## MoonIndex, an Open-Source Tool to Generate Spectral Indexes for the Moon from M<sup>3</sup> Data

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#### 12 Abstract

- 13 Spectral indexes are tools widely used to analyze the composition of the lunar surface. Many
- indexes have been formulated over the years, but there is no unified database for them. In this
- 15 work we describe an Open-Source Python package called *MoonIndex*, that recreates thirty-nine
- 16 indexes compiled from the literature and derived from several instruments, using data from the
- 17 Moon Mineralogy Mapper ( $M^3$ ). The processing started with the filtration of the data cubes to
- 18 reduce the noise, the continuum of the spectrum was then removed using the convex hull and
- 19 linear-fit methods. Later, the indexes were calculated, following as possible the original
- 20 formulations. The results on spectral indexes calculated on the original spectra were similar to
- those already published. Conversely, the results obtained for spectral indexes calculated after the continual removal were not always coherent, some indexes, like the band depth, are especially
- 22 sensitive to the removal method, as well as the derived band areas and asymmetries. We also
- recreated RGB composite maps, our results highlight the compositional patterns is a similar way
- as the ones in the literature, even if the color ramps can differ. The products of *MoonIndex* are
- 26 open, ready for interpretation, versatile, consistent, and cross-comparable.

#### 27 Plain Language Summary

28 Spectral indexes are parameters defined from the characteristics of reflectance spectrums, and they are useful to investigate the spectral properties of a surface and to retrieve mineralogical 29 properties of a planetary body. They can reveal the presence of specific minerals in rocks, 30 indicate mineralogical variations from different units, highlight physical properties of a surface, 31 32 or show the effect of the exposure to the space environment. For the Moon, several spectral indexes have been formulated over time using data from many spacecrafts, but no unified 33 database is available. In this work, we created an open-source Python package called 34 MoonIndex, which recreates thirty-nine indexes to study the lunar surface. The indexes were 35 collected from the literature, and our results achieved various levels of fidelity. Some of the 36 indexes we calculated are exactly reproducing those found in the literature, while in other cases, 37 index calculations differ due to processing constraints or due to missing information in the 38 original formulations, such as the continuum removal method used, or the band operations 39 40 conducted to create the indexes. *MoonIndex* is a reliable and versatile tool to approach the compositional analysis of the lunar surface. 41

#### 42 **1 Introduction**

43 The surface of the Moon has a constrained mineralogical diversity, it has been broadly divided into two types of terrains, the "highlands" which are anorthositic-rich and relatively 44 light, and "maria", effusive plains enriched in mafic and opaque minerals (Taylor, 1976, 45 Hiesinger and Head, 2006). In the highlands, the dominant minerals are calcium plagioclases 46 (Taylor, 1972, Warren and Korotev, 2022), while in the maria mafic compositions become 47 important showing higher abundances of clinopyroxene (CPX), orthopyroxene (OPX), and 48 49 olivine (Agrell et al., 1970, Albee, 2003). The clear definition of the lunar mineralogy has been driven by the samples returned by space missions (Prissel and Prissel, 2021), but due to their 50 limited coverage of the lunar surface, the use of remote sensing techniques is still the only way to 51 assess the mineralogy of the Moon at a regional level. In this respect, the formulation and use of 52 spectral indexes is a straightforward way to approach and visualize the mineralogical diversity of 53 the Moon. In this study we present *MoonIndex*, an open-source tool to generate several spectral 54

indexes derived from the data of the Moon Mineralogy Mapper (M<sup>3</sup>). Mineralogical exploration

56 of the Moon.

After the end of the Apollo missions, the exploration of the Moon shifted towards the use 57 of remote sensing spacecrafts around the Moon. These orbiters allowed global and long-lasting 58 surveys of the surface, including the study of mineralogical and elemental variations across lunar 59 terrains. The first spacecraft with this purpose was Clementine. It was launched in 1994 and it 60 was equipped with the Ultraviolet/Visible (UV/Vis) and the Near-Infrared CCD (NIR) cameras 61 (Nozete, 1995), which combined 11 filters between 300 nm and 2700 nm. This spectral range 62 was selected to obtain information suitable for the recognition of the dominant minerals on the 63 surface of the Moon (Figure 1). Clementine was followed by the Lunar Prospector, launched in 64 1998, it allowed the derivation of potassium, thorium, and iron maps of the surface from its 65 gamma-ray spectrometer (Lawrence et al., 1998). The Selenological and Engineering Explorer 66 (SELENE/Kaguya) was launched in 2007 (Sasaki et al. 2003), it was the first hyperspectral 67 sensor orbiting the Moon, its Spectral Profiler consisted of 296 bands between 522 nm and 2600 68 nm. Shortly after, in 2008, the Chandrayaan-I spacecraft was launched, and its payload included 69 the imaging hyper-spectrometer  $M^3$  (Pieters et al., 2009, Green et al., 2011).  $M^3$  acquired data in 70 the spectral range between 430 nm and 3000 nm, similarly to Kaguya/Spectral Profiler, but with 71 a higher spatial resolution. It operated in two spectral sampling modes: the "Target Mode", 72 characterized by a spectral sampling of 10 nm, with a total of 256 channels; and the "Global 73 Mode", reaching a spectral sampling of 20 nm in the shorter wavelengths and 40 nm in longer 74 75 ones, adding up to 85 channels (Green et al., 2011). In both cases the spatial resolution is around 110 meters/pixel, and the spectral cubes usually cover long swaths. Due to the limited amount of 76 the targeted mode products and the almost total coverage of the global mode ones, we decided to 77

78 optimize the workflow for the latter.

#### 79 1.1 Lunar mineralogical diversity

80 Following lunar mineralogy, the hyperspectral remote sensing instruments around the Moon acquire data in the spectral interval between 0.45  $\mu$ m and 3  $\mu$ m, corresponding to the 81 range where the major mafic minerals exhibit clear absorption features. Figure 1 shows an 82 example of some minerals with scientific interest on the Moon (pyroxene, plagioclase, and 83 olivine) showing specific spectral signatures in the visible-near infrared range (Arnold et al., 84 2016). Olivine presents three absorption features ranging between 0.85 µm and 1.3 µm, this is 85 attributable to the presence of Fe2+ within the M1 and M2 octahedra sites (e.g. Burns 1993), 86 creating a wide absorption feature around 1.1 µm. Nevertheless, changes in the composition of 87 the olivine can slightly shift the position of the band center of the absorption, with a shift towards 88 longer wavelengths with increasing fayalite, i.e. Fe<sup>2+</sup> (e.g. Burns, 1993; Sunshine and Pieters, 89 1998). Pyroxene exhibits two strong absorptions located at 1 µm and 2 µm, respectively. These 90 absorptions are mainly the result of crystal field transitions of Fe<sup>2+</sup> cations in the M1 and M2 91 octahedral sites, whoever, the presence of different abundance of Ca  $^{2+}$  (and related Mg  $^{2+}$ ) also 92 influence the absorption bands of pyroxenes (Burns, 1993; Klima et al. 2011). In fact, pyroxene 93 with a larger amount of Fe<sup>2+</sup> and Ca<sup>2+</sup> show band center positions shifted towards longer 94 wavelengths (Klima et al., 2007, 2011) as well as extreme composition shows wider 1.0 µm and 95 weak or absent 2.0 um band. Different from the other major minerals, plagioclase has a higher 96

- 97 reflectance and its almost featureless in the near-infrared (NIR), even if small amount of  $Fe^{2+}$
- 98 produce an absorption band around 1.3  $\mu$ m, which is not easily recognizable in the data of M<sup>3</sup>
- 99 (e.g. Ohtake et al., 2009, Cheek et al., 2013, Serventi, 2013). To properly analyze the plagioclase
- 100 composition, one should rely on thermal infrared (TIR) data, such as the one obtained by the
- 101 Diviner instrument onboard the Lunar Reconnaissance Orbiter (LRO)(Lucey et al., 2021), or the
- 102 Spectral Profiler onboard SELENE/Kaguya (Lemellin, 2019). An additional shallow absorption
- band centered around 3  $\mu$ m, which is associated to hydrated minerals, has been identified by M3 data (Pieters et al., 2009). As well as an absorption feature between 1.5  $\mu$ m and 3  $\mu$ m, related to
- the presence of spinels (Pieters et al., 2014, Moriarty et al., 2023). In general, a spectrum that
- shows an absorption feature at 2  $\mu$ m indicates the presence of pyroxene, one with a stronger
- shows an absorption relative at 2 µm indicates the presence of pyroxene, one with a stronger signal at 1 µm implies the presence of olivine, and a spectrum with shallow absorption in both
- regions represents an absence of the mafic minerals, and thus the abundance of plagioclase.



Figure 1: Spectral signatures of the main mineral species on the lunar surface. The
 three absorption features of olivine are shown in blue (M1, M2, M3). The two absorptions
 of pyroxene are shown in green (M1, M2). The high reflectance spectrum of plagioclase is
 shown in red, showing the occasional absorption feature at 1.3 μm. Modified from Arnold
 et al. (2016).

Since the lunar surface is a mixture of minerals, the actual spectrum is more complex 115 than the ones obtained from single species (Figure 2a). Other factors also add a layer of 116 complexity. Instrumental errors need to be considered, as well as the overall signature of the 117 regolith (Green et al., 2011). But the bigger factor is that the lunar spectra show an overall 118 positive and steep slope (Figure 2a), this effect is known as spectral reddening and is the result 119 of space weathering. This alteration is produced by the combined action of solar wind, cosmic 120 radiation, and micrometeoroids bombardment; which produces nanophase iron particles, 121 responsible for the increased spectral slope, a reduction of the reflectance, and the weakening of 122 some absorption bands (Hapke, 2001; Xu et al., 2023). Spectral reddening hinders the absorption 123

band analysis; therefore, it is necessary to remove the spectral slope. A typical way to remove the spectral slope effects is to apply a continuum removal (**Figure 2b**).



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Figure 2: a) Example of a reflectance spectrum of M<sup>3</sup> before continuum removal, showing the steep slope of the continuum. b) Same spectrum after the continuum removal. The main spectral parameters are indicated in the plot. The band center is the wavelength at the minimum point of the absorption, the band depth is the value at the minimum, the band shoulders limit the absorption, the band area is the total coverage of the absorption, and the asymmetry measures the distribution of the area at each side of the minimum as a percentage.

#### 134 1.3 Techniques to use spectral data

Several approaches can be used to analyze spectral data acquired by remote sensing 135 instruments. The most common method is the use of spectral indexes, those are specific 136 combinations of bands, or band operations, that highlights a specific portion of the spectrum and 137 thus a mineralogical composition (e.g. Montero et al., 2023). The definition of the indexes is 138 supported by an analysis of the spectral parameters of the identified absorption bands (band 139 centers, depth, shoulders, areas, spectral slopes, etc) (Figure 2b); or by operating over several 140 bands, like calculating spectral ratios. In some cases indexes are presented as RGB composites, 141 false-colored images that are created by combining three indexes in the red, green, and blue 142

channels. This allows an easier visualization of the results by comparing several indexes at the same time and supporting the interpretation (Liu and Mason, 2009).

Other techniques can be applied to spectral datasets. Spectral unmixing model are also 145 applied in image analysis (Horgan et al., 2022), and other ones allows the retrieval of 146 mineralogical information from a representative spectrum Modified Gaussian Model (MGM) 147 (Sunshine et al., 1990; Clenet, 2009) or the radiative transfer models (Corley et al., 2018). These 148 149 techniques focus on the reconstruction of synthetic spectrums using the Hapke reflectance model (Hapke, 1993), which computes the expected reflectance of minerals from their chemical and 150 crystallographic properties. Although the spectral indexes technique does not easily allow an 151 152 absolute measurement of mineral abundances in complex surface spectrums, it is the most flexible, as the types of indexes that can be created are endless. This allows a targeted survey of 153 desired minerals and an easy analysis of their spatial relationships with other ones, including 154 minor species like spinel and water ice. For the previous reasons, we focused our work on the 155 156 compilation of spectral indexes present in the literature for the Moon and within the spectral

range of  $M^3$ , to later recreate them on the Open-Source programming language Python.

#### 158 1.2 Original formulations that are being replicated

The spectral parameters are intrinsic to the mineral species, which means spectra are 159 comparable regardless of the planetary body. Many parameters were defined in the laboratory 160 (e.g. Adams and Filice, 1967; Adams, 1974; Karr, 1975), and were later applied to the Moon. A 161 detailed list of all the spectral indexes considered in this work is shown in Table 1. Spectral 162 indices depend on the characteristics of the spectra considered, for this reason, literature provides 163 a large and evolving number of parameters. Therefore, no unified database of spectral indexes 164 for the Moon is present. Nevertheless, some works went a long way listing important indexes. 165 Zambon et al. (2020) describes eleven indexes suitable for being derived from the data of M<sup>3</sup>. 166 167 These indexes focus on the band centers and band depths around 1 µm and 2 µm, which help on the identification of mafic minerals; the spectral slope, which is a way to measure the maturity of 168 the surface; and a Clementine-like color composite map (Red: 750 nm/540 nm, Green: 750 169 nm/1000 nm, Blue:540 nm/750 nm), worthwhile to identify regions enriched in mafic minerals 170 (with different enrichment on iron or titanium), plagioclase and glass-bearing materials (Lucey et 171 al., 2000). 172

173 The rest of the collected indexes were thought of for specific cases. Wu et al. (2012) updated the FeO and TiO parameters formulated by Lucey et al. (2000). Horgan et al. (2014) 174 used the band area and asymmetry to highlight different mineral and glass compositions. Corley 175 176 et al. (2018) defined a simple band ratio to highlight the presence of olivine, and Bretzfelder et al. (2020) made an RGB composite based on the integrated band depth (IBD) around 1 µm and 2 177 μm, and the band depth at 1.9 μm to create a contrast between olivine and the two types of 178 pyroxenes. Besse et al. (2011) also used the integrated band depth (IBD) to differentiate between 179 pulses of lava floods. Finally, Pieters et al. (2014) and Moriarty et al. (2023) used band ratios of 180 non-continuum removed spectrums designed to detect spinel and anorthosite. Finally, we 181 adapted three other indexes for this work, the band area and asymmetry at 2 µm, and a chromite 182 parameter following the formulation of Moriarty et al, 2023. 183

Parameter Name	Abrev. Name	Formulation	Interpretation	Source
Reflectance at 540 nm	R540	R540	High values → bright fresh material, plagioclase. Low values → dark terrain, pyroxene.	Adams and McCord (1971)
Band center at 1 μm	BCI	$BCI = \min \sim \left(\frac{R1000nm}{R_c 1000nm}\right)$ $R_c = Removed \ continuum \ spectrum$	Compositional variations of the principal mineralogical phases (pyroxenes, olivines, and plagioclases). If the band depth is shifted to lower wavelengths, it may show abundance of low-Ca pyroxene.	Adams (1974)
Band center at 2 µm	BCII	$BCII = \min \sim \left(\frac{R2000nm}{R_c 2000nm}\right)$	If the band center is shifted to lower wavelengths, it may show abundance of low-Ca pyroxene.	Adams (1974)
Band depth at 1 µm	BDI	$BD1000 = 1 - \frac{R1000nm}{R_c 1000nm}$	Abundance of the principal mineralogical phases and their grain sizes, also abundance of opaque phases.	Adams (1974)
Band depth at 2 µm	BDII	$BD2000 = 1 - \frac{R2000nm}{R_c 2000nm}$	Abundance of the principal mineralogical phases and their grain sizes, also abundance of opaque phases.	Adams (1974)
Spectral slope at 1 µm	SS	$Sl = \frac{R(Max \ shoulder \ BCI) - R540nm}{(Wave(Max \ shoulder \ BCI) - 540nm) * R540nm}$	Low values → fresh terrains, dark terrain. High values → older terrains, space weathering.	Hazen et al. (1978)
Clementine -like red channel	Clem RED	$Clem \text{RED} = \frac{R750nm}{R540nm}$	High values imply low titanium regions, or high glass contents.	Lucey et al. (2000)
Clementine -like green channel	Clem GREEN	$Clem \text{GREEN} = \frac{R750nm}{R1000nm}$	High values show enrichment of iron in the surface, and mafic minerals.	Lucey et al. (2000)
Clementine -like blue channel	Clem BLUE	$ClemBLUE = \frac{R540nm}{R750nm}$	Higher values imply high titanium content and bright slopes.	Lucey et al. (2000)

Band depth at 1.9 µm	BD1900	$BD1900 = 1 - \frac{R1900nm}{R_c 1900nm}$	Highlights differences in mafic compositions when combined with IBDI and IBDII.	Bretzfelder et al. (2020)
Integrated band depth at 1 µm	IBDI	$IBDI = \sum_{n=0}^{26}  1 - \frac{R(789nm + 20n)}{R_c(789nm + 20n)}$	It shows high values when olivine and pyroxene are present.	Bretzfelder et al. (2020)
Integrated band depth at 2 µm	IBDII	$IBDII = \sum_{n=0}^{21}  1 - \frac{R(1658nm + 40n)}{R_c(1658nm + 40n)}$	It shows high values when pyroxene is present.	Bretzfelder et al. (2020)
Band area at 1 μm	BAI	Total area of the absorption feature at $1 \mu m$ , this is computed as the summatory of one minus the value of each channel multiplied by the spectral resolution, between the left and right shoulders of the absorption. This is done after the continuum removal.	Useful to differentiate between mineral species.	Cloutis et al. (1986)
Band area at 2 μm	BAII	Total area of the absorption feature at 2 $\mu$ m, this is computed as the summatory of one minus the value of each channel multiplied by the spectral resolution, between the left and right shoulders of the absorption. This is done after the continuum removal. Closed at 2.5 $\mu$ m.	Useful to differentiate between mineral species.	This paper
Band asymmetry at 1 μm	ASYI	Difference in percentage between the right and left sides areas of the absorption at 1 $\mu$ m. A negative value is an asymmetry to the left, a positive one to the right.	Useful to identify glass-bearing mixtures with high asymmetries.	Cloutis et al. (1986)
Band asymmetry at 2 µm	ASYII	Difference in percentage between the right and left sides areas of the absorption at 2 $\mu$ m. A negative value is an asymmetry to the left, a positive one to the right. Closed at 2.5 $\mu$ m.	Useful to identify glass-bearing mixtures with high asymmetries.	This paper
Olivine parameter	Ol	$Ol = \left(\frac{R1699}{0.1 * R1050 + 0.1 * R1210 + 0.4 * R1329 + 0.4 + r1469}\right) - 1$	A higher value implies a major abundance of olivine.	Corley et al. (2018)
Spinel ratio	Sp1	$Sp1 = \frac{R1450nm}{R1750nm}$	A higher value implies a major abundance of spinel.	Pieters et al. (2014)

Spinel ratio	Sp2	$Sp2 = \frac{\left(\frac{R1250nm - R750nm}{500}\right) * 1350 + R1250 nm}{R2600nm}$	A higher value implies a major abundance of spinel.	Moriarty III et al. (2022)
Pyroxene ratio	Px	$Px = \frac{R700nm + R1200nm}{R950nm}$	A higher value implies a major abundance of pyroxene.	Pieters et al. (2014)
Pure anorthosite ratio	An	$Px = \frac{R1000nm + R1500nm}{R1250nm}$	A higher value implies a major abundance of anorthosite.	Pieters et al. (2014)
Band depth at 950 nm	BD950	$BD950 = 1 - \frac{R950nm}{R_c950nm}$	Combined in RGB6 is useful to study lunar maria.	Besse et al. (2011)
Badn depth at 1.05 μm	BD1050	$BD1050 = 1 - \frac{R950nm}{R_c950nm}$	Combined in RGB6 is useful to study lunar maria.	Besse et al. (2011)
Badn depth at 1.25 μm	BD1250	$BD1250 = 1 - \frac{R1250nm}{R_c 1250nm}$	Combined in RGB6 is useful to study lunar maria.	Besse et al. (2011)
Reflectnace at 1.58 µm	R1580	R1580nm	Combined in RGB7 is useful to study lunar maria.	Besse et al. (2011)
Iron oxide parameter	Fe	$Fe = -\arctan\left(\frac{\left(\frac{R918nm}{R757nm}\right) - 1.19}{R757nm - 0.06}\right)$	Higher values imply the presence of iron.	Wu et al. (2012)
Titanium parameter	Ti	$Ti = \arctan \arctan \left( \frac{\left(\frac{R561nm}{R757nm}\right) - 0.71}{R757nm - 0.07} \right)$	Higher values imply the presence of titanium.	Wu et al. (2012)
Chromite parameter	Cr	$Cr = \frac{\left(\frac{R1350 - R750}{600}\right) * 1500 + R1350}{R2750}$	Higher values imply the presence of chromite.	This paper

RGB composite	Abrev. Name	Formulation	Interpretation	Source
RGB Clementine -like color composite	Clem	R: ClemRED, G: ClemGREEN, B: ClemBLUE.	Red channel → low titanium regions, or high in glass content (see the highlands, pyroclastic deposits). Green channel → amount of iron in the surface, mafic minerals. Blue channel → high titanium. Lunar surface maturity.	Lucey et al. (2000)
Color composite 1	RGB 1	R: SS, G: BDI, B: BDII.	When red dominates, space weathering is major, blue/green zones correspond to less mature terrains.	Zambon et al. (2020)
Color composite 2	RGB 2	R: SS, G: R540, B: BCII.	Blue areas are characterized by high iron/titanium, red zones are a lack of that.	Zambon et al. (2020)
Color composite 3	RGB 3	R: SS, G: R540, B: BDI.	This RGB combination gives information on terrain maturity and reflectance.	Zambon et al. (2020)
Color composite 4	RGB4	R: BCI, G: BCII, B: BAI.	Pyroxene rich material is seen in blue/yellow/green, glass and olivine in pink/yellow, plagioclase in red.	Horgan et al. (2014)
Color cmposite 5	RGB5	R: ASYI, G: BCII, B: BAI.	Pink and yellow show glass-bearing mixtures.	Horgan et al. (2014)
Color composite 6	RGB6	R: BD950, G: BD1050, B: BD1250.	Blue means presence of olivine, red/purple pyroxene.	Besse et al. (2011)
Color composite 7	RGB7	R: IBDI, G:IBDII, B: R1580.	Red is olivine rich.	Besse et al. (2011)
Color composite 8	RGB 8	R: BD1900, G: IBDII, B:IBDI.	Dark blue corresponds to olivine signatures, cyan to clinopyroxene.	Bretzfelder et al. (2020)
Spinel	Spanpx	R :Px, G: Sp2, B: An.	Amount of spinel.	Moriarty III et al. (2022)

186Table 1: List of spectral indexes collected in literature. A total of 28 parameters and 10 RGB composites were implemented.

#### 187 **2. Data**

As our goal was to generate a set of consistent spectral indexes, we opted for optimizing the tool for the global mode captures of M<sup>3</sup>, in this way we always worked with the same spectral sampling and similar spatial resolutions. We selected the data from the Planetary Data System (PDS) (Malaret et al., 2011), using the PDS Geosciences Node Lunar Orbital Data Explorer (LODE) search tool.

193 2.1 Data formats

M3 data is available as cubes in IMG format, each cube is a three-dimensional array of 194 data, which stores spatial information in a two-dimensional plane, and spectral information in 195 depth. Other additional files contain ephemerides, pointings and geometries, calibration data, and 196 metadata. To map-project the cubes it is necessary to download both the calibrated radiance and 197 the derived reflectance images, although only the latter is used for the retrieval of spectral 198 parameters. The reflectance cubes available in the PDS have 83 bands, missing the first two 199 200 bands, corresponding to 0.46 µm and 0.5 µm. The reflectance data is already calibrated for thermal and photometrical anomalies (Lundeen et al., 2011). The spatial resolution of the global 201 mode data is around 110 meters/pixel, while the spectral sampling is variable, being 0.02 µm 202 between 0.5 µm to 1.5 µm, and 0.04 µm between 1.5 µm and 3 µm. Global mode cubes cover a 203 substantial portion of the lunar surface, so one or two cubes are usually enough to study medium-204 sized geoforms on the lunar surface. On the global mode, the data is captured at full resolution, 205 afterwards, it is averaged to reduce it to the desired value. M3 captured data intermittently across 206 two orbital periods, usually with high solar zenith angles (Green et al. 2011). As a result, there 207 are not many locations covered by more than one or two cubes taken at different times. 208

M3 data is affected by some artifacts, making it difficult to develop general procedures to 209 remove or improve these issues. In particular, all M3 files display vertical stripes due to thermal 210 issues with the instrument. The stripes are present in all wavelengths, but their intensity varies 211 from cube to cube. We also detected an anomalous increase in reflectance from right to left in 212 some cubes. This effect is particularly strong at longer wavelengths, affecting the band depth, 213 area, and asymmetry (Green et al., 2011). The photometric correction of the cubes is not reliable 214 for incidence angles higher than 70 degrees, which especially affect steep slopes on craters. 215 Finally, there are some cubes taken in the same area that are not correctly projected with respect 216 to each other, this may be a problem with the SPICE information of the data (Acton et al., 2016). 217 Although the prior artifacts reduce the quality of the information, almost every cube still has 218 plenty of data that can be analyzed. 219

220 2.2 Case study regions

All the indexes collected and formulated in this work are applicable to any location on the lunar surface, but here as case of study, we compare our results with those of other three authors that also focused on spectral indexes (**Figure 3**).

224 2.2.1 Apollo Basin

The Apollo basin (36.1°S 151.8°W) was the target of Zambon et al. (2020). It is a large multiring impact basin within the northern part of the South Pole Aitken basin (SPA) (Moriarty and Pieters, 2018). It has an estimated age of 3.98 Ga (Ivanov et al., 2018) and was later fulfilled

- by basaltic flood lavas. The Apollo basin has a big geomorphological and compositional
- diversity (Ivanov et al., 2018, Potter et al., 2018), most of the zone is dominated by highlands
- terrains, but a large basaltic flood is emplaced at the center of the basin. This diversity makes it a
- 231 good target to test the spectral parameters variability. Zambon et al. (2020) used the band center,
- depth, and spectral slope to study the mineralogical composition of the region, we will compare
- our results for these same parameters. We used the reflectance cube M3G20090813T213525.
- 234 2.2.2 Vallis Alpes

Vallis Alpes (49°N, 3°E.) was studied by Bretzfelder et al. (2020). The Vallis Alpes and 235 Montes Alpes are landforms located in the northern rim of the Imbrium basin, they are northeast 236 237 trending structures, including a central linear rille and parallel mountain ranges at both sides of it. The mountains are probably ejecta blocks of the Imbrium impact, which according to Klima et 238 al. (2011) are enriched in low-Ca pyroxene. Bretzfelder et al. (2020) identified olivine outcrops 239 in the surface using the integrated band depths and the band depth at  $1.9 \,\mu\text{m}$ , suggesting the 240 presence of plutonic rocks excavated from the lower crust (Shearer et al. 2015). We recreated 241 these parameters to identify the presence of olivine in the region. The reflectance M<sup>3</sup> image used 242 for this target is named M3G20090608T125102. 243

244 2.2.3 Aristarchus crater

The Aristarchus Crater (23.4°N, 47.2°W) was analyzed by Horgan et al. (2014). It is a well-preserved Copernican complex crater, it shows high albedo and sharp morphologies, which correspond to impact products (Mustard et al., 2011). The structure of its ejecta is clearly visible, including several types of impact melt, basement rocks and structural patterns. Horgan et al. (2014) used the band centers, areas and asymmetries to study and classify the ejecta and glass bearing lithologies around the crater. The reflectance M<sup>3</sup> cube used for this target is named M3G20090209T054031.



Figure 3: a) Regions selected to test the MoonIndex tool. b) Apollo Basin, a large impact structure in the South Polar Aitken basin (SPA), target of Zambon et al. (2020). c) Aristarchus crater, a Copernican impact structure enriched in glasses, target of Horgan et al. (2014). d) Vallis Alpes, a linear rille in the rim of the Imbrium basin, target of Bretzfelder et al. (2020).

#### 258 **3 Methods**

The use of other software is necessary before and after the application of *MoonIndex*. An important step to properly use remote sensing images is the spatial projection of the data, which locates the images on the surface of a planet. For planetary bodies, this process is challenging, and has been optimized before in software like the Integrated Software for Imagers and Spectrometers (ISIS) (Laura et al., 2023). For this reason, we did not recreate this step within the Python workflow. Then, MoonIndex can be applied to map-projected M<sup>3</sup> reflectance cubes. As

- <sup>265</sup> for the indexes derived from our tool, we strongly recommend their use with geospatial software
- such as QGIS or ArcGIS, which are well-optimized for high level remote sensing data
- 267 interpretation. The whole workflow applied is summarized in Figure 4.



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Figure 4: Flow-chart of the full procedure to create spectral indexes using *MoonIndex*.

271 3.1 Preprocessing

To use M<sup>3</sup> cubes in Python, one first need to map-project them and change their format to 272 Tiff/Geotiff using ISIS and the Geospatial Data Abstraction Library (GDAL) (Rouault et al., 273 2023). The first step is the ingestion of the data to ISIS, the importing command of ISIS only 274 accepts the radiance product of  $M^3$ , so we did – essentially a workaround - a change in the 275 associated LBL file of the radiance cube to use the reflectance data (e.g., Figuera et al., 2018). 276 The modification consists in changing the name of the radiance cube by the one of the 277 reflectance cube in the "^RDN IMAGE" parameter under the "/\* Spectral calibration parameters 278 and radiometric gain factor data \*/" section of the LBL file. This tricks ISIS to accept the 279 reflectance cube, and to continue the pre-processing. As the data of M<sup>3</sup> usually covers substantial 280

portion of the Moon, sometimes it is necessary to reduce the extent of the cube, this is usually necessary when the data comprises the poles. The polar region covered by the cube can be cropped to a smaller size in ISIS. Then, we geographically projected the cube to a pre-defined coordinate system. After the projection, the format of the data was changed from CUB to TIF using GDAL. At this point, the data is ready to be ingested on the tool. The commands used in this step ca be found in **Text S1**.

3.2 Data processing using Python

The *MoonIndex* tool is designed to automatically work, after an initial configuration of 288 the input and output paths. The indexes are calculated from a set of Python functions developed 289 in this work, which are optimized for the technical characteristics of M<sup>3</sup>. The workflow can be 290 291 divided into three main stages (Figure 4): filtration, continuum removal (when needed), and indexes generation. We used Python libraries that are produced/written to work with spatial 292 imagery data, like xarray (Hoyer and Joseph, 2017), openCV (Bradsky, 2000), and rasterio 293 (Gillies and others, 2013). As well as common operational libraries like numpy (Harris et al., 294 295 2020), and plotting ones like matplotlib (Hunter, 2007). A detailed description of the libraries is found in Text S2. 296

3.2.1 Cube adjustments

298 Some minor corrections are needed before working with the data. The first two bands of the reflectance cube do not contain spectral information, so they are removed, this means that the 299 initial band of the data is 0.54 µm. As the cubes are projected, they no longer have a rectangular 300 shape, so pixels with no data values appear at the edges, all of them are reassigned to zero, to 301 avoid problems in the processing. Due to the M3 observation strategy, a large part of the cubes is 302 acquired from north to south pole, increasing the file dimension and making the data processing 303 difficult (Green et al., 2011). For this reason, a specific tool to resize the data is fundamental for 304 easier data processing. In this regard, we develop a function dedicated to slicing the data using 305

- the coordinates of the desired regions (**Figure 5**). Nevertheless, the user can still opt to process
- the full cube by simply not using this function.



### 309Figure 5: Cropping function of the tool. M3 cubes are generally large, so the use of310subsections when possible is recommended.

311 3.2.2 Filtration

The striping of the cubes can disrupt the data, both the spectral profiles and the images for each wavelength have a periodic noise that makes interpretation more difficult (**Figure 6a**). Since no instrumental calibration is provided by the team of  $M^3$ , we opted for image and data filtration. We followed the two filtration steps proposed by Shkuratov et al. (2019), which consists of a Gaussian convolution followed by a Fourier filtration, nevertheless, after several attempts we obtained better results inverting the order of the filters, as less stripping is visible after generating the indexes.

The Fourier filtration was computed individually for each band of the cube. The process 319 starts by applying a 2D Fourier transform, the resulting image is in the Fourier or frequency 320 domain, which shows the distribution of frequencies contained in the original spatial domain 321 (Broughton & Bryan, 2018). In the Fourier domain, it is possible to identify some frequencies 322 responsible for the vertical striping of the data, which cross horizontally the Fourier image at its 323 center (Figure 6b). Once the position of the stripes was identified, we applied a mask to the data, 324 multiplying by zero the regions dominated by their frequencies (Figure 6c). The size of the mask 325 corresponds to 60% of the width of the image, and 2% of its altitude; these measurements were 326

- 327 established manually as it removes the major number of stripes without damaging the
- 328 frequencies of the actual data, usually accumulated at the center of the Fourier image. The user
- has the possibility to change the size of the filter. Lastly, an inverse Fourier transform is applied
- to the masked images, recovering the filtered cube in the spatial domain. After the Fourier
- filtration, a simple 1D Gaussian filter is applied to the data, this time in the spectral dimension.
- This process smooths the spectral signatures of each pixel, allowing the identification of the main mineral absorption bands (**Figure 7a**). The filter is only applied between 0.54 µm and 2.85
- $\mu$ m, to avoid an undesired trend caused by the instrumental errors at the longer wavelengths
- captured by  $M^3$ . As most of the minerals on the Moon have absorption bands in shorter
- 336 wavelengths, we decided not to include those unfiltered last four bands. Finally, we examined
- that the filters do not affect the actual data. We generated images ratio between the filtered and
- unfiltered cubes, and then checked that, variations of over 2% were not made outside the vertical
  stripes (Figure 7b).



Figure 6: Fourier filtration of the cube. a) Original data with the typical vertical striping of
 M<sup>3</sup>.b) Fourier image of the data, the strong horizontal line contains the frequencies of the

vertical stripes. c) Filter applied to the data, the pixels inside the rectangles are multiplied
 by zero. d) Image after the filtering, showing reduced vertical stripes.



345

# Figure 7: a) Comparison of the spectral profiles before and after the Gaussian filter, the orange line is smoother and allows a better interpretation of the absorption bands. b) Images of the original cube, c) the ratio between the last and the Gaussian-filtered cube, d) and the location with changes over 2% on reflectance (black pixels). These images show the surface data is not affected by the filtering process.

351

3.2.3. Continuum removal

Some indexes require the continuum removal to be performed. The continuum of a spectrum is 352 considered the background absorption signal, which results from the interaction of several 353 properties of the analyzed surface (e.g., Clark and Rush, 1984; Zhang et al., 2016). The 354 continuum on the spectral signatures of M3 is a positive slope that overlaps the relatively weak 355 absorption of the minerals, it results from the combined signals of the lunar regolith and the 356 products of space weathering. In the lunar case, a major contribution to the continuum is due to 357 the space weathering effects, which in turn can be used to measure the maturity of the surface 358 (Lucey et al., 2000). Even when the continuum plays a major role in M<sup>3</sup>cubes, its removal 359

uncovers the spectral properties of the minerals on the surface and allows the analysis ofparameters related to absorption bands (Figure 8).

Several approaches have been used to remove the continuum of lunar spectrums, we 362 decided to implement two of these methods in *MoonIndex*. Since this process consist in 363 removing the overall trend of the data, the most common approach on literature involves 364 calculating the continuum as a linear or polynomial fit between the first and last value of the 365 spectrum, and then use it to divide the original data (e.g., McCord et al., 1972; Clark and Roush, 366 1984). Similarly to Zambon et al. (2020, and references therein), we applied a linear-fit method 367 to remove the continuum of  $M^3$  data. By considering the spectral properties of the minerals on 368 the Moon surface, the removing function was calculated independently for each absorption band. 369 Around the 1 µm band, a second order fit was used, and for the one around the 2 µm, a linear fit 370 function was applied. We named this approach as the "linear-fit method" in our tool (Figure 8a). 371 Nevertheless, the polynomial order of this method can be modified for both absorption bands. 372 The other approach implemented is the convex hull method (Graham, 1972), in this case, the 373 continuum is calculated as the enveloping function of the spectrum data, consisting of lines 374 interpolated over every consecutive point of the spectrum. This method has the advantage of 375 being completely independent of arbitrary limits and that it highlights the shape of every 376 absorption feature. We implemented this approach as the "convex hull method" in our tool 377

378 (Figure 8b).



379

Figure 8: Continuum removal methods applied by *MoonIndex*. a) Convex-hull
 method, it used an envelope around the vertex of the spectrum. b) Linear-fit method, it
 used a second order polynomial around the 1 μm absorption band, and a linear fit around
 the 2 μm absorption band.

An additional challenge was found when the slope of the continuum is exceptionally high, this creates an effect that masks the shoulders of the absorption bands around 1 and 2  $\mu$ m, resulting in an incorrect calculation of the convex hull and the linear fit. To bypass this problem, we created a break-point between 1 and 2  $\mu$ m, this point has a higher value than the surrounding data, ensuring that the continuum removal process will count it as a maximum. This artificial point marks the closure of the absorption band at 1  $\mu$ m and the beginning of the one at 2  $\mu$ m, only when the spectral signature is too featureless to be detected. The position of the tie-point was defined as the most prominent peak of the difference between the original spectrum and its continuum, calculated with a linear fit between 1.02  $\mu$ m and 2.09  $\mu$ m. We selected this range since the absorption band at 1  $\mu$ m usually closes inside it.

394

#### 3.2.4 Key parameters extraction

395 Once the continuum has been removed, the data is ready for the retrieval of spectral 396 indexes. Two parameters were calculated first, the position of the minimum reflectance and the position of their two surrounding shoulders, for both the 1  $\mu$ m and 2  $\mu$ m bands. These parameters 397 are key to calculate other indexes since they define the limits of the two main regions of 398 mineralogical interest. The minimum is also used to derive the band depth, while the shoulders 399 are necessary to calculate the band areas, which in turn allow the definition of the band 400 401 asymmetries. The position of the minimum reflectance (or maximum absorption) is simply defined as the wavelength where the spectrum has its lower value, this is calculated 402 independently for the 1 µm and the 2 µm band, being the break-point the limit for both. The 403 positions of the shoulders were defined as the first local maximums to the left and right of the 404 405 band minimum. Since this operation is done after the removal of the continuum, the shoulders have values equal to one (Figure 2). Since the data after 2.65 µm was cut from all the spectrums, 406 this value is the right shoulder of the 2 µm band for every pixel. 407

Once the continuum removal is calculated we made a second-order polynomial fit around
the minimums and maximums to further reduce the noise of the resulting indexes, this is done
within a window from two wavelengths lower up to two wavelengths higher.

411 3.2.5 Indexes generation

A total of 28 indexes were reconstructed in this work. In **Table 1** we report the
calculation of the parameters, their significance, and exemplary RGB composites that use them.
We created Python functions that generate a raster for every index listed in **Table 1**.

Among the parameters, the ones done before the removal of the continuum consists of simple operations between bands. Those can be quickly calculated in Python after ingesting and filtering the data. As an example, the pyroxene ratio formulated by Pieters et al. (2014) was calculated as:

$$Px = \frac{R700 \, nm + R1200 \, nm}{R950 \, nm} \tag{1}$$

Where R is the reflectance at that wavelength. A list with all the formulations can be found in **Table 1**. For the parameters done after the continuum removal, the calculation involves operations between the continuum and the spectrum. The band center and the band depth are defined as (Adams 1974):

$$BCI = \left(\frac{RB \ nm}{RB_c \ nm}\right) \tag{2}$$

$$BD = 1 - \frac{RB nm}{RB_c nm}$$
(3)

Where RB is the reflectance of the spectrum, and RBc the value of the continuum. The
band area was calculated for the two main absorption bands at 1 μm and 2 μm with (Cloutis,
1986):

$$BA = \sum 1 - \frac{RB nm}{RB_C nm} * SR$$
(4)

Where SR is the spectral sampling of the cube, and the summatory is limited by the positions of the shoulders of the absorption bands. Finally, the asymmetry is calculated as the difference in the area between the right and left half of the absorption band (**Figure 2**). Given as a positive percentage when the asymmetry is higher to the right, and negative when it is higher to the left. A more complex index is the integrated band depth (IBD) (Bretzfelder et al., 2020), that is the sum of the band depths at each point along the full extension of an absorption band. It was calculated as:

$$IBD = \sum 1 - \frac{R(B nm + SR)}{RB_c(B nm + SR)}$$
(5)

433 A few indexes were adapted in this work from previous authors. Horgan et al. (2014) evaded using the band area and asymmetry at 2 µm since the absorption features of pyroxenes at 434 longer wavelengths is not fully captured by the range of M3. Nevertheless, we believe 435 calculating them is still useful, so we use the same method as their counterparts at 1 µm, closing 436 the spectrum at 2.5 µm to avoid hydroxyl absorptions at 2.8 µm and the instrumental errors of the 437 last channels. At last, we generated a chromite parameter. Since the reflectance spectrum of 438 chromite is like the one of spinel, but with absorptions bands located at slightly longer 439 wavelengths (Cloutis et al., 2004), we followed the approach of Moriarty et al. (2023) for spinel. 440 The parameter is a ratio between an extrapolated value at  $1.5 \,\mu\text{m}$ , using the slope between 750 441 μm and 1.3 μm, and the reflectance value at 2.7 μm. Like on the spinel parameter, this should 442 highlight regions where the 2 µm absorption is higher than usual. It is important to consider that 443 the spectrum of both minerals is similar, so a unique parameter that differentiates between them 444 is difficult to achieve. 445

#### 446 3.2.6 RGB composition delivered

The parameters can be combined between each other in RGB composites to highlight 447 mineral associations or variations in the composition of the surface. Table 1 shows examples of 448 RGB composites used by previous authors. Among them are the Clemetine-like composite of 449 Lucey et al. (2000), three composites suitable for the exploration of mafic minerals and evaluate 450 surface maturity by Zambon et al. (2020) (RGB1, RGB2, and RGB3), two composites focused 451 on crater ejecta by Horgan et al. (2014) (RGB4 and RGB5), two composites to detect mafic 452 minerals by Besse et al. (2011) (RGB6 and RGB7), the olivine detection composite of 453 Bretzfelder et al. (2020) (RGB8), and the spinel composite of Moriarty et al. (2022). 454 Furthermore, other combinations of parameters can be done to highlight different compositions 455 or mineral associations. For this reason, we created a python function that combines all the 456

indexes in a single tiff file, this allows the user to reproduce each one of the listed RGBcomposites and more in a geoprocessing software like QGIS.

#### 459 3.3 Deployment

*MoonIndex* is deployed as a python package with an MIT license. It is reachable from
 the web repositories PyPI and GitHub. Some exemplifying products will be showcased in the
 Space Browser of the EXPLORE platform (Nodjoumi et al., 2022). The source code of the tool
 is fully available at GitHub (Suarez-Valencia, 2023), so the user has the option to modify it to its
 needs.

#### 465 **4 Results**

In this section we will showcase our results obtained with the convex hull continuumremoval method for a selected set of indexes, that we will later compare with the results of previous authors. Nevertheless, the results for all the calculated indexes are reported in the supplementary materials (**Figure S2**). The analysis of the images and the subsequent interpretation of the mineralogy are particular to the selected study zones, so the user must consider the regional properties of their targets when using the products of *MoonIndex*.

472 4.1 Filtration

473 Our first goal was to reduce the noise of the data using a Fourier and a Gaussian filter without losing much scientific information of the general shape of the spectrum and the 474 absorption bands. In Figure 7c, the ratio between the original image and the filtered one shows 475 that the residual information is concordant with the stripes on the non-filtered cube (Figure 7b), 476 furthermore, the crater in the bottom-left has little residuals, since the stripping was not as strong 477 in this location. Another test was to identify the pixels that overcame a change of over 2% during 478 the filtration (Figure 7d), which are shown in black. These pixels are consistent with the original 479 striping, which means that the surface information that was visible before the filtration (yellow) 480 was not affected by the process. 481

482 4.2 Band center and depth

483 The bands centers and depths calculated by *MoonIndex* for the Apollo basin are shown in Figure 9a, 9b, 9c, and 9d. The band center defines the position of the absorption features to 484 study, which in the case of pyroxenes and olivines is related to their composition (Burns, 1993; 485 Klima et al. 2011). The band depth in turn reflects the amount of that mineral, since a stronger 486 signal indicates a higher abundance within a mixture (Clouts et al., 1986). On the Band center at 487 1 µm there is a clear difference between the areas corresponding to highlands and maria (Figure 488 **9a**). The first ones have centering values around 0.93  $\mu$ m, indicating at least a lack of pyroxenes; 489 while in the mare, the band is centered at longer wavelengths, around 1.04 µm, resulting from the 490 presence of pyroxene on the basaltic lavas (Klima et al., 2011). Furthermore, the variations 491 inside the maria hints to a compositional variation of pyroxenes, since OPX tends to have lower 492 center values than CPX. The band depth at 1 µm also allows the identification of mafic minerals 493 (Figure 9c), a higher value implies that a major amount of them are present. The band depth at 494 the center of the basin shows values around 0.13, while in the surrounding highlands it is only 495 around 0.05. The band center at 2  $\mu$ m inside the maria varies between 2.05 and 2.2  $\mu$ m, further 496

497 pointing to some variations in the composition of pyroxenes. Finally, the band depth at 2  $\mu$ m 498 shows strong absorption in the maria of around 0.08, further pointing to the presence of pyroxene 499 (**Figure 9c and 9d**). Olivine does not show features in the 2  $\mu$ m spectral range, so a comparison 500 between both band depths can help identify its presence (see Index RGB 8) (Isaacson et al., 501 2011).

Some instrumental and acquisition artifacts are also seen. The band depth at 2  $\mu$ m is especially sensitive to the already mentioned thermal instrumental error that causes a decrease of the values from right to left of the M<sup>3</sup> cubes at longer wavelengths (Green et al., 2011), resulting in the maria regions to the left of the image showing a similar depth as the highlands. Regions with incidence angles higher than 70 show anomalous values on all the indexes, therefore information in those zones is not reliable.



508



at 1 μm, lower values (blue) correspond to highlands materials, while higher values (red)

are due to the presence of mafic minerals in the mare. b) Band depth at 2 μm, also allows

<sup>512</sup> the differentiation between highlands and mare. c y d) Band center and depth for 2 μm,

513 they serve a similar purpose as their 1 μm counterparts.

514 4.3 Band area and asymmetry

515 To showcase the band area and asymmetry obtained by *MoonIndex*, we use the cubes 516 over the Aristarchus crater, which has a well-preserved ejecta blanket around and a variety of 517 glass-bearing materials (Mustard et al., 2011). The band area corresponds to the region inside the

continuum and the absorption band (Figure 2). The band area is useful to identify ejecta (Horgan 518 519 et al., 2014), as well as mineralogical differences, since OPX-rich ejecta has a higher band area 520 value than CPX-rich ones (Cloutis et al., 1990). The band asymmetry quantifies the shape of the absorption band by comparing the area to the left and right of the band center, negative values 521 imply a bigger area left of the center, and positive values the opposite. The band asymmetry is 522 523 useful to identify glass and olivine-bearing ejecta, as well as plagioclase. Since mixtures of pyroxene with those materials result in higher asymmetries than only pyroxene (Horgan et al., 524 2014). For the band area at 1 µm in the Aristarchus crater, the ejecta is clearly recognizable as a 525 zone with low values scattered around the crater (Figure 10a). In the band asymmetry at 1  $\mu$ m, 526 the only contrasting feature is the negative values of the northern ejecta (Figure 10b), which 527 previous authors have identified as a mixture of OPX and anorthosite (Chevrel et al., 2009). In 528 the band area at 2 µm, the pattern of the ejecta is not so clear compared to its 1 µm counterpart, 529 the lower values in the southern half of the ejecta indicate a lower amount of pyroxene on it 530 (Figure 10c). As for the band asymmetry at 2 µm (Figure 10d), we found that the landforms are 531 clearer than in its 1 µm equivalent. Still, higher values are encountered in the ejecta south of the 532 crater in both asymmetries, pointing to the presence of glass-bearing lithologies (Horgan et al., 533 2014). 534

Negative values dominate the 2 μm asymmetry, meaning that the absorption band is
 broader left of the 2 μm center. This effect is introduced by closing the absorption band at 2.5

 $\mu$ m, which cuts parts of the band at longer wavelengths and ends up affecting the area and shape of the band, and thus also the asymmetry.



Figure 10: Band areas and asymmetries calculated by *MoonIndex* for the
Aristarchus crater. a) Band area at 1 μm, the ejecta of the crater is clearly visible, the
higher values, in red, indicate enrichment in OPX. b) Band asymmetry at 1 μm, higher
values indicate the existence of glass-bearing ejecta. c) Band area at 2 μm, the low values at
the southern part of the ejecta indicates low abundance of pyroxene. d) Band asymmetry at
2 μm, higher values also point to glass-bearing ejecta, but the widespread lower values are
due to the band closing at 2.5 μm.

547

- 548 4.3 RGB composites
- The Clementine-like color composite (Red: 750 nm/540 nm, Green: 750 nm/1000 nm,
   Blue:540 nm/750 nm) produced by *MoonIndex* for the Vallis Alpes region is showcased in

- **Figures 11**. This composition, originally formulated by Lucey et al. (2000), displays highlands
- material in red, due to glass agglutinates, and maria in yellow-green due to the combination of
- 553 mafic minerals signals. Our result is concordant with this distribution, since the yellow location
- to the south of the image corresponds to the Imbrium mare, and the red zone that covers the
- 555 majority of the image is the rim of the Imbrium basin, a highlands-like terrain. A smaller linear-
- shaped concertation of mafic minerals is also identifiable to the north, which is related to the
- 557 basaltic flood inside the Vallis Alpes.



559Figure 11: Clementine-like color composite created with MoonIndex. The red560channel is 750 nm/540 nm, the green channel is 750 nm/1000 nm, and the blue channel is561540 nm/750 nm. The highlands appear in red due to the concentration of glassy562agglutinates, and the maria and basaltic floods appear in yellow-green due to the563combination of mafic minerals. Vallis Alpes shows a signal pointing to mafic minerals.

- 564
- 565 **5 Discussion**
- 566 5.1 Filtration

To evaluate the effect of the filters, we compared our results with the ones of Zambon et al. (2020) on the Apollo basin. **Figure 12** shows the band centers at 1  $\mu$ m. A reduction in the number of vertical stripes is achieved with the combined filtration in MoonIndex (**Figure 12a**), this is more noticeable in the highlands around the Apollo basin, where the information of the

- 571 surface is not so distorted by vertical lines with anomalous values, as is the case for the band
- center of Zambon et al. (2020) (Figure 12b). Furthermore, the spectral patterns of the surface are
- 573 maintained after the filtering, the higher values at the center of the basin and their progressive
- reduction to its edges is equally recorded in both images, meaning that details were not lost. This
- is also true for small surface features, like the several craters in the southern highlands (black
- 576 circles in **Figure 12**), which can be recognized in both versions of the index by their centering at
- 577 longer wavelengths compared to their surroundings.



- 578
  579 Figure 12: Band centers at 1 μm for the Apollo basin. a) Band center calculated in
  580 this work, after the Fourier and Gaussian filtrations, the number of vertical stripes is less
  581 than in a non-filtered cube, especially on the highlands. b) Band center calculated by
  582 Zambon et al. (2020). The black circles contain small craters, the signal of these geological
  583 features is maintained after the filtration, as well as the major spectral features.
- 584 5.2 Parameters

The resemblance of our parameters with the ones in the literature varies. For the indexes that are formulated before the continuum removal, such as the Clementine-like index, the results are consistent with the original data, with changes only in the spatial resolution and the noise patterns, both particular to each instrument. Nevertheless, for the indexes obtained after the

continuum removal, we identified variations with respect to the original formulations, which are 589 590 related to the methodologies used by every author. In Figure 13 we compare our results for the 591 band center and depth at 1 µm with the ones of Zambon et al. (2020). Figure 13a shows the ratio between the band centers, the major differences can be seen in red vertical lines and in the rims 592 of big craters. The first ones are related to the removal of vertical stripes during the filtration, and 593 594 the second ones to high incidence angles at the slopes of the craters. This indicates that there are no major variations in the surface data, expect at particular locations inside big craters. The 595 distribution of both histograms (this work in blue, Zambon et al. (2020) in red), also reflects a 596 similar trend in both indexes (Figure 13c), most of the pixels in both cases are centered between 597 0.9 and 1.1 µm. Still, the band centers of Zambon et al. (2020) have a slight shift to shorter 598 wavelengths, especially in high-slope crater rims (Figure 12b). Major differences can be seen in 599 the ratio of the band depth at 1 µm. The red areas are widespread, and although most of them are 600 due to the destriping of our data, changes are considerable in locations with clear signals of the 601 surface (Figure 13b). This is further noticeable in the histograms of the band depths (Figure 602 13d), where the values of Zambon et al. (2020) accumulate more at higher values. As the band 603 area and asymmetry are both derived and linked to the band depth, our results also diverge in a 604 similar way from the ones of other authors. This major discrepancy in the band depth compared 605 to the band center is the result of using different continuum-removal methods, as we will discuss 606 607 later.



Figure 13: Comparison of our results and the ones of Zambon et al. (2020), for the 610 band center and depth at 1 µm in the Apollo Basin. a) Ratio of the band centers, the major 611 differences correspond to removed noise or crater rims, b) Ratio of the band depths, more 612 discrepancies can be seen apart of the stripes and crater rims, c) Histogram of values for 613 the band centers, trends are similar, with a small shift to smaller wavelengths in Zambon et 614 al. (2020), d) Histogram of values for the band depths, a bigger shift is seen in this case, as 615 the results of Zambon et al. (2020) accumulates at higher values. The difference in the 616 counts in the histogram is due to a major amount of no data pixels in the results of Zambon 617 et al. (2020). 618

5.4 Color composite maps

The comparison of our RGB color composite maps with the ones in literature presents certain difficulties. The source material from previous authors is not always available, therefore we cannot properly configure parameters like the band stretch or rendering method. Nevertheless, even when the specific colors and tonalities of the indexes may vary between works, the patterns of the geological features on the image and their differences should remain identifiable. This should allow for robust enough cartographic use of derived data.

The RGB 4 index (Red: Band center at 1  $\mu$ m, Green: Band center at 2  $\mu$ m, Blue: Band area at 1  $\mu$ m) recreated in this work is close to the original one produced by Horgan et al. (2014) (**Figure 14a and 14b**) for the Aristarchus crater. The main colors are maintained, and the

- 629 geological features are easily recognizable. This index is particularly useful to differentiate
- between pyroxenes, OPX is seen in blue, CPX in yellow, and a mix between them is green.
- Ejecta glass is also visible in magenta and orange. Although both indexes are generally
- compatible, there are some differences in the distribution. In our results the blue areas are
- smaller, indicating a lesser amount of OPX in the ejecta blanket. The black regions on the
- original index are pink in our work, which correspond to shadows or melt with no major signal
- around the 1  $\mu$ m band. On the other hand, the differences are bigger for the RGB 5 (Red: Band asymmetry at 1  $\mu$ m, Green: Band center at 1  $\mu$ m, blue: Band center at 2  $\mu$ m), also originally
- formulated by Horgan et al. (2014) (**Figure 14c and 14d**). This index is intended to highlight
- glass-bearing lithologies due to their high asymmetries, which will appear in pink and yellow.
- Both indexes are consistent north of the Aristarchus crater; the large pink area north of the crater
- 640 is followed by the yellow-dominated locations. To the south, the results of Horgan et al. (2014)
- show lesser amounts of glass, while ours have a pink area that fits well with the ejecta blanket of
- 642 the crater.





Figure 14: Comparison between our results and the ones of Horgan et al. (2014) for the
 Aristarchus crater. a) RGB 4 recreated in this work, the red channel is the band center at 1

 $\mu$ m, green is the band center 2  $\mu$ m, and blue is the band area at 1  $\mu$ m. b) original RGB 4 by 646 Horgan et al. (2014), both color ramps are consistent, and the ejecta blanket and its 647 compositional variation are seen in both cases, blue implies OPX, yellow CPX and green a 648 mix of both. c) RGB 5 recreated in this work, the red channels are the band asymmetry at 1 649  $\mu$ m, green is the band center at 1  $\mu$ m, and blue is the band center at 2  $\mu$ m; overlaid by the 650 651 band area at 1 µm in grayscale. d) original formulation of the RGB 5 by Horgan et al. (2014), the color ramp is less consistent, especially at the ejecta south of the crater, 652 nevertheless the distribution of glass-bearing rocks (yellow and pink) is consistent north of 653 the crater. The stretch values of Horgan et al. (2014) are unknown. 654

Another index worth comparing is RGB 8 (Red: Band depth at 1.9 µm, Green: IBD at 2 655 μm, Blue: IBD at 1 μm), originally formulated by Bretzfelder et al. (2020) for the Vallis Alpes 656 region. On the original index, yellow corresponds to OPX, cyan to CPX, and most important, 657 dark blue shows olivine-bearing massifs. Our results show a different color ramp (Figure 15). 658 This occurs because we used a modified method to calculate the band depth at 1.9  $\mu$ m; instead of 659 creating a specific continuum for the band, we measure the depth directly on the convex-hull 660 removed spectra. But even if the calculation and the resulting color ramp are different, the same 661 geological patterns are still identifiable in both composites. In our results, CPX is still yellow, 662 OPX is light blue, and olivine-bearing rocks appear purple. An example of the last is the isolated 663 mountain next to the southern edge of Vallis Alpes, which shows a strong olivine signal in both 664 indexes (Figure 15). Since this index was thought specially to identify olivine, our result is still 665 relevant and applicable for that purpose. 666



Figure 15: Comparison between our results and the ones of Horgan et al. (2020) for
 the Vallis Alpes. a) RGB 8 recreated in this work, red is the band depth at 1.9 μm, green is
 IBD at 2 μm, and blue is IBD at 1 μm. b) original formulation of the RGB 8 by Bretzfelder
 et al. (2020). Stretch values are unknown. The ramp color is different in both cases, due to
 a change in the calculation of the band depth at 1.9 μm. Nevertheless, the pattern of

#### geological features is maintained, for example the dark blue spots on the original index 673 correspond to olivine (red circle), and in our recreation those same areas appear purple. 674

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- 676

5.5 Effect of the continuum-removal method

677 The differences between our results and the ones of the previous authors are produced by several factors. The method used to remove the continuum is the main variable affecting the 678 indexes. Since most of the previous authors used a combination of linear fits and second-order 679 fits within defined intervals (Horgan et al., 2014, Zambon et al., 2020), a comparison between 680 the results of our convex hull and linear-fit methods is helpful to explain the changes. 681

The general shape of the resulting continuum-removed spectrum is similar in both 682 methods (Figure 16a), and all the parameters of the absorption bands are well represented and 683 easily measurable. The band center is not greatly affected by the removal method; the average 684 difference between both procedures is 5 nm at the 1  $\mu$ m absorption band, and 25 nm at the 2  $\mu$ m 685 band, which is in both cases smaller than the spectral sampling of M<sup>3</sup> for those regions. On the 686 other hand, the band depth is especially sensitive to the selected method, which can be 687 appreciated by subtracting the images (Figure 16b). At 1 µm the band depth varies considerably, 688 higher values are obtained with the linear-fit method in the highlands (Figure 16a), while in the 689 mare the result is the opposite (Figure 16c). This inconsistency is the result of the way in which 690 both methods define the continuum line. The linear fit interpolates a second order polynomial 691 function between two arbitrarily defined shoulders, while the convex hull draws a straight line 692 connecting the local maximums it automatically computes (Figure 8). Hence, when the 693 absorption band is weak, like on the highlands, the concave shape of the linear fit method creates 694 695 a slightly higher distance to the spectrum, resulting in bigger band depth values (Figure 16d). As for the maria regions, it looks like the convex hull method is identifying the right shoulder at 696 longer wavelengths, which produces a deeper band depth. The band depth at 2 µm is less 697 affected by the method, the variation is lower than in the 1 µm band, and most of the values are 698 inside one standard deviation (Figure 16e). The linear-fit method uses a straight line for the 2 699 µm absorption band, thus the result is closer to the also linear interpolation done by the convex 700 hull. Subsequent indexes like the band area and asymmetry are also affected in similar ways, 701 especially at the 1 µm absorption bands, as larger band depths will result in larger band areas. 702

Several other factors are surely responsible for changes on the resulting indexes, but the 703 704 lack of source materials for some of the indexes makes them difficult to evaluate. During the preprocessing of the data many factors could change, if the authors applied custom filtrations or 705 workflows before calculating the indexes, that would affect the end-result. Another difficulty is 706 added to the RGB composites, even if the formulations are similar, we cannot be sure of the 707 color stretch or the display settings of the original indexes. Small changes in the intervals of the 708 values displayed by each channel can greatly modify the color ramp of a composite. In any case, 709 the geological and spectral features on our composites are consistent with the original ones 710 regardless of their tonality, so the products of *MoonIndex* appear to be reliable for geological 711 712 analysis.



Figure 16: Comparison between the band depth results of the convex hull and the 714 linear-fit methods for the Apollo basin. a) Spectral profiles of the same pixel on the 715 highlands using the two methods, the bandh depth is greater with the linear-fit method. b) 716 Image showing the difference between the results of the linear fit and the convex hull 717 methods, in red regions where the linear-fit returned higher values, in blue the opposite. c) 718 Spectral profiles on the mare, this time the convex hull has a higher band depth. d) 719 Histogram of the values at 1 µm, the values are scattered more than two standard 720 deviations. e) Histogram of the values at 2 µm, the difference between both methods is close 721 to zero, and the values are not so scattered. 722

The reconstruction of spectral indexes from such varied sources makes it difficult to
accomplish a high fidelity in all of them. This is especially true when some of those indexes were
formulated several years ago, with different missions, methodologies, and technologies.
Nevertheless, our methodologies and results are consistent with each other, so the analyses
derived from them are complementary and comparable. Given this context, consistency between
the data is important when applied to the geological analysis of a region on the Moon, so we

recommend the users of *MoonIndex* to use only one of the continuum removal methods for each

730 project they might work on.

#### 731 6 Conclusions

Spectral indexes are an easy way to approach the compositional analysis of the Moon. 732 Even if there is no unified list of them for M<sup>3</sup>, the highly homogeneous composition of the Moon 733 and the broad spectral coverage of M<sup>3</sup> allow the reusability of indexes defined for previous 734 735 instruments, and the creation of new ones taking advantage of the better spectral resolution. During our recreation of the spectral indexes in python we added certain improvements to the 736 workflow. The gaussian and Fourier filtrations proved useful to reduce the vertical striping 737 typical of M<sup>3</sup> data, allowing the extraction of more geological information, especially from cubes 738 that otherwise would be almost useless for scientific purposes. The implementation of the convex 739 hull method to remove the spectral continuum has certain advantages over the linear-fit method; 740 as the method creates an envelope over all the local maximums, all the absorption bands present 741 on the spectrum should be identified. Furthermore, the convex hull works automatically over the 742 743 data, removing the necessity of establishing arbitrary limits for the interpolations, and thus reducing the human error in the process. We recommend the use of the convex hull method over 744 the linear-fit method, but both are still implemented in *MoonIndex*. 745

The fidelity of the reconstructed indexes varies for several reasons. The most important 746 one is related to the continuum removal method used in this work, but other unknown factors 747 like the preprocessing, filtration, or the visualization parameters of the composites, are likely 748 altering the results. Nevertheless, in all cases the reproduced indexes have a similar scientific 749 750 meaning, and they highlight the same compositional properties as the original formulation, even if the tonality or values change. The products of *MoonIndex* are consistent with each other, but 751 the processing particularities described in this work should be considered when comparing them 752 with indexes from other works. 753

The versatility of spectral indexes allows certain freedom when interpreting them. **Table 1** highlights the indexes found in literature, but further combinations or operations can be made with the products of *MoonIndex*, we recommend the user to generate custom composites according to their needs.

Finally, *MoonIndex* was created to give a better accessibility to this kind of product to the scientific community. The package is Open-Source and freely available, so that users can modify it for their own purposes. The necessity to preprocess the data in other not-so-intuitive software like ISIS and GDAL may make the task difficult, but other tools like the EXPLORE platform or the GMAP Jupyter Hub (Nodjoumi et al., 2022), could contribute to ease the process.

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#### 769 **Open Research**

*MoonIndex* is available for Python 3.12 in the PyPI repository. The tool is released under
 the GNU general public license. The source code, exemplary Jupyter notebooks, definition of
 functions, and workflows can be accessed via GitHub and Zenodo

- (https://zenodo.org/records/10036999). The raw datasets used in this work can be accessed
- through the PDS, and versions ready to use on *MoonIndex* are reachable at
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1009	Supporting Information for
1010 1011	MoonIndex, an Open-Source Tool to Generate Spectral Indexes for the Moon from M3 Data
1012 1013	Javier Eduardo Suárez-Valencia <sup>1</sup> , Angelo Pio Rossi <sup>1</sup> , Francesca Zambon <sup>2</sup> , Cristian Carli <sup>2</sup> , and Giacomo Nodjoumi <sup>1</sup>
1014 1015	<sup>1</sup> School of Science, Constructor University, Bremen, Germany, <sup>2</sup> INAF-Istituto Nazionale di Astrofisica, Italy.
1016	Contents of this file
1017	
1018	Text S1 to S2
1019	Figures S1 to S2
1020	Introduction
1021	In this supplementary material we detail the preparation of the data before being ingested to
1022	MoonIndex, and the libraries used in python to generate the spectral indexes. Since the code to
1023	process the data in ISIS is not included on <i>MoonIndex</i> , we will show the workflow we followed
1024	to map-project the images. Furthermore, we showcase 24 indexes resulting from our workflow,
1025	we show only the images obtained by the convex hull continuum-removal method, since it was
1026	the one used in the main text. For an explanation about the meaning of the indexes, refer to
1027	<b>Table 1</b> in the main text. The map-projected M <sup>3</sup> data cubes and the resulting indexes for the
1028	Apollo basin, Vallis Alpes, and Aristarchus crater can be found in this zenodo repository:
1029	https://zenodo.org/records/10014564.
1030	Text S1.
1031	The preprocessing of the data in ISIS consists on the map-projection of the cubes to a

predefined coordinate system. ISIS does not recognize the higher-processed reflectance cube as 1032 an input, so the radiance cubes and associated files also need to be used. Before the projection 1033 1034 could be done, we changed the name of the radiance cube by the one of the reflectance cube in the LBL file, this is done in the "^RDN\_IMAGE" parameter under the "/\* Spectral calibration 1035 parameters and radiometric gain factor data \*/" section of the file (Figure S2). 1036

```
56 /* Spectral calibration parameters and radiometric gain factor data */
57
58 CH1:SPECTRAL_CALIBRATION_FILE_NAME
59 CH1:RAD_GAIN_FACTOR_FILE_NAME
                                                 = "M3G20081211_RDN_SPC.TAB"
= "M3G20081211_RDN_GAIN.TAB"
= "M3G20081211_RDN_BPF.IMG"
60 CH1: GLOBAL_BANDPASS_FILE_NAME
61
62 /* Description of Radiance-corrected image file */
63
64 OBJECT
                      = RDN FILE
     ^RDN_IMAGE = "M3G20090204T192552_V03_RDN.IMG"
RECORD_TYPE = FIXED_LENGTH
65
66
67
     RECORD_BYTES = 103360
                                                       Replace by the name of the reflectance cube
68
     FILE_RECORDS = 18593
69
                                     = RDN IMAGE
     OBJECT
70
71
       LINES
                                     = 18593
72
       LINE SAMPLES
                                     = 304
73
        SAMPLE_TYPE
                                     = PC_REAL
                                     = 32
= "W/(m^2 um sr)"
74
75
       SAMPLE_BITS
       UNIT
                                     = 85
       BANDS
76
77
       BAND_STORAGE_TYPE
                                     = LINE_INTERLEAVED
        LINE_DISPLAY_DIRECTION
                                     = DOWN
78
79
       SAMPLE_DISPLAY_DIRECTION = RIGHT
80
    END_OBJECT
                                    = RDN_IMAGE
81
82 END OBJECT = RDN FILE
83
```

1039

1040 **Figure S1.** Replacement of names on the radiance LBL file.

#### Listing all files to do the batch processing

ls \*V03\_L1B.LBL | sed s/\_L1B.LBL// > Imputs.lis

Transformation from IMG to cubes

chan1m32isis from=\\$1\_L1B.LBL loc=\\$1\_LOC.IMG obs=\\$1\_OBS.IMG to=\\$1.cub -batchlist=Imputs.lis

Actualization of cubes kernels

spiceinit from=\\$1.cub -batchlist=Imputs.lis

Making a new list

ls \*V03.cub | sed s/.cub// > Imputs2.lis

Map projection, a map template need to be previously created

Strecth

Once the replacement has been done, the full processing on ISIS and GDAL can be done with the following bash commands: 

Listing all files	
<pre>ls *.cub   sed s/.cub// &gt; Imputs.lis</pre>	
Translate	
for f in *_nonull.cub; do gdal_translate -a_nodata 65535 "\$f" "\${f%.*}.tif" done	
Once these commands have been run, the cubes will be projected and in .TIF formation in the ingest on <b>MoonIndex</b> .	, and ready
Text S2.	
We used several python libraries during our workflow. Here we will discuss the appli of them, and the full code can be access via the GiHub repository (https://github.com/Javierunal16/MoonIndex).	cation of all
<b>Preparation:</b> During the preparation we used <i>rioxarray</i> to open the data cubes, and open the text file with the wavelengths for each M <sup>3</sup> channel. Then, <i>matplotlib.patche</i> to clip the cube to the desired extend, and <i>matplotlib.pyplot</i> to graph the data. In the composites we used <i>sklearn.preprocessing</i> to normalize the values before plotting.	numpy to s is required ne RGB
<i>Filtering:</i> To filter the cube we rely on libraries designed for image processing. First <i>numpy</i> were needed to apply the Fourier filtration. And later, <i>numpy</i> , <i>specutils</i> and <i>a</i> used to apply the 1D Gaussian filter.	<i>cv2</i> and astropy were
<b>Continuum removal:</b> We tried several option to apply the convex hull to the spectr ended up using <i>numpy</i> an <i>scipy.signal</i> to find the midpoint and to calculate the cor for the linear fit method, only <i>numpy</i> was needed.	a, but we vex hull. As
<b>Indexes generation:</b> The creation of indexes is straighforward after the continuum majority of them require band operations that can be covered by <i>numpy</i> .	emoval, the
<b>Deployment:</b> The code was compiled using <i>wheel</i> and uploaded to PyPI with <i>twine</i>	







Figure

1078 S2. Spectral indexes derived from *MoonIndex* in the Apollo basin, using the convex-hull1079 method.

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