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Please contact Claire Zarakas (czarakas@uw.edu) regarding this manuscript's content

# Land Processes Can Substantially Impact the Mean Climate State

# Claire M. Zarakas<sup>1</sup>, Daniel Kennedy<sup>3</sup>, Katherine Dagon<sup>3</sup>, David M. Lawrence<sup>3</sup>, Amy Liu<sup>1</sup>, Gordon Bonan<sup>3</sup>, Charles Koven<sup>4</sup>, Danica Lombardozzi<sup>3</sup>, and Abigail L. S. Swann<sup>1,2</sup>

<sup>1</sup>University of Washington, Department of Atmospheric Sciences <sup>2</sup>University of Washington, Department of Biology <sup>3</sup>National Center for Atmospheric Research <sup>4</sup>Lawrence Berkeley National Laboratory

# <sup>10</sup> Key Points:

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11	•	Assumptions about land processes substantially impact mean state terrestrial tem-
12		perature and precipitation.
13	•	Land parameters influence climate predominantly through changing evapotran-
14		spiration rather than through other mechanisms.
15	•	Warming driven by land processes activates different atmospheric feedbacks than
16		radiatively-driven warming.

 $Corresponding \ author: \ Claire \ M. \ Zarakas, \ \texttt{czarakasQuw.edu}$ 

#### 17 Abstract

Terrestrial processes influence the atmosphere by controlling land-to-atmosphere fluxes 18 of energy, water, and carbon. Prior research has demonstrated that parameter uncer-19 tainty drives uncertainty in land surface fluxes. However, the influence of land process 20 uncertainty on the climate system remains underexplored. Here, we quantify how assump-21 tions about land processes impact climate using a perturbed parameter ensemble for 18 22 land parameters in the Community Earth System Model (CESM2) under preindustrial 23 conditions. We find that an observationally-informed range of land parameters gener-24 ate biogeophysical feedbacks that significantly influence the mean climate state, largely 25 by modifying evapotranspiration. Global mean land surface temperature ranges by 2.2°C 26 across our ensemble ( $\sigma = 0.5^{\circ}$ C) and precipitation changes were significant and spatially 27 variable. Our analysis demonstrates that the impacts of land parameter uncertainty on 28 surface fluxes propagates to the entire Earth system, and provides insights into where 29 and how land process uncertainty influences climate. 30

# <sup>31</sup> Plain Language Summary

Land processes can affect climate by controlling the transfer of energy and water 32 from the land to the atmosphere. Previous research has shown that uncertainty surround-33 ing land processes (e.g. photosynthesis and the movement of water through soils) can 34 drive uncertainty in land-to-atmosphere fluxes. However, it remains unclear how much 35 that land uncertainty can impact climate. Here, we quantify how climate is sensitive to 36 assumptions about land processes by varying 18 land model parameters to create an en-37 semble of 36 possible worlds in a global climate model. Land temperature ranges by 2.2°C 38 across this ensemble, mostly due to changes in how much water is evaporated from the 39 land surface. Changing land parameters also drives regionally variable changes in mean 40 precipitation. This study highlights a large and underappreciated impact of land pro-41 cesses in determining the mean climate state, and provides insights into how climate is 42 influenced by land process uncertainty. 43

# 44 **1** Introduction

Land models were initially developed to support weather and climate prediction 45 by providing atmospheric models with lower boundary conditions of energy, water, and 46 momentum fluxes. Given this limited scope, early land models were simple biogeophys-47 ical models, in which land-to-atmosphere fluxes were determined by prescribed land sur-48 face albedo, evaporative resistance, and roughness (Manabe, 1969). Since then, land mod-49 els have substantially expanded in scope and complexity. Modern land models now rep-50 resent biogeochemical cycling, hydrology, ecology, land use, and land management, and 51 are used to predict how processes across these domains interact and respond to global 52 change (Fisher & Koven, 2020). This evolution has been accompanied by an increase in 53 the number of model parameters, many of which can influence land-to-atmosphere fluxes 54 by altering the emergent land surface albedo, turbulent flux partitioning, and roughness. 55

The increasingly complex land model parameter space has driven a large body of 56 research exploring the implications of land parameter uncertainty for land model cali-57 bration (Dagon et al., 2020), carbon and water flux uncertainty quantification (Hou et 58 al., 2012; McNeall et al., 2023), and process understanding (Boulton et al., 2017). Earth 59 system parametric uncertainty is often quantified through perturbed parameter ensem-60 bles (PPEs), in which multiple poorly constrained parameters are systematically varied 61 within a single model structure. Land PPEs have demonstrated that parameter uncer-62 tainty is a major driver of uncertainty in land-to-atmosphere surface fluxes, at local (Ric-63 ciuto et al., 2018; Fisher et al., 2019), regional (Bauerle et al., 2014; Huo et al., 2019), 64 and global scales (Dagon et al., 2020; Zaehle et al., 2005). 65

Most existing land parameter uncertainty studies have quantified parameters' im-66 pact in a land-only framework (Zaehle et al., 2005; Dagon et al., 2020; Ricciuto et al., 67 2018; Bauerle et al., 2014; Fisher et al., 2019; Dietze et al., 2014; Bauerle et al., 2014), 68 where the atmospheric forcing is an external boundary condition and land surface fluxes do not influence the atmosphere. Only a handful of previous studies have assessed the 70 biogeophysical (Liu et al., 2005; Fischer et al., 2011; Williams et al., 2016) or carbon cy-71 cle (Booth et al., 2012, 2017; L. R. Hawkins et al., 2019; McNeall et al., 2023) implica-72 tions of land parameter uncertainty in a coupled context, or included land parameters 73 in PPEs perturbing parameters across the Earth system (Sexton et al., 2021; Yamazaki 74 et al., 2021). This is in part due to computing constraints. For example, in the Com-75 munity Earth System Model version 2 (CESM2), a simulation with a dynamic atmosphere 76 requires about ten times more computing time per modeled year than a land-only sim-77 ulation, and coupled configurations often require more simulated years to establish a sig-78 nal due to internal variability of the coupled system (Kay et al., 2015). Additionally, the 79 prevalence of land-only analyses reflects the land modeling community's focus on how 80 land parameter uncertainty influences terrestrial processes, rather than atmospheric pro-81 cesses. The biogeophysical impact of land parameter uncertainty on atmospheric pro-82 cesses and land-atmosphere interactions remains underexplored. Of the few studies which 83 have assessed land parameter uncertainty in a coupled context, only one has quantified 84 the biogeophysical impact of land parameters on climate globally (Fischer et al., 2011). 85

This is a problematic gap in the literature because land parameters' demonstrated 86 influence on land surface fluxes suggests that land parameters can influence the mean 87 climate state. It has been established for decades that changes in land surface albedo 88 (Charney et al., 1975; Charney, 1975; Charney et al., 1977), roughness (Sud et al., 1988), 89 and capacity to evaporate water (Shukla & Mintz, 1982) can alter temperature and pre-90 cipitation on global scales. More recently, Laguë et al. (2019) used a modern Earth sys-91 tem model to show that atmospheric feedbacks are critical in determining how land tem-92 peratures respond to idealized land surface changes. Extensive previous work has demon-93 strated that changes in land cover can drive local, regional, and remote climate impacts 94 (e.g. Pongratz et al., 2010; Swann et al., 2012; Boysen et al., 2020). Additionally, chang-95 ing land model representations of terrestrial processes such as stomatal conductance and 96 soil hydrology can influence the mean climate state (Lawrence et al., 2007) and frequency 97 of extremes (Kala et al., 2016). 98

<sup>99</sup> In this study, we aim to close this gap in the literature by using a coupled PPE to address the following questions: (1) to what extent can land parameters impact the mean climate state? and (2) through what mechanisms do land parameters influence climate?

#### 102 2 Methods

We ran PPEs under preindustrial conditions using two configurations of CESM2 103 (Danabasoglu et al., 2020): a partially coupled configuration ("coupled") and an uncou-104 pled, land only configuration ("land-only"). In both the coupled and land-only PPE, the 105 land model (the Community Land Model version 5, CLM5; Lawrence et al., 2019) was 106 run with prognostic leaf area. In the coupled ensemble, we ran preindustrial simulations 107 with constant greenhouse gas concentrations using an active atmosphere (CAM6; Bo-108 genschutz et al., 2018) and a slab ocean (Danabasoglu & Gent, 2009). Because these sim-109 ulations have fixed concentrations of greenhouse gasses including  $CO_2$ , they capture the 110 biogeophysical impacts of land parameters which is the focus of this paper, but they do 111 not capture biogeochemical feedbacks. The land-only simulations used a custom atmo-112 spheric forcing, which was generated by CAM6 in the reference coupled simulation that 113 used default parameters. 114

Our PPEs sampled 18 land parameters (Table S5), and our parameter selection was informed by the CLM5 PPE project (data and methods description are available via https://

github.com/djk2120/clm5ppe). The CLM5 PPE differs from ours in that the simula-117 tions were run in a land-only configuration forced with observationally-derived atmospheric 118 data for present-day. Nonetheless, the one-at-a-time parameter perturbations provide 119 insight into which parameters might be meaningful for our coupled PPE. We used two 120 parameter selection criteria: (1) that parameters would likely have a large impact on the 121 atmosphere, based on results from the CLM5 PPE, and (2) that parameters sampled dif-122 ferent functional areas of the model (Text S2). The 18 parameters we selected are de-123 scribed in detail in Table S1 and span nine functional categories: soil hydrology, stom-124 atal conductance and plant water use, snow, photosynthesis, boundary layer / rough-125 ness, radiation, canopy evaporation, biomass heat storage, and temperature acclimation. 126

For each parameter, we ran two simulations, where the parameter was perturbed 127 to a minimum and maximum value (ensemble n = 36). We used the parameter ranges 128 from the CLM5 PPE, which were determined by domain-area experts based on litera-129 ture review and expert judgement. Because some parameters have larger ranges than 130 others, our analysis includes both the sensitivity of the climate system to a change in 131 a parameter combined with the uncertainty in that parameter's range. We note that this 132 one-at-a-time sampling procedure does not account for parameter interactions, though 133 we expect that parameter interactions may be of second-order importance based on Fis-134 cher et al. (2011) who finds that nonlinear interactions between parameters were min-135 imal in a stationary climate. 136

#### <sup>137</sup> 3 Results and Discussion

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#### 3.1 Mean temperature changes

Our ensemble demonstrates that land parameters can substantially impact the mean 139 climate state. Global mean land surface temperatures range by 2.2°C across our coupled 140 PPE ( $\sigma = 0.5^{\circ}$ C), and by over 3°C at some latitudes ( $\sigma > 0.65^{\circ}$ C above 67°N; Figure 1a). 141 Seven out of 18 parameters generated a greater than 1°C temperature range (Figure 1b), 142 and more than 70% of the land surface experienced statistically significant changes in 143 annual mean temperature in 20 out of the 36 ensemble members (Figure S1). Global mean 144 surface temperatures (including ocean) ranged by 1.1°C ( $\sigma = 0.5$ °C; Figure S2-S3), which 145 is over 40% of the preindustrial absolute temperature range in CMIP6 (2.4°C,  $\sigma = 0.58$ °C; 146 Tett et al., 2022) and CMIP5 (E. Hawkins & Sutton, 2016). Three soil hydrology pa-147 rameters - frac\_sat\_soil\_dsl\_init, d\_max, and fff - had the largest impact on global 148 mean temperature. Land surface temperature changes in the land-only PPE were gen-149 erally much smaller than those in the coupled PPE (Figure 1), consistent with the fact 150 that atmospheric feedbacks substantially amplify the land surface temperature response 151 to changing land surface properties (Laguë et al., 2019). 152

Parameters generally impacted surface temperature with a similar spatial pattern 153 globally. The leading mode of variability in annual mean surface temperature changes, 154 as quantified by the first empirical orthogonal function (EOF; Lorenz, 1956), explains 155 78% of the variance across our coupled ensemble (Figure 2a, Figure S4) and is highly cor-156 related with the global average mean land temperature change (Figure S6). As expected, 157 the leading EOF in the land-only ensemble explains less of the temperature variance and 158 has a different spatial pattern (Figure 2b), indicating that regional to global-scale at-159 mospheric responses contribute to the consistent coupled PPE pattern of temperature 160 change. Notably, the leading coupled PPE EOF differs from the typical pattern of ra-161 diatively driven warming (e.g.  $CO_2$ -driven warming, Figure 2c and Text S3), a pattern 162 which is generally consistent across climate models (Proistosescu et al. 2020). This in-163 dicates that the dominant coupled spatial pattern is not only due to parameter-driven 164 temperature changes kicking off radiative feedbacks (e.g. ice albedo feedback, water va-165 por feedback) which have consistent spatial fingerprints. Rather, this suggests that land 166



Figure 1. Zonal mean (a) and global mean (b) changes in annual land temperature across the coupled PPE, relative to the default simulation. Color indicates parameter category, and only ensemble members perturbing soil hydrology and plant water use parameters are colored in (a). In (b), bars indicate the range of coupled global mean land surface temperature changes associated with each parameter, and Xs mark the range of land-only global mean land surface temperature changes.

parameter uncertainty drives a consistent temperature response pattern, despite the fact
 that parameters influence different terrestrial processes.

The dominant coupled PPE temperature pattern is characterized by temperature 169 sensitivity hotspots in the grassland ecosystems of both North America and eastern Eu-170 rope / central Asia, and larger temperature changes in the Northern hemisphere than 171 the Southern hemisphere. Across the tropics, the temperature response is larger in South 172 America than in tropical Africa or Asia. This pattern resembles the summer tempera-173 ture response to soil moisture forcing in the Global Land-Atmosphere Climate Exper-174 175 iment (GLACE) experiments (Koster et al., 2006; Seneviratne et al., 2013) which we discuss further in section 3.3. The hemispheric asymmetry of the land parameter temper-176 ature pattern reflects the higher land fraction in the Northern hemisphere, and land per-177 turbations have a larger impact on climate in zonal bands with higher land fraction (Laguë 178 et al., 2021), noting that these are for land-only zonal means and thus already take into 179 account zonal variation in land fraction. Fischer et al. (2011)'s land PPE also generated 180 larger land temperature changes in the Northern hemisphere than in the Southern hemi-181 sphere, but in Fischer et al. high latitude temperature changes were driven mainly by 182 model sensitivity to snow albedo, while in our PPE most parameters drive high latitude 183 temperature changes. Our PPE generated a larger temperature range than Fischer et 184 al., perhaps due to the fact that Fischer et al. used a flux-corrected slab ocean which can 185 dampen global-scale temperature responses to perturbations (Yamazaki et al., 2021). 186

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#### 3.2 Mean precipitation changes

We found that terrestrial precipitation is highly sensitive to land parameter choice. 188 Global annual land mean precipitation ranged by about 5% ( $\sigma = 1\%$ ) across our ensem-189 ble, and in several regions our PPE drove annual mean precipitation changes of greater 190 than 30% (Figure 3a). The same three soil hydrology parameters which most changed 191 global mean temperature—frac\_sat\_soil\_dsl\_init, d\_max, and fff—also had the largest 192 impact on precipitation. These three hydrology parameters also generated the most ex-193 tensive spatial coverage of statistically significant annual mean precipitation changes (Fig-194 ure S12). Across the PPE, less of the land surface experienced statistically significant 195 changes in annual mean precipitation compared to statistically significant changes in mean 196 temperature (Figures S8, S10). 197

Changing parameters drove spatially variable signs of precipitation change, in con-198 trast to mostly consistent signs of temperature change globally (Figure S11). Similarly, 199 while there was a single dominant temperature response pattern across our PPE, the pat-200 terns of annual mean precipitation changes were less consistent across ensemble mem-201 bers. The leading EOF of precipitation change explained 48% of the variance across the 202 PPE (Figure 3, S5) compared to the 78% temperature variance explained. This aligns 203 with the fact that precipitation is generally more variable over time than temperature, 204 and some of the variance across the ensemble is likely due to internal variability. Nonethe-205 less, our PPE identified several hotspots where precipitation is the most sensitive to land 206 parameter choice. In particular the North American Great Plains again emerged as a 207 hotspot when considering precipitation changes on both a percentage (Figure 3) and 208 absolute (Figure S13) basis. 209

Surprisingly, precipitation in the Great Plains region was not especially sensitive 210 to land parameters in Fischer et al. (2011). However, this region has been identified as 211 a land-atmosphere coupling hotspot due to soil moisture feedbacks in both modeling (Koster 212 et al., 2006; Santanello et al., 2018; Zheng et al., 2015) and observational (Ferguson et 213 al., 2012; Abdolghafoorian & Dirmeyer, 2021) studies. Many land-atmosphere studies 214 use metrics that quantify covariances of surface fluxes and the land and atmospheric state 215 on daily timescales. Here we are quantifying how land assumptions influence climate on 216 decadal rather than daily timescales, but this spatial correspondence suggests that chang-217



Change in Annual Mean Land Surface Temperature (°C)

Figure 2. Spatial patterns of annual mean temperature change. The leading EOF of annual mean temperature change across (a) the coupled PPE and (b) the land-only PPE explain 78% and 65% of the variance across the coupled and land-only PPEs, respectively. The EOFs are scaled to depict two standard deviations of the variation across the ensemble along that mode of variability. The bottom panel (c) shows the CESM pattern of warming due to a doubling of  $CO_2$  (Text S3).



Figure 3. Range of annual mean land precipitation change across the coupled PPE. (a) Map of the range of percent changes in annual mean precipitation across the ensemble. Stippling indicates regions where precipitation changes were not statistically significant for 31 out of 36 ensemble members. (b) First EOF of precipitation changes across the coupled PPE. (c) Principal component 1 across parameters. Colors in (c) indicate parameter category as in Figure 1.

ing land parameters may influence long-term climate through mechanisms similar to the soil moisture feedbacks that drive land-atmosphere coupling on daily timescales.

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## 3.3 Mechanisms through which land parameters influence climate

Parameters relating to soil hydrology and plant water use drove the largest tem-221 perature and precipitation changes in our ensemble (Figure 1b, 3c), highlighting that 222 hydrological processes play a critical role in determining land temperature and precip-223 itation. We note that we purposefully chose parameters across a range of model com-224 ponents and that soil hydrology parameters did not dominate the land-only CLM5 PPE 225 rankings of parameters with the largest impact on global temperature (Figure S4), so 226 we did not expect a priori that hydrological processes would dominate the temperature 227 response. We also found that multiple parameters typically evaluated in the context of 228 biogeochemical rather than biogeophysical impacts (e.g., jmaxb0, the baseline propor-229 tion of nitrogen allocated for electron transport; jmaxb1 the response of the electron trans-230 port rate to light availability) can still generate large climate responses through biogeo-231 physical pathways, consistent with prior work (Smith et al., 2017). We note that the large 232 climate responses reflect both the climate sensitivity to a change in a parameter and the 233 magnitude of the parameter ranges we tested. Parameters that influence boundary layer 234 processes and roughness length drove the smallest global mean temperature changes, but 235 they generated significant local temperature and precipitation changes, particularly over 236 ice sheets and snow-covered regions (Figure S1). 237

It is challenging to fully disentangle the pathways through which parameters in-238 fluence climate, because land parameters alter multiple land surface properties simul-239 taneously. For example, increasing the parameter kmax, which sets the maximum plant 240 hydraulic conductance, simultaneously changes the land surface evaporative resistance, 241 albedo, and aerodynamic roughness, all of which influence temperature through differ-242 ent mechanisms. Increasing kmax decreases evaporative resistance by increasing the rate 243 at which plants can transpire water, which decreases land temperatures. Increasing kmax 244 also decreases plant water stress and increases leaf area, which changes albedo and thereby 245 temperature. Increased photosynthetic rates due to reduced plant water stress also in-246 creases vegetation height, which can increase aerodynamic roughness, driving further cool-247 ing. 248

We used multiple linear regression to disentangle the extent to which land precip-249 itation and temperature changes across our coupled PPE are driven by three land sur-250 face properties: albedo ( $\alpha$ ), evaporative fraction (EF), and a measure of aerodynamic 251 coupling  $(r_a)$  (Text S4). This analysis further emphasizes that evapotranspiration changes 252 dominate the spread in land surface temperature and precipitation responses across our 253 PPE. Changes in evaporative fraction explained the most variance across our ensemble, 254 with albedo playing a secondary role (Figure 4). Coupled temperature changes due to 255 changes in aerodynamic coupling were minimal. The dominance of the evapotranspira-256 tion mechanism in our PPE may in part be due to the subset of parameters we selected 257 from the 40 top parameters identified based on CLM5-PPE output, but nonetheless our 258 results demonstrate that land parameters' influence on evapotranspiration is an impor-259 tant (and potentially the dominant) mechanism whereby which land parameters influ-260 ence the mean climate state. 261

Further, the dominance of the evapotranspiration mechanism across our ensemble may explain why the leading EOF explains such a high percentage of temperature change variance, and why temperature and precipitation changes are correlated with each other. While we initially designed the PPE to sample multiple processes across CLM's highdimensional parameter space (including photosynthesis, snow processes, radiation, etc.), parameters mainly impacted surface climate through changes in evapotranspiration, resulting in an ultimately low-dimensional ensemble of climate responses. We hypothesize



**Figure 4.** Relationship between land-only surface property changes and coupled land surface climate changes. The top panel (a) shows the percent variance of temperature and precipitation changes explained by each land surface property based on multiple linear regression at the grid cell level, and at the global scale for temperature. Solid colors indicate the marginal additional percentage of variance explained by each land surface property when all other predictors are included, and the hatched bar indicates the percentage variance explained by multiple predictors (i.e. the covariance between predictors). The bottom panel shows the relationships between global mean coupled land surface temperature change and land-only change in (b) evaporative fraction, (c) albedo, and (d) aerodynamic resistance across all ensemble members. Colors indicated parameter category, as in Figure 1.

that the leading EOFs of temperature and precipitation changes capture the atmospheric 269 response to land evapotranspiration changes, which is supported by the strong correla-270 tion between land-only changes in evaporative fraction and the leading coupled temper-271 ature and precipitation EOFs (Figure S7). The spatial correspondence of mean climate 272 changes between our PPE and GLACE experiments (Seneviration et al., 2013) further 273 supports this interpretation, because in GLACE experiments soil moisture forcing is also 274 influencing climate by modifying turbulent fluxes. However, we note that the climate re-275 sponses in our PPE are not directly driven by soil moisture changes. Rather, land pa-276 rameter perturbations influence land evaporative resistance, which directly influences land 277 evapotranspiration independently of any soil moisture change. That land evapotranspi-278 ration change (and associated climate feedbacks) can in turn influence soil moisture, but 279 in our experimental design soil moisture changes are an effect or feedback, rather than 280 an external forcing. 281

It has long been recognized that changes in soil moisture and evaporative resistance 282 can impact climate (Shukla & Mintz, 1982; Sellers et al., 1996; Seneviratne et al., 2013; 283 Laguë et al., 2019), but this is the first study to our knowledge that quantifies how pa-284 rameter uncertainty associated with terrestrial controls on evapotranspiration impacts 285 mean climate, and compares the impact of the evapotranspiration mechanism to other 286 land surface property changes. For example, the only previous study that quantified the 287 global biogeophysical impact of land parameter uncertainty (Fischer et al., 2011) did not 288 evaluate the relative impact of evapotranspiration, albedo, and aerodynamic resistance 289 changes on climate. Leveraging the results of the land-only CLM5-PPE enabled us to 290 take a more systematic approach to parameter selection, yielding new insights which may 291 not have emerged had we chosen parameters based on our own assumptions or prior work. 292 This highlights the value of projects that systematically quantify and report parameter 293 uncertainty in land models (e.g. the CLM5 PPE), which we encourage land modeling 294 groups to incorporate as a standard part of model development and documentation ef-295 forts. This study also underscores the importance of developing better observational con-296 straints for land parameters which influence evapotranspiration. 297

#### <sup>298</sup> 4 Conclusions

This study highlights a large and underappreciated impact of land processes in de-299 termining the mean climate state. We used a PPE to quantify the biogeophysical im-300 pact of land parameters on terrestrial climate. We found that land parameters can sub-301 stantially impact mean temperature and precipitation, primarily through parameters' 302 influence on evapotranspiration, and that uncertainty associated with soil hydrology and 303 plant water use parameters drive the largest spread in the mean climate state. Uncer-304 tainty in land models' representation of land surface fluxes stems from multiple sources: 305 internal variability, model structure, and model parameters. This study focuses on the 306 effect of land parametric uncertainty, but our results demonstrate the importance of land 307 process uncertainty more generally because both model structure and parameters con-308 trol the land surface properties (e.g., evaporative resistance) that ultimately influence 309 climate. 310

Land processes' influence on climate means that biases in land models can contribute 311 to biases in ESM climatology. Biases in land evapotranspiration have been invoked as 312 possible drivers for several persistent ESM biases (e.g., the central United States warm 313 and dry summer biases, Klein et al., 2006; Cheruy et al., 2014; Williams et al., 2016; Lin 314 et al., 2017; Morcrette et al., 2018; Zhang et al., 2018; Ma et al., 2018; Mueller & Senevi-315 ratne, 2014), and this work directly shows how land assumptions can influence the mean 316 climate at regional and global scales, demonstrating the importance of including land 317 perspectives in the assessments of model biases. Additionally, this study underscores that 318 land processes primarily discussed in the context of carbon cycle uncertainty (e.g. pho-319

tosynthesis) can have large biogeophysical impacts on the physical climate, in addition to their influence on atmospheric CO<sub>2</sub> concentration.

There has been a concerted effort across climate modeling centers to create 'dig-322 ital twins' of the Earth (e.g., Voosen, 2020; Li et al., 2023) by increasing climate model 323 resolution, thereby enabling direct modeling of fine-scale atmospheric processes such as 324 convection that are subgrid-scale parameterizations in coarser scale models (Betancourt, 325 2022). While increased resolution will likely diminish biases associated with some atmo-326 spheric processes, increased resolution does less to improve land process representation 327 because many land processes occur at molecular to hillslope scales and therefore will con-328 tinue to require subgrid parameterizations (Fisher & Koven, 2020; Reichstein et al., 2019; 329 Balaji et al., 2022). Further, finite computational resources imply tradeoffs between in-330 creasing resolution and the number of ensembles to quantify parameter uncertainty and 331 calibrate models. If atmospheric-focused model advancements are not accompanied by 332 efforts to improve land models, land parameter uncertainty may remain a persistent driver 333 of climatological uncertainty and biases, even in the next generation of high-resolution 334 climate models. Recognizing that land process uncertainty influences climate also presents 335 an opportunity for model improvement. The climate modeling community has histor-336 ically devoted more effort to atmospheric uncertainty than to land uncertainty (Hour-337 din et al., 2017), and we hypothesize that committing comparable resources to land pa-338 rameter calibration could drive rapid improvements in model representation of present-339 day climate. 340

By demonstrating that land parameters influence the mean climate state, we hope 341 that this study will stimulate further research into the climate impacts of land process 342 uncertainty by a broader geophysical research community. In particular, our results sug-343 gest there is potential for land parameter uncertainty to influence the sensitivity of land 344 temperature trends to historical and future climates, and we plan to test this in future 345 work. Because the evaporative fraction influences how much the land surface warms in 346 response to radiative forcing, we hypothesize that changing parameters that influence 347 the baseline evaporative fraction will influence the modeled trajectory of land surface 348 temperatures under increasing greenhouse gas concentrations, even if the evaporative frac-349 tion were to remain constant over time. Furthermore, land processes influence how the 350 evaporative fraction changes over time, for example due to plant physiological responses 351 to CO<sub>2</sub> (Lemordant et al., 2018). Quantifying how land parameter uncertainty influences 352 future land temperature trajectories should be a high research priority. 353

While land modeling has substantially expanded beyond its initial scope of providing lower atmospheric boundary conditions into its own subdiscipline and research community, land models' continued role as atmospheric boundary conditions means that a broader climate science community must engage with land processes (and uncertainty therein) in order to understand and model the physical climate system.

#### **5 Open Research**

The model output used in this paper is available via the Dryad Digital Repository (doi:10.5061/dryad.0k6djhb73; private peer review link: https://datadryad.org/stash/ share/RGub3FTU5e5U5bLCB9bZTf96oz66ffR5DBgD3h-tGHk). Code used to run simulations and analyze model output are available at https://github.com/czarakas/coupled \_PPE.

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# Supplementary Material for "Land Processes Can Substantially Impact the Mean Climate State"

3	Claire M. Zarakas <sup>1</sup> , Daniel Kennedy <sup>3</sup> , Katherine Dagon <sup>3</sup> , David M.
4	Lawrence <sup>3</sup> , Amy Liu <sup>1</sup> , Gordon Bonan <sup>3</sup> , Charles Koven <sup>4</sup> , Danica Lombardozzi <sup>3</sup> ,
5	and Abigail L. S. Swann <sup>1,2</sup>
6	<sup>1</sup> University of Washington, Department of Atmospheric Sciences
7	<sup>2</sup> University of Washington, Department of Biology
8	<sup>3</sup> National Center for Atmospheric Research
9	<sup>4</sup> Lawrence Berkeley National Laboratory
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# <sup>14</sup> Text S1 Model configuration and experimental design

CESM PPE simulations were run using the branch\_tags/PPE.n11\_ctsm5.1.dev030 15 tag for the Community Land Model version 5 (CLM5; Lawrence et al., 2019) and the 16 cesm2.2.0 tag for all other model components. The land was initialized with the spun-17 up land state from the default model parameterization which includes the carbon con-18 tent of soil and vegetation pools. The coupled simulations use the Community Atmo-19 sphere Model 6 (CAM6; Bogenschutz et al., 2018), and a slab ocean (Danabasoglu & Gent, 20 2009) which is based on q-fluxes from preindustrial simulations of the full dynamic ocean 21 model. We did not apply flux corrections, and note that the top of atmosphere energy 22 imbalance is relatively small and changes minimally across the PPE (average= $-0.157 \text{ W/m}^2$ , 23  $\sigma = 0.010 \text{ W/m}^2$ ; Figure S14). 24

Each parameter perturbation simulation, which we refer to as an ensemble member, was run for 140 years under constant preindustrial greenhouse gas concentrations and land use conditions. The first 40 years were discarded as spin up, which is long enough for fast atmospheric processes, leaf area, soil moisture and temperature, and the surface ocean to largely equilibrate (Figure S15).

#### <sup>30</sup> Text S2 Parameter selection procedure

We used two parameter selection criteria: (1) that parameters would likely have 31 a large impact on the atmosphere, based on results from the CLM5 PPE, and (2) that 32 parameters sampled different functional areas of the model. For our first criterion, we 33 ranked all parameters based on multiple metrics of land-to-atmosphere fluxes (Table S1, 34 Table S3), globally and for individual biomes, focusing on the quantities that the land 35 model passes to the atmosphere model in CESM2 (Table S2). We quantified parame-36 ters impact on individual biomes by classifying the land surface into the nine Whittaker 37 biomes (Whittaker, 1975) and ice sheets based on each grid cell's mean precipitation and 38 temperature. Out of 205 total parameters, we identified 40 parameters that appeared 39 in the top five for more than five rankings. For our second criterion, we then grouped 40 those top 40 parameters into functional categories, and we selected 18 parameters such 41 that we did not sample more than four parameters from any given functional category. 42

# <sup>43</sup> Text S3 Calculating the pattern of warming due to a doubling of CO<sub>2</sub>

We calculated the pattern of warming due to a doubling of  $CO_2$  from two concentration-44 driven CESM2 simulations: one forced with preindustrial  $CO_2$  concentrations of 284.7 45 ppm  $(1xCO_2)$  and one forced with a doubling of preindustrial  $CO_2$ , 569.4 ppm  $(2xCO_2)$ . 46 We ran simulations with an active land and atmosphere, and a slab ocean. We ran sim-47 ulations for 120 years, and discarded the first 60 years as spin up. These CESM simu-48 lations were run using the cesm\_2\_3\_beta03 tag and branch\_tags/PPE.n08\_ctsm5.1.dev030 49 tag for CTSM. Doubling  $CO_2$  drove a 5.2°C global mean temperature increase (6.5°C 50 global mean land temperature increase), consistent with CESM2's documented high equi-51 librium climate sensitivity (Gettelman et al. 2019). 52

# Text S4 Disentangling drivers of land temperature and precipitation changes

We used multiple linear regression to disentangle the extent to which land precip-55 itation (P) and temperature  $(T_s)$  changes across our coupled PPE are driven by three 56 land surface properties: albedo ( $\alpha$ ), evaporative fraction (EF), and a measure of aero-57 dynamic coupling  $(r_a)$ . First, we diagnosed  $\alpha$ , EF, and  $r_a$  for each ensemble member of 58 land-only PPE at each grid cell using monthly model output. We calculated  $r_a$  by in-59 verting the equation for sensible heat flux. We then use these derived changes in land-60 only  $\alpha$ , EF,  $r_a$  as predictors in a multiple linear regression to predict coupled  $T_s$  and P 61 change at each point for each month. We used predictors from the land-only rather than 62 the coupled PPE in order to remove the feedback between climate and land surface prop-63 erties. In the coupled PPE, changes in land surface properties are due to both land pa-64 rameter uncertainty and land responses to climate changes (e.g., precipitation changes 65 can influence evaporative fraction), but changes in land surface properties in the land-66 only PPE isolate the influence of land parameter uncertainty on land surface fluxes. Be-67 cause this grid cell level analysis does not account for remote or global-scale impacts of 68 parameter perturbations, we also report results from regressions conducted using global 69 averages. We do not perform regressions on global average land precipitation changes 70 because the sign of precipitation changes are more regionally variable. 71

We calculated the emergent changes in  $r_s$  and  $r_a$  by inverting the equations for sensible heat flux and latent heat flux (L).  $S=(\rho C_p(T_s-T_a))/r_a$  and  $L=(\rho\lambda(q^*(T_s)-q_a))/(r_a+r_s)$ , where  $\rho$  is the air density at the lowest atmospheric level,  $T_a$  is the air temperature

- at the lowest atmospheric level,  $q_a$  is the specific humidity at the lowest atmospheric level, 75  $T_s$  is land surface skin temperature, and  $q^*(T_s)$  is the saturated specific humidity at  $T_s$ . 76  $C_p$  and  $\lambda$  are constants, the specific heat capacity of dry air and the latent heat of va-77 porization, respectively. We verified our derived changes in  $\alpha$ ,  $r_s$ , and  $r_a$  by demonstrat-78 ing that they yielded accurate reconstructions of temperature changes in the offline land-79 only PPE using the two-resistance method (TRM; Rigden and Li 2017). However, the 80 TRM is ill-suited for attributing quantifying how much the changes in  $\alpha$ ,  $r_s$ , and  $r_a$  drive 81 coupled temperature changes to changes in  $\alpha$ ,  $r_s$ , and  $r_a$  because it combines all tem-82 perature changes from atmospheric feedbacks into one term (due to change in the near-83 surface air temperature  $T_a$ ), and cannot distinguish the extent to which  $T_a$  changes are 84
- driven by changes in  $\alpha$ ,  $r_s$ , and  $r_a$ .

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Figure S1: Maps of annual mean land temperature changes for each ensemble member, compared to the reference case with default parameterizations. Hatching indicates regions where the temperature change was insignificant at the 0.05 significance level. The percentage of land with statistically significant temperature changes is shown in parentheses, and \* indicates field significance. For each grid cell, we performed a two-tailed Student's t-test to test whether the ensemble member mean (standard deviation calculated from the distribution from interannual variability in the ensemble member mean) was different from the default mean (standard deviation calculated from the distribution from interannual variability in the default mean). We test for field significance using Walker's test.



Figure S2: Maps of annual mean temperature changes for each ensemble member, including both land and ocean. Hatching and significance testing is as in Figure S1, but the title indicates the total percentage of the Earth surface (including land and ocean) with statistically significant temperature changes.



Figure S3: Correlation between the change in annual mean land temperature and annual mean global temperature (including both land and ocean). Colors indicate parameter category as in Figures 1 and 3. Because the parameter zetamaxstable is an outlier in our PPE, it is denoted as the filled purple point.



Figure S4: EOF analysis of changes in land surface temperature across the PPE.



Figure S5: EOF analysis of changes in land precipitation across the PPE.



Figure S6: Correlation between leading EOFs of annual average land and temperature changes and global mean annual average land temperature and precipitation changes across the PPE. Ensemble members are colored by parameter category, as in Figure 1.



Figure S7: Correlation between change in global mean evaporative fraction (EF) and first principal components of temperature (top) and precipitation (bottom) change across the PPE. Colors indicate parameter category as in Figure 1.



Figure S8: Maps of annual mean land precipitation changes for each ensemble member, compared to the reference case with default parameterizations. Hatching indicates regions where the precipitation change was insignificant at the 0.05 significance level. The percentage of land with statistically significant temperature changes are shown in parentheses, and \* indicates field significance.For each grid cell, we performed a two-tailed Student's t-test to test whether the ensemble member mean (standard deviation calculated from the distribution from interannual variability in the ensemble member mean) was different from the default mean (standard deviation calculated from the distribution from interannual variability in the test for field significance using Walker's test.



Figure S9: Maps of annual mean precipitation changes for each ensemble member, including both land and ocean. Hatching and significance testing is as in Figure S7, but the title indicates the total percentage of the Earth surface (including land and ocean) with statistically significant temperature changes.



Figure S10: Percentage of land area with statistically significant temperature vs. precipitation changes for each ensemble member in the PPE. Ensemble members are colored by parameter category, as in Figure 1. Zetamaxstable is indicated with a filled circle because it is a frequent outlier.



Figure S11: Sign of change of statistically significant mean climate changes across the PPE. Percent of land area experiencing statistically significant decreases vs. increases in temperature (left) and precipitation (right) for each PPE ensemble member. Ensemble members are colored by parameter category, as in Figure 1. We note that one parameter (zetamaxstable) drove statistically significant temperature changes of opposite sign across 63% of land area, which canceled each other out in the global mean resulting in a minimal global mean land temperature change (Figure S1) - this parameter is indicated with a filled circle because it is a frequent outlier.



Figure S12: Percentage of global land area that experiences statistically significant changes in annual mean precipitation due to perturbations in each parameter. For each land grid cell, we performed a two-tailed Student's t-test to test whether the parameter maximum simulation was different from the parameter minimum simulation.



Figure S13: Range in annual mean precipitation changes across the PPE, on an absolute basis (left) and as a percentage of the default precipitation (right). Hatching indicates regions where annual mean precipitation changes were statistically insignificant for five or more ensemble members.



Figure S14: Time series of the net radiative flux at the top of the model (RESTOM), as calculated from the net solar flux at top of model (FSNT) minus the net longwave flux at top of model (FLNT). The average RESTOM for the last 100 years of the reference case is -0.15 W/m<sup>2</sup>. RESTOM varied minimally across the ensemble ( $\sigma$ =0.010 W/m<sup>2</sup>), and was not statistically significantly different from the reference case for any ensemble member. Significance was tested using two-tailed Student's t-test on the time series of annual mean RESTOM.



Figure S15: Time series of annual mean (a) global temperature, (b) global land temperature, (c) global leaf area index, and (d) global root zone soil wetness factor (where 1 indicates no water stress) for each ensemble member of the PPE. The black line indicates the reference simulations, and ensemble members are colored by parameter category as in Figure 1. The first 40 years of each simulation (denoted by dashed vertical line) were discarded as spin up. Data in panels (c) and (d) are averaged over non-glaciated land only.

Quantity
Latent heat flux
Sensible heat flux
Water vapor flux
Zonal momentum flux
Meriodional momentum flux
Emitted longwave radiation
Direct beam visible albedo
Direct beam near-infrared albedo
Diffuse visible albedo
Diffuse near-infrared albedo
Absorbed solar radiation
Radiative temperature
Temperature at 2 meter height
Specific humidity at 2 meter height
Wind speed at 10 meter height
Snow water equivalent
Aerodynamic resistance
Friction velocity
Dust flux
Net ecosystem exchange*

Table S1: Quantities that the land model passes to the atmosphere in CESM2. Note that net ecosystem exchange does not impact the atmosphere in our experimental design because our experimental design held atmospheric  $CO_2$  concentrations fixed.

Metric	CLM5 Variable	Metric Category	Measure	Globally	By Whittaker Biome
Annual Mean					
Mean albedo	Calculated quantity	Albedo and	Mean	Yes	Yes
Mean absorbed shortwave radiation	FSA	shortwave radiation	Mean	Yes	Yes
Mean emitted longwave radiation	FIRE	Temperature and	Mean	Yes	Yes
Mean near-surface air temperature	TSA	longwave radiation	Mean	Yes	Yes
Mean land skin temperature	TSKIN		Mean	Yes	Yes
Mean latent heat flux	EFLX_LH_TOT	Water and turbulent	Mean	Yes	Yes
Mean sensible heat flux	FSH	fluxes	Mean	Yes	Yes
Mean near-surface specific humidity	Q2M		Mean	Yes	Yes
Mean zonal momentum flux	TAUX	Wind and roughness	Mean	Yes	Yes
Mean 10 meter wind speed	U10		Mean	Yes	Yes
LAC Area (DJF)	Calculated quantity*	Land-atmosphere	Mean	Yes	No
LAC Area (JJA)	Calculated quantity*	coupling (LAC)	Mean	Yes	No
LAC Area (MAM)	Calculated quantity*		Mean	Yes	No
LAC Area (SON)	Calculated quantity*		Mean	Yes	No
Interannual Variability					
Mean albedo	Calculated quantity*	Albedo and	IAV	Yes	Yes
Mean absorbed shortwave radiation	FSA	shortwave radiation	IAV	Yes	Yes
Mean emitted longwave radiation	FIRE	Temperature and	IAV	Yes	Yes
Mean near-surface air temperature	TSA	longwave radiation	IAV	Yes	Yes
Mean land skin temperature	TSKIN		IAV	Yes	Yes
Mean latent heat flux	EFLX_LH_TOT	Water and turbulent	IAV	Yes	Yes
Mean sensible heat flux	FSH	fluxes	IAV	Yes	Yes
Mean near-surface specific humidity	Q2M		IAV	Yes	Yes
Mean zonal momentum flux	TAUX	Wind and roughness	IAV	Yes	Yes
Mean 10 meter wind speed	U10		IAV	Yes	Yes

Table S2: Metrics for evaluating parameter impact on land-to-atmosphere fluxes.

		Biome Ranking												
Parameters	Global Ranking	Tropical rain forest	Tropical seasonal forest/savanna	Temperate rain forest	Temperate seasonal forest	Woodland/ shrubland	Temperate grassland/ desert	Subtropical desert	Boreal forest	Tundra	Ice sheet			
kmax	1	1	1	-	3	3	4	4	4	4	-			
medlynslope	3	-	4	-	1	5		5	1	1	-			
fff	2	-	2	-		1	1	1		2	-			
medlynintercept	5	5	3	5	2	-	-	-	2		-			
liq_canopy_storage_scalar	4	2	5	-	4	-	-	-	3		-			
jmaxb0	-	-	-	4	-	-	-	-	5	3	-			
jmaxb1	-	-	-	3	5	2	-	-	-	-	-			
tpu25ratio	-	-	-	2	-	4	-	-	-	-	-			
sand_pf	-	-	-		-	-	5	3	-	-	-			
maximum_leaf_wetted_fraction	-	3	-	1	-	-	-	-	-	-	-			
krmax	-	-	-	-	-	-	2	2	-	-	-			
snw_rds_refrz	-	-	-	-	-	-	-	-	-	-	3			
upplim_destruct_metamorph	-	-	-	-	-	-	-	-	-	-	4			
slopebeta	-	-	-	-	-	-	-	-	-	5	-			
zetamaxstable	-	-	-	-	-	-	-	-	-	-	1			
zsno	-	-	-	-	-	-	-	-	-	-	2			
d_max	-	-	-	-	-	-	3	-	-	-	-			
psi50	-	4	-	-	-	-	-	-	-	-	-			

Table S3: Example of parameter rankings in terms of their impact on mean latent heat flux, globally and for Whittaker biomes. Rankings are only shown if the parameter was ranked in the top 5. Bolded parameters were included in our PPE.

		Biome Ranking											
Parameters	Global Ranking	Tropical rain forest	Tropical seasonal forest/savanna	Temperate rain forest	Temperate seasonal forest	Woodland/ shrubland	Temperate grassland/ desert	Subtropical desert	Boreal forest	Tundra	lce sheet		
fff	1	-	1	-	-	1	-	4	-	-	-		
zetamaxstable	2	-	-	-	-		4	-	1	1	1		
jmaxb0	3	-	-	5	3	-	-	5	3	4	-		
kmax	4	1	2	-	2	-	-	-	5	-	-		
leafcn	5	-	-	-	5	-	-	-	-	-	-		
jmaxb1	-	-	-	2	1	2	-	-	2	-	-		
tpu25ratio	-	-	-	1	4	4	-	-	4	-	-		
zsno	-	-	-	-	-	-	1	-	-	2	2		
clay_pf	-	-	-	-	-	-	3	2	-	-	-		
leaf long	-	4	5	-	-	-			-	-	-		
maximum_leaf_wetted_fraction	-	2	-	3	-	-			-	-	-		
sand_pf	-	-	-	-	-	-	2	3	-	-	-		
d_max	-	-	-	-	-	-	5	-	-	-	-		
frac_sat_soil_dsl_init	-	-	3	-	-	-	-	-	-	-	-		
FUN fracfixers	-	-	-	-	-	3	-	-	-	-	-		
krmax	-	-	4	-	-	-	-	-	-	-	-		
liq_canopy_storage_scalar	-	3	-	-	-	-	-	-	-	-	-		
lmrha	-	-	-	-	-	5	-	-	-	-	-		
medlynintercept	-	-	-	-	-	-	-	-	-	5	-		
medlynslope	-	-	-	-	-	-	-	-	-	3	-		
psi50	-	5	-	-	-	-	-	-	-	-	-		
snw_rds_refrz	-	-	-	-	-	-	-	-	-	-	4		
tpuha	-	-	-	4	-	-	-	-	-	-	-		
upplim_destruct_metamorph	-	-	-	-	-	-	-	-	-	-	5		
xdrdt	-	-	-	-	-	-	-	-	-	-	3		
zlnd	-	-	-	-	-	-	-	1	-	-	-		

Table S4: Rankings of parameters with the largest land surface temperature change in the land-only CLM5-PPE, globally and for Whittaker biomes. Rankings are only shown if the parameter was ranked in the top 5. Bolded parameters were included in our PPE, and parameters relating to soil hydrology, stomatal conductance and plant water use, and canopy evaporation are highlighted.

Reference	Swenson and Lawrence (2014), van de Griend and Owe (1994), Goss and Madliger (2007), Smits et al. (2012)	Swenson and Lawrence (2014)	Niu et al. (2005), Hou et al. (2012), Fan and Miguez- Macho (2011), Fan et al. (2013)		Zeng and Wang (2007), Raupach (1994), Shaw and Pereira (1982)	Chamberlain (1983), Manes et al. (2008), Gromke et al. (2011)		van Kampenhout et al. (2017)			Lombardozzi et al., GRL (2018)	Bernacchi et al. (2001)	Lin et al. (2015)	Duursma et al. (2018)	Bonan et al. (2014), Chuang et al. (2006), Sperry et al. (1998), Sperry and Love (2015), Williams et al (1996), Kennedy et al. (2019)	Majasalmi and Bright (2019)		
Range source	Literature review	Literature review	Literature review	Percentage perturbation	Literature review	Literature review	Expert judgment	Literature review	Expert judgment	Expert judgment	Percentage perturbation	Percentage perturbation	Literature review	Literature review	Literature review	Percentage perturbation	Expert judgment	Expert judgment
Unit	mm	unitless	 1	percent	unitless	æ	unitless	kg/m^3	ſ	unitless	unitless	J/mol	µmol H2O/ µmol CO2	µmol H2O/(m^2/s)	mm H2O (transpired)/ mm H2O (water potential gradient)/sec	unitless	unitless	number/m <sup>2</sup>
Maximum Value	60	1	5	20	0.077 to 0.168 <sup>a</sup>	0.07	10	250	0.05	0.25	0.501	+50%	3.93 to 9.11 <sup>a</sup>	200000	1.9e-9 to 2.3e-7 <sup>a</sup>	0.43 to 0.64 <sup>a</sup>	0.5	0.5
Default Value	15	0.8	0.5	0	0.055 to 0.120 <sup>a</sup>	0.0024	0.5	175	0.0311	0.17	0.167	46390	1.62 to 5.79 <sup>a</sup>	100	1.3 <del>e-</del> 9 to 4.0e-8 <sup>a</sup>	0.36 to 0.53 <sup>a</sup>	0.05	0.035 to 100 <sup>a</sup>
Minimum Value	10	0.5	0.02	-20	0.033 to 0.072 <sup>a</sup>	0.00001	0.1	100	0.01	0.05	0.0835	-50%	0.65 to 3.89 <sup>a</sup>	1	2.3e-10 to 1.5e-8ª	0.29 to 0.42 <sup>a</sup>	0.01	0.03
Parameter Category	Category Soil hydrology				Soil hydrology Boundary layer / Roughness length Snow				Photosynthesis		Temperature acclimation		Stomatal	conductance and plant water use	Plant optical properties	Canopy evaporation	Canopy height / biomass heat storage	
Land Component		Soil					boundary layer								Vegetation			
Parameter description	Parameter specifying the length scale of max dry surface layer thickness	Fraction of saturated soil for moisture value at which dry surface layer initiates	Decay factor for fractional saturated area	Perturbation factor (via addition) for percent sand	Ratio of momentum roughness length to canopy top height	Momentum roughness length for snow	Max value zeta ("height" used in Monin- Obukhov theory) can go to under stable conditions.*	Upper limit for snow densification through destructive metamorphism	Tthe baseline proportion of nitrogen allocated for electron transport	Determines the response of electron transport rate to light availability	Triose phosphate utilization at 25C (ratio of tpu25/vcmax25)	Activation energy for leaf maintenance respiration (used in temperature acclimation of leaf maintenance respiration)	Medlyn slope of conductance-photosynthesis relationship	Medlyn intercept of conductance- photosynthesis relationship	Plant segment maximum conductance	Near-infrared stem reflectance	Maximum fraction of leaf that may be wet prior to drip occuringoccurring	Stem number, number of individuals per meter squared (similar to stocking number). Influences canopy height and biomass heat storage.
Parameter	d_max	frac_sat_soil_dsl_init	fff	sand_pf	zOmr	zsno	zetamaxstable	upplim_destruct_metamorph	jmaxb0	jmaxb1	tpu25ratio	lmrha	medlynslope	medlynintercept	kma.x	rhosnir	maximum_leaf_wetted_ fraction	nstem

Table S5: Land parameters used in this study.