil. Tao et al. (2023) compared 74 the relative importance of non-microbial carbon transfer and decomposition coefficients with 75 microbial CUE. The latter was found to be more important than the formers in determining 76 SOC storage and its distributions at the global scale. 77 78 The dominant role of CUE in global SOC storage emerging from Bayesian inference by Tao 79 et al. (2023) does not mean that CUE is the sufficient process. But it is likely a necessary 80 process as soil might have very little organo-mineral interactions without microbial 81 metabolites. Our current understanding of stabilization mechanisms is highly fragmented

82 from empirical research, which makes model representation very challenging. The inferred role of CUE in global SOC storage from our PRODA approach should be further tested by 83 84 more studies. We expect that not only other processes may be dominant in individual empirical studies, but that the relationship of CUE and SOC may vary among individual 85 86 laboratory or site case studies. 87 88 We agree with Xiao et al. that causal relations between CUE and SOC need to be supported 89 by more mechanistic empirical evidence and modelling studies. Tao et al. (2023) showed 90 both statistical (from the meta-analysis) and process-based (from the microbial model results) 91 evidence that microbial CUE promotes SOC storage at the global scale. First, Tao et al. 92 (2023) applied mixed-effects modeling to ensure the statistical rigor of the meta-analysis. The positive CUE-SOC relationship was robust after considering the influence of various 93 94 predictors (e.g., temperature, soil depth, etc.) and their potential interactions (Extended Data 95 Table 1 in Tao et al. 2023). Second, Tao et al. (2023) investigated relationships among 96 microbial CUE, microbial biomass, and non-microbial biomass storage (i.e., the remaining 97 amount of organic carbon after excluding microbial biomass; see Supplementary Table 2 in 98 Tao et al. 2023). The results showed that a high CUE accompanied not only high microbial 99 biomass carbon, but also high non-microbial biomass carbon. Third, the above findings in the 100 meta-analysis were further verified by the results of the microbial model after data 101 assimilation (Extended Data Table 2 and Supplementary Tables 3-4 in Tao et al. 2023). While the microbial model can theoretically generate positive, negative, or null relationships 102 103 between CUE and SOC, as noticed by Xiao et al., Tao et al. (2023) applied Bayesian data 104 assimilation to identify the most probable regulatory pathway of CUE to SOC storage. That 105 is, microbial partitioning of carbon toward microbial growth enhances SOC accumulation via 106 microbial by-products and necromass. We acknowledge that this is inferred and not an iron-107 clad proof. The relationship of CUE and SOC might have complex interactions with other processes even though the result shown in Tao et al (2023) is an important step forward to 108 mechanistically understand SOC formation at the global scale and identify what needs to be 109 110 investigated in the future. 111 112 We greatly appreciate the point made by Xiao et al. that more data, especially from tropical 113 and arid regions, are needed to avoid biased analysis. We welcome any more field-measured 114 microbial CUE and SOC data to further test the CUE-SOC relationship. We thank Xiao et al.

for bringing up the point that soil pH may alter the CUE-SOC relationship as shown in Malik

116	et al. (2018). Including the data from Malik et al. (2018) <sup>4</sup> with considering pH as a fixed
117	effect in the meta-analysis does not influence the overall positive CUE-SOC relationship
118	(Table 1). Moreover, the Fig. 2 in Xiao et al. used a linear regression between CUE and SOC
119	without considering any other factors, such as sampling depth, temperature, and
120	methodological differences across studies. These factors influence the CUE-SOC relationship
121	and thus result in their weak correlation. When discussing the relationship between two
122	variables, accounting for potentially confounding factors is essential in a statistical analysis.
123	Tao et al. (2023) applied the mixed-effects models that accounted for the above factors to
124	explore the relationship between microbial CUE and SOC. As a result, the positive CUE-
125	SOC relationship explains 55% variation in observations. Nonetheless, Tao et al. (2023)
126	discussed caveats of the meta-analysis. The PRODA analysis of 57,267 globally distributed
127	vertical SOC profiles complemented the latter to avoid potential regional biases.
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129	Establishing a globally causal link between CUE and SOC and evaluate the relative
130	importance of soil carbon processes needs leveraging the potentials of empirical studies,
131	process-based models, and big data. We acknowledge that the model we used, as any models,
132	remains a simplified representation of real-world complexities of the soil system. Indeed,
133	navigating sophisticated observations to a reasonable abstraction for useful predictions is part
134	of the essence of modelling. Meanwhile, we agree with Xiao et al. that more sophisticated
135	empirical measurements guarantee better understanding of SOC formation. While models
136	allows us to holistically evaluate soil as a system and the relative importance of their
137	components, data from field measurements potentially provide direct evidence on key
138	relationships in soil carbon cycle. Tao et al. (2023) developed the PRODA approach to
139	effectively incorporate process-based models with big data to gain emerging understanding of
140	global SOC storage. To our knowledge, the relative importance of the seven components of
141	soil carbon dynamics presently cannot be experimentally evaluated in any laboratory and
142	field studies. PRODA provides a common tool for both modellers and experimentalists in
143	reconciling mechanistic understanding in fields and theoretical reasoning in modelling. New
144	findings and relationships revealed by the PRODA approach will further stimulate new
145	experimental studies in laboratory and field, and improvement of models.
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Methods

All the data, statistical methods, and the microbial model have been described in Tao et al. (2023) and can be publicly accessed via <a href="https://www.nature.com/articles/s41586-023-06042-">https://www.nature.com/articles/s41586-023-06042-</a> <u>3</u>. **Competing interests:** The authors declare no competing interests. **Author contributions:** F. T. and Y. L. drafted the reply. All authors contributed to the text and approved the final version. **References:** Xiao, K.-Q. et al. Beyond microbial carbon use efficiency. (2023). Tao, F. et al. Microbial carbon use efficiency promotes global soil carbon storage. Nature, 1-5 (2023). Liang, C., Schimel, J. P. & Jastrow, J. D. The importance of anabolism in microbial control over soil carbon storage. *Nature microbiology* **2**, 1-6 (2017). Malik, A. A. et al. Land use driven change in soil pH affects microbial carbon cycling processes. Nature communications 9, 1-10 (2018). 

Table 1 | Unstandardized coefficients of CUE-SOC relationship in the mixed-effects model including data from Malik et al. (2018). CUE, depth, mean annual temperature (MAT), and pH were set as the fixed effects to logarithmic SOC content. The study source was set as the random effect. We set random intercepts with common slopes to test the CUE-SOC relationship. The total observation size  $n_{sample} = 295$ ; the random effects size  $n_{study} = 17$ .

		Intercept	CUE	Depth	MAT	рН			
$log10(SOC) \sim CUE + Depth + MAT + pH + (1 Study Source)$									
variance explained by mixed model: 50%									
	Estimates	1.47	0.76	-0.019	0.012	-0.046			
Fixed	Std. Error	0.15	0.16	0.0034	0.0053	0.019			
Effects	t value	10.02	4.82	-5.70	2.32	-2.50			
	P	< 0.0001	< 0.0001	< 0.0001	0.021	0.013			
Random	Standard	0.22	NA	NA	NA	NA			
Effects	Deviation	0.22	11/1	1111	1111	1111			