Deep Learning for Deep Earthquakes: Insights from OBS

² Observations of the Tonga Subduction Zone

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7 SUMMARY

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Applications of machine learning in seismology have greatly improved our capability 9 of detecting earthquakes in large seismic data archives. Most of these efforts have been 10 focused on continental shallow earthquakes, but here we introduce an integrated deep-11 learning-based workflow to detect deep earthquakes recorded by a temporary array of 12 ocean-bottom seismographs (OBSs) and land-based stations in the Tonga subduction 13 zone. We develop a new phase picker, PhaseNet-TF, to detect and pick P- and S-wave 14 arrivals in the time-frequency domain. The frequency-domain information is critical for 15 analyzing OBS data, particularly the horizontal components, because they are contami-16 nated by signals of ocean-bottom currents and other noise sources in certain frequency 17 bands. PhaseNet-TF shows a much better performance in picking S waves compared to 18 its predecessor PhaseNet. The predicted phases are associated using an improved Gaus-19 sian Mixture Model Associator GaMMA-1D and then relocated with a double-difference 20 package teletomoDD. We further enhance the model performance with a semi-supervised 21 learning approach by iteratively refining labelled data and retraining PhaseNet-TF. This 22 approach effectively suppresses false picks and significantly improves the detection of 23 small earthquakes. The new catalogue of Tonga deep earthquakes contains more than 10 24 times more events compared to the reference catalogue that was analyzed manually. This 25 deep-learning-enhanced catalogue reveals Tonga seismicity in unprecedented detail, and 26 better defines the lateral extent of the double-seismic zone at intermediate depths and 27 the location of 4 large deep-focus earthquakes relative to background seismicity. It also 28 offers new potential for deciphering deep earthquake mechanisms, refining tomographic 29 models, and understanding of subduction processes. 30

Key words: Machine learning; Seismicity and tectonics; Subduction zone processes;
 Neural networks; Pacific Ocean

33 1 INTRODUCTION

Detecting and locating earthquakes in subduction zones plays a pivotal role in advancing the under-34 standing of subduction processes and earthquake physics. In particular, earthquakes deeper than 50 35 km provide critical information on slab geometry, slab mineral dehydration and transformation, and 36 the interaction between the slab and surrounding mantle (Green & Houston 1995; Zhan 2020). Ini-37 tial studies, such as Ruff & Kanamori (1980), established meaningful connections between seismicity 38 and physical attributes of subduction zones, such as the lateral extent and penetration depth of the 39 Wadati-Benioff zone, the age of the subducting lithosphere, convergence rates, and back-arc spread-40 ing. In recent years, comprehensive global slab models like Slab1.0 (Hayes et al. 2012) and Slab2 41 (Hayes et al. 2018) have leveraged high-accuracy regional seismicity catalogues to refine slab geome-42 try. Precise earthquake distributions also help reveal the underlying mechanisms of intermediate-depth 43 (~70–300 km) and deep-focus (300–700 km) earthquakes (Wiens et al. 1993; Brudzinski et al. 2007; 44 Kita et al. 2010; Wei et al. 2017; Chen et al. 2019; Florez & Prieto 2019). However, most global 45 studies suffer from limited local station coverage, especially offshore, and most regional studies with 46 temporary deployments lack sufficient duration, which limits high-precision earthquake locations in 47 large numbers. 48

Recent advances in the applications of deep learning methods in seismology greatly increase the 49 information content that can be extracted from seismic datasets by detecting many more earthquakes 50 (Mousavi & Beroza 2022, 2023). Initial efforts, such as Gentili & Michelini (2006); Ross et al. (2018), 51 used simple neural networks for detecting seismic phase arrivals, a foundational step in earthquake 52 localization. Subsequent developments incorporated biomedical image segmentation algorithms, no-53 tably the U-Net architecture (Ronneberger et al. 2015), to create highly effective deep-neural network 54 (DNN) phase pickers like PhaseNet (Zhu & Beroza 2019). The Transformer architecture (Vaswani 55 et al. 2017) has further inspired new models, such as the EQTransformer (Mousavi et al. 2020), 56 which leverages attention mechanisms to enhance phase detectability. For seismic phase association 57 that links seismic arrivals to preliminary event origins, significant improvements have been achieved 58 through Gaussian mixture models (Zhu et al. 2022) and graph neural networks (GNN) (McBrearty 59 & Beroza 2023). These machine-learning-based techniques outperform traditional methods in both 60 phase-picking (Baer & Kradolfer 1987; Sleeman & van Eck 1999) and phase association (Zhang et al. 61 2019). 62

Most machine-learning studies have been focused on continental, shallow earthquakes. Limited attention has been given to deep earthquakes in subduction zones. For instance, PhaseNet and GaMMA were developed using seismic data from Northern California, where most earthquakes occur at depths shallower than 20 km. EQTransformer was trained with the STanford EArthquake Dataset (STEAD)

⁶⁷ (Mousavi et al. 2019), which, despite its global scope, contains earthquakes predominantly shallower
⁶⁸ than 100 km. Generalized Seismic Phase Detection (Ross et al. 2018) is developed with vast hand⁶⁹ labelled data archives of the Southern California Seismic Network, which is also dominated by conti⁷⁰ nental earthquakes. Studies utilizing these methods, (e.g., Chai et al. 2020; Liu et al. 2020; Park et al.
⁷¹ 2020; Ross et al. 2020; Tan et al. 2021; Wilding et al. 2023; Liu et al. 2023; Gong et al. 2023) similarly
⁷² concentrate on continental earthquakes.

Since many subduction zones are covered by oceans, offshore seismic data is critical for investigating subduction zone earthquakes. However, data from ocean-bottom seismographs (OBSs) is generally noisier than that from land-based stations because of ocean-bottom currents, seismometer tilting, instrument coupling, etc. Recent efforts utilize machine-learning packages such as PhaseNet and EQTransformer to process OBS data but show a lower performance compared to continental data (Bornstein et al. 2023).

The Tonga subduction zone hosts abundant intermediate-depth earthquakes and produces the ma-79 jority of deep-focus earthquakes, and thus serves as a unique natural laboratory for studying deep 80 earthquakes. However, studying Tonga's earthquakes has been challenging. Global catalogues, such 81 as the ISC EHB catalogue, mainly rely on a handful of land-based stations on the islands of Tonga 82 and Fiji. These catalogues provide foundational information on Tonga earthquakes, but the hypocen-83 tre precisions, particularly in the vertical direction, are limited due to the lack of local stations. Since 84 1993, a few temporary seismic deployments, including broadband OBSs, greatly improved the data 85 coverage and earthquake hypocentre precisions in this region, leading to ground-breaking discoveries 86 (Wiens et al. 1993, 1994; Wei et al. 2017). However, the short duration of these deployments has lim-87 ited the number of analysed earthquakes, and motivates a systematic effort to mine these datasets for 88 more small earthquakes. 89

Innovative approaches are needed to tackle earthquake detection and location in the Tonga sub-90 duction zone. First, the phase picker must be capable of handling noise in OBS data that is some-91 times enriched in specific frequency ranges. To solve this problem, we introduce a new phase picker 92 PhaseNet-TF to detect seismic arrivals in the time-frequency domain. Second, the phase associator 93 should be more efficient than the conventional back-projection-based methods and account for the 94 change in seismic velocity with respect to depth. We develop a new associator GaMMA-1D to asso-95 ciate arrivals output from PhaseNet-TF. Finally, given the limited data, optimizing the use of existing 96 data is crucial. Here we build a new semi-supervised learning-based workflow to analyse seismic data 97 from a 1-year temporary deployment. 98

99 **2 DATA**

In this study, we analysed seismic data recorded by a temporary seismic array deployed in the Tonga 100 subduction zone from November 2009 to December 2010 (Figure 1). This array included 49 OBSs 101 (network ID YL) with either Guralp CMG3T_120sec (100 Hz sample rate) or LDEO OBS Sensor Mk2 102 seismometers (40 Hz sample rate), 17 island-based stations (network ID Z1) with Guralp CMG40T, 103 Streckeisen STS-2, or Nanometrics Trillium 120 seismometers (40 Hz sample rate), and one GSN 104 station MSVF (network ID II) with a Geotech KS-54000 Borehole seismometer (20 Hz sample rate). 105 We compiled a reference catalogue of local earthquakes, consisting of 1,163 events, 42,256 P-106 wave arrivals, and 14,852 S-wave arrivals (Table 1) that were manually picked with the Antelope 107 software (Wei et al. 2017). This catalogue is hereinafter called the manually picked reference catalogue 108 or the reference catalogue. We created a reference dataset by windowing three-component waveforms 109 5 minutes before and 5 minutes after each *P*-wave arrival. This window is sufficiently long to include 110 the corresponding S arrival for the same event. We subsequently removed the instrumental response 111 to obtain displacement waveforms in three components: vertical (Z), east (E or 1), and north (N or 2). 112 We further resample the data to 40 Hz. No additional preprocessing, such as filtering and component 113 rotation, was applied. 114

We also created a continuous dataset by partitioning the three-component continuous waveforms recorded by this array into 10-day segments and subsequently removing the instrumental response. Since not all stations had complete data from November 2009 to December 2010, we replaced the missing data with zeros. Incomplete components were also accepted, with missing channels filled with zeros. Given the large volume of the data, exceeding 2TB in the miniSEED format, we used the mseedindex package and an ObsPy wrapper (Beyreuther et al. 2010) to construct a miniSEED database. This facilitated efficient data analysis and improved machine learning I/O performance.

122 **3 METHODS**

123 3.1 Phase arrival-time picking by PhaseNet-TF

We develop a new phase picker PhaseNet-TF, based on its predecessor PhaseNet (Zhu & Beroza 2019), to leverage the benefits of the time-frequency domain, which excels in capturing both temporal and spectral features of seismic data. PhaseNet-TF adapts the architecture of DeepLabv3+ (Chen et al. 2018) to accommodate data in the time-frequency domain (Figure 2). DeepLabv3+ is a state-ofthe-art semantic image segmentation model that incorporates an encoder-decoder structure to refine object boundaries in segmentation tasks. As part of the renowned DeepLab model series, it offers toptier performance in a wide array of applications, ranging from autonomous driving to medical image

analysis, and outperforms earlier models such as U-Net (Ronneberger et al. 2015), which was used 131 in PhaseNet. A seismic spectrogram acts as an image that represents the time-frequency distribution 132 of phase signal and noise. A spectrogram is generated by applying the short-time Fourier transform 133 (STFT) to three-component time-domain waveforms, and thus consists of 6 components: i.e., the real 134 and imaginary parts of the three-component waveform spectra. Using the spectrogram as an input, 135 DeepLabv3+ produces a pixel-level classification image that matches the dimension of the spectro-136 gram. This output highlights the relative positions of signal and noise and is subsequently processed 137 by a multilayer perceptron to estimate phase and noise probabilities in the time domain. 138

The manually picked reference dataset is divided into training, validation, and test datasets using 139 stratified sampling, with a distribution ratio of 90:5:5. The ratio for the training dataset is relatively 140 high as we have a limited amount of data. This approach ensures an equitable representation of both 141 P and S waves, especially given the fact that the number of S wave picks is about 1/5 of that of P 142 wave picks. The input waveform window is 120 seconds long, with the P wave arrival initially centred 143 at the 10-second timestamp. We augment the training dataset in 3 ways. First, we randomly shift the 144 waveform windows to prevent the model from overfitting to specific phase arrival positions. The 10 145 minute long waveform in our dataset is sufficient for cutting and shifting the 120 seconds window. 146 Second, we randomly stack two signal-bearing windows or one signal-bearing and one noise-only 147 window. The ratio for stacking is fine-tuned as a hyperparameter, allowing the model to adapt to more 148 complex real-world scenarios and preventing it from mistakenly learning that a 120-second window 149 always contains only two phases (P and S). Third, we stabilize the input data through normalization 150 by subtracting the mean and dividing by the standard deviation of the 120-second window. When 151 applying random stacking, each window is also normalized before stacking, and then the entire stacked 152 waveform is normalized. For the validation and testing sets, we do not shift or stack windows and only 153 stabilize the input data through normalization. 154

We formulate the training labels to represent the probability of phase arrival times, and use the Kullback-Leibler (KL) divergence for the loss function. The KL divergence differs from the crossentropy loss used in PhaseNet only by a constant value, so they are equivalent for optimization. We define the probability at time t as follows:

$$y_{\text{true}}(t) = e^{-\frac{(t-t_0)^2}{2\delta^2}}, \text{ where } |t-t_0| \le 3\delta$$
 (1)

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Here, t_0 is the phase arrival time, and δ is the width of the label (20 points, or 0.5 s in our case). This label definition smooths the phase arrival time and allows for the quantification of classification uncertainty through the shape of the model predictions. The KL divergence, measuring the similarity ¹⁶³ between two probability distributions, is defined as:

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$$L(y_{\text{true}}, y_{\text{predict}}) = y_{\text{true}} * \log \frac{y_{\text{true}}}{y_{\text{predict}}}$$
(2)

where y_{predict} is the model prediction. A lower KL divergence value indicates a higher similarity between y_{true} and y_{predict} . The KL divergence is evaluated across three output channels: probabilities of *P*, *S*, and noise. The sum of these probabilities is fixed as 1 at each time stamp.

We use the open-source PyTorch library, including AdamW (Loshchilov & Hutter 2019) for op-168 timization and MultiStepLR for learning rate scheduling. AdamW is widely used in computer vision 169 tasks, and deviates from the traditional Adam optimizer by decoupling the weight decay from the gra-170 dient update. MultiStepLR adjusts the learning rate at specific epochs, decreasing it by a fixed rate of 171 0.6 in our case. Our model is trained for 400 epochs, starting with a learning rate of 0.0004, which de-172 cays at epochs 15, 30, 45, and 60. We also add an L2 regularization term with a weight decay of 0.001 173 to the loss function to mitigate overfitting. Early stopping is implemented to prevent overfitting and 174 save computational time. Training is halted if the validation loss does not improve for 30 consecutive 175 epochs. For our reference dataset, the training took about 5 hours on 16 NVIDIA Tesla V100 GPU 176 cards at the MSU HPCC. 177

We apply the trained PhaseNet-TF model to the continuous dataset for phase detection. The output 178 is continuous probability distributions for P waves, S waves, and noise. We first partition the contin-179 uous waveforms into 120-second segments with a 60-second overlap between consecutive segments. 180 Each segment is normalized in the same way used for model training. Then we apply the model to the 181 entire continuous dataset, which took 16 hours on 4 NVIDIA Tesla V100 GPUs to process. The output 182 is 120-second segments of probability distributions for P wave, S wave, and noise. We combine these 183 120-second segments into a single continuous time series by taking the final output probability as the 184 maximum value from the overlapping predictions. Peak probabilities larger than 0.5 are counted as 185 positive picks. 186

187 3.2 Phase association by GaMMA-1D

Associating phase picks to specific earthquakes is necessary for locating events and eliminating unreliable picks. We use GaMMA-1D, a Bayesian Gaussian Mixture Model Associator with a 1D velocity structure, which is an improved version of GaMMA (Zhu et al. 2022). While GaMMA-1D retains the Gaussian mixture model framework of its predecessor GaMMA for phase association, it improves calculating phase arrival times by using a fast-sweeping method to solve the Eikonal equation based on a 1D velocity model AK135 (Kennett et al. 1995). In contrast to GaMMA which used a uniform half-space for arrival time predictions, Gamma-1D uses a 1D velocity model, which is critical for the

large depth range of earthquakes in Tonga. Events associated with less than 10 picks are discarded.
Figure 3 shows the association results for a densely packed sequence of phase picks.

197 3.3 Earthquake relocation by teletomoDD

We use teletomoDD (Pesicek et al. 2010), a package for double-difference seismic tomography and 198 relocation, to relocate all events associated with GaMMA-1D in the previous step. The 3D seismic 199 velocity model is fixed during inversions and is adopted from the TX2019slab model (Lu et al. 2019). 200 We apply a bootstrap resampling technique to estimate relocation uncertainties and filter out events 201 with large uncertainties. We create 1,000 subsets of the data by randomly excludes 30% of the stations 202 from each subset. After relocating events in these 1,000 subsets, we compute the mean and standard 203 deviation of the hypocentre and origin time of each event. We eliminate events with a standard devia-204 tion in longitude and latitude greater than 0.1 degrees, in depth of greater than 10 km, or in origin time 205 of greater than 1 second. This approach effectively removes unreliable picks from PhaseNet-TF and 206 GaMMA-1D as well as events that are poorly constrained. The relocation output catalogue contains 207 the hypocentres and origin times of the remaining events and the corresponding P- and S-wave arrival 208 times. 209

210 3.4 Semi-supervised-learning-based workflow

Since there are only 1,163 manually picked events out of presumably tens of thousands of earthquakes 211 in the Tonga subduction, the reference catalogue and dataset may limit phase detection capability. 212 Therefore, we utilize a semi-supervised learning strategy to iteratively refine labelled picks and retrain 213 PhaseNet-TF (Figure 4). This approach integrates a limited labelled dataset with a larger pool of unla-214 beled data for model training. In Iteration #1, we train PhaseNet-TF with the original labelled dataset, 215 i.e., the manually picked reference dataset. This model is then applied to the continuous dataset, gen-216 erating new phase picks that may include false detections. The subsequent steps of phase association 217 by GaMMA-1D and event relocation by teletomoDD filter out unreliable picks and events with large 218 uncertainties. Compared to the reference catalogue, the output catalogue thus contains a larger num-219 ber of reliable picks. Similar to the reference dataset, we create a new labelled dataset by windowing 220 three-component waveforms 5 minutes before and 5 minutes after each P wave arrival from the output 221 catalogue. This newly labelled dataset is divided into the training, validation, and test datasets at a 222 ratio of 90:5:5 to train the PhaseNet-TF model in the next iteration. As more picks predicted by deep 223 learning are added to the training dataset, one can expect more picks and events to be detected, at 224 the cost of increasing arrival time residuals compared to the reference dataset. We continue this iter-225

ative workflow for several iterations until the number of events reaches a plateau and the arrival time
 residuals do not increase dramatically.

228 4 RESULTS

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229 4.1 PhaseNet-TF model assessment

We assess the PhaseNet-TF model in each semi-supervised-learning iteration using the test dataset, 230 which is 5% of the labelled dataset in the corresponding iteration (Table 2). The evaluation metrics 231 include precision, recall, F1 score, and arrival-time residuals compared to the labelled catalogue. This 232 labelled catalogue is the manually picked reference catalogue for Iteration #1 and is the output cata-233 logue from the previous iteration for Iteration #2 and #3. Predicted picks with arrival-time residuals 234 smaller than 1 second are considered true positives, whereas predicted picks with larger arrival-time 235 residuals are false positives. Labelled picks that are not predicted by PhaseNet-TF are considered false 236 negatives. Precision, recall, and F1 score are defined as 237

Precision:
$$P = \frac{T_P}{T_P + F_P}$$
 (3)

Recall:
$$R = \frac{T_P}{T_P + F_N}$$

(4)

F1:
$$F1 = \frac{2PR}{P+R}$$
 (5)

where T_P , F_P , and F_N are the numbers of true positives, false positives, and false negatives, respectively.

In Iteration #1, the PhaseNet-TF output is evaluated against the manually picked reference catalogue. For the *P* wave, the model exhibited a precision of 0.99, a recall of 0.99, and an F1 score of 0.99. For the *S* wave, the corresponding values are 0.97, 0.99, and 0.98, respectively. Figure 5 shows examples of seismograms, spectrograms, and prediction probabilities from iteration #1 at 2 OBSs and 2 land-based stations. In the following iterations, the evaluation metrics remain at the same high level, validating the semi-supervised learning approach and the robustness of PhaseNet-TF in accurately identifying phase arrivals.

4.2 Phase association and earthquake relocation assessments

Phase association and earthquake relocation serve as critical filters for eliminating unreliable predicted picks and poorly constrained events. When manual picks are associated with GaMMA-1D, some of them are missed in the association catalogue and considered false negative picks. The picks associated

with GaMMA-1D are subsequently used for event relocation by teletomoDD with bootstrap resam-256 pling. Events with large uncertainties and their corresponding picks are discarded during the relocation 257 process. We assess these processes (Table 3) using the reference catalogue that contains manual picks 258 associated with the Antelope software (Wei et al. 2017). When comparing the reference catalogue and 259 the output catalogue by GaMMA-1D or teletomoDD, events with origin-time residuals smaller than 260 15 seconds are considered true positive events, whereas events with larger origin-time residuals are 261 false positives. If some picks that were associated with a single event by Antelope are associated with 262 multiple events by GaMMA-1D, the new events are also counted as false positives. Because there are 263 no picks added during this processing, the recall for P- or S-wave arrivals reflects the picks eliminated 264 during association and relocation, and a high recall value is desired. In contrast, new events may be 265 added during the association process, lowering precision, whereas existing events may be discarded 266 during the association and relocation processes, lowering recall. Thus, the F1 score for events that 267 balance precision and recall serves as a better indicator of the filtering performance. 268

As shown in Table 3, the recall for P-wave arrivals is 0.97 after association and 0.95 after reloca-269 tion, suggesting that GaMMA-1D and teletomoDD are highly effective in retaining manually picked 270 P-wave arrivals. However, the recall for S-wave arrivals is 0.92 after association and 0.86 after reloca-271 tion. These numbers indicate that about 8% of the manually picked S-wave arrivals are not successfully 272 associated with GaMMA-1D, which impacts the subsequent relocation performance. This could be at-273 tributed to either the limitations of GaMMA-1D in associating S-wave arrivals or inaccurate manual 274 S-wave picks. Nonetheless, the overall performance of the association and relocation filtering pro-275 cesses remains promising. 276

4.3 Phase detection and event relocation on continuous data

In Iteration #1, the PhaseNet-TF model is trained by the manually picked reference dataset. When 278 applying this model to the continuous data, PhaseNet-TF detects 294,050 P-wave arrivals and 112,547 279 S-wave arrivals, which is substantially more than the number of picks in the reference catalogue. Figure 280 6 demonstrates the performance on one hour of continuous data. These arrivals are associated with 281 GaMMA-1D in a preliminary catalogue. In this step, about 10% of P- and 30% of S-wave arrivals are 282 discarded, and the associated catalogue consists of 13,111 events with 265,439 P-wave , and 79,380 283 S-wave arrivals. These events generally align with the reference catalogue but are more scattered 284 (Figures 7a, 7b, 8a, and 8b). Many events in the mantle wedge are not reliable as they they have a 285 large azimuthal coverage gap. The subsequent relocation and error estimation filter out most of these 286 outlier events, leaving a new catalogue of 9,427 events with 217,254 P-wave, and 63,590 S-wave 287 arrivals (Figures. 7c and 8c, Table 1). When comparing this catalogue with the reference catalogue, 288

the recall for *P*-waves, *S*-waves, and events are 0.94, 0.83, and 0.96, respectively, and the standard deviations of arrival-time residuals are 0.14 and 0.15 seconds for *P* and *S* waves, respectively (Figures 9a and 9b), suggesting that our workflow can effectively detect seismic arrivals and earthquakes that were manually picked. More importantly, the new catalogue contains dramatically more *P*- and *S*-wave arrivals and events (Table 1).

Leveraging this new catalogue, we assemble a new labelled dataset enriched with phase arrivals 294 detected in Iteration #1 of the semi-supervised learning workflow. This new dataset (120 GB) is sub-295 stantially larger than our initial reference dataset (22 GB). The increased dataset size requires ad-296 ditional computational resources, extending the training time from 5 to 24 hours while utilizing the 297 same number of GPUs. In Iteration #2, this new PhaseNet-TF model is applied to the continuous 298 dataset again, resulting in significantly more arrivals and events (Figures. 7d and 8d). The standard 299 deviation of arrival-time residuals for P waves remains 0.15 seconds (Figure 9c), but that for S waves 300 increases from 0.15 to 0.23 seconds (Figure 9d). In Iteration #3 which uses the output catalogue from 301 Iteration #2 for training, the numbers of arrivals and events and arrival-time residuals remain stable 302 (Figs. 7e and 8e). We thus cease the semi-supervised learning workflow after Iteration #3, anticipating 303 diminishing returns in further iterations. 304

Our final catalogue from Iteration #3 contains 13,406 relocated events with 372,774 *P*-wave arrivals and 78,853 *S*-wave arrivals. Compared with the manually picked reference catalogue, our final compilation boasts a factor of 11 times more events, 8 times more *P*-wave phases, and 5 times more *S*-wave phases. Figures 7 and 8 show that our final catalogue offers enhanced delineation of both the slab geometry and double seismic zone, demonstrating its superiority over the reference catalogue.

310 5 DISCUSSION

5.1 Comparison with previous packages

In this study, PhaseNet-TF detects seismic arrivals in the time-frequency domain, different from most other deep-learning phase pickers that work in the time domain. Using a manually picked reference catalogue and dataset, we conduct a quantitative and fair comparison between PhaseNet and PhaseNet-TF. We first test the original PhaseNet model that was trained by the Northern California data (Zhu & Beroza 2019). We also retrain the PhaseNet architecture with our training dataset from Tonga. The PhaseNet-TF model in Iteration #1 is used for comparison. All models are evaluated on the same testing dataset to ensure a fair comparison.

Table 2 highlights the superiority of PhaseNet-TF over PhaseNet in detecting seismic arrivals, particularly for OBS data. PhaseNet with its original weights shows poor performance for both *P* and *S*

waves. This is an unsurprising outcome given that it was trained with a dataset from a different tectonic 321 setting and on land-based vs. OBS instruments. When retrained with the Tonga dataset, PhaseNet's 322 performance is similar to PhaseNet-TF for detecting P-wave arrivals but displays a lower performance 323 for S waves. Furthermore, the standard deviation of S-waves arrival-time residuals for PhaseNet-TF 324 (Iteration #1) are significantly smaller than those for PhaseNet models (Fig. 9b and 9h). These differ-325 ences indicate that including the time-frequency domain and the new architecture enhances the model's 326 capability to detect S waves. This is because the horizontal components that record S waves are much 327 noisier for OBS data compared to land-based stations, due to seismometer tilting and ocean-bottom 328 currents (Webb & Crawford 1999; Wei et al. 2015). 329

For associating seismic arrivals from Tonga deep earthquakes, GaMMA-1D exhibits higher per-330 formance compared to GaMMA, which was designed for California (Zhu et al. 2022). That is be-331 cause GaMMA-1D uses a 1D velocity model AK135, whereas GaMMA assumes a uniform velocity 332 model. We test both GaMMA and GaMMA-1D to associate all manual picks and compare the out-333 put catalogues against the reference catalogue. When comparing the origin-time and depth residuals, 334 GaMMA-1D consistently achieves superior accuracy to its predecessor GaMMA (Figure 10). Table 335 3 lists the evaluation metrics for GaMMA-1D and GaMMA. Compared to GaMMA, GaMMA-1D 336 achieves a similar performance for associating P- and S-wave picks to certain events. However, the 337 low precision of event association (0.76) suggests that GaMMA tends to break a single event into 338 multiple events. This problem will impact the next step of earthquake relocation, resulting in more 339 events with poorer constraints and/or misassociated phases. 340

Our workflow is readily adaptable to a cloud computing setting through modifications to the ma-341 chine learning models employed in Quakeflow (Zhu et al. 2023). Quakeflow is a cloud-based earth-342 quake monitoring system designed for detecting seismic activity and analyzing source characteristics 343 from continuous waveform data. It currently utilizes PhaseNet for phase picking, GaMMA for phase 344 association, and HypoDD for event relocation. Given the compatibility in model inputs and outputs, 345 these can be smoothly swapped with PhaseNet-TF, GaMMA-1D, and teletomoDD. A future version of 346 Quakeflow potentially provides an efficient and effective solution for enhancing real-time earthquake 347 surveillance and for in-depth analysis of historical seismic data, particularly for deep earthquakes in 348 subduction zones and OBS data. 349

5.2 Tonga deep earthquakes revealed by the new catalogue

With only 1 year of data, our results show unprecedented detail in the Wadati-Benioff zone. Figure 11 compares the new catalogue against the manually picked reference catalogue (Wei et al. 2017) and the ISC EHB Bulletin from 1964 to 2020 (International-Seismological-Centre 2023). The latter uses the EHB algorithm (Engdahl et al. 1998) to minimize hypocentre errors, particularly in the vertical direction, and is arguably the most precise global catalogue. The general pattern of earthquake distribution remains similar across all catalogues.

Double seismic zones (DSZs), in which intermediate-depths earthquakes occur along two planes 357 parallel to the dip of the slab, are observed in many subduction zones, and are attributed to metamor-358 phic reactions that release fluids or volatiles in the slab (e.g., Hacker et al. 2003; Yamasaki & Seno 359 2003; Brudzinski et al. 2007). The Tonga DSZ was initially discovered by Kawakatsu (1985) using 360 focal mechanisms constrained by global data. Using the 2009-2010 temporary deployment, Wei et al. 361 (2017) confirmed the existence of the Tonga DSZ and revealed more details. Our new catalogue shows 362 a much clearer DSZ along cross-section B-B' that extends to about 300 km depth. Although hinted by 363 Wei et al. (2017), the new results explicitly suggest that the lower plane of the DSZ is confined be-364 tween the latitudes of 19°S and 21°S (the cyan box in Figure 11a), diminishing to the north and south. 365 A DSZ with a limited extent in Tonga is in agreement with similar observations in Japan (Igarashi et al. 366 2001) and Alaska (Wei et al. 2021), suggesting that deeper parts of the slab mantle are not uniformly 367 hydrated. We do not observe a deeper DSZ at 350-460 km depths that was interpreted as the edges 368 of a metastable olivine wedge in the slab (Wiens et al. 1993). This could be because there were not 369 enough earthquakes occurring at these depths during the 1-year deployment to delineate that feature. 370 The Tonga subduction zone hosts the majority of deep-focus earthquakes in the world, including 371 4 notable large events: Mw 7.6 on 9 March 1994, Mw 7.3 on 9 November 2009, Mw 8.2 on 19 372 August 2018, and Mw 7.9 on 6 September 2018. Our results show that the 1994 Mw 7.6 and 2018 373 Mw 8.2 events occurred at the bottom of a highly seismogenic region (Figure 11d), consistent with 374 the previous suggestion that these events initiated rupturing in the cold slab (McGuire et al. 1997; Fan 375

et al. 2019). In contrast, the 2018 Mw 7.9 event occurred in a previously aseismic region (Fig. 11g), possibly rupturing through a dissipative process at the edge of a warm fossil slab (Fan et al. 2019; Jia et al. 2020). The 2009 Mw 7.3 event occurred at the western end of a seismicity band corresponding to a fossil slab subducted at the now inactive Vitiaz Trench (Cai & Wiens 2016).

380 6 CONCLUSIONS

Our integrated workflow has proven highly effective at detecting and locating deep earthquakes in the Tonga subduction zone. We use PhaseNet-TF to detect *P*- and *S*-wave arrivals in the time-frequency domain, showing superior performance over traditional ways of picking arrivals in the time domain. Detecting arrivals in the time-frequency domain is critical for analysing OBS data, particularly the horizontal components with much higher noise levels compared with land-based stations. We use GaMMA-1D to associate arrivals, teletomoDD to relocate events, and a bootstrap resampling ap-

proach to estimate uncertainties. This workflow effectively removes artificial arrivals and events with poor constraints. Furthermore, through semi-supervised learning, the PhaseNet-TF model improves iteratively, leading to a more comprehensive and accurate earthquake catalogue compared to the initial manual picks.

This research opens new avenues for in-depth studies in subduction zones, particularly those with limited local data coverage like Tonga. The new catalogue with more events and arrivals potentially benefits future work of high-resolution tomography imaging and earthquake similarity analyses, helping us better understand deep earthquake mechanisms and subduction processes. While our study focuses on Tonga, the methods and workflow are readily adaptable for application in other subduction zones, offering a versatile tool for both real-time monitoring and historical data analyses.

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409 DATA AVAILABILITY

All data and codes associated with this study are publicly accessible and built upon open-source platforms. PhaseNet-TF can be accessed via its GitHub repository: https://github.com/ziyixi/PhaseNet-TF, and GaMMA-1D is available at https://github.com/AI4EPS/GaMMA. PhaseNet and GaMMA packages are available at their respective GitHub repositories: https://github.com/AI4EPS/PhaseNet and https://github.com/AI4EPS/GaMMA (the same as GaMMA-1D). More specifically, the PhaseNet-TF model weights for Tonga deep earthquakes are available at https://github.com/ziyixi/PhaseNet-TF/releases/tag/v0.3.0.

⁴¹⁷ Data processing and visualization are conducted using open-source Python packages, including

- ⁴¹⁸ ObsPy (https://github.com/obspy/obspy/) and PyGMT (https://github.com/GenericMappingTools/pygmt).
- ⁴¹⁹ Seismic data in this research are archived at the EarthScope/IRIS Data Management Center under net-
- 420 work codes YL, II, and Z1.

Table 1. Numbers of picks and events in the manually picked reference catalogue and output catalogues. Recalls are evaluated against the reference catalogue. The picks with arrival-time residuals < 1 s compared to the reference catalogue are counted as true positive picks, whereas the events with origin-time residuals < 15 s are considered true positive events. The output catalogue of Iteration #3 is considered the final catalogue. The low recall values of *S*-wave arrivals result from the filtering processes of phase association and relocation, as many *S*-wave arrivals are discarded.

	<i>P</i> -wave arrival		S-wave arrival		Event	
	Recall	Number	Recall	Number	Recall	Number
Reference	N/A	42,256	N/A	14,852	N/A	1,163
Iteration #1 output	0.94	217,254	0.83	63,590	0.96	9,427
Iteration #2 output	0.94	343,247	0.80	79,593	0.96	13,799
Iteration #3 output (Final)	0.89	372,774	0.75	78,853	0.91	13,406

Table 2. Evaluation metrics of phase pickers on the test dataset for different architectures and models. Picks with arrival-time residuals < 1 s compared to the manually picked reference catalogue are counted as true positive picks. The metrics in the table are evaluated on the test dataset partitioned from the labelled dataset, which is the manually picked reference catalogue for PhaseNet-TF Iteration #1 and two PhaseNet models, and the output catalogue from the previous iteration for PhaseNet-TF Iterations #2 and #3.

	<i>P</i> -wave arrival			S-wave arrival			
	Precision	Recall	F1	Precision	Recall	F1	
PhaseNet-TF (Iteration #1)	0.99	0.99	0.99	0.97	0.99	0.98	
PhaseNet-TF (Iteration #2)	0.99	0.99	0.99	0.96	0.99	0.98	
PhaseNet-TF (Iteration #3)	0.99	0.98	0.99	0.96	0.99	0.98	
PhaseNet retrained by the Tonga dataset	0.97	0.97	0.97	0.85	0.94	0.90	
PhaseNet with original model weights							
(trained with Northern California data)	0.89	0.66	0.76	0.48	0.28	0.36	

Table 3. Evaluation of association and relocation filtering on the manually picked reference catalogue (Iteration#1). Events with origin time residuals < 15 s are counted as true positive events, and phase arrival-time residuals</td>< 1 s are counted as true positive picks.</td>

	<i>P</i> -wave arrival	S-wave arrival	Event		
	Recall	Recall	Precision	Recall	F1
Association by GaMMA-1D	0.97	0.92	0.97	0.98	0.97
Relocation using GaMMA-1D output and filtering					
(epicentre uncertainty $< 0.1^\circ$, depth uncertainty < 10 km,					
and origin-time uncertainty < 1 s)	0.95	0.86	0.98	0.95	0.96
Association by GaMMA	0.97	0.91	0.76	0.96	0.85



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Figure 1. Map of the Tonga subduction zone and adjacent regions. Earthquakes in the manually picked reference catalogue are shown as dots colour-coded by depth. Black triangles, inverted triangles, and square represent land-based stations, ocean-bottom seismographs, and a GSN station, respectively, deployed from November 2009 to December 2010. Land areas are shaded in grey. The bathymetry contours of 1 km highlight features such as the Tonga Ridge, Lau Ridge, and Fiji Plateau. Additional bathymetry contours at 7, 8, 9, and 10 km delineate the Tonga Trench. Black arrow indicates the Pacific Plate's motion relative to Tonga. Inset provides a global context for the study region.



Figure 2. PhaseNet-TF architecture. The input consists of 120-second three-component seismograms with a sample rate of 40 Hz, so the input has a dimension of 3×4800 . The output includes three probability time series, corresponding to *P*-wave arrival, *S*-wave arrival, and noise, with the same length as the input. Dimensions for each layer are denoted adjacent to the layer, with the format of "number of channels × layer height × layer width". The input seismograms are first transformed into spectrograms before being processed through the DeepLabv3+ network. DeepLabv3+ includes an encoder and a decoder and is equipped with skip layers. The decoder features a dilated ResNet34 and Atrous Spatial Pyramid Pooling (ASPP). The output of DeepLabv3+ is subsequently refined through a multilayer perceptron.



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Figure 3. Examples of GaMMA-1D association from UTC 13:43 to 13:53 on September 15, 2010. The top panel shows associated arrivals with respect to longitude, whereas the bottom panel shows them against latitude. In both panels, individual colours denote distinct associated events. Dots and triangles indicate *P*- and *S*-wave arrivals, respectively, while crosses mark the origin time and locations of the associated events. Events associated with less than 10 phase arrivals (e.g., the green-coloured event and arrivals) will be discarded in the following step.



Figure 4. Schematic of our semi-supervised learning workflow. The process begins with training the PhaseNet-TF model using manually picked arrivals. The trained model is then applied to continuous seismic data to obtain *P*- and *S*-wave arrival probabilities. The following phase association by GaMMA-1D, event relocation by teletomoDD, and error estimation/filtering with a bootstrap resampling approach together produce a refined event catalogue and associated phase arrivals. This updated catalogue serves as a new labelled dataset for training and validating PhaseNet-TF in the next iteration. The entire workflow is iteratively repeated until no significant improvements are observed, culminating in the final event catalogue and corresponding phase arrivals.



Figure 5. Examples of PhaseNet-TF prediction on the test dataset. (a) and (b) show deep earthquakes recorded at OBSs, whereas (c) and (d) show events recorded at land-based stations. In each panel, the title includes the origin time, hypocenter, and station name. The top 3 sections display the three-component waveforms in the time domain, followed by 3 sections of spectrograms (power value) of the three components. The bottom section shows the probabilities of predicted *P*- and *S*-wave arrivals. Manually picked *P*- and *S*-wave arrivals are indicated by dashed lines.



Figure 6. Examples of PhaseNet-TF prediction on continuous data from UTC 00:00 to 01:00 on 7 April 2010 on an OBS B04W (a) and a land-based station VAVP (b). (a) and (b) are similar to Figure 5, except that there are no manual picks. (c) Zoomed-in waveform filtered at 3-10 Hz and *P/S* probability within the time window indicated by the magenta box in (a). (d) Zoomed-in waveform filtered at 3-10 Hz and *P/S* probability within the time window indicated by the magenta box in (b). The *P* and *S* arrivals are confirmed to be true by the following steps of phase association and event relocation.



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Figure 7. Maps of event distributions at various stages of the analysis. (a) The manually picked reference catalogue by Wei et al. (2017). (b) Events predicted by PhaseNet-TF and associated by GaMMA-1D in Iteration #1. (c) Relocated events serve as the output catalogue of Iteration #1. (d) Output catalogue of Iteration #2. (e) Output catalogue of Iteration #3, considered the final catalogue. All panels are plotted similarly to Figure 1. The number of events for each step is shown in the bottom-left corner of each panel.



Figure 8. Cross-sections of event distributions at various stages of the analysis. (a) The manually picked reference catalogue by Wei et al. (2017). (b) Events predicted by PhaseNet-TF and associated by GaMMA-1D in Iteration #1. (c) Relocated events serve as the output catalogue of Iteration #1. (d) Output catalogue of Iteration #2. (e) Output catalogue of Iteration #3, considered the final catalogue. (f) The location of the cross-section (blue line). In each of (a) – (e), black circles indicate earthquakes within 70 km away from the cross-section. Red and magenta curves shows the slab upper interface according to the Slab1.0 (Hayes et al. 2012) and Slab2 (Hayes et al. 2018) models, respectively. Neither of these models is accurate in the Tonga subduction zone.



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Figure 9. Arrival-time residuals of P (top) and S (bottom) waves across different models and iterations. When each model is applied to the continuous dataset, the predicted arrivals are compared to manual picks when they exist, and the arrival-time differences contribute to the histogram. (a-f) Results of PhaseNet-TF from three consecutive iterations. (g-h) Outcomes of PhaseNet retrained with the Tonga dataset. (i-j) Results of PhaseNet with its original model weights trained with data from Northern California.



Figure 10. Origin-time (top) and depth (bottom) residuals for GaMMA-1D and GaMMA. When manual picks are associated by GaMMA-1D or GaMMA, the event origin times and depths are compared against the reference catalogue that was associated by the Antelope software (Wei et al. 2017).



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Figure 11. Event distributions across 3 distinct catalogues. (Left column) Our final catalogue in this study. (Middle column) Reference catalogue by Wei et al. (2017). (Right column) ISC-EHB catalogue (International-Seismological-Centre 2023). In each column, the top panel shows the map view, similar to Figure 7, and the following panels show 4 cross-sections, similar to Figure 8. Beachballs show the focal mechanisms of 4 notable large deep earthquakes (1994/3/9 Mw 7.6, 2009/11/9 Mw 7.3, 2018/8/19 Mw 8.2, and 2018/9/6 Mw 7.9) from the Global CMT catalogue (Dziewonski et al. 1981; Ekström et al. 2012).

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