

21 **High resolution landslide susceptibility mapping using ensemble machine**
22 **learning and geospatial big data**

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43 **Abstract**

44 Landslide susceptibility represents the potential of slope failure for given geo-environmental
45 conditions. The existing landslide susceptibility maps suffer from several limitations, such as
46 being based on limited data, heuristic methodologies, low spatial resolution, and small areas of
47 interest. In this study, we overcome all these limitations by developing a probabilistic
48 framework that combines imbalance handling and ensemble machine learning for landslide
49 susceptibility mapping. We employ a combination of One -Sided Selection and Support Vector
50 Machine Synthetic Minority Oversampling Technique (SVMSMOTE) to eliminate class
51 imbalance and develop smaller representative data from big data for model training. A blending
52 ensemble approach using hyperparameter tuned Artificial Neural Networks, Random Forests,
53 and Support Vector Machine, is employed to reduce the uncertainty associated with a single
54 model. The methodology provides the landslide susceptibility probability and a landslide
55 susceptibility class. A thorough evaluation of the framework is performed using receiver
56 operating characteristic curves, confusion matrices, and the derivatives of confusion matrices.
57 This framework is used to develop India's first national-scale machine learning based landslide
58 susceptibility map. The landslide database is carefully curated from global and local
59 inventories, and the landslide conditioning factors are selected from a multitude of geophysical
60 and climatological variables. The Indian Landslide Susceptibility Map (ILSM) is developed at
61 a resolution of 0.001° (~100 m) and is classified into five classes: very low, low, medium, high,
62 and very high. We report an accuracy of 95.73%, sensitivity of 97.08%, and matthews
63 correlation coefficient (MCC) of 0.915 on test data, demonstrating the accuracy, robustness,
64 and generalizability of the framework for landslide identification. The model classified 4.75%
65 area in India as very highly susceptible to landslides and detected new landslide susceptible
66 zones in the Eastern Ghats, hitherto unreported in the government landslide records. The ILSM

67 is expected to aid policymaking in disaster risk reduction and developing landslide prediction
68 models.

69 Keywords:

70 Ensemble learning

71 Big Data

72 One-Sided Selection (OSS)

73 Support Vector Machine Synthetic Minority Oversampling Technique (SVM SMOTE)

74 High resolution landslide susceptibility map

75 **1 Introduction**

76 Landslides are one of the most devastating geohazards causing acute loss of life and property.
77 According to EM-DAT, landslides are responsible for 17% of natural disaster-related deaths
78 worldwide and billions of dollars in annual damages (CRED, 2022). Recent studies suggest
79 that landslides' frequency and socio-economic impact are increasing with more communities
80 being exposed to landslides (Alimohammadlou et al., 2013; Highland et al., 1998; Yalcin et
81 al., 2011). Moreover, the impact of landslides is often underestimated due to the absence of
82 systematically collected data (Froude and Petley, 2018; Sim et al., 2022). Identification of
83 landslide-prone areas with a high degree of accuracy is necessary to reduce the risk associated
84 with landslides (Azarafza et al., 2021; Castellanos Abella and Van Westen, 2008). With an
85 improvement in computing technology, there is an opportunity to develop an improved
86 landslide susceptibility map by leveraging remote sensing and ground-based datasets as well
87 as state-of-the-art machine learning techniques.

88 Landslides occur when gravity forces pushing on hillslope material exceed the frictional forces
89 holding the material in place, causing slope failure. Landslide susceptibility represents this
90 potential of slope failure (Reichenbach et al., 2018) and a landslide susceptibility map divides

91 the terrain into zones based on the likelihood of landslide occurrence. The landslide
92 susceptibility of any area can be determined before the occurrence of a landslide event by
93 assuming that future landslides would occur under identical conditions as previous landslides
94 (Guzzetti et al., 2006). Landslides are caused by complex interactions of geological,
95 geomorphological, hydrological, and meteorological characteristics. Modeling such complex
96 processes requires sophisticated approaches that can map the non-linear relationships between
97 landslide occurrence and landslide governing variables. To this end, various qualitative and
98 quantitative methods have been developed. The qualitative methods include geomorphological
99 analysis and heuristic methods, whereas the quantitative approaches are based on statistics,
100 physics, or numerical equations (Wieczorek, 1996). The qualitative methods are limited since
101 they are simplistic and based on expert judgement. The quantitative methods can further be
102 classified as physics-based methods and statistical methods. The physics-based methods
103 simulate the physical process to capture the processes leading to slope instability (Li et al.,
104 2016). In contrast, the statistical methods employ data driven approaches to model the landslide
105 process.

106 Machine learning methods are a subset of statistical methods that model the underlying process
107 using data. Machine learning methods are powerful information processing techniques that can
108 augment our understanding of landslide processes. The main advantages of machine learning
109 models include their objectivity, reproducibility, and ability to be continually updated with new
110 data. Once trained, the machine learning models can be used to determine the potential
111 probability of landslides. Earlier studies have compared the utility of various machine learning
112 models in developing landslide susceptibility maps. For instance, Bayesian Network (BN),
113 radial basis function (RBF) classifier, logistic model tree (LMT), and random forest (RF)
114 models (Chen et al., 2018), random forest and XGBoost (Meena et al., 2022), decision tree
115 (DT), support vector machine (SVM), and neuro-fuzzy inference system (ANFIS) (Pradhan,

116 2013), and Support Vector Machines (SVM), Logistic Regression (LR), Fisher's Linear
117 Discriminant Analysis (FLDA), Bayesian Network (BN), and Naïve Bayes (NB) (Pham et al.,
118 2016a) have compared the predictability of machine learning models for landslides prediction.
119 Recently ensembles of multiple machine learning models have been used to develop landslide
120 susceptibility maps, which have achieved an increased accuracy compared to base classifiers
121 (Kavzoglu and Teke, 2022; Sahin, 2020). Although there are many studies which use
122 homogenous landslide susceptibility models and simple ensemble strategies, the heterogenous
123 ensemble learning models are not yet extensively explored for landslide susceptibility mapping
124 (Fang et al., 2021)

125 There have been limited landslide susceptibility studies at high resolution on a national or
126 global scale due to the unavailability of landslide inventories and required computational power
127 (Bălteanu et al., 2010; Okalp and Akgün, 2016). However, with the advent of high-resolution
128 remote sensing and ground mapped geospatial data, it is now possible to develop high
129 resolution landslide susceptibility maps. Currently, most large-scale landslide susceptibility
130 maps are based on heuristic methods and are available at coarse resolution. Some of the large-
131 scale landslide susceptibility maps available in literature are shown in Table 1.

132 **Table 1** Large scale landslide susceptibility maps

Study Area	Resolution	Methodology	Inventory	Reference
Global	1000 m	Heuristic fuzzy	62,898	(Stanley and Kirschbaum, 2017)
Global	1000 m	Weighted linear combination	3000	(Nadim et al., 2006)
Global	0.25°	Weighted linear combination	555	(Hong et al., 2007)
China	0.01°	Artificial Neural Networks	1200	(Liu et al., 2013)
Georgia	100 m	Weighted linear combination	1350	(Gaprindashvili and Van Westen, 2016)
Europe	1000 m	Analytical Hierarchy processes	102000	(Günther et al., 2014)

Iran	85 m	Deep Learning (CNN and RNN)	4069	(Thi Ngo et al., 2021)
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134 To overcome all these shortcomings, we present a general framework consisting of 7 steps:
 135 data collection, data preprocessing, machine learning based modelling, hyperparameter tuning,
 136 ensemble generation, performance evaluation, output generation and visualization. The
 137 framework is deployed for the political boundary of India to generate the India Landslide
 138 Susceptibility Map (ILSM), which is India's first national-scale landslide susceptibility map at
 139 high resolution (0.001°).

140 **2 Study Area**

141 The study area covers the political boundary of India. India accounts for nearly 8% of global
 142 landslide fatalities (Ram and Gupta, 2022). From 2001 through 2021, India's average annual
 143 landslide death count is 847, and the average annual financial losses amount to \$0.3 billion
 144 (CRED, 2022). In 2018 heavy rainfall and landslides caused about 500 casualties in Kerala,
 145 Karnataka, and Tamil Nadu (Martha et al., 2021). Recently floods and landslides in Assam
 146 killed at least 14 people and displaced 1.7 million people across 29 districts
 147 (<https://reliefweb.int/disaster/fl-2022-000213-ind>).

148 India exhibits some of the highest diversity in geology and topography. Due to steep slopes
 149 and heavy rainfall most of the landslides occur in the northwest himalayas followed by the
 150 northeast himalayas and the western ghats (Martha et al., 2021).

151 The Himalayas are composed of sedimentary rocks which are prone to denudation and erosion.
 152 Furthermore, the steep slopes and rapid flowing rivers cause a large amount of toe erosion
 153 making the slope unstable. Therefore, most of the landslides in himalayas are rockfalls. On the
 154 contrary western ghats have basalt rocks, and rivers with gentle slopes thereby resulting in
 155 fewer rockfalls. However, weathering due to heavy rainfall has led to a development of thick

156 layer of regolith, thereby leading to mudslides (Martha et al., 2021). Another important
157 geological factor is the slope of the terrain. While most of the landslides in the western ghats
158 are associated with steep slopes, most of the landslides in northeast himalayas are associated
159 with gentler slopes due to a compressed fold and fault sequence which leads to reduced shear
160 strength (Martha et al., 2021).

161 Apart from geology, environmental and anthropogenic factors play an important role in the
162 spatio-temporal variability of landslides. Rainfall intensity and duration are the most important
163 environmental factor for triggering landslides, consequently most of the landslides occur on
164 the areas towards the windward side of western ghats and Himalayas. However, the western
165 ghats require less rainfall to trigger landslides when compared to Himalayas due to high soil
166 depth which allows more water retention and an increased porewater pressure ultimately
167 leading to landslides, whereas the Himalayas have exposed rocks which require large rainfall
168 to increase porewater pressure (Martha et al., 2021). Among the anthropogenic factors, road
169 development, construction add to slope instability and increase the risk of landslides e.g. In
170 Sikkim most of the landslides occurred in urban areas which have been attributed to
171 urbanization and infrastructure development (Singh et al., 2020). A more comprehensive
172 review of the spatio-temporal variability of geology and landslides can be found in (Martha et
173 al., 2021; Valdiya, 2015)

174 The landslide maps prepared in India till 2013 are limited to important transportation corridors
175 and discrete locations which witnessed heavy damage due to landslides. In 2013 floods and
176 landslides in Uttarakhand impacted 12 of the 13 districts, caused thousands of deaths, and left
177 nearly 75000 pilgrims stranded ([https://reliefweb.int/report/india/uttarakhand-flash-floods-
178 %E2%80%93-report](https://reliefweb.int/report/india/uttarakhand-flash-floods-%E2%80%93-report)). In response to the Uttarakhand disaster, the Geological Survey of India
179 (GSI) launched the National Landslide Susceptibility Mapping (NLSM) project, which has
180 since produced a 1:50,000 scale landslide susceptibility map of 85% of the total target area in

181 landslide-prone areas (“Lok Sabha,” 2021). The NLSM project models susceptibility using an
182 analytic hierarchy process (AHP) where an assigned weight is allocated for various factors
183 based on the Bureau of Indian Standards, (1998) guidelines. The AHP makes the landslide
184 maps subjective and reduces the usability of the model; also since the maps are on a scale of
185 1:50000, smaller landslides cannot be detected (“NDMA,” 2019). Therefore, we need a
186 strategy to generate a finer scale susceptibility map for India using data-based methods.

187 **3 Datasets**

188 A wide variety of factors are significant in influencing landslide susceptibility and have been
189 extensively studied (Guzzetti et al., 2006). In landslide susceptibility studies, these parameters
190 are required to model the shear strength of soil, soil-water interaction, soil vegetation
191 interaction, and the impact of anthropogenic activities. The landslide conditioning factors are
192 available in different formats and spatial resolutions.

193 Chang et al. (2019) suggests that susceptibility maps with high resolution topographic data may
194 be inaccurate due to noise in the data, meanwhile when mapping landslide susceptibility at
195 coarse resolution, the number of landslide conditioning factors required is more (Gaidzik and
196 Ramírez-Herrera, 2021). Based on the study area, available spatial resolution, and data
197 availability, we selected 16 landslide conditioning factors at a spatial resolution of 0.001°. The
198 datasets developed are discussed below:

199 **3.1 Landslide Inventory**

200 Landslide inventory is a systematic record of landslide location, extent of landslide and other
201 characteristics. Landslide inventory is important for mapping landslide susceptibility, landslide
202 risk, landslide early warning and understanding the evolution of landscapes especially in hilly
203 regions which are dominated by landslides. Developing a Landslide susceptibility is landslide

204 inventory is the primary step towards developing landslide susceptibility since susceptibility is
205 based on the idea that future landslides will occur in similar conditions as past landslides.

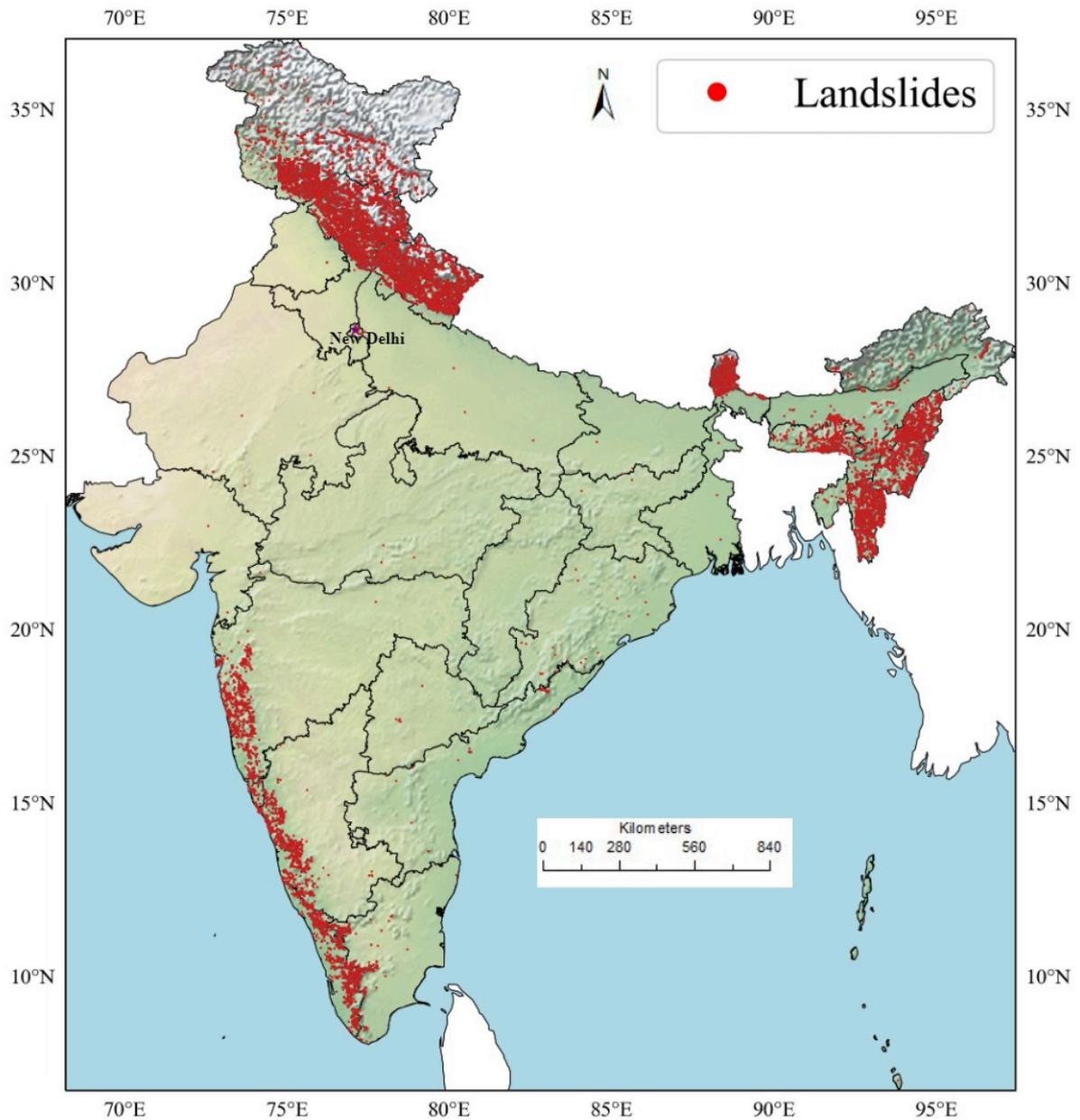
206 Landslides cause a discernable impact on the terrain which is used to delineate the geographical
207 extent of these landslides. This extent is then mapped using field surveys, aerial
208 photogrammetry, or satellite imagery. The selection of the mapping technique is based on a
209 multitude of factors such as the size of the study area, data availability, and the availability of
210 resources.

211 In this study, landslide inventory was formed by merging landslide inventories acquired from
212 the Geological Survey of India and the Cooperative Open Online Landslide Repository
213 (COOLR) (Juang et al., 2019). Prior to the widespread availability of high-resolution satellite
214 imagery, the Geological Survey of India (GSI) primarily relied on field surveys to construct
215 landslide inventories. However, this approach posed significant limitations, particularly in
216 regions characterized by mountainous slopes. Following a thorough review of multiple GSI
217 reports, it is evident that contemporary landslide inventories are now predominantly created
218 through multitemporal analysis using Google Earth imagery.

219 Before the advent of high resolution satellite imagery GSI primarily relied on field surveys to
220 construct landslide inventories. However, this approach was costly and posed severe
221 limitations, particularly in inaccessible mountainous regions. Our analysis of multiple
222 landslide reports from GSI leads us to the conclusion that current landslide inventories are
223 developed using multitemporal analysis on Google Earth imagery. Furthermore, to enhance
224 the accuracy of these inventories, some of the accessible landslides are verified through on-
225 site field visits.

226 ([https://www.gsi.gov.in/webcenter/portal/OCBIS/pageQuickLinks/pageLandslideHazardRep](https://www.gsi.gov.in/webcenter/portal/OCBIS/pageQuickLinks/pageLandslideHazardReport)
227 [ort](https://www.gsi.gov.in/webcenter/portal/OCBIS/pageQuickLinks/pageLandslideHazardReport)).

228 GSI's landslide inventory contains 49105 landslides mapped as points and 105,224
229 landslides mapped as polygons. COOLR repository, on the other hand, is a citizen science-
230 based landslide repository. The COOLR data selection and preparation are carried out by
231 eliminating data entries whose location is not accurate (Stanley and Kirschbaum, 2017).
232 Figure 1 shows the case study area boundary and the landslide inventory.



233

234

Figure 1 Landslide inventory for India

235 **3.2 Landslide conditioning factors**

236 A landslide susceptibility model aims to develop a relationship between landslide inventory
 237 and landslide conditioning factors. Based on extensive literature review our initial experiments
 238 consisted of 19 landslide conditioning factors. Three variables, namely LULC data, distance
 239 from faults and drainage density of rivers had zero random forest feature importance. The
 240 feature importance was also validated using the global feature importance method Partial
 241 Dependence Plots for SVM and ANN. Therefore, we removed these features from landslide
 242 conditioning factors database. Finally, we develop 16 landslide conditioning which are shown
 243 in Table 2.

244 **Table 2** Landslide Conditioning Factors

Attribute Name	Description	Data Type	Data Source
Elevation	Height in meters	Raster	
Slope	Rate of change of elevation	Raster	
Aspect	Direction of slope in degrees	Raster	MERIT DEM (Digital Elevation Model) (Yamazaki et al., 2017)
TWI	Location of water accumulation	Raster	
Curvature	Shape of slope	Raster	
Upslope Curvature	Average curvature of upslope pixels	Raster	
Downslope Curvature	Average curvature of downslope pixels	Raster	
Sand	Fraction of Sand in the soil	Raster	
Silt	Fraction of Silt in the soil	Raster	
Clay	Fraction of clay in the soil	Raster	ISRIC (Laura and de Sousa, 2020; Poggio and de Sousa, 2020a, 2020b)
Roads	Distance from urban and rural roads	Vector (line)	PMGSY
Rivers	Minor and Major rivers of India	Vector (line)	CWC (Central Water Commission)

Precipitation	Precipitation of the wettest month	Raster	World Clim (Fick and Hijmans, 2017)
NDVI	Area of green vegetation	Raster	MODIS
Landslide Lineament	Zone of faults and Fractures	Vector (line)	GSI
Erosivity factor	India Rainfall Erosivity Dataset	Raster	IIT Delhi (Raj et al., 2022)
Landslide inventory	Database of historical landslides	Vector (Points, Polygons)	GSI

245

246 3.2.1 DEM (Digital Elevation Model)

247 Digital Elevation Models represent the terrain of an area, in the form of a raster grid. DEMs
248 help to identify intricate terrain features for landslide hazards. The input DEM is extracted from
249 MERIT DEM, a high accuracy global DEM at three arc-second resolution developed using
250 multisensor data fusion (Yamazaki et al., 2017). MERIT is designed to improve upon the
251 original SRTM DEM by using advanced techniques to reduce errors and fill gaps in the data.
252 MERIT DEM is significantly improved over flat regions, along with a better representation of
253 rivers and valleys, which is essential for landslide mapping (Yamazaki et al., 2017). DEMs are
254 also used to derive secondary inputs like slope, aspect, curvature, and topographic wetness
255 index. The slope dictates the shear stress and hydrological process, whereas the curvature
256 dictates the weathering and erosion processes. The aspect shows the slope direction and is an
257 essential and complex variable for landslide susceptibility. Aspect is also closely related to
258 climatic conditions especially the variations in solar radiation, soil moisture, and temperature
259 distribution which impact the occurrence of landslides (Cellek, 2021). Since 0° aspect and 360°
260 aspect are identical, the numerical values of the aspect do not accurately depict the aspect value.
261 The aspect was divided into nine directional groups to account for the impact of the aspect
262 (Youssef and Pourghasemi, 2021).

263 The topographical wetness index (TWI) estimates locations where water will accumulate.
264 Consequently, an area with higher TWI will be associated with more landslides. We also
265 include upslope and downslope curvature of pixels to model landslide susceptibility. The
266 average of all hydrologically upslope pixels is represented by upslope curvature, whereas the
267 average of all downslope pixels is represented by downslope curvature. The datasets for slope,
268 aspect, curvature, and Topographic Wetness Index are derived from MERIT DEM in Google
269 Earth Engine (Gorelick et al., 2017).

270 3.2.2 NDVI

271 The Normalized Difference Vegetation Index or NDVI is developed using near-infrared and
272 infrared imagery reflectance since green vegetation strongly absorbs red light and reflects
273 infrared rays. NDVI is an indicator of healthy vegetation and is used to incorporate the
274 vegetation-soil interaction, which is a crucial factor influencing landslides. To develop NDVI
275 map we first calculate the median NDVI for monsoon months from sentinel 2 data after cloud
276 masking. Afterwards we use month wise median of images to get monthly NDVI. This process
277 is repeated for years 2015-2020. The final NDVI map is an average of all the NDVIs.

278 3.2.3 Soil Composition

279 The soil composition, which determines the soil's shear strength and drainage capacity, is an
280 essential factor influencing landslide susceptibility. Fine-grained soils have smaller particle
281 sizes and a higher surface area, making them more prone to erosion and slope failure. They
282 also tend to have lower permeability, meaning they can absorb and drain less water. As a result,
283 they can become saturated with water and lose their stability, leading to landslides.

284 On the other hand, coarse-grained soils have larger particle sizes and a lower surface area,
285 making them more resistant to erosion and slope failure. They also tend to have higher
286 permeability, implying they can absorb and drain water better, which helps stabilize the soil
287 and reduce the risk of landslides.

288 Soil properties, namely sand fraction, silt fraction, and clay fraction, are incorporated from the
289 International Soil Reference and Information Centre (ISRIC) soil grids dataset. ISRIC develops
290 soil properties and classes using global covariates and globally fitted models (Laura and de
291 Sousa, 2020; Poggio and de Sousa, 2020b, 2020a).

292 3.2.4 Anthropogenic Factors

293 Anthropogenic interventions such as construction and toe cutting destabilize the slopes leading
294 to landslides. In hilly regions, most landslides occur along transportation corridors since
295 transportation corridors are developed by cutting hills, destabilizing the slope, and thereby
296 causing an increase in the number of landslides. In this study, we use road maps from the
297 GeoSadak platform (<https://geosadak-pmgsy.nic.in/>), which have been meticulously ground
298 mapped under the directive of the Government of India and contain 1,027,269 major and minor
299 roads mapped as polylines. This data explicitly records rural habitations in distant areas not
300 adequately covered by previous datasets. The road polyline is used to prepare a raster
301 containing the euclidean distance from the nearest road, and this is the first time this detailed
302 road network data has been used for landslide susceptibility studies.

303 3.2.5 Meteorological factors

304 Most slope failures in India are triggered by meteorological events, specifically intense and
305 prolonged rainfall. Heavy precipitation increases soil weight and reduces shear resistance,
306 making the slope vulnerable to failure. To incorporate the impact of precipitation on slope
307 failure, the precipitation of the wettest month from worldclim data is used (Fick and Hijmans,
308 2017; Hijmans et al., 2005). The precipitation of the wettest month from worldclim has been
309 previously utilized in multiple landslide susceptibility studies to account for the spatial
310 distribution of rainfall (Dinanta et al., 2020; Ramachandra et al., 2013).

311 3.2.6 Distance from Rivers

312 Moving water continuously causes soil erosion, removal of toe support, and water seepage
313 from rivers thereby reducing the soil strength and increasing the likelihood of landslides along
314 riverbanks. The euclidean distance to river map is developed using a line map for India's major
315 rivers, minor rivers, and rivulets acquired from the Central Water Commission. The line map
316 is processed to create a raster map of 0.001°(100 m) resolution where every pixel denotes the
317 euclidean distance from the nearest river.

318 3.2.7 Rainfall erosivity

319 Rainfall erosivity represents the kinetic energy of rainfall intensity. Falling raindrops exert
320 pressure on the surface and cause instability of the soil surface, leading to soil erosion and
321 landslides. Higher rainfall erosivity increases the chance of landslides (Ahmad et al., 2019).
322 Despite its importance, erosivity is a highly underutilized variable in landslide research. In this
323 study, we utilize the Indian Rainfall Erosivity Dataset (IRED), the first national-scale mapping
324 of rainfall erosivity factor (R factor) over India, to incorporate the impact of erosivity on
325 landslides (Raj et al., 2022).

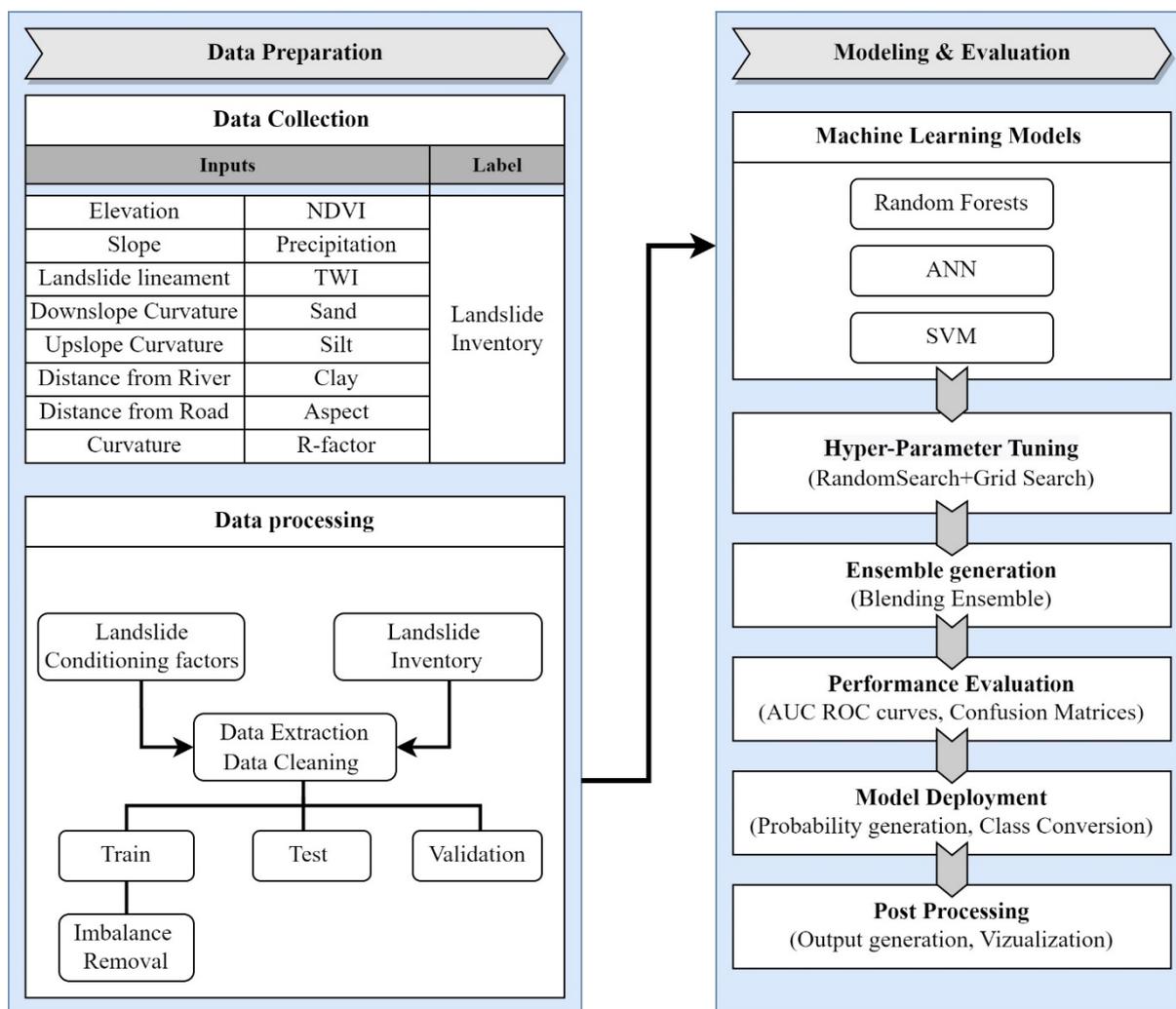
326 3.2.8 Landslide Lineament

327 The structural geology of an area considerably impacts the occurrence of landslides (Anbalagan
328 and Singh, 1996; Ramli et al., 2010). To incorporate the impact of structural geology, we use
329 landslide lineaments which are features caused by joints and faults. The landslide lineament
330 data has been developed by GSI and is available at bhukosh (<https://bhukosh.gsi.gov.in/>),
331 India's national geological data portal.

332 **4 Methodology**

333 The landslide inventory consists of 105,224 landslides mapped as polygons and 49,105
334 landslides mapped as points from GSI. The COOLR database contains 1820 landslide points,
335 but only 489 landslides are selected for model development since other data points are not

336 mapped accurately enough for high-resolution landslide mapping. The landslide conditioning
 337 factors and landslide inventory is divided into pixels of $0.001^\circ * 0.001^\circ$. If any pixel has a point
 338 landslide, landslide conditioning factors of the pixel are assumed to be landslide causing. In
 339 case of polygon data, all the pixels covered by landslide polygon were assumed to be landslide
 340 pixels. The overall methodology followed to develop a high-resolution landslide susceptibility
 341 map is shown in Figure 2.



342

343 Figure 2 Methodology for developing a high-resolution landslide susceptibility map

344 **4.1 Data Pre-processing**

345 All the landslide conditioning datasets are resampled to a spatial resolution of 0.001° . There
 346 are no missing data points for 10 variables, and the missing data points for the rest of the 6

347 variables are less than 0.1% of the total data. The closest pixels in four directions are weighed
348 using inverse distance weighing to interpolate for the missing data points.

349 4.1.1 Data Encoding

350 All the variables except the aspect are in numerical format. We need to convert the categorical
351 aspect values into numerical features to be used as input for machine learning models. We
352 benchmark multiple encoding methods like one-hot encoding, leave-one-out encoding, James
353 Stein encoding, mean encoding, and catboost encoding using a subset of landslide data. Based
354 on the results, James Stein encoding (Stein, 1956) is selected for encoding aspect.

355 4.1.2 Data Split and normalization

356 If any landslide point lies inside the pixel, the pixel is assumed to be a landslide pixel. In the
357 case of landslide polygons, the polygons are first separated into training, testing, and validation
358 polygons and then transformed into pixels; this is important because neighborhood pixels of a
359 single polygon might be separated into the training and validation set causing the model
360 estimates to be over-optimistic (Emberson et al., 2021; Peña and Brenning, 2015). The testing
361 data and validation data non-landslide pixels are randomly sampled from the entire database
362 such that they are representative of the total data. After splitting, the datasets are scaled between
363 0-1 using a min-max scaler. Data normalization is done after splitting the data into training,
364 validation, and testing data to prevent data leakage.

365 **4.2 Imbalance handling of training data**

366 Machine learning algorithms for classification are designed around the assumption of equal
367 data for all classes. However, since landslides are localized and rare event, the landslide
368 database is highly imbalanced. The number of data points representing the occurrence of
369 landslides is significantly lower than those representing non-occurrence of landslides.

370 Even the most common performance metrics, such as accuracy, assume balanced class
371 distribution. In case of imbalanced data, these algorithms lead to poor predictive performance,
372 especially for minority classes which are more important since multiple highly susceptible
373 landslide points identified as low susceptibility would degrade the usability of the model. A
374 machine learning model trained on unbalanced data will exhibit poor predictive performance,
375 especially in the case of landslide pixels, since it tends to be overfitted and biased towards non-
376 landslide points. Therefore, we remove the imbalance only from the training data. As explained
377 in section 4.1.2, the validation and testing data are supposed to be a subset of the original data
378 without including synthetic data; hence no imbalance removal techniques are applied to the
379 testing and validation data.

380 A simple way to deal with class imbalance is to resample the original data into balanced data
381 representative of the overall problem. Resampling includes oversampling the minority class or
382 undersampling the majority class. Undersampling means reducing the number of data points in
383 the majority class. Undersampling can be done by randomly removing the data points from the
384 majority class, also known as random undersampling. However, random undersampling has
385 the disadvantage of discarding potentially useful information essential for the model. To be
386 more discerning regarding the deletion of the majority class sample, a learning model should
387 be trained to identify redundant examples for deletion. In this study, we use One-Sided
388 Selection (OSS) technique to reduce most data points (Kubat and Matwin, 1997). OSS first
389 uses Tomek Links to reduce the number of ambiguous data points in the class boundary, and
390 then it uses Condensed Nearest Neighbours (CNN) to remove redundant data points far from
391 the class boundary. This method reduces the redundant data points from the majority class
392 significantly.

393 Oversampling data means increasing the number of data points in the minority class. Random
394 oversampling can be easily done by replicating the data points multiple times. Since similar

395 data points are repeated in random oversampling, this technique leads to the overfitting of the
396 model. A model fitted with random oversampling has high training accuracy but low testing
397 accuracy.

398 There are several approaches to informed oversampling, out of which Synthetic Minority
399 Oversampling Technique (SMOTE) is the most widely used (Chawla et al., 2011). SMOTE
400 randomly selects an example from the minority class and identifies k nearest neighbors of that
401 sample. A random nearest neighbor is then selected, and a line segment is drawn between the
402 example and the selected nearest neighbor; a synthetic example is then created at a random
403 point between the line. This method can be used to generate any number of synthetic samples.
404 SMOTE creates synthetic instances without considering the majority class, which might result
405 in incorrect synthetic data if the majority and minority classes overlap. Hence, we also compare
406 multiple extensions of SMOTE that consider the decision boundary to generate synthetic
407 samples. The Borderline-SMOTE1 (BLSMOTE) (Han et al., 2005) can generate samples near
408 the decision boundary. The SVMSMOTE (Nguyen et al., 2011) uses an SVM classifier on the
409 original dataset to create a decision boundary and then creates synthetic samples along lines
410 joining the minority class support vector and the data points. The Adaptive Synthetic Sampling
411 Approach (ADASYN) (He et al., 2008) creates synthetic samples that are inversely
412 proportional to the density of the minority class examples. All these approaches are compared
413 using fivefold cross-validation on raw landslide data using Random Forests Classifier and
414 accuracy as a metric. SVMSMOTE has the highest oversampling accuracy, whereas random
415 oversampling has the lowest accuracy. The combination of undersampling and oversampling
416 methods improves the overall performance of machine-learning models (Chawla et al., 2011).
417 Since the data imbalance in the case of landslides is extremely high, we firstly use OSS to
418 undersample the data and SVMSMOTE to oversample the data to create an accurate
419 representation of the entire dataset and enhance the performance of machine learning models.

420 The combination of undersampling and oversampling makes the model more robust. In the
421 case of large datasets, it reduces the majority class significantly, thereby reducing the total data
422 required for model training.

423 **4.3 Machine learning based modelling**

424 Machine learning based classification methods map the interactions between the various input
425 datasets to the label data to model the underlying fundamental processes. For modelling a
426 spatially heterogenous area such as India with multiple landslide types, it is critical to use
427 approaches that do not assign apriori weights to input parameters (Emberson et al., 2021).
428 There is a growing preference for machine learning methods to avoid arbitrary
429 parameterization (Reichenbach et al., 2018; Ahmed et al., 2020; Youssef and Pourghasemi,
430 2021). Machine Learning models such as Support Vector Machines (Pham et al., 2016b;
431 Pradhan, 2013), Random Forests (Chen et al., 2018; Emberson et al., 2021), XGBoost
432 (Kavzoglu and Teke, 2022), ANN (Hong et al., 2020) have been extensively used in landslide
433 studies. Ensemble models that combine information from individual models to generate a more
434 robust and accurate final model have recently been used for landslide studies (Pham et al.,
435 2017; Felsberg et al., 2021).

436 The machine learning classifiers used in this study are discussed below.

437 4.3.1 Random Forest classifier

438 The idea behind bagging is that by training multiple models on different subsets of the data,
439 each model will be able to learn from different variations in the data. Bagging classifiers use a
440 random subset of the dataset with replacement to train various weak models. The majority
441 voting aggregates these final predictions of all weak models, thereby reducing the model's
442 variance, which can improve the model's ability to generalize to new data making more
443 accurate predictions. We use Random Forest model (Breiman, 2001), where bagging is used to

444 train various decision tree models. The trees are independent of each other and have equal
445 weightage in the final output. Since each dataset is randomly sampled, Random Forest has a
446 higher variance and low bias than Decision Trees.

447 4.3.2 Artificial Neural Networks

448 Artificial Neural Network (ANN) is a machine learning model inspired by the brain's neural
449 pathways. ANNs are interconnected nodes that receive input from other neurons and then
450 process the input using an activation function. The output is then passed on to other neurons in
451 the network. The strength of the connections between the neurons, called weights, which
452 determines the importance of the input received by each neuron. The weights are adjusted
453 during the learning process by the training data. We use feedforward neural networks with a
454 backpropagation algorithm. The neural network has rectified linear units as activation functions
455 and Adam optimizer.

456 4.3.3 SVM classifier

457 The SVM classifier assumes that data not linearly separable will become linearly separable if
458 transformed into a higher dimension. The transformation of data into higher dimension is done
459 using a kernel function. SVM uses the transformed data to define the decision boundary known
460 as a hyperplane (Cortes and Vapnik, 1995). SVMs have several advantages, including high
461 accuracy, the ability to handle high-dimensional data, and the ability to perform well on small
462 datasets. However, they are computationally intensive because their time complexity increases
463 more than quadratically. Hence with large samples, it becomes difficult to scale. This study
464 uses an SVM classifier with a radial basis function to define a hyperplane.

465 **4.4 Hyperparameter Tuning**

466 Machine learning models learn the model parameters when provided with training data.
467 Hyperparameters, on the other hand, are a set of external parameters of a model that decide the

468 model's architecture and are not derived from data. Hyperparameters are set before training the
469 model and vary from model to model. To identify the hyperparameters, we use coarse to fine-
470 tuning, which uses random search in collaboration with grid search to find the optimal set of
471 hyperparameters. This methodology aids in the discovery of hyperparameters while incurring
472 the least amount of computational expense. Firstly, a random search narrows the range for each
473 hyperparameter, followed by a grid search to precisely specify and assess the parameter
474 combination. In the case of random forests, we use maximum tree depth, number of estimators,
475 and maximum features as hyperparameters. In the case of the SVM kernel, the function is kept
476 as a radial basis function, and C and gamma hyperparameters are used for tuning. For ANN,
477 we vary the number of neurons and hidden layers to find the optimum architecture.

478 **4.5 Ensemble Machine Learning**

479 Ensemble machine learning fuses the results of individual models to enhance the overall
480 predictability and robustness. Ensemble machine learning works best when individual models
481 are not only accurate but also diverse i.e vulnerable to different kinds of noise. In this study
482 after developing multiple models, we select SVM, ANN, and Random forest for ensemble
483 generation since they were not only highly accurate are based on different underlying
484 principles. Traditional methodologies, such as the average and weighted average rules, can be
485 used to build ensembles, but these tactics are overly simplistic and may not be as accurate,
486 therefore, newer techniques, such as stacking are becoming more prominent. Stacking
487 optimally combines the results of multiple classifiers, also known as base classifiers, using a
488 meta classifier. Stacking uses k-fold cross-validation of the training data, the base models are
489 trained on k-1 folds, whereas the meta-model is trained on out-of-fold predictions. We use a
490 case of stacking ensemble known as blending ensemble where initial training data is split into
491 base model training and meta-model validation data; this leads to less information leakage than

492 a stacking ensemble. The blending ensemble has been found to outperformed stacking,
493 averaging and weighted averaging of machine learning and deep learning models for landslide
494 susceptibility mapping (Fang et al., 2021).

495 In this study, we create a blending ensemble using SVM, ANN, and Random Forest models as
496 base level models and Logistic Regression as the meta-model. The base level models have
497 different underlying principles; therefore, the ensemble model is free from the biases of a single
498 model, making the ensemble more robust. Since the aim of meta model in a blending ensemble
499 is to optimally combine the outputs of the base model, hence we use a simple meta model
500 without hyperparameter tuning of meta model parameters. Using a complex meta model and
501 hyperparameter tuning would require additional computation power as well as additional data
502 split without adding much information to model.

503 **4.6 Model Validation**

504 The testing data must be randomly sampled from the overall dataset. Since the overall dataset
505 is highly imbalanced, the testing data will also be imbalanced. The techniques like
506 undersampling and oversampling change the overall data structure, therefore, they cannot be
507 applied for testing. The final dataset had total of 1282908 data points with 641454 of landslide
508 and non-landslide points. The testing data contains randomly sampled 15000 landslide points
509 and 300000 non-landslide points.

510 The metrics used to test the accuracy of our model are explained below:

- 511 a) The Area Under the Receiver Operating Characteristic curve (AUCROC) indicates how
512 well the model predicts 0 values as 0 and 1 value as 1. When presented with imbalanced
513 data sets, AUCROC cannot give an accurate picture of the skill of the classifier;
514 therefore, it is used in conjunction with other methods to evaluate model efficacy.

- 515 b) Accuracy is the ratio of correct predictions to total predictions and is an overall metric
516 to check how well the model can differentiate between classes. Accuracy works well
517 only in cases where positive samples are equal to negative ones.
- 518 c) Sensitivity is the rate of positivity and is the ratio of True Positives to the total number
519 of positive samples. Sensitivity is the most important metric in the case of landslides
520 classification as it shows the model's capability to identify the existence of landslides
521 (True Positives) since a landslide prone area classified as a low probability is much
522 worse than an area without landslides being classified as landslide susceptible.
- 523 d) Precision is the ratio of True Positives and total data points classified as positives.
524 Precision shows the ability of the model to identify relevant data points from total data
525 points.
- 526 e) Matthews correlation or MCC is a reliable measure based on the mean square
527 contingency coefficient that produces a high score only if the prediction performs well
528 in all four confusion matrix categories and is used to check the overall model
529 performance, which is crucial for imbalanced datasets.

530 **4.7 Output generation**

531 After completing the training, validation, and testing of individual machine learning models
532 and the ensemble model, the ensemble model to estimate the probability of landslide for each
533 pixel. These probabilities lie between 0 to 1 and are treated as a quantitative estimate of
534 susceptibility. These values are transformed into a single metric of susceptibility classes using
535 Jenks natural breaks classification method. Jenks method has been widely used for landslide
536 susceptibility classification from landslide probability (Piacentini et al., 2012; Sterlacchini et
537 al., 2011). The Jenks method finds optimal class breaks by minimizing the sum of the squared

538 deviations within each class, thereby minimizing inter-class variance and maximizing intra-
 539 class variance.

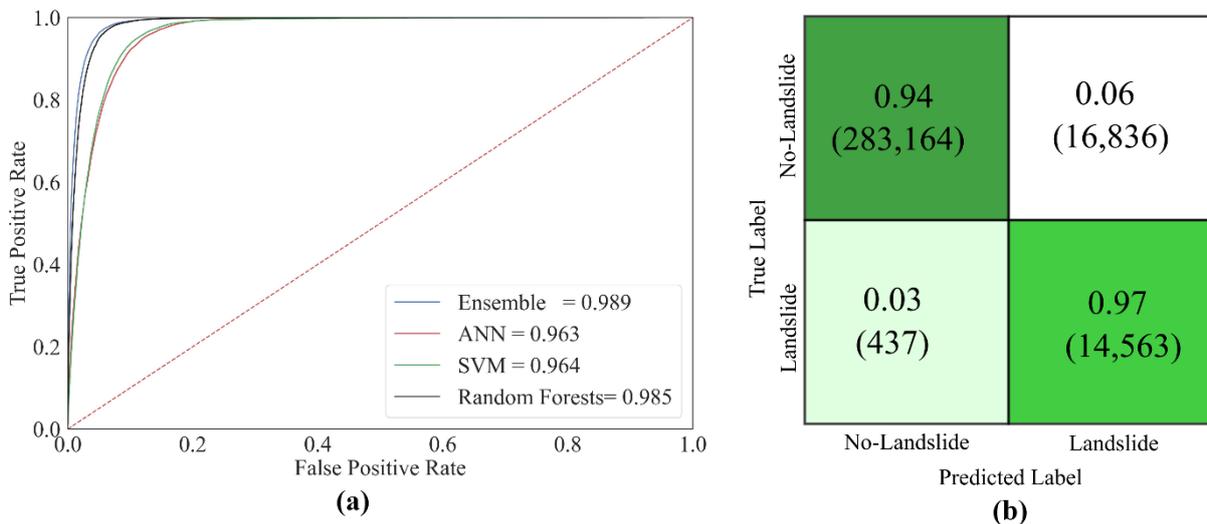
540 **5 Results**

541 **5.1 Accuracy metrics**

542 The ensemble model outperforms all individual machine learning models. Figure 3 (a) shows
 543 the AUC ROC curve of individual models and the ensemble. The normalized confusion matrix
 544 for the ensemble model is shown in Figure 3 (b). Due to a high imbalance in the testing data,
 545 the metrics will be highly skewed towards non-landslide points if a normal confusion matrix is
 546 used. On the other hand, a normalized confusion matrix transforms the values such that the
 547 sum of each row is 1, thus giving a more accurate representation of the model performance for
 548 imbalanced data.

549

550



551

552 Figure 3 (a) AUCROC curves for individual machine learning models and blended ensemble

553 model (b) Normalized Confusion Matrix for the ensemble model

554 Sensitivity, precision, and Matthew's correlation coefficient are derived from the confusion
 555 matrices to ascertain the skill of the models and are shown in Table 3. The ensemble model
 556 performs well in all spheres and has the highest accuracy, sensitivity, precision and MCC than
 557 the individual models showcasing the superiority of ensemble based model.

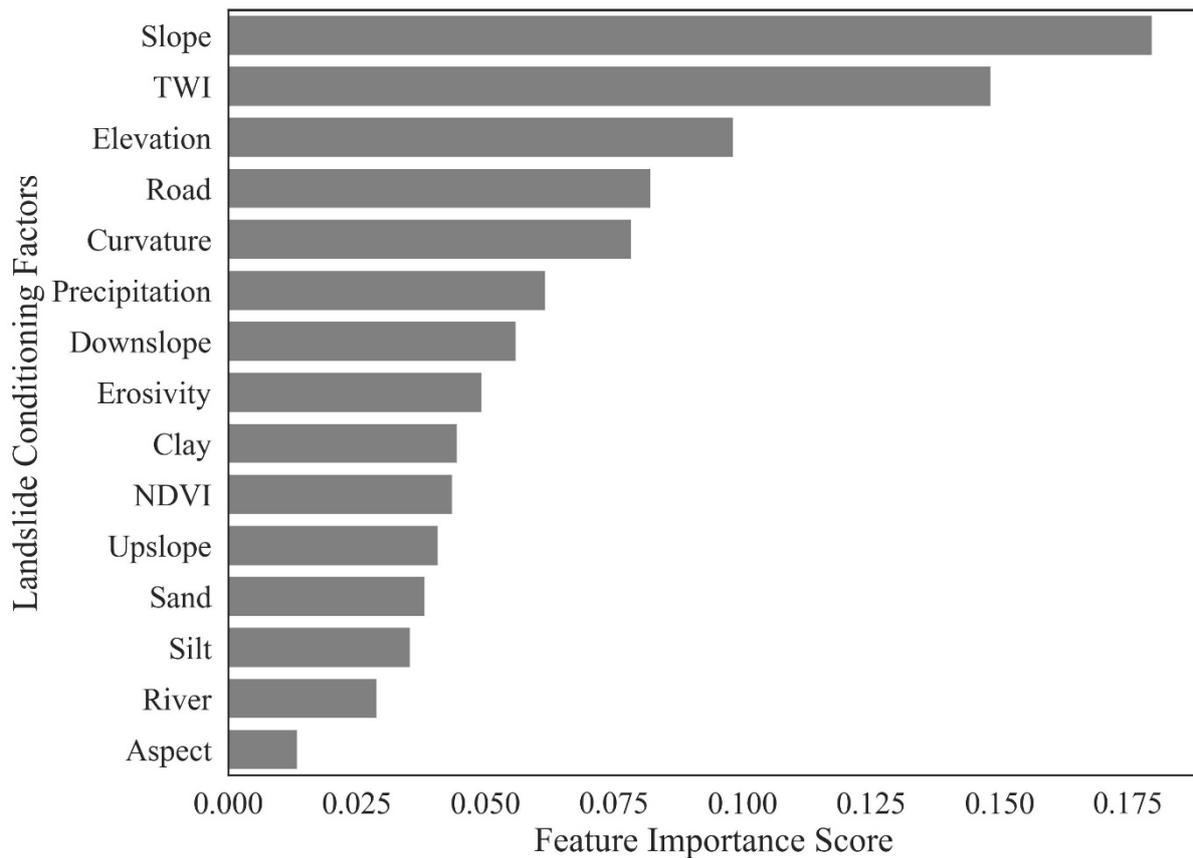
558 Table 3 Accuracy metrics for various models

Metric	Expression	Rando m Forests	SV M	AN N	Ensembl e
Accuracy	$\frac{TP + TN}{TP + TN + FP + FN}$	95.24	91.5 8	90.9 0	95.73
Sensitivity	$\frac{TP}{TP + FN}$	96.21	92.4 6	91.6 2	97.08
Precision	$\frac{TP}{TP + FP}$	94.39	90.8 6	90.3 1	94.53
Matthews Correlatio n	$\frac{TP * TN - FP * FN}{\sqrt{(TP + FP)(TP + FN)(TN + FP)(TN + FN)}}$	0.905	0.83 1	0.81 8	0.915

559

560 5.2 Relative importance of landslide conditioning factors

561 Figure 4 shows the feature importance of the Random Forests model, which indicates the
 562 predictive potential of individual landslide conditioning factors. All the input variables
 563 contribute to the model development since the redundant variables are removed during model
 564 development using feature selection. The slope, TWI, and elevation have the highest feature
 565 importance value for the Random Forest model. India's newly developed road database also
 566 contributes highly to the model since many landslides in hilly areas are detected along the
 567 roadside. The erosivity factor, although a less used landslide conditioning factor, has high
 568 feature importance, indicating that it should be incorporated in regional landslide prediction
 569 models and developed at high spatial resolution.



570

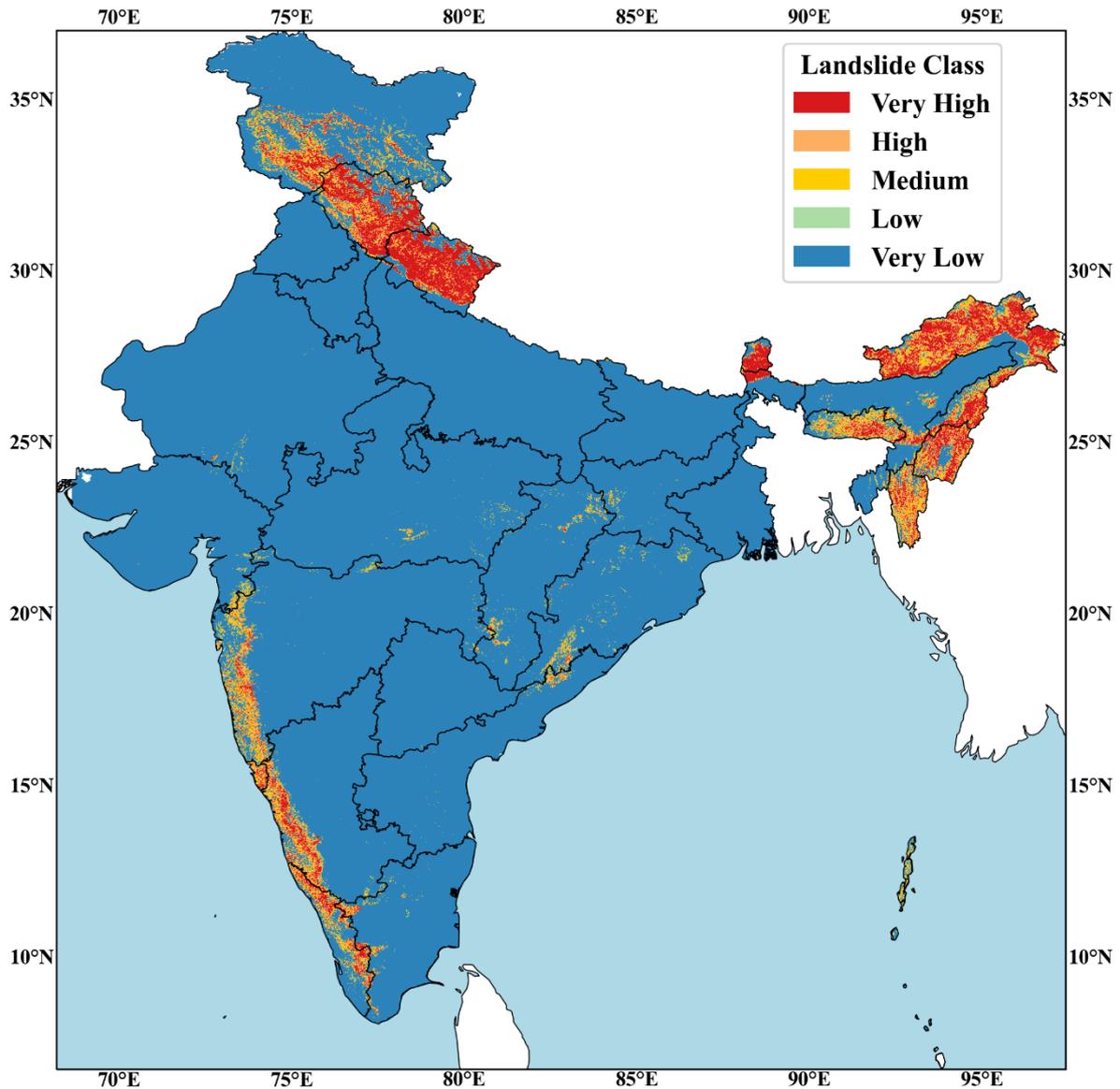
571

Figure 4 Feature Importance using Random Forest model

572 **5.3 Developing the India Landslide Susceptibility Map (ILSM)**

573 The India Landslide Susceptibility Map is developed by dividing the ensemble landslide
 574 probability into 5 susceptibility classes according to the procedure described in section 4.7.

575 The landslide susceptibility map is transformed into five classes, namely Very Low
 576 (probability ≤ 0.1), Low ($0.10 < \text{probability} \leq 0.30$), Medium ($0.30 < \text{probability} \leq 0.54$),
 577 High ($0.54 < \text{probability} \leq 0.78$), Very High (probability > 0.78). Figure 5 shows the India
 578 Landslide Susceptibility Map (ILSM).



579

580

Figure 5 India Landslide Susceptibility Map (ILSM)

581

Table 4 Distributions of landslide percentage by class.

Landslide Susceptibility Class	Area in percentage
Very High	4.745
High	3.529
Medium	3.196
Low	1.694
Very Low	86.835

582

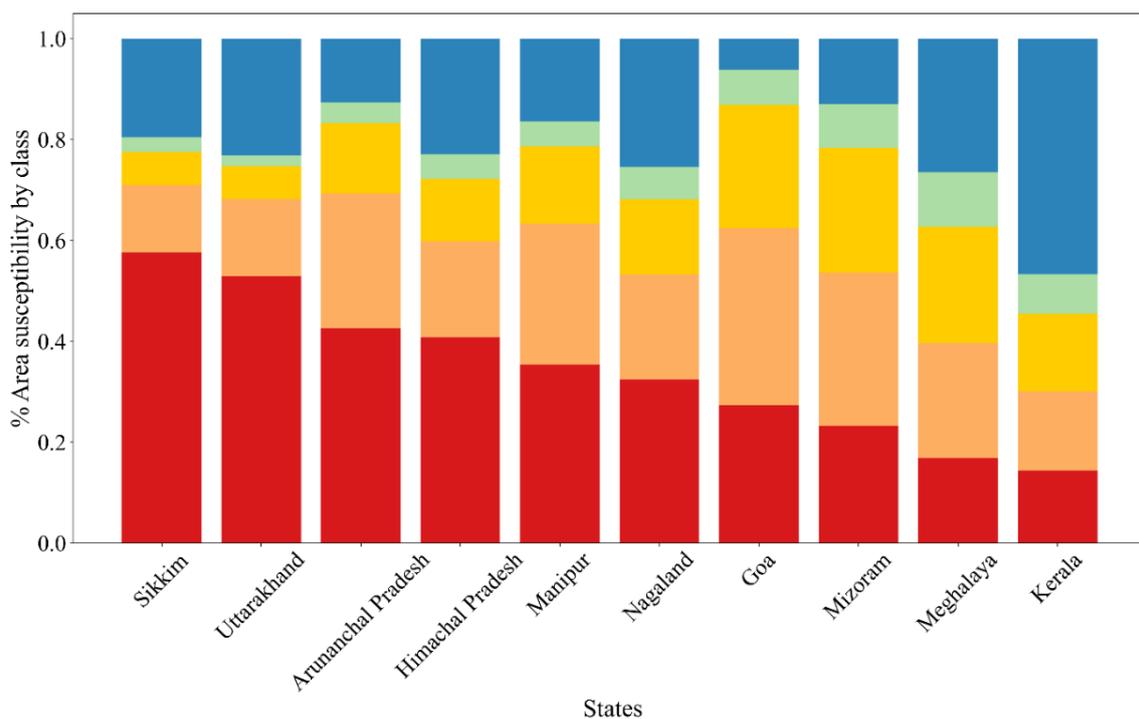
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Table 4 shows the distribution of landslide susceptibility by class. The India Landslide

584

susceptibility map shows that 4.745% of India is very highly susceptible to landslides. The

585 ILSM shows that the total area susceptible to landslides is 13.17%, whereas the Geological
 586 Survey of India estimates that around 12.6% area of India is susceptible to landslides. The top
 587 10 landslide susceptible states in India, according to ILSM, are shown in Figure 6. The
 588 Himalayas are the most affected due to landslides often associated with heavy rainfall. The
 589 Western Ghats, characterized by steep slopes and thick soil cover is India's second most
 590 landslide-affected region. Figure 6 shows state wise distribution of landslide susceptibility.
 591 Sikkim has the highest percentage land area (57.6%) susceptible to landslides, whereas
 592 Arunachal Pradesh, 31845 km², has the highest area susceptible to landslides. Among the non-
 593 Himalayan regions, Kerala has the highest area susceptible to landslides, with 14.32% in the
 594 very high susceptibility zone and 15.73% in the high susceptibility zone.



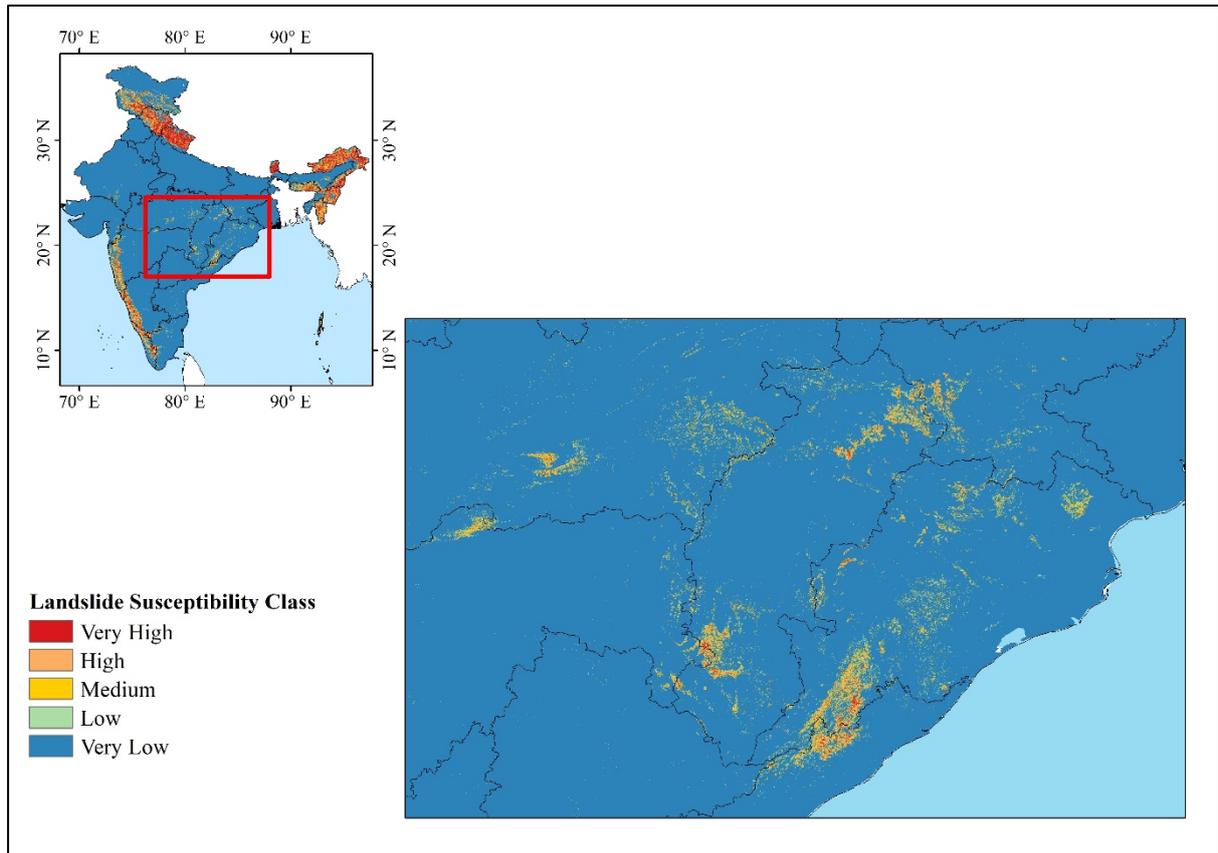
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Figure 6 Top 10 landslide susceptible states in India (by the percentage of area)

597 **5.4 Newly Identified Landslide Zones**

598 The current ILSM and NLSM identify similar areas as highly susceptible to landslides, but
599 ILSM identifies a larger landslide susceptible area, especially in the eastern ghats, as shown in
600 Figure 7.

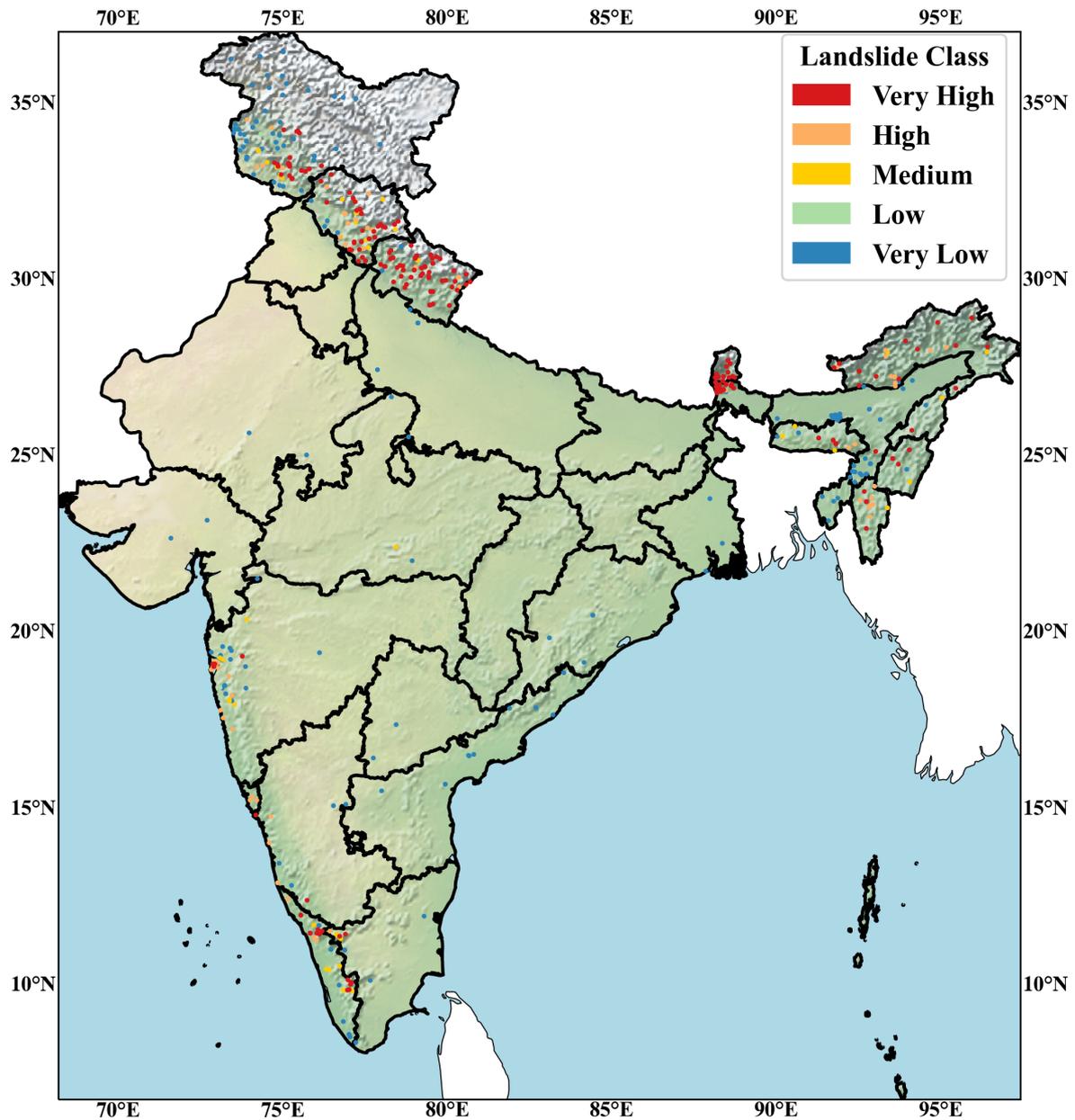


602 Figure 7 Newly identified medium landslide susceptibility zones in eastern ghats

603 The presence of landslides in the eastern ghats is further validated using other global landslide
604 inventories (Froude and Petley, 2018). The identification of new landslide regions by ILSM
605 highlights the superiority of the methodology presented in this study and the inherent advantage
606 of machine learning based frameworks to learn meaningful information about landslide
607 conditioning factors from other areas with similar conditions. Additionally, this map points
608 towards the need for a thorough study with an updated landslide inventory to understand the
609 landslides' behavior in the eastern ghats.

610 **5.5 Comparison with an independent landslide inventory**

611 To assess the quality of our framework and demonstrate the reliability of the ILSM, we
612 compare the final landslide susceptibility map results with an independent landslide inventory,
613 the global fatal landslide database (Froude and Petley, 2018) which contains fatal landslides
614 recorded between 2001-2017. Figure 8 shows the locations of landslides and their
615 corresponding landslide susceptibility class according to ILSM. Most of the landslides fall in
616 the very high landslide category. Global fatal landslide catalogue also shows some landslide in
617 the eastern ghats region in line with ILSM. Although there are some landslides in very low
618 category they are rare and localized to a few events.



619

620

Figure 8 Location of global fatal landslides database by ILSM class

621 **6 Discussion**

622 In this study, we have developed a comprehensive framework for high resolution landslide
 623 susceptibility mapping using a combination of multiple machine learning models. We use the
 624 framework to develop a pan-India landslide susceptibility map.

625 This study improves upon the earlier reported studies by:

- 626 a. Embracing big data: Rather than using coarse resolution data, we use big data to
627 consider the spatiotemporal heterogeneity which enables our machine learning model
628 to generalize and produce accurate landslide susceptibility map. Most of the landslide
629 research is based on limited landslide inventories which makes it challenging to develop
630 data driven models. This study employs 154,329 landslide points in a national landslide
631 inventory meticulously mapped by GSI and 489 landslide points from the global
632 landslide repository. Additionally, we also move away from random sampling
633 techniques towards sophisticated resampling techniques to create a representative and
634 balanced training dataset for the machine learning models.
- 635 b. Ensemble methodology: A single machine learning model is based on a single principle
636 and can produce inaccurate results for specific cases. In this study, we use an ensemble
637 of multiple machine learning models with different underlying principles, making our
638 model more robust and freer from individual model bias.
- 639 c. Improved input data: Machine learning techniques heavily depend on input data quality
640 (Geiger et al., 2020). In this study, we used a combination of Earth observation data
641 with ground data to generate India Landslide Susceptibility Map (ILSM). We utilize
642 the improved MERIT DEM in conjunction with national datasets for roads and rivers,
643 which have been ground mapped extensively under the directive of the Government of
644 India and significantly improve upon the previous datasets. We also use rainfall kinetic
645 energy (rainfall erosivity) and TWI data, which are often overlooked in landslide
646 susceptibility studies; these datasets are found to significantly contribute to the model.
- 647 d. Identifies new landslide zones: This study identifies a much larger area is susceptible
648 to landslides especially in the eastern ghats, which is not considered for developing
649 landslide susceptibility in India's official landslide susceptibility map NLSM.

650 e. Usability: The explicit landslide probability and class provided in this study can be used
651 for prioritizing landslide research, studying compound hazards, and understanding the
652 impact of landslides on the environment. Comprehensive landslide susceptibility maps
653 can help policy makers to design effective mitigation measures against landslides.

654 7 Conclusion

655 Every year landslides claim many lives and cause losses amounting to billions of
656 dollars. Understanding the areas prone to landslides and the factors driving them is
657 critical to reducing the impact of landslides. This study aims to develop a framework
658 that leverages big data and uses state of the art data curation and machine learning
659 methods to map landslides at a high spatial resolution. Machine learning models are
660 flexible on datasets and resolution, therefore the framework suggested in this study can
661 be implemented on a variety of datasets, given the datasets are consistent. This
662 methodology is especially useful in developing and underdeveloped countries where
663 ground datasets are absent and landslide models are based on earth observation data.
664 The framework also provides ensemble probabilistic estimates for landslide
665 susceptibility which can help develop landslide models. Using the framework, we
666 develop the India Landslide Susceptibility Map which is not only able to replicate the
667 landslide zones identified in the existing national and global maps but is also able to
668 identify new landslide zones. The ILSM can therefore be used for awareness of present
669 and future landslide hotspots, prioritization of future landslide research, and designing
670 development strategies in the landslide prone regions. However, in this methodology
671 all types of rainfall induced landslides are considered equivalent, but in the real world,
672 the factors driving landslides are more complex. To understand how a machine learning
673 frameworks model different kinds of landslides spatially as well as by type requires an
674 extensive study using local model interpretation methods. Another important factor in
675 machine learning based studies is the quality of input datasets. Most the datasets used
676 in this study are developed using remote sensing which is susceptible to sensor and
677 calibration noise. Therefore, an improvement in input datasets can help improve
678 machine learning based landslide susceptibility maps further. Also, an area where
679 landslides are more frequent is more susceptible to landslides than an area with a single
680 landslide. These shortcomings will be a subject for future research. The products of this
681 study are freely available in google earth engine.

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688 (PMGSY) for the datasets used in this study. The authors acknowledge the IIT Delhi High
689 Performance Computing facility for providing computational and storage resources.

690 **Data Availability**

691 The Indian Landslide Susceptibility Map (ILSM) probability and class data is freely available
692 from

693 1) Raw Tiff files

694 • ILSM probability <https://bit.ly/3ibptIP>

695 • ILSM class <https://bit.ly/3IidXGn>

696 2) Google Earth engine:

697 • `var ILSM_class= ee.Image("projects/ee-nirdeshsharmanith1/assets/ILSM")`

698 • `var ILSM_probability=ee.Image("projects/ee-`

699 `nirdeshsharmanith1/assets/ILSM_probability")`

700 3) Code: <https://github.com/hydrosenselab/ILSM>

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