1	<b>Relative Dispersion in the Antarctic Circumpolar Current</b>
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# ABSTRACT

We present an analysis of relative dispersion and associated metrics from 14 the RAFOS float observations collected during the Diapycnal and Isopycnal 15 Mixing Experiment in the Southern Ocean (DIMES) along with a set of par-16 ticles from an eddy-resolving numerical model that simulated the flow in the 17 DIMES region. Both RAFOS floats and numerical particles show correlated 18 motions and isotropic pair spreading at length scales smaller than 100-200km 19 and time scales smaller than 50-100 days. Relative dispersion and pair separa-20 tion PDFs for the RAFOS floats suggest that the stirring the ACC is non-local. 2 While, relative diffusivity, finite scale Lyapunov exponents (FSLEs) and sec-22 ond order structure functions indicate that the stirring might be local. How-23 ever, these second set of metrics are potentially influenced by internal waves 24 and position errors that do not lead to any cumulative dispersion at timescales 25 longer than a few inertial periods. Thus, the cumulative relative dispersion 26 in the ACC is most probably non-local. Model-particle relative dispersion 27 curves, relative diffusivity, separation PDFs, and FSLEs imply that the stir-28 ring experienced by the model particles is non-local, in accordance with the 29 relatively steep energy spectra diagnosed from the model. At large scales the 30 dispersion is anisotropic, with meridional dispersion behaving like random 3. walk and zonal dispersion behaving like shear dispersion. Relative diffusiv-32 ity from the RAFOS floats and model particles is a function of the separation 33 scales, with values of approximately  $O(30m^2/s)$  at about 10km separation, 34 and grows to about  $O(1000m^2/s)$  at 200km separations. 35

## **36** 1. Introduction

Oceanic flows are turbulent over a large range of length scales, and are very efficient at stirring tracers and enhancing diffusion by at least 6-7 orders of magnitude over molecular values (Garrett (2006)). This stirring plays an important role in the oceanic transport processes, such as the dispersal of heat, carbon, nutrients and other climatically important tracers (e.g. Gnanadesikan et al. (2015); Fox-Kemper et al. (2013)).

Using observations to characterize the nature of ocean turbulence and to quantify the strength is 42 essential for validating our theoretical framework for modeling these processes, and for helping to 43 guide the parameterizations of unresolved turbulence in circulations models. Lagrangian observa-44 tions provide one direct way of sampling these turbulent flows, particularly below the surface of 45 the ocean. Different statistical metrics have been devised to convert from raw Lagrangian trajecto-46 ries to quantitative statements about the underlying flow field. For example "Relative dispersion", 47 the mean square separation of pairs of particles, measures the size of a cluster of particles. The 48 rate of change of dispersion, or eddy diffusivity, quantifies the stirring in a turbulent flow field. 49 Generally, the eddy diffusivity is a function of time and of the scale of the tracer patch, but for 50 a statistically stationary and homogeneous flow, and a tracer patch larger than the dominant eddy 51 scale, the diffusivity asymptotes to a constant. Numerous estimates of ocean diffusivity have been 52 made in this way (Zhurbas and Oh (2003); Koszalka et al. (2011); LaCasce et al. (2014); Balwada 53 et al. (2016); Roach et al. (2016, 2018)). 54

On the other hand, at smaller length scales, where the velocities are correlated, the diffusivity generally increases with scale (Richardson (1926); Okubo (1971)). Two qualitatively different regimes are possible, non-local and local dispersion (Bennett 1984). Non-local dispersion occurs with steep energy spectra; in this case stirring is dominated by the largest eddies. Under local dispersion, in contrast, stirring is dominated by eddies comparable in scale to the size of the tracer
patch.

Observationally characterizing the stirring regime is practically difficult, and requires dense 61 sampling with pairs of instruments, which is why most previous studies have focussed on the 62 surface ocean using surface drifters (LaCasce and Ohlmann 2003; Koszalka et al. 2009; Lumpkin 63 and Elipot 2010; Poje et al. 2014; van Sebille et al. 2015; Beron-Vera and LaCasce 2016; Corrado 64 et al. 2017). The results from such studies have been mixed, with some indicating non-local dis-65 persion up to roughly the deformation scale and others suggesting local dispersion over the same 66 scales. The large scale dispersion varies as well, with some suggesting a transition to diffusive 67 spreading (e.g Koszalka et al. 2009) and other studies suggesting super-diffusive motion, most 68 likely due advection by the large-scale shear (e.g LaCasce and Ohlmann 2003). 69

Deep ocean studies of stirring, which are rarer, rely on sampling the flow using either an an-70 thropogenic tracer (SF6) (Ledwell et al. 1998; Watson et al. 2013) or RAFOS floats (Rossby et al. 71 1986). But while a tracer is an excellent means for measuring diapycnal diffusivities (Ledwell 72 et al. 2000; Watson et al. 2013; Ledwell et al. 2016), sampling requirements limit its usefulness 73 for diagnosing lateral stirring on large scales. It is quite useful over small scales though, and re-74 sults suggest that diffusivities of  $O(1 - 2m^2/s)$  on length scales of a few km are typical (Ledwell 75 et al. 1998; Sundermeyer and Ledwell 2001; Shcherbina et al. 2015). Possible causes of the small 76 scale dispersion include internal waves (e.g. Shcherbina et al. 2015), vortical modes (Polzin and 77 Ferrari 2004; Sundermeyer et al. 2005) and coupling between mesoscale vertical shear and vertical 78 diffusion (Haynes 2001; Smith and Ferrari 2009). 79

RAFOS floats (Swift and Riser 1994) are a good alternative for measuring diffusvities at depth,
 as their positions are sampled regularly, typically at least once per day. To resolve the smaller
 scales, the floats need to be deployed in pairs and triplets, which has not routinely been part of

float deployment strategies. We are aware of only two previous studies that reported on relative 83 dispersion in the deep ocean (LaCasce and Bower 2000; Ollitrault et al. 2005), both in the North 84 Atlantic Ocean at depths of about 1 km. LaCasce and Bower (2000) concluded the dispersion in 85 the western Atlantic was either local or driven by mean shear up to scales of approximately 100km, 86 while the particle pairs separated diffusively in the eastern Atlantic. Ollitrault et al. (2005) also 87 reported local stirring between 40-300km, and some indications of non-local spreading at shorter 88 scales. Non-local stirring yields exponential growth in mean square pair separations, and Ollitrault 89 et al. (2005) suggested an e-folding time scale of 6 days. 90

We examine observations of stirring at depths of 500 - 2000 m in the Southeast Pacific Ocean 91 sector of the Antarctic Circumpolar Current (ACC), using RAFOS floats deployed during the 92 Diapycnal and Isopycnal Mixing Experiment in the Southern Ocean (DIMES) (Balwada et al. 93 2016). The floats were deployed in pairs and triplets explicitly to resolve small scale dispersion. 94 We resolve length scales of 5-100km, where the float pair velocities were correlated. This work can 95 be thought of as a further step in the progression of the studies by Tulloch et al. (2014); LaCasce 96 et al. (2014); Balwada et al. (2016), which had reported on the asymptotic eddy diffusivity in the 97 DIMES experiment using both tracer and float observations. 98

Section 2 describes the data set and some of the specific choices made in our analysis. Section 3,
 4, 5 and 6 systematically analyze the data under the lens of several metrics: relative dispersion, pair
 separation PDFs, finite size Lyapunov exponents and second order longitudinal velocity structure
 functions. Finally we provide a discussion and conclude in section 7.

# 103 **2. Data**

<sup>104</sup> We examine two sets of Lagrangian trajectories, those from RAFOS floats released during the <sup>105</sup> DIMES experiment (Balwada et al. (2016)), and those from numerical particles advected in a <sup>106</sup> MITgcm simulation of circulation in the Southeast Pacific Ocean and Scotia Sea (LaCasce et al. <sup>107</sup> (2014)).

The DIMES RAFOS floats were released along the  $105^{\circ}W$  meridian and between  $54^{\circ} - 60^{\circ}S$ (Figure 1a). The floats behaved akin to isobaric floats and were spread over a depth range of 500 - 2000 m, with the highest data sampling close to depths of 750m and 1400m. We grouped the RAFOS trajectories into two depth bins: shallow (500-1000m) and deep (1000-1800m), and only considered segments of the trajectories to the west of  $80^{\circ}W$ . The floats rarely came within 100km of each other east of this longitude, in the Scotia Sea, and adding them to the analysis produces overly non-homogeneous statistics.

The numerical particles are the same as those used in LaCasce et al. (2014) (Figure 1b). The 115 velocity fields, used to advect the particles, were simulated using the MITgcm with a horizontal 116 resolution of 3km X 6km and 70 vertical levels. The model domain spanned  $160^{\circ} - 20^{\circ}W$  and 117  $75^{\circ} - 35^{\circ}S$ , and was forced at the lateral boundaries by the Ocean Comprehensive Atlas (OCCA, 118 Forget (2010)) and at the surface by ECMWF ERA-Interim 6h wind fields (Berrisford et al. 2009). 119 Details of the model run and comparison to hydrography can be found in Tulloch et al. (2014). 100 120 particles were released at 20 vertical levels, between  $55^{\circ} - 60^{\circ}S$ , along  $105^{\circ}W$ , at the numerical 121 grid separation of 3km, every 10 days for 120 days (12 releases). The particles were advected 122 using one day averaged 3D velocity fields, which was sufficient temporal resolution as the energy 123 spectrum drops off sharply ( $\omega^{-5}$ ) at periods shorter than about 5 days (not shown). This provided 124 1200 particle trajectories at each of the 20 levels from 300 m to 3000 m. 125

## **3. Relative Dispersion**

## 127 a. Theory

Relative dispersion quantifies the spreading of a cluster of particles about its center of mass
 (Babiano et al. 1990; LaCasce 2008). It is defined as the mean squared separation between particle
 pairs,

$$D^{2}(t, D_{0}) \equiv \langle \mathbf{D}(t, D_{0}) \cdot \mathbf{D}(t, D_{0}) \rangle, \qquad (1)$$

with  $\mathbf{X}(\mathbf{a}_i, t)$  the position of the particle at time t which started at position  $\mathbf{a}_i$  at t = 0, while  $\mathbf{D}(t, D_0) = \mathbf{D}_0 + (\mathbf{X}(\mathbf{a}_1, t) - \mathbf{X}(\mathbf{a}_2, t))$ , is the separation between a pair with an initial separation of  $\mathbf{D}_0 = \mathbf{a}_1 - \mathbf{a}_2$ ,  $(|\mathbf{D}_0| = D_0)$ . The averaging operator  $\langle . \rangle$  is conditioned over pairs that are initially separated by  $D_0$ , which is indicated as the functional dependence on  $D_0$ . A separation velocity,  $\delta \mathbf{V}(t, D_0) = \frac{d}{dt} \mathbf{D}(t, D_0) = \mathbf{u}(\mathbf{a}_1, t) - \mathbf{u}(\mathbf{a}_2, t)$ , is the difference between the velocities of the two particles that compose the pair.

<sup>137</sup> The *relative diffusivity* quantifies the rate of the spreading and is defined as

$$\kappa(t, D_0) \equiv \frac{1}{2} \frac{d\overline{D^2}(t, D_0)}{dt}$$

$$= \langle \mathbf{D}(t, D_0) \cdot \delta \mathbf{V}(t, D_0) \rangle$$

$$= \langle \mathbf{D}_0 \cdot \delta \mathbf{V}(t, D_0) \rangle + \int_0^t \langle \delta \mathbf{V}(t, D_0) \cdot \delta \mathbf{V}(\tau, D_0) \rangle d\tau.$$
(2)

For homogeneous turbulence that is randomly seeded with particles  $\langle \mathbf{D}_0.\delta \mathbf{V}(t,D_0) \rangle \approx 0$ ; the correlation between initial separation and initial relative velocities is by construction small. The covariance in the integral of the equation above can be expanded in terms of the velocities of the individual particles of the pair at time *t*,

$$\langle \delta \mathbf{V}(t, D_0) \cdot \delta \mathbf{V}(\tau, D_0) \rangle = 2 \langle \mathbf{u}(\mathbf{a}_1, t) \cdot \mathbf{u}(\mathbf{a}_1, \tau) \rangle - 2 \langle \mathbf{u}(\mathbf{a}_1, t) \cdot \mathbf{u}(\mathbf{a}_2, \tau) \rangle.$$
(3)

Homogeneity was used to interchange  $\mathbf{a}_1$  and  $\mathbf{a}_2$ , when needed, and contract the above expression. 142 If the velocities of pair particles are uncorrelated, this covariance is twice the single particle co-143 variance. At small times, when the pair velocities are perfectly correlated, the relative diffusivity, 144 same as the single particle diffusivity, is a linear function of time and the pairs separate ballistically 145  $(\overline{D^2} \sim t^2)$ . When the velocities are uncorrelated, after long time and as pairs are farther apart than 146 the largest eddies, the integral in equation 2 converges to a constant, and the relative diffusivity 147 is then twice the single particle (absolute) diffusivity (LaCasce 2008). In this regime the relative 148 dispersion grows linearly  $(\overline{D^2} \sim t)$ , like brownian motion. 149

At intermediate times, when the pair velocity is moderately correlated, the diffusivity scales with the particle separation. Scaling relations can then be deduced, on dimensional grounds, following standard turbulence phenomenology. Under the locality hypothesis, the turbulent cascade at a certain scale depends on the local wavenumber (*k*), for a kinetic energy spectrum  $E(k) \propto k^{-\alpha}$ 

$$\kappa(D) = \frac{1}{2} \frac{d\overline{D^2}^D}{dt} \propto D^{(\alpha+1)/2},\tag{4}$$

where the averaging  $(\overline{(.)}^{D})$  is performed over all particle pairs at separation of D initially. A more thorough discussion of this relationship, and test of its validity in idealized simulations can be found in Foussard et al. (2017). Note that the time dependence, present in equation 2, has been dropped in this relationship. This relationship only holds for values of  $1 < \alpha \le 3$  (Bennett 1984), as the locality hypothesis fails for steeper spectra.

<sup>159</sup> The special case of  $\alpha = 5/3$  corresponds to the inverse energy cascade of 2D turbulence (Kraich-<sup>160</sup> nan 1967), where the diffusivity is given by "Richardson's law",  $\kappa = \beta D^{4/3}$  (Richardson 1926), <sup>161</sup> where  $\beta$  is proportional to the third root of the energy dissipation rate. For this regime, after an <sup>162</sup> initial period when the memory of the initial condition is lost, the dispersion is (LaCasce 2010):

$$\overline{D^2} = 5.2675\beta^3 t^3.$$
(5)

<sup>163</sup> When  $\alpha \ge 3$  (Lin 1972; Lundgren 1981; Bennett 1984), the velocity field at scales smaller than <sup>164</sup> the energy containing scales are smooth and the turbulence is "non-local". Then the diffusivity is <sup>165</sup>  $\kappa = D^2/T$ , where *T* is proportional to the inverse cubic root of the enstrophy dissipation rate, and <sup>166</sup> the dispersion can be shown to be (Bennett 1984):

$$\overline{D^2} = D_0^2 \exp(8t/T). \tag{6}$$

<sup>167</sup> This exponential growth relationship is sometimes also referred to as "Lin's law".

## <sup>168</sup> b. Relative Dispersion from DIMES floats and Model particles

We now estimate the relative dispersion that was experienced by the RAFOS floats and the MITgcm particles, and test the theoretical relationships discussed above.

As the relative dispersion is a function of time (t) and depends on the initial separation ( $D_o$ ), we considered sets of particle pairs that were initially at different separations. Here we describe how these sets were chosen for the RAFOS floats and the numerical particles.

For the RAFOS floats, only using the pairs that are separated by  $D_0$  at time of release does not 174 provide a sufficiently large number of samples. So we rely on chance pairs (Morel and Larceveque 175 1974; LaCasce and Bower 2000) to increase our sample size. Chance pairs are defined as pairs 176 that fortuitously come within a specified separation ( $D_0 \pm \delta$ , where  $\delta$  is a bin size) of each other. 177 The initialization day, day 0, for the chance pair is chosen to be the first time the pair of floats 178 are within the specified separation bin. If the same pair of floats return to a separation within 179  $D_0 \pm \delta$  at least 25 days after day 0, they are further considered as a new pair starting at this new 180 time. However, these instances of pair members converging again are rare. The analysis presented 181 below is for the first 100 days of pair trajectories, as the pair velocities usually get decorrelated 182 within this period. In some instances one or both of the floats might have days with missing data; 183

pairs with less than 25% sampling during the first 100 days are discarded from the analysis. We found the results to not be sensitive to this choice as long as the vertical separation was kept within reasonable bounds. Most of the analysis presented here for the RAFOS floats corresponds to initial separation bins of 10-15km, 30-35km, 50-55km. Float pairs were also divided into two sets based on their average depth during the 100 analysis days, shallow set between 500-1000m and deep set between 1000-1800m. We only used pairs in these depth ranges when the two members of the pair were within 100m of each other, to minimize the impact of vertical shear on these results.

The pairs in the numerical model were selected by considering trajectories that were initialized 191 at the specific initial separation and at the same depth level. Discrete initial separation categories 192 were possible as the numerical particles were released on a longitude line at the grid separation. 193 Most the analysis presented here corresponds to numerical pairs that were initially separated by 194 11.1km, 33.3km and 50km. We focussed on numerical particles that were at depths of 750m and 195 1500m. The number of samples in the six sets, for both RAFOS floats and model particles, based 196 on initial separation and depth, are shown in Table 1. The choice of six sets is arbitrary but allows 197 us to explore the dependence of the results on depth and initial separation. 198

<sup>199</sup> The initial separation vector is found to be uncorrelated with the relative velocity, <sup>200</sup>  $\langle \mathbf{D}_0.\delta \mathbf{V}(t,D_0) \rangle < \pm 0.2$  at all time (not shown, refer equation 2), for both the RAFOS floats and <sup>201</sup> model particles. This suggests that the choice to consider chance pairs for observations does not <sup>202</sup> introduce any initial correlation between separation velocities and position vector, and is compa-<sup>203</sup> rable to randomly seeding the flow with particle pairs, as is done for numerical particles.

The analysis here is focused on the range of time and space scales over which the pair velocities are correlated, as uncorrelated motion leads to diffusive dispersion. The analysis for the uncorrelated range of scales was the focus of LaCasce et al. (2014); Balwada et al. (2016); Tulloch et al. (2014). In Figure 2 we show *the correlation coefficient* between the velocities of the two trajec-

tories that compose the pairs,  $\rho = \langle \mathbf{u}(\mathbf{a}_1, t) . \mathbf{u}(\mathbf{a}_2, t) \rangle / \langle |\mathbf{u}(\mathbf{a}_1, t)| \rangle \langle |\mathbf{u}(\mathbf{a}_2, t)| \rangle$ . Here we discuss the 208 results from the RAFOS floats and model particles in the same vein<sup>1</sup> as the  $\rho$  for the two sets is 209 almost indistinguishable, within errorbars<sup>2</sup>. As expected,  $\rho$  reduces as a function of time, and the 210 maximum  $\rho$  decreases as a function of initial separation. We also plot  $\rho$  as a function of root mean 211 square dispersion  $(\sqrt{D^2}(t, D_0))$  (Koszalka et al. 2011; Graff et al. 2015). This choice causes all the 212 correlation curves to approximately collapse on top of each other, suggesting that  $\rho$  is a function 213 of only the spatial separation. Finally, we define an associated *correlation time scale*, as the time 214 when the correlation coefficient drops to a value smaller than 0.5. The choice of 0.5 is arbitrary; 215 choosing a smaller value would result in somewhat larger correlation scales. Quantification of this 216 time scale gives a rough estimate of how long the correlated motion influences relative dispersion 217 for pairs starting a distance  $D_0$  apart. We see that this correlation time scale is on the order of 50 218 days at  $D_0 \sim 10$  km for the deeper set, and on the order of 30 days for the corresponding shallower 219 set. This time scale decreases with depth and with  $D_0$ , and is almost 0 for  $D_0 \sim 60$  km. Corre-220 spondingly, the spatial scale at which pair velocity correlation drops below 0.5 is about 60 - 70 km, 221 as can be seen in Figure 2 b,d. A different measure of velocity correlation, as a function of spatial 222 scale, is provided by the second order velocity structure function. This is discussed further in Sec-223 tion 6, and shows that the spatial scale at which the spatial velocity correlation becomes negligible 224 is approximately  $\sim 200$  km, and is the same as to the distance at which  $\rho$  becomes smaller than 0.2 225 (Figure 2 b,d). Even with the set of pairs at the smallest initial separations we don't see a period 226

<sup>&</sup>lt;sup>1</sup>In the rest of the results we present the results from the RAFOS floats prior to the model particles, and highlight the start of the individual discussions in italics when appropriate.

 $<sup>^{2}</sup>$ All error bars in this study are made by using the bootstrapping algorithm. This involves estimating the metric under question multiple times by creating many different sample sets, and using the 5<sup>th</sup> and 95<sup>th</sup> percentiles as the limits of the errorbars. Where each sample set is the same size as the original data set, but derived by performing random draws that allow for repetition. We only show the errorbars in key figures to provide guidance of the level of uncertainty; the errorbars are withheld from derivative figures to allow for clarity.

of perfectly correlated pair velocities, and conclude that a ballistic regime is not observed in this
 data set.

The *relative dispersion* as a function of time for different initial separations and different depths, 229 and for the RAFOS floats and model particles, is shown in Figure 3. For the RAFOS floats, 230 the relative dispersion curves, at shallower depth, corresponding to different  $D_0$  converge to a 231 separation of approximately 300km after 100 days. While the relative dispersion, at deeper depth, 232 converged only for the pairs that started at initial separation of 30 - 35km and 50 - 55km; the 233 100 day period was not long enough for the pairs that started out at 10 - 15km to converge with 234 the larger  $D_0$  sets. Towards the end of period shown in the figure, when pair velocities have 235 decorrelated, most relative dispersion curves portrayed linear growth, in accordance with brownian 236 diffusion  $(\overline{D^2} \sim t)$ . The relative dispersion from the *model particles* is in broad agreement with 237 the relative dispersion from the RAFOS floats within error bars, with the agreement being better 238 at deeper than shallower levels. 239

A decomposition of the relative dispersion into its zonal and meridional components is shown 240 in Figure 4. Since the ACC, the mean flow, is primarily zonal in this region a zonal-meridional de-241 composition is sufficient to look at the effects of mean flow on relative dispersion. For the RAFOS 242 *floats*, particularly the deeper sets, the zonal and meridional relative dispersions approximately 243 (visually) isotropic at almost all time shown, isotropy being considered to exist when the zonal 244 and meridional relative dispersions are within an order of magnitude of each other and grow at ap-245 proximately the same rate. Isotropy is discussed further when presenting relative diffusivity later 246 in this section. The shallow sets show a marked difference in the behavior of the zonal and merid-247 ional relative dispersions at late time ( $\sim 30$  days), when the zonal dispersion grows faster than 248 the meridional dispersion. This is presumably a result of the horizontal shear in the mean flow. 249 For the *model particles*, the zonal relative dispersion is much smaller than the meridional relative 250

dispersion because the model particles were initialized along a longitude line. However, within 251 an order of 10 days the model zonal dispersion catches up with the model meridional dispersion, 252 growing at an approximately ballistic rate. We do not consider this initial difference as a result 253 of anisotropy of the flow dynamics at these scales, but simply a side effect of the initialization. 254 At the shallower depth level the zonal dispersion increases, to exceed the meridional dispersion at 255 longer time, while at the deeper depth level the two remain isotropic for the duration considered 256 here ( $\sim 100$  days). Overall the model particles behave broadly similarly to the RAFOS floats for 257 the decomposed relative dispersions as well. Since the initial phase of the dispersion is isotropic, 258 we can test whether it fits any of the theoretical expectations discussed earlier. 259

Richardson dispersion predicts a cubic growth asymptotically, equation 5 ( $\overline{D^2} = 5.2675\beta^3 t^3$ ), 260 but at initial time this should not be observed due to the dependence on initial separations  $(D_0)$ . The 261 expression for the evolution during the initial phase is presented in Graff et al. (2015) (Appendix 262 A therein), and appears quite complicated. However, it turns out that a compensated dispersion, 263  $(D^{2/3} - D_0^{2/3})$ , using Graff's expression has a linear growth, which is easy to compare against a 264 compensated version of the observed dispersion curve. A similar dependence was used in Ollitrault 265 et al. (2005), but the derivation of their theoretical Richardson dispersion at initial time was less 266 rigorous than the one used in Graff et al. (2015). The compensated dispersion from the RAFOS 267 floats and model particles does not show any indication of Richardson dispersion (Figure 3c,d), 268 and the growth rate is faster than the expectation from Richardson dispersion for both the RAFOS 269 floats and the model particles. 270

If relative dispersion is non-local and follows Lin's law of exponential growth, equation 6 ( $\overline{D^2} = D_0^2 exp(8t/T)$ ) would hold. This would result in a a straight line on a semilog plot of dispersion. Figure 3 e,f show that on a semilog plot the relative dispersion, for both RAFOS floats and model particles, increases rapidly, possibly exponentially, for the first 10-20 days, and then settles into a slower growth after. This is strongly suggestive of an initial exponential growth, but further
 confirmation using other metrics is necessary.

The *relative diffusivities* were calculated using equation 4, where all possible pairs at a certain 277 separation were included in the averaging for the corresponding separation bin, regardless of the 278 initial separation, and averaging was performed after the time derivative was calculated. This is 279 different than the averaging used above, where only pairs within distance  $D_0$  at t=0 are considered. 280 Prior to calculating the time derivative, which was calculated as the center difference, we smoothed 281 the relative dispersion corresponding to individual pairs using a 2 day running mean. For the 282 RAFOS floats, the relative diffusivity increase as a function of separation, and is isotropic up to 283 approximately 70km (Figure 5). The magnitude of relative diffusivity at the shallower depths is 284 slightly greater than the magnitude of relative diffusivity at the deeper levels, at all separations. In 285 the isotropic regime, the diffusivity from the RAFOS floats follows a power law that is close to 4/3, 286 in disagreement with the suggestion of non-locality from the relative dispersion being exponential. 287 The flattening of the observed relative diffusivity curve at scales smaller than  $\sim$  5km might be a 288 result of noise in RAFOS float tracking at the smallest scales. The relative diffusivity from the 289 model particles clearly follows a power law of  $r^2$ , and confirms that the relative dispersion is non-290 local. The meridional diffusivity for the deep model particles is slightly smaller than the zonal 291 diffusivity. 292

At large scales, above 200km, the meridional relative diffusivity, from both RAFOS floats and model particles, saturates to a constant value that is in agreement with the results of LaCasce et al. (2014); Balwada et al. (2016), which focused on the diffusivity at the uncorrelated scales of motion. At the same scales, the zonal diffusivity does not saturate, for both RAFOS floats and model particles, and keeps increasing with separation. This growth in zonal diffusivity is presumably a

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result of the meridional shear in the ACC, and follows a power law of 4/3 in accordance with shear
 dispersion (LaCasce 2008).

In summary, at the scales of correlated pair velocities the relative dispersion from the *RAFOS* 300 *floats* appears to be non-local, while the relative diffusivity follows a power law of 4/3 and suggests 301 local dispersion. This discrepancy could result due to a number of reasons. A) Relative diffusivity 302 magnifies the influence of small scale position perturbations in the trajectory, which are present 303 due to internal waves or position tracking errors in RAFOS float trajectories (see the Lagrangian 304 frequency spectrum discussed below). This influence of the high frequency motions is magnified 305 in the relative diffusivity metric because a time derivative is taken over 2 days. This small scale 306 jiggling will not impact the relative dispersion on time scales longer than a few inertial periods 307 (Beron-Vera and LaCasce 2016) because it generates zero cumulative dispersion, but can impact 308 the results in relative diffusivity like metrics on scales up to 20-60 times larger than the scales 309 of the position perturbations (Haza et al. 2014). B) Alternatively, observed non-local relative 310 dispersion is the result of chance sampling: insufficient sampling for relative dispersion, since 311 we condition pairs based on initial separation, could lead to an emergence of non-local behavior 312 that would disappear if a larger dataset was available. Dräger-Dietel et al. (2018) showed that 313 relative dispersion could portray local or non-local behavior if a drifter data set was appropriately 314 sub-sampled. The model particles, in contrast, show consistent non-local dispersion using both 315 relative dispersion and relative diffusivity. 316

<sup>317</sup> We believe that the difference in the results between the RAFOS floats and model particles is a <sup>318</sup> result of the higher levels of kinetic energy present at higher frequencies in the RAFOS floats. To <sup>319</sup> highlight this, we consider the Lagrangian frequency spectrum of the velocities measured by the <sup>320</sup> RAFOS floats and model particles (Figure 6), to get an estimate of the kinetic energy contained <sup>321</sup> at different temporal scales. The velocities were estimated by applying center difference on the <sup>322</sup> position data, for both RAFOS floats and model particles. For succinctness, we averaged the
 <sup>323</sup> spectra from RAFOS trajectories at all depths, and only considered the model particles at 900m,
 <sup>324</sup> which is approximately the median depth of the RAFOS floats.

The main result is that the velocities measured by RAFOS floats have a lot more high frequency variability, periods shorter than 10 days, than the model particles; while the kinetic energy at time scales of over 20 days are comparable. Correspondingly, the RAFOS floats shows a marked range that follows a power law of  $\omega^{-3}$ , while the corresponding scales in the model spectrum has a much steeper power law of  $\omega^{-5}$ . It is also interesting to note that both RAFOS floats and model particles show a slight preference for cyclonic motions over anticyclonic motions.

The greater kinetic energy present at higher frequencies in RAFOS float velocities supports hypothesis A: the discrepancy between relative dispersion and relative diffusivity estimates from the RAFOS floats is a result of high frequency variability that does not impact relative disperion at time scales longer than a few interial periods. The lower kinetic energy and steeper spectrum present in the model is to be expected, as the model velocity fields are a result of primarily an enstrophy cascade and the presence of a viscous range that can influence motions on scales up to 4-5 times the grid scale (Balwada et al. 2018; Sinha et al. 2019).

# **4.** Probability Density Functions of the Pair Separations

### 339 a. Theory

Relative dispersion is the second moment of the pair separation probability density function (PDF). This PDF is expected to be non-gaussian at short separations where velocities are correlated, and thus the second moment is not a complete statistical descriptor of the PDF. Richardson <sup>343</sup> (1926) proposed that the pair separation PDF would evolve according the Focker-Plank equation,

$$\frac{\partial}{\partial t}p(r,t) = \frac{1}{r}\frac{\partial}{\partial r}\left(r\kappa\frac{\partial}{\partial r}p\right),\tag{7}$$

where p(r,t) is the pair separation (r) PDF. This equation can be solved exactly for given initial 344 condition, boundary conditions and an expression for relative diffusivity ( $\kappa(r)$ ). LaCasce (2010); 345 Graff et al. (2015) derived solutions assuming that the initial condition is a delta function (p(r,0) =346  $\frac{1}{2\pi r}\delta(r-D_o)$ ), i.e. all particle pairs are released at the same initial separation, and the boundary 347 conditions at  $r \to \infty$  are of no flux. They considered three canonical forms of  $\kappa(r)$ ;  $\kappa(r) = \beta r^{4/3}$ 348 corresponding to Richardson dispersion, which is a particular case of local dispersion,  $\kappa(r) = r^2/T$ 349 corresponding to non-local dispersion, and a constant  $\kappa(r)$  corresponding to the scale independent 350 diffusion, sometimes referred to as Rayleigh dispersion. 351

We do not reproduce the expressions for the PDF solutions here as they are quite complicated 352 and do not provide direct insight, but they can be found in the appendix of Graff et al. (2015). 353 The PDF corresponding to the specific local regime considered by Richardson is referred to as 354 Richardson PDF, for the non-local regime as Lungdren PDF (Lundgren 1981), and for the diffusive 355 regime as Rayleigh PDF. Moving beyond the second moment discussed in the previous section, 356 we now discuss the expected behavior of the normalized fourth moment - the kurtosis (Ku = <357  $r^4 > / < r^2 >^2 = (\int_0^\infty r^5 p dr) / (\int_0^\infty r^3 p dr)^2)$ . In the Rayleigh regime the *Ku* asymptotes to 2, while 358 in the Richardson regime the Ku asymptotes to 5.6. In the non-local regime the  $Ku = e^{8t/T_L}$  grows 359 exponentially in time. 360

One caveat of these solutions, similar to the scaling arguments considered in the previous section, is that it is assumed that the relative diffusivity scaling applies at all scales, while in nature the range of scales where a regime might be applicable is finite and one should not expect any solution to be valid for all time. Additionally, it should also be kept in mind that with sample sizes, as is <sup>365</sup> often the case for pair dispersion experiments, the confidence in estimating the shape of the pdf <sup>366</sup> and the its higher moments is quite low. Higher moments are harder to estimate, in particular the <sup>367</sup> odd-moments, which is why we only consider the kurtosis in the analysis below.

### b. Separation PDFs from DIMES floats and Model Particles

We now present the separation PDFs and kurtosis for the DIMES RAFOS floats and model particles.

To compare the results to the theoretical solutions we need first to estimate the theoretical growth 371 parameters for the Richardson regime( $\beta$ ), non-local regime (T), and constant diffusion ( $\kappa$ ). We 372 do not assume that any one regime is the best descriptor, but rather estimate the best fit parameters 373 for all of the regimes and then compare the results. The parameter estimation is done be fitting 374 different relative dispersion curves (theoretical second moment function from Graff et al. (2015) -375 equations A5, A12 and A18 therein) from day 0 to the day when the dispersion is 5 times the initial 376 dispersion (Graff et al. 2015; Beron-Vera and LaCasce 2016), which happens roughly after 10 days 377 (Table 2). We do the fitting for the set of pairs that are initially at the 10-15km separation for the 378 DIMES floats and 11km separation for the model particles, as these sets remain in the correlated 379 range of scales for the longest duration. This parameter estimation has been used previously in 380 Graff et al. (2015); Beron-Vera and LaCasce (2016). 381

The estimated parameters are presented in Table 2, and the fitted dispersion curves along with the actual dispersion are shown in the insets of Figure 7. Not surprisingly, none of the three curves corresponding to the three regimes perfectly match the relative dispersion. The non-local dispersion curve appears to be the best candidate, similar to the results from the previous section. The constant diffusivity, Rayleigh dispersion, is the worst and the local dispersion, Richardson, is a mediocre fit. We already know from the previous section that constant diffusivity is not a <sup>388</sup> good model for the behavior at the scales under consideration, and we will not discuss this regime<sup>399</sup> further.

The fits of the PDF at the time when the dispersion is 5 times the initial dispersion are shown in 390 Figure 7, and the evolution of the deep PDFs in time along with the evolutions of the theoretical 391 PDFs are shown in Figure 8. For the deep RAFOS floats both Lungdren and Richardson PDFs 392 seem to be good fits to the observed PDF at the fitting time (11 days), and the Lungdren curve is a 393 slightly better fit at 10 and 20 days, again suggesting that the stirring might be non-local. At longer 394 time, 75 days, neither Richardson or Lungdren provide good fits as most pairs have separated out 395 to uncorrelated scales. The results for the shallower set are similar (not shown). For the deep 396 model particles the Lungdren PDF appears to be the best fit (at 11 days), and describes both the 397 peak and the tails of the distribution. The Richardson PDF has a peak that is quite a bit smaller, 398 and a slightly broader tail. The Lungdren PDF also gives a good fit to the evolution of the PDF, at 399 10 and 20days, while at day 75 the model particle PDF is in between the Richardson and Lungdren 400 curves. 401

Finally in Figure 9 we plot the evolution of the kurtosis, which describes the evolution of the 402 tails of the PDF, for all the different initial pair separations considered in the previous section. The 403 kurtosis, similar to relative dispersion, for the RAFOS floats and model particles is very similar 404 and usually match within errorbars, which is why we will mostly discuss them together. The 405 kurtosis for all depths, all initial separation, and both RAFOS floats and model particles increases 406 rapidly for first 5-15days; this indicates that the initial delta function like PDF, where all pair 407 separations are similar, is transitioning to a PDF that occupies a much larger range of separations. 408 Generally the kurtosis increases to higher values and stays high for a longer duration for smaller 409 initial separations. This indicates that the collection of pairs that spend more time sampling the 410 correlated range of scales tend to form longer tailed PDFs. For the pairs released at 10-15km 411

initial separation, the peak kurtosis value is greater than 5.6 and suggests that non-local stirring
was sampled. The the pairs released at larger initial separation do not reach kurtosis values greater
than 5.6; presumably because the tails of PDFs start sampling the uncorrelated range of scales
before the kurtosis has had time to grow, which retards the growth of these tails.

In summary, the pair separation PDFs for the RAFOS floats suggests that the dispersion is nonlocal, while the non-locality of stirring for the model particles appears quite robust. This is broadly inline with the results of the relative dispersion from the previous section.

## **5.** Finite Size Lyapunov Exponents

# 420 a. Theory

To alleviate the observationally limited sampling associated with trajectory pairs starting at the same initial separation, it is preferable to change the conditioning of the averaging operation and study the increase in separation as a function of scale rather than time <sup>3</sup>. Finite Size Lyapunov Exponents (FSLE) measures the average time taken ( $\tau(\delta)$ ) for a pair of particles to grow in separation from scale of  $\delta$  to  $r\delta$ , where r > 1 (Artale et al. 1997). FSLE is defined as

$$\lambda(\delta) = \frac{\log(r)}{\langle \tau(\delta) \rangle} \tag{8}$$

If the kinetic energy spectrum follows a power law of the form  $k^{-\beta}$ , the FSLE is expected to scale as  $\lambda(\delta) \propto \delta^{(\beta-3)/2}$  (for  $\beta < 3$ ), for local stirring. For non-local stirring or smooth velocity fields,  $\beta > 3$ , the FSLE converges to a constant. Thus, the FSLE corresponding to Richardson's law would scale as  $\delta^{-2/3}$ , and corresponding to Lin's law would scale as  $\delta^{0}$ . For uncorrelated diffusive spreading the scaling would be  $\delta^{-2}$ .

<sup>&</sup>lt;sup>3</sup>Relative diffusivity estimated in section 3 used this averaging too.

### 431 b. FSLE from DIMES floats and Model Particles

For calculating FSLE we need to identify pairs of particles that come within  $\delta$  distance, and then calculate the time it take for them to reach  $r\delta$ . Here we take r = 1.4. We varied r and found no dependence of the results in this section on this choice. For the numerical particles we considered trajectories that were released at the same initial depth for identifying pairs. For the RAFOS floats we found pairs by identified trajectories that came within  $\delta$  separation, conditioned on being vertically separated by less than 100m at this time.

The RAFOS floats were tracked daily, and the output of the model particles was saved daily. 438 This sets an artificial discreteness on the possible values of  $\lambda$ , which would particularly be an 439 issue at smaller  $\delta$  when particle pairs will separate out to  $r\delta$  in relatively few time steps. We 440 linearly interpolated the separation time series between the resolved times to get a better estimate 441 of  $\lambda$ . For the RAFOS float's FSLE the interpolation causes an increase in the value of the FSLE 442 (compare solid and dashed lines in Figure 10), and also changes the power law behavior at smaller 443 scales (Lumpkin and Elipot 2010; LaCasce 2008). This change in power law at small scales is 444 not sensitive to whether the details of the interpolation, whether it is linear or cubic. This linearly 445 interpolation increases the value of FSLE slightly for the model particles, but does not change the 446 power law behavior of FSLE. 447

The FSLE from the RAFOS floats shows an approximately -2/3 dependence at scales smaller than 100km, at both the shallow and deep levels (Figure 10). At scales larger than 100km the FSLE slope becomes steeper, tending towards -2. The FSLE from the model particles at scales smaller than 100km is almost flat, and markedly different from the RAFOS floats. While, at scales greater than 100km the FSLE from model particles is almost identical to that from RAFOS floats. In summary, the results of this section suggest that the RAFOS floats experienced local stirring, while the stirring of the model particles was akin to non-local stirring at scales smaller than 100km. At scales greater than 100km both sets show behavior similar to diffusive spreading. There is no qualitative difference between the results of the shallow and deep sets, except for the time scales being faster at shallower depth.

FSLE's suggestion of local stirring for RAFOS floats is reminiscent of the suggestion of local stirring by relative diffusivity. This is a result of the fact that both these metrics highlight the spreading rates at fast times; FSLE by accounting for the time taken to expand over a short separation window and relative diffusivity by taking a time derivative. As discussed at the end of section 3, the cumulative dispersion at scales longer than few inertial periods is presumably not impacted by the small scale position variability, and thus it is more appropriate to categorize the effective relative dispersion as non-local.

## **6.** Longitudinal Second Order Velocity Structure Functions

#### 466 a. Theory

Relative dispersion is the time integrated result of the relative velocity acting in the longitudinal direction, along the position vector connecting the two particles. Longitudinal second order velocity structure function is a statistical measure that quantifies the properties of this relative velocity, defined as

$$S2_{ll}(r) = \left\langle (\delta \mathbf{u}(r).\hat{\mathbf{r}})^2 \right\rangle,\tag{9}$$

where  $\delta \mathbf{u}(r)$  is the velocity difference between two particles separated by distance r,  $\hat{\mathbf{r}}$  is the unit vector connecting these two particles, and the averaging operator is conditioned over all particle pairs that are separated by distance r. The subscript l indicates that we are considering the longitudinal component of the velocity difference.

<sup>475</sup> Bennett (1984); Babiano et al. (1985, 1990) proposed that for an energy spectrum following the <sup>476</sup> power law  $k^{-\beta}$  over a long enough range of scales, the structure function followed a power law <sup>477</sup> behavior of  $r^{\beta-1}$ .

Bennett (1984); Babiano et al. (1990) also show that an exact theoretical relationship to link the relative dispersion to the energy spectrum, and thus the second order structure can be derived (equation 3.6 in Bennett (1984)). However, evaluating this relationship in general is not possible, and progress is made only by assuming power law behavior of the energy spectrum that results from purely vortical turbulence.

Babiano et al. (1990), instead of using the conventional relative dispersion (section 3), defined a
 quantity referred to as the instantaneous relative dispersion coefficient

$$\boldsymbol{\chi}(r) = \left\langle \left(\frac{1}{2} \frac{d}{dt} \mathbf{D}(t) \cdot \mathbf{D}(t)\right)^2 \right\rangle_D^{1/2}$$

$$= \left[ S2_{ll}(D) \right]^{1/2} D,$$
(10)

where  $\langle . \rangle_D$  is averaging<sup>4</sup> conditioned when  $|\mathbf{D}| = D$ , independent of initial pair separation  $D_0$ . The root mean square form of instantaneous relative dispersion coefficient and the form of conditional averaging differentiates it from the regular relative diffusivity considered previously (Eqn 2 and 4). The connection of the instantaneous relative dispersion coefficient to the energy spectrum is quite direct, via the connection to the  $S2_{ll}$ , but the connection of relative diffusivity (eqn 4) is based on scaling arguments and long inertial ranges.

We are including the analysis of the  $S2_{ll}$  here for completeness, as numerous recent studies have included this metric along with relative dispersion diagnostics. However, we want to acknowledge

<sup>&</sup>lt;sup>4</sup>same averaging as relative diffusivity and FSLE.

that link of the  $S2_{ll}$  to relative dispersion is less direct, and the link is more direct to the less useful instantaneous relative dispersion coefficient. In particular,  $S2_{ll}$  is a good representation of the energy spectrum, which includes influence from internal-waves (LaCasce 2016; Beron-Vera and LaCasce 2016), and similar to relative diffusivity and FSLE might highlight the influence of small-scale perturbations that do not result in cumulative dispersion.

## <sup>498</sup> b. S2<sub>11</sub> from DIMES floats and Model particles

In order to calculate  $S2_{ll}$  we identified trajectories that came within a separation *r* of each other. We used the same separations bins as those used in the FSLE calculations of the previous section. For numerical particles we considered all trajectories that were initially released as part of the same depth set. For RAFOS floats we divided the trajectories into the two depth groups, and then only considered trajectories that were within 100m of each other vertically. We only show results for separation bins that had more than 100 samples.

At scales greater than approximately 100-200 km  $S2_{ll}$  for both the RAFOS floats and model 505 particles becomes constant, indicating that the spatial velocity correlation between particle pairs 506 at this separation is negligible. At these decorrelated scales  $S2_{ll}$  is an indicator of the eddy kinetic 507 energy (EKE). A comparison of this saturation value between the model and observations suggests 508 that the EKE in the model is slightly smaller than that observed in the DIMES region. The scale 509 at which velocity becomes decorrelated is slightly greater at the shallower depth ( $200 \sim 300$ km), 510 than at deeper depth (100 ~ 200km). At smaller scales the  $S2_{ll}$  from both the RAFOS floats 511 and model particles is scale dependent, with  $S2_{ll}$  from RAFOS floats having a much shallower 512 slope than then  $S2_{ll}$  from the model particles. The slope of the observational  $S2_{ll}$  is close to 2/3513 between scales of 3 to 20km, and becomes slightly steeper to have slopes close to 1 between 20 and 514 100km. While, the slope of the numerical  $S2_{ll}$  is approximately 3/2. Qualitatively the shape of 515

the *S*2<sub>*ll*</sub> between the shallow and deep observations is similar. We show in a complementary study (Balwada et al 2019 (in prep.)) that the shallow slopes for the observational data are probably a result of inertia-gravity waves, which will not play a role in transport and dispersion (Balwada et al. 2018).

# **520 7. Discussion and Conclusions**

In this study we provided an observational perspective on turbulent stirring in the subsurface Antarctic Circumpolar Current (ACC) at length scales comparable to and smaller than the largest observed eddies (1 – 100 km), and is one of the rare observational studies that addresses the nature of sub-mesoscale flows in the subsurface ocean. We examined Lagrangian stirring in the Southeast Pacific Ocean sector of the ACC, a relatively low kinetic energy region, using the DIMES RAFOS float trajectories and particles released in an eddy-resolving ( $\Delta x \sim 5$ km) MITgcm simulation with a variety of metrics.

One of the aims was to categorize if the stirring is local, primarily influenced by eddies that 528 are the size of the pair separation scales, or non-local, primarily influenced by eddies that are 529 much bigger than the pair separation scales. The RAFOS floats indicated that the stirring is non-530 local, when considering metrics that highlight the cumulative dispersion at time scales longer 531 than few inertial periods. While, metrics that are more sensitive to small-scale perturbations in 532 the trajectory, suggested that the RAFOS float dispersion might be local. However, we believe 533 that this is an artifact of these metric; highlighting high-frequency dynamics that do not produce 534 cumulative dispersion. All metrics indicated that the stirring experienced by the model particles 535 is non-local. This non-locality observed in the model particles is presumably a result of limited 536 spatial resolution, as the energy spectra in numerical models are quite steep (Figure 6), differing 537 from observations, up to length scales of about 5 times the grid resolution. 538

Pair member velocities are correlated up to scales of 100-200km, which corresponds to about 30 539 and 50 days of correlated motion for the shallow and deep floats that started at initial separations of 540 10km. During this time, the relative dispersion grows isotropically, at similar rates in the zonal and 541 meridional direction, up to separations corresponding to the size of the largest eddies, after which 542 the zonal growth becomes faster than the meridional growth. The overall rate of separation slows 543 down once the separation scale reaches the size of the largest eddies, evidenced by the convergence 544 of the dispersion curves for different initial separations. The relative dispersion for the RAFOS 545 floats and model particles is broadly consistent. Plots of compensated relative dispersion showed 546 that the the growth rates are more consistent with non-local exponential growth, rather than a 547 Richardson type local growth. The relative diffusivity for the RAFOS floats indicated that the 548 stirring is local, but as discussed at the end of section 3 this is probably a result of internal waves 549 that do not result in any cumulative dispsersion at time scales longer than a few inertial periods. 550 The relative diffusivity for the model particles showed a clear presence of non-local stirring at the 551 correlated scales, which is in agreement with the steep energy spectrum. 552

At large scales the dispersion is anisotropic, with meridional dispersion behaving like random 553 walk and zonal dispersion behaving like shear dispersion. The meridional relative diffusivity sat-554 urated to constant values  $O(1000m^2/s)$  at scales greater than 200km, which are in agreement with 555 estimates of single particle diffusivity for this region from previous studies (LaCasce et al. 2014; 556 Balwada et al. 2016; Tulloch et al. 2014). This large scale meridional diffusivity is approximately 557 two orders of magnitude larger than the relative diffusivity at scales smaller than 10km, which is 558 in agreement with the estimates of small scale diffusivity estimates from tracer roughness (Boland 559 et al. 2015). 560

The relative separation PDF and kurtosis evolution over time suggested the presence of nonlocal dispersion rather than local dispersion, for both RAFOS floats and model particles. There did not seem to be any systematic differences between the RAFOS floats and model particles for these metric, except that the observational data was more noisy due to limited sample size. These metrics, similar to relative dispersion, are indicators of cumulative dispersion and less sensitive to high frequency perturbations.

The finite size Lyapunov exponent (FSLE) and longitudinal velocity structure function  $(S2_{ll})$  in-567 dicated a marked difference between the RAFOS floats and the model particles, with both metrics 568 indicating the presence of a more energetic flow field at length scales smaller than 100km in the 569 real ACC as compared to the modeled flow. This is also in agreement with the relative diffusivity, 570 which also averages at fixed separation scale, rather than following the evolution of the trajectories 571 in time. However, as has been pointed out by previous studies (Beron-Vera and LaCasce 2016), 572 the interpretation of these metrics is questionable in the presence of inertia-gravity waves. These 573 waves can have strong signatures on the energy spectrum, which is comparable to the  $S2_{ll}$ , and 574 other metrics that are sensitive to high frequency dynamics, but do not produce any cumulative 575 dispersion (Balwada et al. 2018). The comparison between the behavior of these two metrics and 576 relative dispersion have only been done in idealized studies simulating a purely turbulent flow, 577 with no wave modes, and thus the biases present in these metrics in the presence of waves are not 578 well documented. Thus, we do not take the indication of local dispersion in these metrics as an 579 absolute guarantee that the dispersion is truly local. 580

At the end, we want to acknowledge that the observational results have large errorbars due to limited sampling, particularly for metrics that rely on following particle pairs over a long duration (relative dispersion, separation PDFs, and kurtosis). While, we have discounted the suggestion of local stirring by metrics that highlight small and fast scales (relative diffusivity, FSLE,  $S2_{ll}$ ), and believe that these metrics are corrupted only by linear internal waves that do not lead to any cumulative dispersion, it is possible that truth is somewhere in the middle. One cannot entirely discount the possibility that small scale features in the flow might be present that can lead to cumulative dispersion. Such flow dynamics in the deep ocean can potentially result from interaction between internal waves and balanced flows (Thomas and Yamada 2019), or more esoteric flow features referred to as vortical modes, which result due to breaking waves creating mixed patches that then coalesce due to an inverse cascade (Sundermeyer et al. 2005; Polzin and Ferrari 2004). Thus, the case on the true nature of stirring in deep ocean is not closed, and more dedicated observational work needs to be done to untangle these interesting complexities.

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731	Table 1.	Number of pairs at different depths and for different initial separations
732	Table 2.	Parameters by fitting second moment solutions to relative dispersion over day
733		0 to time when the dispersion is 5 times the initial dispersion. $T^*$ is the time
734		when the dispersion is 5 times the initial dispersion

Model Trajectories	11.1km	33.3km	50km
750m	1176	1128	1068
1500m	1176	1128	1068
DIMES Trajectories	10-15km	30-35km	50-55km
500-1000m	51	85	128
1000-1800m	93	188	299

TABLE 1. Number of pairs at different depths and for different initial separations.

Trajectories	$\beta$ ( $m^{2/3}/day$ )	$T_L$ (days)	$\kappa (m^2/s)$ (constant)	$T^*$ (days)
Model 11 km, 750m	28.3	30.2	216.9	7
Model 11 km, 1500m	13.5	59.9	94.1	12
DIMES 10-15 km, 500-1000m	20.8	42.7	175.3	9
DIMES 10-15 km, 1000-18000m	17.8	49.5	147.9	11

TABLE 2. Parameters by fitting second moment solutions to relative dispersion over day 0 to time when the dispersion is 5 times the initial dispersion.  $T^*$  is the time when the dispersion is 5 times the initial dispersion.

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784		the dashed lines are the FSLE without any interpolation. The dashed lines correspond to
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FIG. 1. 100 day trajectories of RAFOS floats (left) and a representative set of numerical particles from the MITgcm simulation (right). Orange trajectories are for shallower depths (500 - 1000m for RAFOS floats, and MITgcm particles launched at ~ 750m), while blue trajectories are for deeper depths (1000 - 1800m for RAFOS floats, and MITgcm particles launched at ~ 1500m). The climatological Sub-Antarctic Front (SAF) and Polar Front (PF) are marked by dashed purple lines (Orsi et al. 1995). The gray colors represent the bathymetry, with the lightest contour color starting at -6000m depth, and increasing by 1000m intervals.



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