Collaborative systems thinking analysis for enhancing climate smart agricultural (CSA)
 technology adoption in Africa

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- 20 Abstract

21 Agricultural technology adoption is a critical driver of sustainable development, particularly in 22 developing regions where agriculture plays a pivotal role in food security and livelihoods. This 23 study combines network analysis, including causal loop diagrams (CLD), with centrality metrics, to uncover key leverage points within the system where targeted interventions can yield 24 25 significant impacts on climate smart agricultural (CSA) technology adoption. Our findings reveal the intricate interconnections among various determinants, emphasizing the non-linear 26 27 nature of technology adoption processes. The degree of centrality analysis identifies influential 28 determinants within the network, offering insights into their potential impact and importance in 29 driving change within the broader system. This research offers valuable guidance for 30 policymakers, agricultural extension services, and development practitioners involved in CSA. 31 It contributes to the efficient adoption and implementation of relevant technologies, thereby 32 enhancing the resilience of agricultural practices in the face of climate change. Furthermore, it 33 underscores the importance of considering the holistic context and intricate interactions to promote sustainable agricultural development in developing regions. 34

35 Keywords: System dynamics, network analysis, causal loop diagram, feedback loops,

36 Interconnections

37 Introduction

38 Climate change poses a formidable challenge to agriculture in Africa, a continent where the 39 agrarian sector is not only the backbone of its economies but also a cornerstone of its food 40 security, economic growth, and cultural heritage. The intricate relationship between climate 41 change and agricultural productivity in Africa is increasingly becoming a focus of scientific 42 and policy discussions [1][2][3]. This is primarily because the African continent, despite 43 contributing minimally to global greenhouse gas emissions, is disproportionately affected by 44 the adverse impacts of climate change [4] (Almaraz et al., 2023). The dependence of African 45 agriculture on rain-fed systems makes it particularly vulnerable to climate variability and 46 change [5]. Fluctuations in rainfall patterns and increased temperatures have been linked to 47 reduced crop yields, exacerbating food insecurity in a continent where a significant portion of 48 the population is already undernourished [6] [5]. For instance, studies predict that major cereal 49 crops in Africa will experience a reduction in yield of up to 20% by 2050 due to climate change 50 [7][8]. Moreover, the impact of climate change on agriculture extends beyond food production. 51 It influences economic stability, as the agricultural sector is a major employer and a critical 52 source of income for the vast majority of the rural population in Africa [9]. In addition, 53 agriculture in Africa is deeply intertwined with cultural practices and traditions, playing a vital 54 role in the social fabric of communities [10]. Climate change-induced alterations in agricultural 55 practices can, therefore, have profound cultural implications. To tackle these complex 56 challenges, there is an urgent requirement for adaptive strategies that not only alleviate the 57 effects of climate change but also bolster the agricultural sector's resilience. It is crucial to 58 examine the transformative potential of Climate-Smart Agriculture (CSA) in Africa, 59 particularly concerning its fundamental principles. This examination is essential to gain insights

into how CSA can actively contribute to sustainable development, food security, and climate
resilience across the continent [11][2].

62 The pillars of CSA establish a comprehensive framework essential for transforming and reorienting agricultural systems to foster development and ensure food security in a changing 63 64 climate [12]. The first pillar, sustainably increasing agricultural productivity and incomes, 65 emphasizes enhancing food production and farmers' livelihoods in an environmentally 66 sustainable way. This goes beyond just boosting yields; it involves improving resource use 67 efficiency and minimizing environmental impacts [13][14]. The second pillar focuses on adapting and building resilience to climate change, a critical aspect for managing risks 68 69 associated with climate variability and extreme weather events [3][15]. It encompasses the 70 development and deployment of farming practices that are resilient to climatic stresses [16] [3]. 71 Lastly, the third pillar is about reducing and/or removing greenhouse gas emissions. This 72 includes implementing farming methods and technologies that lower emissions, as well as 73 practices like agroforestry that enable agriculture to act as a carbon sink [11]. Together, these 74 pillars aim to create an agriculture sector that is productive, resilient, and a contributor to 75 climate change mitigation.

76 Climate-smart agriculture technologies (Figure 1) in Africa encompass a range of innovative 77 and traditional practices tailored to enhance agricultural resilience and sustainability in the face 78 of climate change. Key among these are drought-resistant and early maturing crop varieties, 79 crucial in regions facing erratic rainfall and prolonged dry spells [17]. These varieties are bred 80 to withstand adverse climatic conditions while ensuring timely harvests, thereby securing food 81 production and livelihoods [17][18]. Improving soil health is another critical aspect, achieved 82 through conservation agriculture practices such as minimum tillage, cover cropping, and crop rotation [17][18]. These techniques not only enhance soil fertility and structure but also improve 83

84 water retention, making crops more resilient to climate extremes. Water management, is 85 integral to CSA in Africa. Techniques like rainwater harvesting, where small dams and reservoirs collect and store rainwater, alongside efficient irrigation systems like drip irrigation, 86 87 ensure optimal water usage, crucial in drought-prone areas [16] [18]. Agroforestry, which 88 involves integrating trees with crop and animal farming, offers multifaceted benefits. It 89 improves soil health, provides shade and shelter, acts as windbreaks, and aids in carbon 90 sequestration, while also diversifying income sources through the harvesting of fruits, nuts, and 91 timber [19]. Access to climate information and early warning systems is also vital [20]. 92 Leveraging mobile technology and community networks, farmers receive timely updates on 93 weather patterns, pest outbreaks, and market conditions, enabling better planning and response 94 to climatic risks [21]. Lastly, renewable energy technologies like solar-powered irrigation 95 pumps and biogas systems are gaining traction [22]. These reduce the reliance on fossil fuels 96 and lower greenhouse gas emissions, providing sustainable energy sources to rural 97 communities. Together, these CSA technologies represent a holistic approach to transforming 98 agriculture in Africa, making it more productive, resilient, and environmentally sustainable.



99

100 Figure 1. Illustration of climate-smart agriculture (CSA) for smallholder farmers in Africa, featuring the utilization 101 of smartphones to access climate information services (CIS). This illustration showcases the integration of 102 technology with sustainable farming practices, incorporating natural pest control and organic fertilization within a 103 dynamic rural farmland setting. Additionally, wind and solar energy systems are seamlessly integrated to support 104 irrigation 105

106 The integration of CSA practices among small-scale farmers is critical for sustainable 107 agricultural development. Yet, studies by [23] [24] [25] report low adoption rates, raising 108 concerns about the effectiveness of current strategies. Traditional research methods, focusing 109 on the direct factors influencing adoption through univariate and multivariate analyses, fall 110 short by not considering the intricate web of technology, gender dynamics, socioeconomic 111 conditions, educational backgrounds, and national policies. This oversight, as argue in [26], limits the ability of the 'triple-win' CSA model to address the complex social dynamics at play and the prevailing conditions that sustain conventional development practices. The call for systems thinking, as advocated by [27] highlights the importance of a comprehensive approach that examines the interconnectedness of these factors, thereby offering insights into the systemic barriers and opportunities for CSA adoption. This perspective not only aids in understanding the broader system dynamics but also guides the development of more effective, inclusive, and sustainable policy interventions.

119 This study aims to provide a comprehensive overview of how CSA could serve as a beacon of 120 hope for the African continent, fostering sustainability and resilience in the face of climate 121 change. We hypothesize that, CSA, by incorporating innovative farming techniques, effective 122 resource management, and cutting-edge technologies, provides a promising path in a region 123 grappling with climate variability, resource depletion, and escalating food insecurity [16] [18]. 124 Our analysis strives to provide a comprehensive perspective on how the adoption of CSA not 125 only mitigates the impacts of climate change but also enhances agricultural productivity, 126 livelihoods, and ecosystem health within the African context. Furthermore, we establish a basis 127 for examining policy frameworks, community engagement, and the scalability of CSA 128 practices, thereby offering valuable insights for a wide range of stakeholders, ranging from local 129 farmers to global policymakers. The aim is to initiate a dialogue about the imperative need for 130 the integration of climate-smart strategies in African agriculture, paving the path towards a 131 future where environmental sustainability and economic prosperity coexist harmoniously.

132 Materials and methods

Collaborative system thinking workshop for unlocking climate smart agriculture technology adoption.

135 To advance understanding of CSA adoption dynamics, a systems thinking methodology was 136 applied, utilizing CLD for qualitative insights. A three-day workshop in Cotonou, Benin, from 137 September 27 to 29, 2023, brought together 33 researchers, including 9 women, from diverse 138 academic backgrounds. Participants hailed from three Beninese universities (University of 139 Abomey-Calavi, University of Parakou, and National University of Agriculture), the National 140 Institute of Agricultural Research (INRAB), and international bodies like the International 141 Institute of Tropical Agriculture (IITA) and the International Centre of Insect Physiology and 142 Ecology (*icipe*). The presence of Ghanaian experts from Crops Research Institute of the Council 143 of Scientific and Industrial Research (CSIR/CRI), the University of Development Studies 144 (UDS), and the Kwame Nkrumah University of Science and Technology (KNUST) enriched 145 discussions, promoting an intercultural and interdisciplinary examination of CSA practices. The 146 invited experts came from varied fields such as crop science, sociology, environmental science, 147 animal sciences, forestry, natural resources, agronomy, pest management, and held specialized 148 knowledge in areas like modeling, gender studies, systems thinking, One Health, and climate-149 resilient agriculture. This multidisciplinary gathering was crucial for collecting comprehensive 150 data and insights on the multifaceted aspects of CSA implementation and its impacts.

During the workshop, an essential focus was placed on training the researchers to ensure they had a thorough understanding of key concepts, enabling them to contribute effectively to the discussions. The training encompassed key areas including climate-smart agriculture, One-Health, system thinking approach, and system dynamics modelling. This foundational knowledge was crucial for participants to effectively analyze and discuss the factors involved in the adoption of CSA practices and the interconnections between these factors. After 157 establishing a common ground in understanding these concepts, the workshop shifted its focus 158 to the critical issue of long-term adoption of CSA practices. The team engaged in in-depth 159 discussions to unravel and conceptualize the complexities of this problem. Following this, they 160 were divided into three groups, with each group tasked to identify and list all factors influencing 161 the adoption of climate-smart technologies. In a comprehensive plenary session, each group 162 presented their findings, providing clear definitions for each identified factor. This session was 163 instrumental in achieving a consensus among all participants on the definitions, transforming 164 these factors into well-defined variables. Subsequently, the plenary session engaged in a 165 collaborative process to select the most relevant variables. These were then grouped into distinct 166 components or subsystems, enabling a more structured and systematic approach to 167 understanding the multifaceted nature of CSA adoption. This collective effort laid the 168 groundwork for developing a more nuanced and comprehensive understanding of the dynamics 169 involved in the adoption of CSA technologies.

In the workshop's second phase, the emphasis shifted towards constructing a guide model, a collaborative process that engaged the entire team in establishing connections among the previously identified variables. This process began with identifying the outcomes and drivers related to CSA technology adoption. Once these elements were defined, a foundational model was created, focusing on the primary drivers and outcomes. Participants were then guided to systematically establish causal links between these variables, using arrows to depict the direction and nature of their influence – whether positive or negative

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181 Ethics statement

182 This study adhered to the ethical standards outlined in the 1964 Declaration of Helsinki and its 183 subsequent revisions. Given the retrospective nature of this research, which relied on 184 anonymized data analysis, formal consent from participants was not sought. This approach was 185 adopted because the study presented no discernible risks to the participants and preserved 186 complete anonymity throughout all stages of the research. The research procedure is consistent 187 with the guidelines established by the Ethics Committees of the respective institutions involved, 188 assuring the safeguarding of participants' information and the maintenance of ethical research 189 principles.

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191 System thinking model development procedure

192 Understanding and constructing the cause-effect relationships between various elements is a 193 critical step in developing a holistic analysis of complex systems, especially in the context of 194 climate-smart agricultural technology adoption [28][29]. The modeling process began with the 195 development of causal loop diagrams (CLD) for each individual subsystem. These diagrams 196 were later synthesized to form a comprehensive representation of the entire complex system 197 governing the adoption of CSA technologies. A crucial step in this process was the 198 identification of feedback loops within the CLDs. Feedback loops are fundamental in systems 199 thinking as they illustrate how variables interconnect and influence each other, either 200 amplifying (reinforcing loops) or balancing (balancing loops) the system dynamics. In the CLD, 201 each cause-effect relationship is assigned an appropriate polarity, either positive or negative, to 202 accurately represent the nature of the interactions. Positive relationships indicate that an 203 increase in one variable leads to a corresponding increase in another. Conversely, negative 204 relationships suggest that an increase in one variable results in a decrease in the other. This 205 distinction is fundamental in understanding the behavior of complex systems, as it reveals how

206 various elements influence each other either directly or inversely. The aggregation of these 207 causal relationships, whether positive or negative, gives rise to feedback loops. These loops are 208 crucial in systems thinking as they determine the system's behavior over time. Feedback loops 209 can be either negative (balancing) or positive (reinforcing), as explained by [27]. Balancing 210 feedback loops act to resist changes in the system, maintaining stability and equilibrium. On 211 the other hand, reinforcing feedback loops amplify changes, either contributing to rapid growth 212 or decline in the system. As noted by [30], these loops are instrumental in understanding how 213 systems respond to internal and external pressures, ultimately shaping their trajectory.

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The CLD for the complex system of CSA technology adoption was developed, drawing upon a rich amalgamation of sources and expertise. The foundation for this CLD was laid through a review of relevant literature, encompassing published articles, authentic information from credible websites, and comprehensive government reports [31][32][19]. This diverse pool of resources ensured that the CLD was both comprehensive and grounded in current, authoritative knowledge.

In the initial phase of the CLD's development, the core research problem was clearly defined. This step was critical for guiding the subsequent identification of key variables that are crucial in understanding the dynamics of technology adoption in the context of CSA. These variables were selected to represent the critical elements within the system, ensuring that the CLD would capture the essential aspects and intricacies of technology adoption.

Once the key variables were identified, the process of developing the CLD commenced.
 This involved connecting the variables with links, each marked with the appropriate
 polarity signs – positive or negative – to accurately depict the nature of their

relationships. The construction of the CLD was not just about mapping these individual
relationships, but also about identifying and connecting several feedback loops.

The creation of the CLD was facilitated by the use of the VENSIM modelling platform
 [33], a tool that enables the detailed and precise modelling of complex systems. The
 utilization of VENSIM allowed for a more structured and visual representation of the
 relationships and feedback loops, thereby enhancing the clarity and comprehensibility
 of the model.

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238 Network analysis of the causal loop diagram (CLD)

239 The developed CLD for climate smart agricultural technology was converted into a directed adjacency matrix, encompassing 39 variables that represented the nodes. These nodes formed 240 241 the 39 key determinants of the system, and their interconnections resulted in 139 edges, which 242 were utilized to construct the adjacency matrix A_{ii} [34]. This transformation into a matrix format 243 facilitated a quantitative analysis of the network's properties. Key network properties such as 244 the density, average path length, and modularity of the matrix were determined, providing 245 insights into the structural characteristics of the technology adoption network. The density of 246 the network, for instance, indicated how interconnected the various determinants were within 247 the system. The average path length represented the mean distance between the shortest paths 248 of all pairs of vertices, shedding light on the network's connectivity.

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To evaluate the impact of individual determinants within the network, several measures of centrality were calculated. These included the degree of centrality (K), which indicates the number of connections a determinant has, encompassing both incoming and outgoing links [35]. The formulae for degree-in (Kⁱⁿ) and degree-out (K^{out}) for each determinant are derived using the adjacency matrix A_{ii} [36]. This degree of centrality offers a view of the local influence of a determinant within its immediate network. Closeness centrality (C) and betweenness (B) were also computed, providing further insights into how determinants are positioned within the network. Additionally, PageRank centrality or node strength (X) was determined, estimating the overall influence of certain determinants on the entire network [37][36].

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260 The degree of centrality within a network is a crucial metric that reflects the number of 261 connections a determinant has, encompassing both the incoming and outgoing links associated 262 with each determinant [35]. In the context of our network analysis, this measure is computed 263 by summing the connections leading to the determinant and those originating from it. This 264 calculation is made in relation to the Adjacency matrix A_{ii}, which maps the interactions between 265 different determinants. For a given determinant, the degree-in (Kⁱⁿ) is determined by Equation 266 (1), which sums all incoming connections to that determinant. Conversely, the degree-out (K^{out}) 267 is calculated using Equation (2), aggregating all outgoing connections from the determinant. 268 These calculations, as outlined by [36], provide a clear quantitative measure of how 269 interconnected each determinant is within the network.

270
$$K_i^{in} = \sum_{j=1}^{N} A_{ij}$$
 (1)

271
$$K_j^{out} = \sum_{i=1}^{N} A_{ij}$$
 (2)

$$K = K_i^{in} + K_j^{out}$$
(3)

273

Closeness centrality (C) in network analysis is a measure that calculates the proximity among determinants, identifying which ones are more efficient in spreading information throughout the network. It quantifies a determinant's relationship to all other determinants in the network, taking into account not just direct but also indirect connections from that determinant [35]. 278 While degree centrality provides a local measure within the network, closeness centrality offers 279 a global perspective of a determinant's impact on the network. A high closeness value indicates 280 that a specific determinant has a short average distance to all other determinants in the network, 281 suggesting its central role. Determinants with high closeness centrality can be quickly affected 282 by changes in any part of the network and, in turn, can rapidly effect changes in other parts 283 [37][36]. This centrality is determined by measuring the mean distances from one determinant 284 to all others, with the point having the lowest mean distance being the most central in the 285 network.

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287 Betweenness centrality (B) refers to the frequency with which a node appears on the shortest 288 paths between pairs of other nodes in the network. It is indicative of a node's importance in the 289 average pathways connecting other pairs of determinants, illustrating its intermediary role 290 [37][36]. A determinant with a high frequency of occurrence on these paths plays a crucial role 291 in the network, acting as a key connector or bridge between other determinants [35]. Such a 292 point can control the flow of information, forming a structural hole in the network. 293 Determinants with high betweenness centrality fill many of these structural holes. Interestingly, 294 a node can have high betweenness centrality even if it is not central in terms of local degree or 295 global closeness, yet still play a significant role in the overall network structure [35].

In the context of the adoption of CSA technologies, PageRank centrality is used to assess which determinants (nodes) in the network are most influential. These determinants might not necessarily have the most connections (as measured by degree centrality), but they are crucial in the network due to their connections to other significant nodes. For instance, a determinant that is connected to several key influencers in the network would have a high PageRank score, signifying its importance in the overall dynamics of technology adoption. The calculation of PageRank involves an iterative process. It begins with an arbitrary assignment of importance to ach node and then repeatedly adjusts these values based on the central premise that a node's
importance is derived from the importance of the nodes that link to it. The process continues
until the importance values converge to a stable state, at which point the PageRank values can
be interpreted. The comprehensive analysis of the developed matrix, including the calculation
of closeness and betweenness centralities, was conducted using R studio software [38].

308 Results

309 System model of climate smart agriculture technology adoption

310 In applying systems thinking to the study of technology adoption, a comprehensive analysis 311 was conducted to identify the key variables influencing this process. This analysis revealed a 312 total of 132 interactions among 40 distinct variables. These interactions gave rise to 34 feedback 313 loops within the system, which were comprised of 25 positive (reinforcing: R) and 9 negative 314 (balancing: B) loops. These loops play a crucial role in capturing the essential components and 315 dynamics of the entire system, as illustrated in Figure 2. The CLD that emerged from this 316 analysis includes both reinforcing and balancing loops, as well as driving factors. These 317 elements are instrumental in elucidating how the variables are interconnected, thus creating a 318 balance within the system. The reinforcing loops (R) serve to amplify changes within the 319 system, whereas the balancing loops (B) act to moderate these changes, helping to maintain 320 system stability. For a more structured and detailed understanding, the technology adoption 321 system was subdivided into 5 main sub-systems:

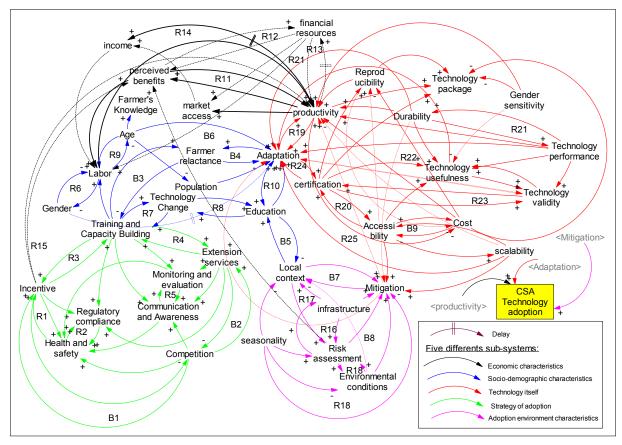




Figure 2. Causal Loop Diagram (CLD) that depicts the complexities involved in the adoption of climate smart agricultural (CSA) technology. This diagram illustrates the intricate network of variables and their interrelationships, highlighting the feedback loops – both reinforcing and balancing – that drive the dynamics of technology adoption in the context of CSA. The CLD serves as a visual map, aiding in the understanding of how various elements within the economic, socio-demographic, technological, environmental, and strategic systems interact and influence the overall process of adopting climate smart agricultural technologies.

330	1.	Economic system: This sub-system encompasses variables related to the financial
331		aspects of technology adoption, such as costs, benefits, and economic incentives.
332	2.	Socio-demographic characteristics: This includes variables like education levels,

- 333 community norms, and demographic factors that influence technology adoption.
- 334 3. *Technology system:* This focuses on the specifics of the technologies themselves,
 335 including their accessibility, usability, and adaptability.
- 336 4. *Environment system*: This sub-system considers the environmental factors that affect
- or are affected by technology adoption, such as climate patterns and land use.

Strategy system: This involves variables related to the strategies and policies that
 influence technology adoption, including government policies, extension services, and
 institutional support.

This approach resulted in the development of a detailed CLD, represented in Figure 2, which provides a visual and analytical representation of the complex interactions and feedback loops within and across these 5 sub-systems. Each variable is linked to others through a series of cause-and-effect relationships, represented by arrows in the diagram. Table 1 elaborates on these loops for each component, detailing the specific variables involved and how they interact to either balance or reinforce aspects of CSA technology adoption.

348 Table 1: Causal loop diagram description

Loop	Description	Implication
		Strategy Adoption
R1	Incentive-Health and safety-Incentive	Promoting incentives positively impacts health and safety
R2	Regulatory compliance-Health and safety-Regulatory compliance	Complying to regulations set will improve health and safety of users of the technology
R3	Incentive-Training and Capacity Building-Incentive	Incentives can be a motivation that positively impacts training and capacity building
R4	Extension Services -Training and Capacity Building-Extension Services	Increasing extension services offer more time for training and capacity building. Training and Capacity Building can increase the provision of extension services
R5	Monitoring and Evaluation- Communication and Awareness- Monitoring and Evaluation	Monitoring and evaluation positively impacts communication and awareness by quantifying communication effectiveness
B1	Incentive-competition-Incentive	Incentives reduce the competition of a certain technology in an area
B2	Extension Services – Competition – Extension services	Additional services offered for a technology will decrease competitive ability of other technologies
	Socio-demog	
R6	Gender-Labor-Gender	Gender has a negative impact on labor provision. Certain tasks can only be achieved by a specific gender and likewise gender can influence productivity of a task.
R7	Training and Capacity Building- Technology Change-Training and Capacity Building	Training and Capacity Building has a positive impact on technology change as people adopt motiving more training to better understand the technology
R8	Technology Change-Education- Technology Change	Changing technology creates opportunities for further education. Further education results in updates or improvements on the technology.
R9	Age-Labor-Age	Provision of labor for various task often have an age requirement for better chances of accomplishment.
R10	Education – Adaptation – Education	Increasing the education level of the people will promote easier adaptation of the technology.
B3	Farmer reluctance - Training and Capacity Building-Farmer reluctance	Reluctance by farmers to adopt a technology will discourage any training provided. Increasing trainings will

		reduce reluctance encountered due to lack of understanding of the technology.
B4	Farmer reluctance-Adaptation- Farmer reluctance	Adaptation of a technology will not be successful if the farmers to use it aren't willing to accept. However increased adaptation will cause a change in the farmers' perspective on the technology
B5	Education-Local context-Education	Education awareness of a technology reduces the local context or assumptions formed.
B6	Labor – Age -Adaptation-Education- Technology change-Training and Capacity Building-Labor	Labor and age of the local population will influence the extent of adaptation of a technology in that locality.
R11	Productivity-Perceived benefits -	Increasing production of the technology increases the
R12	Productivity Perceived benefits-Financial	benefits to be acquired upon successful adaption. Benefits to be acquired are a motivating factor for more
R13	resources - Perceived benefits Productivity-Financial resources -	financial resources to be used High productivity will result in high expenditure. Increase
R14	Productivity Labor – Productivity – Income – Labor	in expenditure will likewise increase productivity. Availability of labor increases production of the technology thus more income generated to hire more employees.
R15	Incentive-Productivity-perceived benefits-Incentive	Incentives encourage productivity which in turn increase perceived benefits received
		ronment Component Feedback Loops
R16	Risk assessment-infrastructure-Risk assessment	Assessing the risk involved in infrastructure ensures bette realization of the technology. Increase in infrastructure increases assessments done on the adaptation and adoption of the tech.
R17	Local Context - Risk assessment - Infrastructure-Local context	The local context influences what assessments to be done on the infrastructure so that the technology is locally adopted.
R18	Environmental conditions - Risk assessment - Environmental conditions	The environmental conditions influence how much risk will be undertaken for successful adaptation of a technology.
B6	Mitigation-Local context -Mitigation	Carrying out mitigation actions can cause negative response from the locality.
B7	Environmental conditions – Risk assessment-Mitigation- Environmental conditions	An assessment of the environment will give directions on which mitigation to be undertaken.
R19	Adaptation-Productivity-Adaptation	by Component Feedback Loops A given technology will increase productivity if it has
K17	Adaptation-1 roductivity-Adaptation	been adapted by the set target.
R20	Accessibility-Certification- Accessibility	Increasing accessibility of a technology will have to increase its certification to enhance its acceptability.
R21	Durability-Tech performance- Durability	Durability of a tech will greatly determine the output with respect to the intended functions of the technology.
R22	Tech usefulness-Adaptation- Certification-Tech usefulness	Tech usefulness influences adaptation which positively impacts certification of a certain technology
R23	Certification-Tech validity- Certification	Certification increases the tech validity of a certain technology in the market
R24	Adaptation-Certification-Adaptation	Adaptation of a technology will increase the chances of certification
R25	Adaptation-Certification- Accessibility-Scalability-Adaptation	Adaptation influences certification which increases accessibility and scalability of the technology
B9	Accessibility-Cost-Accessibility	The easier the technology is the lower the cost incurred. However high prices will discourage expenditure on the technology.

350 The strategy of adoption subsystem

351 The subsystem analysis within the context of CSA technology adoption highlighted the critical 352 importance of regulation and compliance and health and safety factors in ensuring successful 353 implementation (as depicted in Figure 2). These components are integral to the framework that 354 governs and guides the adoption process, ensuring that it aligns with both legal standards and 355 safety norms. Key to this process are the factors of training and capacity building and 356 communication and awareness. These elements are vital for equipping stakeholders with the 357 necessary skills and knowledge to effectively implement CSA technologies. Training and 358 capacity building ensure that farmers and other stakeholders are well-versed in the nuances of 359 CSA technologies, while communication and awareness efforts help in disseminating 360 information and promoting understanding among the broader community. These efforts are 361 often facilitated by extension services, which play a pivotal role in bridging the gap between 362 technology providers and end-users. These services make the strategy more accessible, 363 incentive-driven, and competitive, thereby enhancing the appeal and feasibility of adopting 364 CSA technologies. Another crucial aspect of the strategy is its sustainability, which is upheld 365 through monitoring and evaluation. This process ensures that the implementation of CSA 366 technologies is not only effective in the short term but also adaptable and resilient over the long 367 term, capable of withstanding various challenges and evolving with changing circumstances.

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The causal loops analysis, presented in Table 1, further elucidates these dynamics. It identifies 5 reinforcing loops and 2 balancing loops within the system. The reinforcing loops highlight processes that amplify the effectiveness of the strategy, such as how increased training leads to better implementation, which in turn encourages more training. On the other hand, the balancing loops represent self-regulating mechanisms that help maintain the stability of the system, such

374 as checks and balances in regulation and compliance ensuring that health and safety standards

are not compromised in the pursuit of technology adoption.

376

377 Socio-demographic characteristics subsystem

378 The analysis of the socio-demographic component in the context of CSA technology adoption 379 revealed several key characteristics that are instrumental in determining the success of these 380 technologies. As depicted in Figure 2, these include the adaptability of farmers to new 381 technologies, their knowledge and understanding of these technologies, and the availability of 382 labor. These characteristics are influenced by various factors such as the age and gender 383 demographics of the population, the level of capacity building and education provided, and the 384 local context in which the farmers operate. A critical element in facilitating these factors and, 385 by extension, enhancing the key characteristics for successful adoption, is the role of technology 386 champions. These champions are pivotal in reducing farmers' reluctance towards new 387 technologies. They achieve this through targeted efforts in capacity building and education, 388 directly addressing the knowledge gaps and apprehensions that farmers may have.

389

390 The interplay of these variables within the socio-demographic subsystem of CSA technology 391 adoption is further illustrated in the causal loops analysis. This analysis, presented in Table 1, 392 identified 5 reinforcing loops and 4 balancing loops. The reinforcing loops represent the 393 positive feedback mechanisms where variables such as increased education and effective 394 capacity building lead to greater knowledge and adaptability among farmers, which in turn 395 encourages further educational and capacity-building efforts. On the other hand, the balancing 396 loops act as regulatory mechanisms, ensuring that the influence of socio-demographic factors 397 does not lead to negative outcomes, such as overdependence on external support or neglect of 398 local context and traditional knowledge.

399

400 The economic characteristics subsystem

401 The analysis of the economic aspects of CSA technology adoption identified two primary 402 factors that are particularly influential in attracting farmers to adopt these technologies: the 403 perceived benefits and the productivity of the technology. The perception of benefits is largely 404 driven by financial resources available to the farmers, the incentives provided for adopting CSA 405 technologies, and the risk assessment associated with the technology. Financial resources 406 emerged as a crucial driver within this subsystem, influencing the feasibility and attractiveness 407 of adopting new technologies for farmers. The availability of financial resources not only 408 affects the ability of farmers to access and invest in CSA technologies but also shapes their perception of the risks and benefits associated with these technologies. 409

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411 On the other hand, the productivity of the technology, which is a critical consideration for 412 farmers, is influenced by a combination of factors within the economic subsystem. This 413 includes direct influences such as the efficiency and effectiveness of the technology itself, as 414 well as indirect influences from other variables within the system. The productivity of CSA 415 technologies is a key determinant of their attractiveness, as it directly impacts the potential for 416 increased yield and efficiency in farming practices. The interconnections and dynamics of these 417 economic factors are further detailed in the causal loops analysis. Interestingly, the loops within 418 this economic subsystem are constituted entirely of reinforcing loops. These loops demonstrate 419 the amplifying effects within the system, where positive developments in one area, such as 420 increased financial resources or improved risk assessment, can lead to enhanced perceptions of benefits and greater productivity, thereby further encouraging the adoption of CSA 421 422 technologies.

423 The characteristics of the environment of adoption

424 The role of local context emphasizes the pivotal role of seasonality, risk assessment, and 425 environmental conditions as primary drivers influencing the mitigation of CSA technologies. 426 Moreover, it underscores the crucial impact of the local context within the specific zones where 427 technology implementation takes place. The intricate interaction of these variables gives rise to 428 three reinforcing loops and two balancing loops, as meticulously outlined in Table 1. These 429 loops provide insight into the intricate and dynamic processes involved in effectively mitigating 430 the challenges associated with the adoption and implementation of climate-smart agricultural 431 technologies. This framework sheds light on the multifaceted nature of CSA technology 432 mitigation, underscoring the need for a comprehensive understanding of the local context and 433 infrastructure as key determinants in achieving successful outcomes in CSA initiatives.

434

435 The technology characteristics subsystem

436 The subsystem related to technology characteristics plays a critical role in the overall 437 effectiveness of CSA technology adoption. It encompasses several key components that 438 influence the utility and quality of the technology. At its core, technology performance, its 439 validity, and gender sensitivity are central factors that determine the technology's usefulness. 440 This usefulness, in turn, can be enhanced by factors such as accessibility, durability, and 441 certification of the technology, as illustrated in Figure 2. Additionally, the scalability of the 442 technology and its accessibility and reproducibility also contribute significantly to mitigating 443 challenges associated with CSA technology adoption. The ability to scale the technology, make 444 it accessible to a wider audience, and ensure its reproducibility in various contexts all contribute to its overall effectiveness. Furthermore, the subsystem takes into account the certification of 445 446 the technology, its reproducibility, cost, and gender sensitivity in defining the quality of the

technology package. These factors collectively influence the perceived quality and reliabilityof the technology, which, in turn, affects its adoption and impact.

449

When analyzing the feedback loops within this subsystem, the results indicate the presence of seven reinforcing loops and one balancing loop, each with its own implications for the effectiveness and sustainability of CSA technology adoption, as detailed in Table 1. These loops highlight the complex and interconnected nature of technology characteristics and their influence on the broader CSA framework.

455

456 Network analysis of the causal loops diagram

457 The application of systems thinking to analyze the determinants of technology adoption led to 458 the development of a comprehensive CLD. This CLD consisted of 39 determinants that 459 represented the various factors influencing the adoption of technology in the given context. 460 These determinants were interconnected through a network of 130 edges, resulting in an 461 average path length of 3.37. This average path length indicates that, on average, the distance 462 from one determinant to the next in the network was 3.37 steps, highlighting the complexity of 463 the relationships within the system (Table 2). The density of the network, calculated based on 464 the 130 edges used, was 8.77%. This density suggests that the CLD represents a "small world" 465 network, which is characterized by efficient and effective information flow and transition from 466 one stage to the next within the system. It indicates that information and influence can easily 467 propagate through the network, contributing to the interconnectedness of determinants (Table 468 2).

469

The degree of connection between determinants within the network varied, with most determinants having a degree in and degree out ranging from 2 to 5. Notably, the training and

472 capacity building determinant had the highest number of cause determinants connected to it,

473 with a total of 8 edges, signifying its significance in influencing other determinants (Table 2).

474 On the other hand, the adaptation determinant had the highest number of effects or influences

475 on other determinants, with 13 cases of degree's in (Table 2).

476

477 In terms of network centrality, the adaptation determinant emerged as the most influential within the network, with a betweenness value of 458.8 and a page rank of 0.089. This high 478 479 betweenness score indicates that adaptation connects various parts of the system and plays a 480 pivotal role in influencing other determinants. The training and capacity building determinant 481 also exhibited a closeness effect within the system, with a closeness value of 0.011 (Table 2). 482 Aside from adaptation and training and capacity building, several other determinants played crucial roles in the network and were identified as key leverage points. These included 483 484 accessibility, mitigation, certification, technology usefulness, education, technology costs, risk 485 assessments, incentives, and local context (Table 2). Changes in these determinants could 486 potentially result in significant alterations in the interconnectedness of determinants within the 487 system, ultimately impacting the successful adaptation of technology.

488

489 **Table 2: Network analysis results**

NAME	В	С	X	K-in	K-out	K	
Accessibility	110.2286	0.008772	0.02	4	6	10	
Certification	54.18571	0.009346	0.032844	3	6	9	
Cost	84.0119	0.008929	0.021064	3	4	7	
Mitigation	132.8167	0.010753	0.027085	7	5	12	
Reproducibility	8.309524	0.008264	0.016634	3	3	6	
Scalability	5.4	0.010417	0.007505	1	4	5	
Tech Usefulness	13.23333	0.008264	0.025668	6	2	8	
Adaptation	458.7119	0.011111	0.088628	13	5	18	
Productivity	414.8548	0.010417	0.072849	12	7	19	
Tech Validity	13.36905	0.008772	0.036257	4	3	7	
Education	147.2833	0.010204	0.035713	3	3	6	
Farmer reluctance	199.2143	0.010417	0.022821	2	2	4	

T 7

Age	88.85714	0.009615	0.021237	1	4	5
Farmer's Knowledge	0		0.009184	1	0	1
Labor	210.95	0.008621	0.058467	6	3	9
Population	0	0.008403	0.009184	1	1	2
Tech Package	0		0.028683	5	0	5
Communication and awareness	8.25	0.006623	0.019556	4	2	6
Health and safety	97.33333	0.00813	0.064092	5	2	7
Monitoring and evaluation	7.75	0.006623	0.017808	3	2	5
Competition	8.066667	0.008475	0.011724	2	4	6
Extension services	20.33333	0.008621	0.010246	2	5	7
Incentive	185.7167	0.010989	0.037485	3	6	9
Durability	4.333333	0.009901	0.005628	1	5	6
Tech Performance	0.333333	0.009346	0.005628	1	5	6
Local context	105.8167	0.009615	0.029571	5	3	8
Technology Change	61.66667	0.009709	0.017873	2	2	4
Environmental Conditions	7.833333	0.007874	0.015204	3	2	5
Risk Assessment	74.16667	0.009259	0.023226	4	4	8
Regulatory Compliance	7.75	0.00641	0.042046	4	1	5
Training & Capacity Building	302.5643	0.011236	0.029019	4	8	12
Financial Resources	22.2619	0.008547	0.021548	2	4	6
Market access	0.5	0.005587	0.018096	2	1	3
Perceived benefits	72.02857	0.008475	0.028342	4	3	7
Gender	17.85714	0.009259	0.021237	1	2	3
Gender Sensitivity	0	0.007937	0.004671	0	3	3
Income	54.17857	0.006757	0.028899	2	1	3
Infrastructure	0.833333	0.00885	0.009607	1	3	4
Seasonality	0	0.008772	0.004671	0	4	4

490

2 B = Betweenness, C = Closeness, x = PageRank, K = total Degree, k-in = Degree in, k-out = degree out...

491

492 **Discussion**

493 In their meta-analysis, [39] [31] examined agricultural technology adoption in the developing 494 world, highlighting the prevalent use of survey data as the primary information source. These 495 surveys typically incorporate a wide range of predictor variables, including socio-economic 496 factors, resource availability, environmental conditions, and various other determinants that can 497 potentially impact the adoption of agricultural technologies, with the majority of analytical 498 methods being of a statistical nature. However, the intricacies of the adoption process become 499 apparent when considering the complex interplay of these predictor variables. It is our assertion 500 that agricultural technology adoption is not a straightforward, linear process. For instance, a 501 farmer's decision to adopt a specific technology may be influenced by various factors, such as 502 their financial status, access to information, and the level of support from agricultural extension 503 services. These factors can interact in nonlinear ways, posing challenges for predicting adoption 504 outcomes solely through statistical models. To tackle this complexity, we advocate for a more 505 comprehensive and systemic approach to the study of agricultural technology adoption. Such 506 an approach entails considering the broader context in which adoption occurs, recognizing the 507 dynamic interactions among various factors, and exploring nonlinear adoption patterns. We 508 construct a CLD that captures the intricacies of CSA technology adoption. This CLD not only 509 visually represents the dynamic system but also serves as a valuable tool for in-depth analysis, 510 improved understanding, and strategic planning in the field of CSA. We examined a wide range 511 of determinants and their interconnections; to provide a holistic understanding of the factors 512 influencing CSA technology adoption and mitigation. This section delves into the key findings, 513 their implications, and potential strategies for enhancing CSA adoption across the continent.

514

515 We identified several reinforcing loops within the system, emphasizing the potential for 516 positive feedback mechanisms in CSA technology adoption. For instance, investments in 517 training and capacity building can lead to increased technology adaptation and, in turn, higher 518 productivity. Recognizing these reinforcing loops can help stakeholders identify leverage points 519 for intervention and policy development. It is crucial to note that the success of CSA technology 520 adoption is context-specific, and a one-size-fits-all approach may not be effective. Tailored 521 interventions that consider the unique socio-economic, environmental, and cultural factors of 522 each adoption zone are essential. Collaboration among various stakeholders, including 523 governments, NGOs, research institutions, and local communities, is vital for developing 524 context-specific strategies that promote CSA technology adoption.

525

526 We further noticed that a supportive local context significantly influences the success and 527 effectiveness of technology implementation. This underscores the critical importance of 528 tailored strategies that take into account the unique characteristics and challenges present in 529 each adoption zone. To promote the adoption of CSA technologies, policymakers and 530 stakeholders must prioritize investments in infrastructure development and create an enabling 531 environment that fosters CSA technology adoption. In addition to the local context, our analysis 532 highlights the significance of other determinants, such as accessibility, mitigation, certification, 533 technology usefulness, education, technology costs, risk assessments, incentives, and local 534 context. Changes in these determinants can lead to substantial alterations in the interconnections 535 within the system, thus influencing the successful adoption of CSA technology. Therefore, a 536 comprehensive and adaptive approach to technology adoption that considers these determinants 537 is essential for ensuring the sustainable integration of CSA practices in African agriculture.

538

539 The analysis also highlights the significance of technology characteristics, including 540 performance, validity, and gender sensitivity [40], in driving technology usefulness. Ensuring 541 that CSA technologies meet the specific needs of local communities, particularly in terms of 542 gender inclusivity, is crucial for their successful adoption. Efforts to enhance technology 543 quality, accessibility, durability, and certification should be prioritized to improve overall 544 technology usefulness. Furthermore, scalability, accessibility, and reproducibility emerged as 545 important factors. CSA technologies that are scalable and easily accessible have a higher 546 likelihood of being adopted and integrated into agricultural systems. Promoting the widespread 547 availability and replicability of CSA solutions can lead to more significant mitigation outcomes. 548

549 The examination of the individual subsystems and their intricate web of causal relationships 550 offers a profound and nuanced comprehension of the multifaceted elements that collectively

551 facilitate the successful adoption of CSA technologies. It underscores the imperative need for a 552 holistic approach that extends beyond the technological facets alone. While the technology 553 itself is pivotal, this analysis emphasizes that a comprehensive strategy must encompass 554 regulatory frameworks, educational initiatives, and rigorous evaluation mechanisms to ensure 555 its efficacy. In essence, successful CSA technology adoption is not a unilateral process but an 556 ecosystem where each component, whether it be regulations that incentivize adoption, 557 educational programs that impart knowledge and skills, or evaluation mechanisms that provide 558 feedback and refinement, plays a pivotal role. It is the synergy and harmonious interaction of 559 these components that ultimately lead to the desired outcomes of CSA technology adoption. 560 This comprehensive understanding paves the way for the development of well-rounded and effective strategies that acknowledge and address the interplay of these diverse elements, 561 562 thereby enhancing the prospects of successful CSA technology adoption in the context of 563 climate resilience and sustainable agriculture

564

565 The in-depth exploration of socio-demographic factors within the context of CSA technology 566 adoption yields a profound recognition of the intricate and multifaceted nature of these 567 determinants. This analysis accentuates that the successful adoption of CSA technologies 568 transcends a one-size-fits-all approach and instead calls for a tailored strategy that 569 comprehensively addresses the diverse needs and characteristics of the farmer population. 570 Socio-demographic factors, including but not limited to age, gender, education, and socio-571 economic status, significantly influence the dynamics of technology adoption. Each 572 demographic group possesses its unique set of challenges, motivations, and barriers. 573 Recognizing these disparities is imperative for devising strategies that resonate with the target 574 audience. Furthermore, the active involvement of technology champions individuals who 575 champion the cause of CSA technology adoption within their communities emerges as a critical 576 catalyst in this process. These champions not only serve as role models but also bridge the gap 577 between technology providers and end-users. They possess an inherent understanding of the 578 local context, cultural nuances, and socio-economic realities, enabling them to effectively 579 communicate the benefits of CSA technologies and address concerns. Their presence fosters 580 trust, instills confidence, and empowers the farming community to embrace these innovations 581

582 The process of technology adoption and the spread of diseases, exemplified by the COVID-19 583 pandemic, reveal striking similarities in their intricate and interconnected dynamics [29]. In 584 both scenarios, a process of diffusion and propagation unfolds, where early adopters or initial 585 cases wield influence over others through diverse channels. This influence is amplified by 586 network effects, where a critical mass of adoption or infection can trigger positive feedback 587 loops, accelerating the overall process. Yet, both contexts also feature elements of resistance 588 and immunity that necessitate targeted strategies for surmounting barriers. Behavioral change 589 serves as a central pivot in both cases, as individuals and communities must adapt their actions, 590 underlining the importance of understanding the motivations and obstacles that drive such 591 changes.

592

593 In managing these complex systems, governments and policymakers wield significant influence 594 through the implementation of regulations, incentives, and resource allocation. Particularly, 595 incentives play a pivotal role in promoting technology adoption. These incentives, whether they 596 take the form of financial rewards, recognition-based programs, or improvements in working 597 conditions, act as powerful motivators, encouraging individuals and organizations to prioritize 598 and invest in adopting CSA technology. Furthermore, there is a notable parallel in the 599 mathematical foundations shared by epidemiological models for disease and adoption models 600 for technology. These commonalities facilitate forecasting and intervention planning, offering 601 valuable insights into how to navigate and manage complex systems effectively. Recognizing 602 these parallels underscores the importance of multidisciplinary approaches that draw from 603 various fields to address the challenges posed by both disease outbreaks and the adoption of 604 CSA technology, ultimately contributing to more informed and holistic strategies for managing 605 complex systems 606 607 608 609 **CRediT** authorship contribution statement 610 Ghislain T. Tepa-Yotto: Conceptualization, Investigation, Methodology, Funding acquisition, Writing – original draft. Bonoukpoé M. Sokame: Investigation, Methodology, Writing – review 611 612 & editing. Fidèle T. Moutouama: Investigation, Methodology, Writing - review & editing. 613 Cyriaque Agboton: Investigation, Methodology, Writing – review & editing. Jeannette K. 614 Winsou: Investigation, Methodology, Writing - review & editing. Henri E.Z. Tonnang: 615 Conceptualization, Methodology, Supervision, Writing - review & editing. 616

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622

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the largest sources of assistance for the world's 76 poorest countries, 39 of which are in Africa.
Annual IDA commitments have averaged about \$21 billion over circa 2017-2020, with
approximately 61 percent going to Africa.

633 Conflicts of Interest

634 The authors declare that they have no known competing financial interests or personal635 relationships that could have appeared to influence the work reported in this paper. The funders

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638

639 Data, materials, and software availability

640 Code and data have been deposited in <u>https://dmmg.icipe.org/</u>. All other data and links are

- 641 included in the manuscript
- 642
- 643

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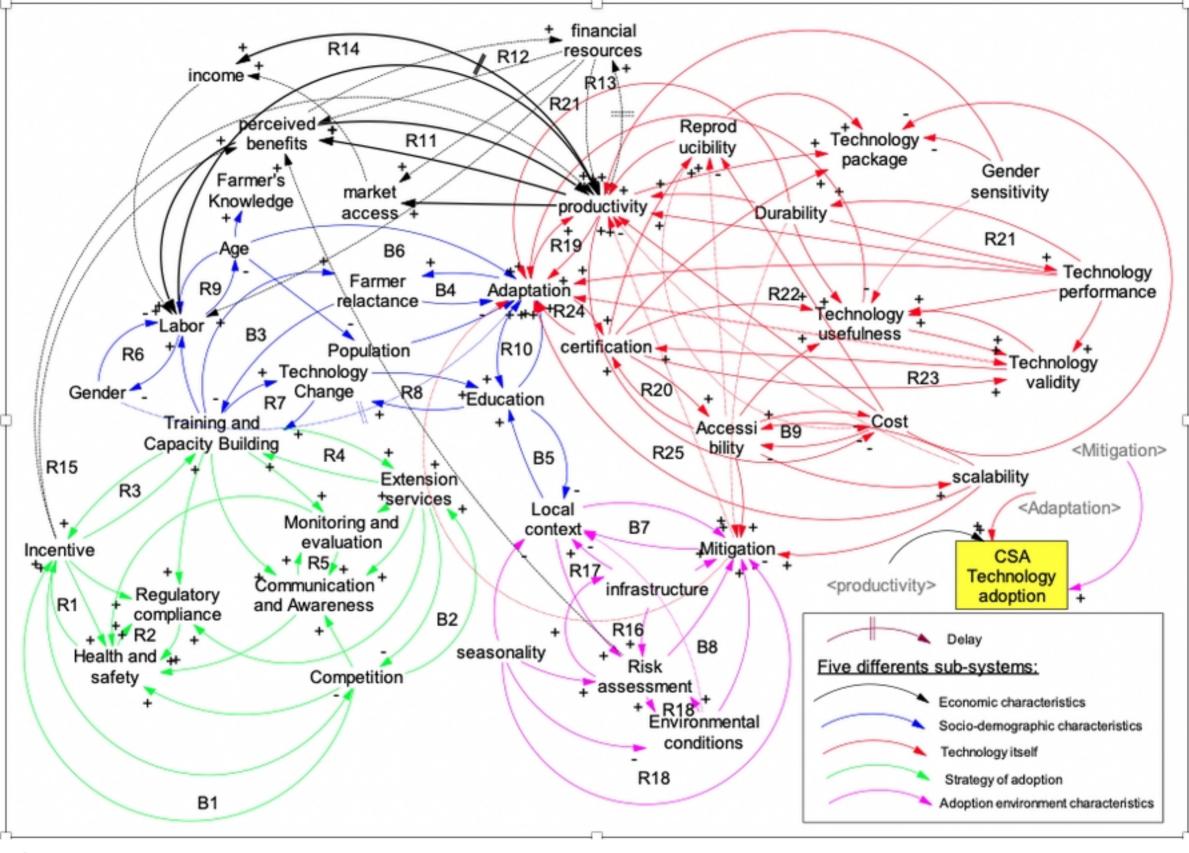
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Figure





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