

1  
2 **Looking upstream: analyzing the protection of the drainage area of Amazon rivers**

3 **R. B. L. Cavalcante<sup>1</sup>, A. Fleischmann<sup>2</sup>, and P. R. M. Pontes<sup>1</sup>**

4 <sup>1</sup>Instituto Tecnológico Vale, Belém, Pará, Brazil.

5 <sup>2</sup>Instituto de Desenvolvimento Sustentável Mamirauá, Tefé, Amazonas, Brazil.

6 Corresponding author: Rosane Cavalcante ([rosanecavalcante@gmail.com](mailto:rosanecavalcante@gmail.com))

7 **Key Points:**

- 8 • We provide accumulated deforestation, mining, and protection across the Amazon river  
9 network
- 10 • 50% of the Amazon rivers have less than 1% deforestation upstream and 5% have some  
11 upstream mining area
- 12 • Half of the rivers in protected areas (PA) are unprotected because the delimitation of the  
13 PA does not cover its upstream drainage areas
- 14

## 15 **Abstract**

16 In the Amazon, aquatic ecosystems provide essential ecosystem services, including  
17 transportation, food, and livelihoods for millions of species. Land use changes and management  
18 impact these ecosystem services and these impacts are not limited to the specific areas where  
19 they occur but propagate downstream along the drainage network. However, assessment of the  
20 accumulated human footprint upstream of Amazonian rivers has been largely overlooked. Here,  
21 we provide explicit spatial information on accumulated deforestation, mining, and protection  
22 across the river network. We aim to indicate the most impacted rivers and where the  
23 consideration of the watershed concept could improve the security of Conservation Units and  
24 Indigenous Lands in the Amazon. Our results show that 50% of the Amazonian rivers are  
25 pristine (less than 1% deforestation upstream), and 5% have some upstream mining area.  
26 However, almost half of the rivers in protected areas are, in truth, unprotected because the  
27 delimitation of the protected area does not cover its upstream drainage areas. Finally, our  
28 analyses identify hotspots of accumulated deforestation and mining and highlight the potential  
29 vulnerability of the rivers within protected areas due to upstream deforestation, allowing  
30 decision-makers to rethink the conservation status of the Amazonian aquatic ecosystems.

## 31 **Plain Language Summary**

32 In the Amazon, the rivers, lakes, and wetlands provide food and are the main transport route for  
33 millions of people. Land use changes and management impact these ecosystems where they  
34 occur but also downstream, following the river flow. However, few studies analyze how these  
35 impacts accumulate along Amazonian rivers. Here, we provide information on accumulated  
36 deforestation, mining, and protection across the Amazonian river network. Considering natural  
37 drainage, our results show that 5% of river stretches receive water that may have passed through  
38 a mining area. We also calculated that half of the Amazonian rivers have very preserved drainage  
39 areas, with less than 1% of deforestation in their drainage area. However, almost half of the  
40 rivers in protected areas are, in truth, unprotected because the delimitation of the protected area  
41 does not cover its upstream drainage areas. With the results of the accumulated land use maps  
42 generated in this study, it is possible to identify points of attention that may be most impacted or  
43 choose locations for monitoring rivers.

## 44 **1 Introduction**

45 Although it constitutes only 0.001% of the Earth's water (Thomas, 1994), river water  
46 provides critical services such as water provisioning for drinking and nondrinking uses, food  
47 provisioning (e.g., fisheries), recreation, and maintenance of biodiversity (Grizzetti et al., 2016).  
48 Rivers are vital to conserving and sustaining freshwater ecosystems, which are home to 10% of  
49 all Earth species, with high fragmentation and endemism (Strayer & Dudgeon, 2010). However,  
50 population trends for monitored freshwater species indicate a steep decline (Acreman et al.,  
51 2019), which could be attributed to landscape and human alterations routed throughout rivers.

52 Before reaching the rivers, rainwater flows over the Earth's surface, interacting with it,  
53 and its quantity and quality are affected by land use and coverage. Pollution from diffuse sources  
54 and environmental degradation are the leading causes of river problems and are the most difficult  
55 to solve (Grizzetti et al., 2016). Climate and land use and cover changes, human alteration in  
56 riverbanks (Wu et al., 2023), and water withdrawal can also impact water quantity and change  
57 the seasonality of river flow regimes across the whole drainage network, largely stressing rivers

58 (Nations, 2002) and their biodiversity (Magoulick et al., 2021). Human activities can be felt  
59 downstream from where these activities take place, even in distant locations (Castello et al.,  
60 2013; H. Munia et al., 2016; Veldkamp et al., 2017).

61 Despite their importance, existing management policies have failed to account for the  
62 hydrological connectivity of freshwater ecosystems (Castello et al., 2013; Reis et al., 2019). For  
63 instance, although the creation of protected areas (PAs) is one of the most common actions taken  
64 to protect biodiversity, actual PAs are not sufficient to conserve freshwater biodiversity because  
65 they do not consider the watershed concept in their delineation process (Acreman et al., 2019).  
66 The watershed is the natural catchment area of rainwater that routes runoff into a single point in  
67 the river.

68 In the Amazon, ongoing changes directly (e.g., livestock and agricultural expansion) or  
69 indirectly (e.g., climate change, lack of governance, illegal activities, and disorderly population  
70 increase) linked to deforestation threaten the region's vital role in global climate and biodiversity  
71 (Albert et al., 2023). Deforested areas are mainly converted into pastures, although an increase in  
72 agricultural areas has been seen in the southern Amazon in recent decades (Maciel et al., 2020).  
73 Even though increases in PAs have reduced deforestation within their boundaries and in their  
74 surrounding areas (Fuller et al., 2019; Herrera et al., 2019; Qin et al., 2023), their river networks  
75 carry an upstream landscape footprint, which can threaten the integrity of freshwater ecosystems  
76 (Abell et al., 2016). Therefore, it is crucial to plan PAs not only from a terrestrial ecosystem  
77 viewpoint, but also from a freshwater ecosystem and catchment-based perspective (Leal et al.,  
78 2020)

79 Location-specific data can better support decision-making if data collection, analysis, and  
80 visualization are designed to target decision-making needs (WEF, 2022). However, current land  
81 use and land cover spatial databases are typically provided per pixel or accumulated at  
82 administrative levels (e.g. municipalities (Rorato et al., 2023)), which do not consider the natural  
83 watershed limits. Only recently have databases started providing information on land cover  
84 change according to the hydrographic basins of large rivers, unit catchments, or river reaches  
85 (Linke et al., 2019; Venticinque et al., 2016). The total land use of a basin may not reflect the  
86 distribution of this land use along its drainage network and may have hotspots of low water  
87 resource conservation status that are undetectable without assessing upstream conditions.

88 Here, we provide a new understanding of the conservation status of Amazon water  
89 resources from a cross-scale perspective, from upstream to downstream directions and along  
90 complex drainage networks. We use global river network and PA datasets and other South  
91 American environmental geospatial datasets to generate accumulated landscape metrics  
92 (deforestation, mining and protection) for the entire river network, about 1.5 million km of  
93 rivers, and depicting the percentage of deforested, mined, and protected area upstream (in the  
94 drainage area) of each 500 m river pixel along the entire Amazon. We also conduct a  
95 complementary analysis considering only the river reaches within PAs. We provide evidence on  
96 the forgone consequences of not looking upstream when thinking about the conservation of  
97 water resources, ultimately aiming at improving the sustainable planning and management of the  
98 waters of the largest river basin on Earth.

99

## 100 2 Materials and Methods

### 101 2.1 Datasets and data processing

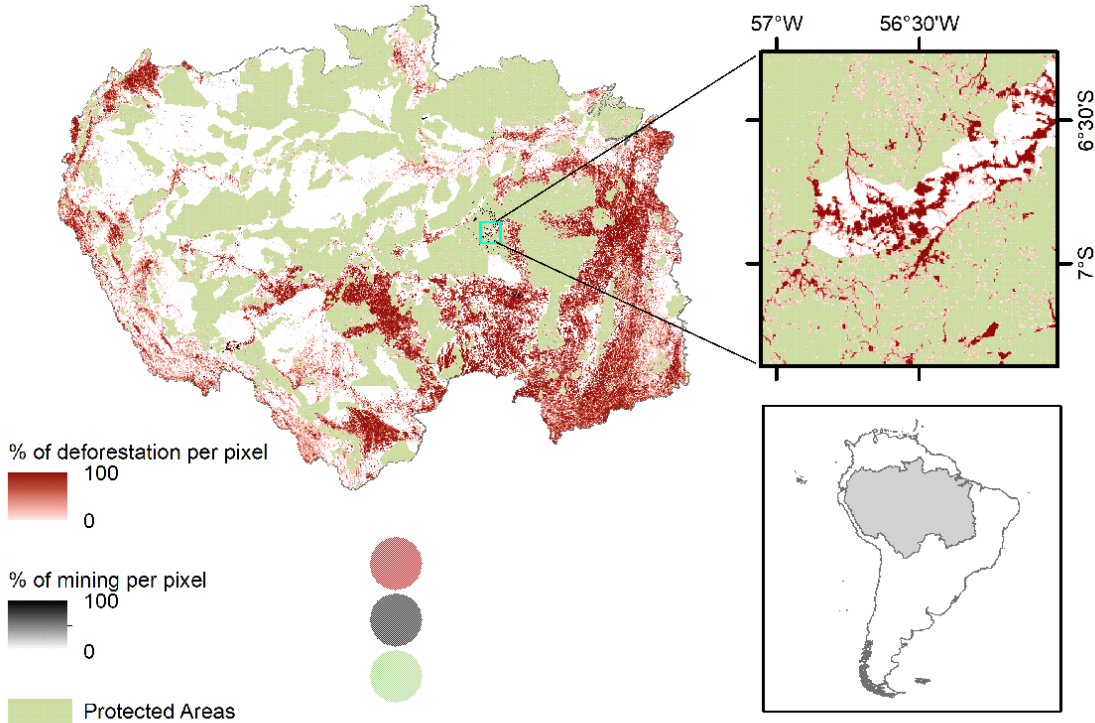
102 We analyze land use and land cover along Amazonian rivers based on several datasets.  
103 The adopted Amazon Basin limit is the one provided by the HydroSHEDS level 2 basin product  
104 (Lehner et al., 2008), which includes the Amazon and Tocantins-Araguaia basins. We used the  
105 global HydroSHEDS products (Lehner et al., 2008) at 15 arcsec spatial resolution, which is  
106 based on elevation data obtained in 2000 by NASA's Shuttle Radar Topography Mission  
107 (SRTM). HydroSHEDS provides georeferenced hydrographic information at various scales,  
108 including river networks, watershed boundaries, drainage directions, and flow accumulations.

109 The deforestation and mining areas in 2020 (Figure 1) were obtained from the  
110 MapBiomass Amazon Project Collection 3 Project43, which is a multi-institutional initiative to  
111 generate annual land use and land cover maps for the region based on automatic classification of  
112 satellite imagery. All non-natural land use and land cover classes were reclassified as  
113 deforestation areas and reprojected and downgraded to the Hydrosheds pixel resolution. For this  
114 process, we calculated the fraction of each Hydrosheds pixel that is covered by the 30 m  
115 deforested pixels and multiplied the results by the Hydrosheds pixel areas. The MapBiomass  
116 project considers mining as all areas of extraction of minerals with soil exposure without  
117 differentiating the type of mining (industrial, artisanal, or illegal).

118 The location of Amazon PAs (Figure 1a) was obtained from the World Database on  
119 Protected Areas (WDPA, 2012), which is updated monthly and managed by the United Nations  
120 Environment Programme's World Conservation Monitoring Centre. There are many overlapping  
121 PAs in the WDPA with different categories and designations (national, regional, and  
122 international PAs). We maintained all the PAs in the database, including overlaps, all categories  
123 and designations, and all status (designated, proposed, established, and inscribed).

124

125 **Figure 1. a.** Deforested and mining areas per 15-arc-second pixel and protected areas, with **b.**  
126 the percentage of each of these land uses in the Amazon, **c.** a zoom in an area with intense  
127 mining activity. **d.** Location of the study area in South America.



128

129

## 2.2 Land use type accumulation along the drainage

130

131

132

133

134

135

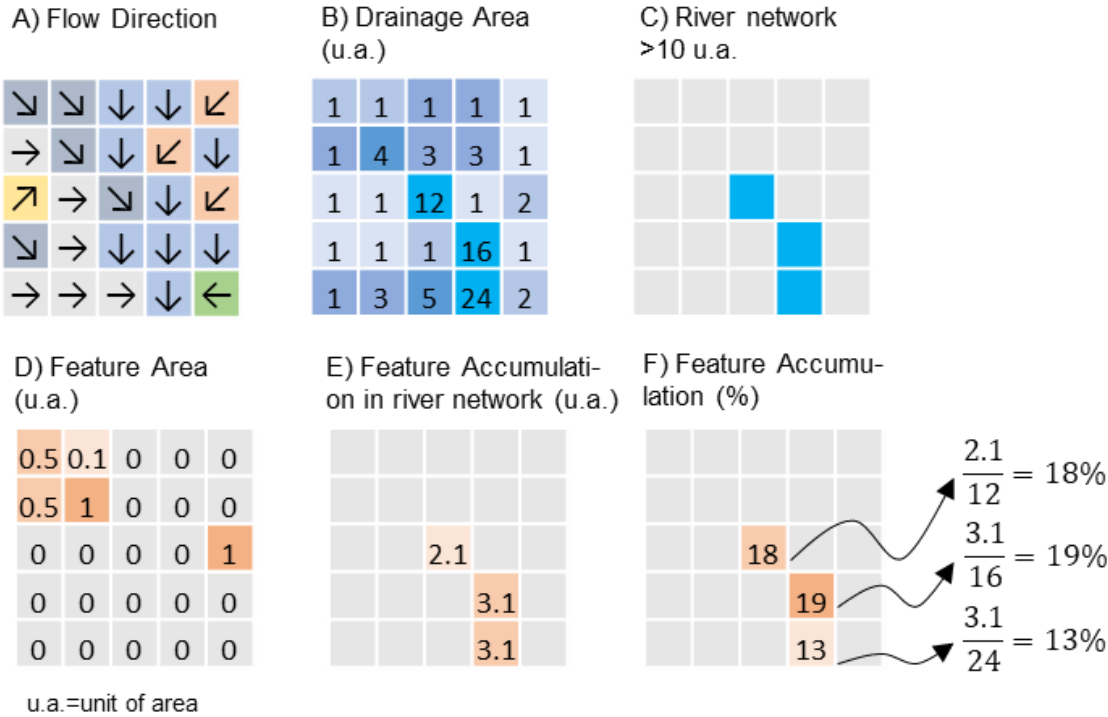
136

137

138

139

**Figure 2. a.** Hypothetical representation of the steps to the calculation of feature accumulation from: **a.** flow direction, **b.** upstream drainage area, **c.** river network definition, **d.** feature area, **e.** feature accumulation, and **f.** percentage of the feature in the drainage area of each river network pixel.



140

141

142 A similar method was used to compute the accumulated area occupied by each land use  
 143 type upstream of the drainage pixel. The value of each feature (deforested/mining/PAs)  
 144 accumulated in a pixel is equal to the sum of the values of the feature areas in all pixels that drain  
 145 to it, based on their flow direction information (Figure 2d and Figure 2e). The final step was to  
 146 determine the percentage between each land use accumulated and contributing area for each river  
 147 network pixel (Figure 2f).

148

### 149 2.3 Data analyses

150 We presented the results by river pixel and subbasins (basin level 5 defined by  
 151 Hydrosheds). We generated approximately 1.462 million river pixels for Amazon, and different  
 152 categories of river reaches were defined according to land use and contributed area ratio:

- 153 • Pristine: river pixels with less than 1% of deforestation in their catchment area.
- 154 • Highly deforested: river pixels with more than 90% deforestation in their  
 155 catchment area.
- 156 • Highly protected: river pixels with more than 99% of their catchment area within  
 157 PAs.
- 158 • Unprotected: river pixels with less than 1% of their catchment area inside PAs.
- 159 • With upstream mining area: River pixels with one or more pixels classified as  
 160 mining areas in their drainage network, even though drainage from mining areas can be collected  
 161 and directed to specific dams.

162 Then, we calculated the percentage of the drainage pixels classified in the above classes,  
163 as well as the maximum and minimum values of the accumulated landscape metrics, using the  
164 Zonal Statistics function of QGIS (QGIS Geographic Information System; <http://www.qgis.org>)  
165 per each of the 109 Hydrosheds 5-level basins (mean of approximately 63,000 km<sup>2</sup>) and per each  
166 of the 1195 protected areas (mean of approximately 3,054 km<sup>2</sup>). We highlight examples of basins  
167 and protected areas with the best and worst results.

#### 168 169 2.4 Looking upstream in a highly complex subbasin

170 To illustrate the variation in the accumulated deforested/mining/protected areas along a  
171 river network of one specific 5-level basin, we chose the Itacaiúnas river basin. This basin is  
172 located in the eastern Amazon (Figure 2a), and has an interesting combination of deforestation,  
173 mining activities, and protected areas. The Itacaiúnas River is a direct affluent of the Tocantins  
174 River, and its basin is approximately 41,000 km<sup>2</sup>. From the 1980s to 2010s, the land use in the  
175 basin has dramatically changed, with the forest areas being replaced mostly by pasture (Souza-  
176 Filho et al., 2018). Currently, almost half of the basin is deforested, with most of the preserved  
177 areas concentrated in a set of conservation units and indigenous land located in the western part  
178 of the basin, commonly called the Carajás Mosaic of Protected Areas. The Carajás mineral  
179 province has numerous metal ore deposits, with several active mines, including the largest open-  
180 pit iron ore mine in the world, which is located within one of the protected areas of Itacaiúnas  
181 River Basin.

#### 182 183 2.5 Methodological limitations

184 Recognizing the importance of longitudinal and lateral connectivity is necessary to  
185 promote the conservation of the Amazon's social and biodiversity (Reis et al., 2019). The  
186 accumulated land use along the rivers calculated in this study considers the longitudinal  
187 connectivity downstream of rivers. Nevertheless, there are also impacts that propagate upstream  
188 due to the river continuum by the mobility of the fauna or due to backwater effects and lateral  
189 connection during flood events, among others (Meade et al., 1991)

190 The final results reflect the uncertainties of the selected datasets: Mapbiomas and  
191 Hydrosheds. For example, Mapbiomas is a project to provide land use and land cover  
192 classification for all of Brazil and Amazon. Illegal mining activities, for example, may be  
193 underestimated. The study case in the Itacaiúnas River basin, when compared with other studies  
194 (Nunes et al., 2019) and with satellite images of the area, appears to overestimate the  
195 deforestation within the PAs. Due to pixel size, deforestation and mining values within small  
196 protected areas calculated with Zonal statistics may have significant errors. The results will be  
197 refined in future updates of the database indicated in the Data Availability section.

198 The flow direction of the area is determined by the topography, according to a digital  
199 model of the hydrological transformation of the watershed. Therefore, drained alterations are not  
200 considered, as they may occur in mining areas due to a change in topography or to prevent  
201 mining areas from draining directly into rivers. Additionally, since we analyzed only rivers with  
202 a minimum drainage area of 20 km<sup>2</sup>, the results cannot reflect the conditions of smaller  
203 headwaters.

204           Regarding the PAs, we chose to include the entire database of the WDPA, including the  
205 proposed PA and overlaps, to provide a comprehensive analysis of the PAs in the Amazon. The  
206 results by PA, especially in the case of small PAs, are influenced by the rivers on their borders  
207 that may or may not be considered within the PA, depending on pixel position.

208

## 209 **4 Results**

### 210 4.1 Upstream deforestation, mining, and protection through the Amazonian rivers

211           In 2020, 15% of the Amazon basins (about 7 million km<sup>2</sup>) was mapped as deforested  
212 areas, concentrated in the eastern and southern Amazon, a region known as the Brazilian arc of  
213 deforestation. Deforestation in the analyzed subbasins represents 0% to 71% of their total areas  
214 (Figure 3a). However, even considering only the main rivers (order equal to or greater than five),  
215 it is possible to see a great spatial variation in the percentage of the accumulated upstream  
216 deforestation along the river network (Figure 3a). For example, while 12% of the 5-level  
217 subbasins have deforestation levels of less than 1%, approximately 50% of the river pixels are in  
218 this category (hereafter called pristine rivers) when looking at the entire upstream drainage area  
219 (histogram in Figure 1a). Most of the subbasins with a high percentage of pristine rivers are  
220 located on the left bank of the Amazon River, in the northern portion of the basin.

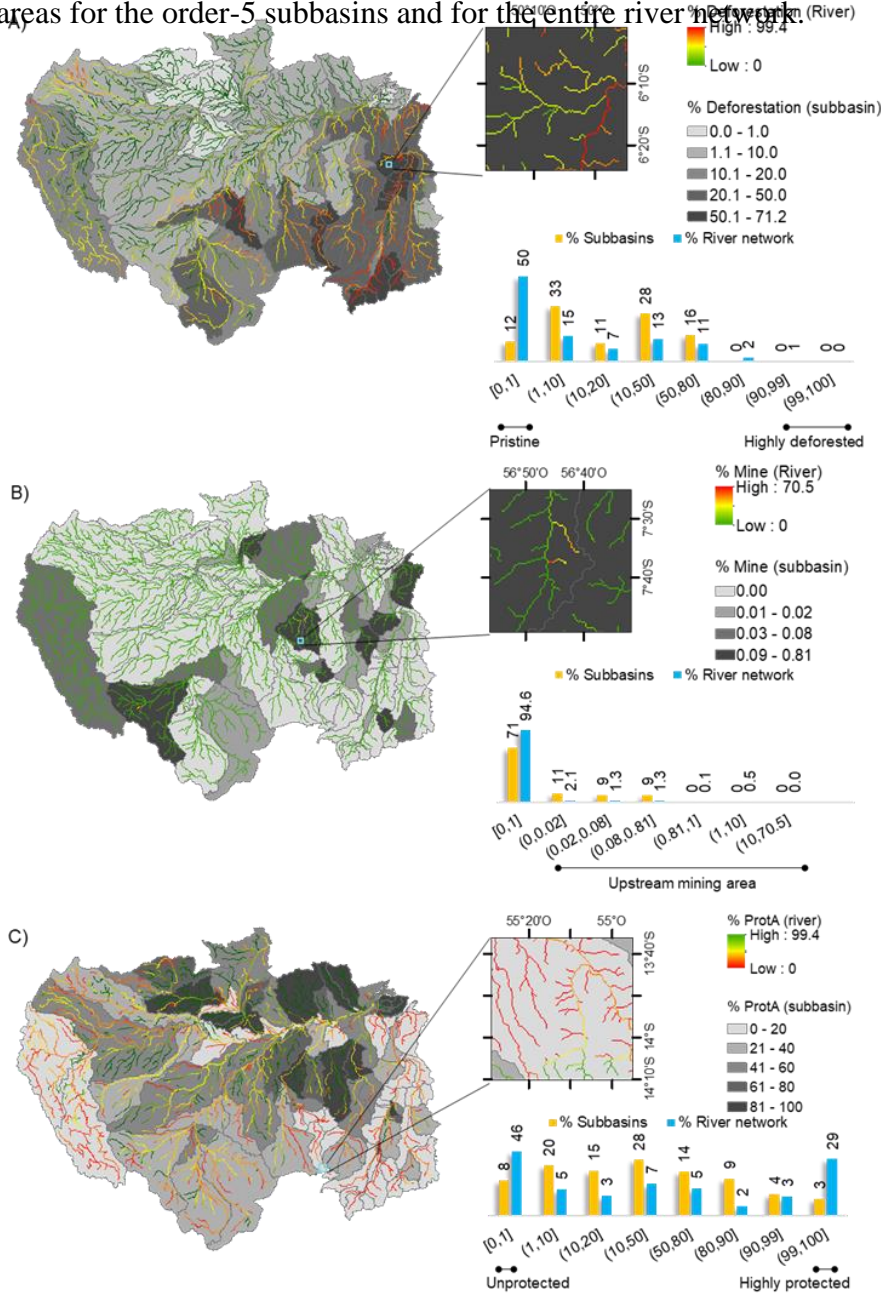
221           On the other hand, 1% of the river pixels have deforestation levels of more than 90% in  
222 their upstream areas (bar chart in Fig. 3a), located mainly along the arc of deforestation; these are  
223 hereafter considered highly deforested pixels. The Itacaiúnas River basin (highlighted in blue in  
224 Figure 4a, and discussed in section 4.3), in the eastern Amazon, presented the highest percentage  
225 (14% of its river network was classified as highly deforested), followed by the Araguaia River,  
226 upstream from the confluence with the Tocantins River (8%), and the Ji-Paraná basins (6%).

227           In 2020, mining areas corresponded to 0.03% of the Amazon. The proportion of mining  
228 areas per level-5 subbasin varies from 0% to 0.81% (Figure 3b). Regarding the river network,  
229 5% of the river pixels have some upstream mining areas. Although the mining activity across the  
230 Amazon is small compared to other land uses, for some river pixels, up to 70% of the upstream  
231 area is affected by mining, such as those in the Itacaiúnas River basin in the eastern Amazon  
232 (section 4.3). In the middle Tapajós and Crepori subbasins, 37% of the river network has some  
233 mining in their drainage area (Figure 4b).

234           Approximately 40% of the Amazon basin is under some protection. There are 1995  
235 protected areas, mainly related to conservation units and indigenous lands, from which 1063 are  
236 already designated. At the local scale, only 8% of the subbasins are unprotected (have less than  
237 1% of their area under protection) (Figure 3c). However, when we look at the entire river  
238 network, 46% of it is classified as unprotected (histogram in Figure 3c), primarily because of  
239 small rivers. The subbasins with the lowest percentage of protection and those with the highest  
240 rate of unprotected river network are within the Tocantins-Araguaia River basin, in the eastern  
241 Amazon, and Tapajós basin, in the south of Amazon. For the right-bank tributaries of the  
242 Amazon River, a northward increase in protection is observed; for instance, more than 80% of  
243 the river network of the Upper Rio Teles-Pires Basin (a tributary of the Tapajós River) was  
244 classified as unprotected (Figure 4c).



245 **Figure 3. a.** Deforested, **b.** mining, and **c.** protected areas (in %) of each level-5 Amazon sub-  
 246 basin and the upstream deforested, mining, and protected area (in %) of each pixel in the river  
 247 network with order equal to or greater than 5. The figures also show details illustrating the  
 248 upstream deforested, mining, and protected areas (in %) of each pixel in the river network  
 249 mapped (drainage area up to 20 km<sup>2</sup>) and the histogram of the deforested, mining, and protected  
 250 areas for the order-5 subbasins and for the entire river network.

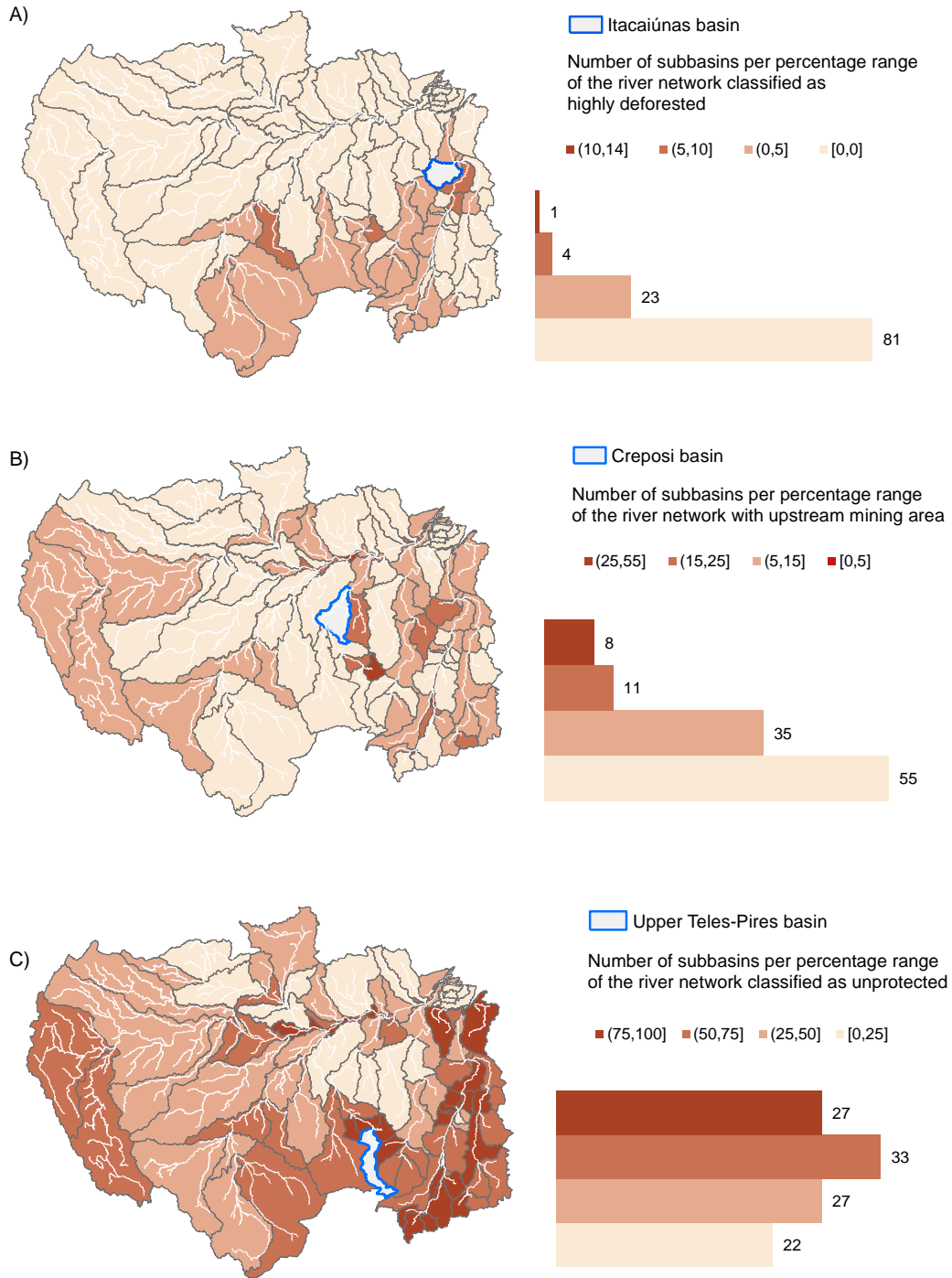


251

252

253 **Figure 4.** Percentage of river pixels in each Amazon level-5 basin classified as **a.** highly  
 254 deforested (pixels with more than 90% of its drainage area deforested), **b.** with upstream mining

255 area, and c. unprotected (river pixels with less than 1% of its catchment area within PAs).



256

257

258

259 The most protected subbasins are those located on Marajó Island since the island is  
 260 within conservation units for sustainable use. Approximately 80% of the river reaches of the Jari,  
 261 Paru, and Trombetas rivers are highly protected (more than 99% of their drainage area is under  
 262 protection). These rivers are left-bank tributaries in the lower Amazon, with headwaters on the  
 263 border of Brazil and Suriname, French Guiana, and Guyana. Most protected areas are integral  
 264 protection conservation units (strict nature reserves in IUCN classification) and indigenous lands.  
 265 Despite the high degree of deforestation in the Upper Xingu River, the Iriri River, the main  
 266 tributary in its middle portion, has a high degree of protection in its upstream areas (Fig 4c).  
 267 Around 78% of its river network is highly protected, but there are river pixels in some small  
 268 tributaries for which 21% of their drainage area is deforested.

269

#### 270 4.2 The conservation status of the river networks within protected areas

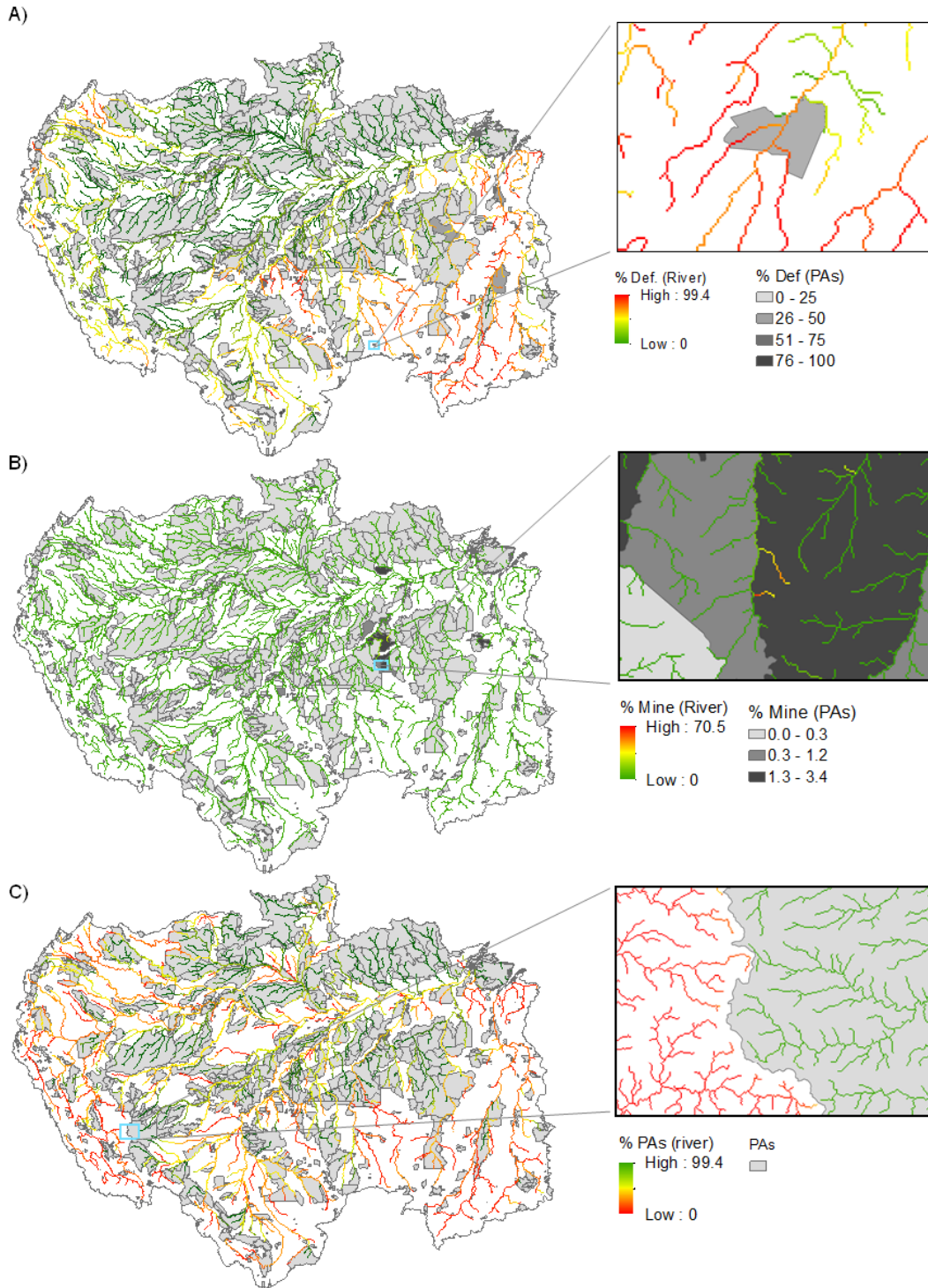
271 Considering the land use in all Amazon PAs (total of 2,7 million km<sup>2</sup>), 0.03% is mining  
 272 areas (742 km<sup>2</sup>), ranging from 0 to 3.4% of mining area per PA, and only 2.1% is deforested  
 273 (58,000 km<sup>2</sup>) (Figure 5a and b). In 14 PAs, more than 90% of the area is deforested, most with  
 274 less than 1 km<sup>2</sup>. La Hacienda Villa Mery is an exception as it has 9.6 km<sup>2</sup>, of which 99.7% is  
 275 deforested.

276 Within Amazon PAs, 79% of the river network is pristine (less than 1% upstream  
 277 deforestation), and 78% have highly protected upstream areas. Results vary significantly  
 278 between PAs; the whole river network within some PAs is highly protected or pristine (Fig. 5a  
 279 and Fig. 4e). In contrast, other PAs contain no river pixels in these categories (Figure 5f). In 121  
 280 PAs (of the 940 with assessed river pixels), the entire river network was classified as pristine  
 281 (Fig. 6a). Of that, 66 are designated or proposed Indigenous Lands. These PAs are primarily  
 282 located in the northern region, as the part of the Alto Orinoco – Casiquiare Biosphere Reserve  
 283 and the Paríma – Tapirapeco National Park, all in Venezuela, and located in the eastern Amazon  
 284 basin, as the Alto Purus National Park, in Peru. The boundaries of these PAs partially follow the  
 285 watershed limits (see detail in Figure 7c for the Alto Purus), which helps river protection: 96%  
 286 and 76% of their river networks are highly protected. In 56 PAs, the river networks were all  
 287 classified as highly protected (Figure 6e), indicating that most of the watershed, not only the  
 288 terrestrial area, is protected. These areas include 24 indigenous lands, of which 18 are only  
 289 proposed (i.e., still not designated). Furthermore, the protection status does not guarantee pristine  
 290 rivers, as there are 15 PAs with all river networks classified as highly protected but no river  
 291 pixels classified as pristine.

292

293 **Figure 5. a.** Deforested area (in %) of each protected area (PA) in the Amazon and the upstream  
 294 deforested (Def.) area (in %) of each pixel in the river network with an order equal to or greater  
 295 than 5. **b.** The same for mining areas, and **c.** protected areas. The PAs highlighted in the zoom  
 296 are the a. proposed Ponte de Pedra indigenous land, b. Tapajós, and c. Alto Purus National Park.

297 Due to overlaps, not all protected areas can be visualized.

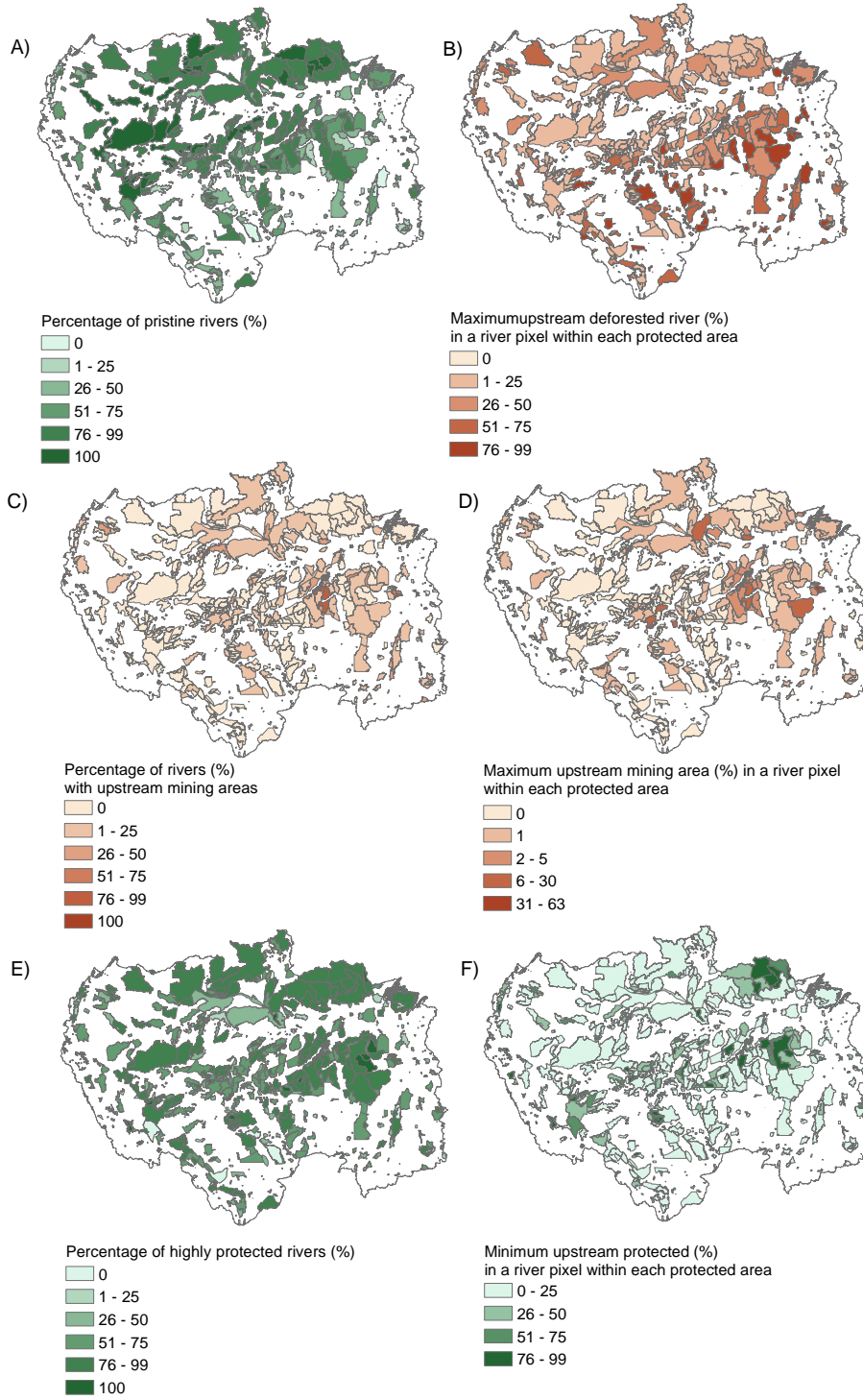


298

299

300

301 **Figure 6.** Percentage of the river network within each Amazonian protected area classified as **a.**  
 302 pristine (up to 1% deforestation upstream), **c.** with mining area upstream, and **e.** highly protected  
 303 (more than or equal to 99% protection in its upstream area). The **b.** maximum percentage of  
 304 upstream deforestation, **d.** upstream mining, and **f.** mining upstream protection are also shown.  
 305 Due to overlaps, not all protected areas can be visualized.



306

307

308           Only 0.02% of the river network within the Amazon PAs has an upstream drainage area  
309 that is highly deforested, and 0.10% has an unprotected drainage area. In 20 PAs, there is at least  
310 one river pixel with a highly deforested (more than 90% of deforestation) drainage area, most of  
311 which are in the southern Amazon. Of these 20 PAs, 11 are indigenous land (six are  
312 propositions). The proposed Estação Percis and Ponte de Pedra indigenous lands (Figure 5a), in  
313 the Mato Grosso Brazilian state are the fifth and sixth PAs with the highest percentage of its  
314 river network in this situation (16 and 12%, respectively). Ponte de Pedra's condition stands out  
315 because only 5% of deforestation is inside it. However, due to deforestation outside the PA, the  
316 upstream deforestation in the Ponte de Pedra rivers ranges from 78% to 91%. Also, in Mato  
317 Grosso state, the contiguous designated Paresi, Tirecatanga, and Utiariti indigenous lands have  
318 some highly deforested pixels inside. However, between 44 and 70% of their river network is  
319 classified as highly protected, and no river pixel is unprotected. In these cases, most pixels with  
320 high upstream deforestation rates occur in the rivers in the PAs' borders. Due to the low  
321 deforestation observed inside PAs, the accumulated deforestation commonly decreases as the  
322 river passes through a protected area, as observed for big rivers (Figure 5a) and the Itacaiúnas  
323 River basin (section 4.3). This attenuation effect highlights PAs' vital role in improving water  
324 resource conservation and the associated social-ecological systems.

325           There are upstream mining areas in 3.4% of the Amazonian river network. Although only  
326 50 PAs contain some mining area, in 257 PAs, there is at least one river pixel with some mining  
327 area upstream (Figure 6d). In 11 small PAs, including five indigenous lands (four designated and  
328 one proposed), all mapped river networks have mining areas upstream. However, they do not  
329 contain any mining areas inside them. An impressive case is provided by the Environmental  
330 Protected Area of Tapajós (IUCN category V, located in the Brazilian State of Pará) (Figure 3b),  
331 which has a relatively large area (20,537 km<sup>2</sup>), and 81% of its river network is affected by  
332 mining in its upstream area. The maximum percentage of mining area in the drainage area of a  
333 river pixel observed in this PA was 20%. It is the third PA with more mining area inside it  
334 (1.7%). The maximum values per river pixel in PAs occur in two Conservation Units located in  
335 the Itacaiúnas River basin (section 4.3): the Carajás National Forest (up to 63%) and Igarapé  
336 Gelado Environmental Protection Area (up to 42%). These high rates of mining activity are due  
337 to industrial mining activities within them (Figure 6d).

338

## 339 4.3 Study case: Itacaiúnas River Basin

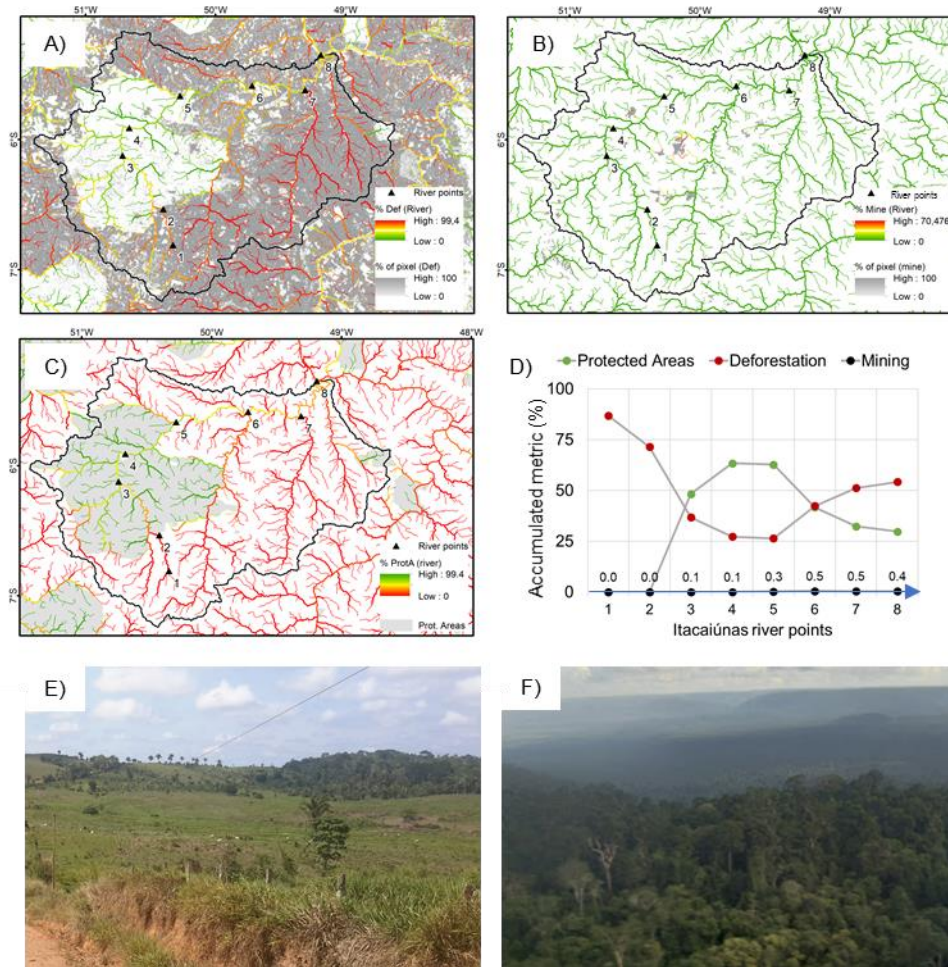
340 The Itacaiúnas River basin is the Amazon subbasin, with the highest percentage (14%) of  
341 its river network classified as highly deforested. It also contains the river pixel inside a PA with  
342 the highest rate of upstream mining areas (63%). Approximately one-quarter of the basin is  
343 protected (conservation units and indigenous land), primarily located in a contiguous area in the  
344 western part of the basin and covered by primary forests (Figure 7f).

345 The Itacaiúnas River enters the mosaic of PAs with 0% of its 863 km<sup>2</sup> drainage area  
346 protected, 74% deforested, and 0% mined (point 2 in Figure 7). Within these PAs, only 4% is  
347 deforested, and 0.78% is associated with industrial mining activities, which are included in its  
348 management plan. After traveling 180 km within the mosaic of PAs and receiving several  
349 tributaries, it leaves the mosaic with a drainage area of 13,029 km<sup>2</sup>, of which 63% is protected,  
350 25% is deforested, and 0.3% is associated with mining (point 5).

351 Approximately 75% of the non-protected areas are deforested, most of which was  
352 converted to pasture (Figure 7e). The Sororó River basin, the eastern main Itacaiúnas tributary,  
353 has almost half (46%) of its river network with upstream highly deforested area. After receiving  
354 this tributary, the Itacaiúnas River reaches its mouth with a deforestation level of 51% in its  
355 drainage area (point 8).

356 **Figure 7. a.** Deforestation and percentage of upstream deforested areas in the Itacaiúnas River  
357 basin. The same is presented for **b.** mining and **c.** protected areas. **d.** Profile of upstream  
358 accumulated land use for eight points (upstream-downstream direction) along the Itacaiúnas  
359 River. Illustrative photos of **e.** a forested area converted into pasture and **f.** a forest area within a

360 protected area are also presented.



361

362

## 363 5 Discussion

364



## 365 5.1 Implications for environmental management along the Amazon River basin

366 We showed that almost half of the Amazonian river network has unprotected drainage  
367 areas, only one-third can be considered pristine (less than 1% deforestation upstream), and 5%  
368 have some upstream mining areas. Approximately one-third of the Amazonian river network has  
369 deforestation levels of more than 20% in their upstream areas, concentrated in the east and south  
370 of the basin. This figure is remarkable because 80% of the area of each rural property in the  
371 Brazilian Amazon must be covered with native vegetation (Federal Law 12651/2012). Areas  
372 surrounding springs and headwater watercourses, in addition to other permanent preservation  
373 areas, should also be preserved. However, a portion of these areas do not need to be restored, and  
374 there is much illegal deforestation. Therefore, enforcing the restoration of these areas within  
375 private rural properties can decrease the accumulated upstream deforestation in the Amazonian  
376 rivers.

377 The threshold of 20% deforestation in the drainage area is also interesting given that  
378 many classical studies suggest it as the threshold beyond which one can measure direct impacts  
379 on streamflow (Bosch & Hewlett, 1982; Stednick, 1996). Therefore, deforestation is expected to  
380 affect streamflows in one-third of Amazonian river networks. Variations in the natural flow  
381 regime can impact not only aquatic but also the terrestrial biodiversity since rivers, wetlands and  
382 floodplains can represent barriers or opportunities for species dispersal (Brauer et al., 2013;  
383 Paquette et al., 2006; Wishart, 2000). Since we included only rivers with a drainage area greater  
384 than 20 km<sup>2</sup> in our analysis, worse results could be expected in unmapped smaller rivers.

## 385 5.2 Protection of rivers within protected areas

386 Rivers cross geopolitical boundaries. The need for multicountry cooperation has been  
387 stressed by several studies, e.g., for reducing dam impacts across the basin (Flecker et al., 2022)  
388 and mitigating water stress (H. A. Munia et al., 2020). The same need is observed to define  
389 protected areas to conserve fluvial ecosystems toward a basin-wide conservation framework  
390 (Castello et al., 2013; Reis et al., 2019). According to the Brazilian National System of Nature  
391 Conservation Units, creating and managing conservation units must guarantee the integration of  
392 surrounding land and water. This statement is in accordance with the river catchment concept.  
393 However, although PAs in Brazil have proven effective in curbing deforestation, and part of the  
394 protection is partially extended to the buffer zone (Barros et al., 2022; Gonçalves-Souza et al.,  
395 2021), their effectiveness in conserving freshwater ecosystem biodiversity is expected to be  
396 lower.

397 As seen in the case of the Itacaiúnas River basin, and the proposed Estação Percis  
398 indigenous land, a PA that has been effectively protected against deforestation may have  
399 upstream areas with high deforestation rates, because its boundaries do not respect the catchment  
400 limits, which can threaten freshwater biodiversity. Land cover changes in the headwaters of the  
401 Itacaiúnas River, outside PAs, caused statistically significant changes in discharges propagated  
402 within the PAs rivers, but the changes were reduced throughout the PAs due to the conservation  
403 status of these areas (Pontes et al., 2019). Between 10% and 20% of the deforested area of the  
404 basin must be restored for compliance with Brazilian environmental legislation, which could  
405 mitigate some of these effects (Nunes et al., 2019). Additionally, recent and uncontrolled

406 artisanal mining activities have impacted basin-wide surface water quality (Salomão et al.,  
407 2023).

408 Of greatest concern are the rivers with highly deforested drainage areas, or with upstream  
409 mining, that enter indigenous lands. This situation can lead to unsafe drinking water, impaired  
410 fishing, and other impacts to vulnerable people with limited access to basic sanitation and with  
411 an already high rate of water-related diseases (Escobar et al., 2015; Jiménez et al., 2014). In  
412 Brazil, the Hydrographic Basin Committees whose territories include indigenous lands must  
413 include representatives of the National Foundation of Indigenous Peoples and indigenous  
414 communities residing or with interests in the river basin. However, the inclusion process is  
415 limited and indigenous people can need specific training to reduce the barriers to their effective  
416 participation in water management (Galvão, 2013).

### 417 5.3 Using upstream accumulated landscape metrics to manage freshwater ecosystems

418 One of the targets of the Kunming-Montreal Global Biodiversity Framework is to ensure  
419 that by 2030 “at least 30% of terrestrial, inland water, and of coastal and marine areas are  
420 effectively conserved and managed through ecologically representative, well-connected and  
421 equitably governed systems of protected areas.” For global freshwater biodiversity, which is  
422 under a steep decline (Acreman et al., 2019), this requires considering the connectivity of the  
423 rivers and the watershed landscape. This target also requires studies that analyze the  
424 effectiveness of PAs to protect rivers and not just avoid deforestation within them.

425 The upstream accumulated landscape metrics can be integrated with other datasets, such  
426 as those on water quantity and quality, and social and freshwater biodiversity, to provide a more  
427 comprehensive understanding of the drivers and impacts of deforestation, mining, and protection  
428 on ecosystem services linked to Amazonian aquatic habitats and the people that rely on it. As we  
429 used datasets that are regularly updated (Mapbiomas and IUCN), it is possible to monitor  
430 changes in the accumulated land use over time, which can help to identify hotspots that require  
431 immediate conservation measures. Such information is important to indicate priority areas to  
432 restore, aiming at protecting river ecosystems. This could be achieved by creating freshwater  
433 protected areas or revising protected areas to address both terrestrial and aquatic ecosystems, as  
434 previously suggested (Leal et al., 2020; Saunders et al., 2002). In the Brazilian Amazon, 50 Mha  
435 is non-designated public forests (Azevedo-Ramos et al., 2020), and future studies should analyze  
436 their potential to help protect the headwaters of strategic rivers, such as those that flow within  
437 indigenous lands, and freshwater biodiversity hotspots.

## 438 **6 Conclusions**

439 The impacts of human activities and land management on rivers may go unnoticed if we  
440 do not consider what occurs in their entire drainage area. Although this concept is rather  
441 intuitive, conservation measures in the Amazon Basin have seldom considered it in their  
442 protection framework. The generated accumulated land use (deforestation and mining areas) for  
443 each 15-arc pixel of a Amazonian river network can provide important insights into the actual  
444 conservation status of rivers. Here we showed that almost half of the Amazonian rivers has  
445 unprotected drainage areas, only one-third can be considered pristine (less than 1% deforestation  
446 upstream), and 5% have some upstream mining areas. With this approach, hotspots can be

447 identified and used for prioritizing conservation efforts or targeting interventions to reduce  
448 deforestation or improve protection in high-risk areas.

449 Almost half of the rivers in protected areas are, in truth, unprotected because the  
450 delimitation of the protected area does not cover its upstream drainage areas, which can threaten  
451 freshwater biodiversity. Such information is also fundamental to indicate priority areas to restore,  
452 aiming at protecting river ecosystems within the already existing protected areas and the services  
453 they provide.

454

#### 455 **Data availability**

456 Hydrosheds drainage direction data are available at [https://developers.google.com/earth-](https://developers.google.com/earth-engine/datasets/catalog/WWF_HydroSHEDS_15DIR)  
457 [engine/datasets/catalog/WWF\\_HydroSHEDS\\_15DIR](https://developers.google.com/earth-engine/datasets/catalog/WWF_HydroSHEDS_15DIR). The MapBiomas data are available from  
458 <https://mapbiomas.org/>. The PAs are available from at [https://developers.google.com/earth-](https://developers.google.com/earth-engine/datasets/catalog/WCMC_WDPA_current_polygons#description)  
459 [engine/datasets/catalog/WCMC\\_WDPA\\_current\\_polygons#description](https://developers.google.com/earth-engine/datasets/catalog/WCMC_WDPA_current_polygons#description). The upstream  
460 deforestation, mining, and protection for the river network and by AP and sub-basin have been  
461 uploaded to Figshare, link: <https://figshare.com/articles/dataset/MapRios/23261450>.

462

#### 463 **References**

464 Abell, R., Lehner, B., Thieme, M., & Linke, S. (2016). Looking Beyond the Fenceline:

465 Assessing Protection Gaps for the World's Rivers. *Conservation Letters*, 10(4), 384–394.

466 doi:10.1111/CONL.12312

467 Acreman, M., Hughes, K. A., Arthington, A. H., Tickner, D., & Dueñas, M. (2019). Protected

468 areas and freshwater biodiversity: a novel systematic review distils eight lessons for effective

469 conservation. *Conservation Letters*, 13(1). doi:10.1111/CONL.12684

470 Albert, J. S., Carnaval, A. C., Flantua, S. G. A., Lohmann, L. G., Ribas, C. C., Riff, D., Carrillo,

471 J. D., Fan, Y., Figueiredo, J. J. P., Guayasamin, J. M., Hoorn, C., Melo, G. H. de, Nascimento,

472 N., Quesada, C. A., Ulloa, C. U., Val, P., Arieira, J., Encalada, A. C., & Nobre, C. A. (2023).

473 Human impacts outpace natural processes in the Amazon. *Science*, 376(6630), eabo5003.

474 doi:10.1126/SCIENCE.ABO5003

475 Azevedo-Ramos, C., Moutinho, P., Arruda, V. L. da S., Stabile, M. C. C., Alencar, A., Castro, I.,  
476 & Ribeiro, J. P. (2020). Lawless land in no man's land: The undesignated public forests in the  
477 Brazilian Amazon. *Land Use Policy*, 99, 104863. doi:10.1016/J.LANDUSEPOL.2020.104863  
478 Barros, L. de A., Venter, M., Delgado, J. P. R., Coelho-Junior, M. G., & Venter, O. (2022). No  
479 evidence of local deforestation leakage from protected areas establishment in Brazil's Amazon  
480 and Atlantic Forest. *Biological Conservation*, 273, 109695.  
481 doi:10.1016/J.BIOCON.2022.109695  
482 Bosch, J. M., & Hewlett, J. D. (1982). A review of catchment experiments to determine the  
483 effect of vegetation changes on water yield and evapotranspiration. *Journal of Hydrology* , 55(1-  
484 4), 3–23. doi:10.1016/0022-1694(82)90117-2  
485 Brauer, C. J., Unmack, P. J., Hammer, M. P., Adams, M., & Beheregaray, L. B. (2013).  
486 Catchment-scale conservation units identified for the threatened Yarra pygmy perch  
487 (*Nannoperca obscura*) in highly modified river systems. *PLoS ONE*, 8(12), e82953.  
488 doi:10.1371/JOURNAL.PONE.0082953  
489 Castello, L., McGrath, D. G., Hess, L. L., Coe, M. T., Lefebvre, P. A., Petry, P., Macedo, M. N.,  
490 Renó, V. F., & Arantes, C. C. (2013). The vulnerability of Amazon freshwater ecosystems:  
491 Vulnerability of Amazon freshwater ecosystems. *Conservation Letters*, 6(4), 217–229.  
492 doi:10.1111/CONL.12008  
493 Escobar, A. L., Coimbra, C. E. A., Welch, J. R., Horta, B. L., Santos, R. V., & Cardoso, A. M.  
494 (2015). Diarrhea and health inequity among Indigenous children in Brazil: results from the First  
495 National Survey of Indigenous People's Health and Nutrition. *BMC Public Health*, 15, 191.  
496 doi:10.1186/S12889-015-1534-7

- 497 Flecker, A. S., Shi, Q., Almeida, R. M., Angarita, H., Gomes-Selman, J. M., García-Villacorta,  
498 R., Sethi, S. A., Thomas, S. A., Poff, N. L., Forsberg, B. R., Heilpern, S. A., Hamilton, S. K.,  
499 Abad, J. D., Anderson, E. P., Barros, N., Bernal, I. C., Bernstein, R., Cañas, C. M., Dangles, O.,  
500 ... Gomes, C. P. (2022). Reducing adverse impacts of Amazon hydropower expansion. *Science*,  
501 375(6582), 753–760. doi:10.1126/SCIENCE.ABJ4017
- 502 Fuller, C., Ondei, S., Brook, B. W., & Buettel, J. C. (2019). First, do no harm: A systematic  
503 review of deforestation spillovers from protected areas. *Global Ecology and Conservation*, 18,  
504 e00591. doi:10.1016/J.GECCO.2019.E00591
- 505 Galvão, S. S. (2013). Participação indígena no comitê de bacia hidrográfica do estado da bahia.  
506 *Espaço Ameríndio*, 7(1), 146. doi:10.22456/1982-6524.37698
- 507 Gonçalves-Souza, D., Vilela, B., Phalan, B., & Dobrovolski, R. (2021). The role of protected  
508 areas in maintaining natural vegetation in Brazil. *Science Advances*, 7(38), eabh2932.  
509 doi:10.1126/SCIADV.ABH2932
- 510 Grizzetti, B., Lanzasova, D., Liqueste, C., Reynaud, A., & Cardoso, A. C. (2016). Assessing  
511 water ecosystem services for water resource management. *Environmental Science & Policy*, 61,  
512 194–203. doi:10.1016/J.ENVSCI.2016.04.008
- 513 Herrera, D., Pfaff, A., & Robalino, J. (2019). Impacts of protected areas vary with the level of  
514 government: Comparing avoided deforestation across agencies in the Brazilian Amazon.  
515 *Proceedings of the National Academy of Sciences*, 116(30), 14916–14925.  
516 doi:10.1073/PNAS.1802877116
- 517 Jiménez, A., Cortobius, M., & Kjellén, M. (2014). Water, sanitation and hygiene and indigenous  
518 peoples: a review of the literature. *Water International*, 39(3), 277–293.  
519 doi:10.1080/02508060.2014.903453

- 520 Leal, C. G., Lennox, G. D., Ferraz, S. F. B., Ferreira, J., Gardner, T. A., Thomson, J. R.,  
521 Berenguer, E., Lees, A. C., Hughes, R. M., Nally, R. M., Aragão, L. E. O. C., Brito, J. G. de,  
522 Castello, L., Garrett, R. D., Hamada, N., Juen, L., Leitão, R. P., Louzada, J., Morello, T. F., ...  
523 Barlow, J. (2020). Integrated terrestrial-freshwater planning doubles conservation of tropical  
524 aquatic species. *Science*, 370(6512), 117–121. doi:10.1126/SCIENCE.ABA7580
- 525 Lehner, B., Verdin, K., & Jarvis, A. (2008). New Global Hydrography Derived From Spaceborne  
526 *Elevation Data*. *Eos*, 89(10), 93–94. doi:10.1029/2008EO100001
- 527 Linke, S., Lehner, B., Dallaire, C. O., Ariwi, J., Grill, G., Anand, M., Beames, P., Burchard-  
528 Levine, V., Maxwell, S., Moidu, H., Tan, F., & Thieme, M. (2019). Global hydro-environmental  
529 sub-basin and river reach characteristics at high spatial resolution. *Scientific Data*, 6(1), No. 283.  
530 doi:10.1038/S41597-019-0300-6
- 531 Maciel, A. M., Picoli, M. C. A., Vinhas, L., & Camara, G. (2020). Identifying Land Use Change  
532 Trajectories in Brazil's Agricultural Frontier. *Land*, 9(12), No. 506. doi:10.3390/LAND9120506
- 533 Magoulick, D. D., Dekar, M. P., Hodges, S. W., Scott, M. K., Rabalais, M. R., & Bare, C. M.  
534 (2021). Hydrologic variation influences stream fish assemblage dynamics through flow regime  
535 and drought. *Scientific Reports*, 11(1), No. 10704. doi:10.1038/S41598-021-89632-3
- 536 Meade, R. H., Rayol, J. M., Conceição, S. C., & Natividade, J. R. G. (1991). Backwater effects  
537 in the Amazon River basin of Brazil. *Environmental Earth Sciences*, 18(2), 105–114.  
538 doi:10.1007/BF01704664
- 539 Munia, H. A., Guillaume, J. H. A., Wada, Y., Veldkamp, T., Virkki, V., & Kummu, M. (2020).  
540 Future Transboundary Water Stress and Its Drivers Under Climate Change: A Global Study.  
541 *Earth's Future*, 8(7), e2019EF001321. doi:10.1029/2019EF001321

- 542 Munia, H., Guillaume, J. H. A., Mirumachi, N., Porkka, M., Wada, Y., & Kummu, M. (2016).  
543 Water stress in global transboundary river basins: significance of upstream water use on  
544 downstream stress. *Environmental Research Letters*, 11(1), No. 014002. doi:10.1088/1748-  
545 9326/11/1/014002
- 546 Nunes, S., Cavalcante, R. B. L., Nascimento, W. R., Souza-Filho, P. W. M., & Santos, D. (2019).  
547 Potential for Forest Restoration and Deficit Compensation in Itacaiúnas Watershed, Southeastern  
548 Brazilian Amazon. *Forests*, 10(5), No. 439. doi:10.3390/F10050439
- 549 Paquette, S. R., Behncke, S. M., O'Brien, S. H., Brenneman, R. A., Louis, E. E., & Lapointe, F.-  
550 J. (2006). Riverbeds demarcate distinct conservation units of the radiated tortoise (*Geochelone*  
551 *radiata*) in southern Madagascar. *Conservation Genetics*, 8(4), 797–807. doi:10.1007/S10592-  
552 006-9227-5
- 553 Pontes, P. R. M., Cavalcante, R. B. L., Sahoo, P. K., Júnior, R. O. da S., Silva, M. S. da,  
554 Dall'Agnol, R., & Siqueira, J. O. (2019). The role of protected and deforested areas in the  
555 hydrological processes of Itacaiúnas River Basin, eastern Amazonia. *Journal of Environmental*  
556 *Management*, 235, 489–499. doi:10.1016/J.JENVMAN.2019.01.090
- 557 Qin, Y., Xiao, X., Liu, F., Silva, F. de S. e, Shimabukuro, Y., Arai, E., & Fearnside, P. M.  
558 (2023). Forest conservation in Indigenous territories and protected areas in the Brazilian  
559 Amazon. *Nature Sustainability*, 6(3), 295–305. doi:10.1038/S41893-022-01018-Z
- 560 Reis, V., Hermoso, V., Hamilton, S. K., Bunn, S. E., & Linke, S. (2019). Conservation planning  
561 for river-wetland mosaics: A flexible spatial approach to integrate floodplain and upstream  
562 catchment connectivity. *Biological Conservation*, 236, 356–365.  
563 doi:10.1016/J.BIOCON.2019.05.042

564 Rorato, A. C., Dal'Asta, A. P., Lana, R. M., Santos, R. B. N. D., Escada, M. I. S., Vogt, C. M.,  
565 Neves, T. C., Barbosa, M., Andreatzi, C. S., Reis, I. C. D., Fernandes, D. A., Silva-Nunes, M.  
566 da, Souza, A. R. de, Monteiro, A. M. V., & Codeço, C. T. (2023). Trajetórias: a dataset of  
567 environmental, epidemiological, and economic indicators for the Brazilian Amazon. *Scientific*  
568 *Data*, 10(1), No. 65. doi:10.1038/S41597-023-01962-1

569 Salomão, G. N., Dall'Agnol, R., Sahoo, P. K., Almeida, G. S. de, Amarante, R. T., Zeferino, L.  
570 B., Lopes, J. P. N., Filho, P. W. M. E. S., Costa, N. Y. M. da, Guimarães, J. T. F., Silva, M. S.  
571 da, Martins, G. C., Teixeira, M. F. B., Marques, E. D., Angélica, R. S., & Araújo, W. E. O.  
572 (2023). Changes in the surface water quality of a tropical watershed in the southeastern amazon  
573 due to the environmental impacts of artisanal mining. *Environmental Pollution*, 329, No.  
574 121595. doi:10.1016/J.ENVPOL.2023.121595

575 Saunders, D. L., Meeuwig, J. J., & Vincent, A. C. J. (2002). Freshwater Protected Areas:  
576 Strategies for Conservation. *Conservation Biology*, 16(1), 30–41. doi:10.1046/J.1523-  
577 1739.2002.99562.X

578 Souza-Filho, P., Nascimento, W., Santos, D., Weber, E., Silva, R., & Siqueira, J. (2018). A  
579 GEOBIA Approach for Multitemporal Land-Cover and Land-Use Change Analysis in a Tropical  
580 Watershed in the Southeastern Amazon. *Remote Sensing*, 10(11), No. 1683.  
581 doi:10.3390/RS10111683

582 Stednick, J. D. (1996). Monitoring the effects of timber harvest on annual water yield. *Journal of*  
583 *Hydrology*, 176(1-4), 79–95. doi:10.1016/0022-1694(95)02780-7

584 Strayer, D. L., & Dudgeon, D. (2010). Freshwater biodiversity conservation: recent progress and  
585 future challenges. *Freshwater Science*, 29(1), 344–358. doi:10.1899/08-171.1



- 586 Thomas, C. (1994). Water in crisis: a guide to the world's fresh water resources. *International*  
587 *Affairs*, 70(3), 557–557. doi:10.2307/2623756
- 588 Veldkamp, T. I. E., Wada, Y., Aerts, J. C. J. H., Döll, P., Gosling, S. N., Liu, J., Masaki, Y., Oki,  
589 T., Ostberg, S., Pokhrel, Y., Satoh, Y., Kim, H., & Ward, P. J. (2017). Water scarcity hotspots  
590 travel downstream due to human interventions in the 20th and 21st century. *Nature*  
591 *Communications*, 8, No. 15697. doi:10.1038/NCOMMS15697
- 592 Venticinque, E., Forsberg, B., Barthem, R., Petry, P., Hess, L., Mercado, A., Cañas, C.,  
593 Montoya, M., Durigan, C., & Goulding, M. (2016). An explicit GIS-based river basin framework  
594 for aquatic ecosystem conservation in the Amazon. *Earth System Science Data*, 8(2), 651–661.  
595 doi:10.5194/ESSD-8-651-2016
- 596 WEF (World Economic Forum). (2022) *Location Matters: Using spatial intelligence for*  
597 *business action on nature and climate*.
- 598 Wishart, M. (2000). Catchments as conservation units for riverine biodiversity. *African Journal*  
599 *of Aquatic Science*, 25(1), 169–174. doi:10.2989/160859100780177749
- 600 Wu, Q., Ke, L., Wang, J., Pavelsky, T. M., Allen, G. H., Sheng, Y., Duan, X., Zhu, Y., Wu, J.,  
601 Wang, L., Liu, K., Chen, T., Zhang, W., Fan, C., Yong, B., & Song, C. (2023). Satellites reveal  
602 hotspots of global river extent change. *Nature Communications*, 14(1), No. 1587.  
603 doi:10.1038/S41467-023-37061-3