1 Performance of Machine Learning for Ozone Modeling in Southern

2 California during the COVID-19 Shutdown

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12 Abstract

13 We combine machine learning (ML) and geospatial interpolations to create two-dimensional high-14 resolution ozone concentration fields over the South Coast Air Basin (SoCAB) for the entire year of 2020. 15 Three spatial interpolation methods (bicubic, IDW, and ordinary kriging) were employed. The predicted 16 ozone concentration fields were constructed using 15 building sites predicted by the ML method, and 17 random forest regression was employed to test the predictability of 2020 data based on input data from past years. Spatially interpolated ozone concentrations were evaluated at twelve sites that were 18 19 independent of the actual spatial interpolations to find the most suitable method for SoCAB. Ordinary 20 kriging interpolation had the best performance overall for 2020: concentrations were overestimated for 21 Anaheim, Compton, LA North Main Street, LAX, Rubidoux, and San Gabriel sites and underestimated for 22 Banning, Glendora, Lake Elsinore, and Mira Loma sites. The model performance improved from the West 23 to the East, exhibiting better predictions for inland sites. The model is best at interpolating ozone 24 concentrations inside the sampling region (bounded by the building sites), with R² ranging from 0.56 to 25 0.85 for those sites, as prediction deficiencies occurred at the periphery of the sampling region, with the 26 lowest R² of 0.39 for Winchester. All interpolation methods poorly predicted and underestimated ozone 27 concentrations in Crestline during summer (up to 19 ppb). Poor performance for Crestline indicates that 28 the site has a distribution of air pollution level independent from all other sites. Therefore, historical data 29 from coastal and inland sites should not be used to predict ozone in Crestline using data-driven spatial 30 interpolation approaches. The study demonstrates the utility of ML and geospatial techniques for 31 evaluating air pollution levels during anomalous periods. Both ML and CMAQ do not fully capture the 32 irregularities caused by emission reductions during the COVID-19 lockdown period (March – May) in the 33 SoCAB. The results from ML indicate that there has never been a similar pattern in air quality to that of 34 the COVID-19 lockdown in the past. Including 2020 training data in the ML model training improves the 35 model's performance and its ability to predict future abnormalities in air quality.

36 Keywords

37 Ozone, machine learning, COVID-19, modeling, Southern California

38 1. Introduction

39 In the atmosphere, the non-linear relationship between nitrogen oxides (NO_x), volatile organic 40 compounds (VOCs), and ozone is complex. In the United States, the COVID-19 pandemic and the ensuing 41 shutdown presented an unintentionally optimal period to observe, revise, and improve our existing air 42 quality models and observe the sensitivity of the NO_x-VOC-ozone relationship in real time. In California, 43 the pandemic shutdown began on March 16, 2020, where significantly reduced traffic volume was 44 observed. In Los Angeles and Ventura Counties, there was approximately a 30% decrease in vehicle miles 45 traveled (VMT) on weekdays and up to a 40% decrease on weekends in 2020 (Caltrans, 2023). This unusual 46 event temporarily changed the conventional distribution of primary and secondary air pollutants in the 47 South Coast Air Basin (SoCAB). NOx and VOC emissions declined with the reduction in traffic flow (Jiang 48 et al., 2021). As a result, we expected a drop in ozone concentrations in Southern California. Several 49 studies were published regarding the pandemic that investigated the effects of the COVID-19 shutdown 50 on air pollutants. Jiang et al., used WRF-Chem to simulate the major air pollutants under two scenarios 51 (i.e., before lockdown and during lockdown) and found an increase in ozone in urban areas due to 52 emission reduction during the lockdown (Jiang et al., 2021). The COVID-19 shutdown provided an 53 estimation of the impacts of future large-scale emission reduction strategies on ozone formation in SoCAB 54 (Ivey et al., 2020).

55 Over the past several decades, ozone levels in Southern California significantly decreased as a 56 result of emissions control programs implemented by the South Coast Air Quality Management District 57 (SCAQMD), thereby reducing emissions from mobile sources and shifting to renewable energy sources 58 (Lurmann et al., 2015; South Coast Air Quality Management District, 2017). However, during the past

decade, ozone concentrations in the SoCAB have slightly plateaued despite further emissions reductions
(Figure 1) (Do et al., 2023).

61 This paper focuses on the performance of deterministic and statistical models under rapid 62 changes in emissions and meteorological conditions. Furthermore, we aim to answer the question of 63 whether there were other periods with emissions changes similar to the COVID-19 lockdown period within 64 the past few decades. Chemical transport models (CTM) are conventionally used for air quality research 65 and regulatory purposes. The Community Multiscale Air Quality (CMAQ) modeling system, developed by the U.S. Environmental Protection Agency (EPA), is well-known for multi-day air quality simulations to 66 67 estimate air pollutant concentrations with prescribed emissions and meteorology inputs (Ooka et al., 68 2011; Rao et al., 1996; D. C. Wong et al., 2012). From the model outputs, scientists and regulators can 69 better predict the interactions between future emissions, meteorology, and air pollutants to strengthen 70 recommendations for emissions control programs. Zhu et al. used CMAQ to investigate the sensitivity of 71 ozone and particulate matter less than 2.5 microns (PM_{2.5}) to incremental changes in volatile organic 72 compounds (VOC) by updating the VOC emissions from recent literature, and simulated maximum daily 73 8-hour ozone concentrations increased by 17.4 ppb and 15.6 ppb in summer and winter, respectively (Zhu 74 et al., 2019). With a similar approach, Karamchandani et al., found that near-recent regulatory modeling 75 for SoCAB generally underestimated the response of ozone design values to the changes in precursor 76 emissions (Karamchandani et al., 2017).

Recently, ML as an alternative modeling approach has attracted more attention from air quality researchers. Although ML and chemical transport models have a similar goal to accurately predict air pollution, ML heavily depends on the quality and quantity of data available. Conversely, CTMs are based on first principles equations and are initiated with interpolated observation data, hence avoiding most obstacles introduced by data missingness in observations. In contrast with CTMs, which produce largerscale, spatially resolved outputs, ML only provides predictions strictly at trained locations when used for

83 ambient air quality applications. SCAQMD operates 38 air monitoring stations in Southern California over 84 an area of approximately 10,743 square miles, including SoCAB, portions of the Salton Sea Air Basin, and 85 Mojave Desert Air Basin, with an average of 283 square miles per monitoring station (Miyasato et al., 86 2016; South Coast Air Quality Management District, 2017). Due to the relative sparseness of monitoring 87 stations and locality of air pollutants, using air monitoring stations to represent spatially-varying air quality 88 over a large area may result in incorrect information (Apte et al., 2017). To overcome this limitation when 89 high-resolution measurements are not available, researchers opt to use spatial interpolation methods 90 (e.g., nearest neighbors, linear or polynomial interpolation, continuous natural neighbor interpolation, 91 etc.) (Joseph et al., 2013). Yu et al., evaluated 14 unique spatial modeling methods for eight air pollutants 92 in Atlanta, Georgia for developing spatiotemporal air pollutant concentrations fields (Yu et al., 2018). 93 Wong et al., assessed four spatial interpolation methods (spatial averaging, nearest neighbor, inverse 94 distance weighting (IDW), and kriging) to estimate ozone and PM_{10} air concentrations (Wong et al., 2004). 95 In this paper, we compare three spatial interpolation techniques to the CMAQ model and evaluate biases 96 related to COVID-19 lockdown anomalies.

97

2. Study Area and Datasets

98 This study targeted the Southern California region, including Los Angeles, Orange County, 99 Riverside, and San Bernardino counties. The region has been historically challenged with poor air quality, 100 with especially higher ozone concentrations than the rest of the United States. The coastal areas tend to 101 have higher relative humidity (RH) and lower temperatures than inland Southern California. Since the turn 102 of the century, SoCAB has been designated as a nonattainment area for the 1997 8-hour ozone standard 103 (80 ppb), with design values for ozone well above the 2015 standard of 70 ppb (Figure 1). In 2019, the 104 maximum daily 8-hour average (MDA8) ozone concentration in SoCAB was 108 ppb at the design value 105 location with a classification of "extreme" (Redlands, California) (California Air Resources Board, 2023).





Figure 1. Ozone design values for the South Coast Air Basin from 2006 to 2020 (https://www.epa.gov/air-trends/air-guality-design-values).

- 108
- 109 2.1 Model Input Data

110 The input meteorological data for the CMAQ simulation were generated using the Weather 111 Research and Forecasting (WRF) model. WRF was initiated using initial and boundary condition 112 meteorology data from the North American Mesoscale (NAM) Forecast System integrated with highresolution sea surface temperature (SST) from the Group for High Resolution Sea Surface Temperature. 113 114 We used the WRF Objective Analysis program to improve the meteorological simulation, and this step 115 blends observed surface and upper air observations with background WRF fields. The surface and upper 116 air observations are sourced from NCEP ADP Global Surface Observational Weather Data (ds461) and 117 NCEP ADP Global Upper Air Observational Weather Data (ds351) via the National Center for Atmospheric Research's Research Data Archive, respectively (Wang et al., 2017). 118

We re-projected gridded 4 km emissions from 2019 for the year 2020 using a two-step adjustment
to account for changes due to the COVID-19 (Zhu et al., 2023). In the first step, a linear projection factor

(Eq. 1) was applied to 2019 gridded emissions based on SCAQMD basin-wide, total annual emissions
 spanning from 2012 to 2034, where the District's future projections began in the year 2020. The correction
 factor was calculated for seven air pollutant groups (total organic gases, reactive organic gases, CO, NO_x,
 SO_x, NH₃, PM).

125
$$Linear \ projection \ factor = \frac{2020 \ emis - 2019 \ emis}{2019 \ emis}$$
(1)

126 The second step accounted for traffic reductions due to the COVID-19 lockdown, and reductions 127 were highest from March to May 2020 then slowly but not fully rebounding to pre-lockdown levels toward 128 the end of 2020 (Caltrans, 2023). SCAQMD basin-wide projections understandably did not reflect the 129 decrease in mobile source emissions due to traffic reductions. Moreover, weekly traffic metrics in 2020 were acquired for the total flow, flow change, and speed change at 2991 locations in Southern California 130 131 (Tanvir et al., 2023). Since the traffic data were not evenly distributed over the study domain, we used k-132 nearest neighbors (k-NN) to obtain the traffic data for grid cells (locations) that had no more than five reported data points (k value \leq 5). For the grid cells with more than five reported data points, we 133 134 normalized traffic volume and then averaged the normalized data.

135 2.2 Machine Learning Inputs

136 We used two air quality features (i.e., NO_2 and NO) and four meteorological features (i.e., 137 temperature, relative humidity, wind speed, and wind direction) from 15 air monitoring sites in SoCAB 138 (Figure 2). Hourly meteorological and air quality data used for ML training and validation were obtained 139 from the Air Quality System (AQS) data mart (https://aqs.epa.gov/aqsweb/airdata/download files.html#Raw, last access Jan. 19, 2023). We checked 140 141 the data to ensure the hourly data was available for all training features. If there was a missing data point 142 for one of the features, we removed the invalid hour and all corresponding features. The date range of

- the model training data was 2009-2010 and 2016-2019 for all 15 sites (Figure 2). The period from 2011-
- 144 2015 was not included in our models due to the limited availability of wind direction and wind speed at
- the sites. We used 2020 data for model testing and evaluation (
- 146 Table 1).

147

Table 1. Data summary for machine learning modeling.

Ground	Anaheim, Azusa, Banning, Compton, Fontana, Glendora, Lake Elsinore, LAX, LA North Main
Monitoring	Street, Mira Loma, Rubidoux, San Gabriel, Santa Clarita, San Bernardino, Upland
Locations	
Features	NO ₂ , NO, temperature, relative humidity, wind speed, wind direction
Label	Ozone
Data sources	EPA AQS data mart, CARB AQMIS
Training years	2009, 2010, 2016, 2017, 2018, 2019
Evaluation year	2020

148



- 150 Figure 2. Data from 15 air monitoring stations (Anaheim, Azusa, Banning, Compton, Fontana, Glendora, Lake Elsinore, LAX, LA
- North Main Street, Mira Loma, Rubidoux, San Gabriel, Santa Clarita, San Bernardino, Upland) were used for ML model predictions
 of ozone concentrations.

153 3. Methods

154	We carried out a parallel approach using
155	both ML and CMAQ to predict 2-D ozone
156	concentrations as shown in Figure 4. The
157	deterministic model (top panel) utilized WRF
158	and CMAQ to simulate ozone concentrations
159	based on a set of emissions and meteorology
160	inputs. In contrast, the ML model (bottom
161	panel) relied on observational meteorology
162	and air quality data to predict ozone
163	concentrations. ML and CMAQ models are



Figure 3. The third and inner-most domain (blue boundary) with 4 km horizontal grid spacing covered the entire SCAQMD region (thick black lines).

evaluated with observational data to assess their performance, especially in response to the irregular emissions patterns of 2020. Additionally, predictions from ML and interpolation were explored to examine the NOx and VOC limited regimes in Southern California, providing insights into how the models perform in different regions.

168 3.1 CMAQ Modeling

In this study, we compared the performance of both CMAQ and ML with spatial interpolations of ozone concentrations in SoCAB for the year 2020. The CMAQ simulation covered three distinct periods to study the impact of COVID-19 lockdown on air pollutant concentrations: pre-lockdown (Jan 1st to Mar 18th), lockdown (Mar 16th to May 15th), and post-lockdown (after May 16th) periods. Meteorological modeling was carried out using the Weather Research and Forecasting (WRF) model version 3.9 with 4 km horizontal grid spacing, 11 vertical layers for the finest domain (10 layers near the surface), and 156 x 102 grid cells (Figure 3). There were two parent domains with coarser horizontal grid spacing (36 km and 12 km for domain 1 and domain 2, respectively). WRF configurations were optimized for SoCAB, and they 177 included the use of USGS land use, thermal diffusion surface physics, and Yonsei University planetary 178 boundary layer scheme (Hong et al., 2006; Huang et al., 2014). The CMAQ simulation used the modified 179 2020 emissions and previously described WRF simulations as inputs. The choice of chemical mechanism 180 was SAPRC07tc_ae6_aq, i.e., SAPRC07tc photochemical mechanism, aerosol module 6, and aqueous 181 chemistry (Byun & Schere, 2006; Carter, 2010).

182 3.2 Machine Learning

183 In a preceding study, we tested multiple ML algorithms to obtain a better method that resulted 184 in the highest prediction accuracy for ozone concentrations in the SoCAB. Those included neural network, 185 support vector machine, k-nearest neighbors, and random forest (Do et al., 2023). Here, we selected 186 random forest regression (RFR), as RFR is the most suitable ML algorithm for predicting ozone 187 concentrations in SoCAB (Do et al., 2023). For reference, RFR is a supervised learning algorithm with a 188 tree-based ensemble method, i.e., a combination of multiple decision trees trained on an independent 189 collection of input variables. In this application, RFR selected a random collection of features from the six 190 input features for each decision tree to reduce bias, and the output of RFR is the average result from all 191 decision trees (Rodriguez-Galiano et al., 2015; Zhang and Ma, 2012).

In this study, we selected six training features to predict ozone concentrations, which included two air quality features (NO and NO₂) and four meteorological features (temperature, relative humidity, wind speed, and wind direction). The two air quality features are directly related to ozone formation in the troposphere. Ozone undergoes the photolytic cycle during the day and is removed by NOx during nighttime (Brune, 2001; Liu et al., 1980; Trousdell et al., 2019). The four meteorological features were well studied in our previous work and were shown as the most important features to capture the variability in annual ozone, especially in SoCAB (Camalier et al., 2007; Gao et al., 2022; Jaffe, 2020).

We used the scikit-learn 0.22 library supported by the Python programming language to train our RFR model. Again, the input features are NO₂, NO, temperature, relative humidity, wind speed, and wind direction, and the label is ozone. We tuned the algorithm by varying the number of decision trees, the depth of the tree, sample split, and the sample leaf to obtain the best prediction accuracy. We used the same model tuning approached described in Do et al. (2023) (Table 2) (Do et al., 2023).

204

Table 2. Optimal RFR configurations for the study

Hyperparameter	Description
n_estimators = 16	The number of trees in the forest.
max_features = 'auto'	The number of features to consider when looking for the best split.
max_depth=None	The maximum depth of the tree.
min_samples_split=5	The minimum number of samples required to split an internal node.
min_samples_leaf=30	The minimum number of samples required to be at a leaf node.
min_weight_fraction_leaf=0	The minimum weighted fraction of the sum total of weights required to
	be at a leaf node.
max_leaf_nodes=None	Best nodes are defined as relative reduction in impurity.
n_jobs=8	The number of jobs to run in parallel.

205

206 3.3 Spatial Interpolation

To generate a two-dimensional ozone concentration map, we first ran the RFR model to obtain the ozone concentrations at each air monitoring location (15 sites), which served as the model building sites. In other words, we applied a pointwise ML algorithm to predict ozone concentration at each trained location. Next, we spatially interpolated the output over the target Southern California region. We applied three different spatial interpolation methods (ordinary kriging, inverse distance weighting (IDW), and bicubic interpolation) and comparatively evaluated the performance of each method. Each interpolation approach is described below. Ordinary kriging was applied to interpolate ozone concentration at the 10 km resolution over the study area. Ordinary kriging is a well-known spatial interpolation method developed by Danie G. Krige. Generally, kriging predicts the values for unknown locations by performing a series of linear combinations of values at known locations. Equation 1 expresses the generic form of the estimator to predict the optimum value Z^* of an unknown location by combining the known values Z_i with their weights λ_i (Oliver and Webster, 1990). We can write the variance σ^2 as an optimization problem (Eq. 2) that can be solved using the Lagrange multiplier μ (Eq. 3).

221
$$Z^*(u) = \sum_{i=1}^n \lambda_i Z(u_i)$$
(1)

222
$$\sigma^{2}(u) = Var[Z(u) - Z^{*}(u)] = -\sum_{j=1}^{n} \sum_{i=1}^{n} \lambda_{j} \lambda_{i} \gamma (u_{i} - u_{j}) + 2\sum_{i=1}^{n} \lambda_{i} \gamma (u_{i} - u)$$
(2)

223
$$\sum_{j=1}^{n} \lambda_j (u_i - u_j) + \mu = \gamma (u_i - u)$$
(3)

224 and

$$\sum_{j=1}^{n} \lambda_j = 1 \tag{4}$$

226 μ is the Lagrange multiplier, u_i and u_j are the distance of known locations from unknown locations u, γ 227 is the variogram, and i = 1, ..., n. Equations 1 and 2 are called the kriging system, and λ is the kriging 228 weight. The values for λ_i and the optimum value Z^* are obtained by solving the kriging system and 229 Equation 1 (Yamamoto, 2000).

Bicubic interpolation is another method for interpolating data points on a two-dimensional grid. The interpolated surface can be written in terms of two variables (Eq. 5). The polynomial p consists of sixteen coefficients a_{ij} that are solved with sixteen boundary conditions (i.e., (x = 0, y = 0), (x = 1, y = 233 0), (x = 0, y = 1), (x = 1, y = 1)) and its derivatives with respect to x, y, and xy (Seiler and Seiler,
234 1989).

235
$$p(x,y) = \sum_{i=0}^{3} \sum_{j=0}^{3} a_{ij} x^{i} y^{j}$$
(5)

The IDW interpolation method accounts for the distances between the interpolated points and the measured locations. The assumption for IDW is that points close to each other are more alike and have more significant influence than those farther apart. Thus, the nearest measured values have greater weights assigned. Equation 6 shows that the predicted value Z(x) is inversely proportional to the distance between the measured and interpolated points $d(x, x_i)$.

241
$$Z(x) = \frac{\sum_{i=1}^{n} \frac{Z_i}{d(x, x_i)^p}}{\sum_{i=1}^{n} \frac{1}{d(x, x_i)^p}}$$
(6)

242 where Z(x) is the predicted value, d is the distance, x is the unknown point, x_i is the known location, Z_i





244

Figure 4. Flow diagram of the deterministic (CMAQ) and ML models for predicting 2D ozone concentrations in Southern California,
 where SST is sea surface temperature, MET IC and MET BC are meteorology initial and boundary conditions, CHEM IC and CHEM

247 BC are chemistry initial and boundary conditions, AQ Data is air quality data (NO and NO₂), MET Data is meteorology data

248 (temperature, relative humidity, wind speed, and wind direction).

249 4. Model Evaluation

Figure 5 shows a snapshot of the 250 251 ozone concentrations over the 252 interpolation region at 4:00 PM on June 253 22, 2020 (the highest ozone episode of 254 the day), using ordinary kriging. The 255 colored dots with a white border are the 256 257 those without a white border are the RFR



actual values at the evaluation sites, and ordinary kriging. The dots with white borders are the evaluation sites, and dots without borders are the training sites.

predicted values for training sites. The model successfully reconstructed the spatial trends in the region where the lowest ozone levels were in the southwest (coastal) and the highest were in the east (inland), and there was good agreement with the actual ozone concentrations. Figures S2 and S3 show the heatmap for bicubic and IDW interpolation for the same timestamp. Although all interpolation methods predicted the lowest ozone concentrations in the Southwest, the highest ozone concentrations were predicted in the Northeast of the study region for bicubic and in the North for IDW. The concentration gradient increased from south to north for bicubic and IDW, but from west to east for ordinary kriging.

The performance of the models was evaluated based on commonly used statistical metrics: mean bias (MB), correlation coefficient, root mean square error, and R² (equations listed in SI). The models were evaluated based on data from 27 air monitoring stations in SoCAB, of which 15 sites were used to evaluate the training sites, and the other 12 sites were used to evaluate the performance of the three interpolation methods at non-training sites. Table 3 and Table 4 highlight R² for daily average ozone for the bicubic, IDW, and ordinary kriging interpolations, as well as R² for the CMAQ comparison. We used the entire year

to evaluate the interpolation methods, but we only used the five highest ozone months from May to

272 September for the CMAQ evaluation.

273 274

Table 3. Daily average R² at the 15 building sites for three interpolation methods for the year 2020. R² for CMAQ was computed
using the five highest ozone months May - September of 2020.

Sites	Bicubic R ²	IDW R ²	Ordinary Kriging R ²	CMAQ R ²
Anaheim	0.66	0.67	0.74	0.41
Azusa	0.52	0.64	0.77	0.59
Banning	0.17	0.46	0.73	0.26
Compton	0.65	0.67	0.77	0.48
Fontana	0.88	0.89	0.87	0.59
Glendora	0.46	0.53	0.72	0.52
Lake Elsinore	0.52	0.70	0.79	0.56
LA North Main ST	0.36	0.67	0.78	0.48
LAX	0.31	0.48	0.65	0.25
Mira Loma	0.56	0.71	0.86	0.67
Rubidoux	0.46	0.65	0.86	0.68
San Bernardino	0.68	0.85	0.86	0.67
San Gabriel	0.53	0.77	0.81	0.62
Santa Clarita	0.27	0.72	0.84	0.61
Upland	0.76	0.80	0.86	0.61

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Table 4. Daily average R² at 12 evaluation sites, and these were not used spatial interpolation. R² for CMAQ was computed using
 the five highest ozone months, May - September of 2020.

Sites	Bicubic R ²	IDW R ²	Ordinary Kriging	CMAQ R ²
			R ²	
Crestline	0.35	0.42	0.42	0.23
La Habra	0.75	0.80	0.77	0.44
Long Beach	0.46	0.60	0.56	0.30
Mission Viejo	0.15	0.36	0.49	0.39
North Hollywood	0.67	0.67	0.79	0.59
Pasadena	0.55	0.71	0.78	0.57
Perris	0.55	0.72	0.80	0.56
Pomona	0.71	0.83	0.84	0.68
Redlands	0.60	0.74	0.71	0.57
Reseda	0.63	0.63	0.71	0.01
West LA	0.29	0.56	0.60	0.28
Winchester	0.37	0.40	0.39	0.45

279

280

The bicubic R² indicates the poorest performance of the three interpolation methods. The lowest

281 R² values for the 12 evaluation sites were 0.15 and 0.29, Mission Viejo and West LA, respectively (Table

4). The poor performance resulted from the method used to calculate the coefficients a_{ii} (Eq. 5), for which 282 283 the values of coefficients did not depend on the distance between interpolating points but were 284 dependent on the formation of a smooth curve. Bicubic is best for evenly distributed points, such as 285 interpolating image pixels. IDW showed a significant improvement compared to bicubic interpolation. The lowest R² was 0.36 for Mission Viejo, and the highest R² was 0.83 for Pomona. Since IDW accounts for the 286 287 distances between the interpolation points and the data points, farther data points have less influence on 288 the interpolation points. Ordinary kriging resulted in the best interpolation method, with the lowest R² of 0.39 for Winchester and the highest R² of 0.84 for Pomona. Kriging not only accounts for the distance 289 290 between building points and interpolated data by assigning larger weight λ_i to the near neighbors, but it also considers the variability of data by considering the variance of input data, σ^2 . The basis of the 291 292 variogram function represents the spatial variability of data. The variance depends not on observation 293 values but on the variogram model and geometry (Kebaili Bargaoui and Chebbi, 2009) (Eq. 2).

294 ML with interpolation gave a poor performance for Crestline and Winchester locations. Crestline 295 is located in the mountains and to the northeast of SoCAB, which is elevated terrain associated with upper 296 air and a different air mass at times. Crestline ozone was not well-correlated with coastal or inland sites. 297 Thus, interpolated Crestline ozone based on coastal or inland data points will likely yield poor results. The 298 Winchester air monitoring site is located near the Skinner Reservoir (Figure S1), far away from other data points (Lake Elsinore and Banning). Low R² for Winchester can be explained by the influence of the lake 299 300 and local meteorology and air quality. The ordinary kriging model performed well for locations bounded by data points with R² above 0.56. However, poor interpolation results occurred for peripheral locations 301 302 in SoCAB (Crestline, Mission Viejo, and Winchester). LAX ozone levels were not well correlated with 303 meteorology, and training the ML model with fewer meteorological features did not affect the 304 performance of the LAX location. Overall, model performance increased from the West to the East, with 305 better prediction for inland sites.

306 The distribution of the monthly mean bias (MB) for ordinary kriging interpolation centered around 307 zero with the range between +9 ppb for Compton (August) and -11 ppb for Glendora (October). Eleven 308 building sites have a net positive monthly MB, and four have a net negative monthly MB (Figure 6). The 309 results from the CMAQ simulation overestimated the ozone levels. CMAQ's best performance was from 310 May to October when the MBs were the smallest. In general, ozone concentrations in the SoCAB are 311 highest during the summer and lowest in the winter, corresponding with the temperature. Even though 312 the CMAQ simulation captures diurnal variation, the seasonal variation is not as well-represented (Figure 313 S4, S5, S7, and S11). Lower performing CMAQ results could come from uncertainties in emissions 314 estimates. CMAQ generally overestimated ozone concentrations because the simulated nighttime ozone 315 concentrations were higher than those observed, potentially due to underestimated nighttime NO_x 316 emissions (Zhu et al., 2023). In other words, that there was not enough NOx emitted in the model during 317 the daytime for ozone formation and at night for ozone removal (Awang and Ramli, 2017; Brown et al., 318 2004).

319 Training features can be varied to study the sensitivity to modeled ozone response. For example, 320 we can perturb the temperature, RH, or emissions values and examine the ozone levels corresponding to 321 the change in the features. However, because the formation of ozone results from a complex combination 322 of chemical reactions, resulting impacts are nonlinear and interdependent. Therefore, when using ML to 323 test for sensitivity to a feature, one should consider feature dependencies. For example, in testing 324 temperature impacts on ozone concentration, we must consider both how temperature impacts 325 photolysis rates (NO₂ degradation) as well as simultaneous correlations/anticorrelation with other 326 meteorological variables, such as RH or wind speed.



327

Figure 6. Monthly mean bias computed for 2020 for 15 sites using the kriging interpolation method (dash lines), and CMAQ
 simulation (solid lines). The colors of the lines correspond to the evaluation locations.

5. Discussion

331 The reduction in traffic volumes during the lockdown from March to May led to a decrease in 332 observed CO and NO_x (lvey et al., 2020; Tanvir et al., 2023). As a result, we expected an overall reduction 333 in ozone levels over the SoCAB region. The average diurnal ozone concentrations before the lockdown (Jan - Feb) in 2020 were noticeably greater than the average from 2016 – 2019 for all 15 building sites. 334 335 Figure 7 shows the averaged diurnal profiles of three 2020 periods for inland sites, Lake Elsinore and Fontana: pre-lockdown (a, d), lockdown (b, e), and post-lockdown (c, f) periods. Before the lockdown, the 336 337 2020 ozone concentrations (red line) in Lake Elsinore and Fontana exceeded the four-year average (blue 338 line), indicating a recent worsening of ozone trends in Southern California. The ML model with the 339 interpolation method (black line) successfully predicted this ozone trend before the lockdown. During the 340 lockdown, observed ozone levels in 2020 significantly decreased in Lake Elsinore, dropping below the four341 year average. After the lockdown, ozone levels in 2020 rebounded but remained lower than the pre-342 lockdown period. The ML model effectively captured these ozone trends throughout the three periods of 343 2020 for the Lake Elsinore site. In contrast, ozone levels in Fontana did not decrease significantly below 344 the four-year average during the lockdown and remained high afterward. It is important to note that Lake 345 Elsinore is located in a remote area surrounded by trees. During the lockdown, Lake Elsinore showed a 346 drop in ozone concentrations, indicating that the location is in a NO_x limited atmosphere, where 347 fluctuations in NO_x have a significant impact on ozone levels. On the other hand, Fontana is an urban site, 348 and the ozone levels did not exhibit significant improvement during the lockdown, suggesting that 349 Fontana is located in a VOC limited regime.

350 Post-lockdown differences compared to the four-year average were not significant across the 15 351 sites. The RFR model captured ozone trends throughout 2020, although slightly lower during and despite 352 the observed reduction in NOx, suggesting that meteorological features would play an important role in 353 predicting ozone levels during anomalous episodes in addition to air quality features. Actual and modeled 354 discrepancies also indicate anomalous ozone behavior during lockdown. For instance, several sites in the 355 SoCAB showed an increase in ozone levels based on the diurnal profile implying that the urban locations 356 in the SoCAB were VOCs-limited regimes, where reduction in NOx reduction-initiated ozone enhancement 357 (Parker et al., 2020).



Figure 77. Averaged diurnal profiles of 2016 - 2019 (blue), actual 2020 (red), and ML predicted 2020 (black) ozone concentrations (ppm) at Lake Elsinore (a, b, c) and Fontana (d, e, f) for three different periods: (a,d) pre-lockdown (Jan to Feb), (b,e) lockdown (Mar to May), and (c,f) post-lockdown (after May). The shaded area is the standard deviation of the 2016 - 2019 measurements. Additional sites are provided in the SI.

360

6. Conclusion

361 This study highlights the advantages of spatial interpolation methods for ozone predictions during anomalous environmental events. With modern processor architectures (e.g., AMD Zen 3 or Intel Alder 362 363 Lake), training the RFR model and performing high-resolution interpolation over the SoCAB region for one 364 prediction year took less than five minutes of walltime with a 16-core processor. In contrast, CMAQ 365 walltime was 16 days for a year-long simulation for the SoCAB region. Further, ozone modeling for 2020 366 was challenging because of expected emissions conditions from March to September, during which traffic 367 volume significantly decreased (up to 40% reduction in some locations). We hypothesized that mid-2020 368 ozone levels would decrease semi-proportionally due to the decline in traffic volume. However, the 369 changes in ozone levels in the SoCAB were small in magnitude, but directionally the changes were 370 informative for future emissions reductions planning (increased ozone indicates VOC limitations). 371 Ordinary kriging interpolation using ML building provided daily data, addressed data missingness, and 372 captured 2020 ozone trends with fairly low bias despite the sudden change in emissions. The ML model 373 with the interpolation method successfully captured ozone trends throughout three periods in 2020, 374 particularly in locations operating under a NO_x limited regime, such as Lake Elsinore. However, it faced 375 challenges in predicting ozone levels during the lockdown period in areas characterized by a VOC limited 376 regime, like Fontana. ML inherently relies on patterns learned from historical data to make predictions, 377 especially for inputs that resemble past occurrences. In this study, the ML model struggled to make 378 accurate predictions for VOC limited regime, suggesting that events akin to the COVID-19 lockdown had 379 not been encountered in the past. Unfortunately, due to the unavailability of speciated VOC data, we 380 didn't incorporate them as a training feature in the model. Since ozone formation exhibits a non-linear 381 correlation with both NO_x and VOC, the inclusion of speciated VOC data would likely enhance the model's 382 accuracy, especially for regions with both NO_x and VOC limited regimes. Our ML model provides regulators 383 with valuable insights into NO_x and VOC limited regimes across the Southern California domain, enabling

policymakers to devise more effective emission reduction strategies and improve air quality at hyperlocalscales.

386 Data and Source Codes

All training and evaluating air quality and meteorology data are available at <u>https://aqs.epa.gov/aqsweb/airdata/download_files.html#Raw.</u> Weekly traffic observations in Southern California and emissions are available upon request. Source codes for ML and interpolation were uploaded to GitHub: https://github.com/kdo037/Machine-Learning-with-Spatial-Interpolation.

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Supplemental Information for:

Performance of Machine Learning for Ozone Modeling in Southern

California during the COVID-19 Shutdown

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Correlation coefficient

$$CC = \frac{\sum_{i=1}^{N} (M_i - \bar{M})(O_i - \bar{O})}{\left[\sum_{i=1}^{N} (M_i - \bar{M})^2 \sum_{i=1}^{N} (O_i - \bar{O})^2\right]^{\frac{1}{2}}}$$
(1)

Mean bias:

$$MB = \frac{1}{N} \sum_{i=1}^{N} (M_i - O_i)$$
(2)

Mean absolute error:

$$MAE = \frac{1}{N} \sum_{i=1}^{N} |M_i - O_i|$$
(3)

Root mean square error:

$$RMSE = \left[\frac{1}{N}\sum_{i=1}^{N} (M_i - O_i)^2\right]^{\frac{1}{2}}$$
(4)

$$rRMSE = \frac{\left[\frac{1}{N}\sum_{i=1}^{N}(M_{i} - O_{i})^{2}\right]^{\frac{1}{2}}}{\frac{1}{N}\sum_{i=1}^{N}O_{i}}$$
(5)

Mean normalized bias:

$$MNB = \frac{1}{N} \sum_{i=1}^{N} \frac{M_i - O_i}{O_i}$$
(6)

Mean normalized absolute error:

$$MNAE = \frac{1}{N} \sum_{i=1}^{N} \frac{|M_i - O_i|}{O_i}$$
(7)

Normalized mean bias:

$$NMB = \frac{\sum_{i=1}^{N} (M_i - O_i)}{\sum_{i=1}^{N} O_i}$$
(8)

Normalized mean absolute error:

$$NMAE = \frac{\sum_{i=1}^{N} |M_i - O_i|}{\sum_{i=1}^{N} O_i}$$
(9)

Fractional bias:

$$FB = \frac{1}{N} \sum_{i=1}^{N} \frac{M_i - O_i}{(M_i + O_i)/2}$$
(10)

Fractional absolute error:

$$FAE = \frac{1}{N} \sum_{i=1}^{N} \frac{|M_i - O_i|}{(M_i + O_i)/2}$$
(11)

Model mean:

$$\overline{M} = \frac{1}{N} \sum_{i=1}^{N} M_i \tag{12}$$

Observational mean:

$$\bar{O} = \frac{1}{N} \sum_{i=1}^{N} O_i \tag{13}$$



Figure S1. The map shows 27 air monitoring sites in the SoCAB. Blue labels were used for interpolation points, and red labels were used for interpolation performance evaluation.

Table S1. The R2 for CMAQ v	vas computed for the entire year of 2020.

Anaheim Azusa Banning Compton Fontana Clendora	0.24 0.39 0.17 0.38 0.36
Azusa Banning Compton Fontana Clendora	0.39 0.17 0.38 0.36
Banning Compton Fontana	0.17 0.38 0.36
Compton Fontana	0.38 0.36
Fontana	0.36
Clendora	
ulenuula	0.37
Lake Elsinore	0.43
LA North Main ST	0.26
LAX	0.17
Mira Loma	0.48
Rubidoux	0.52
San Bernardino	0.50
San Gabriel	0.48
Santa Clarita	0.43
Upland	0.36
Crestline	0.22
La Habra	0.27
Long Beach	0.15
Mission Viejo	0.19
North Hollywood	0.36
Pasadena	0.32
Perris	0.47
Pomona	0.55
Redlands	0.43
Reseda	0.36
West LA	0.18
Winchester	0.30



Figure S2. Hourly ozone heatmap (@16pm June 22, 2020) using cubic interpolation.



Figure S3. Hourly ozone heatmap (@16pm June 22, 2020) using IDW interpolation.







Figure S5. Time series plotting ozone concentrations for three different interpolation methods (kriging, cubic, and IDW) with observation

Month	CC	MB	MAGE	RMSE	MNB	MNAE	NMB	NMAE	FB	FAE	ММ	OM
1	0.40	0.01	0.01	0.02	7.26	7.42	0.58	0.88	0.71	0.93	0.03	0.02
2	0.26	0.00	0.01	0.02	4.56	4.77	0.16	0.44	0.33	0.59	0.03	0.03
3	0.75	0.01	0.01	0.02	6.71	6.79	0.78	0.97	0.94	1.04	0.03	0.01
4	0.62	0.00	0.01	0.01	1.73	1.98	0.00	0.30	0.10	0.40	0.03	0.03
5	0.85	0.00	0.01	0.01	0.44	0.61	0.05	0.25	0.13	0.34	0.03	0.03
6	0.83	0.00	0.01	0.01	0.47	0.73	-0.03	0.23	-0.01	0.31	0.04	0.04
7	0.80	0.01	0.01	0.02	1.08	1.24	0.13	0.34	0.28	0.48	0.04	0.04
8	0.81	0.00	0.01	0.02	1.96	2.21	0.14	0.36	0.20	0.50	0.04	0.03
9	0.62	0.01	0.02	0.02	5.88	6.16	0.19	0.59	0.40	0.76	0.04	0.03
10	0.35	0.01	0.02	0.02	5.94	6.19	0.35	0.71	0.45	0.76	0.03	0.02
11	0.49	0.01	0.01	0.02	5.61	5.76	0.43	0.71	0.63	0.82	0.03	0.02
12	0.39	0.00	0.01	0.01	3.15	3.37	0.22	0.55	0.40	0.67	0.03	0.02

Table S2. Evaluation was computed using the average of 12 evaluation sites.



Figure S6. Monthly ozone mean bias from spatial interpolation for 2020 using the Kriging method. The dash lines with x-markers are fifteen building sites, and solid lines with filled dots are the evaluation sites.



Figure S7. CMAQ (solid lines) vs. ML building sites (dash lines) model mean.



Figure S8. Monthly mean bias computed for 2020 from 9AM to 4PM. Monthly mean bias for 15 sites using kriging interpolation method (dash lines), and CMAQ simulation (solid lines). The colors of the lines corresponded to the evaluation locations.



Figure S9. Monthly ozone mean bias from spatial interpolation for 2020 using the Kriging method calculated from 9AM to 4PM. The dash lines with x-markers are fifteen building sites, and solid lines with filled dots are the evaluation sites.



Figure S10. Building sites vs interpolated sites from 9AM to 4PM



Figure S11. CMAQ (solid lines) vs. ML building sites (dash lines) from 9AM to 4Pm.

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Anaheim	0.85	0.91	0.90	0.91	0.71	0.81	0.89	0.86	0.88	0.87	0.89	0.86
Azusa	0.80	0.66	0.96	0.89	0.85	0.91	0.93	0.91	0.95	0.90	0.86	0.75
Banning	0.89	0.87	0.94	0.81	0.86	0.88	0.80	0.84	0.66	0.86	0.92	0.87
Compton	0.87	0.91	0.92	0.90	0.77	0.80	0.91	0.86	0.88	0.89	0.90	0.88
Fontana	0.86	0.88	0.98	0.95	0.93	0.94	0.92	0.95	0.96	0.91	0.94	0.88
Glendora	0.75	0.63	0.95	0.81	0.85	0.89	0.87	0.91	0.92	0.76	0.81	0.70
Elsinore	0.93	0.94	0.96	0.89	0.83	0.90	0.91	0.91	0.87	0.89	0.93	0.92
LA	0.87	0.90	0.93	0.89	0.84	0.87	0.96	0.92	0.92	0.87	0.90	0.89
LAX	0.90	0.92	0.89	0.86	0.58	0.70	0.86	0.79	0.75	0.83	0.89	0.87
Mira Loma	0.90	0.90	0.98	0.95	0.91	0.93	0.92	0.93	0.95	0.91	0.93	0.90
Rubidoux	0.90	0.92	0.98	0.94	0.91	0.93	0.93	0.94	0.95	0.94	0.92	0.90
San Bernardino	0.90	0.92	0.96	0.93	0.91	0.94	0.89	0.92	0.94	0.93	0.95	0.87
San Gabriel	0.86	0.92	0.94	0.92	0.85	0.86	0.93	0.91	0.92	0.87	0.88	0.87
Santa Clarita	0.95	0.91	0.95	0.88	0.89	0.95	0.94	0.91	0.92	0.89	0.89	0.95
Upland	0.93	0.88	0.97	0.93	0.91	0.93	0.90	0.94	0.96	0.91	0.93	0.87

Table S3. The monthly correlation coefficient for fifteen building sites using the Kriging method.

Table S4. The monthly correlation coefficient for twelve evaluation sites using the Kriging method.

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Crestline	0.38	0.67	0.72	0.52	0.60	0.63	0.62	0.62	0.57	0.57	0.53	0.51
La Habra	0.85	0.90	0.86	0.90	0.82	0.83	0.91	0.89	0.91	0.85	0.88	0.81
Long Beach	0.75	0.84	0.74	0.74	0.57	0.71	0.79	0.75	0.80	0.75	0.79	0.73
Mission Viejo	0.79	0.74	0.84	0.79	0.71	0.76	0.89	0.84	0.82	0.63	0.76	0.69
North Hollywood	0.84	0.88	0.89	0.81	0.88	0.89	0.94	0.90	0.94	0.86	0.91	0.83
Pasadena	0.86	0.90	0.90	0.85	0.86	0.86	0.91	0.91	0.93	0.85	0.88	0.84
Lake Perris	0.86	0.88	0.96	0.91	0.86	0.92	0.84	0.90	0.88	0.91	0.87	0.89
Pomona	0.83	0.88	0.93	0.94	0.90	0.92	0.90	0.91	0.95	0.87	0.90	0.87
Redlands	0.77	0.80	0.89	0.83	0.90	0.92	0.86	0.88	0.83	0.61	0.82	0.71
Reseda	0.76	0.82	0.85	0.72	0.87	0.88	0.90	0.87	0.89	0.89	0.87	0.71
West LA	0.75	0.82	0.84	0.74	0.74	0.74	0.93	0.85	0.87	0.81	0.80	0.76
Winchester	0.77	0.71	0.86	0.73	0.79	0.78	0.75	0.69	0.58	0.56	0.70	0.71











0.05



























































Figure S12. Averaged diurnal profiles of 2016 - 2019 (blue), actual 2020 (red), and ML predicted 2020 (black) ozone concentrations (ppm) for three different periods, the pre lockdown (Jan to Feb), the lockdown (Mar to May), and the post lockdown period (after May). The shaded area is the standard deviation of the 2016 - 2019 measurements.