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9 **Wave Scattering by an Isolated Cyclogeostrophic** 10 **Vortex**

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14 The propagation paths of oceanic internal tides are influenced by their interactions with
15 vortices. We examine the scattering effect that an isolated vortex in (cyclo)geostrophic
16 balance has on a rotating shallow-water plane wave. We run a suite of simulations in which
17 we vary the non-dimensional vorticity of the vortex, Ro , the relative scale of the vortex size
18 to the Rossby radius of deformation, Bu , and the size of the vortex compared to the plane
19 wave wavelength, K . We compare the scattered wave flux pattern to ray-tracing predictions.
20 Ray tracing predictions are relatively insensitive to K in the $1 < K < 4$ range we investigate;
21 however, they generally underestimate the broad angles of the shallow-water wave scattering
22 patterns, especially for the lower end of the K range. We then measure the ratio of the scattered
23 wave energy flux to the incoming wave energy flux, denoted as S for each simulation. We
24 find that S follows a power law $S \propto (FrK)^2$ when $S < 0.2$, where $Fr = Ro/\sqrt{Bu}$ is the
25 Froude number. When $S > 0.2$, it starts plateauing.

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26 1. Introduction

27 When the barotropic tide oscillates over the bathymetry of the ocean, it creates internal
28 tides (ITs). These are internal waves that oscillate at or near the generating tidal frequencies
29 (Garrett & Kunze 2007). Of the 4 TW that are injected into the ocean by astronomical forcing,
30 approximately 2.4 TW are transferred to ITs (Egbert & Ray 2003). Most of their energy is
31 lost to turbulent mixing at the generation sites, while about 10-40% propagate away (Egbert
32 & Ray 2000). Low modes can propagate thousands of kilometres, making the details of their
33 horizontal propagation critical to determining where they will eventually dissipate (Zhao
34 *et al.* 2016). This makes them an essential aspect for forecasting climate and tuning general
35 circulation models (de Lavergne *et al.* 2019).

36 Unlike the barotropic tide, which oscillates in phase with astronomical forcing, IT features
37 are more susceptible to evolve as a result of changing ocean conditions throughout its
38 propagation (Nash *et al.* 2012). These changes include evolving local stratification, and, of
39 note for this study, eddies. At mid-latitudes, mesoscale eddies (~ 100 km wide) are well
40 described by quasi-geostrophic models. These are flows with negligible advective effects,
41 and whose dynamic evolution is dominantly characterised by a balance between Coriolis and
42 pressure forces, which leads us to hereafter refer to these flows as ‘balanced’. They feature
43 small Rossby numbers $Ro = U/(Lf)$, where U and L are characteristic eddy velocity and
44 length scales, respectively, and f is the local Coriolis parameter.

45 Advances in satellite altimetry in the 1990s, starting with the TOPEX/Poseidon mission,
46 provided the first global visualisations of large-scale currents and of the mesoscale eddy
47 field (Fu *et al.* 1994). This allowed Rainville & Pinkel (2006) to calculate the propagation
48 paths of mode-1 to mode-5 ITs using ray-tracing. They also show that higher modes are more
49 susceptible to phase shifts by the balanced flow, causing an apparent loss in IT energy when
50 measured by harmonically filtering narrow bands around the tidal frequencies. However, ray

51 tracing assumes that the IT horizontal wavelength λ is small compared to the length scale of
52 variations in the eddy velocity L . Mesoscale eddies usually have length scales smaller than
53 the largest mode-1 semi-diurnal tides at mid-latitudes, but are typically larger than higher IT
54 modes. As such, ray tracing is effective only for higher modes in principle, but is often used
55 when length scales are similar. Chavanne *et al.* (2010) used 3D ray tracing to model wave
56 propagation of an IT with a 50 km wavelength through a 55 km vortex inspired by a vortex
57 near the Hawaiian ridge. They showed that even near generation sites, the IT can become
58 very incoherent, that is, it can develop significant and time-evolving phase shifts with the
59 astronomical forcing. They also showed that IT energy could be amplified up to a factor of
60 15 in the core of the vortex.

61 New remote sensing satellites, such as the Surface Water and Ocean Topography (SWOT)
62 mission (Morrow *et al.* 2019) resolve scales up to a few tens of kilometres. The increased
63 resolution should enable us to observe higher Rossby numbers and shorter IT wavelengths,
64 prompting researchers to use new techniques to further refine the mapping of ITs that do
65 not use the ray tracing assumption. One such technique is the kinetic equation developed
66 in Savva & Vanneste (2018), Kafiabad *et al.* (2019) and Savva *et al.* (2021) that models
67 the redistribution of inertia-gravity wave energy in position-wavenumber phase space when
68 embedded in quasi-geostrophic turbulence. This method, however, requires a small Rossby
69 number. A powerful deterministic method that does not assume length scale separation is
70 triad resonance theory. Ward & Dewar (2010) used **triad resonance theory** to describe the
71 **evolution of a wave mode embedded in a balanced flow** in the one-layer rotating shallow-
72 water equations (RSWEs). In this interaction, the balanced flow provides a pathway for
73 the waves to exchange energy with other waves of constant frequency. This method clearly
74 illustrates how the advection term couples the balanced mode and wave mode to force the
75 linear equations of motion at resonant wave modes. This so-called ‘catalytic interaction’ of

76 a potential vorticity mode (i.e., a mode whose features can theoretically be entirely derived
77 from potential vorticity inversion) and two wave modes was first described in Lelong &
78 Riley (1991) and later in Bartello (1995). However, as the Rossby number increases and
79 the duration of the scattering process increases, near-resonant triads and higher-order non-
80 linearities become increasingly significant, and thus, a solution that only considers resonant
81 triads becomes increasingly inaccurate.

82 In this article, we model the interaction between an isolated balanced cyclogeostrophic
83 vortex and a Poincaré wave by numerically solving the single-layer RSWEs. Indeed, in
84 isolation, any internal tide mode of a stratified, rotating fluid obeys a set of RSWEs, with
85 the parameters appropriately redefined (e.g., Vallis 2017, § 3.4). This allows us to explore
86 the parameter space spanned by Rossby numbers that range from very small to $O(1)$ values,
87 vortex scales that widely straddle the Rossby radius of deformation, and Poincaré wavelengths
88 that are four times smaller than the vortex scale to as large as the vortex. We first qualitatively
89 compare the scattered wave flux to ray-tracing predictions. We then calculate the amount of
90 energy that is transferred from the incoming wave to the scattered waves for each simulation
91 and then find the scaling relations given the wave and vortex parameters. These interactions
92 are expected to be ubiquitous in the ocean, with applications for diagnosing processes in
93 global circulation models and satellite altimetry data.

94 2. Methods

95 2.1. Physical and mathematical setup

96 Here, we describe our equations and the processes we model, which we summarise in figure 1.

We solve the RSWEs on a square domain of side length L_x , with which we associate a Cartesian coordinate system (x, y) centred in the middle of the domain. The layer is under gravitational acceleration g , has depth at rest H , and rotates as an f -plane. These parameters

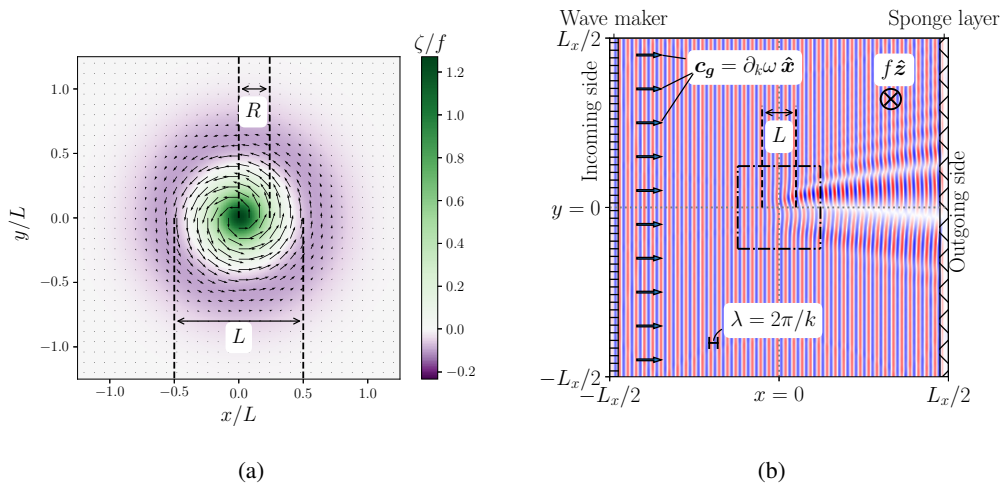


Figure 1: Setup for the simulation with parameters $\text{Ro}_\zeta = -1.27$, $\text{Bu} = 1.76$, $K = 3.0$. (a)

Normalised vorticity field for an isolated anticyclonic cyclogeostrophic vortex. Black arrows represent the vortex velocity vectors. (b) Height field for a Poincaré wave that is forced from the left side of the domain and interacts with the isolated vortex pictured in (a). The black dash-dotted square in (b) aligns with the bounds of panel (a).

define a non-rotating speed $c_0 = \sqrt{gH}$ and a Rossby radius of deformation $L_d = c_0/f$. The forced-dissipated one-layer RSWEs are

$$\partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u} + g \nabla h + f \hat{\mathbf{z}} \times \mathbf{u} - \mu \nabla^4 \mathbf{u} = \mathbf{F}_w(x, t) + \mathbf{S}_w(x) \quad \text{and} \quad (2.1a)$$

$$\partial_t h + h \nabla \cdot \mathbf{u} + \mathbf{u} \cdot \nabla h - \mu \nabla^4 h = F_h(x, t) + S_h(x), \quad (2.1b)$$

97 where $\mathbf{u} = (u, v)$ is the horizontal velocity field, $\nabla = (\partial_x, \partial_y)$ is the horizontal del operator,
 98 μ is the kinematic hyperviscosity (utilised only to provide numerical stability), and h is the
 99 height of the total water column. The terms \mathbf{F}_w , \mathbf{S}_w , F_h and S_h on the right-hand sides are
 100 wave forcing and sponge layer terms, which we describe in more detail later.

101 Our initial condition consists of an axisymmetric circular vortex centred at the origin of
 102 the domain. We achieve this through a three-step process. (i) First, we create a Gaussian

103 vortex in geostrophic balance following

$$104 \quad \left[u_{\Theta}^{(0)}, h_{\Theta}^{(0)} \right] = \left[\pi^2 f r, \frac{H}{Bu_0} \right] Ro \exp \left(-\frac{r^2}{2(L/\pi)^2} \right), \quad (2.2)$$

105 where $u_{\Theta}^{(0)}$ and $h_{\Theta}^{(0)}$ are the initial tangential velocity and height fields of this vortex,
 106 L its characteristic width, $Bu_0 = (L_d/L)^2$ is the Burger number, and r is the distance
 107 from the centre of the vortex. While Eq. (2.2) is a relatively good approximation for a
 108 quasi-geostrophic vortex, water parcels in a vortex with higher Ro experience a significant
 109 centrifugal acceleration, which modifies the balance. Applying the iterative method of Penven
 110 *et al.* (2014), which we detail in Appendix A, to Eq. (2.2) yields velocity fields $u_{\Theta}^{(1)}$ and $h_{\Theta}^{(1)}$
 111 that are one step closer to achieving cyclogeostrophic balance. We then use these velocity
 112 and height fields as initial conditions for an unforced RSWE simulation. After a transitory
 113 adjustment in the form of waves radiating from the vortex and being dissipated by additional
 114 sponge layers (see Appendix B), and a rearrangement of the water parcels, a stationary vortex
 115 remains. Finally, we save the velocity and height fields $u_{\Theta}^{(2)}$ and $h_{\Theta}^{(2)}$ to be used later as initial
 116 conditions for our forced simulations. We repeat this procedure for as many initial vortices
 117 as we need. For all simulations, $L = 25$ km and $f = -10^{-4} \text{ s}^{-1}$. Note that at the end of this
 118 procedure, the vortex has departed from the purely Gaussian shape of Eq. (2.2), especially
 119 for high Rossby and Burger numbers, for which the adjustment is the strongest.

120 The adjusted vortex length is defined as $L_a = \pi R$, where R is the radius of the maximum
 121 tangential velocity $U_q = u_{\Theta}^{(2)}(R)$, as shown in figure 1a. We define its vorticity Rossby
 122 number and bulk Rossby number as

$$123 \quad Ro_{\zeta} = \frac{\zeta}{f} \Big|_{x=y=0} \quad \text{and} \quad Ro_b = \frac{U_q}{L_a f}, \quad (2.3)$$

124 respectively, where $\zeta = \partial_x v - \partial_y u$ is the vertical vorticity (note that at this point, no other
 125 form of motion is present in the domain).

126 The resultant azimuthal velocity and vorticity profiles are shown in figure 2. For a

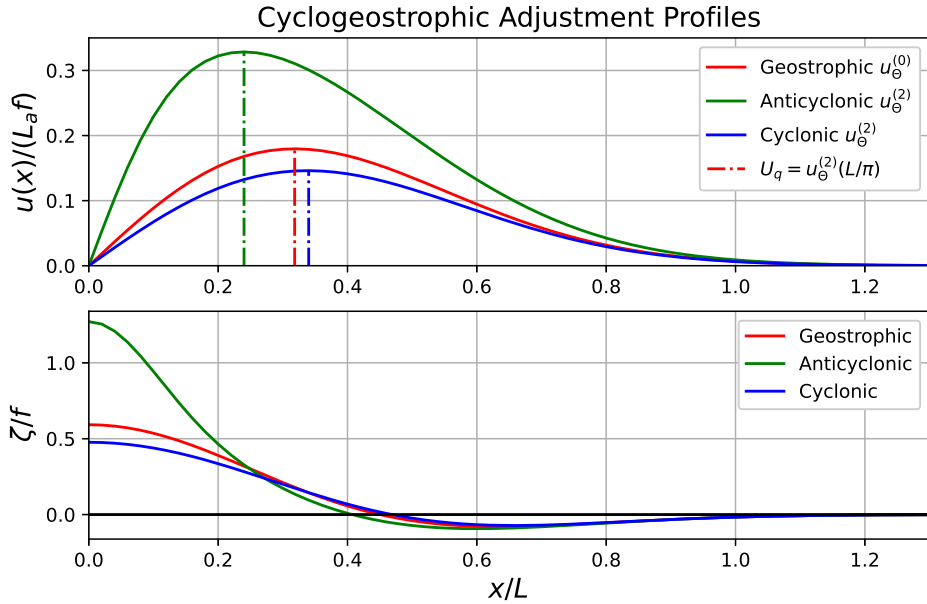


Figure 2: Azimuthal velocity profiles of a pair of cyclonic (solid blue) and anticyclonic (solid green) vortices that originally started from the same geostrophically-balanced velocity profile (solid red) with bulk Rossby number $Ro_b = 0.18$. The final normalised velocity profiles are shown in the upper figure, and the normalised vorticity profiles are shown in the lower figure. The vertical dashed-dotted lines correspond to the position $x = R$, where velocity is maximum. The anticyclonic profiles are flipped over the x -axis to easily compare with the cyclonic profiles.

127 given value of Ro_b , cyclogeostrophic balance makes the cyclonic vortices wider than their
 128 geostrophic counterparts. For a cyclonic vortex in the southern hemisphere, the inward
 129 pressure gradient must balance not only the outward Coriolis force, but also the centrifugal
 130 force. Thus, a decrease in velocities near the initialised geostrophic value of U_q is needed to
 131 achieve balance, leading to a wider shape. On the other hand, for anticyclonic vortices, the
 132 centrifugal force and pressure gradient are outward and balance the inward Coriolis force.
 133 Thus, the velocity increases, leading to a narrower profile (Shakespeare 2016).

134 In order to capture this cyclonic/anticyclonic asymmetry in the cyclogeostrophic vorticity

135 distributions, which the bulk Rossby number misses, we also measure the enstrophy, ε , of
 136 each vortex, defined below as the integral of the square of the vorticity,

$$137 \quad \varepsilon' = \iint \zeta^2 \, dx \, dy. \quad (2.4)$$

138 Enstrophy is a convenient method for measuring the strength of the vortex for two reasons.
 139 First, the vorticity is the most relevant quantity for scattering. This is expected from ray-
 140 tracing theory, which predicts that at leading order in vortex velocity U , the vortical part
 141 of the mean flow will rotate the wave vector \mathbf{k} , while the divergent part will only affect
 142 the ray paths at a higher order (Bühler 2014, § 4.4.3). This rotation of the wave vector is
 143 the main form of scattering that we expect in our experiments. This is consistent with **triad**
 144 **resonance theory**, which dictates that the dominant triad interaction between the vortex and
 145 the wave flow produces a discrete rotation of the wave vector. Second, enstrophy integrates
 146 the vorticity over the whole domain and therefore captures some of the information about
 147 the spatial structure of the anticyclonic and cyclonic profiles created after cyclogeostrophic
 148 adjustment. We non-dimensionalise enstrophy with $\varepsilon = \varepsilon' / (L_a^2 f^2)$.

149 We then generate a plane wave on the boundary at $x = -L_x/2$, hereafter referred to as the
 150 “incoming side”. It propagates along x with wavenumber $\mathbf{k}_i = (2\pi\lambda^{-1}, 0)$, where λ is the
 151 wavelength, and frequency $\omega_0 = \sqrt{f^2 + c_0^2 k_i^2}$ with corresponding period $P = 2\pi\omega_0^{-1}$. We
 152 generate this wave via the forcing terms

$$153 \quad \mathbf{F}_w = \tau_w^{-1} (\mathbf{U}_w - \mathbf{u}) \Pi_w \quad \text{and} \quad F_h = \tau_w^{-1} (H_w - h) \Pi_w, \quad (2.5)$$

154 which first appeared in Eqs. (2.1), where $\tau_w = P$ is the wave restoration time scale. In these
 155 forcing terms, the fields (\mathbf{u}, h) are restored to values (\mathbf{U}_w, H_w) that satisfy the polarisation
 156 relations for Poincaré waves (see Appendix C), that is,

$$157 \quad \mathbf{U}_w = Fr_w c_0 \left(1, \frac{\omega_0}{f} \right) \cos(|\mathbf{k}_i|x - \omega_0 t) \quad \text{and} \quad H_w = \frac{|\mathbf{k}_i|H}{f} \sin(|\mathbf{k}_i|x - \omega_0 t), \quad (2.6)$$

158 where $Fr_w = U_w/c_0$ is the wave Froude number, which we keep small throughout this article

159 to keep the waves linear. This forcing occurs over a limited spatial window along x , following

$$160 \quad \Pi_w = \Pi(x, -L_x/2), \quad (2.7)$$

161 where $\Pi(x, x_0)$ is a Tukey window that we detail in Appendix B.

162 At the boundary $x = +L_x/2$, hereafter referred to as the “outgoing side”, a sponge layer
163 absorbs waves through the sponge terms

$$164 \quad \mathbf{S}_w = -\tau_s^{-1} \mathbf{u} \Pi_s \quad \text{and} \quad S_h = \tau_s^{-1} (H - h) \Pi_s, \quad \text{where} \quad \Pi_s = \Pi[x, L_x/2 - \lambda], \quad (2.8)$$

165 and $\tau_s = 0.05P$ is the sponge restoration time scale. We verified that the vortex remains
166 unaffected by the wave: for our purposes, it does not move, deform, lose, or gain energy in
167 any detectable manner. The result is a time-independent scattering amplitude pattern induced
168 by the vortex shown in figure 1b.

169 *2.2. Numerical setup and experimental design*

170 We use Dedalus (Burns *et al.* 2020) to solve the RSWEs spectrally with periodic boundaries
171 in the horizontal directions. We use 512 points in each direction with a uniform spacing of
172 $dx = L/50$. The time step is determined by the vortex strength using the **Courant-Friedrichs-**
173 **Lewy** condition $dt < 10^{-2} dx / |U_q|$. The simulation time for each experiment is $t_s = 4t_T/3$,
174 where $t_T = L_x k / \omega_0$ is the transit time of the wave phase across the domain. In practice,
175 the phase and group speeds of the incoming waves are similar in magnitude, and thus t_T is
176 sufficient time for the wave packets to reach the other side of the domain.

177 To initialise the simulations, we define the unadjusted ratio of the vortex length scale to the
178 wavelength of the incoming wavelength $K_0 = L/\lambda$, which we vary in the range $1 \leq K_0 \leq 4$. In
179 doing so, we test the consequences of violating the traditional ray-tracing assumption, which
180 requires $K_0 \gg 1$. Similarly, we initialise the unadjusted Burger number as $Bu_0 = (L_d/L)^2$
181 from 0.5 to 1.5. McWilliams (2016) noted that the size of realistic vortices is around the radius
182 of deformation L_d . However, we find that they are stable at various scales and explore multiple

Parameter	Anticyclonic	Cyclonic
Ro_ζ	-1.27, -0.54, -0.22, -0.13	0.18, 0.47, 0.60, 0.89
$Ro_b (\times 100)$	-10.46, -5.07, -2.16, -1.19	1.80, 4.65, 5.98, 7.96
$\varepsilon (\times 100)$	19.02, 4.39, 0.80, 0.24	0.55, 3.71, 6.12, 11.01
$Bu(L_a/L)^2 = Bu_0$	0.5, 0.9, 1.0, 1.1, 1.5	0.5, 0.9, 1.0, 1.1, 1.5
$K(L_a/L)^{-1} = K_0$	1, 1.5, 2, 3, 4	1, 1.5, 2, 3, 4

Table 1: Simulation parameters shown as initialisation before adjustments are made.

183 regimes for completeness. Due to the different adjustment processes between cyclonic and
184 anticyclonic vortices, for a given initial L , the adjusted length scale ratio $K = L_a/\lambda$ is not
185 the same for the cyclonic and anticyclonic simulations. In the end, K ranges from 0.5 to 4.5,
186 and similarly, the adjusted Burger numbers $Bu = (L_d/L_a)^2$ range between 0.43 to 2.6. We
187 use vortices whose values for Ro_ζ vary from -1.27 to 0.89. We keep $Fr_w < 10^{-3}$ for all
188 simulations to avoid non-linear steepening and wave-wave interactions between the different
189 components of the incoming and scattered waves. Our suite of simulations consists of all
190 combinations of the Rossby numbers, Burger numbers, and length scale ratios shown in table
191 1, resulting in a total of 200 simulations.

192 2.3. Diagnostics

193 In this section, we show how to extract the scattered wave fields from the simulation outputs.
194 We then demonstrate how to calculate the phase-averaged flux and outline the process for
195 calculating the ratio of wave energy scattered by the vortex.

196 Because the vortex does not evolve during the course of our simulations, we extract the
197 wave field (\mathbf{u}_w, h_w) simply by subtracting the initial conditions from the simulation output,
198 that is, $\mathbf{u}_w = \mathbf{u} - \mathbf{u}_\Theta^{(2)}$ and $h_w = h - h_\Theta^{(2)}$. After the wave has reached the sponge layer, the

199 sub-domain defined by a square of length $4L$ centred at the origin will have a wave field
 200 pattern that is constant in time if averaged over one period P . We define the phase-averaged
 201 energy flux density with

$$202 \quad \phi_X = \frac{1}{2} c_0^2 \mathbf{u}_X \eta_X \quad \text{and} \quad \bar{\phi}_X = \frac{1}{P} \left| \int_{t_p}^{t_p+P} \phi_X dt \right|, \quad (2.9)$$

203 where $\eta_X = h_X - H$ and $t_p > 0.9t_T$, which ensures the wave has propagated past the vortex
 204 but has not yet wrapped around the periodic boundaries. The subscript X denotes which field
 205 is used. For example, $X = w$ denotes the phase-averaged total wave flux $\bar{\phi}_w$, which we show
 206 in figure 3a for a typical total wave field.

207 To isolate only the flux of the scattered waves $\bar{\phi}_s$ shown in figure 3b, we take a 2D Fourier
 208 transform of u_w , v_w , and h_w and cancel the amplitudes of the Fourier modes whose wave
 209 vectors are parallel to the incoming wave vector \mathbf{k}_i . We then take an inverse Fourier transform
 210 to obtain u_s , v_s , and h_s , which we use to calculate $\bar{\phi}_s$ using equation (2.9).

211 To calculate the ratio of scattered wave flux to incoming wave flux, we define the control
 212 volume shown in figure 3, which is made up of four boundaries located away from the vortex.
 213 The incoming boundary is placed at $x/L = -2$, spans $-2 \leq y/L \leq 2$, and has unit normal
 214 vector $\mathbf{n}_{in} = (-1, 0)$. Given that we observe the backscatter to be negligible, all of the energy
 215 enters through this boundary. We define the outgoing boundary as a semicircle in the $x > 0$
 216 half-plane, centred around the origin, of radius $x/L = 2$, where virtually all of the energy
 217 exits. We denote \mathbf{n}_{out} as the unit vector normal to this boundary. There is virtually no energy
 218 moving through the top and bottom boundaries shown in dashed blue lines.

219 The total incoming and scattered fluxes are then

$$220 \quad \Phi_{in} = \int \bar{\phi}_w \cdot \mathbf{n}_{in} ds \quad \text{and} \quad \Phi_s = \int \bar{\phi}_s \cdot \mathbf{n}_{out} ds, \quad (2.10)$$

221 integrated along the incoming and outgoing boundaries, respectively. To compare how much

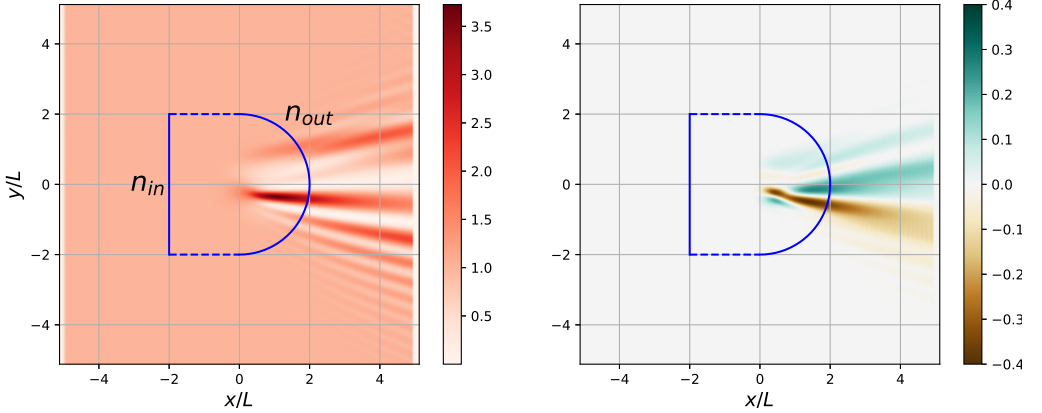
(a) Full wave field $\bar{\phi}_w$.(b) y component of $\bar{\phi}_s$.

Figure 3: Phase-averaged wave flux for $(Ro_L, Bu, K) = (0.60, 0.44, 4.3)$. The solid blue lines in the control volume are used to calculate the ratio S of the scattered wave flux to the incoming wave flux. The vector \mathbf{n}_{in} (\mathbf{n}_{out}) is the unit vector associated with the incoming (outgoing) boundary.

222 energy is scattered between simulations, we define the scattering ratio as

$$223 \quad S = \Phi_s / \Phi_{in}. \quad (2.11)$$

224 While this definition excludes the energy in the waves which have scattered back into the
 225 incoming direction due to the summation of wavevector rotations, the amount of energy in
 226 these waves is likely to be small compared to the total scattered energy. Note that since the
 227 vortex does not evolve in time, triad resonance theory implies that the scattered waves remain
 228 at the same frequency as in the incoming wave, and thus wave action and wave energy can be
 229 related by a constant (Kafiabad *et al.* 2019). We will calculate this quantity for all simulations
 230 in the next section, and use scaling laws to draw a relation from the non-dimensional variables
 231 to S .

232 3. Results

233 3.1. Scattering Pattern

234 The pattern of the wave flux density magnitude $|\bar{\phi}_w|$, shown in figure 3a, consists of an
 235 alternating ‘constructive/destructive’ interference pattern in the $x > 0$, $y < 0$ quadrant,
 236 with the strongest flux values to be found near the exit of the vortex centre. In the $x > 0$,
 237 $y > 0$ quadrant, there is a less well-defined scattering pattern. This qualitatively matches the
 238 alternating flux pattern of Dunphy & Lamb (2014) for a barotropic vortex. We see that there
 239 are regions on the outgoing side of the vortex where the flux has dropped to near-zero and
 240 regions where the flux is more than three times that of the incoming wave.

241 To explain these features, we show the y -component of the scattered wave flux density, $\bar{\phi}_s \cdot \hat{y}$,
 242 in figure 3b. Indeed, isolating the scattered part of the wave field eliminates the distracting
 243 interference pattern with the unscattered wave. The y -component helps us distinguishing
 244 three scattered beams. The first one, hereafter referred to as the central scattered beam
 245 (CSB), crosses the centre of the vortex. In the cyclonic case presented in figure 3, this beam
 246 is characterised by $\bar{\phi}_s \cdot \hat{y} < 0$. The other two beams emanate from the flanks of the vortex and
 247 have $\bar{\phi}_s \cdot \hat{y} > 0$. We hereafter refer to these beams as right and left scattered beams (RSB and
 248 LSB, respectively), in reference to whether they approach the left or right flank of the vortex
 249 with respect to the direction of incident wave propagation.

250 We can now interpret that the region where we see a maximum in $|\bar{\phi}_s|$ is where constructive
 251 interference between the RSB and the CSB takes place. The regions where we find zero flux
 252 are created by the RSB and CSB destructively interfering. In experiments with strong vortices,
 253 we find lines of destructive interference due to a 180° phase difference between BSB and
 254 CSB.

255 We claim that the scattering direction is mostly controlled by the vorticity. In our
 256 simulations, the Coriolis parameter is negative, so the negative vorticity in the centre of the

257 cyclonic vortex produces the CSB, whose dominant wavevector rotates clockwise with respect
 258 to the incoming wavevector, and the opposite-sign vorticity region on the outside (recall
 259 figure 1a) produces the LSB and RSB, whose wavevector rotates anti-clockwise. Similarly,
 260 an anticyclonic vortex produces a CSB whose dominant wavevector rotates anticlockwise, and
 261 produces the LSB and RSB whose wavevectors rotates clockwise. To support this claim, we
 262 now compare this pattern with the predictions from ray-tracing equations, which we recall
 263 in Appendix D, for an anticyclonic and cyclonic vortex of similar $|Ro_\zeta|$ and two different
 264 values of K .

265 Figure 4 shows that the rays which are initialised to only propagate through the pos-
 266 itive/negative vorticity on the vortex edges are rotated anticlockwise/clockwise. The rays
 267 which travel through the centre are predominately rotated with the sign of the central
 268 vorticity. Thus ray tracing captures the “anticlockwise/clockwise/anticlockwise” scattered
 269 beam pattern for cyclonic vortices and the “clockwise/anticlockwise/clockwise” pattern for
 270 anticyclonic vortices. There are small differences in the ray tracing results when we compare
 271 cyclonic and anticyclonic vortices that are more than just a flip over the $y = 0$ axis for two
 272 reasons. First, anticyclonic vortices are “slimmer” (vorticity is more concentrated near the
 273 centre, over a shorter radius) compared to cyclonic vortices. Second, the refractive effects
 274 due to the height field in the term $d\omega/dx$ in equation (D 1) differ between cyclonic and
 275 anticyclonic vortices. Indeed, an anticyclonic vortex centre rises above the mean depth, and
 276 since the group speed,

$$277 \quad c_g = \frac{ghk}{(f^2 + ghk^2)^{1/2}}, \quad (3.1)$$

278 increases with depth, the waves travel faster through the centre of the vortex, and thus the
 279 height effects make the waves curve away from the centre line $y = 0$. Oppositely, cyclonic
 280 vortex centres dip below the mean depth, thus height effects make waves curve towards the

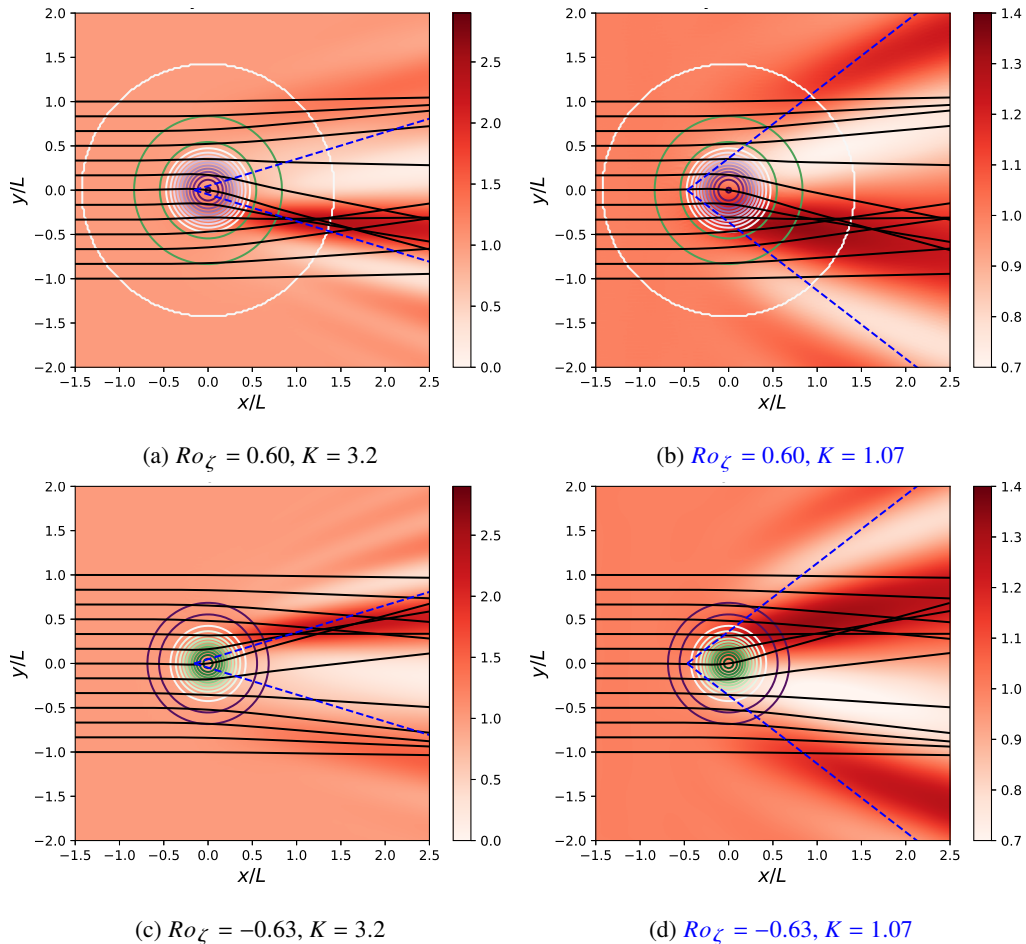


Figure 4: Full flux field $\bar{\phi}_w$ for two similar but opposite-signed Ro_ζ and two wavelengths, see sub-captions. $Bu = 0.88$ in all cases. Ray-tracing lines are in black. The green and purple contours correspond to the colourbar shown in figure 1a. The two dashed blue lines represent the primary scattering angle predicted by triad resonance theory.

281 centre line. We checked that this effect is an order of magnitude smaller than the vorticity
 282 effect for balanced vortices.

283 The exact location where the rays converge aligns more closely with constructive inter-
 284 ferences between CSB, LSB, and RSB, for $K = 3.2$ as opposed to $K = 1.07$. Note that the
 285 ray tracing predictions do not vary much for the range of K explored. Figure 4 reveals that
 286 the most striking limitation of ray tracing is that it does not capture the broad angles of

287 scattering, as can be seen from the interference pattern created by the incoming wave and
 288 scattered waves.

289 The **triad resonance theory** formalism of Ward & Dewar (2010) can be used to predict the
 290 principal scattering angle, θ_p , that is, the angle made between the incoming wave with wave
 291 vector \mathbf{k}_i , and the scattered wave \mathbf{k}_s , which is determined by the main length scale in the
 292 vortex $\mathbf{k}_v = 2\pi/L_a$. Assuming $|k_i| = |k_s|$ the principal angle can be calculated as a function
 293 of K as

$$294 \quad \theta_p = 2 \arcsin((2K)^{-1}). \quad (3.2)$$

295 This implies that the angle of scattering would increase for smaller K . For $K = 1.07$, triad
 296 resonance predicts that if there was only one balanced length scale L_a , the angle of scattering
 297 would be 65° , which is more than what we measure in our experiments as shown in figure 4.
 298 We expect the discrepancy to be due to the multiple length scales and spatial variations of
 299 the vorticity field experienced by the part of the plane wave passing through the centre. Thus,
 300 even in this simple case, the principal scattering angle is not enough to describe this pattern.
 301 Moreover, non-resonant, higher-order interactions would not be captured by **triad resonance**
 302 **theory**. Thus, neither ray tracing nor triad resonances easily predict the exact nature of the
 303 scattering pattern in this simple set-up.

304 *3.2. Scattering Statistics*

305 We now summarise the relationship between the scattered ratio S on the non-dimensional
 306 numbers Bu , K , as well as one of the three vortex strength metrics Ro_b , Ro_ζ , ε . Visual
 307 inspection reveals that for small values of the non-dimensional parameters, the scattering
 308 ratio follows power law relations, while for large values, the scattering ratio approaches a
 309 maximum of 100% conversion. **The latter is similar to Dunphy & Lamb (2014), who carefully**
 310 **checked that their Boussinesq eddies did not exchange net energy with the waves.** Therefore,

	A	α	β	γ
Anticyclonic	10.67 ± 0.19	2.13 ± 0.01	-0.98 ± 0.01	2.10 ± 0.01
Cyclonic	5.35 ± 0.21	1.94 ± 0.01	-1.13 ± 0.01	2.10 ± 0.01
Combined	9.78 ± 0.59	2.10 ± 0.02	-0.99 ± 0.01	2.02 ± 0.02

Table 2: Optimisation parameters and their standard deviations for equation (3.3).

311 we propose to use an arctan relationship that is linear near the origin, and tends to a positive
 312 constant towards infinity. We considered several functions, none of which demonstrated
 313 superior performance as demonstrated in Appendix E, and settled on

$$314 \quad S_Z^\theta = \frac{2}{\pi} \arctan(AZ^\alpha Bu^\beta K^\gamma), \quad (3.3)$$

315 where the superscript θ denotes the optimised fit, $Z \in \{|Ro_b|, |Ro_\zeta|, \varepsilon\}$ is a placeholder
 316 for the three metrics of vorticity we will test, and where A , α , β and γ are the optimisation
 317 parameters. To find them, we fit the cyclonic experiments separately from the anticyclonic
 318 experiments, and in parallel, for comparison, we fit both datasets together, hereafter referred to
 319 as the “combined case”. We use the least squares method to find the optimisation parameters
 320 using $Z = |Ro_b|$ which we show in table 2. We find that all the optimisation parameters
 321 have small errors, indicating that our fitting function is appropriate. The combined case is
 322 plotted in figure 5, where we have re-scaled the data based on the fit parameters. We see that
 323 anticyclonic vortices scatter energy at a slightly higher rate, as noted by the data points being
 324 slightly above the line of perfect fit, and as confirmed by table 2. However, the distinction is
 325 too small to conclusively claim that this is physical. Thus, we hereafter focus on the combined
 326 cases.

327 We now redo the optimisation using the enstrophy ε and the vorticity Rossby number
 328 Ro_ζ in addition to the bulk Rossby number Ro_b . The optimisation parameters for the three

	A	α	β	γ
Ro_b	9.78 ± 0.59	2.10 ± 0.02	-0.99 ± 0.01	2.02 ± 0.02
Ro_ζ	0.057 ± 0.001	1.77 ± 0.02	-1.02 ± 0.01	2.05 ± 0.02
ε	0.47 ± 0.02	1.03 ± 0.01	-0.98 ± 0.01	2.02 ± 0.02

Table 3: Optimisation parameters using three different vortex strength metrics in place of the bulk Rossby number in equation (3.3).

329 vortex strength metrics are shown in table 3. Figure 5 shows the three combined fits scaled by
 330 their respective parameters. They appear to be approximately equivalent; however, if we plot
 331 the same data on a logarithmic scale (figure 6), we observe that using the vorticity Rossby
 332 number Ro_ζ is not as effective as using enstrophy ε or bulk Rossby number Ro_b , which
 333 yield closer fits to data points. Both seem to result in round number scaling for α as well,
 334 with $\alpha \approx 2$ if $Z = Ro_b$, or $\alpha \approx 1$ if $Z = \varepsilon$. No matter which measure of vortex strength we
 335 use, we find that $\beta \approx -1$ and $\gamma \approx 2$. Simplifying the dependencies of S further, notice that

$$336 \quad Ro_b / \sqrt{Bu} = U / \sqrt{gH} = U / c_0 = Fr, \quad (3.4)$$

337 where the last number is the Froude number.

338 Collecting these approximations, we find that for small values of our non-dimensional
 339 parameters, equation (3.3) simplifies into

$$340 \quad S \approx 5Fr^2K^2, \quad (3.5)$$

341 which we find to be reasonably accurate up to $S \approx 0.2$ (see figure 7). This simplified equation
 342 breaks down the scattering into a ratio of velocities multiplied by the ratio of length scales.

343

344

3.3. Scaling Interpretation

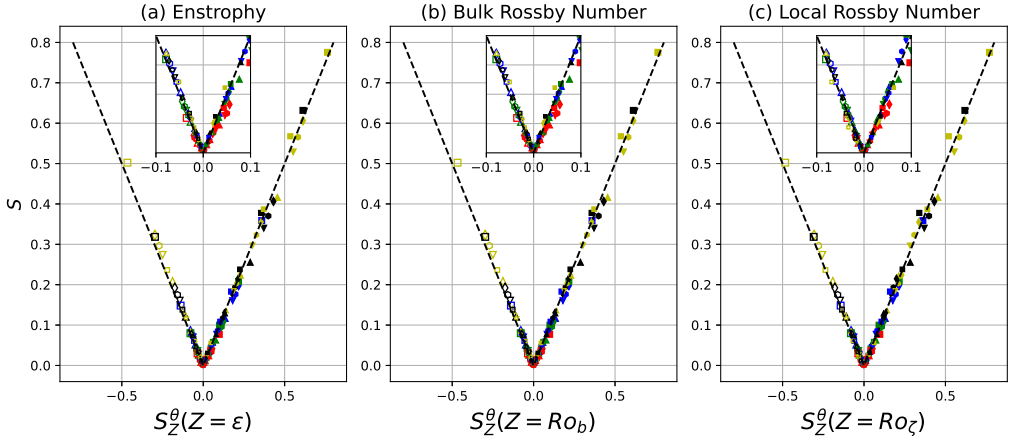


Figure 5: The x-axis shows the the data scaled by the fit function and respective optimisation parameters for the (a) enstrophy (b) bulk Rossby Number, (c) vorticity Rossby number. The size of the markers corresponds to the bulk Rossby number, the colours correspond to the adjusted ratio of length scales, and the markers correspond to unadjusted Burger number, as shown in the legend in figure 6. The black dashed lines are perfect fit lines.

345 Here we offer a possible interpretation for the seemingly round number scaling for Ro ,
 346 K and Bu we find in the previous section. To interpret our results, we turn to Ward &
 347 Dewar (2010), who derived analytical solutions for a single wave mode interacting with a
 348 single length scale zero-frequency balanced mode by expressing the RSWEs in the form of
 349 interacting triads. In a simplified case, they show that the amplitude of the scattered wave
 350 mode A_s increases as a function of the balanced mode amplitude A_v , the incoming wave
 351 mode amplitude $A_{in}(t)$, and the interaction coefficient Γ , which is directly derived from the
 352 RSWEs. Specifically, this evolution is described by (adapted from Ward & Dewar 2010,
 353 eq. 3.11)

$$354 \quad \frac{dA_s}{dt} = 2\Gamma A_v A_{in}. \quad (3.6)$$

355 Let's start by assuming that the wave mode amplitude is constant in time, which can be

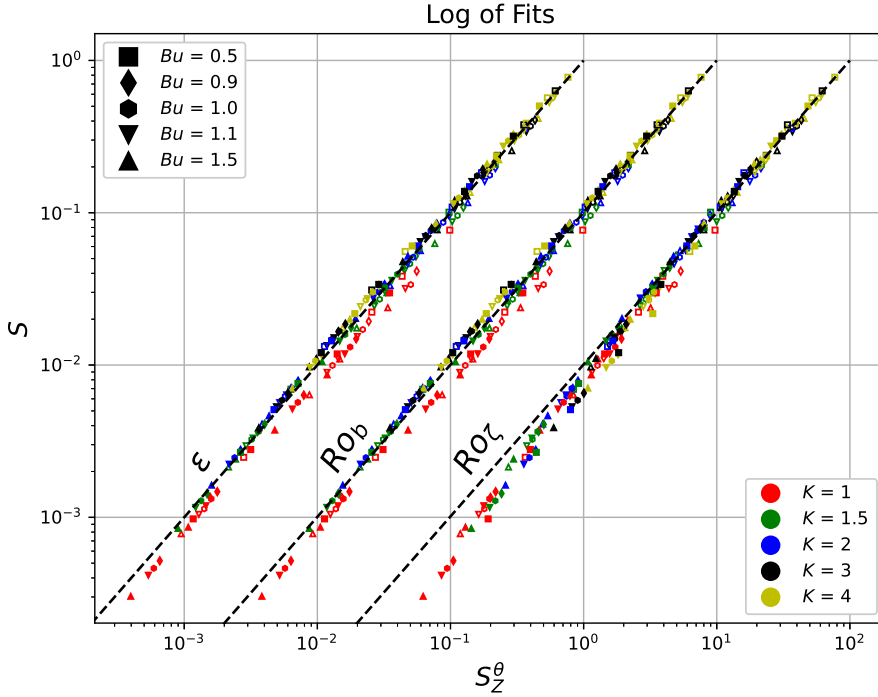


Figure 6: The scattering ratio data in figure 5 shown in logarithmic scale. The black dashed lines show perfect fits.

356 achieved to a good approximation if the interaction is weak or brief. Then, we have

$$357 \quad A_s/A_{in} = 2\Gamma A_v T_i \quad (3.7)$$

358 where T_i is the time scale of the interaction. We can express this equation as a function of
 359 our non dimensional variables. First, the amplitude of the vortex mode is proportional to the
 360 bulk Rossby number $A_v \propto RO_b$. Second, while the form of the interaction coefficient is very
 361 complicated even for a single triad, Ward & Dewar (2010) find that for $K \gg \sqrt{Bu}$, $\Gamma \propto K$,
 362 and that for $K \ll \sqrt{Bu}$, $\Gamma \propto K^2$. In our parameter regime, the Burger numbers are such
 363 that $0.7 < \sqrt{Bu} = L_d/L < 1.6$, and we use waves with $0.5 \leq K \leq 4.5$. We are therefore
 364 in an intermediate regime where the scaling Γ obeys cannot be estimated *a priori*. As we
 365 are about to see, our simulations appear to be closer to a regime where $\Gamma \propto K$. Finally, the

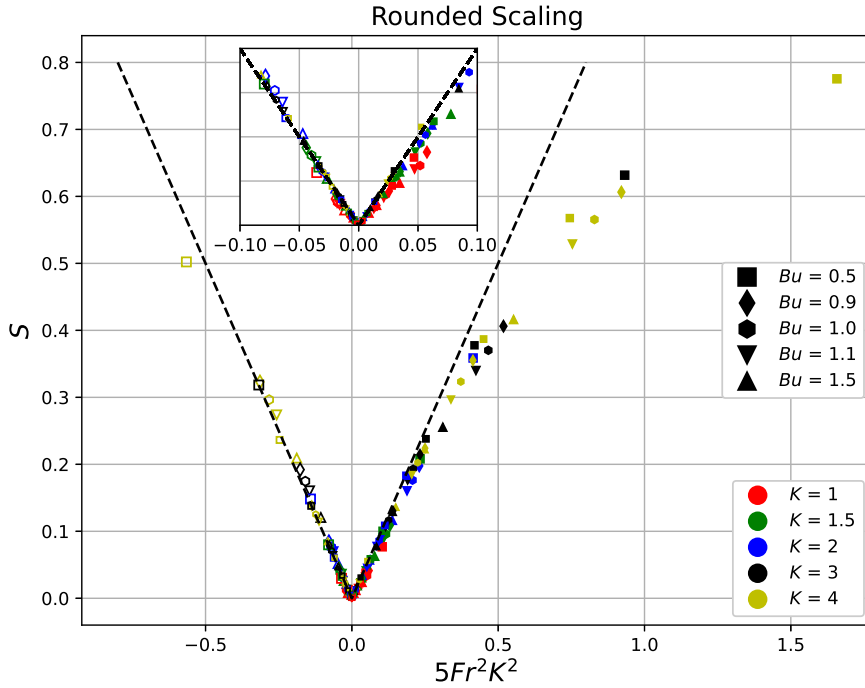


Figure 7: Simplified scaling for low scattering ratios as a function of only the Froude number $Fr = Ro_b/\sqrt{Bu}$ and length scales ratio K .

366 time scale of this interaction is proportional to the group speed. Note that f drops out of
 367 the scattering relation which is because the wave frequencies we examined are high enough
 368 compared to $|f|$, making the waves act more like non-rotating shallow water waves, and thus
 369 all group speeds are close to c_0 . This time scale is then related to the Burger number with
 370 $T_i \propto 1/c_0 \propto Bu^{-0.5}$. Thus, assuming $\Gamma \propto K$, we have

$$371 \quad S = \Phi_s/\Phi_{in} \sim (A_s/A_{in})^2 \propto Ro_b^2 K^2 Bu^{-1}, \quad (3.8)$$

372 as we found using our theory-agnostic three-dimensional fits for small values of S .

373 As the time of interaction increases, the amplitude of the incoming wave decreases and
 374 hence the growth rate of the triads progressively decreases. This is an alternative interpretation

375 of the plateau that we see at high values of S , and why the arctan must be included in our
376 scalings.

377 Recall that our approximately Gaussian vortex has multiple energetic length scales forming
378 a spectrum of triads, each with their own value of Γ . Furthermore, each of the scattered waves
379 that from due to the interaction of the incoming wave can than interact themselves with the
380 vortex to create secondary triads. Thus, the fact that the specific vortex shape that we chose
381 results in very similar scaling as the one length scale vortex in Ward & Dewar (2010) is
382 remarkable. Furthermore, it may imply that similarly bell-shaped isolated vortices will have
383 similar scalings. In light of these arguments, we expect that the details in the way they
384 plateau to be different but similar isolated vortices likely follow the same growth rates for
385 small values of S .

386 **4. Discussion and Conclusion**

387 We examined the scattering effect induced by an isolated vortex on a plane Poincaré
388 wave. By removing the vortex and the incoming wave, we are able to visualise the
389 scattered wave energy using the wave-averaged flux. The scattered energy forms in an
390 “anticlockwise/clockwise/anticlockwise” (“clockwise, anticlockwise, clockwise”) pattern,
391 which we attribute to the strong negative (positive) vorticity in the interior for (anti)cyclones,
392 and weaker positive (negative) vorticity in the exterior. The ray-tracing equations capture
393 this alternating pattern, but the locations of ray convergence do not always align with the
394 locations of maximum amplitude in the simulation data. We see the expected limitations of
395 ray tracing when the vortex and wavelength are of comparable size, most strikingly when
396 $K = 1$ where the angle of scattering it predicts is much shallower than those we see in the
397 simulations. The scattering pattern of anticyclonic and cyclonic vortices of similar Rossby
398 number magnitude lead to slightly different patterns due to the difference in shape after
399 cyclogeostrophic balance, but the effect is minor in our parameter regime.

400 Overall, our scattering patterns qualitatively agree with those of the Boussinesq simulations
 401 of Dunphy & Lamb (2014, figure 5) for barotropic vortices, which gives us confidence that
 402 RSWEs are an appropriate model to study internal tide/vortex interactions. Note that these
 403 authors mention that their attempts at interpreting the scattering pattern with ray tracing had
 404 failed, leading them to conclude that this approach is not appropriate in their regime. Here,
 405 we interpret these “hot-cold” patterns as interference patterns between three scattered beams
 406 and the transmitted incident wave, whose general features and directions qualitatively agree
 407 with ray tracing predictions. As such, our interpretation rehabilitates ray tracing to some
 408 degree. However, it fails to predict the very existence of a transmitted plane wave, nor can
 409 it predict how wide the scattering pattern is, even in experiments with high K 's. Therefore,
 410 previous work based on ray tracing (e.g., Rainville & Pinkel 2006; Chavanne *et al.* 2010)
 411 should be interpreted with caution.

412 On a related subject, figure 8 of the aforementioned article shows very different scattering
 413 patterns for baroclinic vortices. Interactions between baroclinic modes could yield different
 414 results, which could be investigated with multi-layer RSWEs in future studies.

415 Using three-dimensional fits, we derived a relation that gives the scattering ratio as a
 416 function of the Burger number Bu , the ratio of the vortex to the wave length scale K , and
 417 a measure of the vortex strength, which we quantify through the bulk Rossby number Ro_b ,
 418 the vorticity Rossby number Ro_ζ , and the enstrophy ε . We observe that the fit is successful
 419 when an arctan is used with a power law combination of the three non-dimensional numbers
 420 as the argument. We find that the bulk Rossby number Ro_b and the enstrophy ε are the most
 421 suitable vortex strength metrics to predict the scattering ratio, while the vorticity Rossby
 422 number Ro_ζ yields a less suitable approximation. We find round number scalings for the
 423 argument in the arctan, specifically, $\tan(\pi S/2) \propto Ro_b^2 K^2 Bu^{-1}$. This aligns with the triad
 424 formalism from Ward & Dewar (2010), where the growth rate of a single triad wave is shown

425 to be proportional to the amplitude of the vortex, which is determined by Ro_b , the time of
 426 interaction, which is determined by Bu , and the triad interaction coefficient which is related
 427 to K . Since our theory-agnostic fits using a Gaussian vortex result in the same scaling as
 428 the single-mode example in Ward & Dewar (2010), it may imply that these scalings would
 429 be similar for a variety of isolated vortices with bell-shaped height fields, at least for small
 430 values of S . For small scattering ratios, these dependencies reduce to $S \propto Fr^2 K^2$.

431 Independently, Ito & Nakamura (2023) non-dimensionalise the equations of motions first
 432 and show that $FrK^{-1} = \frac{U}{c_0} \frac{\lambda}{L}$ can be used to separate the vortical effects on the wave from the
 433 linear equations. They vary this parameter as a whole to show different scattering regimes and
 434 patterns. At higher values, they show that the wave can become trapped in the vortex. While
 435 their scaling significantly differs from ours, note that we obtained our results by measuring
 436 the scattered energy in a theory-agnostic fashion. Furthermore, our scalings are consistent
 437 with the theory of Ward & Dewar (2010), who find that stronger triads form at large values
 438 of K . Additionally, Coste *et al.* (1999), who investigate how a vertical vortex in solid-body
 439 rotation creates phase dislocations on an incoming wave, found a similar ratio to FrK .

440 Although we did not vary Fr_w , we do not anticipate the results to vary until the wave
 441 has enough energy to alter the structure of the vortex itself (e.g., via wave capture; Bühler
 442 & McIntyre 2005), or to undergo destabilising non-linear processes. To study the non-linear
 443 wave regime is likely to represent an avenue for further research.

444 Most eddies with characteristic width L are well approximated by a Gaussian profile
 445 within the radius $L/3$ from their centre (Chelton *et al.*, 2011) which makes our scaling
 446 relation broadly applicable. To find a more general scaling relation, we could extend our
 447 analysis to other vortex profiles, and in the process check how robust our scaling relations
 448 are to the vortex shape. For example, we could start with stable oblate vortices, which would

449 add another degree of freedom to our scaling relations, and produce asymmetries in the
450 scattering pattern depending on the incoming wave direction.

451 The parameter range we explored covers a broad range of physical regimes in which an
452 IT will interact with eddies in the ocean. We did not explore waves larger than the vortices,
453 but we can extrapolate from our data that $K < 1$ would lead to little scattering ($S < 0.1$)
454 even at vorticity Rossby numbers of $O(1)$ and Burger numbers of $O(0.1)$. We also did not
455 explore simulations with $|Ro_\zeta| \gg 1$ and $Bu < 0.4$, but since we came close to complete
456 scattering with $K = 4$, we can extrapolate to find which simulations would lead to completely
457 scattered waves ($S = 1$). For example, if we had Rossby and Burger numbers equal to one,
458 a wave with $K = 5$ would already lead to almost complete scattering with $S = 0.97$. In
459 open ocean regimes, mesoscale eddies are about the size of mode-1 M_2 tides ($K = 1$) and
460 have $Ro_b = 0.01$ and $Bu \approx 1$, so we predict that the scattering will be small at $S < 1\%$.
461 In submesoscale regimes, near coasts and strong currents, where mode 5 ITs interact with
462 vortices of $Ro_b > 0.1$, the scattering ratio will be $> 10\%$. These results inspire useful
463 diagnostics for satellite altimetry data and global circulation models to determine where
464 errors may be at their highest given the local vorticity field, IT mode, local rotation rate,
465 and stratification. Future work on our idealised model should include simple time-varying
466 balanced flows (e.g., vortex pairs), oblate vortices, and adding vertical layers to include the
467 effects of baroclinicity in the balanced flow.

468

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473 **Data availability statement.** See <https://doi.org/10.5281/zenodo.12954100> to find the replication code for
474 this manuscript.

475 **Author ORCIDs.** J.U.: 0009-0007-8831-8898; N.G.: 0000-0003-4045-2143.

476 **Appendix A. Cyclogeostrophic Balance Iterative Method**

477 To create a time-independent balanced vortex with a non-zero Rossby number we need to
478 include the effects of advection. Thus, the vortex must satisfy ,

$$479 \quad \mathbf{u} \cdot \nabla \mathbf{u} + f \hat{\mathbf{z}} \times \mathbf{u} = -g \nabla \eta. \quad (\text{A } 1)$$

480 Equation A 1 can be solved analytically for some axis-symmetric cases; however, we can
481 extend this to larger Ro if we use the iterative method in Penven *et al.* (2014), which we
482 describe below.

483 Let the velocity \mathbf{u}_g associated with the geostrophic flow be $f \hat{\mathbf{z}} \times \mathbf{u}_g = -g \nabla \eta$. We rearrange
484 equation A 1 to give

$$485 \quad \mathbf{u} - \hat{\mathbf{z}} f^{-1} \times (\mathbf{u} \cdot \nabla \mathbf{u}) = \mathbf{u}_g. \quad (\text{A } 2)$$

486 It is then possible to approximate the solution by iterating equation A 2 as follows,

$$487 \quad \mathbf{u}^{(n+1)} = \mathbf{u}_g + \hat{\mathbf{z}} f^{-1} \times (\mathbf{u}^{(n)} \cdot \nabla \mathbf{u}^{(n)}) \quad (\text{A } 3)$$

488 while $\max |\mathbf{u}^{(n+1)} - \mathbf{u}^{(n)}| < 10^{-4} m s^{-1}$ or until $\mathbf{u}^{(n+1)} > \mathbf{u}^{(n)}$. These adjusted velocities are
489 used to initialise the velocity field in the vortex simulation.

490 **Appendix B. Sponge layers**

491 The Tukey window is used to force and absorb waves on either side of the domain. It has the
492 profile of a tapered cosine at the edges and a constant at the center. This is useful to ensure
493 that the waves achieve the amplitude they are prescribed.

494 The formula for the Tukey window is shown below,

$$495 \quad \Pi(x, x_0) = \begin{cases} 0 & x < x_0 \\ \frac{1}{2} \left\{ 1 - \cos \left[\frac{2\pi x}{\Delta\lambda} \right] \right\} & x_0 \leq x < \Delta\lambda/2 + x_0 \\ 1 & \Delta\lambda/2 + x_0 \leq x < \lambda - \Delta\lambda/2 + x_0, \\ \frac{1}{2} \left\{ 1 - \cos \left[\frac{2\pi x}{\Delta\lambda} - \frac{2\pi}{\Delta} \right] \right\} & \lambda - \Delta\lambda/2 + x_0 \leq x < \lambda + x_0, \\ 0 & \lambda + x_0 \leq x, \end{cases} \quad (\text{B } 1)$$

496 where $\Delta = 0.7$.

497 The vortex adjustment simulation requires a sponge layer to absorb the waves that radiate
498 during the adjustment process. To absorb waves with minimal reflection, a circular sponge
499 layer is set at a distance $R_1 = 2L$, which increases linearly until $R_2 = 2.8L$ as shown below.

$$500 \quad CS(r) = \begin{cases} 0 & r \leq R_1, \\ (r - R_1)/(R_2 - R_1) & R_1 \leq r \leq R_2, \\ 1 & R_2 \leq r. \end{cases} \quad (\text{B } 2)$$

501 For simulations with high Rossby numbers, there does tend to be some reflection, but has
502 a small effect on the diagnostics.

503 **Appendix C. Linear Shallow Water Equations**

504 The linear shallow-water equations are as follows,

$$505 \quad \partial_t \mathbf{u} + f \hat{\mathbf{z}} \times \mathbf{u} = -g \nabla \eta \quad \text{and} \quad \partial_t h + H \nabla \cdot (\mathbf{u}) = 0, \quad (\text{C } 1)$$

506 where f is constant in this article. Let us assume a wave solution that is only propagating in
 507 one direction, so that $\mathbf{V} = [\tilde{u}, \tilde{v}, \tilde{h}]e^{ikx}$ we can then rewrite equations C 1 as

$$508 \quad \partial_t \mathbf{V} + \mathbf{M}\mathbf{V} = 0, \quad \text{where} \quad \mathbf{M} = \begin{bmatrix} 0 & -f & ikg \\ f & 0 & 0 \\ ikH & 0 & 0 \end{bmatrix}. \quad (\text{C } 2)$$

509 The three eigenvalues of \mathbf{M} are proportional to the frequencies of the wave modes. They are
 510 $\omega_G = 0$ and $\omega_W^{(\pm)} = \pm\sqrt{f^2 + gHk^2}$, with corresponding eigenvectors

$$511 \quad G = \left[0, 1, -\frac{if}{gk} \right] \quad \text{and} \quad W_{\pm} = \left[\frac{\omega_W^{(\pm)}}{f}, 1, \frac{-ikH}{f} \right]. \quad (\text{C } 3)$$

512 The eigenvectors W_{\pm} are used to force the wave from the right.

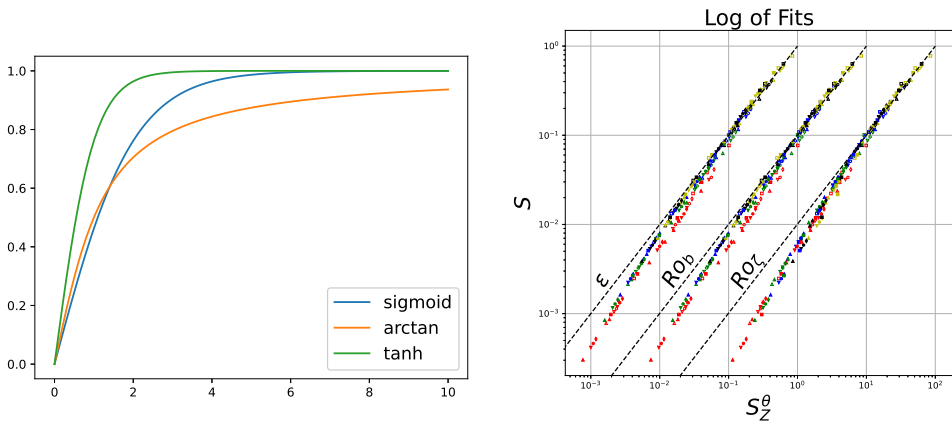
513 **Appendix D. Ray Tracing**

514 Ray tracing is a method to track the position and wavevector of a wavepacket through a fluid
 515 media, assuming that the wavelength is small compared to the length scales in the media.
 516 Let the position of the wavepacket be \mathbf{x} with wavevector \mathbf{k} , and it made to pass through a
 517 velocity field $\mathbf{U} = (U, V)$, then the ray tracing equations read,

$$518 \quad d\mathbf{x}/dt = \mathbf{U} + d\omega/d\mathbf{k} \quad \text{and} \quad d\mathbf{k}/dt = -(\nabla\mathbf{U}) \cdot \mathbf{k} - d\omega/d\mathbf{x}, \quad (\text{D } 1)$$

519 where $\omega = \sqrt{f^2 + ghk^2}$. The first equation describes the evolution of the wave packet position
 520 due to the advection of the media and the group speed. The second equation describes the
 521 refraction of the wave vector as a result of strain and shear and due to the change in frequency.

	A	α	β	γ
Sigmoid	8.18 ± 0.02	1.86 ± 0.02	-0.85 ± 0.02	1.80 ± 0.02
Tanh	4.08 ± 0.03	1.86 ± 0.03	-0.85 ± 0.01	1.80 ± 0.02

Table 4: Optimisation parameters for alternative fitting functions using $Z = Ro_b$.

(a) Fitting curves.

(b) Sigmoid fit using the same legend from figure 6.

Figure 8

522 Appendix E. Alternative Fitting Functions

523 Here we explore two other choices for fitting function which are linear for small values of S
 524 and then plateau towards one, namely a sigmoid and a tanh defined below,

$$525 \quad \check{S}_Z^\theta = 2(1 + \exp[-AZ^\alpha Bu^\beta K^\gamma]), \quad (\text{E } 1)$$

$$526 \quad \check{S}_Z^\theta = \tanh[AZ^\alpha Bu^\beta K^\gamma]. \quad (\text{E } 2)$$

527 The curves for these functions are shown in figure 8a and the fit parameters are shown in
 528 table 4. We find that the fit parameters for both the sigmoid and the tanh can no longer
 529 be rounded to whole numbers as we were able to do using arctan. Furthermore, the fit has
 530 become worse for both these functions, as shown in figure 8b for the sigmoid (to compare

531 with figure 6), because both functions plateau too quickly resulting in inaccurate fitting for
532 low values of S . While the arctan we use in the main text models the data well for all values
533 of S , we don't believe this implies that there is something physical about arctan, but rather
534 that it is likely just a convenient choice to model the plateau.

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