

1 **Looking upstream: analyzing the protection of the drainage area of Amazon rivers**

2 **R. B. L. Cavalcante¹, A. Fleischmann², and P. R. M. Pontes¹**

3 ¹Instituto Tecnológico Vale, Belém, Pará, Brazil.

4 ²Instituto de Desenvolvimento Sustentável Mamirauá, Tefé, Amazonas, Brazil.

5 Corresponding author: Rosane Cavalcante (rosanecavalcante@gmail.com)

6 **Key Points:**

- 7 • We provide accumulated deforestation, mining, and protection across the Amazon river
8 network
- 9 • 50% of the Amazon rivers have less than 1% deforestation upstream, and 5% have some
10 upstream mining area
- 11 • While about 40% of the Amazon basin is under some protection, 50% of the Amazon
12 rivers are unprotected because the delimitation of the PA does not cover its upstream
13 drainage areas.
14

15 **Abstract**

16 In the Amazon, aquatic ecosystems provide essential ecosystem services, including
17 transportation, food, and livelihoods for millions of species. Land use changes and management
18 impact these ecosystem services, and these impacts are not limited to the specific areas where
19 they occur but propagate downstream along the drainage network. However, assessment of the
20 accumulated human footprint upstream of Amazonian rivers has been largely overlooked. Here,
21 we provide explicit spatial information on accumulated deforestation, mining, and protection
22 across the river network. We aim to indicate the most impacted rivers and where the
23 consideration of the watershed concept could improve the security of Conservation Units and
24 Indigenous Lands in the Amazon. Our results show that 50% of the Amazonian rivers are
25 pristine (less than 1% deforestation upstream), and 5% have some upstream mining area.
26 However, while about 40% of the Amazon basin is under some protection, almost half of the
27 rivers are, in truth, unprotected because the delimitation of the protected area does not cover its
28 upstream drainage areas. Finally, our analyses identify hotspots of accumulated deforestation and
29 mining and highlight the potential vulnerability of the rivers within protected areas due to
30 upstream deforestation, allowing decision-makers to rethink the conservation status of the
31 Amazonian aquatic ecosystems.

32 **Plain Language Summary**

33 In the Amazon, the rivers, lakes, and wetlands provide food and are the main transport route for
34 millions of people. Land use changes and management impact these ecosystems where they
35 occur and downstream, following the river flow. However, few studies analyze how these
36 impacts accumulate along Amazonian rivers. Here, we provide information on accumulated
37 deforestation, mining, and protection across the Amazonian river network. Considering natural
38 drainage, our results show that 5% of river stretches receive water that may have passed through
39 a mining area. We also calculated that half of the Amazonian rivers have well-preserved
40 drainage areas, with less than 1% of deforestation in their drainage area. However, while about
41 40% of the Amazon basin is under some protection, almost half of the rivers are, in truth,
42 unprotected because the delimitation of the protected area does not cover its upstream drainage
43 areas. With the results of the accumulated land use maps generated in this study, it is possible to
44 identify points of attention that may be most impacted or choose locations for monitoring rivers.

45 **1 Introduction**

46 Although it constitutes only 0.001% of the Earth's water (Thomas, 1994), river water
47 provides critical services such as water provisioning for drinking and nondrinking uses, food
48 provisioning (e.g., fisheries), recreation, and maintenance of biodiversity (Grizzetti et al., 2016).
49 Rivers are vital to conserving and sustaining freshwater ecosystems, which are home to 10% of
50 all Earth species, with high fragmentation and endemism (Strayer & Dudgeon, 2010). However,
51 population trends for monitored freshwater species indicate a steep decline (Acreman et al.,
52 2019), which could be attributed to landscape and human alterations routed throughout rivers.

53 Before reaching the rivers, rainwater flows over the Earth's surface, interacting with it,
54 and its quantity and quality are affected by land use and coverage. Pollution from diffuse sources
55 and environmental degradation are the leading causes of river problems and are the most difficult
56 to solve (Grizzetti et al., 2016). Climate and land use and cover changes, human alteration in
57 riverbanks (Wu et al., 2023), and water withdrawal can also impact water quantity and change

58 the seasonality of river flow regimes across the whole drainage network, largely stressing rivers
59 (Nations, 2002) and their biodiversity (Magoulick et al., 2021). Human activities can be felt
60 downstream from where these activities take place, even in distant locations (Castello et al.,
61 2013; H. Munia et al., 2016; Veldkamp et al., 2017).

62 Despite their importance, existing management policies have failed to account for the
63 hydrological connectivity of freshwater ecosystems (Castello et al., 2013; Reis et al., 2019). For
64 instance, although the creation of protected areas (PAs) is one of the most common actions taken
65 to protect biodiversity, actual PAs are not sufficient to conserve freshwater biodiversity because
66 they do not consider the watershed concept in their delineation process (Acreman et al., 2019).
67 The watershed is the natural catchment area of rainwater that routes runoff into a single point in
68 the river.

69 In the Amazon, ongoing changes directly (e.g., livestock and agricultural expansion) or
70 indirectly (e.g., climate change, lack of governance, illegal activities, and disorderly population
71 increase) linked to deforestation threaten the region's vital role in global climate and biodiversity
72 (Albert et al., 2023). Deforested areas are mainly converted into pastures, although an increase in
73 agricultural areas has been seen in the southern Amazon in recent decades (Maciel et al., 2020).
74 Even though increases in PAs have reduced deforestation within their boundaries and in their
75 surrounding areas (Fuller et al., 2019; Herrera et al., 2019; Qin et al., 2023), their river networks
76 carry an upstream landscape footprint, which can threaten the integrity of freshwater ecosystems
77 (Abell et al., 2016). Therefore, it is crucial to plan PAs not only from a terrestrial ecosystem
78 viewpoint, but also from a freshwater ecosystem and catchment-based perspective (Leal et al.,
79 2020)

80 Location-specific data can better support decision-making if data collection, analysis, and
81 visualization are designed to target decision-making needs (WEF, 2022). However, current land
82 use and land cover spatial databases are typically provided per pixel or accumulated at
83 administrative levels (e.g. municipalities (Rorato et al., 2023)), which do not consider the natural
84 watershed limits. Only recently have databases started providing information on land cover
85 change according to the hydrographic basins of large rivers, unit catchments, or river reaches
86 (Linke et al., 2019; Venticinque et al., 2016). The total land use of a basin may not reflect the
87 distribution of this land use along its drainage network and may have hotspots of low water
88 resource conservation status that are undetectable without assessing upstream conditions.

89 Here, we provide a new understanding of the conservation status of Amazon water
90 resources from a cross-scale perspective, from upstream to downstream directions and along
91 complex drainage networks. We use global river network and PA datasets and other South
92 American environmental geospatial datasets to generate accumulated landscape metrics
93 (deforestation, mining and protection) for the entire river network, about 1.5 million km of
94 rivers, and depicting the percentage of deforested, mined, and protected area upstream (in the
95 drainage area) of each 500 m river pixel along the entire Amazon. We also conduct a
96 complementary analysis considering only the river reaches within PAs. We provide evidence on
97 the forgone consequences of not looking upstream when thinking about the conservation of
98 water resources, ultimately aiming at improving the sustainable planning and management of the
99 waters of the largest river basin on Earth.

101 **2 Materials and Methods**

102 2.1 Datasets and data processing

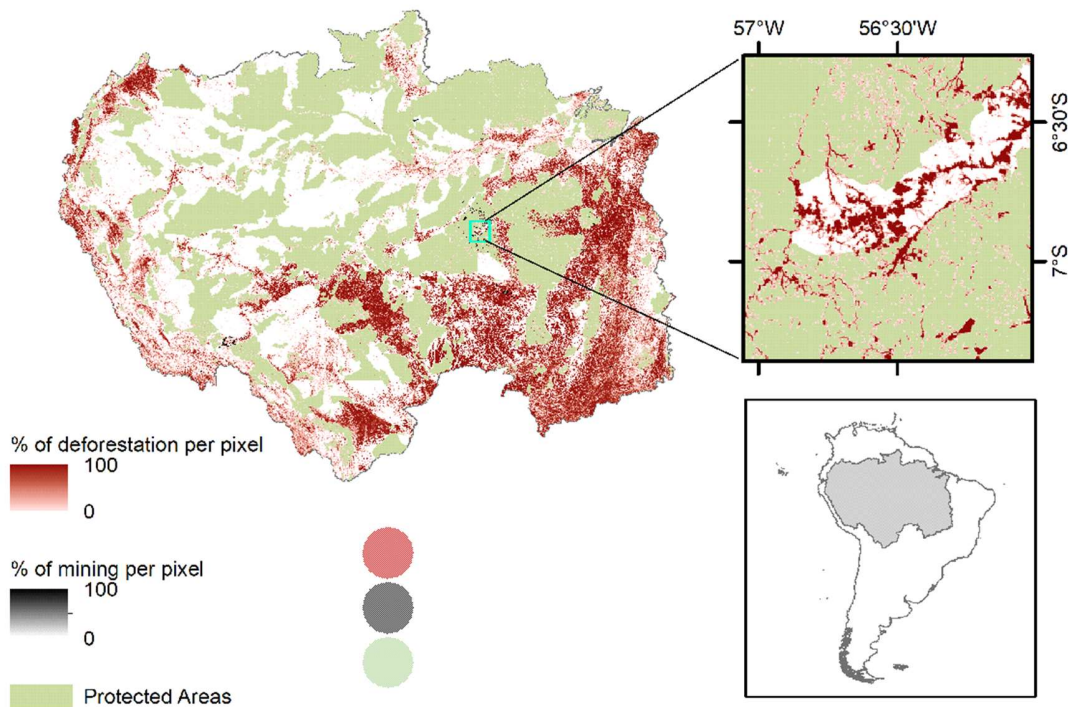
103 We analyze land use and land cover along Amazonian rivers based on several datasets.
104 The adopted Amazon Basin limit is the one provided by the HydroSHEDS level 2 basin product
105 (Lehner et al., 2008), which includes the Amazon and Tocantins-Araguaia basins. We used the
106 global HydroSHEDS products (Lehner et al., 2008) at 15 arcsec spatial resolution, which is
107 based on elevation data obtained in 2000 by NASA's Shuttle Radar Topography Mission
108 (SRTM). HydroSHEDS provides georeferenced hydrographic information at various scales,
109 including river networks, watershed boundaries, drainage directions, and flow accumulations.

110 The deforestation and mining areas in 2020 (Figure 1) were obtained from the
111 MapBiomas Amazon Project Collection 3 Project43, which is a multi-institutional initiative to
112 generate annual land use and land cover maps for the region based on automatic classification of
113 satellite imagery. All non-natural land use and land cover classes were reclassified as
114 deforestation areas and reprojected and downgraded to the Hydrosheds pixel resolution. For this
115 process, we calculated the fraction of each Hydrosheds pixel that is covered by the 30 m
116 deforested pixels and multiplied the results by the Hydrosheds pixel areas. The MapBiomas
117 project considers mining as all areas of extraction of minerals with soil exposure without
118 differentiating the type of mining (industrial, artisanal, or illegal).

119 The location of Amazon PAs (Figure 1a) was obtained from the World Database on
120 Protected Areas (WDPA, 2012), which is updated monthly and managed by the United Nations
121 Environment Programme's World Conservation Monitoring Centre. There are many overlapping
122 PAs in the WDPA with different categories and designations (national, regional, and
123 international PAs). We maintained all the PAs in the database, including overlaps, all categories
124 and designations, and all status (designated, proposed, established, and inscribed).

125

126 **Figure 1. a.** Deforested and mining areas per 15-arc-second pixel and protected areas, with **b.**
127 the percentage of each of these land uses in the Amazon, **c.** a zoom in an area with intense
128 mining activity. **d.** Location of the study area in South America.



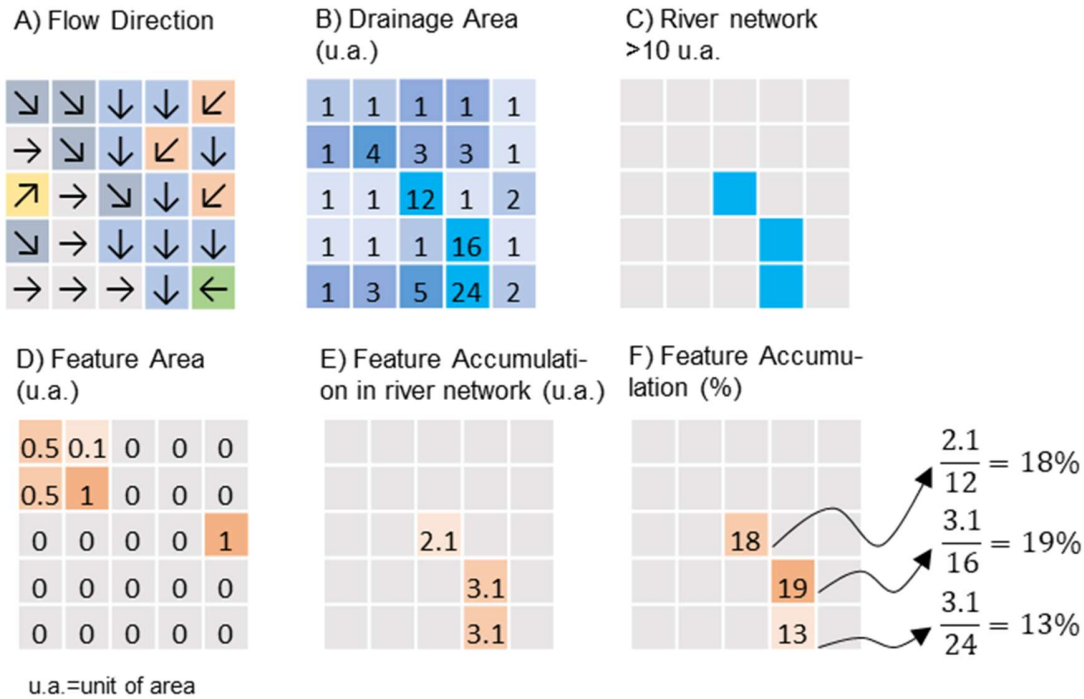
129

130 2.2 Land use type accumulation along the drainage

131 From the 15 arc-second (~500 m) flow direction matrix (Figure 2a), we calculated the
 132 upstream area for each pixel (flow accumulation) (Figure 2b). The next step was to identify the
 133 river network, which is considered the channelized river (Figure 2c). For this step, a threshold of
 134 20 km² on the flow accumulation matrix was applied to determine the beginning of the river
 135 network.

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137 **Figure 2.** a. Hypothetical representation of the steps to the calculation of feature accumulation
 138 from: a. flow direction, b. upstream drainage area, c. river network definition, d. feature area, e.
 139 feature accumulation, and f. percentage of the feature in the drainage area of each river network
 140 pixel.



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A similar method was used to compute the accumulated area occupied by each land use type upstream of the drainage pixel. The value of each feature (deforested/mining/PAs) accumulated in a pixel is equal to the sum of the values of the feature areas in all pixels that drain to it, based on their flow direction information (Figure 2d and Figure 2e). The final step was to determine the percentage between each land use accumulated and contributing area for each river network pixel (Figure 2f).

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2.3 Data analyses

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We presented the results by river pixel and subbasins (basin level 5 defined by Hydrosheds). We generated approximately 1.462 million river pixels for Amazon, and different categories of river reaches were defined according to land use and contributed area ratio:

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- Pristine: river pixels with less than 1% of deforestation in their catchment area.

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- Highly deforested: river pixels with more than 90% deforestation in their catchment area.

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- Highly protected: river pixels with more than 99% of their catchment area within PAs.

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- Unprotected: river pixels with less than 1% of their catchment area inside PAs.

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- With upstream mining area: River pixels with one or more pixels classified as mining areas in their drainage network, even though drainage from mining areas can be collected and directed to specific dams.

163 Then, we calculated the percentage of the drainage pixels classified in the above classes,
164 as well as the maximum and minimum values of the accumulated landscape metrics, using the
165 Zonal Statistics function of QGIS (QGIS Geographic Information System; <http://www.qgis.org>)
166 per each of the 109 Hydrosheds 5-level basins (mean of approximately 63,000 km²) and per each
167 of the 1195 protected areas (mean of approximately 3,054 km²). We highlight examples of basins
168 and protected areas with the best and worst results.

169 170 2.4 Looking upstream in a highly complex subbasin

171 To illustrate the variation in the accumulated deforested/mining/protected areas along a
172 river network of one specific 5-level basin, we chose the Itacaiúnas river basin. This basin is
173 located in the eastern Amazon (Figure 2a), and has an interesting combination of deforestation,
174 mining activities, and protected areas. The Itacaiúnas River is a direct affluent of the Tocantins
175 River, and its basin is approximately 41,000 km². From the 1980s to 2010s, the land use in the
176 basin has dramatically changed, with the forest areas being replaced mostly by pasture (Souza-
177 Filho et al., 2018). Currently, almost half of the basin is deforested, with most of the preserved
178 areas concentrated in a set of conservation units and indigenous land located in the western part
179 of the basin, commonly called the Carajás Mosaic of Protected Areas. The Carajás mineral
180 province has numerous metal ore deposits, with several active mines, including the largest open-
181 pit iron ore mine in the world, which is located within one of the protected areas of Itacaiúnas
182 River Basin.

183 184 2.5 Methodological limitations

185 Recognizing the importance of longitudinal and lateral connectivity is necessary to
186 promote the conservation of the Amazon's social and biodiversity (Reis et al., 2019). The
187 accumulated land use along the rivers calculated in this study considers the longitudinal
188 connectivity downstream of rivers. Nevertheless, there are also impacts that propagate upstream
189 due to the river continuum by the mobility of the fauna or due to backwater effects and lateral
190 connection during flood events, among others (Meade et al., 1991)

191 The final results reflect the uncertainties of the selected datasets: Mapbiomas and
192 Hydrosheds. For example, Mapbiomas is a project to provide land use and land cover
193 classification for all of Brazil and Amazon. Illegal mining activities, for example, may be
194 underestimated. The study case in the Itacaiúnas River basin, when compared with other studies
195 (Nunes et al., 2019) and with satellite images of the area, appears to overestimate the
196 deforestation within the PAs. Due to pixel size, deforestation and mining values within small
197 protected areas calculated with Zonal statistics may have significant errors. The results will be
198 refined in future updates of the database indicated in the Data Availability section.

199 The flow direction of the area is determined by the topography, according to a digital
200 model of the hydrological transformation of the watershed. Therefore, drained alterations are not
201 considered, as they may occur in mining areas due to a change in topography or to prevent
202 mining areas from draining directly into rivers. Additionally, since we analyzed only rivers with
203 a minimum drainage area of 20 km², the results cannot reflect the conditions of smaller
204 headwaters.

205 Regarding the PAs, we chose to include the entire database of the WDPA, including the
206 proposed PA and overlaps, to provide a comprehensive analysis of the PAs in the Amazon. The
207 results by PA, especially in the case of small PAs, are influenced by the rivers on their borders
208 that may or may not be considered within the PA, depending on pixel position.

209

210 **4 Results**

211 4.1 Upstream deforestation, mining, and protection through the Amazonian rivers

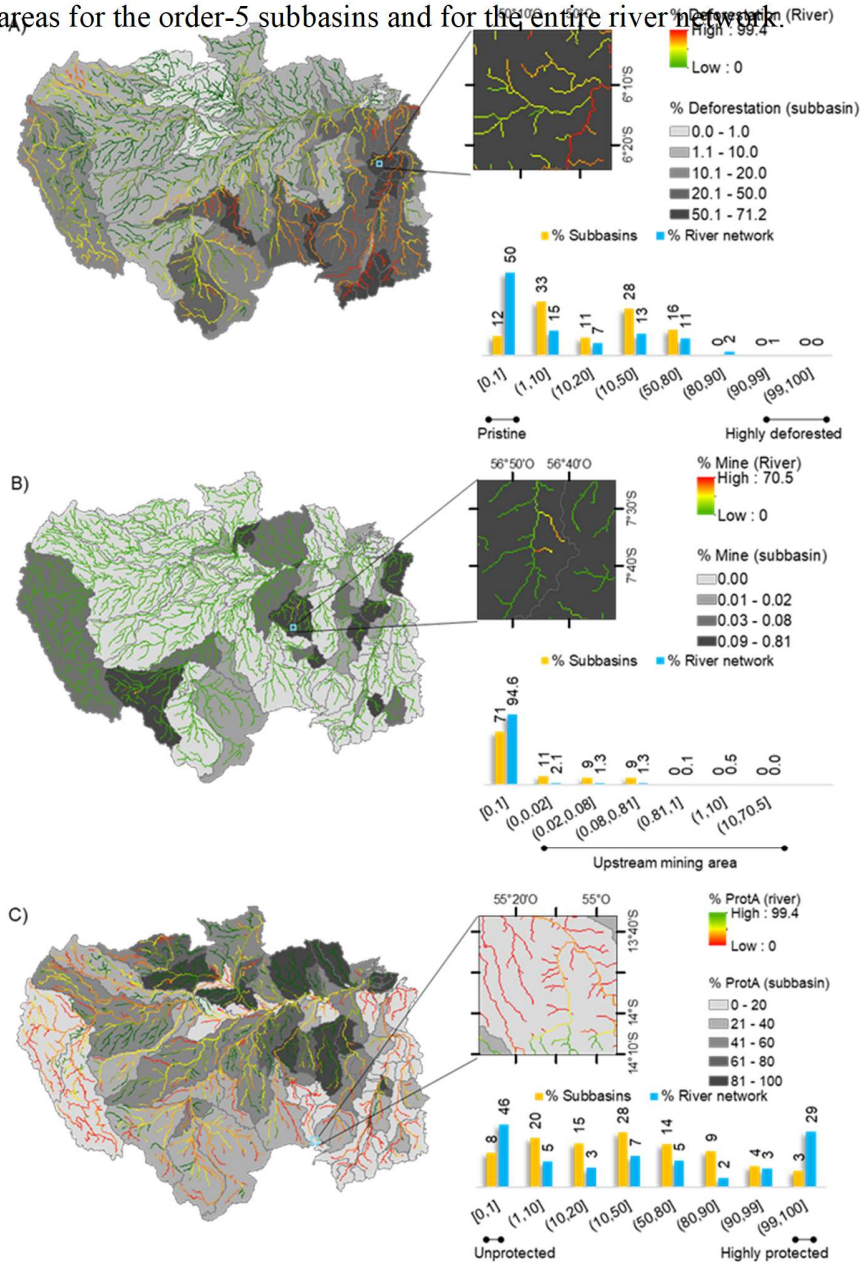
212 In 2020, 15% of the Amazon basins (about 7 million km²) was mapped as deforested
213 areas, concentrated in the eastern and southern Amazon, a region known as the Brazilian arc of
214 deforestation. Deforestation in the analyzed subbasins represents 0% to 71% of their total areas
215 (Figure 3a). However, even considering only the main rivers (order equal to or greater than five),
216 it is possible to see a great spatial variation in the percentage of the accumulated upstream
217 deforestation along the river network (Figure 3a). For example, while 12% of the 5-level
218 subbasins have deforestation levels of less than 1%, approximately 50% of the river pixels are in
219 this category (hereafter called pristine rivers) when looking at the entire upstream drainage area
220 (histogram in Figure 1a). Most of the subbasins with a high percentage of pristine rivers are
221 located on the left bank of the Amazon River, in the northern portion of the basin.

222 On the other hand, 1% of the river pixels have deforestation levels of more than 90% in
223 their upstream areas (bar chart in Fig. 3a), located mainly along the arc of deforestation; these are
224 hereafter considered highly deforested pixels. The Itacaiúnas River basin (highlighted in blue in
225 Figure 4a, and discussed in section 4.3), in the eastern Amazon, presented the highest percentage
226 (14% of its river network was classified as highly deforested), followed by the Araguaia River,
227 upstream from the confluence with the Tocantins River (8%), and the Ji-Paraná basins (6%).

228 In 2020, mining areas corresponded to 0.03% of the Amazon. The proportion of mining
229 areas per level-5 subbasin varies from 0% to 0.81% (Figure 3b). Regarding the river network,
230 5% of the river pixels have some upstream mining areas. Although the mining activity across the
231 Amazon is small compared to other land uses, for some river pixels, up to 70% of the upstream
232 area is affected by mining, such as those in the Itacaiúnas River basin in the eastern Amazon
233 (section 4.3). In the middle Tapajós and Crepori subbasins, 37% of the river network has some
234 mining in their drainage area (Figure 4b).

235 Approximately 40% of the Amazon basin is under some protection. There are 1995
236 protected areas, mainly related to conservation units and indigenous lands, from which 1063 are
237 already designated. At the local scale, only 8% of the subbasins are unprotected (have less than
238 1% of their area under protection) (Figure 3c). However, when we look at the entire river
239 network, 46% of it is classified as unprotected (histogram in Figure 3c), primarily because of
240 small rivers. The subbasins with the lowest percentage of protection and those with the highest
241 rate of unprotected river network are within the Tocantins-Araguaia River basin, in the eastern
242 Amazon, and Tapajós basin, in the south of Amazon. For the right-bank tributaries of the
243 Amazon River, a northward increase in protection is observed; for instance, more than 80% of
244 the river network of the Upper Rio Teles-Pires Basin (a tributary of the Tapajós River) was
245 classified as unprotected (Figure 4c).

246 **Figure 3. a.** Deforested, **b.** mining, and **c.** protected areas (in %) of each level-5 Amazon sub-
 247 basin and the upstream deforested, mining, and protected area (in %) of each pixel in the river
 248 network with order equal to or greater than 5. The figures also show details illustrating the
 249 upstream deforested, mining, and protected areas (in %) of each pixel in the river network
 250 mapped (drainage area up to 20 km²) and the histogram of the deforested, mining, and protected
 251 areas for the order-5 subbasins and for the entire river network.

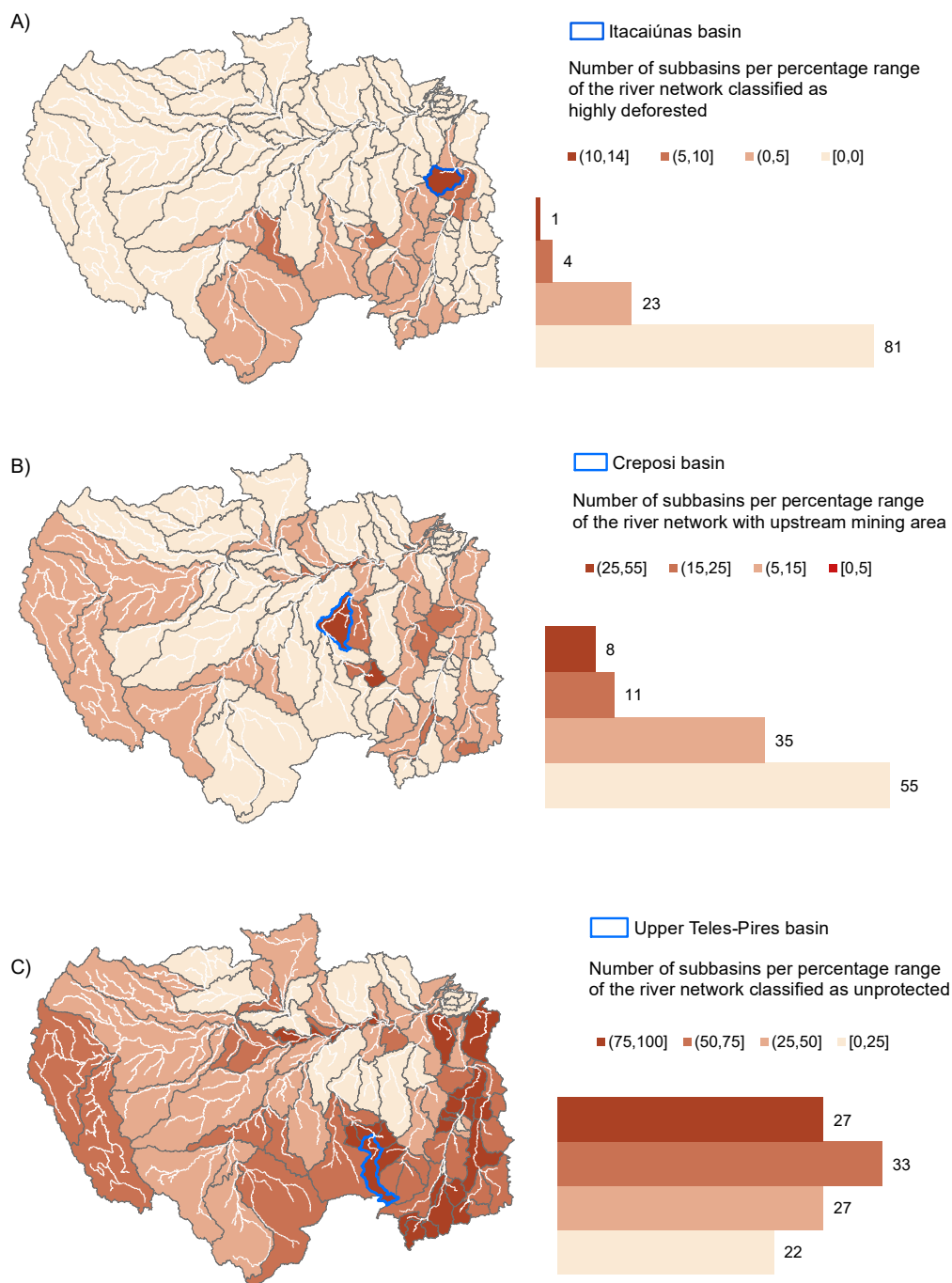


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254 **Figure 4.** Percentage of river pixels in each Amazon level-5 basin classified as **a.** highly
 255 deforested (pixels with more than 90% of its drainage area deforested), **b.** with upstream mining

256 area, and c. unprotected (river pixels with less than 1% of its catchment area within PAs).



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260 The most protected subbasins are those located on Marajó Island since the island is
 261 within conservation units for sustainable use. Approximately 80% of the river reaches of the Jari,
 262 Paru, and Trombetas rivers are highly protected (more than 99% of their drainage area is under
 263 protection). These rivers are left-bank tributaries in the lower Amazon, with headwaters on the
 264 border of Brazil and Suriname, French Guiana, and Guyana. Most protected areas are integral
 265 protection conservation units (strict nature reserves in IUCN classification) and indigenous lands.
 266 Despite the high degree of deforestation in the Upper Xingu River, the Iriri River, the main
 267 tributary in its middle portion, has a high degree of protection in its upstream areas (Fig 4c).
 268 Around 78% of its river network is highly protected, but there are river pixels in some small
 269 tributaries for which 21% of their drainage area is deforested.

270

271 4.2 The conservation status of the river networks within protected areas

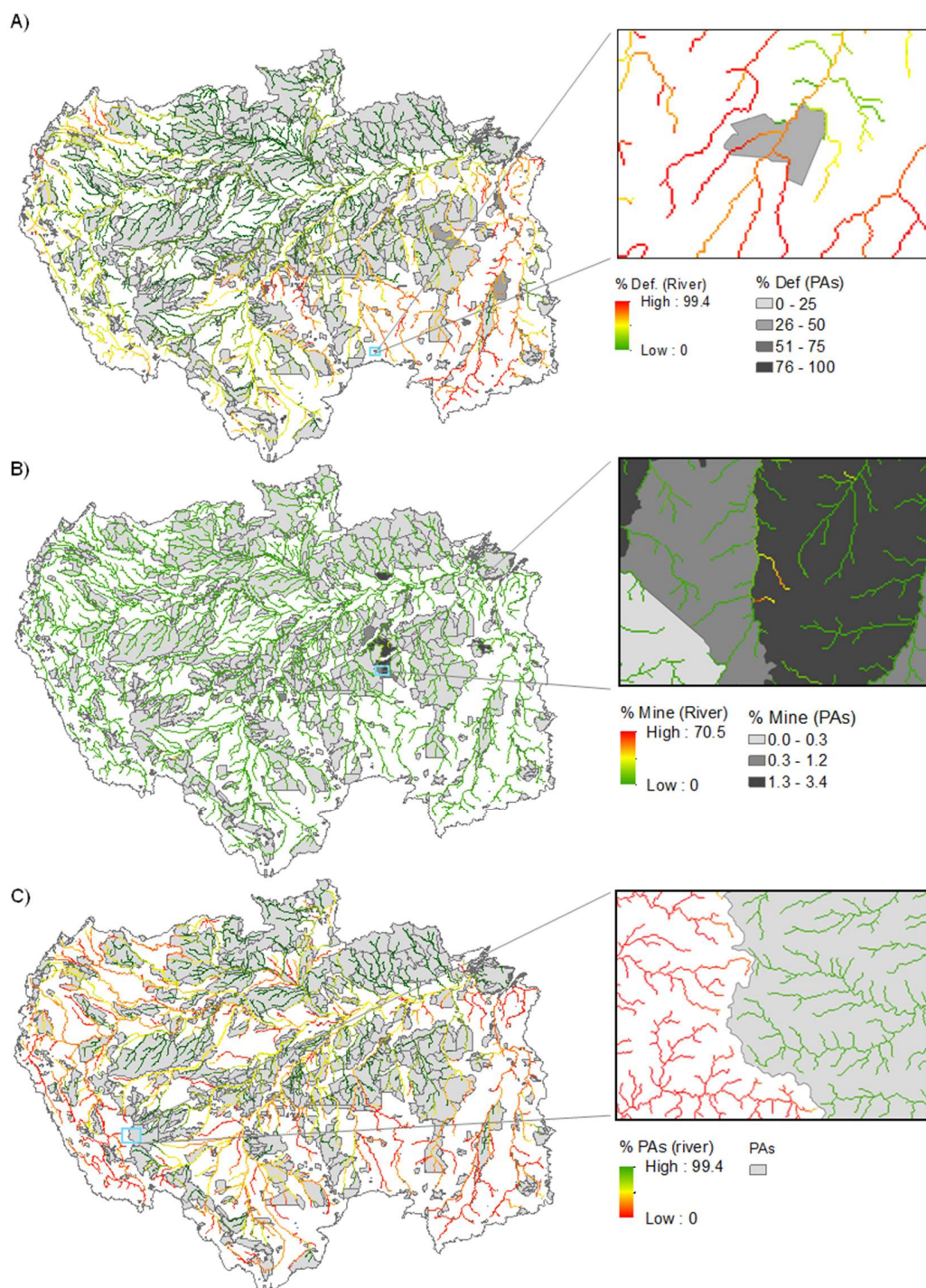
272 Considering the land use in all Amazon PAs (total of 2,7 million km²), 0.03% is mining
 273 areas (742 km²), ranging from 0 to 3.4% of mining area per PA, and only 2.1% is deforested
 274 (58,000 km²) (Figure 5a and b). In 14 PAs, more than 90% of the area is deforested, most with
 275 less than 1 km². La Hacienda Villa Mery is an exception as it has 9.6 km², of which 99.7% is
 276 deforested.

277 Within Amazon PAs, 79% of the river network is pristine (less than 1% upstream
 278 deforestation), and 78% have highly protected upstream areas. Results vary significantly
 279 between PAs; the whole river network within some PAs is highly protected or pristine (Fig. 5a
 280 and Fig. 4e). In contrast, other PAs contain no river pixels in these categories (Figure 5f). In 121
 281 PAs (of the 940 with assessed river pixels), the entire river network was classified as pristine
 282 (Fig. 6a). Of that, 66 are designated or proposed Indigenous Lands. These PAs are primarily
 283 located in the northern region, as the part of the Alto Orinoco – Casiquiare Biosphere Reserve
 284 and the Paríma – Tapirapeco National Park, all in Venezuela, and located in the eastern Amazon
 285 basin, as the Alto Purus National Park, in Peru. The boundaries of these PAs partially follow the
 286 watershed limits (see detail in Figure 7c for the Alto Purus), which helps river protection: 96%
 287 and 76% of their river networks are highly protected. In 56 PAs, the river networks were all
 288 classified as highly protected (Figure 6e), indicating that most of the watershed, not only the
 289 terrestrial area, is protected. These areas include 24 indigenous lands, of which 18 are only
 290 proposed (i.e., still not designated). Furthermore, the protection status does not guarantee pristine
 291 rivers, as there are 15 PAs with all river networks classified as highly protected but no river
 292 pixels classified as pristine.

293

294 **Figure 5. a.** Deforested area (in %) of each protected area (PA) in the Amazon and the upstream
 295 deforested (Def.) area (in %) of each pixel in the river network with an order equal to or greater
 296 than 5. **b.** The same for mining areas, and **c.** protected areas. The PAs highlighted in the zoom
 297 are the a. proposed Ponte de Pedra indigenous land, b. Tapajós, and c. Alto Purus National Park.

298 Due to overlaps, not all protected areas can be visualized.

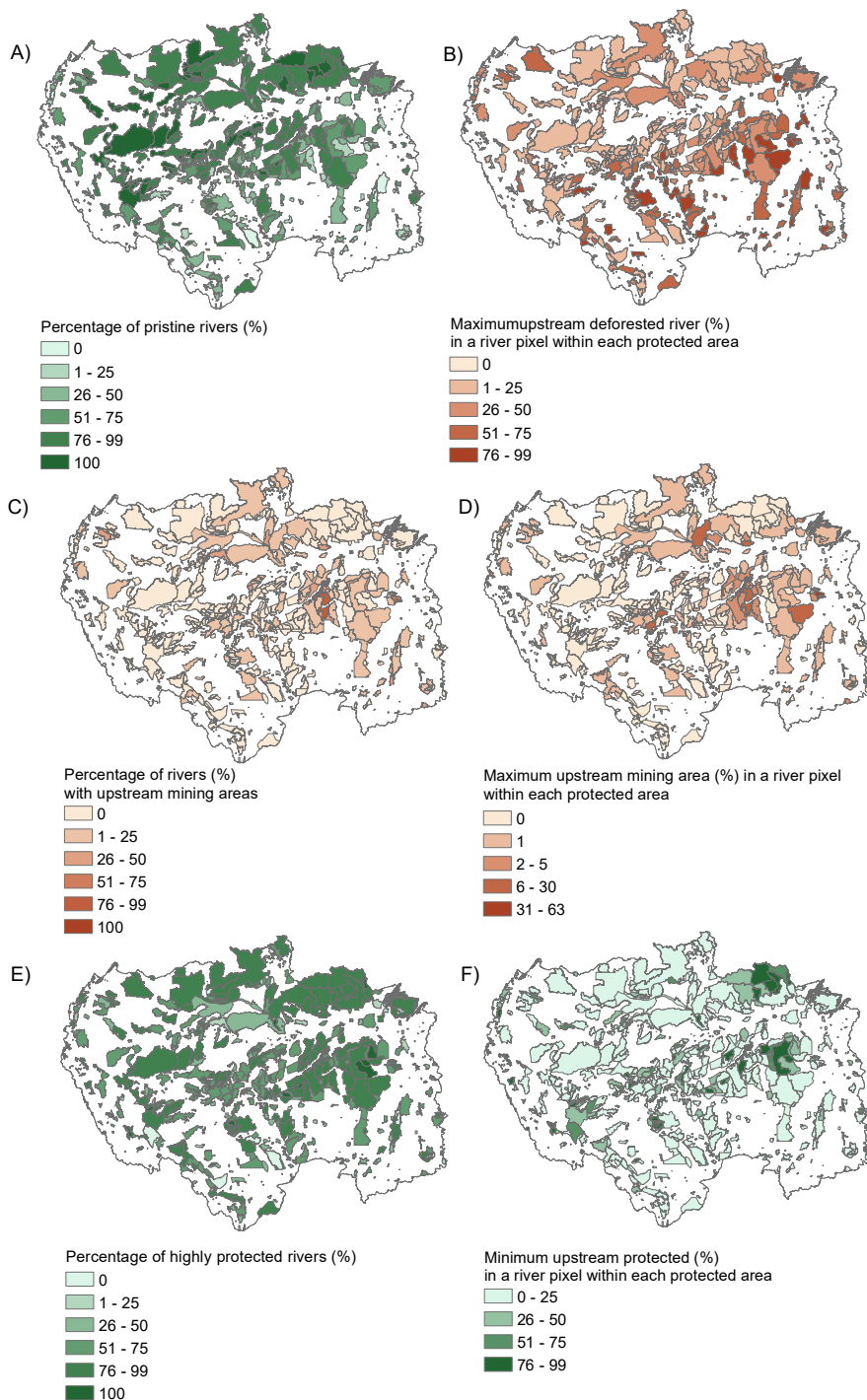


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302 **Figure 6.** Percentage of the river network within each Amazonian protected area classified as **a.**
 303 **pristine** (up to 1% deforestation upstream), **c.** with mining area upstream, and **e.** highly protected
 304 (more than or equal to 99% protection in its upstream area). The **b.** maximum percentage of
 305 upstream deforestation, **d.** upstream mining, and **f.** mining upstream protection are also shown.
 306 Due to overlaps, not all protected areas can be visualized.



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309 Only 0.02% of the river network within the Amazon PAs has an upstream drainage area
310 that is highly deforested, and 0.10% has an unprotected drainage area. In 20 PAs, there is at least
311 one river pixel with a highly deforested (more than 90% of deforestation) drainage area, most of
312 which are in the southern Amazon. Of these 20 PAs, 11 are indigenous land (six are
313 propositions). The proposed Estação Percis and Ponte de Pedra indigenous lands (Figure 5a), in
314 the Mato Grosso Brazilian state are the fifth and sixth PAs with the highest percentage of its
315 river network in this situation (16 and 12%, respectively). Ponte de Pedra's condition stands out
316 because only 5% of deforestation is inside it. However, due to deforestation outside the PA, the
317 upstream deforestation in the Ponte de Pedra rivers ranges from 78% to 91%. Also, in Mato
318 Grosso state, the contiguous designated Paresi, Tirecatunga, and Utiariti indigenous lands have
319 some highly deforested pixels inside. However, between 44 and 70% of their river network is
320 classified as highly protected, and no river pixel is unprotected. In these cases, most pixels with
321 high upstream deforestation rates occur in the rivers in the PAs' borders. Due to the low
322 deforestation observed inside PAs, the accumulated deforestation commonly decreases as the
323 river passes through a protected area, as observed for big rivers (Figure 5a) and the Itacaiúnas
324 River basin (section 4.3). This attenuation effect highlights PAs' vital role in improving water
325 resource conservation and the associated social-ecological systems.

326 There are upstream mining areas in 3.4% of the Amazonian river network. Although only
327 50 PAs contain some mining area, in 257 PAs, there is at least one river pixel with some mining
328 area upstream (Figure 6d). In 11 small PAs, including five indigenous lands (four designated and
329 one proposed), all mapped river networks have mining areas upstream. However, they do not
330 contain any mining areas inside them. An impressive case is provided by the Environmental
331 Protected Area of Tapajós (IUCN category V, located in the Brazilian State of Pará) (Figure 3b),
332 which has a relatively large area (20,537 km²), and 81% of its river network is affected by
333 mining in its upstream area. The maximum percentage of mining area in the drainage area of a
334 river pixel observed in this PA was 20%. It is the third PA with more mining area inside it
335 (1.7%). The maximum values per river pixel in PAs occur in two Conservation Units located in
336 the Itacaiúnas River basin (section 4.3): the Carajás National Forest (up to 63%) and Igarapé
337 Gelado Environmental Protection Area (up to 42%). These high rates of mining activity are due
338 to industrial mining activities within them (Figure 6d).

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340 4.3 Study case: Itacaiúnas River Basin

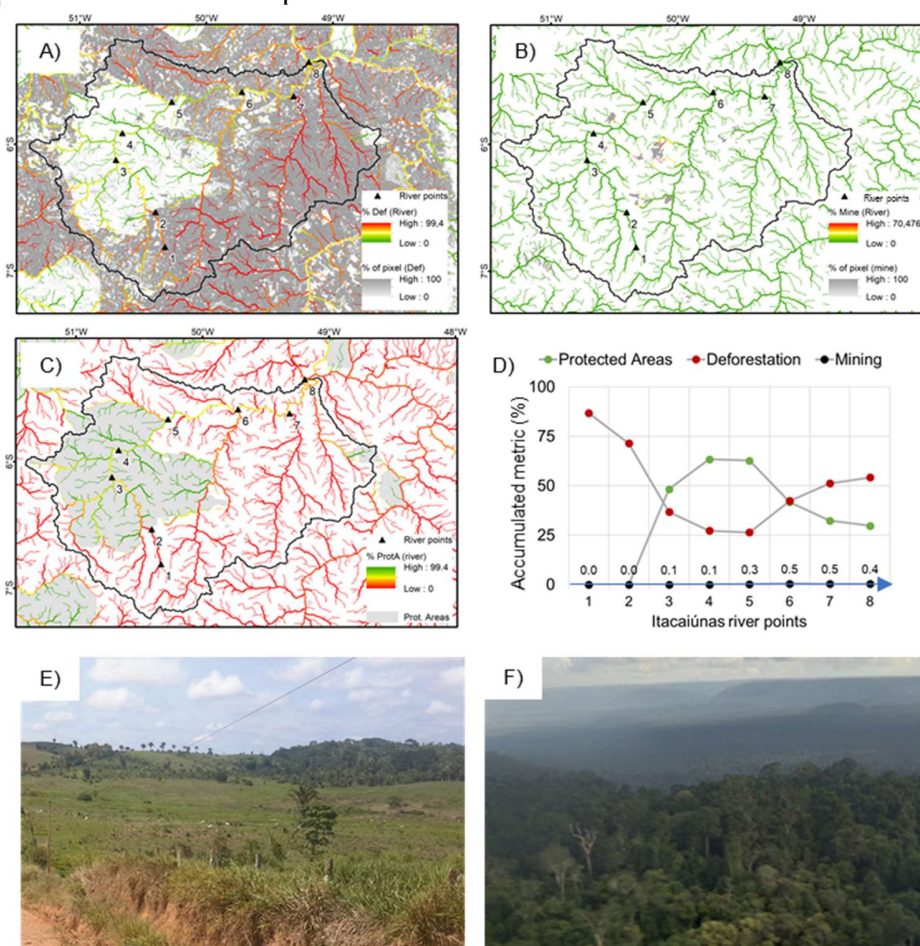
341 The Itacaiúnas River basin is the Amazon subbasin, with the highest percentage (14%) of
342 its river network classified as highly deforested. It also contains the river pixel inside a PA with
343 the highest rate of upstream mining areas (63%). Approximately one-quarter of the basin is
344 protected (conservation units and indigenous land), primarily located in a contiguous area in the
345 western part of the basin and covered by primary forests (Figure 7f).

346 The Itacaiúnas River enters the mosaic of PAs with 0% of its 863 km² drainage area
347 protected, 74% deforested, and 0% mined (point 2 in Figure 7). Within these PAs, only 4% is
348 deforested, and 0.78% is associated with industrial mining activities, which are included in its
349 management plan. After traveling 180 km within the mosaic of PAs and receiving several

350 tributaries, it leaves the mosaic with a drainage area of 13,029 km², of which 63% is protected,
 351 25% is deforested, and 0.3% is associated with mining (point 5).

352 Approximately 75% of the non-protected areas are deforested, most of which was
 353 converted to pasture (Figure 7e). The Sororó River basin, the eastern main Itacaiúnas tributary,
 354 has almost half (46%) of its river network with upstream highly deforested area. After receiving
 355 this tributary, the Itacaiúnas River reaches its mouth with a deforestation level of 51% in its
 356 drainage area (point 8).

357 **Figure 7.** a. Deforestation and percentage of upstream deforested areas in the Itacaiúnas River
 358 basin. The same is presented for b. mining and c. protected areas. d. Profile of upstream
 359 accumulated land use for eight points (upstream-downstream direction) along the Itacaiúnas
 360 River. Illustrative photos of e. a forested area converted into pasture and f. a forest area within a
 361 protected area are also presented.



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364 5 Discussion

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366 5.1 Implications for environmental management along the Amazon River basin

367 We showed that almost half of the Amazonian river network has unprotected drainage
368 areas, only one-third can be considered pristine (less than 1% deforestation upstream), and 5%
369 have some upstream mining areas. Approximately one-third of the Amazonian river network has
370 deforestation levels of more than 20% in their upstream areas, concentrated in the east and south
371 of the basin. This figure is remarkable because 80% of the area of each rural property in the
372 Brazilian Amazon must be covered with native vegetation (Federal Law 12651/2012). Areas
373 surrounding springs and headwater watercourses, in addition to other permanent preservation
374 areas, should also be preserved. However, a portion of these areas do not need to be restored, and
375 there is much illegal deforestation. Therefore, enforcing the restoration of these areas within
376 private rural properties can decrease the accumulated upstream deforestation in the Amazonian
377 rivers.

378 The threshold of 20% deforestation in the drainage area is also interesting given that
379 many classical studies suggest it as the threshold beyond which one can measure direct impacts
380 on streamflow (Bosch & Hewlett, 1982; Stednick, 1996). Therefore, deforestation is expected to
381 affect streamflows in one-third of Amazonian river networks. Variations in the natural flow
382 regime can impact not only aquatic but also the terrestrial biodiversity since rivers, wetlands and
383 floodplains can represent barriers or opportunities for species dispersal (Brauer et al., 2013;
384 Paquette et al., 2006; Wishart, 2000). Since we included only rivers with a drainage area greater
385 than 20 km² in our analysis, worse results could be expected in unmapped smaller rivers.

386 5.2 Protection of rivers within protected areas

387 Rivers cross geopolitical boundaries. The need for multicountry cooperation has been
388 stressed by several studies, e.g., for reducing dam impacts across the basin (Flecker et al., 2022)
389 and mitigating water stress (H. A. Munia et al., 2020). The same need is observed to define
390 protected areas to conserve fluvial ecosystems toward a basin-wide conservation framework
391 (Castello et al., 2013; Reis et al., 2019). According to the Brazilian National System of Nature
392 Conservation Units, creating and managing conservation units must guarantee the integration of
393 surrounding land and water. This statement is in accordance with the river catchment concept.
394 However, although PAs in Brazil have proven effective in curbing deforestation, and part of the
395 protection is partially extended to the buffer zone (Barros et al., 2022; Gonçalves-Souza et al.,
396 2021), their effectiveness in conserving freshwater ecosystem biodiversity is expected to be
397 lower.

398 As seen in the case of the Itacaiúnas River basin, and the proposed Estação Percis
399 indigenous land, a PA that has been effectively protected against deforestation may have
400 upstream areas with high deforestation rates, because its boundaries do not respect the catchment
401 limits, which can threaten freshwater biodiversity. Land cover changes in the headwaters of the
402 Itacaiúnas River, outside PAs, caused statistically significant changes in discharges propagated
403 within the PAs rivers, but the changes were reduced throughout the PAs due to the conservation
404 status of these areas (Pontes et al., 2019). Between 10% and 20% of the deforested area of the
405 basin must be restored for compliance with Brazilian environmental legislation, which could
406 mitigate some of these effects (Nunes et al., 2019). Additionally, recent and uncontrolled

407 artisanal mining activities have impacted basin-wide surface water quality (Salomão et al.,
408 2023).

409 Of greatest concern are the rivers with highly deforested drainage areas, or with upstream
410 mining, that enter indigenous lands. This situation can lead to unsafe drinking water, impaired
411 fishing, and other impacts to vulnerable people with limited access to basic sanitation and with
412 an already high rate of water-related diseases (Escobar et al., 2015; Jiménez et al., 2014). In
413 Brazil, the Hydrographic Basin Committees whose territories include indigenous lands must
414 include representatives of the National Foundation of Indigenous Peoples and indigenous
415 communities residing or with interests in the river basin. However, the inclusion process is
416 limited and indigenous people can need specific training to reduce the barriers to their effective
417 participation in water management (Galvão, 2013).

418 5.3 Using upstream accumulated landscape metrics to manage freshwater ecosystems

419 One of the targets of the Kunming-Montreal Global Biodiversity Framework is to ensure
420 that by 2030 “at least 30% of terrestrial, inland water, and of coastal and marine areas are
421 effectively conserved and managed through ecologically representative, well-connected and
422 equitably governed systems of protected areas.” For global freshwater biodiversity, which is
423 under a steep decline (Acreman et al., 2019), this requires considering the connectivity of the
424 rivers and the watershed landscape. This target also requires studies that analyze the
425 effectiveness of PAs to protect rivers and not just avoid deforestation within them.

426 The upstream accumulated landscape metrics can be integrated with other datasets, such
427 as those on water quantity and quality, and social and freshwater biodiversity, to provide a more
428 comprehensive understanding of the drivers and impacts of deforestation, mining, and protection
429 on ecosystem services linked to Amazonian aquatic habitats and the people that rely on it. As we
430 used datasets that are regularly updated (Mapbiomas and IUCN), it is possible to monitor
431 changes in the accumulated land use over time, which can help to identify hotspots that require
432 immediate conservation measures. Such information is important to indicate priority areas to
433 restore, aiming at protecting river ecosystems. This could be achieved by creating freshwater
434 protected areas or revising protected areas to address both terrestrial and aquatic ecosystems, as
435 previously suggested (Leal et al., 2020; Saunders et al., 2002). In the Brazilian Amazon, 50 Mha
436 is non-designated public forests (Azevedo-Ramos et al., 2020), and future studies should analyze
437 their potential to help protect the headwaters of strategic rivers, such as those that flow within
438 indigenous lands, and freshwater biodiversity hotspots.

439 6 Conclusions

440 The impacts of human activities and land management on rivers may go unnoticed if we
441 do not consider what occurs in their entire drainage area. Although this concept is rather
442 intuitive, conservation measures in the Amazon Basin have seldom considered it in their
443 protection framework. The generated accumulated land use (deforestation and mining areas) for
444 each 15-arc pixel of a Amazonian river network can provide important insights into the actual
445 conservation status of rivers. Here we showed that almost half of the Amazonian rivers has
446 unprotected drainage areas, only one-third can be considered pristine (less than 1% deforestation
447 upstream), and 5% have some upstream mining areas. With this approach, hotspots can be

448 identified and used for prioritizing conservation efforts or targeting interventions to reduce
449 deforestation or improve protection in high-risk areas.

450 Almost half of the rivers in protected areas are, in truth, unprotected because the
451 delimitation of the protected area does not cover its upstream drainage areas, which can threaten
452 freshwater biodiversity. Such information is also fundamental to indicate priority areas to restore,
453 aiming at protecting river ecosystems within the already existing protected areas and the services
454 they provide.

455

456 **Data availability**

457 Hydrosheds drainage direction data are available at [https://developers.google.com/earth-](https://developers.google.com/earth-engine/datasets/catalog/WWF_HydroSHEDS_15DIR)
458 [engine/datasets/catalog/WWF_HydroSHEDS_15DIR](https://developers.google.com/earth-engine/datasets/catalog/WWF_HydroSHEDS_15DIR). The MapBiomas data are available from
459 <https://mapbiomas.org/>. The PAs are available from at [https://developers.google.com/earth-](https://developers.google.com/earth-engine/datasets/catalog/WCMC_WDPA_current_polygons#description)
460 [engine/datasets/catalog/WCMC_WDPA_current_polygons#description](https://developers.google.com/earth-engine/datasets/catalog/WCMC_WDPA_current_polygons#description). The upstream
461 deforestation, mining, and protection for the river network and by AP and sub-basin have been
462 uploaded to Figshare, link: <https://figshare.com/articles/dataset/MapRios/23261450>.

463

464 **References**

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