

1 **Metastable olivine wedge beneath the Japan Sea imaged by seismic interferometry**
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11 **Key Points:**

- 12 • We turn deep earthquakes into virtual receivers by inter-source interferometry, to detect
13 metastable olivine wedge in subducted slab.
- 14 • We confirm the existence of the wedge about 30 km thick at 410-km depth and gradually
15 diminishing to at least 610-km depth.
- 16 • Our results suggest the slab core in transition zone is dry and deep-focus earthquakes
17 initiate by the phase change of olivine.
18

19 **Abstract**

20 The metastable olivine wedge (MOW) within subducted slabs has long been hypothesized to host
21 deep-focus earthquakes (>300 km). Its presence would also rule out hydrous slabs being subducted
22 into the mantle transition zone. However, the existence and dimensions of MOW remain
23 controversial. Here, we apply inter-source interferometry, which converts deep earthquakes into
24 virtual seismometers, to detect the seismic signature of MOW without influence from shallow
25 heterogeneities. With data from the Hinet, we confirm the existence of MOW beneath the Japan
26 Sea and constrain its geometry to be ~ 30 km thick at 410-km depth and gradually diminishing to
27 a depth of 610 km at least. Our result supports transformational faulting of metastable olivine as
28 the initiation mechanism of deep earthquakes, although large events ($M7.0+$) probably rupture
29 beyond the wedge. Furthermore, the slab core must be dehydrated at shallower depth and only
30 transports negligible amount of water into the transition zone.

31 **1 Introduction**

32 Global earthquakes mostly occur in the crust but can extent to ~ 700 km depth within
33 subducting plates. Crustal earthquakes are thought to be driven by the brittle frictional failure
34 (Scholz, 1998), while the nature of deep-focus earthquakes (depth >300 km) has been posed to
35 geophysicists as a long-standing puzzle (Brace et al., 1980). Several mechanisms have been
36 proposed for deep earthquakes, including the dehydration embrittlement (Meade & Jeanloz, 1991),
37 thermal shear instability (Kanamori et al., 1998) and transformational faulting (Green et al., 1989).
38 Among them, transformational faulting, which triggers the slip instability through a sudden phase
39 change from metastable olivine to spinel, can naturally explain the depth dependent seismicity
40 distribution that resurges in the transition zone with an abruptly cessation below 660 km (Houston,
41 2015). Moreover, recent laboratory experiments have shown fracture nucleation and later intense
42 acoustic emissions associated with the olivine-to-spinel phase transformation (Schubnel et al.,
43 2013, Wang et al., 2017), thus making the transformational faulting hypothesis more appealing.

44 For transformational faulting to happen, it is hypothesized that the low-pressure
45 polymorphs of olivine inside cold slabs could metastably extend into the mantle transition zone
46 (MTZ), forming a tongue-shaped “Metastable Olivine Wedge” (MOW). Furthermore, the
47 positively buoyant MOW, if present, may slow down the subducting slab in the MTZ (Bina et al.,
48 2001), or even resist the slab from penetrating into the lower mantle (Tetzlaff & Schmeling, 2000).

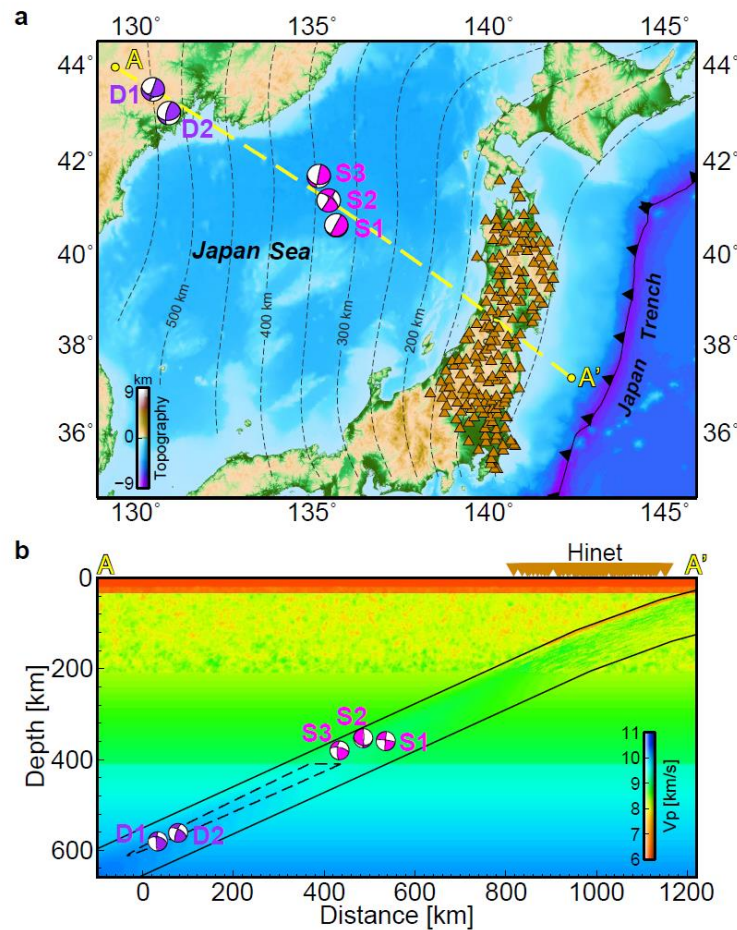
49 The dimension of MOW is generally thought to correlate with the slab thermal parameter (Kirby
50 et al., 1996), but the water content of subducted slab and the latent heat due to the phase changes
51 also play crucial roles (Mosenfelder et al., 2001, Frane et al., 2013). Laboratory experiments
52 demonstrated that incorporation of a small amount of H₂O leads to a remarkable boost in the
53 olivine to ringwoodite transformation rate via hydrolytic weakening process (Frane et al., 2013).
54 The latent heat feedback together with an additional intracrystalline transformation mechanism
55 significantly reduces the maximum depth that MOW can reach as suggested from an updated
56 thermo-kinetic model (Mosenfelder et al., 2001). Therefore, the existence and exact geometry of
57 MOW would provide essential constraints on the thermal-petrological properties of subducting
58 slabs.

59 However, seismic imaging of the low-velocity MOW structure has been particularly
60 challenging. For instance, body wave travel-time analysis ubiquitously suffers from the wavefront
61 healing effect. A thermal slab without MOW could satisfactorily predict high-resolution seismic
62 arrival times, but the inclusion of MOW merely offers a subtle improvement on the data fitting
63 (Koper et al., 1998) It has also been illustrated that the metastable olivine can be unveiled from
64 waveform distortions of some seismic phases that travel through it (Vidale et al., 1991, Koper &
65 Wiens, 2000). Nonetheless, deterministically examining the seismogram involves onerous effort
66 because lithospheric heterogeneities contribute great complexities on the seismogram and smear
67 the illumination of deep slab. Given the difficulty in resolving MOW, its thicknesses reported from
68 preceding studies differ by 50 km at the 410-km discontinuity in Japan subduction zone (Lidaka
69 & Suetsugu, 1992; Jiang & Zhao, 2011; Kawakatsu & Yoshioka, 2011; Furumura et al., 2016)
70 (Table S1), leaving the metastable persistence of olivine and its detail geometry hitherto
71 ambiguous.

72 **2 Inter-source interferometry**

73 To untangle the potentially subtle seismic signature of metastable olivine from complex
74 shallow Earth heterogeneities, we apply inter-source interferometry (Curtis et al., 2009; Tonegawa
75 & Nishida, 2010) to deep earthquake pairs in the Japan subduction zone (Figure 1a). For
76 conventional inter-receiver interferometry, cross-correlations of diffusive earthquake coda or
77 ambient noise field reconstruct Green's functions between receiver pairs (Campillo & Paul, 2003).
78 Equivalently, due to reciprocity, by cross-correlating coda waves from an earthquake pair, the

79 inter-source interferometry synthesizes the transient strain triggered by passing seismic waves
 80 from one source to the other. This is as if we convert one of the deep earthquakes to a virtual
 81 seismometer deployed below the complex shallow layers and record the other event. To avoid
 82 violating the impulsive-source condition in reciprocity, we select five deep-focus earthquakes of
 83 small magnitudes ($4.0 \leq M_w \leq 5.2$) with simple source process ($<10\%$ non-double-couple
 84 component). Among them, three earthquakes (S1, S2, S3) are refined at ~ 360 km depth whereas
 85 the other two (D1, D2) are at depths of ~ 580 km (Figure S1 and S2; see Supplementary for
 86 relocation details), corresponding to the shallow and deep ends of hypothesized MOW
 87 respectively. Although receivers in the inter-source interferometry should have a complete
 88 azimuthal coverage, the stationary phase approximation greatly loosens the receiver geometry
 89 restriction (Snieder, 2004). For our targeted slab beneath the Japan Sea, Hi-net stations situate
 90 around the stationary phase region of the deep earthquake pairs (Figure 1b), hereby providing an
 91 ideal source-receiver configuration to isolate the deep slab structures from other complexities.



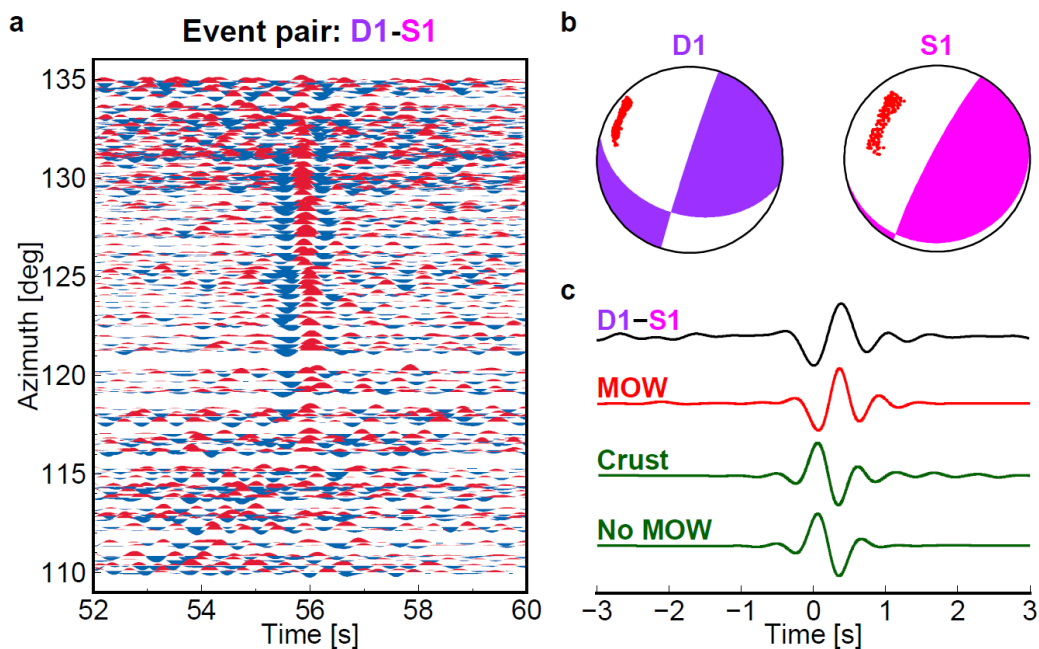
93 **Figure 1.** Map view of our targeted area and depth profile of Japan subduction zone. (a). Map of
94 this study area. Black dashed lines are the slab depth contours from Slab2.0 model (Hayes et al.,
95 2018). Orange triangles are Hi-net stations used in our interferometry. The purple and magenta
96 beachballs are from Japan Meteorological Agency and represent the earthquake depths of ~580
97 km (D1, D2) and ~360 km (S1, S2, S3), respectively. (b). P-wave velocity profile derived from
98 thermal modeling along AA'. The black solid line and black dashed line represent the geometry of
99 subducting Pacific slab and hypothesized MOW respectively. Above 200 km depth, small scale
100 heterogeneities are included. D1/D2 and S1/S2/S3 correspond to the deep (~580 km) and shallow
101 (~360 km) end of the MOW respectively.

102

103 We first validate the inter-source interferometry method for two synthetic scenarios with
104 and without MOW. The velocity and density profiles of slab and MOW are constructed based on
105 a thermal model tuned for the Japan subduction zone (see Supplementary for modeling details).
106 Small-scale heterogeneities are implemented at shallow depths to produce realistic coda waves
107 (Figure 1b; Furumura & Kennett, 2005). Given the velocity and density profiles, we simulated the
108 elastic wavefield with a GPU-based 2D finite difference code in Cartesian coordinates, which is
109 eighth-order in space and second-order in time (Li et al., 2014). With a minimum shear velocity
110 of 2.8 km/s, a grid spacing of 75 m and time step of 0.001s, our computed synthetic waveforms
111 are accurate up to 6 Hz with sampling of at least six grids per wavelength. After computing
112 synthetic seismograms on the surface from deep earthquakes D1 and S1, we filter and cut the
113 vertical-component coda waves from 5 s to 45 s after the P wave first arrivals for interferometry.
114 The 40-s long window is further cut into 10-s long overlapping segments offset by 2 s. The cross-
115 correlations of all the segments are then normalized by the maximum and averaged to account for
116 the coda energy decay with time. For the D1-S1 earthquake pair in both scenarios, the 0.2~2 Hz
117 cross-correlation record section presents coherent waveforms with constant arrival time across the
118 profile of Hi-net (Figure S3). This indicates that our simulated coda wavefields are diffuse due to
119 shallow heterogeneities and the inter-source Green's function could be extracted by coda
120 interferometry at a single station (Snieder, 2004). To enhance the coherent signal, we stack the
121 cross-correlations over all the stations. In both scenarios with and without MOW, the resulting
122 interferometric waveforms match the directly simulated P-wave strain seismograms from source
123 D1 to virtual receiver S1, and meanwhile, capture the polarity flip (Figure S3b vs. S3c) caused by
124 the P-wave interaction with the MOW (Figure S4). Since absolute arrival times have strong trade-
125 offs with earthquake locations, herein we focus solely on interpreting the waveform shape.

126 3 Results

127 Having shown the feasibility to retrieve the P-wave strain Green's functions between two
 128 deep earthquakes, we apply the inter-source interferometry method to real data on the Hi-net
 129 stations (Figure 1). As an example, the cross-correlation record section for the D1-S1 earthquake
 130 pair at 0.2~2 Hz exhibits coherent signals arriving at a constant time in a wide azimuth range
 131 (Figure 2a), implying a diffuse coda wavefield. The stacked waveform presents a negative trough
 132 preceding a positive peak, similar to that of aforementioned synthetic case with a MOW (Figure
 133 2c). Furthermore, the interferometric results of the other five earthquake pairs from D1/D2 to
 134 S1/S2/S3 are in good agreement with that of D1-S1 pair (Figure S5), all favoring a MOW structure.
 135 Beside the MOW cause, we also scrutinize other alternatives that could result in the negative pulse,
 136 such as opposite focal mechanisms and a low-velocity hydrous oceanic crust on top of the slab.
 137 First motion analysis shows that the Hi-net stations used for interferometry share same P wave
 138 polarities for all the selected deep earthquakes (Figure 2b and S6), so radiation patterns alone can
 139 not explain the negative polarities of the correlations. An 8 km thick oceanic crust with a velocity
 140 reduction of 8% extending to 660 km fails to reproduce our observations as well (Figure 2c and
 141 S7). With these alternative possibilities ruled out, we suggest the existence of metastable olivine
 142 beneath the Japan Sea as the preferred interpretation.



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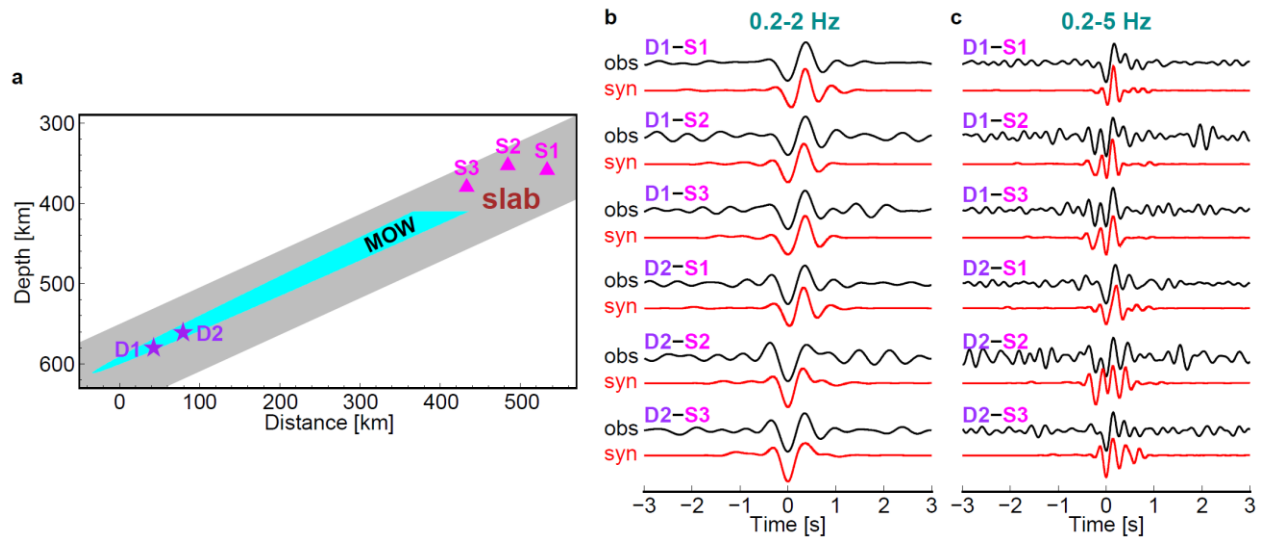
144 **Figure 2.** Inter-source interferometry results at 0.2~2 Hz suggest the existence of metastable
 145 olivine beneath the Japan Sea. (a). The record section of coda wave cross-correlations as a function

146 of azimuth for the D1-S1 earthquake pair. Blue and red color correspond to the negative and
147 positive phases respectively. Coherent signals with negative polarities arrive at a constant time
148 across Hi-net stations. (b). First motion analysis for deep earthquake D1 and S1. At Hi-net stations
149 (red dots), both events share the same P-wave polarities. (c). Waveform comparison of
150 observations and synthetics. The top black trace is the stacked cross-correlation waveform for D1-
151 S1 deep earthquake pair in (a). The red and dark green lines are the synthetic strain waveforms for
152 cases of a thermal slab with MOW (MOW), a thermal slab with hydrous oceanic crust (Crust) and
153 a thermal slab only (No MOW), respectively. All the traces are aligned by their peak phases. Only
154 the MOW model predicts the waveform shape observed in D1-S1 pair.

155

156 To better quantify the MOW dimension and depth extent, which are both important for
157 understanding deep earthquake physics and slab hydrous state, we need to appeal to higher
158 frequency interferometric waveforms. For example, we show that the 0.2~2 Hz cross-correlation
159 waveforms are insensitive to the location of S1/S2/S3 relative to the MOW (Figure S8), which in
160 turn provide little information on the thickness of MOW at the shallow end. On the other hand, at
161 0.2~5 Hz, synthetic strains are significantly distorted across a short distance range (Figure S8).
162 Hence, with well-constrained relative locations among the virtual sensors S1, S2, and S3, we can
163 use higher frequency (up to 5 Hz) waveform details at different locations to determine the
164 geometry of metastable olivine. Indeed, for synthetic tests with a set of earthquake pairs, the inter-
165 source interferometry is shown to be capable of extracting 5 Hz transient strains and capturing the
166 waveform variations at different virtual sensors (Figure S9).

167 Subsequently with the real data from Hi-net, we retrieve the 0.2~5 Hz strain responses for
168 all six earthquake pairs from D1/D2 to S1/S2/S3 following the same interferometry procedures
169 (Figure S10). Taking D1 as an example, virtual sensor S1 records a simple trace with negative
170 polarity, but the other two (S2 and S3) present splitting waveforms that consist of two phases
171 (Figure 3c). To evaluate the robustness of observed waveform complexity, we estimated the 95%
172 confidence intervals for stacked cross-correlations using a bootstrapping technique. All the
173 coherent signals evidently stand above the noise level with narrow uncertainties, and the traces
174 characterized by splitting phases are unlikely to be caused by noise (Figure S11). The distinct
175 interferometric waveforms appear to correlate with the spatial distribution of virtual sensors: S2
176 and S3 with splitting phases are close to the slab upper interface whereas S1 with a single phase
177 sits near the slab core (Figure 3a). In addition, similar interferometric results from the other deep
178 earthquake D2, though with slightly higher noise levels, suggest our 0.2-5 Hz correlations
179 converge to robust waveforms (Figure S11).



180

181 **Figure 3.** Proposed MOW dimension can reproduce our inter-source interferometry observations.
 182 (a) Slab profile with deep earthquakes D1/D2 and three virtual receivers (S1-S3). The gray and
 183 cyan region denote the slab and our proposed MOW respectively. The P-wave velocity within
 184 MOW decreases 5%. Both D1 and D2 need to be within MOW to explain the interferometric
 185 waveforms. (b). 0.2~2 Hz waveform comparison of inter-source interferometric observations
 186 (black lines) and synthetics (red lines) for all deep earthquake pairs. (c). similar to (b) but for higher
 187 frequency up to ~5 Hz. All six waveforms are well fitted by our suggested MOW model.

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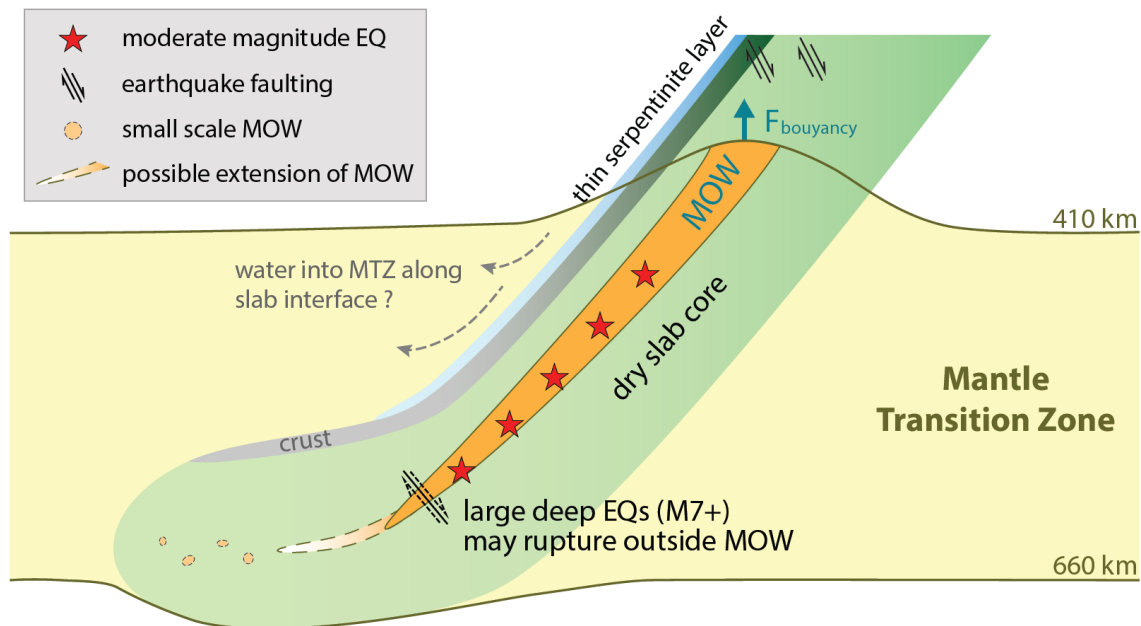
189 To account for these high frequency waveform variations, we grid-searched a variety of
 190 MOW geometries through physics-based modeling. Assuming that temperature is the first order
 191 control on the olivine phase transformation, the MOW would thus be defined as the region colder
 192 than a kinetic cut-off temperature (T_{mow}) in our initial thermal slab. In searching for the optimal
 193 MOW geometry to fit our interferometric observations, we directly computed the synthetic
 194 waveforms at virtual seismometer S1/S2/S3 from deep earthquake D1/D2. When comparing the
 195 synthetics with observations, we tested different kinetic kick-off temperatures as well as the deep
 196 earthquake locations relative to MOW allowing a maximum arrival time difference of 1.5 s. We
 197 found that both 0.2~2 Hz and 0.2~5 Hz interferometric waveforms can be adequately fitted when
 198 T_{mow} is defined as 664 °C with D1 situating at the lower boundary of metastable olivine (Figure
 199 3). The resolved P-wave velocity within MOW is 4%~5% lower than the surrounding slab velocity
 200 (2%~3% lower than that of ambient mantle; Figure S12), which is consistent with previous studies
 201 (Jiang & Zhao, 2011; Furumura et al., 2016). As an independent argument, the same MOW model
 202 does also provide a good fit to interferometric observations at the three virtual receivers from the
 203 other deep earthquake D2 (Figure 3). Despite that the 5-Hz interferometric waveform details are

204 not fully explained due to lateral variations in slab structures, the observed waveform features
205 (polarities, single or splitting phases) are generally retained in synthetic seismograms. Here, we
206 emphasize that our new interferometry observations constrain the MOW geometry and velocity
207 reduction, instead of the cut-off temperature (T_{mow}) or the thermal model. For scenarios with
208 different combinations of slab parameters (e.g., subduction rate, age), T_{mow} that fits the data best
209 can vary by tens of degrees (Figure S13). Nonetheless, the MOW structures consistently resemble
210 a thickness of ~ 30 km across the slab at 410 km and gradually diminish to a depth of ~ 610 km at
211 least.

212 **4 Discussion and Conclusions**

213 Compared to previously proposed MOW geometries in nearby regions, our MOW at 410
214 km depth is slightly thicker than that imaged by the receiver function (Kawakatsu & Yoshioka,
215 2011), but considerably thinner than that derived from traveltimes based studies (Table S1). With
216 our resolved MOW dimension, the delay of olivine phase transformation is estimated to increase
217 the slab buoyancy by 1% below 410 km, which is comparable to the thermal slab buoyancy force
218 (2~3%; Cammarano et al., 2003). Such extra buoyancy force generated by the metastable olivine
219 could in turn reduce the slab subduction rate (Tetzlaff & Schmelting, 2000). Given the age of Japan
220 trench (Sdrolias & Müller, 2006), the associated metastable olivine for a 130 Ma oceanic
221 lithosphere is estimated to slow down the subduction rate by up to $\sim 12\%$ (Bina et al., 2001). For
222 colder slabs, such as Tonga, the effect might be even stronger, due to the presumably larger
223 metastable olivine wedge. In our preferred scenario (Figure 4), deep earthquakes D1 and D2 occur
224 within MOW, supporting transformational faulting as the cause of deep earthquakes. Assuming a
225 circular crack and a constant strain drop (Vallee, 2013), the metastable olivine thickness (~ 7 km)
226 at 600 km depth is equivalent to the dimension of a moderate magnitude earthquake ($M_w 6\sim 7$) that
227 ruptures across the slab. To host larger deep earthquakes (e.g. $M_w 7+$) with larger rupture
228 dimensions, which did occur beneath the Japan Sea and in other warmer subduction zones with
229 potentially thinner MOWs, the slip instability probably nucleates within MOW by
230 transformational faulting and later propagate outside driven by other mechanisms (e.g., the thermal
231 shear instability). The switch of mechanism around $M 6\sim 7$ would break the self-similarity of deep
232 earthquake sizes and cause a change in the Gutenberg-Richter distributions (i.e., b values), which
233 is recently observed (Zhan, 2017). Furthermore, models of great deep earthquakes, such as the
234 1994 $M_w 8.2$ Bolivia earthquake and the 2018 $M_w 8.0$ Fiji-Tonga doublet, also imply two-stage

235 rupture processes and exemplify local slab temperature as the critical factor for deep earthquakes
 236 (Zhan et al., 2014).



237
 238 **Figure 4.** Schematic cross-section of subducted slab in the mantle transition zone. Metastable
 239 olivine persists in the slab core as a wedge extending down to the bottom of MTZ. The red stars
 240 indicate moderate magnitude EQs. Deep earthquakes can initiate within MOW by transformational
 241 faulting, but larger deep earthquakes (black dashed faulting) may potentially rupture outside MOW
 242 driven by other mechanisms. The existence of MOW requires that the core of subducted slab must
 243 carries negligible amount of water. But it is still possible that the water can be transported into the
 244 mantle transition zone along the slab interface.

245
 246 The depth extent of our proposed MOW indicates an extremely dry Pacific slab core (<75 wt ppm)
 247 in the MTZ beneath the Japan Sea (Figure 4; Kawakatsu & Yoshioka, 2011; Frane et al., 2013).
 248 However, the arc volcanism, intermediate-depth earthquakes, and high-resolution tomography
 249 models all point to substantially hydrated slab above 200 km depth (Hasegawa & Nakajima, 2017;
 250 Cai et al., 2018), potentially through outer-rise plate-bending faults that cut deep into the incoming
 251 plate as pathways for water (Ranero et al., 2003). Therefore, the water associated with these faults
 252 must be expelled almost completely into the mantle at intermediate depths (Kawakatsu & Watada,
 253 2007), carrying negligible amount of water into the MTZ (Green et al., 2010). Conversely,
 254 garnering evidences from ultradeep diamond inclusions (Pearson et al., 2014), mineral
 255 experiments (Kohlstedt et al., 1996) and electromagnetic induction data (Kelbert et al., 2009) have
 256 demonstrated that the MTZ can, at least locally, harbor substantial amount of water (up to ~2.5

257 wt%). Given the distance between our MOW and plate interface (~24 km) and the hydrogen
258 diffusion coefficients of olivine and its polymorphs (Hae et al., 2006), it is still possible that a thin
259 layer near the subducting plate provide potential pathways for transporting water into MTZ, such
260 as a narrow serpentinite channel on top of the slab (Kawakatsu & Watada, 2007). Or instead of
261 linking to current subduction, the water reservoir in MTZ might be associated with other tectonic
262 processes including the delamination of hydrous mantle lithosphere (Green et al., 2010) or rising
263 of hydrous magmas (Hirschmann, 2006).

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268 (<http://www.hinet.bosai.go.jp/>) and F-net (<http://www.fnet.bosai.go.jp/>). The earthquake catalog
269 and focal mechanisms are downloaded from ISC-EHB distributed by the International
270 Seismological Centre (ISC, <http://www.isc.ac.uk/>) and National Research Institute for Earth
271 Science and Disaster Resilience (NIED,
272 <http://www.fnet.bosai.go.jp/event/search.php?LANG=en>). The earthquake arrival time data is
273 available at the Japan Meteorological Agency (JMA,
274 http://www.data.jma.go.jp/svd/eqev/data/bulletin/index_e.html).

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