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Data-space cross-validation of global tomographic models to assess mantle structure underneath the Pacific Ocean

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SUMMARY

5	5 C M M A K I
6	Seismic tomography is a principal method for studying deep mantle plume structure. Imag-
7	ing Earth's wavespeed anomalies is conditioned by seismic wave sampling, and the uneven
8	distribution of receiving stations worldwide leaves several candidate plumes beneath various
9	hotspots across the globe poorly resolved. We regionally evaluate two full-waveform global
10	tomography wavespeed models, GLAD-M25 and SEMUCB-WM1, focusing on the mantle be-
11	low the Pacific Ocean in the region of the South Pacific Superswell. This area contains multiple
12	hotspots which may be anchored in the Large Low Shear-Velocity Province at the base of the
13	mantle. The two models show similarities and differences in the target region. With a goal of
14	guiding subsequent iterations in the GLAD model suite, we assess the quality of GLAD-M25
15	in the target region relative to its global performance using a regional partition of the seismic
16	waveform data used in its construction. We evaluate synthetic waveforms calculated using the
17	spectral-element method, based on how well they fit the data according to a variety of criteria
18	measured across multiple seismic phases, wave types, and frequency bands. The distributions
19	of travel-time anomalies that remain in GLAD-M25 are wider regionally than globally, sug-
20	gesting comparatively insufficiently resolved seismic velocity structure in the region of inter-
21	est. This will motivate regionally focused inversions based on a subset of the global data set,
22	and the addition of data sampling new corridors, especially using ocean sensors. We compare
23	GLAD-M25 and SEMUCB-WM1 by cross-validation with a new, independent, data set. Our
24	results reveal that short- and long-wavelength structure is captured differently by the two mod-
25	els. Global models use misfit criteria that may strive for balance between portions of the data
26	set, but could leave important regional domains underserved. Our results lead us to recommend
27	focusing future model iteration and data addition on and around the Pacific Superswell to better
28	constrain seismic velocity structure in this area of significant geodynamic complexity.
29	Key words: Tomography, Plumes, Global Seismology, Synthetic Seismograms
23	

30 1 INTRODUCTION

Mantle upwellings play a vital role in Earth processes (Koppers et al. 2021), and when they take the form of narrow mantle plumes, they 31 provide an essential window into the structure, composition, and dynamics of Earth's deep interior (Weis et al. 2023). Most volcanic hotspots 32 are located in the oceans (Sleep 1990; Courtillot et al. 2003; King & Adam 2014), which cover two-thirds of Earth's surface. It is challenging 33 to deploy seismic stations around ocean islands to increase imaging aperture (Wolfe et al. 2009; Maguire et al. 2018), which has led to 34 biases in seismic imaging of mantle plumes compared to subduction zones (Montelli et al. 2006; Nolet et al. 2007). The latter have been 35 rather well imaged by models (van der Hilst et al. 1993; Grand et al. 1997; Fukao et al. 2001) that have received sustained data addition and 36 methodological improvement (Li et al. 2008; Lu et al. 2019; Obayashi et al. 2013; Sigloch & Mihalynuk 2013). Observing seismic waves 37 beneath the oceans requires specialized equipment and sensors such as ocean-bottom seismometers (Collins et al. 2001; Kohler et al. 2020), 38 anchored (Slack et al. 1999; Sukhovich et al. 2014) or floating (Sukhovich et al. 2015; Simon et al. 2021) hydrophones at mid-column depths. 39 40 Several global tomographic models (Zhao 2004; Kustowski et al. 2008; Ritsema et al. 2011; French & Romanowicz 2015; Bozdağ et al. 2016; Lei et al. 2020) developed using different methodologies have provided evidence of broad low shear-wave speed zones beneath 41 several major hotspots, providing insight into the structure of Earth's mantle plumes. The rise of buoyant plumes may be influenced by flow, 42 circulation, and basal structure within the mantle (Steinberger 2000; Austermann et al. 2014; Nolet et al. 2007), making their large-scale 43 structure more complex than in the canonical Morgan (1971) hypothesis, which continues to generate lively debate (Foulger 2002). Seismic 44



Figure 1. Sketch illustrating different types and morphologies of mantle plumes beneath hotspots as interpreted from mantle models. (I) Hotspots originating in the upper mantle. (II) Hotspots underlain by ponding zones in the mantle transition zone. (III) Mantle plume rising from the core-mantle boundary undeflected by mantle flow. (IV) Hotspots appearing to originate within the mantle transition zone, perhaps due to lack of model resolution. (V) Mantle plume rising from the core-mantle boundary may stall in the lower mantle without reaching the transition zone or the upper mantle.

anisotropy is an important indicator of mantle flow (Fouch et al. 2001; Gaherty 2001; Benoit et al. 2013; Faccenda & VanderBeek 2023),
though direct inference is significantly complicated by the mechanisms of microstructural fabric formation, and, in particular, the presence
of water and partial melt (Karato et al. 2008). We will only consider isotropic elastic wave speed variations here. Intricate plume structures
comprising ponding zones (Nolet et al. 2006; Wamba et al. 2021, 2023) and branching networks have been imaged, e.g., in the Indian Ocean
beneath La Réunion (Tsekhmistrenko et al. 2021; Wamba et al. 2023) and in the Antarctic Rift System beneath Marie Byrd Land (e.g.,
Hansen et al. 2014). Beneath the Central Pacific around Hawaii, seismically slow material may have accumulated in the mid-mantle (Shen
et al. 2003; Yu et al. 2018; Zhang et al. 2023).

Fig. 1 sketches a conceptual framework of plume structure types, and Fig. 2 shows a variety of cross-sections through the two global 52 seismic tomography models that we will be assessing in this paper. Among the questions that we identify, which require the best achievable 53 tomographic resolution to address, are: (I) Do some plumes originate within the upper mantle or from shallow sources of ponding material, 54 55 either physically confined or tomographically poorly resolved (Anderson 2001; Foulger 2002)? (II) Might material sourced from the coremantle boundary (CMB) region at 2800 km depth be ponding horizontally in or beneath the mantle transition zone between 660 and 1000 km, 56 as it appears in some models (Nolet et al. 2006; Wamba et al. 2021, 2023), and as is perhaps also the case beneath Samoa? (III) Are there 57 indeed mantle plumes rising vertically from the CMB to the upper mantle as suggested beneath Pitcairn by SEMUCB-WM1(French & 58 59 Romanowicz 2015; Marignier et al. 2020), unimpeded by mantle circulation and flow (Steinberger et al. 2004)? (IV) Can mantle plumes that 60 rise from broad upwelling regions anchored at the CMB, as is apparently the case beneath Marquesas (Lei et al. 2020; French & Romanowicz 2015), stall in the lower mantle? (V) Do some hotspots originate from within or just below the mantle transition zone (Benoit et al. 2013; 61 62 Burky et al. 2021), as suggested by the structure beneath Tahiti and Louisville, or does that reflect a lack of resolution? Does the Large Low Shear-Velocity Province at the CMB beneath Samoa and Tahiti give rise to multiple plume conduits (Garnero et al. 2016), as beneath La 63 Réunion (Wamba et al. 2023)? 64

Seismic tomography model GLAD-M25 (Lei et al. 2020) was based on adjoint full-waveform inversion (Liu & Tromp 2008) of global 65 seismic data from 1,480 earthquakes recorded at 11,800 seismic stations modeled using a spectral-element approach on a polynomial node 66 grid (Komatitsch et al. 2000). This model improved upon first-generation model GLAD-M15 (Bozdağ et al. 2016), itself an update of 67 S362ANI by Kustowski et al. (2008). Despite the large amount of data that was assimilated and the overall high model quality (see Fig. 2, 68 left column), several hotspots in the Pacific (e.g., Louisville, Caroline, East Australia, Marquesas, Easter, Tahiti, Galápagos), remain poorly 69 understood. Other hotspots in the region (e.g., Samoa, Macdonald, and Pitcairn), show evidence of whole-mantle plumes rising from the 70 CMB to the upper mantle. Radial anisotropy in model GLAD-M25 is confined to the upper mantle. Insufficiently resolved structure may be 71 ascribed to a combination of source uncertainty and an incomplete model parametrization, including the lack of azimuthal anisotropy (Becker 72 et al. 2003), inherited topography on internal discontinuities (Burky et al. 2023), and heterogeneous attenuation (Lei et al. 2020). 73

Seismic tomography model SEMUCB-WM1 (French & Romanowicz 2014, 2015) used an inversion approach described by Lekić & Romanowicz (2011) that calculates sensitivity kernels using mode-coupling theory (Li & Romanowicz 1995) to build a whole mantle model parameterized in spherical splines (Wang & Dahlen 1995). Building on the starting models of Mégnin & Romanowicz (2000) and French et al. (2013), this model appears to reveal relatively similar mantle features beneath a number of identifiable hotspots (see Fig. 2, right column). SEMUCB-WM1 has a built-in one-dimensional attenuation model (Durek & Ekström 1996) and, like GLAD-M25, only considers radial anisotropy.

80 Some hotspots (e.g., Tahiti, Easter) in Polynesia exhibit low-velocity anomalies in the lower and upper mantle, but not in the transition



Figure 2. Shear-wave speed anomalies in the mantle according to global seismic tomography models GLAD-M25 (*left column*) and SEMUCB-WM (*right*). Cross-sections follow great-circle paths shown in the map inserts. Green triangles indicate hotspot locations (Steinberger 2000). Dashed black lines mark 410, 660, and 1000 km depth. Both models agree on the presence of mantle plumes below Samoa (*top row*) and Pitcairn (*middle*) as rising from the core-mantle boundary. Below Tahiti and Marquesas (*second row*) the models are in relative morphological agreement, though they are mismatched in amplitude. Beneath Easter and Galápagos (*fourth*), GLAD-M25 shows a low-velocity anomaly anchored at the core-mantle boundary, but no comparable structure is present in SEMUCB-WM1. GLAD-M25 has mantle structure that is inconsistent with SEMUCB-WM1 beneath Louisville (*middle and fourth rows*). GLAD-M25 maps more lower-mantle structure underneath Juan Fernandez hotspot than SEMUCB-WM1 (*bottom*).



Figure 3. (*Left*) Focal mechanisms of 453 sources from the GLAD-M25 data set that fall within our region of interest (black bounding curve). White-yellow sources are over 300 km deep, white-red mechanisms are at shallower depths. (*Middle*) 380 land stations (yellow, inverted triangles) and 117 ocean-bottom seismometers (green triangles). (*Right*) Stations, earthquake locations and mechanisms and their ray paths from the independent data set used for cross-validation of two global seismic models, GLAD-M25 and SEMUCB-WM1. Travel-time anomalies of red paths exceed ± 5 s.

zone. Others (e.g., Marquesas, Louisville, Galápagos) show low-velocity structure primarily in the upper mantle. Do plumes have multiple 81 possible origins in Earth's mantle, or are the apparent differences due to lack of resolution owing to poor coverage and modeling approxima-82 tions? Overall GLAD-M25 and SEMUCB-WM1 are compatible models, but the absence of plume structure beneath some known hotspots 83 (e.g., Juan Fernandez, Louisville, Socorro, Easter Island) raises questions about the accuracy of either. In particular, it is unclear whether the 84 fit between observed and simulated data influenced by structure within the Polynesian domain is as good as that reached by global evaluation. 85 To determine whether plume-like structures beneath Polynesian hotspots are well constrained, resolved, and accurately imaged, and 86 to evaluate the potential for future inversions with or without additional data, we conduct two data-space assessments by computing and 87 analyzing metrics relating observations to synthetic data simulated in both models. With regards to GLAD-M25, our first assessment focuses 88 on a regional-versus-global evaluation of misfit via detailed comparisons of the travel-time anomaly distribution for a variety of wave types 89 that are sensitive to the target region. As the region of interest we use a portion, or "chunk" of the cubed sphere (Ronchi et al. 1996), 90 delineated by black lines in Fig. 3. For its comparison with SEMUCB-WM1, we assemble an independent, smaller, data set to calculate 91 the similarities between synthetics and observations and perform a statistical analysis to ascertain whether apparent differences in model 92 structure are warranted by the data. 93

This work is structured as follows. We first present the region under investigation and identify, from the database underlying the GLAD-M25 model, seismic event-station pairs that fall within the target region. We discuss the metrics relating the observed to the predicted data. We calculate histograms of relative travel-time anomalies within GLAD-M25 data in different categories and period bands, contrasting the regional subset with the global values. We perform comparisons between GLAD-M25 and SEMUCB-WM1 along similar lines, on the basis of an independent data set not involved in the construction of either model.

99 2 DATA AND METHODS

The published successor to GLAD-M15 (Bozdağ et al. 2016), GLAD-M25 was constructed using 1,480 earthquakes within the magnitude 100 range $5.5 \le M_w \le 7.2$. To assess model quality at the regional scale we selected from the data used to build GLAD-M25 a subset that 101 illuminates the target region. All 453 seismic sources and stations that fall inside the chunk are shown in Fig. 3. On the left, the sources are 102 103 represented with focal mechanisms in different colors depending on their depth: yellow-white for deep earthquakes (>300 km) and red-white for shallow and intermediate earthquakes <300 km. In the middle, the stations comprise 117 ocean-bottom seismometers (green triangles) 104 and 380 land seismometers (yellow inverted triangles). GLAD-M25 and SEMUCB-WM1 were cross-validated by selecting 11 recent events 105 (from 2022) that were not included in the construction of either model, shown on the right. These were selected based on their isolated timing 106 (i.e., without aftershocks and no simultaneous events), reasonable waveform quality (after manual inspection), and their reasonable moment 107 magnitude ($M_w < 7.5$). Synthetic data in both models were calculated using SPECFEM3D_GLOBE (Komatitsch et al. 2000) and compared 108 with the observed data corresponding to the same seismic ray path. 109

The travel-time anomaly ΔT is the time lag that maximizes the cross-correlation $C(\tau)$ between the observed, d(t), and the synthetic, s(t), seismograms in a window of length T starting at t_0 ,

112
$$\Delta T = \arg \max_{\tau} \{ C(\tau) \}, \tag{1}$$

113
$$C(\tau) = \frac{\int_{t_0}^{t_0+T} \left[d(t) - d \right] \left[s(t-\tau) - \bar{s} \right] dt}{\sqrt{\int_{t_0}^{t_0+T} \left[d(t) - \bar{d} \right]^2 dt \int_{t_0}^{t_0+T} \left[s(t-\tau) - \bar{s} \right]^2 dt}},$$
(2)

with \overline{d} and \overline{s} the means of the data and the synthetic over the corresponding time interval. With this normalization, $C(\Delta T)$ is the crosscorrelation coefficient between the overlapping segments of the observed and synthetic time series after shifting by ΔT . Without shifting, C(0) is a measure of the data fit in the tomographic model at the current iteration.

If we forgo the indices t_0 and T, and label the discretized shifted time series d_i and s_i^{τ} , a concise notation is

118
$$C(\tau) = \frac{\sum_{i} (d_{i} - \bar{d})(s_{i}^{\tau} - \bar{s})}{\sqrt{\sum_{i} (d_{i} - \bar{d})^{2} \sum_{i} (s_{i}^{\tau} - \bar{s})^{2}}}.$$
(3)

An alternative approach to measuring the fit between the predicted and observed seismograms is to compute the relative root-mean squared (rms) waveform difference,

121
$$R(\tau) = \frac{\sqrt{\sum_{i} (d_{i} - s_{i}^{\tau})^{2}}}{\sqrt{\sum_{i} (d_{i} - d)^{2}}}.$$
(4)

122

A third metric is the amplitude anomaly between prediction and observation (Dahlen & Baig 2002; Maggi et al. 2009),

123
$$\operatorname{dln} A(\tau) = \frac{1}{2} \ln \left[\frac{\sum_{i} (d_{i} - \bar{d})^{2}}{\sum_{i} (s_{i}^{\tau} - \bar{s})^{2}} \right].$$
 (5)

124 The resulting best-fit scaling factor is given by

$$\alpha = \exp[\mathrm{dln}A(\Delta T)]. \tag{6}$$

For each window, metrics are computed at the time-shift that optimizes the cross-correlation, $\tau = \Delta T$, and at the current state of the model, $\tau = 0$. A negative travel-time anomaly, $\Delta T < 0$, signifies a late predicted arrival, i.e., a wavespeed model that is too slow over the average trajectory. A positive travel-time anomaly, $\Delta T > 0$, indicates an early predicted arrival, i.e., a model that is relatively too fast. Large relative travel-time shifts ΔT , and positive cross-correlation values $C(\Delta T)$ that are high relative to C(0), indicate that the current model retains the potential for improvement. In that case, in principle, subsequent, regionally focused, model iterations (e.g., Zhu et al. 2012; Cui et al. 2023) should help improve the synthetics to approximate the observations more closely.

Examples of data, measurements, and metrics across a range of long and short paths, land-based and ocean-bottom seismic stations, are shown in Figs 4 and 5. Fig. 4 focuses on seismic body waves, and Fig. 5 highlights surface waves. All three-component waveforms were filtered into three period bands, 17–40 s, 40–100 s, and 90–250 s. The body waves were partitioned into the period ranges 17–40 s and 40–100 s, whereas the surface waves were split into the categories 40–100 s and 90–250 s. In addition to listing the cross-correlations C(0)and $C(\Delta T)$, the amplitude measurements dlnA(0) and dln $A(\Delta T)$, both figures also quote the relative root-mean-squared (rms) waveform differences, R(0) and $C(\Delta T)$.

GLAD-M25 relied on window selection by the package FLEXWIN (Maggi et al. 2009), which resulted in hundreds of thousands of seismogram segments. Some seismic traces have multiple windows that were measured, as is the case for the first waveform shown in Figs 4. The shaded areas list the current rms, R(0), below the trace, and the current cross-correlation, C(0), above it. Observed seismograms are in red, and the synthetics, computed in GLAD-M25, are in blue. For the zoomed-in portions, the aligned signal, phase and amplitude-corrected by advancing or delaying it by ΔT and scaled by α , is shown dashed in black. It is apparent that some waveforms are not completely optimized, yet can be made to fit well after future adjustments. That is the case for body and surface wave windows, for land stations and also for ocean-bottom-seismometer data.

145 **3 RESULTS**

As stated in Sec. 1, the first objective of this paper is to assess the potential for regional model improvement in our Pacific area of interest. This improvement may take the form of future full-waveform inversions of subsets of the data that cover the target region and/or by the addition of new data, especially corresponding to oceanic paths. To this end we perform an intra-comparison of adjoint model GLAD-M25 (Lei et al. 2020) by evaluating a piece of the model in the Pacific around French Polynesia against the whole globe, using the data that it was made of, and the synthetics from the last, i.e., the 25th, iteration.

Our second objective is to compare the GLAD-M25 model with SEMUCB-WM1 (French & Romanowicz 2015), an independent model that was made on the basis of sensitivity kernels computed using mode coupling theory. To further this goal we perform an inter-comparison in the same Pacific region enclosing French Polynesia using an independent validation set, with the selection of new windows carried out by FLEXWIN.

155 3.1 Distribution of travel-time anomalies

To understand the relative resolution of mantle structure beneath the Pacific Ocean, we examine a regionally targeted subset of the global data set that was used in building global model GLAD-M25. Figs 6 and 7 summarize the results of our analysis. We are presenting histograms of the travel-time-normalized travel-time anomalies, $\Delta T/T$, in percent. To compute these we simply divided the measured travel-time



Figure 4. Ray paths, seismograms, and waveform metrics for body waves in two period bands, 17–40 s (*top set*), and 40–100 s (*bottom*). Each three-panel set presents a map view with the surface ray path connecting the earthquake source to the receiver (*left*), and the subfigures (*right*) show a whole seismogram (*top*) and a zoom on the windowed S wave (*bottom*). Observed seismograms are red, the predictions, computed in GLAD-M25, in blue. In the zoomed-in sections, the synthetic seismograms are also shown, as dashed black lines, after shifting by the signed amount of the travel-time anomaly measurement, ΔT , and scaled by the factor $\exp[d\ln A(\Delta T)]$, which brings them into maximal alignment, as measured by the value of the cross-correlation coefficient, $C(\Delta T)$. In the top cluster, the travel-time anomaly is positive, $\Delta T = 5.2$ s, indicating that the synthetic arrives earlier than the observed waveform (model GLAD-M25 is too fast). The scaled synthetic is delayed to align with the observations. In the bottom set, the anomaly is negative, $\Delta T = -5.2$ s, signifying a measurement in which the synthetic is late (hence the model too slow). The scaled synthetic is advanced into alignment, as shown.



Figure 5. Ray paths, seismograms, and waveform metrics for surface waves in period bands, 90-250 s (*bottom set*) and 40-100 s (*top*). Labeling and layout are identical to Fig. 4, with observed seismograms in red, GLAD-M25 synthetics in blue, and the dashed black traces showing the phase-and-amplitude corrected synthetic that is maximally aligned with the observations. Note that Fig. 4 showed two transverse (T) component seismograms, whereas in this figure, we show one radial (R) component and one vertical (Z) component case, as indicated by the labels to the right of the top panels of every set. The top seismograms are for a long oceanic path that is recorded on island station PPT (in Papeete, Tahiti). The bottom seismograms are for a shorter oceanic path recorded by an ocean-bottom seismometer from the PLUME deployment (Laske et al. 2009). In both cases, the relevant wavespeeds in model GLAD-M25 are too slow for the trajectory. The synthetics need to be scaled and advanced by 10.8 s and 10.2 s, respectively, to bring them into maximal alignment with the observations, as measured by the cross-correlation metric.

anomalies by the time of the midpoint of the measurement window, measured relative to the earthquake origin time. To first order, these metrics approximate the relative velocity anomaly, $\Delta c/c$, averaged over the path sampled by the specific phases, with *c* equal to the *P*-, *S*-, or surface-wave phase speed.

There are twelve different categories in all: two dominant wave types (body and surface), three components (radial, transverse, and vertical) and three period bands (one shared between the body and surface waves). The number of measurement windows represented by histograms in each category, N, is reported in the annotation of each panel. The number of bins, n, in each histogram follows Sturges' rule, $n = 1 + \log_2(N)$, where N is the number of data. For the tabulated values shown inside the panels of Figs 6 and 7 we report both trimmed (between the 3% and 97% percentiles) and untrimmed (0% to 100%) statistics, as listed at the top of each summary table. Gaussian probability density functions with the means and variances calculated after trimming are superimposed. Histograms for the global data set are shown right side up, whereas for the regional subsets, we flipped them upside down.

The body-wave relative travel-time distributions drawn in Fig. 6 show larger anomalies in the regional domain than at the global scale, 169 with more windows displaying $|\Delta T/T| \ge 0.5\%$ regionally. Comparing the global and regional distributions for body waves between 17– 170 40 s, Figs 6a-c show that the regional standard deviation is twice that of the global value. As frequency decreases and we consider long-period 171 body waves between 40–100 s period, Figs 6d-f, the standard deviations increase, and the regional standard deviations remain larger than 172 173 their global equivalents. Similar observations are to be made for surface waves, as revealed by the distributions given in Fig. 7, for the wave packets filtered between periods 90-250 s, Fig. 7g-i, and between 40-100 s, Fig. 7j-l, which are sensitive down to the transition zone and 174 to the upper mantle, respectively. Again the global remaining travel-time anomalies show a relatively Gaussian distribution centered at zero. 175 On the other hand, the distributions of the regional travel-time anomalies are slightly shifted from the global distributions, and they appear 176 flatter, with more outliers in the tails. Our interpretation of these results is that significant exploitable structure remains in the current data 177 set, indicative of structure underneath the target region that is relatively more poorly resolved than the globe as a whole. 178

To evaluate the contribution of ocean-bottom seismometers (OBS) to the Pacific portion of global seismic model GLAD-M25, Fig. 8 179 presents a comparison of the travel-time anomaly distributions within the target region for land-based and OBS stations. Very few OBS 180 measurements (less than 2% of the total) were included in the model, either in the body-wave or surface-wave categories. No OBS measure-181 ments were made on the horizontal components, which are generally noisier (Webb & Crawford 2010; Bell et al. 2015). The majority of the 182 OBS measurements are in the 17-40 s body wave window, where the mean and standard deviation of the anomalies correspond well to the 183 land-based observations. In the lower frequency bands there are fewer OBS data (less than 1000 in all cases, and only \sim 100 for the lowest 184 frequency window). The smaller number of lower-frequency OBS data make it harder to draw conclusions about the possible differences 185 in travel-time anomaly distributions. Given the limited contribution of OBS data to this model, incorporating more marine data, whether 186 from stationary or mobile instrumentation (Nolet et al. 2019), may considerably improve the spatial distribution of the measurements and the 187 tomographic model in this area. 188

189 3.2 Data-space model intercomparison

The data sets and methodology used to develop global models GLAD-M25 (Lei et al. 2020) and SEMUCB-WM1 (French & Romanowicz 2015) are distinct, and hence it is to be expected that the resolution of mantle structure differs between both models.

192 The cross-section comparisons shown in Fig. 2 made it clear that both models do reveal mantle plumes beneath certain hotspots such as Samoa and Pitcairn, with differences mostly affecting model amplitudes: for example, the low shear-velocity anomaly beneath Samoa is 193 stronger in GLAD-M25 than in SEMUCB-WM1, whereas in SEMUCB-WM1 the anomaly amplitude beneath Pitcairn is stronger than in 194 GLAD-M25. In certain regions the lower-mantle structures are rather different in both models, for example, a low shear-velocity structure that 195 appears in GLAD-M25 beneath the Easter Island, Galápagos and Juan Fernandez hotspots is not present in SEMUCB-WM1. Both models 196 show different upper-mantle features across Louisville, Easter, Galápagos, and Pitcairn hotspots. SEMUCB-WM1 exhibits a low-velocity 197 structure beneath the Louisville hotspot that appears to rise from the mantle transition zone, which is nevertheless nonexistent in GLAD-M25. 198 To what extent are any of these structures, and any of these structural differences, warranted by the data? 199

Rather than comparing models through difference images or vote maps, via correlations, spectral content, or other statistics, as has been the tradition in the literature (see Sec. 4), we examine how the model differences might impact seismic waveforms themselves. To this end we produce an independent set of cross-validation data, and compute a second series of metrics as discussed in Sec. 2, suitable for exposing the differences between models through the data fits that they achieve. Fig. 3c showed the path coverage achieved for this part of the analysis. Note that the sensitivities of the seismic waves are much broader than rays (e.g., Hung et al. 2001).

Fig. 9 shows the details of how, for each event-station pair, we measured the cross-correlation between the observed and the predicted 205 data, and the resulting travel-time anomaly, for synthetics newly computed in both models, on several time-windows. For this particular 206 case, SEMUCB-WM1 exhibits favorable correlation values and travel-time anomalies for Rayleigh waves compared to GLAD-M25, while 207 GLAD-M25 shows a better fit for Love waves. Although for surface waves both models predict arrivals which are later than the observed 208 data, SEMUCB-WM1 is faster than GLAD-M25 for Rayleigh waves, whereas GLAD-M25 is faster than SEMUCB-WM1 for Love waves. 209 For body waves, any preference between SEMUCB-WM1 and GLAD-M25 is dependent on the specific choice of comparison window. As 210 remarked upon in Sec. 1, important caveats are that while both models are parameterized to capture radial anisotropy, azimuthal anisotropy is 211 wholly missing from the analysis, and the treatment or lack thereof of attenuation is another factor that may preclude truly "fair" comparisons 212



Figure 6. Distribution of normalized travel-time anomalies that persist in adjoint-based seismic tomography model GLAD-M25, for the Pacific target region and as compared to the entire globe. Histograms are shown for body waves across three seismogram components (vertical Z, radial R, and transverse T) and in two period bands, 17-40 s (*top row, a–c*) and 40-100 s (*bottom row, d–f*). Histograms of all global measurements are shaded red, those of the regional subsets are in green, shown upside down. Means and plusminus one standard deviation ranges hover over the bar graphs. Normal distributions are superimposed as solid curves. Values listed on the left side of every panel are computed after removing outliers not within the 3rd-97th percentile range, whereas the values on the right were computed using all of the data for each category. All global averages are indistinguishable from zero, and all global standard deviations are 0.1 s, suggesting unbiased model residuals and globally extremely tight data fits. Regional distributions are only slightly offset from zero, but their standard deviations are two to three times larger than the global values. The regional distributions also have heavier tails, suggesting that a sizable fraction of the data remains to be fully explained by the wavespeed model in the region.



Figure 7. Distribution of normalized travel-time anomalies persisting in model GLAD-M25, for the Pacific target region as compared to the whole globe. Histograms are for surface waves across three seismogram components (vertical Z, radial R, transverse T) in period bands 40–100 s (*top row, g–i*) and 90–250 s (*bottom row, j–l*). Layout and labeling are exactly as in Fig. 6. Again, the global distribution is always centered on zero within each category. In contrast, the regional distributions are shifted slightly towards more negative values, showing increased standard deviations and stronger contributions from the tails.

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Figure 8. Distribution of normalized travel-time anomalies that persist in tomography model GLAD-M25, comparing measurements made inside the Pacific target region at land-based stations (yellow) versus ocean-bottom seismometers (black), for the vertical (Z) component recording body waves in the period bands 17-40 s and 40-100 s (*a–b*), and surface waves in the bands 90-250 s and 40-100 s (*c–d*). Note that comparatively very few OBS measurements were used in the construction of GLAD-M25, and none were made on the radial or transverse components. Layout and labeling are as in Figs 6–7.



Time (s) since 2022-07-12T19:17:11

Figure 9. Comparison of the waveforms predicted in GLAD-M25 (top) and SEMUCB-WM1 (bottom) with the observed data (black) derived from event C202207121917A recorded at the central-Pacific seismic station KIP (Kipapa, Hawaii, USA). Comparison is performed in the same window, in the period range of 40–100 s, which includes body and surface waves. The correlation between the observed and predicted data is shown at the top of each window, and the travel-time anomaly is at the bottom. The event used for our comparison was not used in building either model.

between both models, and between data sets that may contain the unmodeled effects of anisotropy and anelasticity that are likely present in the "true" Earth (Karato 1993, 1998).

In the vein of Figs 6–7, we computed travel-time anomaly distributions, Figs 10 and 11, for body and surface waves in the same period bands as before. For the body waves the residual anomalies in the band 17–40 s, Figs 10a-c, display histograms with heavy tails for both models. This behavior is much less pronounced in the 40–100 s band, Figs 10d–f. We interpret this as unresolved short-wavelength structure in both models. Note, SEMUCB-WM1 was based on body-wave data with a maximum period of 32 s (French & Romanowicz 2015). The difference between the models is furthermore expressed in the surface waves, whose distributions are less centered and relatively shifted, especially in the period band of 90–250 s on all three components, see Figs 11j–l.

221 4 DISCUSSION

The two global seismic tomography models, GLAD-M25 and SEMUCB-WM1 that we compared in the Pacific Ocean show prominent low-velocity anomalies in many similar locations throughout the mantle. Some of the differences are in amplitude, which might reflect variable levels of damping and other forms of regularization (Bozdağ & Trampert 2010), not to mention unavoidable biases due to choices of parameterization, especially with regards to anisotropy and attenuation. All tomographic models are inevitably filtered versions of the Earth (Ritsema et al. 2007), hence all direct data comparisons, especially in regions that comprise geodynamically anomalous or geologically unique provinces (Ekström & Dziewoński 1998), will be impacted by the specific modeling choices made (Koelemeijer et al. 2018).

More significant are the discrepancies in the lower mantle, e.g., beneath the Easter, Galápagos, and Juan Fernandez hotspots. Even though the structure beneath the Pitcairn hotspot appears better defined in SEMUCB-WM1 than in GLAD-M25, the plume conduit is located in the same region in both models. GLAD-M25 exhibits an apparent lack of resolution in the transition zone between 1000 and 600 km and in the lowermost mantle. Beneath Hawaii, the SEMUCB-WM1 model tends to capture a weak low-velocity conduit, unlike GLAD-M25. A shear low-velocity structure observed beneath Samoa in both models is more pronounced in GLAD-M25 than in SEMUCB-WM1.

Seismological model and data comparisons may take many forms (Moulik et al. 2022). Numerous authors have conducted detailed 233 inter-model evaluations, on the basis of a variety of measures which are relatively straightforward to obtain from images, planforms, and 234 cross-sections. These include correlation-based comparisons and confrontations with geodynamic models (e.g. Jordan et al. 1993; Rudolph 235 et al. 2015), vote-mapping based consistency checks (e.g. Shephard et al. 2017) consensus-based cluster analyses (e.g., Lekić et al. 2012; 236 Cottaar & Lekić 2016) spectral cross-model comparisons and grand averaging to an agreed-upon "best" model (e.g., Becker & Boschi 2002), 237 and statistical measures characterizing the relative distributions of anomalies (e.g., Hernlund & Houser 2008). Direct data comparisons 238 (Ritsema et al. 2002; Bozdağ & Trampert 2010), in contrast, have been relatively rare. While the computational cost of data-space cross-239 validations is higher, they lead to a more focused identification of the geographical areas that are most in need of, or present most promise 240 for, improvement. 241

Metrics relating observations to data predicted via spectral-element modeling in both models made on an independent data set indicate a mixture of relatively good and relatively poor fits throughout the various time and phase windows analyzed, as shown in the example of Fig. 9. On the whole, travel-time anomalies and correlation coefficients between synthetic and observed data windows do still exhibit relatively low and high values, respectively, for both models. The similarity between both models is greatest in the period band of 40–100 s for both body and surface waves. The discrepancy observed on long-period surface waves, in the period range 90–250 s may motivate the construction of a regional upper-mantle model in the South Pacific.

However, travel-time anomalies in the independent data set are larger than in the data used for the construction of GLAD-M25. The 248 comparison of our regional data-space results with assessment tests performed on "held-back" data sets used during the construction of both 249 global models GLAD-M25 and SEMUCB-WM1 (Lei et al. 2020; French & Romanowicz 2014), suggests the held-back sets are better fit in 250 terms of their average travel-time anomalies, with lower residual standard deviations. However, this reinforces our interpretation that global 251 models require probing and evaluation in specific geographic regions, as this allows us to focus on seismic ray paths that cross the target 252 region, thereby sampling the relevant geological structures of most interest. It is precisely in those areas of great geodynamical importance, 253 i.e., in the plume-rich region underlying the Pacific Superswell (McNutt & Fischer 1987; McNutt & Judge 1990; McNutt 1998), that new 254 observations are hard to come by with traditional instrumentation (Simon et al. 2022). 255

256 5 CONCLUSIONS

Despite carefully designed weighting schemes to balance the relative geographic contributions of different data sets to tomographic inversions for global models (e.g., Ruan et al. 2019; Cui et al. 2023), regional portions of the globe may remain relatively under-resolved compared to the global average (a problem not confined to seismmology, nor to this planet Plattner & Simons 2015). Global models GLAD-M25 (Lei et al. 2020) and SEMUCB-WM1 (French & Romanowicz 2015), obtained independently, have been very well received in the geophysical literature, and they have proved useful in the interpretation of other signals of deep Earth processes, such as those provided by geochemical analyses (Williams et al. 2019).

In this paper we first performed a regional assessment of the quality of GLAD-M25 in a Pacific target region centered on Polynesia, in order to ascertain its resolution of mantle structure and the potential for improvement by conducting subsequent regionally-focused model



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Figure 10. Normalized travel-time anomalies, measured on an independent data set covering the Pacific target region, that persist in GLAD-M25 (red histograms) and SEMUCB-WM1 (green, upside-down). Body waves are separated into two period bands, 17-40 s (a-c) and 40-100 s (d-f). In the period range 17–40 s the travel-time anomaly distribution in SEMUCB-WM1 is less Gaussian, and the standard deviations are markedly larger than in GLAD-M25.



Figure 11. Distribution of normalized travel-time anomalies, made on an independent data set covering the Pacific target region, comparing GLAD-M25 to SEMUCB-WM1, laid out as for the body waves shown in Fig. 10, but now for surface waves, divided in two-period bands, 90–250 s (*g-i*) and 40–100 s (*j–l*).

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iterations and the addition of new data, especially those sampling oceanic paths measured at ocean-bottom stations or floating sensors 265 available from past or future deployments. We studied the distribution of travel-time anomalies over a regionally selective subset of the 266 data, obtained by the spectral-element method, as compared to the global data set that was used in constructing the model. The relatively 267 significant discrepancy that still exists between the observed and predicted waveforms supports our finding that it is likely that unresolved 268 plume structure exists beneath several hotspots in the Pacific Ocean around French Polynesia. The travel-time anomaly distribution retains 269 larger values in the target region than over the entire globe. Numerous waveforms recorded by seismic stations around Polynesia still show 270 significant shifts when compared with the synthetic predictions. Our data analysis furthermore highlights the rather minor contribution of 271 ocean-bottom-seismometer data to the current model, and the significant potential for data addition from existing deployments, especially 272 from the horizontal components. 273

In addition to the regional intra-comparison of GLAD-M25, we performed a model inter-comparison between GLAD-M25 and 274 SEMUCB-WM1. Simply comparing models based on selected cross-sections and depth slices, i.e., in model space, as has been the norm in 275 comparative tomography, cannot reveal the impact of differences on seismic waveforms. Here we cross-validated both models in data space, 276 by analyzing the distribution of waveform fits newly calculated for an independent data set. Models GLAD-M25 and SEMUCB-WM1 show 277 discrepancies at shorter periods, between 17-40 s, where the travel-time anomaly distribution in SEMUCB-WM1 shows biases that remain 278 279 undigested. At longer periods, between 90-250 s, discrepancies between surface-wave data fits differ for Love and Rayleigh waves. While both models are generally compatible with each other, and compatible with their own data sets, our independent analysis will motivate and 280 inform the design of future studies conducting regional tomography targeting the Pacific region in efforts to image currently unresolved 281 seismic velocity anomaly structure, especially underneath hotspots. 282

283 DATA AVAILABILITY

The complete data sets are available from the authors. Small, curated examples of our data sets and measurements are available on https: //github.com/wambis/Polynesia.

286 A C K N O W L E D G M E N T S

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